

# Saturating the Welch Bound for Frames over Finite Fields

Ian Jorquera

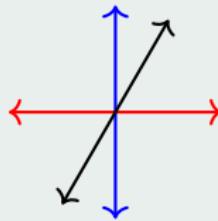
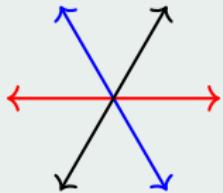
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May 21, 2025



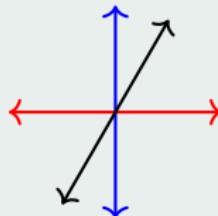
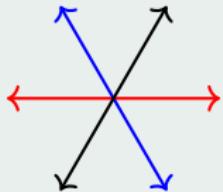
**Line Packings:** Can you pack  $n$  lines in  $\mathbb{R}^d$  or  $\mathbb{C}^d$ , where every line is maximally spread apart?



**Goal:** Maximize pairwise acute angles, or minimize  $\cos^2 \theta$



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Given  $n$  lines, represent each by a unit vector

$$\Phi = \begin{bmatrix} | & | & & | \\ \varphi_1 & \varphi_2 & \cdots & \varphi_n \\ | & | & & | \end{bmatrix} \in \mathbb{F}^{d \times n}$$

**New Goal:** Minimize  $\max_{i \neq j} |\langle \varphi_i, \varphi_j \rangle|^2$



# Finding Optimal Packings

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## Welch bound

$$\max_{i \neq j} |\langle \varphi_i, \varphi_j \rangle|^2 \geq \frac{n-d}{d(n-1)}$$

With equality if and only if

- **Equiangular:**  $|\langle \varphi_i, \varphi_j \rangle|^2 = b$  for all  $i \neq j$
  - **Tightness:**  $\Phi\Phi^* = cI$
- $\left. \right\} \Phi \text{ is an ETF}$



# Understanding Optimal Line Packings

Optimal line packings are understood in two ways

**Geometrically** as ETFs

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**Combinatorially** with

$$b = \frac{n - d}{d(n - 1)}$$

- $n = \#$  lines
- $d =$  dimension



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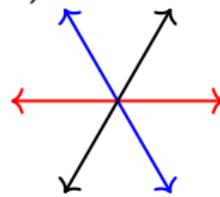
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**Example:** Optimal line packing in  $\mathbb{R}^2$  (an ETF)

$$\Phi = \begin{bmatrix} 1 & -\frac{1}{2} & -\frac{1}{2} \\ 0 & \frac{\sqrt{3}}{2} & -\frac{\sqrt{3}}{2} \end{bmatrix}$$

$$\theta = \frac{2\pi}{3} \quad \text{and} \quad b = |-1/2|^2 = 1/4 = \frac{3-2}{2(3-1)}$$



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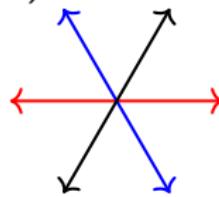
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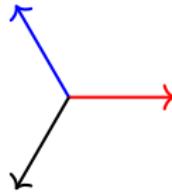
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**Goal of talk:** Do this but over finite fields.



## Line Packings over Finite Fields



# Discretizing Reality: Finite Field Analog to $\mathbb{R}^d$

Real IP Spaces	$\rightsquigarrow$	Orthogonal Geometries
$\mathbb{R}^d$	$\rightsquigarrow$	$\mathbb{F}_q^d$ , where $q = p^\ell$ is odd.
Inner Products	$\rightsquigarrow$	Non-Degenerate Scalar Products



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Example: Non-degeneracy as a proof of being non-zero

$V = \mathbb{F}_3^3$  with  $\langle x, y \rangle = x^T y$  the dot product.

$$x = \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} \quad \left\langle \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} \right\rangle = 0 \quad \left\langle \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} \right\rangle = 1$$



# Discretizing Reality: Two Types of Orthogonal Geometries

## Definition

A  $\mathbb{F}_q$ -vector space  $V$  is called non-degenerate if it has a non-degenerate scalar product.  $V$  is an orthogonal geometry.

$V = \mathbb{F}_q^d$ , with  $\langle x, y \rangle = x^T M y$ , where  $M = M^T$  and is invertible



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**Classification:** An orthogonal geometry  $V$  with  $\langle x, y \rangle = x^T M y$

- $\det M$  a square (i.e.  $\exists z \in \mathbb{F}_q$ ,  $\det M = z^2$ )
- $\det M$  not a square

## Example: Non-square determinant

$V = \mathbb{F}_3^4$  with  $\langle x, y \rangle = x^T M y$ , where  $M = \text{Diag}(1, 1, 1, 2)$

$$\left\langle \begin{bmatrix} 0 \\ 0 \\ 1 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ 0 \\ 2 \end{bmatrix} \right\rangle = \begin{bmatrix} 0 & 0 & 1 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 2 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \\ 0 \\ 2 \end{bmatrix} = 1$$



# Plato's Allegory of an Inner Product

**Inner Product Spaces:**



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## Inner Product Spaces:

- $\Phi = [\varphi_1, \dots, \varphi_n]$  and its Gram matrix  $\Phi^* \Phi = [\langle \varphi_i, \varphi_j \rangle]$  give equivalent information.
- Subspaces of inner product spaces are inner product spaces



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- Subspaces of inner product spaces are inner product spaces

**Orthogonal Geometries:** Not the case. Consider an orthogonal geometry  $V = \mathbb{F}_3^4$  with  $\langle x, y \rangle = x^T y$

$$\Phi = \begin{bmatrix} 1 & 0 \\ 2 & 1 \\ 2 & 2 \\ 0 & 1 \end{bmatrix} \quad \Phi^\dagger \Phi = \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix} \quad \text{im } \Phi \subseteq V \text{ is degenerate.}$$



# Frame Theory (Greaves, Iverson, Jasper, Mixon; 2022), (J 2025)

Let  $\Phi = [\varphi_1, \varphi_2 \dots, \varphi_n] \in \mathbb{F}_q^{d \times n}$ ,  $a, b, c \in \mathbb{F}_q$ . Then  $\Phi$  is a

- **frame** for  $\text{im } \Phi$  if  $\text{im } \Phi$  is non-degenerate  $\Leftrightarrow \text{rk}(\Phi) = \text{rk}(\Phi^\dagger \Phi)$
- **$c$ -tight frame** for  $\text{im } \Phi$  if  $\Phi \Phi^\dagger \Phi = c\Phi$
- **$(a, b)$ -equiangular** if
  - $\langle \varphi_j, \varphi_j \rangle = a$  for all  $j$
  - $\langle \varphi_j, \varphi_k \rangle^2 = b$  for all  $j \neq k$
- **$(a, b, c)$ -equiangular tight frame(ETF)** if all the above.



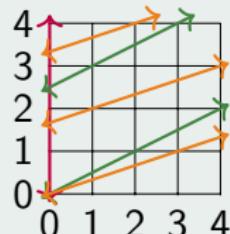
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**Example:**  $V = \mathbb{F}_5^2$  with  $\langle x, y \rangle = x^\top M y$ , where  $M = \text{Diag}(1, 3)$

$$\Phi = \begin{bmatrix} 0 & 2 & 3 \\ 2 & 1 & 1 \end{bmatrix} \quad \Phi^\dagger \Phi = \begin{bmatrix} 2 & 1 & 1 \\ 1 & 2 & -1 \\ 1 & -1 & 2 \end{bmatrix}$$



$\Phi$  is an  $(2, 1, 3)$ -ETF for  $\mathbb{F}_5^2$  of  $n = 3$  vectors.



# Frame Theory: $4 \times 10$ ETF

Example (Greaves, Iverson, Jasper, Mixon 2022)

$V = \mathbb{F}_3^4$  with  $\langle x, y \rangle = x^T M y$ , where  $M = \text{Diag}(1, 1, 1, 2)$

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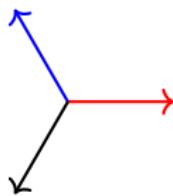
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$\Phi$  is an  $(0, 1, 0)$ -ETF for  $\mathbb{F}_3^4$  of  $n = 10$  vectors.

- $\Phi$  is a maximal ETF for  $\mathbb{F}_3^4$
- No  $4 \times 10$  real ETF is known to exist
- Contains 30 regular 3-simplices: 15 square geometry, 15 non-square geometry, both pairs of 15 form  $(10, 4, 2)$ -BIBDs



## The Welch Bound Revisited



# On the Failure of a Welch Bound

Theorem (Greaves, Iverson, Jasper, Mixon; 2022)

If  $\Phi \in \mathbb{F}_q^{d \times n}$  is a  $(a, b, c)$ -ETF then  $d(n-1)b = (n-d)a^2$   
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**Example:**  $V = \mathbb{F}_5^7$  with  $\langle x, y \rangle = x^\top y$

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$\Phi$  is an  $(2, 1)$ -equiangular frame for  $V$ .

It satisfies  $b \equiv 1 \equiv \frac{1}{49}2^2 \equiv \frac{n-d}{d(n-1)}a^2$ .



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- Triple Product:  $\Delta(\varphi_j, \varphi_k, \varphi_\ell) = \langle \varphi_j, \varphi_k \rangle \langle \varphi_k, \varphi_\ell \rangle \langle \varphi_\ell, \varphi_j \rangle$
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- $d(n - 1)b = (n - d)a^2$
- $\sum_{\ell=1}^n \langle \varphi_j, \varphi_k \rangle \langle \varphi_k, \varphi_\ell \rangle \langle \varphi_\ell, \varphi_j \rangle = \frac{nab}{d}$  for all  $j \neq k$



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Let  $\mathbb{F}_q$  be a field with  $q = p^\ell$  elements,  $p \nmid dn$

## Theorem (J)

Let  $\Phi = [\varphi_1, \dots, \varphi_n] \in \mathbb{F}_q^{d \times n}$  be an  $(a, b)$ -equiangular frame for  $\mathbb{F}_q^d$  ( $a \neq 0$ ). Then  $\Phi$  is an  $(a, b, na/d)$ ETF if and only if

- $d(n - 1)b = (n - d)a^2$
- $\sum_{\ell=1}^n \langle \varphi_j, \varphi_k \rangle \langle \varphi_k, \varphi_\ell \rangle \langle \varphi_\ell, \varphi_j \rangle = \frac{nab}{d}$  for all  $j \neq k$

- This theorem is also true for unitary geometries.
- For certain finite fields,  $\Phi$  need not be a frame.
- Works for any field, not just finite fields.



## Additional Results

On the Structure of Frames and Equiangular Lines over Finite Fields and their Connections to Design Theory (arXiv:2505.12175)

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- Expanded on the theory of Naimark complements from (Greaves, Iverson, Jasper, Mixon; 2022), showing that in general  $\Phi^\dagger\Phi + \Psi^\dagger\Psi = cl$  is not sufficient and an additional condition is needed.
- Generalized Gillespie incoherent sets, showing ETFs in orthogonal geometries that saturated a incoherence bound, often give rise to quasi-symmetric 2-designs, and 4-designs in special cases.



# Questions

$$\Phi = \begin{bmatrix} 1 & 1 & 1 & 1 \\ 1 & 1 & 2 & 2 \\ 1 & 2 & 1 & 2 \end{bmatrix}$$

An  $(0, 1, 1)$ -ETF for  $\mathbb{F}_3^3$

