

Computing Science MSc Thesis

# Incremental Computation for Algebraic Datatypes in Haskell

Author Jort van Gorkum (6142834)

Supervisors
Wouter Swierstra
Trevor McDonell

Faculty of Science
Department of Information and Computing Sciences
Programming Technology

May 3, 2022

Write abstract

## Contents

1	Inti	roduction 5
	1.1	Motivation
	1.2	Contributions
	1.3	Research Questions
2	Spe	ecific Implementation 6
	2.1	Merkle Tree (TreeH)
	2.2	Zipper
		2.2.1 Zipper TreeH
3	Dat	catype-Generic Programming 11
	3.1	Introduction
		3.1.1 Explicit recursion
		3.1.2 Sums of Products
		3.1.3 Mutually recursive datatypes
	3.2	Comparison Generic Libraries
4	Pro	ptotype Implementation 16
	4.1	Prototype language
		4.1.1 Zipper
	4.2	HashMap vs Trie
5	Ger	neric Implementation 19
	5.1	Regular
	5.2	Complexity
	5.3	Memory Strategies
	5.4	Pattern Synonyms
6	Exp	periments 21
	6.1	Execution Time
	6.2	Memory Usage
	6.3	Comparison Memory Strategies
7	Cor	nclusion and Future Work 26
	7.1	Conclusion
$\mathbf{A}$	Ger	neric Programming 27
	A.1	Instances Functor for Pattern Functors
В	Imp	plementation Memo Cata 28
	B.1	Implementation Hashable

	C.1 Zipper	31
$\mathbf{C}$	Regular	31
	B.4 Implementation Zipper Merkle	29
	B.3 Implementation Cata Merkle	29
	B.2 Implementation Merkle	29

# Todo list

Write abstract	1
Write a motivation	5
Write the contributions	5
Write the research questions	5
Maybe add the more efficient implementation of merging maps?	7
Describe what the challenges are with supporting mutually recursive datatypes	14
Describe the use of the Zipper and how the hashes are updated	17
Write a piece about the comparison of storing it in a HashMap or a Trie datastructure	18
Write about Hdiff and the use of Trie datastructure	18
Write about why Regular is chosen	19
Write about the implementation of Regular and what had to change compared to the	
prototype language	19
Describe for every function used the complexity and what leads to the complete complexity	19
Describe multiple memory strategies for keeping memory usage and execution time low	20
Write about paper selective memoization	20
Explain Pattern Synonyms	20

# 1

# Introduction

### 1.1 Motivation

Write a motivation

#### 1.2 Contributions

Write the contributions

### 1.3 Research Questions

Write the research questions

## Specific Implementation

Computing a value of a data structure can easily be defined in Haskell, but every time there is a small change in the Tree, the entire Tree needs to be recomputed. This is inefficient, because most of the computations have already been performed in the previous computation.

To prevent recomputation of already computed values, the technique memoization is introduced. Memoization is a technique where the results of computational intensive tasks are stored and when the same input occurs, the result is reused.

The comparison of two values in Haskell is done with the Eq typeclass, which implements the equality operator (==) :: a -> a -> Bool. So, an example implementation of the Eq typeclass for the Tree datatype would be:

The problem with using this implementation of the Eq typeclass for Memoization is that for every comparison of the Tree datatype the equality is computed. This is inefficient because the equality implementation has to traverse the complete Tree data structure to know if the Tree's are equal.

To efficiently compare the Tree datatypes, we need to represent the structure in a manner which does not lead to traversing to the complete Tree data structure. This can be accomplished using a hash function. A hash function is a process of transforming a data structure into an arbitrary fixed-size value, where the same input always generates the same output.

One of the disadvantages of using hashes is hash collisions. Hash collisions happen when two different pieces of data have the same hash. This is because a hash function has a limited amount of bits to represent every possible combination of data. Using the formula  $p = e^{-\frac{k(k-1)}{2N}}$  from Hash Collision Probabilities[5], we can calculate a 50% chance of getting a hash collision with a collection of k. The hash function CRC-32 needs a collection of 77163 hash values. The hash function MD5 needs a collection of  $5.06 \times 10^9$  hash values. And, the hash function SHA-1 needs a collection of  $1.42 \times 10^{24}$  hash values. As a result of, we can say that for most popular hash functions, hash collisions are negligible.

```
class Hashable a where
   hash :: a -> Hash

instance Hashable a => Hashable (Tree a) where
   hash (Leaf x) = concatHash [hash "Leaf", hash x]
   hash (Node 1 x r) = concatHash [hash "Node", hash x, hash 1, hash r]
```

The hashes can then be used to efficiently compare two Tree data structures, without having to traverse the entire Tree data structure. To keep track of the intermediate results of the computation, we store the results in a Map. A Map, also known as a dictionary, is an implementation of mapping a key to a value. In our next example the Hash is the key and the value is the intermediate result.

```
sumTreeInc :: Tree Int -> (Int, Map Hash Int)
sumTreeInc l@(Leaf x) = (x, insert (hash 1) x empty)
sumTreeInc n@(Node l x r) = (y, insert (hash n) y (ml <> mr))
    where
        y = x + xl + xr
        (xl, ml) = sumTreeInc l
        (xr, mr) = sumTreeInc r
```

Then after the first computation over the entire Tree, we can recompute the Tree using the previously created Map. Thus, when we recompute the Tree, we first look in the Map if the computation has already been performed then return the result. Otherwise, compute the result and store it in the Map.

#### Maybe add the more efficient implementation of merging maps?

```
sumTreeIncMap :: Map Hash Int -> Tree Int -> (Int, Map Hash Int)
sumTreeIncMap m l@(Leaf x) = case lookup (hash 1) m of
   Just x -> (x, m)
   Nothing -> (x, insert (hash 1) x empty)
sumTreeIncMap m n@(Node 1 x r) = case lookup (hash n) m of
   Just x -> (x, m)
   Nothing -> (y, insert (hash n) y (ml <> mr))
```

```
where
   y = x + xl + xr
   (xl, ml) = sumTreeIncMap m l
   (xr, mr) = sumTreeIncMap m r
```

Generating a hash for every computation over the data structure is time-consuming and unnecessary, because most of the Tree data structure stays the same. The work of Miraldo and Swierstra[4] inspired the use of the Merkle Tree. A Merkle Tree is a data structure which integrates the hashes within the data structure.

#### 2.1 Merkle Tree (TreeH)

First we introduce a new datatype TreeH, which contains a Hash for every constructor in Tree. Then to convert the Tree datatype into the TreeH datatype, the structure of the Tree is hashed and stored into the datatype using the merkle function.

The precomputed hashes can then be used to easily create a Map, without computing the hashes every time the sumTreeIncH function is called.

```
sumTreeIncH :: TreeH Int -> (Int, Map Hash Int)
sumTreeIncH (LeafH h x) = (x, insert h x empty)
sumTreeIncH (NodeH h l x r) = (y, insert h y (ml <> mr))
    where
        y = x + xl + xr
        (xl, ml) = sumTreeInc l
        (xr, mr) = sumTreeInc r
```

The problem with this implementation is, that when the Tree datatype is updated, the entire Tree needs to be converted into a TreeH, which is linear in time. This can be done more efficiently, by only updating the hashes which are impacted by the changes. Which means that only the hashes of the change and the parents need to be updated.

The first intuition to fixing this would be using a pointer to the value that needs to be changed. But because Haskell is a functional programming language, there are no pointers. Luckily, there is a data structure which can be used to efficiently update the data structure, namely the Zipper[2].

#### 2.2 Zipper

The Zipper is a technique of representing a data structure by keeping track of how the data structure is being traversed through. The Zipper was first described by Huet[2] and is a solution for efficiently updating pure recursive data structures in a purely functional programming language (e.g., Haskell). This is accomplished by keeping track of the downward current subtree and the upward path, also known as the *location*.

To keep track of the upward path, we need to store the path we traverse to the current subtree. The traversed path is stored in the Cxt datatype. The Cxt datatype represents three options the path could be at: the Top, the path has traversed to the left (L), or the path has traversed to the right (R).

Using the Loc, we can define multiple functions on how to traverse through the Tree. Then, when we get to the desired location in the Tree, we can call the modify function to change the Tree at the current location.

```
left :: Loc a -> Loc a
left (Node 1 x r, c) = (1, L c r x)

right :: Loc a -> Loc a
right (Node 1 x r, c) = (r, R c 1 x)

up :: Loc a -> Loc a
up (t, L c r x) = (Node t x r, c)
up (t, R c 1 x) = (Node 1 x t, c)

modify :: (Tree a -> Tree a) -> Loc a -> Loc a
modify f (t, c) = (f t, c)
```

Eventually, when every value in the Tree has been changed, the entire Tree can then be rebuilt using the Cxt. By recursively calling the up function until the top is reached, the current subtree gets rebuilt. And when the top is reached, the entire tree is then returned.

```
leave :: Loc a -> Loc a
leave l@(t, Top) = 1
leave l = top (up 1)
```

#### 2.2.1 Zipper TreeH

The implementation of the Zipper for the TreeH datatype is the same as for the Tree datatype. However, the TreeH also contains the hash of the current and underlying data structure. Therefore, when a value is modified in the TreeH, all the parent nodes of the modified value needs to be updated.

The updateLoc function modifies the value at the current location, then checks if the location has any parents. If the location has any parents, go up to that parent, update the hash of that parent and recursively update the parents hashes until we are at the top of the data structure. Otherwise, return the modified locations, because all the other hashes are not affected by the change.

```
updateLoc :: (TreeH a -> TreeH a) -> Loc a -> Loc a
updateLoc f l = if top l' then l' else updateParents (up l')
where
    l' = modify f l

    updateParents :: Loc a -> Loc a
    updateParents (Loc x Top) = Loc (updateHash x) Top
    updateParents (Loc x cs) = updateParents $ up (Loc (updateHash x) cs)
```

Then, the update function can be defined using the updateLoc function, by first traversing through the data structure with the given directions. Then modifying the location using the updateLoc function and then leave the location and the function results in the updated data structure.

## **Datatype-Generic Programming**

The implementation in Chapter 2 is an efficient implementation for incrementally computing the summation over a Tree datatype. However, when we want to implement this functionality for a different datatype, a lot of code needs to be copied while the process remains the same. This results in poor maintainability, is error-prone and is in general boring work.

An example of reducing manual implementations for datatypes is the *deriving* mechanism in Haskell. The built-in classes of Haskell, such as Show, Ord, Read, can be derived for a large class of datatypes. However, deriving is not supported for custom classes. Therefore, we use *Datatype-Generic Programming*[1] to define functionality for a large class of datatypes.

In this chapter, we introduce Datatype-Generic Programming, also known as *generic programming* or *generics* in Haskell, as a technique that exploits the structure of datatypes to define functions by induction over the type structure. This prevents the need to write the previously defined functionality for every datatype.

#### 3.1 Introduction

There are multiple generic programming libraries, however to demonstrate the workings of generic programming we will be using a single library as inspiration, named regular[3]. Here the generic representation of a datatype is called a *pattern functor*. A pattern functor is a stripped-down version of a data type, by only containing the constructor but not the recursive structure. The recursive structure is done explicitly by using a fixed-point operator.

First, the pattern functors defined in regular are 5 core pattern functors and 2 meta information pattern functors. The core pattern functors describe the datatypes. The meta information pattern functors only contain information (e.g., constructor name) but not any structural information.

```
data \mathbf{U} \mathbf{r} = \mathbf{U} -- Empty constructors
data \mathbf{I} \mathbf{r} = \mathbf{I} \mathbf{r} -- Recursive call
data \mathbf{K} a \mathbf{r} = \mathbf{K} a -- Constants
```

```
data (f :+: g) r = L (f r) | R (g r) -- Sums (Choice) data (f :*: g) r = (f r) :*: (g r) -- Products (Combine)
```

The conversion from regular datatypes into pattern functors is done by the Regular type class. The Regular type class has two functions. The from function converts the datatype into a pattern functor and the to function converts the pattern functor back into a datatype. In regular, the pattern functor is represented by a type family. Then using the Regular conversion to a pattern functor, we can write the Tree datatype from Chapter 2 as:

```
type family PF a :: * -> *

class Regular a where
    from :: a -> PF a a
    to :: PF a a -> a

type instance PF (Tree a) = K a -- Leaf
    :+: (I :*: K a :*: I) -- Node
```

To demonstrate the workings of generic programming, we are going to implement a simple generic function which determines the length of an arbitrary datatype. First, we define the length function within a type class. The type class is used, to define how to calculate the length for every pattern functor f.

```
class GLength f where
   glength :: (a -> Int) -> f a -> Int
```

Writing instances for the empty constructor U and the constants K is simple because both pattern functors return zero. The U pattern functor returns zero, because it does not contain any children. The K pattern functor returns zero, because we do not count constants for the length.

```
instance GLength U where
   glength _ = 0

instance GLength (K a) where
   glength _ = 0
```

The instances for sums and products pattern functors are quite similar. The sums pattern functor recurses into the specified choice. The product pattern functor recurses in both constructors and combines them.

```
instance (GLength f, GLength g) => GLength (f :+: g) where
   glength f (L x) = glength f x
   glength f (R x) = glength f x

instance (GLength f, GLength g) => GLength (f :*: g) where
   glength f (x :*: y) = glength f x + glength f y
```

The instance for the recursive call I needs an additional argument. Because, we do not know the type of x, so an additional function ( $f :: a \rightarrow Int$ ) needs to be given which converts x into the length for that type.

```
instance GLength I where
  glength f (I x) = f x
```

Then using the GLength instances for all pattern functors, a function can be defined using the generic length function. By first, converting the datatype into a generic representation, then calling glength given recursively itself, and for every recursive call increase the length by one.

#### 3.1.1 Explicit recursion

The previous implementation of the length function is implemented for a shallow representation. A shallow representation means that the recursion of the datatype is not explicitly marked. Therefore, we can only convert one layer of the value into a generic representation using the from function.

Alternatively, by marking the recursion of the datatype explicitly, also called the deep representation, the entire value can be converted into a generic representation in one go. To mark the recursion, a fixed-point operator (Fix) is introduced. Then, using the fixed-point operator we can define a from function that given the pattern functors have an instance of Functor<sup>1</sup>, return a generic representation of the entire value.

```
data Fix f = In { unFix :: f (Fix f) }
deepFrom :: (Regular a, Functor (PF a)) => a -> Fix (PF a)
deepFrom = In . fmap deepFrom . from
```

Subsequently, we can define a cata function which can use the explicitly marked recursion by applying a function at every level of the recursion. Then using the cata function we can define the same length function as in the previous section, but just in a single line. However, this deep representation does come at the cost that the implementation is less efficient than the shallow representation.

<sup>&</sup>lt;sup>1</sup>The Functor instances for the pattern functors can be found in Section A.1

#### 3.1.2 Sums of Products

A different way of describing datatypes in a generic representation, besides pattern functors, are Sums of Products[7] (SOP). SOP is a generic representation with additional constrictions which more faithfully reflects the Haskell datatypes. The definition of the SOP is done using a Code of kind [[\*]]. The outer list describes the sum and each inner list the products. The `sign lifts the list to a type, instead of a value. The defined Code is then induced to a generic representation.

```
Code (Tree a) = `[`[a], `[Tree a, a, Tree a]]
```

The usage of SOP makes it easier for developers to implement generic functionality. However, whereas pattern functors do not have structural constraints, SOP has, making it more complex to add extra functionality to SOP.

#### 3.1.3 Mutually recursive datatypes

A large class of datatypes is supported by the previous section, namely *regular* types. Regular types are types in which the recursion only goes into the same datatype. However, if we want to support the syntax of many programming languages, we need to support datatypes which can recurse over different datatypes, namely mutually recursive datatypes.

Describe what the challenges are with supporting mutually recursive datatypes

#### 3.2 Comparison Generic Libraries

"Comparing libraries for generic programming in Haskell" [6]

Library	Representation	Mutually Recursive	Complexity
regular	Pattern Functor	×	Low
multirec	Pattern Functor	✓	Medium
generics-sop	SOP	×	Medium-High
generics-mrsop	SOP	✓	High

## Prototype Implementation

#### 4.1 Prototype language

The definition of the pattern functor only leads to shallow recursion. Meaning that pattern functor can only be used to observe a single layer of recursion. To apply a function over the complete data structure, deep recursion is used. To implement deep recursion, the fix point is introduced.

```
data Fix f = In { unFix :: f (Fix f) }
```

The fix point is then used to describe the recursion of the datatype on a type-level basis. Using pattern functors and fix point most of the Haskell datatypes can be represented. For example:

Because the generic representation of the Haskell datatypes can be represented using pattern functors, we can use Functors. Using the Functor class a cata function can be defined, which is a generic fold function.

```
cata :: Functor f => (f a -> a) -> Fix f -> a
cata alg t = alg (fmap (cata alg) (unFix t))
cataSum :: TreeG Int -> Int
cataSum = cata f
  where
```

```
f (Inl (K x)) = x
f (Inr (Pair (Pair (I 1, K x), I r))) = x + 1 + r
```

To store the intermediate results of cata, we want the structure of the data to be hashed. This way we can easily compare if the data structure has changed over time, without completely recomputing the resulting digests. To do this, first a fix point is introduced which additionally stores the digest.

```
type Merkle f = Fix (f :*: K Digest)
```

Then to convert the fix point to a fix point containing the structural digest, the Merkelize class is introduced.

Using the new fix point with its structural digest, a new cata function can be defined which can store its intermediate values in a Map Digest a.

#### 4.1.1 Zipper

Describe the use of the Zipper and how the hashes are updated

## 4.2 HashMap vs Trie

Write a piece about the comparison of storing it in a HashMap or a Trie datastructure

Write about Hdiff and the use of Trie datastructure

## Generic Implementation

#### 5.1 Regular

Write about why Regular is chosen

Write about the implementation of Regular and what had to change compared to the prototype language

```
newtype K a r = K { unK :: a} -- Constant value
               = I { unI :: r } -- Recursive value
newtype I r
data <mark>U</mark> r
                = U
                                     -- Empty Constructor
data (f :+: g) r = L (f r) | R (g r) -- Alternatives
data (f : *: g) r = f r : *: g r
                                  -- Combine
             = C \{ unC :: fr \} -- Name of a constructor
data C c f r
               = S \{ unS :: fr \} -- Name of a record selector
merkle :: (Regular a, Hashable (PF a), Functor (PF a))
       => a -> Merkle (PF a)
merkle = In . merkleG . fmap merkle . from
cataSum :: Merkle (PF (Tree Int)) -> (Int, M.Map Digest Int)
cataSum = cataMerkle
  (\case
   L (C (K x))
    R (C (I 1 :*: K x :*: I r)) \rightarrow 1 + x + r
```

#### 5.2 Complexity

Describe for every function used the complexity and what leads to the complete complexity

### 5.3 Memory Strategies

Describe multiple memory strategies for keeping memory usage and execution time low

Write about paper selective memoization

#### 5.4 Pattern Synonyms

#### Explain Pattern Synonyms

# 6

# Experiments

## 6.1 Execution Time

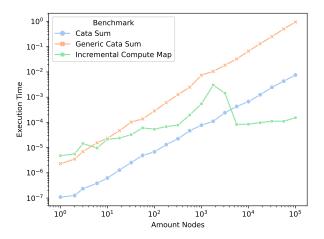


Figure 6.1: Overview execution time

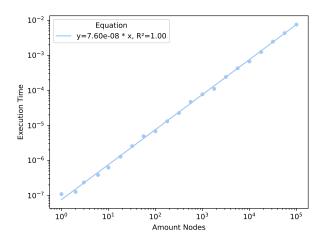


Figure 6.2: Execution time for Cata Sum

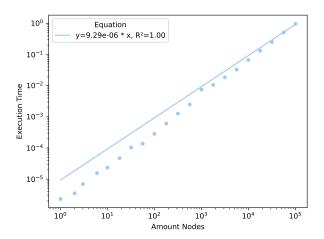


Figure 6.3: Execution time for Generic Cata Sum

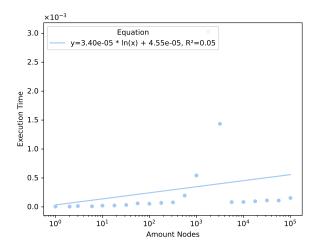


Figure 6.4: Execution time for Incremental Cata Sum

## 6.2 Memory Usage

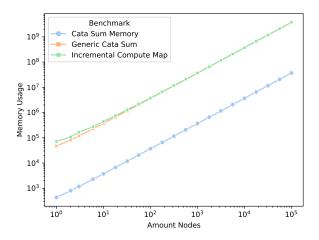


Figure 6.5: Overview memory usage

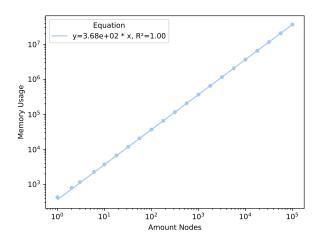


Figure 6.6: Memory usage for Cata Sum

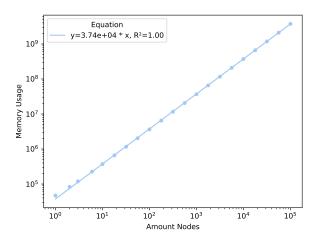


Figure 6.7: Memory usage for Generic Cata Sum

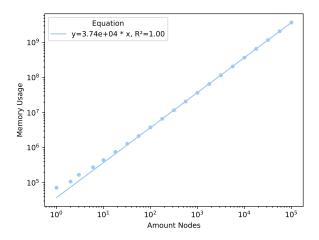


Figure 6.8: Memory usage for Incremental Cata Sum

## 6.3 Comparison Memory Strategies

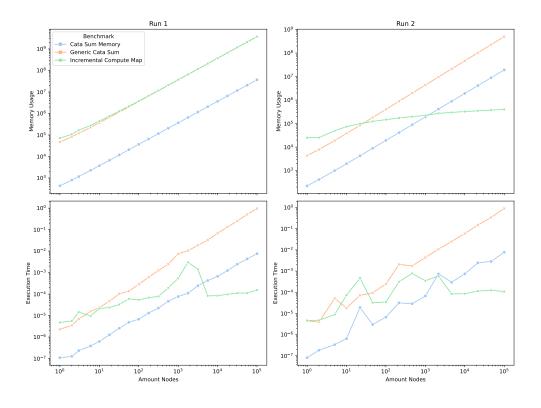


Figure 6.9: Comparison Memory Strategy

# 7

## Conclusion and Future Work

## 7.1 Conclusion



## Generic Programming

#### A.1 Instances Functor for Pattern Functors

```
instance Functor I where
  fmap f (I r) = I (f r)

instance Functor (K a) where
  fmap _ (K a) = K a

instance Functor U where
  fmap _ U = U

instance (Functor f, Functor g) => Functor (f :+: g) where
  fmap f (L x) = L (fmap f x)
  fmap f (R y) = R (fmap f y)

instance (Functor f, Functor g) => Functor (f :*: g) where
  fmap f (x :*: y) = fmap f x :*: fmap f y
```



## Implementation Memo Cata

#### **B.1** Implementation Hashable

```
class Hashable f where
  hash :: f (Fix (g :*: K Digest)) -> Digest
instance Hashable U where
 hash _ = digest "U"
instance (Show a) => Hashable (K a) where
  hash (K x) = digestConcat [digest "K", digest x]
instance Hashable I where
  hash (I x) = digestConcat [digest "I", getDigest x]
    where
      getDigest :: Fix (f :*: K Digest) -> Digest
      getDigest (In (_ :*: K h)) = h
instance (Hashable f, Hashable g) => Hashable (f :+: g) where
  hash (L x) = digestConcat [digest "L", hash x]
  hash (\mathbf{R} \ \mathbf{x}) = \text{digestConcat} [\text{digest "R"}, \text{hash } \mathbf{x}]
instance (Hashable f, Hashable g) => Hashable (f :*: g) where
 hash (x :*: y) = digestConcat [digest "P", hash x, hash y]
instance (Hashable f) => Hashable (C c f) where
  hash (C x) = digestConcat [digest "C", hash x]
```

#### **B.2** Implementation Merkle

#### **B.3** Implementation Cata Merkle

#### B.4 Implementation Zipper Merkle



# Regular

## C.1 Zipper

```
data instance Ctx (K a) r
data instance Ctx U r
data instance Ctx (f :+: g) r = CL (Ctx f r) | CR (Ctx g r)
data instance Ctx (f :*: g) r = C1 (Ctx f r) (g r) | C2 (f r) (Ctx g r)
data instance Ctx I r = CId
data instance Ctx (C c f) r = CC (Ctx f r)
data instance Ctx (S s f) r = CS (Ctx f r)
```

## Bibliography

- [1] Jeremy Gibbons. "Datatype-generic programming". In: International Spring School on Datatype-Generic Programming. Springer. 2006, pp. 1–71.
- [2] Gérard Huet. "The zipper". In: Journal of functional programming 7.5 (1997), pp. 549–554.
- [3] Jose Pedro Magalhaes. Generic programming library for regular datatypes. URL: https://hackage.haskell.org/package/regular (visited on Apr. 28, 2022).
- [4] Victor Cacciari Miraldo and Wouter Swierstra. "An efficient algorithm for type-safe structural diffing". In: *Proceedings of the ACM on Programming Languages* 3.ICFP (2019), pp. 1–29.
- [5] Jeff Preshing. Hash Collision Probabilities. 2011. URL: https://preshing.com/20110504/hash-collision-probabilities (visited on May 3, 2022).
- [6] Alexey Rodriguez et al. "Comparing libraries for generic programming in Haskell". In: *ACM Sigplan Notices* 44.2 (2008), pp. 111–122.
- [7] Edsko de Vries and Andres Löh. "True sums of products". In: *Proceedings of the 10th ACM SIGPLAN workshop on Generic programming*. 2014, pp. 83–94.