

Katholieke Universiteit Leuven

Department of Computer Science

# DOCUMENT PROCESSING

The complete architecture Software Architecture (H09B5a and H07Z9a) – Part 2b

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## 1 Introduction

The goal of this project was to develop an architecture for a system for document processing. This part of the project consisted of

## 2 Overview

### 2.1 Architectural decisions

Briefly discuss your architectural decisions for each non-functional requirement. Pay attention to the solutions that you employed (in your own terms or using tactics and/or patterns).

**ReqX:** requirement name Provide a brief discussion of the decisions related to ReqX. Employed tactics and patterns: List all patterns and tactics used to achieve ReqX, if any.

### 2.2 Discussion

Use this section to discuss your architecture in retrospect. For example, what are the strong points of your architecture? What are the weak points? Is there anything you would have done otherwise with your current experience? Are there any remarks about the architecture that you would give to your customers? Etc.

## 3 Attribute-driven design documentation

## 3.1 Decomposition 1: eDocs (X1, Y3, UCa, UCb, UCc)

## 3.1.1 Module to decompose

In the first run, the eDocs System is decomposed as a whole

### 3.1.2 Selected architectural drivers

The non-functional drivers for this decomposition are:

- *X1*: name
- *Y3*: name

The related functional drivers are:

- *UCa*: name
- $\bullet$  *UCb*: name
- UCc: name

Rationale A short discussion of why these drivers were selected for this decomposition.

### 3.1.3 Architectural design

**Topic** Discussion of the solution selected for (a part of) one of the architectural drivers.

## Alternatives considered

**Alternatives for solution** A discussion of the alternative solutions and why that were not selected.

### 3.1.4 Instantiation and allocation of functionality

**Decomposition** Main aspects of the resulting decomposition.

**ModuleB** Per introduced component a paragraph describing its responsibilities.

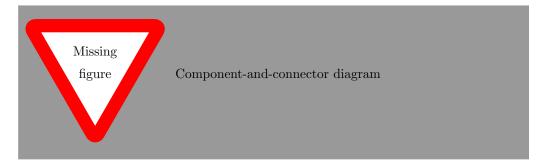


Figure 1: Component-and-connector diagram of this decomposition.

ModuleC Per introduced component a paragraph describing its responsibilities.

**Behaviour** If needed and explanation of the behaviour of certain aspects of the design so far.

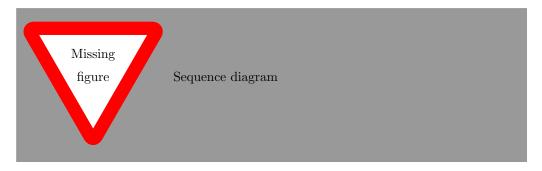


Figure 2: Sequence diagram illustrating a key behavioural aspect.

**Deployment** Rationale of the allocation of components to physical nodes.

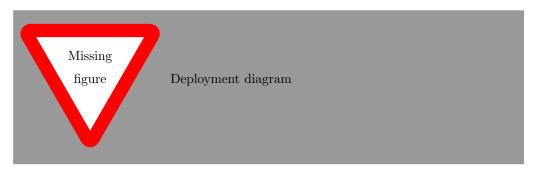


Figure 3: Deployment diagram of this decomposition.

## 3.1.5 Interfaces for child modules

## PDSDB

- $\bullet$  DocumentMgmt
  - void storeDocument(DocumentId id, Document doc, MetaData md)
    - \* Effect: The PDSDB will store the given documentdoc together with the provided metadata md.
    - \* Exceptions: None
  - Tuple<Document, MetaData> getDocument(DocumentId id)
    - \* Effect: Describe the effect of calling this operation.

\* Exceptions: None

- void markReceived(DocumentId id)

\* Effect: Describe the effect of calling this operation.

\* Exceptions: None

## 3.1.6 Data type definitions

Describe per complex data type used in the interfaces what it represents.

returnType This data element represents X.

ParamType This data element represents Y.

## 3.1.7 Verify and refine

This section describes per component which (parts of) the remaining requirements it is responsible for.

#### ModuleB

• *Z1*: name

• *UCd*: name

## ModuleC

• *UCba*: name

Description which part of the original use case is the responsibility of this component.

## 3.2 Decomposition 2: OtherFunctionality(P2, Av2b, UC12, UC13, UC14, UC15)

## 3.2.1 Module to decompose

In this run we decompose Otherfunctionality.

### 3.2.2 Selected architectural drivers

The non-functional driver for this decomposition is:

- P2: Document lookups
- Av2b: Temporary storage for PDSDB

The related functional drivers are:

- UC12: Consult personal document store
- $\bullet~UC13\colon {\it Search}$  documents in personal document store
- UC14: Consult document in personal document store
- $\bullet~UC15\colon$  Download document via unique link

Rationale P2 and Av2b were chosen because their priorities are amongst the highest ones of all remaining non-functional drivers and the domains on which their focus lies complement the previous decomposition perfectly.

### 3.2.3 Architectural design

Link mapping In order to support document lookups via links, which are either unique (unregistered recipient) or part of a notification e-mail (registered recipient), the UserFacade is concerned with the mapping of each incoming link request to the appropriate document, which is either located in the PDSDB (for registered recipients) or in the DocumentDB (for unregistered recipients). The mappings themselves are stored in the MappingDB component and are fetched by the UserFacade when needed.

Dedicated DocumentDB and LinkMappingDB for P2 Since a large number of document lookups and downloads should not affect the performance of other functionality of the system, both link mappings and documents are stored in dedicated databases, each deployed on a different node. This decision ensures that documents can be looked up via the personal document store or a notification in a timely fashion, because it prohibits either of those two components to be a bottleneck in the document lookup process. Since, according to the previous decomposition, the PDSDB is deployed on a separate node too, this component's performance is already satisfactory and no changes need to be made to improve it.

Sharding for DocumentDB for P2 In order to improve performance of the DocumentDB, we chose to partition this database into multiple shards. This approach was driven by the need for fast response time while keeping in mind the high storage cost for documents. More precisely, a ShardingManager is responsible for reading and writing all documents in one of the DocumentDBShards, making sure that every document is stored only once. This sharding technique provides roughly the same advantages in response time as active replication, but is significantly less costly when it comes to storage capacity. Note that there must also be a (sub)component monitoring all requests to the shards, in order to throttle excessive requests when necessary. Since this is a rather simple task and the (sub)component must be aware of the details concerning the sharding architecture to efficiently fulfil its purpose, this functionality is delegated to the ShardingManager itself. Finally, this ShardingManager is also responsible for implicitly pinging all DocumentDBShards upon reading and writing in them.

DocumentStorageCache for Av2b This component temporarily stores the IDs of all generated documents that are to be delivered through the personal document store during downtime of the PDSDB component up to a maximum of 3 hours. When the latter component turns operational again, the DocumentStorageManager retrieves all documents and their corresponding meta data from the DocumentDB using the previously mentioned IDs and subsequently stores them in the PDSDB. Note that the recipient therefore perceives a maximum total downtime of 3 hours plus the time needed for the DocumentStorageManager to transfer all documents and meta data that the cached IDs refer to.

Note that the DocumentDB and the PDSDB store documents in exactly the same way, both including the document itself, its meta data and its ID, although there is no need for the meta data in the former database. This storage tactic ensures that the DocumentStorageManager does not have to fetch or convert any information when transferring documents between both databases, making the transfer as efficient as possible. This does not introduce any overhead to the storage system, since the meta data is but a fraction of the document data. Finally, we would like to stress that the DocumentStorageManager implicitly pings the PDSDB when storing document data in it. The echo message then, in turn, consists of the write confirmation that is subsequently received. If one of those writes should fail, all subsequent writes are converted into document ID writes to the aforementioned cache. Upon revival of the PDSDB, this database will send a single heartbeat to the DocumentStorageManager, causing this component to begin transferring the missing document data. Once all cached document IDs are processed, subsequent writes to the PDSDB will no longer be redirected through the DocumentStorageCache.

UserFacade We introduce this component to be able to distinguish between registered recipients and unregistered recipients in the first steps of the document lookup process. The UserFacade also maps incoming links to documents that are located either in the PDSDB or in the DocumentDB by first fetching the correct mapping from the LinkMappingDB mentioned above. Another responsibility of the UserFacade is marking documents as received, since this is the last internal component through which the document is passed before the requesting recipient actually receives it and failure of another component in the document lookup pipeline can no longer prevent this from happening.

**PDSFacade** This component handles all read requests that are intended for the personal document store. This extra level of indirection calculates and aggregates all intermediate query results as to relieve the PDSDB

of this burden, which is also the reason why both components are best deployed on different nodes. Upon querying the personal document store, this component first collects the recipient's documents' meta data and subsequently performs queries on this collection. This approach introduces no significant overhead, because only those documents that are needed, will be fetched in their entirety. It is also the responsibility of the PDSFacade to check the recipient's ID against the recipient ID that can be retrieved from the document meta data and to only pass on those documents for which they are a match.

**DocumentStorageManager** The storage of documents is handled by the DocumentStorageManager, which receives generated documents from the intermediary OtherFunctionality2 component and stores them in either the DocumentDB (for unregistered recipients) or in both the DocumentDB and the PDSDB (for registered recipients) according to the method that is invoked on it. The necessity for this component follows from the fact that synchronisation is needed between the two storage components mentioned above. Note that the PDSDocMgmt interface in the PDSDB component now requires an extra method to save documents.

### Alternatives considered

Alternative for DocumentStorageCache We could just as well do without the previously introduced DocumentStorageCache by letting the DocumentStorageManager actively look for documents in the DocumentDB that are not yet, but should be, present in the PDSDB upon revival of the PDSDB. A clear advantage of this approach is that the downtime of the PDSDB component is no longer restricted by the aforementioned 3-hour cache. An important disadvantage, however, lies in the fact that the DocumentStorageManager is burdened with a significant amount of extra work and will require more expensive hardware to cope with this. Since the support for a longer downtime of the PDSDB is out of scope, this approach was not chosen.

## 3.2.4 Instantiation and allocation of functionality

**Decomposition** Figure 4 shows the components resulting from the decomposition in this run. Extra attention is required concerning the DocumentDBShard. This component actually represents multiple instances, each containing a different partition of the document data to accommodate for parallel lookups in different partitions while minimizing storage costs. The responsibilities of the resulting components are as follows:

**DocumentDB** Responsible for storing all generated documents.

ShardingManager Responsible for managing all reads and writes to the DocumentDBShards.

**DocumentDBShard** Responsible for storing a partition of the documents in the DocumentDB

LinkMappingDB Responsible for storing the mappings between links and document locations.

UserFacade We introduce this component to be able to distinguish between registered recipients and unregistered recipients in the first steps of the document lookup process. The UserFacade also maps incoming links to documents that are located either in the PDSDB or in the DocumentDB by first fetching the correct mapping from the LinkMappingDB mentioned above. Another responsibility of the UserFacade is marking documents as received, since this is the last internal component through which the document is passed before the requesting recipient actually receives it and failure of another component in the document lookup pipeline can no longer prevent this from happening.

**PDSFacade** This component handles all read requests that are intended for the personal document store. This extra level of indirection calculates and aggregates all intermediate query results as to relieve the PDSDB of this burden, which is also the reason why both components are best deployed on different nodes. Upon querying the personal document store, this component first collects the recipient's documents' meta data and subsequently performs queries on this collection. This approach introduces no significant overhead, because only those documents that are needed, will be fetched in their entirety. It is also the responsibility of the PDSFacade to check the recipient's ID against the recipient ID that can be retrieved from the document meta data and to only pass on those documents for which they are a match.

**DocumentStorageManager** The storage of documents is handled by the DocumentStorageManager, which receives generated documents from the intermediary OtherFunctionality2 component and stores them in either the DocumentDB (for unregistered recipients) or in both the DocumentDB and the PDSDB (for registered recipients) according to the method that is invoked on it. The necessity for this component follows from the fact that synchronisation is needed between the two storage components mentioned above. Note that the PDSDocMgmt interface in the PDSDB component now requires an extra method to save documents.

**DocumentStorageCache** Responsible for temporarily storing the IDs of all generated documents that are to be delivered through the personal document store during downtime of the PDSDB component.

**OtherFunctionality2** Encapsulates requirements that are not tackled in this run and cannot be assigned to other introduced components.

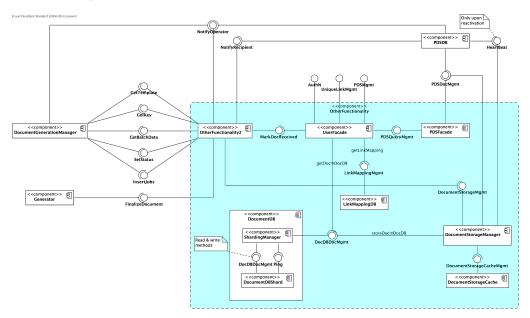


Figure 4: Component-and-connector diagram of the second decomposition.

Behaviour If needed and explanation of the behaviour of certain aspects of the design so far.

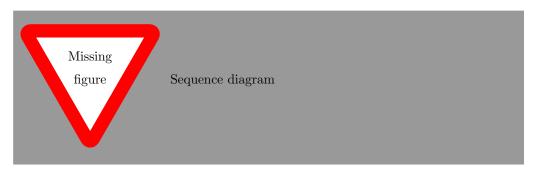


Figure 5: Sequence diagram illustrating a key behavioural aspect.

**Deployment** Rationale of the allocation of components to physical nodes.

### 3.2.5 Interfaces for child modules

## UserFacade

The UserFacade provides three interfaces to the recipient: AuthN for authentication, UniqueLinkMgmt for document lookups via unique links and PDSDBMgmt for access to the personal document store. Links to

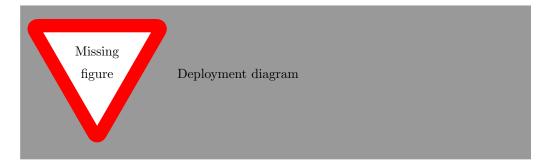


Figure 6: Deployment diagram of this decomposition.

documents in the PDSDB that are part of a notification e-mail are therefore handled by the latter, in which case the UserFacade also needs to perform a check to see if the requesting recipient is registered in the eDocs system.

- InterfaceA
  - returnType operation1(ParamType param1) throws TypeOfException
    - \* Effect: Describe the effect of calling this operation.
    - \* Exceptions:
      - · TypeOfException: Describe when this exception is thrown.
  - returnType operation2()
    - \* Effect: Describe the effect of calling this operation.
    - \* Exceptions: None

### 3.2.6 Data type definitions

Describe per complex data type used in the interfaces what it represents.

returnType This data element represents X.

ParamType This data element represents Y.

### 3.2.7 Verify and refine

This section describes per component which (parts of) the remaining requirements it is responsible for. Residual Av2b2: TIJDIGE notification AAN DE USER

USE CASE 12: residual drivers: het opslaan van de recipient id's in de pdsdb -i hoort bij deliveryfunctionality. -i voorlopig verondersteld dat recipientID in meta data zit die samen met doc opgeslagen wordt in zowel docDB als PDS Ook het inloggen (authenticatie). USE CASE 13: residual drivers: we veronderstellen dat de DocumentStorageManager metadata bij elk document opslaat, like the name of the sender, the data range in which the document should be received and the document type -i hoort bij deliveryfunctionality. The metadata is saved in both the pdsdb en database components, because when a user registers, this metadata has to be copied form the one to the other. Dit is om gemakkelijk de documenten in de pdsdb te kunnen zoeken. USE CASE 14: residual driver: mark as received, puntje 3. -i beter te doen bij de deliveryfunctionality USE CASE 15: residual driver: tracking.

### ModuleB

• *Z1*: name

• *UCd*: name

## ModuleC

UCba: name
 Description which part of the original use case is the responsibility of this component.

## 3.3 Decomposition 3: UserFacade (M1, UC1, UC2, UC3)

NIET VERWIJDEREN WANT LINKMANAGER STAAT HIER AL ERGENS TUSSEN

## 3.3.1 Module to decompose

In this run we decompose ModuleA.

### 3.3.2 Selected architectural drivers

The non-functional drivers for this decomposition are:

• X1: name

• *Y3*: name

The related functional drivers are:

• *UCa*: name

• *UCb*: name

• *UCc*: name

Rationale A short discussion of why these drivers were selected for this decomposition.

### 3.3.3 Architectural design

**Topic** Discussion of the solution selected for (a part of) one of the architectural drivers.

## LinkManager VOORLOPIG NIET NODIG IN DEZE DECOMPOSITIE

Motivatie voor LinkManager: Checks expiration date ALS DAT NODIG IS—¿ reason: links naar de pdsdb vervallen niet (zolang de gebruik geregistreerd is) LinkManager maps link to (document ID, place where the document is stored)-pairs—¿ REASON: the unique link has two possible sources: an e-mail to an unregistered recipient or an email to a registered recipient. For an unregistered recipient, the RecipientFacade must look with the documentid for the document in the documentDB. For a registered recipient, the RecipientFacade must look with the documentid for the document in the PDSDB. (Mogelijk een boolean ofzo)

Does NOT do mapping removal after x years -i, there has to be a notification when the link has expired

### Alternatives considered

Alternatives for solution A discussion of the alternative solutions and why that were not selected.

## 3.3.4 Instantiation and allocation of functionality

**Decomposition** Main aspects of the resulting decomposition.

ModuleB Per introduced component a paragraph describing its responsibilities.

ModuleC Per introduced component a paragraph describing its responsibilities.

**Behaviour** If needed and explanation of the behaviour of certain aspects of the design so far.

**Deployment** Rationale of the allocation of components to physical nodes.

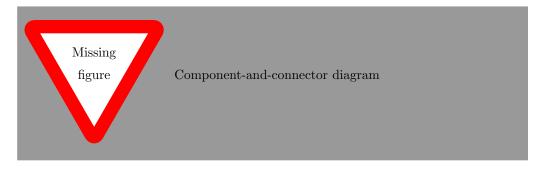


Figure 7: Component-and-connector diagram of this decomposition.

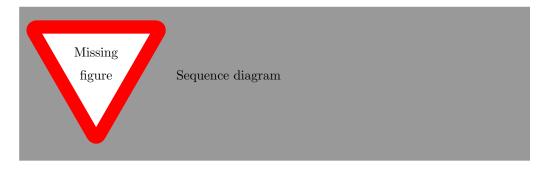


Figure 8: Sequence diagram illustrating a key behavioural aspect.

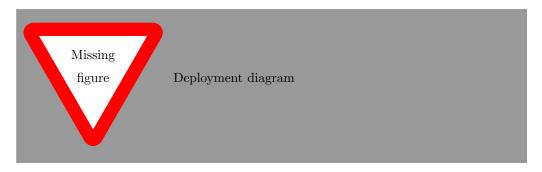


Figure 9: Deployment diagram of this decomposition.

### 3.3.5 Interfaces for child modules

### ModuleB

- InterfaceA
  - returnType operation1(ParamType param1) throws TypeOfException
    - \* Effect: Describe the effect of calling this operation.
    - \* Exceptions:
      - · TypeOfException: Describe when this exception is thrown.
  - returnType operation2()
    - \* Effect: Describe the effect of calling this operation.
    - \* Exceptions: None

## 3.3.6 Data type definitions

Describe per complex data type used in the interfaces what it represents.

returnType This data element represents X.

ParamType This data element represents Y.

## 3.3.7 Verify and refine

This section describes per component which (parts of) the remaining requirements it is responsible for.

### ModuleB

• *Z1*: name

• *UCd*: name

## ModuleC

• *UCba*: name

Description which part of the original use case is the responsibility of this component.

# 4 Client-server view (UML Component diagram)

The context diagram of the client-server view. Discuss which components communicate with external components and what these external components represent.

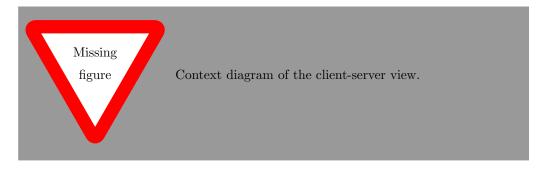


Figure 10: Context diagram for the client-server view.

The primary diagram and accompanying explanation.

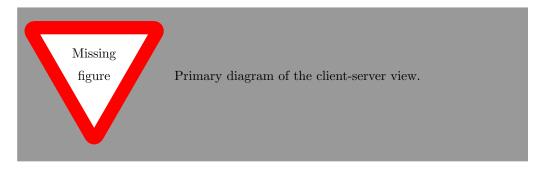


Figure 11: Primary diagram of the client-server view.

### 4.1 Main architectural decisions

Discuss your architectural decisions for the most important requirements in more detail using the components of the client-server view. Pay attention to the solutions that you employed and the alternatives that you considered. The explanation here must be self-contained and complete. Imagine you had to describe how the architecture supports the core functionality to someone that is looking at the client-server view only. Hide unnecessary details (these should be shown in the decomposition view).

## 4.1.1 ReqX: requirement name

Describe the design choices related to ReqX together with the rationale of why these choices where made.

### Alternatives considered

Alternative(s) for choice 1 Explain what alternative(s) you considered for this design choice and why they where not selected.

## 5 Decomposition view (UML Component diagram)

Discuss the decompositions of the components of the client-server view which you have further decomposed.

## 5.1 ComponentX

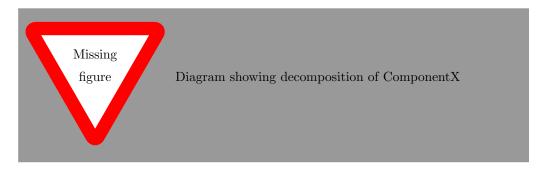


Figure 12: Decomposition of ComponentX

Describe the decomposition of ComponentX and how this relates to the requirements.

# 6 Deployment view (UML Deployment diagram)

Describe the context diagram for the deployment view. For example, which protocols are used for communication with external systems and why?

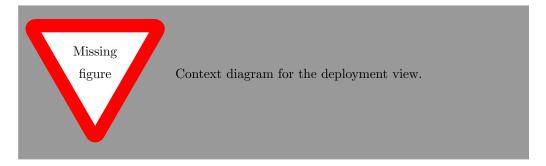


Figure 13: Context diagram for the deployment view.

The primary deployment diagram itself and accompanying explanation. Pay attention to the parts of the deployment diagram which are crucial for achieving certain non-functional requirements. Also discuss any alternative deployments that you considered.

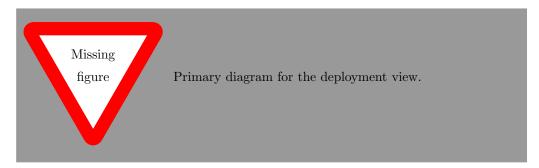


Figure 14: Primary diagram for the deployment view.

## 7 Scenarios

Illustrate how your architecture fulfills the most important data flows. As a rule of thumb, focus on the scenario of the domain description. Describe the scenario in terms of architectural components using UML Sequence diagrams and further explain the most important interactions in text. Illustrating the scenarios serves as a quick validation of the completeness of your architecture. If you notice at this point that for some reason, certain functionality or qualities are not addressed sufficiently in your architecture, it suffices to document this, together with a rationale of why this is the case according to you. You do not have to further refine you architecture at this point.

### 7.1 Scenario 1

Shortly describe the scenario shown in this subsection. Show the complete scenario using one or more sequence diagrams.

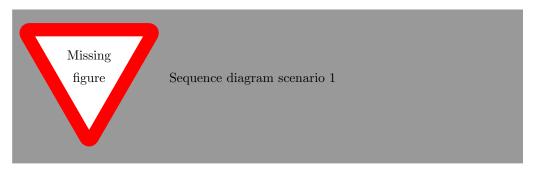


Figure 15: The system behavior for the first scenario.

## A Element catalog

List all components and describe their responsibilities and provided interfaces. Per interface, list all methods using a Java-like syntax and describe their effect and exceptions if any. List all elements and interfaces alphabetically for ease of navigation.

## A.1 Component 1

- **Description:** Responsibilities of the component.
- Super-component: The direct super-component, if any.
- Sub-components: the direct sub-components, if any.

### Provided interfaces

- InterfaceA
  - returntType1 operation1(ParamType param) throws SomeException
    - \* Effect: Describe the effect of the operation
    - \* Exceptions:
      - · SomeException: Describe when the exception is thrown.
    - \* void operation2(ParamType2 param)
      - · Effect: Describe the effect of the operation
      - · Exceptions: None
- InterfaceB
  - returntType2 operation3()
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None

## A.2 Completer

- **Description:** The Completer is responsible for fetching the raw data an applicable meta-data for a group of JobIds when a Generator instance requires a new group of jobs.
- Super-component: DocumentGenerationManager
- Sub-components: None

### Provided interfaces

- Complete
  - CompletePartialBatchData getComplete(BatchId batchId, List<JobId> jobIds )
    - \* Effect: The Completer fetches data needed by a Generator for generation of the documents corresponding to the JobIds belonging to the same batch, which is identified by BatchId.
    - \* Exceptions: None

## A.3 DocumentGenerationManager

- Description: The DocumentGenerationManager monitors the availability of the Generator components using the Ping interface. The DocumentGenerationManager keeps track of the jobs assigned to and being processed by the Generators. To minimize the overhead of the job coordination, the DocumentGenerationManager assigns jobs to the Generators in groups of more than one job that are part of the same batch. If a Generator fails to complete its jobs, the DocumentGenerationManager can restart these failed jobs.
  - It prioritizes jobs based on thei deadlines and schedules them according to P1.
- Super-component: None
- Sub-components: Completer, GenerationManager, KeyCache, Scheduler, TemplateCache

- InsertJobs
  - returntType1 operation1(ParamType param) throws SomeException
    - \* Effect: Describe the effect of the operation
    - \* Exceptions:
      - · SomeException: Describe when the exception is thrown.
    - \* void operation2(ParamType2 param)
      - · Effect: Describe the effect of the operation
      - · Exceptions: None
- $\bullet$  NotifyCompleted
  - void notifyCompletedAndGiveMeMore(GeneratorId id)
    - \* Effect: The DocumentGenerationManager gets notified that the document processing jobs assigned to the Generator identified by an id are completed.
    - \* Exceptions: None
  - void notifyCompletedAndIAmShuttingDown(GeneratorId id)
    - \* Effect: The DocumentGenerationManager gets notified that the document processing jobs assigned to the Generator identified by an id are completed.
    - \* Exceptions: None

## A.4 EmailFacade

- Description: The EmailFacade is responsible for sending documents to Unregistered by e-mail when receipt tracking is turned off. When receipt tracking is turned on for an Unregistered Recipient, it sends an e-mail containing a short description of the received document
- Super-component: DeliveryFunctionality
- Sub-components: None

## Provided interfaces

- InterfaceA
  - returntType1 operation1(ParamType param) throws SomeException
    - \* Effect: Describe the effect of the operation
    - \* Exceptions:
      - · SomeException: Describe when the exception is thrown.
    - \* void operation2(ParamType2 param)
      - · Effect: Describe the effect of the operation
      - · Exceptions: None
- InterfaceB
  - returntType2 operation3()
    - $\ast\,$  Effect: Describe the effect of the operation
    - \* Exceptions: None

## A.5 Generator

- Description: A Generator generates the documents and forwards them to OtherFunctionality to store and deliver them. Its availability is monitored by the DocumenGenerationManager with the Ping interface. Document processing jobs are assigned to
- Super-component: None
- Sub-components: None

- AssignJobs
  - void assignJobs(CompletePartialBatchData batchData)
    - \* Effect: Describe the effect of the operation
    - \* Exceptions:
      - · SomeException: Describe when the exception is thrown.
    - \* void operation2(ParamType2 param)
      - · Effect: Describe the effect of the operation
      - · Exceptions: None
- Startup/ShutDown
  - void startUp(GeneratorId generatorId)
    - \* Effect: Starts up the Generator instance an gives it the given GeneratorId.
    - \* Exceptions: None
  - void shutDown()
    - \* Effect: The Generator completes its assigned group of document generation jobs and report back completion to the DocumentGenerationManager, after which is shuts down.
    - \* Exceptions: None
- Ping
  - Echo ping()
    - \* Effect: The Generator will respond to the ping request by sending an echo response. This is used by the GeneratorManager to check whether the Generator is available.
    - \* Exceptions: None

## A.6 GeneratorManager

- Description: The GenerationManager is responsible for monitoring the Generator instances. It starts up or shuts down these instances based on the number of required instances indicated by the Scheduler.
- Super-component: DocumentGenerationManager
- Sub-components: None

## Provided interfaces

- NotifyCompleted
  - void notifyCompletedAndGiveMeMore(GeneratorId id)
    - \* Effect: The DocumentGenerationManager gets notified that the document processing jobs assigned to the Generator identified by an id are completed.
    - \* Exceptions: None

## A.7 KeyCache

- **Description:** The KeyCache caches the keys which are most recently used for document generation. The Completer has to fetch a key every time a Generator instance requests new jobs, while the key will be the same for all jobs belonging to the same batch. The KeyCache avoids that the key storage system becomes a bottleneck for document generations. The keys are cached based on the CustomerId of a Customer Organization.
- Super-component: DocumentGenerationManager.
- Sub-components: None

- GetKev
  - Key getKey(CustomerId customerId)
    - \* Effect: The KeyCache looks into its cache for the Key belonging to the customer organisation with id customerId. If the Key is in its cache, it returns it. If the Key is not in its cache, it asks OtherFunctionality for the Key and stores it in its cache, after which it returns that Key.
    - \* Exceptions: None

### A.8 PDSDB

- **Description:** The PDSDB component is responsible for storing the database of documents in the personal document stores. That database is separated from all other persistent data so that its failure "does not affect the availability of other types of persistent data", as required by Av2.
- Super-component: None
- Sub-components: PDSDBReplica, PDSLongTermDocumentManager, PDSReplicationManager

#### Provided interfaces

- DocumentMgmt
  - Tuple<Document, MetaData> getDocument(DocumentId id)
    - \* Effect: The PDSDB will fetch and return the document corresponding to DocumentId id.
    - \* Exceptions: None
  - List<Document> getAllDocumentMetaDataOf(RecipientId recipientId)
    - \* Effect: The PDSDB will fetch and return all the meta-data of the documents belonging to the Registered Recipient identified by recipientId.
    - \* Exceptions: None
  - void storeDocument(DocumentId id, Document doc, DocumentMetaData md)
    - \* Effect: The PDSDB will store the given documentdoc together with the provided meta-data md.
    - \* Exceptions: None
  - void storeDocuments(List<Tuple<DocumentId, Document, DocumentMetaData>> documentList)
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None

## A.9 PDSDBReplica

- Description: The PDSDBReplica is responsible for actually storing the documents.
- Super-component: PDSDB
- Sub-components: None

### Provided interfaces

- ExtendedDocumentMgmt
  - List<Document> getAllDocumentsOf(TimeStamp whenFailed)
    - \* Effect: Describe the effect of the operation
    - \* Exceptions:
      - · SomeException: Describe when the exception is thrown.
  - List<Document> getDocumentsSince(TimeStamp whenFailed)
    - \* Effect: Describe the effect of the operation
    - \* Exceptions:

- · SomeException: Describe when the exception is thrown.
- void storeDocuments(List<Tuple<DocumentId, Document, DocumentMetaData>> documentList)
  - \* Effect: Describe the effect of the operation
  - \* Exceptions: None
- $-\ \mathtt{void}\ \mathtt{storeDocument}(\mathtt{DocumentId}\ \mathtt{documentId},\ \mathtt{Document}\ \mathtt{document},\ \mathtt{DocumentMetaDate}\ \mathtt{documentMetaDate}$ 
  - \* Effect: The PDSDBReplica stores the given document with its textttDocumentId and meta-data.
  - \* Exceptions: None
- Tuple<Document, MetaData> getDocument(DocumentId id)
  - \* Effect: The PDSDB will fetch and return the document corresponding to DocumentId id.
  - \* Exceptions: None
- List<Document> getAllDocumentMetaDataOf(RecipientId recipientId)
  - \* Effect: The PDSDB will fetch and return all the meta-data of the documents belonging to the Registered Recipient identified by recipientId.
  - \* Exceptions: None
- void storeDocument(DocumentId id, Document doc, DocumentMetaData md)
  - \* Effect: The PDSDB will store the given documentdoc together with the provided meta-data md.
  - \* Exceptions: None
- void storeDocuments(List<Tuple<DocumentId, Document, DocumentMetaData>> documentList)
  - \* Effect: Describe the effect of the operation
  - \* Exceptions: None
- Ping
  - Echo ping()
    - \* Effect: The PDSDBReplica will respond to the ping request by sending an echo response. This is used by the PDSReplicationManager to check whether the PDSDBReplica is available.
    - \* Exceptions: None

## A.10 PDSLongTermDocumentManager

- **Description:** The PDSLongTermDocumentManager is responsible for managing the different storage clusters. Each cluster consists of a PDSReplicationManager and one or more PDSDBReplica instances. In the architecture, two clusters are defined. The PDSLongTermDocumentManager reads to and write from clusters, and periodically transfers documents from the one cluster to the other.
- Super-component: PDSDB
- Sub-components: None

### Provided interfaces

- $\bullet \ \, {\rm DocumentMgmt}$ 
  - Tuple<Document, MetaData> getDocument(DocumentId id)
    - \* Effect: The PDSDB will fetch and return the document corresponding to DocumentId id.
    - \* Exceptions: None
  - List<Document> getAllDocumentMetaDataOf(RecipientId recipientId)
    - \* Effect: The PDSDB will fetch and return all the meta-data of the documents belonging to the Registered Recipient identified by recipientId.
    - \* Exceptions: None
  - void storeDocument(DocumentId id, Document doc, DocumentMetaData md)
    - \* Effect: The PDSDB will store the given documentdoc together with the provided meta-data md.

- \* Exceptions: None
- void storeDocuments(List<Tuple<DocumentId, Document, DocumentMetaData>> documentList)

\* Effect: Describe the effect of the operation

\* Exceptions: None

## A.11 PDSReplicationManager

• Description: The PDSReplicationManager is responsible for managing the PDSDBReplicas. The PDSReplicationManager passes read requests to one PDSDBReplica and writes to all PDSDBReplicas. It monitors their availability using the ping/echo.

• Super-component: PDSDB

• Sub-components: None

### Provided interfaces

- ExtendedDocumentMgmt
  - Tuple<Document, MetaData> getDocument(DocumentId id)
    - \* Effect: The PDSDB will fetch and return the document corresponding to DocumentId id.
    - \* Exceptions: None
  - List<Document> getAllDocumentMetaDataOf(RecipientId recipientId)
    - \* Effect: The PDSDB will fetch and return all the meta-data of the documents belonging to the Registered Recipient identified by recipientId.
    - \* Exceptions: None
  - void storeDocument(DocumentId id, Document doc, DocumentMetaData md)
    - \* Effect: The PDSDB will store the given documentdoc together with the provided meta-data md.
    - \* Exceptions: None
  - void storeDocuments(List<Tuple<DocumentId, Document, DocumentMetaData>> documentList)
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None

### A.12 OtherFunctionality

- **Description:** Responsibilities of the component.
- Super-component: None
- **Sub-components:** the direct sub-components, if any.

## Provided interfaces

• FinalizeDocument

Note that the methods in this interface are made idempotent. The methods of this interface are called by Generator instances.

- void storeAndDeliverDocument(JobId jobid, Document doc)
  - \* Effect: The OtherFunctionality will store the given document document and deliver it. This method is made idempotent. To filer duplicate method calls, it has the JobId of the document as an argument. This idempotence is to account for the case when case when a Generator fails after forwarding the document and before reporting completion to the DocumentGenerationManager. In this case, it can be that the DocumentGenerationManager restarts jobs for which a document has already been stored or delivered.
  - \* Exceptions: None
  - \* void generationError(JobId jobid, Error error)
    - · Effect: Describe the effect of the operation

· Exceptions: None

#### • GetBatchData

- Tuple<JobId, RawData> getRawData(List<JobId> jobIds)
  - \* Effect: Describe the effect of the operation
  - \* Exceptions: None
- BatchMetaData getMetaData(BatchId batchId)
  - \* Effect: Describe the effect of the operation
  - \* Exceptions: None

## GetKey

- Key getKey(CustomerId customerId)
  - \* Effect: The OtherFunctionality returns the key belonging to the Customer Organization identified by customerId.
  - \* Exceptions: None

### • GetTemplate

- $\ {\tt Template} \ {\tt getTemplate} ({\tt CustomerId} \ {\tt customerId}, \ {\tt DocumentType} \ {\tt documentType}, \ {\tt TimeStamp} \ {\tt whenReceived} \ {\tt timeStamp} \ {\tt$ 
  - \* Effect: The OtherFunctionality returns the Template belonging to the customer organisation with id customerId corresponding to a document of type documentType and received at time whenReceived.
  - \* Exceptions: None

### • SetStatus

- void setJobStatusAsTemporarilyFailed(List<JobId> statusesOfJobs)
  - \* Effect: The OtherFunctionality stores the JobStatus as "temporarily failed" for each of the jobs identified by the given JobIds. Used by the DocumentGenerationManager for jobs that where assigned to a failed Generator instance.
  - \* Exceptions: None
- NotifyOperator
  - void notifyOperatorOfPDSDBReplicaFailure(PDSDBReplicaId replicaId, TimeStamp dateTime)
    - \* Effect: The OtherFunctionality will send the given PDSDBReplicald of the failed PDSDBReplica with the given time of failure dateTime to the eDocs operators. This method is called by a PDSReplicationManager.
    - \* Exceptions: None
  - void notifyOperatorOfDocumentGenerationFailure(NotificationMessage msg, TimeStamp whenFailed)
    - \* Effect: The OtherFunctionality will send a textual message msg to the eDocs operators, which contains further information about the specific failure. This method is called by the DocumentGenerationManager. More specifically, it is called by the GeneratorManager.
    - \* Exceptions: None

### A.13 Scheduler

• **Description:** The **Scheduler** receives the new jobs initiated by a Customer Organization and adds them to a queue of all jobs that have not been processed yet. To lower the size of this queue, the Scheduler is only given the information it needs, i.e., the id of the batch, its deadline and the ids of the individual jobs. The raw data of each job and the meta-data of the batch is stored in **OtherFunctionality** and fetched by the **Completer** when needed.

The Scheduler also indicates to the GenerationManager the number of required Generator instances through its GetStatistics interface.

- Super-component: DocumentGenerationManager
- Sub-components: None

- GetNextJobs
  - Tuple<BatchId, List<JobId>> getNextJobs()
    - \* Effect: The Scheduler returns the JobIds of the group of jobs that belong to the batch identified by BatchId that should be generated next. This method is called by the GeneratorManager when a Generator instance requires a new group of jobs.
    - \* Exceptions: None
    - \* Tuple<BatchId, List<JobId>> jobsCompletedAndGiveMeMore(List<JobId>)
      - · Effect: The Scheduler gets notified that the document processing jobs belonging to the list of JobIds are completed. It returns the a list of JobIds belonging to a batch identified by BatchId. The returned list of JobIds identify document processing jobs which are not vet started.
      - · Exceptions: None
- InsertJobs
  - void insertJobs(BatchId batchId, List<JobId> jobIds )
    - \* Effect: The Scheduler adds the jobs identified by their JobId to its queue of all jobs that have not been processed yet. To lower the size of this queue, the Scheduler is only given the information it needs, i.e., the id of the batch, its deadline and the ids of the individual jobs. This method provides new jobs synchronously to the Scheduler, which it schedules synchronously. This means that when the method call returns, the given jobs are scheduled.
    - \* Exceptions: None
- GetStatistics
  - int getNumberOfFutureJobs()
    - \* Effect: The Scheduler returns the amount of documents that should be generated in the near future. The GeneratorManager queries this method at regular intervals and adjusts the number of Generator instances accordingly.
    - \* Exceptions: None

## A.14 TemplateCache

- Description: The TemplateCache caches the templates which are most recently used for document generation. The Completer has to fetch a templateevery time a Generator instance requests new jobs, while the template will be the same for all jobs belonging to the same batch. The TemplateCache avoids that the template storage system becomes a bottleneck for document generations. The templates are cached based on the CustomerId of a Customer Organization, the type of the document and the date and time at which the batch was provided by the Customer Organization (in order to account for template updates).
- Super-component: DocumentGenerationManager
- Sub-components: None

## Provided interfaces

- GetTemplate
  - Template getTemplate(CustomerId customerId, DocumentType documentType, TimeStamp whenReceived
    - \* Effect: The TemplateCache looks into its cache for the Template belonging to the customer organisation with id customerId corresponding to a document of type documentType and received at time whenReceived. If the Template is in its cache, it returns it. If the Template is not in its cache, it asks OtherFunctionality for the Template and stores it in its cache, after which it returns that Template.
    - \* Exceptions: None

### A.15 ZoomitFacade

- **Description:** The **ZoomitFacade** is responsible for sending documents to Unregistered Recipients through Zoomit.
- Super-component: DeliveryFunctionality
- Sub-components: None

#### Provided interfaces

- InterfaceA
  - returntType1 operation1(ParamType param) throws SomeException
    - \* Effect: Describe the effect of the operation
    - \* Exceptions:
      - · SomeException: Describe when the exception is thrown.
    - \* void operation2(ParamType2 param)
      - · Effect: Describe the effect of the operation
      - · Exceptions: None
- InterfaceB
  - returntType2 operation3()
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None

## B Defined data types

List and describe all data types defined in your interface specifications. List them alphabetically for ease of navigation.

- BatchId: A piece of data uniquely identifying a batch of document processing jobs in the system. This architecture does not specify the exact format of this identifier, but possibilites are a long integer, a string, a URL etc.
- BatchMetaData: A data structure listing the metadata belonging to a batch of jobs. This includes the CustomerID of a Customer Organization, the DocumentType of the documents to be generated, the TimeStamp of when the batch was received, ...
- CompletePartialBatchData: A complex data structure listing all data a Generator needs to complete document generation jobs that are part of the same batch. It contains an array of Tuple<JobId, RawData>. The JobIds identify jobs that are all part of the same batch. The RawData belongs to these document processing jobs. Also listed in the BatchMetaData are the values of the BatchMetaData, Key and Template data types belonging to the batch. CompletePartialBatchData also contains a BatchMetaData entry, a Key and a Template. Important to note: a value of CompletePartialBatchData contains all information necessary to generate some jobs of belonging to same batch. It does not have to contain the information of all jobs belonging to same batch.
- CustomerId: A piece of data uniquely identifying a Customer Organization in the system. This architecture does not specify the exact format of this identifier, but possibilites are a long integer, a string, a URL etc.
- Document: A data file corresponding to a document. The architecture specifies the format of this data type as a PDF-file. This architecture does not specify the exact format of this identifier, but possibilities are a long integer, a string, a URL etc.
- DocumentId: A piece of data uniquely identifying a document in the system.
- DocumentType: A piece of data describing the type of a document. This architecture does not specify the exact format of this data type, but possibilities are a long integer, a string, a URL etc.

- Echo: The response to a ping message. This data element does not contain any meaningful data.
- Error: Description of data type.
- GeneratordId: A piece of data uniquely identifying a Generator in the system. This architecture does not specify the exact format of this identifier, but possibilities are a long integer, a string, a URL etc.
- JobBatch: Description of data type.
- JobId: A piece of data uniquely identifying a document processing job in the system.
- Key: A data structure containing the key of the Customer Organization which is used to sign its documents during the generation process. This architecture does not specify the exact format of this data type, but possibilities are a long integer, a string, a URL etc.
- NotificationMessage: A textual message which can be used to include extra information about the event of the notification.
- PDSDBReplicaId: A piece of data uniquely identifying a PDSDBReplica in the system. This architecture does not specify the exact format of this identifier, but possibilites are a long integer, a string, a URL etc.
- RawData: A data structure listing the raw data used in a document processing job.
- RecipientId: A piece of data uniquely identifying a Registered Recipient in the system. This architecture does not specify the exact format of this identifier, but possibilites are a long integer, a string, a URL etc.
- TimeStamp: The representation of a time (i.e. date and time of day) in the system.
- Template: A document used as a template for the generation of documents.
- TemplateId: A data structure uniquely identifying a template in the system. It lists three values. It contains CustomerId which identifies the Customer Organization who the template belongs to. It also contains a DocumentType, specifying for which kind of document it is a template for. The last piece of information it contains is a TimeStamp specifying when the system received the template.