

Katholieke Universiteit Leuven

Department of Computer Science

# DOCUMENT PROCESSING

The complete architecture Software Architecture (H09B5a and H07Z9a) – Part 2b

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## 1 Introduction

The goal of this project was to design a document processing system. In this document, we describe the final architecture, which was designed based on the requirements from the domain analysis and the priorities of these requirements given by our stakeholders. The provided initial architecture was used as a starting point to achieve this. Section 2 lists the architectural decisions for all non-functional requirements and discusses the final architecture. Section 3 provides and discusses the main context and decomposition diagrams of our architecture (i.e. the context and primary diagram of the component-and-connector view) along with a discussion of the main architectural decisions involved. Section 4 provides and discusses the more fine-grained decompositions of some of the major components in the main decomposition. Section 5 provides and discusses the deployment of the components of the component-and-connector view on physical nodes. Finally, Section 6 illustrates how our architecture accomplishes the most important functionality and data flows using sequence diagrams. Afterwards, Appendix A lists and describes all components of the component-and-connector view and their interfaces and Appendix B lists and describes the data types used in these interfaces.

## 2 Overview

This section gives a high-level but complete overview of the system: it lists the design decisions for all non-functional requirements and provides a discussion concerning the strong and weak points of the architecture.

## 2.1 Architectural decisions

In this section, we give an overview of the architectural decisions made in our architecture in order to achieve the requirements given in the domain analysis and the residual drivers given in the provided initial architecture. However, we will not repeat any decisions that were already documented in this provided initial architecture as they can be found there.

Av1a & Av2a: Notifying the appropriate operator within 1 minute Av1a and Av2a require the system to notify the an eDocs operator within 1 minute. To achieve this, the NotificationHandler forwards all incoming operator notifications to the EDocsAdminFacade, which in turn delivers these to the external EDocsAdminFacade. To ensure that notifications get sent to the eDocs operator in a timely fashion, both the EDocsAdminFacade and the NotificationHandler are deployed on the same node, which is separated from all other nodes to increase performance. For more details, we refer to Section 3.1.1 and Section 5.

Av1b: Storing the status of an individual job Av1b requires the system to store the status of an individual job. To achieve this, the JobManager accepts all read and write requests regarding the storage of job data, including status information. For more details, we refer to Section 3.1.2 and Section 4.5.

Av2b: Temporarily storing documents that should be delivered via the personal document store for at least 3 hours in case the PDSDB is unavailable and providing a clear message to users in order to fail gracefully Av2b requires (1) documents that should be delivered via the personal document store to be cached for at least 3 hours in case of unavailability and (2) providing a clear message to the recipient in this case. To achieve this, we introduced the DocumentStorageCache: this component temporarily stores the DocumentIds and corresponding UserIds of all generated documents that are to be delivered to the PDSDB during downtime of the latter component up to a maximum of 3 hours. When the PDSDB turns operational again, it notifies the DocumentStorageManager with a sign of life, which in turn retrieves all documents and their corresponding meta data from the DocumentDB using the previously mentioned ids and subsequently stores them in the PDSDB after conversion of the DocumentMetaData.

Note that the user therefore perceives a maximum total downtime of 3 hours plus the time needed for the DocumentStorageManager to transfer all documents and meta data that the cached ids refer to. During this time, the

Finally, we would like to stress that the DocumentStorageManager implicitly pings the PDSDB when storing document data in it. The echo message then, in turn, consists of the write confirmation that is subsequently received. If one of those writes should fail, all subsequent writes are internally converted into DocumentId and UserId writes to the aforementioned cache. Once the PDSDB is operational again and all cached ids are processed, subsequent writes to the PDSDB will no longer be redirected through the DocumentStorageCache. For more details, we refer to Section 4.1.2, Section 5.4 and Section 6.

Av3: Zoomit failure

## P2: Document lookups

Sharding for DocumentDB for P2 In order to improve performance of the DocumentDB, we chose to partition this database into multiple shards. This approach was driven by the need for fast response time while keeping in mind the high storage cost for documents. More precisely, a ShardingManager is responsible for reading and writing all documents in one of the DocumentDBShards, making sure that every document is stored only once. This sharding technique provides roughly the same advantages in response time as active replication, but is significantly less costly when it comes to storage capacity. Note that there must also be a (sub)component monitoring all requests to the shards, in order to throttle excessive requests when necessary. Since this is a rather simple task and the (sub)component must be aware of the details concerning the sharding architecture to efficiently fulfil its purpose, this functionality is delegated to the ShardingManager itself. Finally, this ShardingManager is also responsible for implicitly pinging all DocumentDBShards upon reading and writing in them.

**Dedicated DocumentDB and JobDB** Since a large number of document lookups and downloads should not affect the performance of other functionality of the system, both link mappings and documents are stored in dedicated databases, each deployed on a different node. This decision ensures that documents can be looked up via the personal document store or a notification in a timely fashion, because it prohibits either of those two components to be a bottleneck in the document lookup process. Since, according to the previous decomposition, the PDSDB is deployed on a separate node too, this component's performance is already satisfactory and no changes need to be made to improve it.

P3: Status overview for customer administrators

M1: New type of document: bank statements

M2: Multiple print & postal services

M3: Dynamic selection of the cheapest of print & postal services

#### 2.2 Discussion

Use this section to discuss your architecture in retrospect. For example, what are the strong points of your architecture? What are the weak points? Is there anything you would have done otherwise with your current experience? Are there any remarks about the architecture that you would give to your customers? Etc.

Alternative for DocumentStorageCache We could just as well do without the previously introduced DocumentStorageCache by letting the DocumentStorageManager actively look for documents in the DocumentDB that are not yet, but should be, present in the PDSDB upon revival of the PDSDB. A clear advantage of this approach is that the downtime of the PDSDB component is no longer restricted by the aforementioned 3-hour cache. An important disadvantage, however, lies in the fact that the DocumentStorageManager is burdened with a significant amount of extra work and will require more expensive hardware to cope with this. Since the support for a longer downtime of the PDSDB is out of scope, this approach was not chosen.

# 3 Client-server view (UML Component diagram)

Context diagram The context diagram of the component-and-connector view is given in Figure 1. As shown, six distinct types of external components communicate with the system: (i) the EDocsAdminClient represents a client device of an administrator of eDocs that communicates with the eDocs System, (ii) the CustomerOrganizationClient represents a client device of a Customer Organization (i.e. Customer Administrator and Customer Information System) that communicates with the eDocs System, (iii) the RecipientClient represents a client device of an unregistered or registered recipient of eDocs that communicates with the eDocs System, (iv) the ZoomitChannel represents the servers of Zoomit to which documents can be sent for further delivery, (v) the Print&PostalServiceChannel represents the servers of a print & postal service

to which documents can be sent for further delivery, (vi) the EmailChannel represents a mail server of an e-mail provider to which documents can be sent for further delivery. Notice that each external interface on the client side is provided by a separate facade component and that each delivery channel interfaces with the DeliveryFunctionality component.

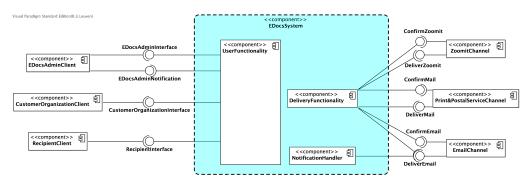


Figure 1: Context diagram for the client-server view.

**Primary diagram** The main component-and-connector view is shown in Figure 2. All components that were decomposed further are highlighted. The DeliveryFunctionality is further decomposed in Section 4.1, the DocumentDB in Section 4.2, the DocumentGenerationManager in Section 4.3, the DocumentStorageFunctionality in Section 4.4, the JobManager in Section 4.5, the LinkMappingFunctionality in Section 4.6, the PDSDB in Section 4.7 and the UserFunctionality in Section 4.8. The descriptions of all components in Figure 2, their sub-components and their interfaces are given in Appendix A.

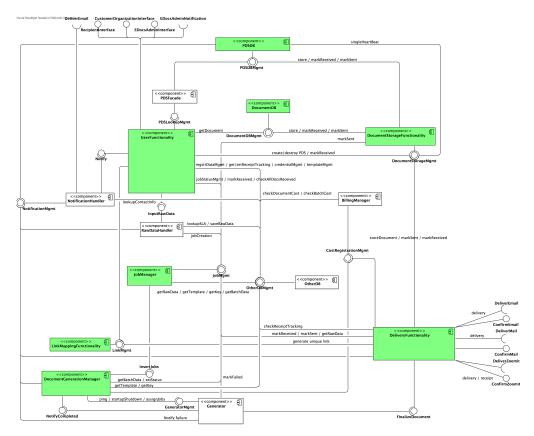


Figure 2: Primary diagram of the client-server view.

#### 3.1 Main architectural decisions

Discuss your architectural decisions for the most important requirements in more detail using the components of the client-server view. Pay attention to the solutions that you employed and the alternatives that you considered. The explanation here must be self-contained and complete. Imagine you had to describe how the architecture supports the core functionality to someone that is looking at the client-server view only. Hide unnecessary details (these should be shown in the decomposition view).

UserFacade We introduce this component to be able to distinguish between registered recipients and unregistered recipients in the first steps of the document lookup process. The UserFacade also maps incoming links to documents that are located either in the PDSDB or in the DocumentDB by first fetching the correct mapping from the LinkMappingDB mentioned above. Another responsibility of the UserFacade is marking documents as received, since this is the last internal component through which the document is passed before the requesting recipient actually receives it and failure of another component in the document lookup pipeline can no longer prevent this from happening.

PDSFacade This component handles all read requests that are intended for the personal document store. This extra level of indirection calculates and aggregates all intermediate query results as to relieve the PDSDB of this burden, which is also the reason why both components are best deployed on different nodes. Upon querying the personal document store, this component first collects the recipient's documents' meta data and subsequently performs queries on this collection. This approach introduces no significant overhead, because only those documents that are needed, will be fetched in their entirety. It is also the responsibility of the PDSFacade to check the recipient's ID against the recipient ID that can be retrieved from the document meta data and to only pass on those documents for which they are a match.

DocumentStorageManager The storage of documents is handled by the DocumentStorageManager, which receives generated documents from the intermediary OtherFunctionality2 component and stores them in either the DocumentDB (for unregistered recipients) or in both the DocumentDB and the PDSDB (for registered recipients) according to the method that is invoked on it. The necessity for this component follows from the fact that synchronisation is needed between the two storage components mentioned above. Note that the PDSDocMgmt interface in the PDSDB component now requires an extra method to save documents.

**LinkManager** Motivatie voor LinkManager: Checks expiration date ALS DAT NODIG IS—i reason: links naar de pdsdb vervallen niet (zolang de gebruik geregistreerd is) LinkManager maps link to (document ID, place where the document is stored)-pairs—i REASON: the unique link has two possible sources: an email to an unregistered recipient or an email to a registered recipient. For an unregistered recipient, the RecipientFacade must look with the documentid for the document in the documentDB. For a registered recipient, the RecipientFacade must look with the documentid for the document in the PDSDB. (Mogelijk een boolean ofzo) Does NOT do mapping removal after x years—i there has to be a notification when the link has expired

#### 3.1.1 Av1a & Av2a: Notifying the appropriate operator within 1 minute

Describe the design choices related to ReqX together with the rationale of why these choices where made.

#### Alternatives considered

Alternative(s) for choice 1 Explain what alternative(s) you considered for this design choice and why they where not selected.

- 3.1.2 Av1b: Storing the status of an individual job
- 3.1.3 Av2b: Temporarily storing documents that should be delivered via the personal document store for at least 3 hours in case the PDSDB is unavailable and providing a clear message to users in order to fail gracefully
- 3.1.4 Av3: Zoomit failure
- 3.1.5 P2: Document lookups
- 3.1.6 P3: Status overview for customer administrators
- 3.1.7 M1: New type of document: bank statements
- 3.1.8 M2: Multiple print & postal services
- 3.1.9 M3: Dynamic selection of the cheapest of print & postal services

## 4 Decomposition view (UML Component diagram)

Discuss the decompositions of the components of the client-server view which you have further decomposed.

## 4.1 DeliveryFunctionality

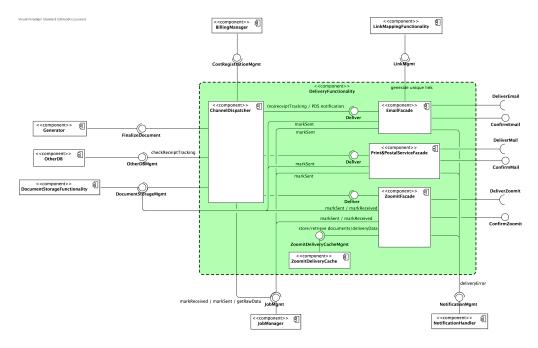


Figure 3: Decomposition of DeliveryFunctionality

Describe the decomposition of ComponentX and how this relates to the requirements.

#### 4.2 DocumentDB

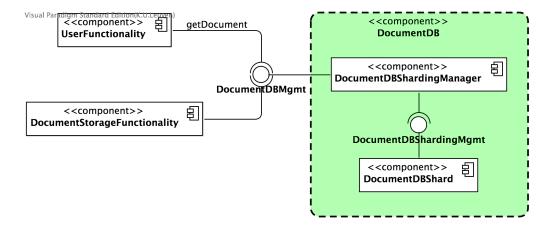


Figure 4: Decomposition of DocumentDB

## 4.3 DocumentGenerationManager

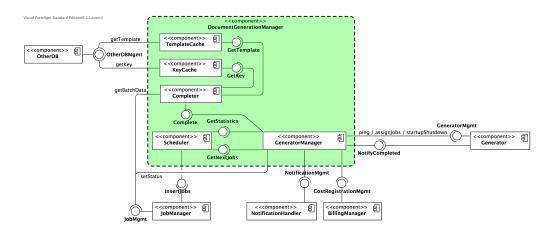


Figure 5: Decomposition of DocumentGenerationManager

## 4.4 DocumentStorageFunctionality

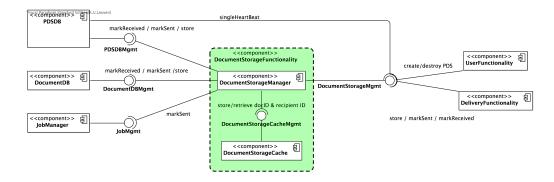


Figure 6: Decomposition of DocumentStorageFunctionality

## 4.5 JobManager

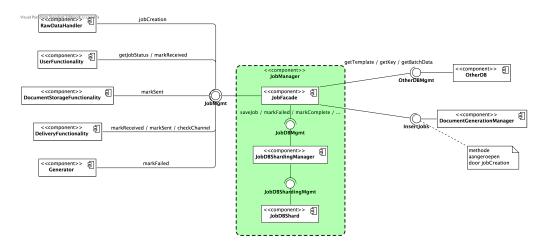


Figure 7: Decomposition of JobManager

## 4.6 LinkMappingFunctionality

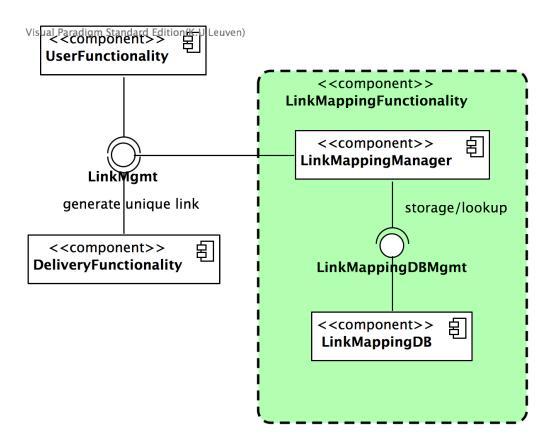


Figure 8: Decomposition of LinkMappingFunctionality

## **4.7** PDSDB

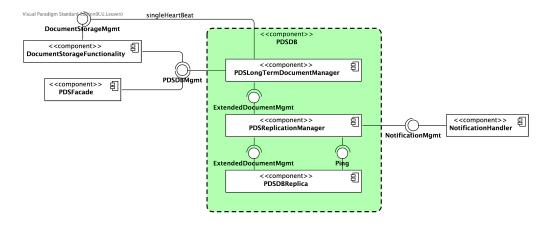


Figure 9: Decomposition of PDSDB

# 4.8 UserFunctionality

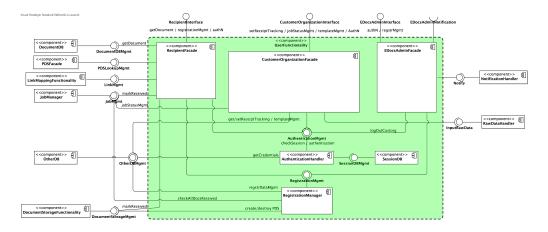


Figure 10: Decomposition of UserFunctionality

## 5 Deployment view (UML Deployment diagram)

Describe the context diagram for the deployment view. For example, which protocols are used for communication with external systems and why?

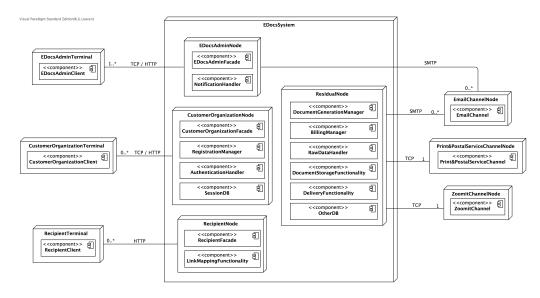


Figure 11: Context diagram for the deployment view.

The primary deployment diagram itself and accompanying explanation. Pay attention to the parts of the deployment diagram which are crucial for achieving certain non-functional requirements. Also discuss any alternative deployments that you considered.

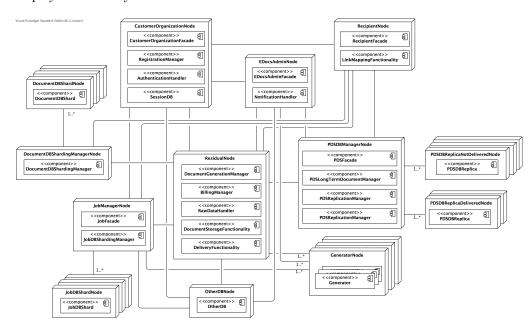


Figure 12: Primary diagram for the deployment view.

## 6 Scenarios

Illustrate how your architecture fulfills the most important data flows. As a rule of thumb, focus on the scenario of the domain description. Describe the scenario in terms of architectural components using UML Sequence diagrams and further explain the most important interactions in text. Illustrating the scenarios serves as a quick validation of the completeness of your architecture. If you notice at this point that for some reason, certain functionality or qualities are not addressed sufficiently in your architecture, it suffices to document this, together with a rationale of why this is the case according to you. You do not have to further refine you architecture at this point.

## 6.1 Scenario 1

Shortly describe the scenario shown in this subsection. Show the complete scenario using one or more sequence diagrams.

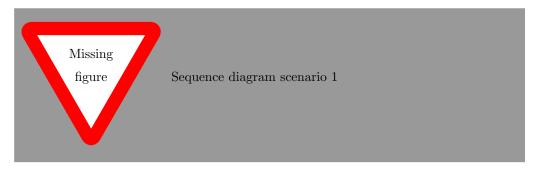


Figure 13: The system behavior for the first scenario.

## 6.2 A registered recipient logs in

Figure 14 depicts the sequence diagram of a Registered Recipient logging in, according to *UC1: Log in.* The Registered Recipient provides his details to the RecipientFacade. The Authentication compares these credentials to those stored in the OtherDB. if the given credentials match the stored credentials, a new session is opened in the SessionDB and the corresponding session identifier is returned to the registered recipient. Otherwise, an InvalidCredentialsException is thrown.

Note that the use case *UC1*: Log in also has the Customer Organization as a primary actor. The login procedure for customer organizations is almost identical to the procedure for registered recipient, which is why we do not provide a separate sequence diagram for this primary actor. Compared to figure 14, the Customer Organization sends it login request to the CustomerOrganizationFacade instead of the RecipientFacade. Also, another method call to OtherDB is used to ask for the credentials of a customer organization.

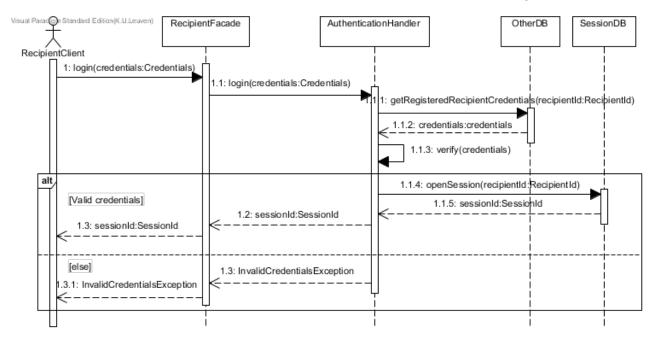


Figure 14: The login behaviour of a Registered Recipient.

## 6.3 A registered recipient logs out

Figure 15 shows the behaviour of a Registered Recipient logging out, according to *UC2: Log out*. The Registered Recipient provides his recipient id and session id to the RecipienntFacade. The AuthenticationHandler

first verifies the session (figure 15). If the session is valid, it logs out the Registered Recipient.

Note that the logout procedure for Customer Organizations is almost the same. The only difference is that the Customer Organization sends his logout request to the CustomerOrganizationFacade instead of the RecipientFacade. Because of this reason, we do not give a separate sequence diagram for the Customer Organization logging out.

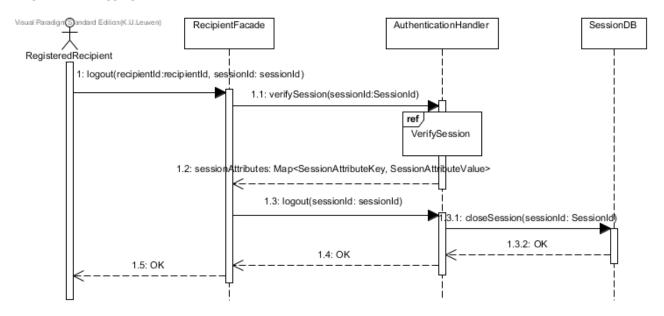


Figure 15: The system behavior for the first scenario.

#### 6.4 Updating a document template

Figure 16 shows the flow when a Customer Administrator updates the template used for generating documents of a given type. It corresponds to UC20: Update document template. It consists of two main parts. First, the customer administrator asks what the possible document types are that the customer organization is allowed to generate. The system verifies whether the session of the customer administrator is valid (as detailed in figure 17 and then returns a list of the allowed document types using the OtherDB. Together with the allowed document types, it returns the time when the currently stored templates corresponding to the document types were uploaded.

Secondly, the customer administrator provides the document type it wants to update and the new template to the CustomerOrganizationFacade. After verifying the validity of the session again (figure 17), the CustomerOrganization asks the OtherDB to check whether the document type provided by the customer organization is valid and allowed. If it is not allowed or invalid, an InvalidDocumentTypeException gets thrown. Otherwise, the CustomerOrganizationFacade stores the template in the OtherDB together with the time when it has received the template, the document type and the customer organization id.

### 6.5 Verifying a session

Figure 17 how it is verified whether a session is valid. The AuthenticationHandler uses the SessionDB to verify whether each incoming session identifier belongs to an existing session. If it belongs to an existing session, the corresponding session attributes are returned to the caller, otherwise an NoSuchSessionException is thrown.

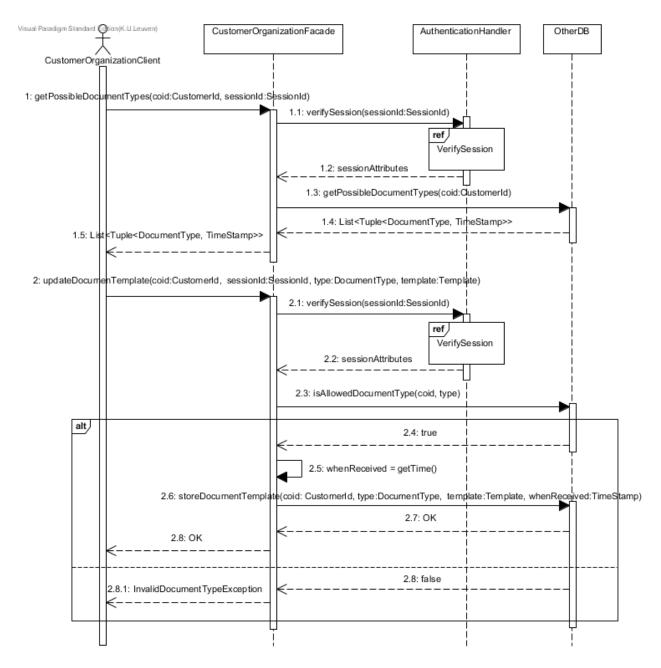


Figure 16: The system behavior for updating a document template.

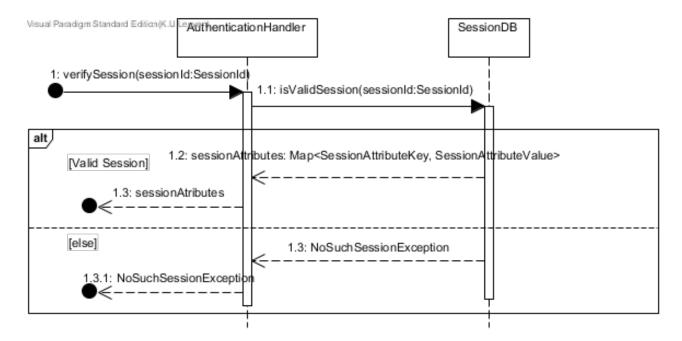


Figure 17: verifySession: sequence diagram depicting the verification whether a session is valid.

## 6.6 Initiating document processing

Shortly describe the scenario shown in this subsection. Show the complete scenario using one or more sequence diagrams.

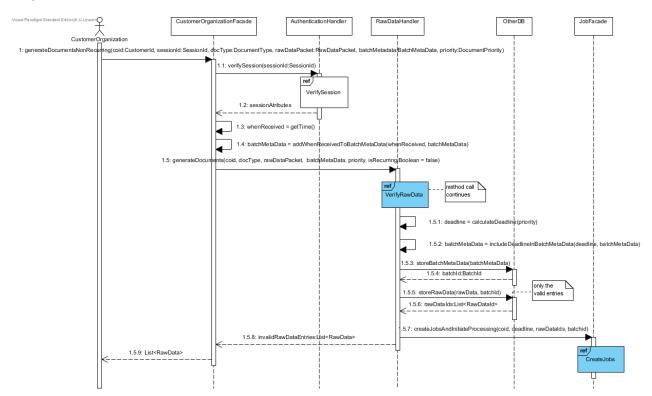


Figure 18: Verify Raw<br/>Data: verification whether the raw data is valid.

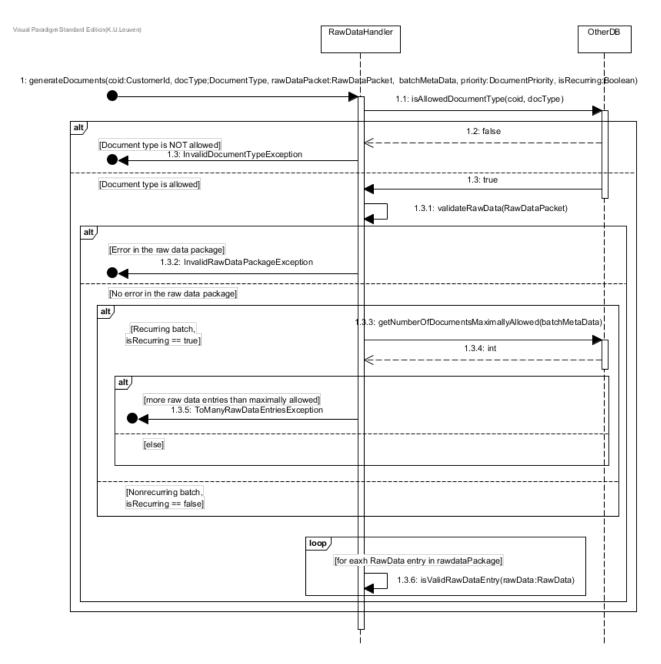


Figure 19: VerifyRawData: verification whether the raw data is valid.

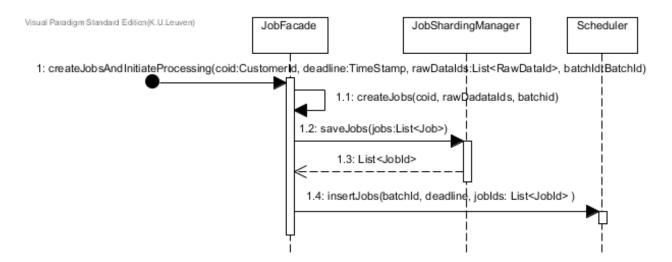


Figure 20: CreateJobs: the JobManager creates jobs and forwards them to be scheduled by the Scheduler.

## A Element catalog

In this section, we list all the components and the interfaces they provide. Per component, we describe its responsibilities, declare its super-component (if any) and list its sub-components (if any).

List all components and describe their responsibilities and provided interfaces. Per interface, list all methods using a Java-like syntax and describe their effect and exceptions if any. List all elements and interfaces alphabetically for ease of navigation.

#### A.1 AuthenticationHandler

- **Description:** The AuthenticationHandler is responsible for authenticating Registered recipients and Customer organizations. The architecture does not specify the means of authentication (e.g. the type of credentials). The credentials are stored in the UserDB.
- Super-component: UserFunctionality
- Sub-components: None

- AuthN
  - RecipientId getRecipientId(SessionId sessionId) throws NoSuchSessionException
    - \* Effect: The AuthenticationHandler fetches and returns the Registered Recipient's identifier corresponding to the sessionId from the SessionDB.
    - \* Exceptions:
      - · NoSuchSessionException: Thrown if no session exists with the given identifiers, or if the session belongs to a customer organization.
  - $\ {\tt CustomerId} \ {\tt getCustomerId} \ ({\tt SessionId} \ {\tt sessionId}) \ \ {\tt throws} \ \ {\tt NoSuchSessionException}$ 
    - \* Effect: The AuthenticationHandler fetches and returns the Customer Organization's identifier corresponding to the sessionId from the SessionDB.
    - \* Exceptions:
      - $\cdot$  NoSuchSessionException: Thrown if no session exists with the given identifier, or if the session belongs to a registered recipient.
  - Boolean logout(SessionId sessionId)
    - \* Effect: The AuthenticationHandler will remove the session with the given id from the SessionDB. If no such session exists, nothing is changed and no exception is thrown.
    - \* Exceptions: None

- SessionId login(Credentials credentials) throws InvalidCredentialsException
  - \* Effect: The AuthenticationHandler verifies the credentials using the UserDB. If they are correct, the AuthenticationHandler creates a new session using the SessionDB, stores the id of the user (i.e. the Registered Recipient id or Customer Organization id) as an attribute in this session and returns the id of the new session. The id of the user is present in the given credentials.
  - \* Exceptions:
    - $\cdot$  InvalidCredentialsException: Thrown if the given credentials are invalid.
- CheckSession
  - Map<SessionAttributeKey, SessionAttributeValue> verifySession(SessionId sesionId) throws NoSuchsSessionException
    - \* Effect: The AuthenticationHandler verifies whether a session with the given id exists in the SessionDB and if so, returns all its associated attributes.
    - \* Exceptions:
      - · NoSuchSessionException: Thrown if no session exists with the given identifiers.

## A.2 BillingManager

- **Description:** The BillingManager is responsible for all billing tasks. This includes billing the Customer Organization for the generation and delivery of non-recurring document processing jobs.
- Super-component: None
- Sub-components: None

- CostRegistrationMgmt
  - void addDocumentGenerationCost(CustomerId cuId, JobId jobId, DocumentPriority priority)
    - \* Effect: The BillingManager adds the cost for generation documents corresponding to the job identified by jobId to the bill of the customer organization identified by cuId. The document had a priority priority. This method is called by the GenerationManager.
    - \* Exceptions: None
  - void addDocumentDeliveryCostbyEmail(CustomerId cuId, JobId jobId)
    - \* Effect: The BillingManager adds the cost for delivering the document by e-mail to the bill of the customer organization identified by cuId. The document corresponds to the job identified by JobId. This method is called by the ChannelDispatcher.
    - \* Exceptions: None
  - void addDocumentDeliveryCostbyPrintAndPostalService(CustomerId cuId, JobId jobId)
    - \* Effect: The BillingManager adds the cost for delivering the document by e-mail to the bill of the customer organization identified by culd. The document corresponds to the job identified by JobId. This method is called by the ChannelDispatcher.
    - \* Exceptions: None
  - $-\ {\tt void}\ {\tt addDocumentDeliveryCostbyZoomit(CustomerId\ cuId,\ JobId\ jobId)}$ 
    - \* Effect: The BillingManager adds the cost for delivering the document by Zoomit to the bill of the customer organization identified by culd. The document corresponds to the job identified by Jobld. This method is called by the ChannelDispatcher.
    - \* Exceptions: None

## A.3 ChannelDispatcher

- Description: The ChannelDispatcher is responsible for choosing the correct delivery channel for a generated document. It also forwards the document to the DocumentStorageManager, which will store the document.
- Super-component: Deliveryfunctionality
- Sub-components: None

#### Provided interfaces

• FinalizeDocument

Note that the methods in this interface are made idempotent. The methods of this interface are called by Generator instances.

- void storeAndDeliverDocument(JobId jobid, Document doc)
  - \* Effect: The ChannelDispatcher will store the given document document and deliver it. This method is made idempotent. To filer duplicate method calls, it has the JobId of the document as an argument. This idempotence is to account for the case when case when a Generator fails after forwarding the document and before reporting completion to the DocumentGenerationManager. In this case, it can be that the DocumentGenerationManager restarts jobs for which a document has already been stored or delivered.
  - \* Exceptions: None
- void generationError(JobId jobid, Error error)
  - \* Effect: Describe the effect of the operation
  - \* Exceptions: None

## A.4 CustomerOrganizationClient

- Description: The CustomerOrganizationClient is external to the eDocs system and represents a client device of a Customer Organization (i.e. Customer Administrator and Customer Information System) that communicates with the eDocs System.
- Super-component: None
- Sub-components: None

#### Provided interfaces

- InterfaceA
  - returntType1 operation1(ParamType param) throws SomeException
    - \* Effect: Describe the effect of the operation
    - \* Exceptions:
      - · SomeException: Describe when the exception is thrown.
  - void operation2(ParamType2 param)
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None

## A.5 CustomerOrganizationFacade

- **Description:** The CustomerOrganizationFacade provides the main interface of the system to the Customer Organization (i.e. Customer Administrator and Customer Information System).
- Super-component: UserFunctionality
- Sub-components: None

- AuthN
  - Boolean logout(SessionId sessionId)
    - \* Effect: The AuthenticationHandler will remove the session with the given id from the SessionDB. If no such session exists, nothing is changed and no exception is thrown.
    - \* Exceptions: None
  - SessionId login(Credentials credentials) throws InvalidCredentialsException
    - \* Effect: The AuthenticationHandler verifies the credentials using the UserDB. If they are correct, the AuthenticationHandler creates a new session using the SessionDB, stores the id of the user (i.e. the Registered Recipient id or Customer Organization id) as an attribute in this session and returns the id of the new session. The id of the user is present in the given credentials.
    - \* Exceptions:
      - $\cdot$  InvalidCredentialsException: Thrown if the given credentials are invalid.
- TemplateMgmt
  - List<Tuple<DocumentType,TimeStamp>> getPossibleDocumentTypes(SessionId sessionId, CustomerId cuId) throws NotAuthenticatedException

- Boolean updateDocumentTemplate(SessionId sessionId, CustomerId cuId, DocumentType documentTyp

- \* Effect: The CustomerOrganizationClient will return a list of the document types that the customer organization is allowed to generate. It also indicates the current template for each document type by returning for each document type the time when the last template was uploaded.
- \* Exceptions:
  - · NotAuthenticatedException: Thrown if the given session identifier is invalid.
- Template template) throws NotAuthenticatedException, InvalidDocumentTypeException

  \* Effect: The CustomerOrganizationClient will update the current template for the given
  - \* Effect: The CustomerOrganizationClient will update the current template for the given documentType to the given template for the customer organization identified by cuId. Returns true when it succeeds.
  - \* Exceptions:
    - · NotAuthenticatedException: Thrown if the given session identifier is invalid.
    - · InvalidDocumentTypeException: Thrown if the given document type is invalid or not allowed for the given customer organization.
- InitiateDocumentProcessing
  - List<RawData> generateDocumentsNonRecurring(CustomerId cuid, SessionId sessionId,
    DocumentType docType, RawDataPacket rawDataPacket, BatchMetaData batchMetaData,
    DocumentPriority priority) throws NotAuthenticatedException, InvalidDocumentTypeException,
    InvalidRawDataPackageException
    - \* Effect: The CustomerOrganizationFacade gets an indication from a customer organization with customer identifier cuid to start a nonrecurring batch of document processing jobs. The documents to be generated should be of document type docType. The information necessary to generate the documents is given in a RawDataPacket containing all the info about the raw data entries of the batch, and the batchMetaData. After verification of the session identifier, the CustomerOrganizationFacade will put a TimeStamp of the time when this method is called in the batchMetaData and forward its arguments to the RawDataHandler, while indicating it is a nonrecurring batch of document that should be generated.
    - \* Exceptions:
      - · NotAuthenticatedException: Thrown if the given session identifier is invalid.
      - · InvalidDocumentTypeException: Thrown if the given document type is invalid or not allowed for the given customer organization.
      - · InvalidRawDataPackageException: Thrown if the raw data package contains an error (e.g. an invalid Excel file or incorrectly formatted XML).

## A.6 Completer

- **Description:** The Completer is responsible for fetching the raw data an applicable meta-data for a group of JobIds when a Generator instance requires a new group of jobs.
- Super-component: DocumentGenerationManager
- Sub-components: None

#### Provided interfaces

- Complete
  - CompletePartialBatchData getComplete(BatchId batchId, List<JobId> jobIds )
    - \* Effect: The Completer fetches data needed by a Generator for generation of the documents corresponding to the JobIds belonging to the same batch, which is identified by BatchId.
    - \* Exceptions: None

#### A.7 DocumentDB

- **Description:** The DocumentDB is responsible for actually storing all the documents. It stores documents regardless of the fact of a document is also stored in the PDSDB It receives read and write requests from the DocumentStoragManager.
- Super-component: None
- Sub-components: DocumentDBShardingManager and DocumentDBShard

#### Provided interfaces

- InterfaceA
  - returntType1 operation1(ParamType param) throws SomeException
    - \* Effect: Describe the effect of the operation
    - $\ast$  Exceptions:
      - $\cdot$  Some Exception: Describe when the exception is thrown.
  - void operation2(ParamType2 param)
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None
- InterfaceB
  - returntType2 operation3()
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None

#### A.8 DocumentDBShard

- Description: A DocumentDBShard is responsible for storing a partition of all the documents.
- Super-component: DocumentDB
- Sub-components: None

- InterfaceA
  - returntType1 operation1(ParamType param) throws SomeException
    - \* Effect: Describe the effect of the operation
    - \* Exceptions:
      - · SomeException: Describe when the exception is thrown.
  - void operation2(ParamType2 param)
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None
- InterfaceB
  - returntType2 operation3()
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None

## A.9 DocumentDBShardingManager

- Description: The DocumentDBShardingManager manages the storage of the documents over multiple DocumentDBShards.
- Super-component: The DocumentDB
- Sub-components: None

#### Provided interfaces

- InterfaceA
  - returntType1 operation1(ParamType param) throws SomeException
    - \* Effect: Describe the effect of the operation
    - \* Exceptions:
      - · SomeException: Describe when the exception is thrown.
  - void operation2(ParamType2 param)
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None
- InterfaceB
  - returntType2 operation3()
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None

## A.10 DocumentGenerationManager

• Description: The DocumentGenerationManager monitors the availability of the Generator components using the Ping interface. The DocumentGenerationManager keeps track of the jobs assigned to and being processed by the Generators. To minimize the overhead of the job coordination, the DocumentGenerationManager assigns jobs to the Generators in groups of more than one job that are part of the same batch. If a Generator fails to complete its jobs, the DocumentGenerationManager can restart these failed jobs.

It prioritizes jobs based on thei deadlines and schedules them according to P1.

- Super-component: None
- Sub-components: Completer, GenerationManager, KeyCache, Scheduler, TemplateCache

- InsertJobs
  - returntType1 operation1(ParamType param) throws SomeException
    - \* Effect: Describe the effect of the operation
    - \* Exceptions:
      - · SomeException: Describe when the exception is thrown.
- NotifyCompleted
  - void notifyCompletedAndGiveMeMore(GeneratorId id)
    - \* Effect: The DocumentGenerationManager gets notified that the document processing jobs assigned to the Generator identified by an id are completed.
    - \* Exceptions: None
  - void notifyCompletedAndIAmShuttingDown(GeneratorId id)
    - \* Effect: The DocumentGenerationManager gets notified that the document processing jobs assigned to the Generator identified by an id are completed.
    - \* Exceptions: None

## A.11 DocumentStorageCache

- Description: The DocumentStorageCache is responsible for storing the DocumentIds and UserIds when the PDSDB fails. According to Av2, the system should temporarily store at least 3 hours of documents to be delivered via the personal document store. When the PDSDB fails, the documents that are supposed to also be saved in the PDSDB are saved in the DocumentDB, just as usual. But in this case the DocumentStorageManager also stores the DocumentIds and UserIds of those documents in the DocumentStorageCache for at least 3 hours. This way, the DocumentStorageManager can transfer these documents from the DocumentDB to the PDSDB using this information if the PDSDB comes back online within 3 hours. The requirements do not specify what happens after 3 hours, so in this architecture, the behaviour after those 3 hours is undefined.
- $\bullet \ \mathbf{Super-component:} \ \mathtt{DocumentStorageFunctionality} \\$
- Sub-components: None

- InterfaceA
  - returntType1 operation1(ParamType param) throws SomeException
    - \* Effect: Describe the effect of the operation
    - \* Exceptions:
      - $\cdot$  Some Exception: Describe when the exception is thrown.
  - void operation2(ParamType2 param)
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None
- InterfaceB
  - returntType2 operation3()
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None

## A.12 DocumentStorageFunctionality

• **Description:** The DocumentStorageManager is responsible for storing the generated documents in the correct database. If the document belongs to a registered recipient, it sends a write request to both the DocumentDB and the PDSDB. Otherwise, it only sends a write request to the DocumentDB, which stores all the documents.

It is also responsible for copying documents from the DocumentDB to the PDSDB when an Unregistered Recipient registers to the eDocs system.

Another responsibility of the DeliveryFunctionality is storing at least 3 hours of documents when the PDSDB fails.

- Super-component: None
- Sub-components: DocumentStorageCache and DocumentStorageManager

#### Provided interfaces

- InterfaceA
  - returntType1 operation1(ParamType param) throws SomeException
    - \* Effect: Describe the effect of the operation
    - \* Exceptions:
      - $\cdot$  Some Exception: Describe when the exception is thrown.
  - void operation2(ParamType2 param)
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None
- InterfaceB
  - returntType2 operation3()
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None

#### A.13 DocumentStorageManager

• Description: The DocumentStorageManager is responsible for storing the generated documents in the correct database. If the document belongs to a registered recipient, it sends a write request to both the DocumentDB and the PDSDB. Otherwise, it only sends a write request to the DocumentDB, which stores all the documents.

It is also responsible for copying documents from the DocumentDB to the PDSDB when an Unregistered Recipient registers to the eDocs system.

Another responsibility of the DocumentStorageManager is storing at least 3 hours of documents when the PDSDB fails.

- Super-component: DocumentStoragefunctionlity
- Sub-components: None

- InterfaceA
  - returntType1 operation1(ParamType param) throws SomeException
    - \* Effect: Describe the effect of the operation
    - \* Exceptions:
      - · SomeException: Describe when the exception is thrown.
- InterfaceB
  - returntType2 operation3()
    - $\ast\,$  Effect: Describe the effect of the operation
    - \* Exceptions: None

## A.14 DeliveryFunctionality

- **Description:** The **DeliveryFunctionality** is responsible for delivering the documents generated by eDocs to the recipients.
- Super-component: ChannelDispatcher, EmailFacade, Print&PostalServiceFacade, ZoomitFacade and ZoomitDeliveryCache
- Sub-components: the direct sub-components, if any.

#### Provided interfaces

- InterfaceA
  - returntType1 operation1(ParamType param) throws SomeException
    - \* Effect: Describe the effect of the operation
    - \* Exceptions:
      - · SomeException: Describe when the exception is thrown.
- InterfaceB
  - returntType2 operation3()
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None

#### A.15 EDocsAdminClient

- **Description:** The EDocsAdminClient is external to the eDocs system and represents a client device of an administrator of eDocs that communicates with the eDocs System.
- Super-component: None
- Sub-components: None

#### Provided interfaces

- InterfaceA
  - returntType1 operation1(ParamType param) throws SomeException
    - \* Effect: Describe the effect of the operation
    - \* Exceptions:
      - $\cdot$  Some Exception: Describe when the exception is thrown.
  - void operation2(ParamType2 param)
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None
- InterfaceB
  - returntType2 operation3()
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None

## A.16 EmailChannel

- **Description:** The EmailChannel is responsible for the delivery emails. It is external to the eDocs system and represents a mail server of an e-mail provider.
- Super-component: None
- Sub-components: None.

- InterfaceA
  - returntType1 operation1(ParamType param) throws SomeException
    - \* Effect: Describe the effect of the operation
    - \* Exceptions:
      - · SomeException: Describe when the exception is thrown.
  - void operation2(ParamType2 param)
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None
- InterfaceB
  - returntType2 operation3()
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None

#### A.17 EmailFacade

- Description: The EmailFacade is responsible for creating and sending emails used in the delivery of documents. It will send documents to Unregistered recipients by e-mail when receipt tracking is turned off. When receipt tracking is turned on for an Unregistered Recipient, it will send an e-mail containing a short description of the received document and a unique link, which can be followed to get document. For Registered Recipients, it will send an e-mail containing a short description of the document and a link to the document. It also marks jobs as sent using the JobManager.
- Super-component: DeliveryFunctionality
- Sub-components: None

#### Provided interfaces

- InterfaceA
  - returntType1 operation1(ParamType param) throws SomeException
    - \* Effect: Describe the effect of the operation
    - \* Exceptions:
      - · SomeException: Describe when the exception is thrown.
- InterfaceB
  - returntType2 operation3()
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None

## A.18 Generator

- Description: A Generator generates the documents and forwards them to DeliveryFunctionality to store and deliver them. Its availability is monitored by the DocumenGenerationManager with the Ping interface. A Generator is also responsible of notifying the NotificationHandler that it does not have all of the data required to fill in the template.
- Super-component: None
- Sub-components: None

- AssignJobs
  - void assignJobs(CompletePartialBatchData batchData)
    - \* Effect: Describe the effect of the operation
    - \* Exceptions:
      - · SomeException: Describe when the exception is thrown.
- Startup/ShutDown
  - void startUp(GeneratorId generatorId)
    - \* Effect: Starts up the Generator instance an gives it the given GeneratorId.
    - \* Exceptions: None
  - void shutDown()
    - \* Effect: The Generator completes its assigned group of document generation jobs and report back completion to the DocumentGenerationManager, after which is shuts down.
    - \* Exceptions: None
- Ping
  - Echo ping()
    - \* Effect: The Generator will respond to the ping request by sending an echo response. This is used by the GeneratorManager to check whether the Generator is available.
    - \* Exceptions: None

## A.19 GeneratorManager

- Description: The GenerationManager is responsible for monitoring the Generator instances. It starts up or shuts down these instances based on the number of required instances indicated by the Scheduler.
- Super-component: DocumentGenerationManager
- Sub-components: None

#### Provided interfaces

- NotifyCompleted
  - void notifyCompletedAndGiveMeMore(GeneratorId id)
    - \* Effect: The DocumentGenerationManager gets notified that the document processing jobs assigned to the Generator identified by an id are completed.
    - \* Exceptions: None

## A.20 JobDBShard

- Description: The JobDBShard is responsible for storing partition of all the jobs.
- Super-component: JobManager
- Sub-components: None

- InterfaceA
  - returntType1 operation1(ParamType param) throws SomeException
    - \* Effect: Describe the effect of the operation
    - \* Exceptions:
      - · SomeException: Describe when the exception is thrown.
  - void operation2(ParamType2 param)
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None
- InterfaceB
  - returntType2 operation3()
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None

## A.21 JobDBShardingManager

- Description: The JobDBShardingManager manages the storage of the documents over multiple JobDBShards.
- Super-component: JobManager
- Sub-components: None

#### Provided interfaces

- InterfaceA
  - returntType1 operation1(ParamType param) throws SomeException
    - $\ast\,$  Effect: Describe the effect of the operation
    - \* Exceptions:
      - · SomeException: Describe when the exception is thrown.
  - void operation2(ParamType2 param)
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None
- InterfaceB
  - returntType2 operation3()
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None

## A.22 JobFacade

- **Description:** The JobFacade is responsible creating jobs and storing them using the JobDBShadingManager over different JobDBShards. It is responsible for retrieving data connected to a specific job. It can retrieve the raw data or customer organization info for specific jobs. The JobFacade is also used for marking jobs as sent and received.
- Super-component: JobManager
- Sub-components: None

- SetStatus
  - void setJobStatusAsTemporarilyFailed(List<JobId> statusesOfJobs)
    - \* Effect: The JobManager marks the job as "temporarily failed" for each of the jobs identified by the given JobIds. Used by the DocumentGenerationManager for jobs that where assigned to a failed Generator instance.
    - \* Exceptions: None
- GetBatchData
  - Tuple<JobId, RawData> getRawData(List<JobId> jobIds)
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None
  - BatchMetaData getMetaData(BatchId batchId)
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None

## A.23 JobManager

- **Description:** The JobManager is responsible creating jobs and storing them. It is responsible for retrieving data connected to a specific job. It can retrieve the raw data or customer organization info for specific jobs. The JobFacade is also used for marking jobs as sent and received.
- Super-component: None
- Sub-components: JobFacade, JobDBShardingManager and JobDBShard

#### Provided interfaces

- SetStatus
  - void setJobStatusAsTemporarilyFailed(List<JobId> statusesOfJobs)
    - \* Effect: The JobManager marks the job as "temporarily failed" for each of the jobs identified by the given JobIds. Used by the DocumentGenerationManager for jobs that where assigned to a failed Generator instance.
    - \* Exceptions: None
- GetBatchData
  - Tuple<JobId, RawData> getRawData(List<JobId> jobIds)
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None
  - BatchMetaData getMetaData(BatchId batchId)
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None

#### A.24 KeyCache

- Description: The KeyCache caches the keys which are most recently used for document generation. The Completer has to fetch a key every time a Generator instance requests new jobs, while the key will be the same for all jobs belonging to the same batch. The KeyCache avoids that the key storage system becomes a bottleneck for document generations. The keys are cached based on the CustomerId of a Customer Organization.
- $\bullet \ \mathbf{Super-component:} \ \mathtt{DocumentGenerationManager.} \\$
- Sub-components: None

- GetKey
  - Key getKey(CustomerId customerId) throws NoSuchKeyException
    - \* Effect: The KeyCache looks into its cache for the Key belonging to the customer organisation with id customerId. If the Key is in its cache, it returns it. If the Key is not in its cache, it asks OtherDB for the Key and stores it in its cache, after which it returns that Key.
    - \* Exceptions:
      - · NoSuchKeyException: Thrown if there is no key for the given customerId.

## A.25 LinkMappingDB

- **Description:** The LinkMappingDB is responsible for actually storing a mapping between unique links and DocumentIds. With this information, it also stores information about where a document can be found, i.e. only in the DocumentDB or both in the PDSDB and the DocumentDB.
- Super-component: LinkMappingFunctionality
- Sub-components: None

#### Provided interfaces

- InterfaceA
  - returntType1 operation1(ParamType param) throws SomeException
    - \* Effect: Describe the effect of the operation
    - \* Exceptions:
      - · SomeException: Describe when the exception is thrown.
  - void operation2(ParamType2 param)
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None
- InterfaceB
  - returntType2 operation3()
    - $\ast$  Effect: Describe the effect of the operation
    - \* Exceptions: None

#### A.26 LinkMappingManager

- **Description:** The LinkMappingManager is responsible for creating unique links which points to a document. It also sends read and write requests to the LinkMappingDB to get and store the mappings between the unique links and the documents.
- Super-component: LinkMappingFunctionality
- Sub-components: None

- InterfaceA
  - $-\ \mathtt{returntType1}\ \mathtt{operation1} (\mathtt{ParamType}\ \mathtt{param})\ \mathtt{throws}\ \mathtt{SomeException}$ 
    - $\ast$  Effect: Describe the effect of the operation
    - \* Exceptions:
      - · SomeException: Describe when the exception is thrown.
- InterfaceB
  - returntType2 operation3()
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None

## A.27 LinkMappingFunctionality

- Description: The LinkMappingFunctionality is responsible for creating unique links which point to documents. It is also responsible for storing these mappings and ultimately mapping a link to a document.
- Super-component: None
- Sub-components: LinkMappingManager and LinkMappingFunctionality

#### Provided interfaces

- InterfaceA
  - returntType1 operation1(ParamType param) throws SomeException
    - \* Effect: Describe the effect of the operation
    - \* Exceptions:
      - · SomeException: Describe when the exception is thrown.
  - void operation2(ParamType2 param)
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None
- InterfaceB
  - returntType2 operation3()
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None

## A.28 PDSDB

- **Description:** The PDSDB component is responsible for storing the database of documents in the personal document stores. That database is separated from all other persistent data so that its failure "does not affect the availability of other types of persistent data", as required by Av2.
- Super-component: None
- Sub-components: PDSDBReplica, PDSLongTermDocumentManager, PDSReplicationManager

- DocumentMgmt
  - Tuple<Document, MetaData> getDocument(DocumentId id)
    - \* Effect: The PDSDB will fetch and return the document corresponding to DocumentId id.
    - \* Exceptions: None
  - $\ \, List < Document > \ \, get All Document MetaDataOf (Recipient Id)$ 
    - \* Effect: The PDSDB will fetch and return all the meta-data of the documents belonging to the Registered Recipient identified by recipientId.
    - \* Exceptions: None
  - void storeDocument(DocumentId id, Document doc, DocumentMetaData md)
    - \* Effect: The PDSDB will store the given documentdoc together with the provided meta-data md.
    - \* Exceptions: None
  - void storeDocuments(List<Tuple<DocumentId, Document, DocumentMetaData>> documentList)
    - $\ast\,$  Effect: Describe the effect of the operation
    - \* Exceptions: None
  - List<Tuple<DocumentId, DocumentMetaData>> getAllDocumentMetaData(RecipientId recipientId) throws PDSUnavailableException

- \* Effect: The PDSDB fetches and returns the meta-data of all the documents of the Registered Recipient identified by recipientId.
- \* Exceptions:
  - · PDSUnavailableException: Thrown if the personal document store is unavailable.

## A.29 PDSDBReplica

• Description: The PDSDBReplica is responsible for actually storing the documents.

• Super-component: PDSDB

• Sub-components: None

#### Provided interfaces

- ExtendedDocumentMgmt
  - List<Document> getAllDocumentsOf(TimeStamp whenFailed)
    - \* Effect: Describe the effect of the operation
    - \* Exceptions:
      - · SomeException: Describe when the exception is thrown.
  - List<Document> getDocumentsSince(TimeStamp whenFailed)
    - \* Effect: Describe the effect of the operation
    - \* Exceptions:
      - · SomeException: Describe when the exception is thrown.
  - void storeDocuments(List<Tuple<DocumentId, Document, DocumentMetaData>> documentList)
    - \* Effect: The PDSDBReplica will store the documents and their meta-data.
    - \* Exceptions: None
  - void storeDocument(DocumentId documentId, Document document, DocumentMetaData md)
    - \* Effect: The PDSDBReplica stores the given document with its DocumentId and meta-data.
    - \* Exceptions: None
  - Tuple<Document, MetaData> getDocument(DocumentId id)
    - \* Effect: The PDSDB will fetch and return the document corresponding to DocumentId id.
    - \* Exceptions: None
  - List<Tuple<DocumentId, DocumentMetaData>> getAllDocumentMetaData(RecipientId recipientId) throws PDSUnavailableException
    - \* Effect: The PDSDBReplica fetches and returns the meta-data of all the documents of the Registered Recipient identified by recipientId.
    - \* Exceptions: None
- Ping
  - Echo ping()
    - \* Effect: The PDSDBReplica will respond to the ping request by sending an echo response. This is used by the PDSReplicationManager to check whether the PDSDBReplica is available.
    - \* Exceptions: None

#### A.30 PDSFacade

- **Description:** Responsibilities of the component.
- Super-component: The direct super-component, if any.
- Sub-components: the direct sub-components, if any.

- PDSDBDocMgmt
  - List<Tuple<DocumentId, DocumentMetaData>> getAllDocumentMetaData(RecipientId recipientId) throws PDSUnavailableException
    - \* Effect: The PDSFacade fetches and returns the meta-data of all the documents of the Registered Recipient identified by recipientId.
    - \* Exceptions:
      - · PDSUnavailableException: Thrown if the personal document store is unavailable.
  - void operation2(ParamType2 param)
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None

### A.31 PDSLongTermDocumentManager

- Description: The PDSLongTermDocumentManager is responsible for managing the different storage clusters. Each cluster consists of a PDSReplicationManager and one or more PDSDBReplica instances. In the architecture, two clusters are defined. The PDSLongTermDocumentManager reads to and write from clusters, and periodically transfers documents from the one cluster to the other.
- Super-component: PDSDB
- Sub-components: None

#### Provided interfaces

- DocumentMgmt
  - Tuple<Document, MetaData> getDocument(DocumentId id)
    - \* Effect: The PDSDB will fetch and return the document corresponding to DocumentId id.
    - \* Exceptions: None
  - List<Document> getAllDocumentMetaDataOf(RecipientId recipientId)
    - \* Effect: The PDSLongTermDocumentManager will fetch and return all the meta-data of the documents belonging to the Registered Recipient identified by recipientId.
    - \* Exceptions: None
  - void storeDocument(DocumentId id, Document doc, DocumentMetaData md)
    - \* Effect: The PDSDB will store the given documentdoc together with the provided meta-data md.
    - \* Exceptions: None
  - void storeDocuments(List<Tuple<DocumentId, Document, DocumentMetaData>> documentList)
    - \* Effect: The PDSLongTermDocumentManager will store the documents and their meta-data.
    - \* Exceptions: None
  - List<Tuple<DocumentId, DocumentMetaData>> getAllDocumentMetaData(RecipientId recipientId) throws PDSUnavailableException
    - \* Effect: The PDSLongTermDocumentManager fetches and returns the meta-data of all the documents of the Registered Recipient identified by recipientId.
    - \* Exceptions:
      - · PDSUnavailableException: Thrown if the personal document store is unavailable.

## A.32 PDSReplicationManager

- Description: The PDSReplicationManager is responsible for managing the PDSDBReplicas. The PDSReplicationManager passes read requests to one PDSDBReplica and writes to all PDSDBReplicas. It monitors their availability using the ping/echo.
- Super-component: PDSDB
- Sub-components: None

- $\bullet$  ExtendedDocumentMgmt
  - Tuple < Document, MetaData > getDocument(DocumentId documentId)
    - \* Effect: The PDSReplicationManager will fetch and return the document corresponding to DocumentId id.
    - \* Exceptions: None
  - List<Tuple<DocumentId, DocumentMetaData>> getAllDocumentMetaData(RecipientId recipientId) throws PDSUnavailableException
    - \* Effect: The PDSReplicationManager fetches and returns the meta-data of all the documents of the Registered Recipient identified by recipientId.
    - \* Exceptions:
      - · PDSUnavailableException: Thrown if the personal document store is unavailable.
  - void storeDocument(DocumentId id, Document doc, DocumentMetaData md)
    - \* Effect: The PDSReplicationManager will store the given document doc together with the provided meta-data md.
    - \* Exceptions: None
  - void storeDocuments(List<Tuple<DocumentId, Document, DocumentMetaData>> documentList)
    - \* Effect: The PDSReplicationManager will store the given list of documents and their meta-data.
    - \* Exceptions: None

#### A.33 Print&PostalServiceChannel

- Description: The Print&PostalServiceChannel is responsible for the printing the document and sending it by mail. It is external to the eDocs system and represents the servers of a print &postal service.
- Super-component: None
- Sub-components: None

#### Provided interfaces

- InterfaceA
  - returntType1 operation1(ParamType param) throws SomeException
    - \* Effect: Describe the effect of the operation
    - \* Exceptions:
      - · SomeException: Describe when the exception is thrown.
  - void operation2(ParamType2 param)
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None
- InterfaceB
  - returntType2 operation3()
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None

#### A.34 Print&PostalServiceFacade

- Description: The Print&PostalServiceFacade is responsible for delivering a document to the Print&PostalChanne so it can be printed and sent by mail. It also marks jobs as sent using the JobManager.
- Super-component: DeliveryFunctionality
- Sub-components: None

- InterfaceA
  - returntType1 operation1(ParamType param) throws SomeException
    - \* Effect: Describe the effect of the operation
    - \* Exceptions:
      - · SomeException: Describe when the exception is thrown.
  - void operation2(ParamType2 param)
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None
- InterfaceB
  - returntType2 operation3()
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None

#### A.35 NotificationHandler

- **Description:** The NotificationHandler is responsible for sending notifications to the appropriate parties, e.g. the eDocs operators and the customer administrators.
- Super-component: None
- Sub-components: None

#### Provided interfaces

- NotifyOperator
  - void notifyOperatorOfPDSDBReplicaFailure(PDSDBReplicaId replicaId, TimeStamp dateTime)
    - \* Effect: The NotificationHandler will send the given PDSDBReplicaId of the failed PDSDBReplica with the given time of failure dateTime to the eDocs operators. This method is called by a PDSReplicationManager.
    - \* Exceptions: None
  - void notifyOperatorOfDocumentGenerationFailure(NotificationMessage msg, TimeStamp whenFailed)
    - \* Effect: The NotificationHandler will send a textual message msg to the eDocs operators, which contains further information about the specific failure. This method is called by the DocumentGenerationManager. More specifically, it is called by the GeneratorManager.
    - \* Exceptions: None

## A.36 OtherDB

- **Description:** The OtherDB is responsible for storing all information that is not required to be stored separately by non-functional requirements. For example, it stores the raw data and data about customer organizations and registered recipients. It also stores the templates for documents and the keys of customer organizations to sign the documents during generation.
- Super-component: None
- Sub-components: None

- GetKey
  - Key getKey(CustomerId customerId)
    - \* Effect: The OtherDB returns the key belonging to the Customer Organization identified by customerId.
    - \* Exceptions:
      - · NoSuchKeyException: Thrown if there is no key for the given customerId.
- TemplateMgmt
  - Template getTemplate(CustomerId customerId, DocumentType documentType, TimeStamp whenReceived)
    - \* Effect: The OtherDB returns the Template belonging to the customer organisation with id customerId corresponding to a document of type documentType and received at time whenReceived.
    - \* Exceptions:
      - · NoSuchTemplateException: Thrown if there is no template for the given arguments.
  - Boolean storeDocumentTemplate(CustomerId cuId, DocumentType documentType, Template template, TimeStamp whenReceived) throws InvalidDocumentTypeException
    - \* Effect: The OtherDB stores the given template with the given time stamp for the customer organization identified by the given CustomerId.
    - \* Exceptions:
      - · InvalidDocumentTypeException: Thrown if the given document type is invalid or not allowed for the given customer organization.
- UserDataMgmt
  - Credentials getRegisteredRecipientCredentials(RecipientId recipientId) throws NoSuchRecipientException
    - \* Effect: The OtherDB returns the credentials belonging to the Registered Recipient identified by recipientId.
    - \* Exceptions:
      - $\cdot$  NoSuch RecipientException: Thrown if no Registered Recipient with the given credentials exists.
  - Credentials getCustomerOrganizationCredentials(CustomerId customerId) throws NoSuchCustomerOrganizationException
    - \* Effect: The OtherDB returns the credentials belonging to the Customer Organization identified by customerId.
    - \* Exceptions:
      - · NoSuchCustomerOrganizationException: Thrown if no Customer Organization with the given credentials exists.
  - List<Tuple<DocumentType, TimeStamp>> getPossibleDocumentTypes(CustomerId customerId)
    - \* Effect: The OtherDB returns the a list of document types that the Customer Organization identified by customerId can generate. For each document type, it also returns the time when the last Template for that document type was uploaded.
    - \* Exceptions: None

#### A.37 RecipientClient

- **Description:** The RecipientClient is external to the eDocs system and represents a client device of an unregistered or registered recipient of eDocs that communicates with the eDocs System.
- Super-component: None
- Sub-components: None

- InterfaceA
  - returntType1 operation1(ParamType param) throws SomeException
    - \* Effect: Describe the effect of the operation
    - \* Exceptions:
      - · SomeException: Describe when the exception is thrown.
  - void operation2(ParamType2 param)
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None
- InterfaceB
  - returntType2 operation3()
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None

#### A.38 RawDataHandler

- **Description:** The RawDataHandler is responsible for verifying the raw data and its entries. It forwards the validated raw data to the JobManager to create jobs.
- Super-component: None
- Sub-components: None

#### Provided interfaces

- InterfaceA
  - void validateRawData(List<RawData> rawData) throws InvalidRawDataException
    - \* Effect: The RawDataHandler verifies the received raw data. If it is correct, nothing happens.
    - \* Exceptions:
      - · InvalidRawDataException: Thrown if the raw data is invalidated for some reason. This exception can contain a message about why the raw data was invalidated.
- InterfaceB
  - returntType2 operation3()
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None

## A.39 RecipientFacade

- Description: The RecipientFacade is responsible for the interaction of Registered and Unregistered Recipients with the eDocs system. It provides methods for authentication, for consulting the personal document store, for downloading documents, ...
- Super-component: Userfunctionality
- Sub-components: None

- AuthN
  - SessionId login(Credentials credentials ) throws InvalidCredentialsException
    - \* Effect: The RecipientFacade forwards the given credentials to the AuthenticationHandler, which verifies them and returns a new session identifier if correct. This session identifier can be used in future requests to the RecipientFacade.
    - \* Exceptions:
      - · InvalidCredentialsException: Thrown if the AuthenticationHandler indicated that the given credentials where incorrect.
  - Boolean logout(SessionId sessionId)
    - \* Effect: The RecipientFacade removes the session corresponding to the sessionId using the AuthenticationHandler. As a result, this session cannot be used anymore to access the system without logging in again. If no session corresponds to the sessionId, it does not exist, nothing is changed but no exception is thrown.
    - \* Exceptions: None
- DocumentMgmt
  - PDSOverview getPDSOverview(SessionId, RecipientId recipientId) throws NotAuthenticatedException, PDSUnavailableException
    - \* Effect: The RecipientFacade first verifies the given session identifier sessionId using the AuthenticationHandler. The RecipientFacade then requests all the document meta-data of the documents of the recipient identified by recipientId from the PDSFacade. It generates a document overview, which is returned to the caller.
    - \* Exceptions:
      - · NotAuthenticatedException: Thrown if the given session identifier is invalid.
      - · PDSUnavailableException: Thrown if the personal document store is unavailable.

## A.40 RegistrationManager

- Description: The RegistrationManager is responsible for the registration or unregistered recipients and customer organizations. For the registration of unregistered recipients, the RegistrationManager gets called by the RecipientFacade, as recipients can register themselves. Customer organizations get registered by an eDocs operator, so the EDocsAdminClient calls those methods.
- Super-component: UserFunctionality
- Sub-components: None

- InterfaceA
  - returntType1 operation1(ParamType param) throws SomeException
    - \* Effect: Describe the effect of the operation
    - \* Exceptions:
      - · SomeException: Describe when the exception is thrown.
- InterfaceB
  - returntType2 operation3()
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None

## A.41 Scheduler

• **Description:** The **Scheduler** receives the new jobs initiated by a Customer Organization and adds them to a queue of all jobs that have not been processed yet. To lower the size of this queue, the Scheduler is only given the information it needs, i.e., the id of the batch, its deadline and the ids of the individual jobs. The raw data of each job and the meta-data of the batch is stored in **OtherDB** and fetched by the **Completer** when needed.

The Scheduler also indicates to the GenerationManager the number of required Generator instances through its GetStatistics interface.

• Super-component: DocumentGenerationManager

• Sub-components: None

#### Provided interfaces

- GetNextJobs
  - Tuple<BatchId, List<JobId>> getNextJobs()
    - \* Effect: The Scheduler returns the JobIds of the group of jobs that belong to the batch identified by BatchId that should be generated next. This method is called by the GeneratorManager when a Generator instance requires a new group of jobs.
    - \* Exceptions: None
    - \* Tuple<BatchId, List<JobId>> jobsCompletedAndGiveMeMore(List<JobId>)
      - · Effect: The Scheduler gets notified that the document processing jobs belonging to the list of JobIds are completed. It returns the a list of JobIds belonging to a batch identified by BatchId. The returned list of JobIds identify document processing jobs which are not yet started.
      - · Exceptions: None
- InsertJobs
  - void insertJobs(BatchId batchId, TimeStamp deadline, List<JobId> jobIds )
    - \* Effect: The Scheduler adds the jobs identified by their JobId to its queue of all jobs that have not been processed yet. To lower the size of this queue, the Scheduler is only given the information it needs, i.e., the id of the batch, its deadline and the ids of the individual jobs. This method provides new jobs synchronously to the Scheduler, which it schedules synchronously. This means that when the method call returns, the given jobs are scheduled.
    - \* Exceptions: None
- GetStatistics
  - int getNumberOfFutureJobs()
    - \* Effect: The Scheduler returns the amount of documents that should be generated in the near future. The GeneratorManager queries this method at regular intervals and adjusts the number of Generator instances accordingly.
    - \* Exceptions: None

#### A.42 SessionDB

- Description: The SessionDB stores the session identifiers for currently active sessions.
- Super-component: UserFunctionality
- Sub-components: None.

- SessionMgmt
  - RecipientId getRecipientId(SessionId sessionId) throws NoSuchSessionException
    - \* Effect: The SessionDB fetches and returns the Registered Recipient's identifier corresponding to the sessionId from the sessionDB.
    - \* Exceptions:
      - · NoSuchSessionException: Thrown if no session exists with the given identifiers, or if the session belongs to a customer organization.
  - CustomerId getCustomerId(SessionId sessionId) throws NoSuchSessionException
    - \* Effect: The SessionDB fetches and returns the Customer Organization's identifier corresponding to the sessionId from the sessionDB.
    - \* Exceptions:
      - · NoSuchSessionException: Thrown if no session exists with the given identifier, or if the session belongs to a registered recipient.
  - SessionId openSession(RecipientId recipientId)
    - \* Effect: The SessionDB generates a new session identifier for the given recipientId and stores this as an active session.
    - \* Exceptions: None
  - void closeSession(SessionId sessionId) throws NoSuchSessionException
    - \* Effect: The SessionDB closes the active session associated with the given sessionId.
    - \* Exceptions:
      - · NoSuchSessionException: Thrown if no session exists with the given identifier.
  - Map<SessionAttributeKey, SessionAttributeValue> isvalidSession(SessionId sesionId) throws NoSuchsSessionException
    - \* Effect: The SessionDB verifies whether a session with the given id exists in the SessionDB and if so, returns all its associated attributes.
    - \* Exceptions:
      - · NoSuchSessionException: Thrown if no session exists with the given identifiers.

#### A.43 TemplateCache

- Description: The TemplateCache caches the templates which are most recently used for document generation. The Completer has to fetch a templateevery time a Generator instance requests new jobs, while the template will be the same for all jobs belonging to the same batch. The TemplateCache avoids that the template storage system becomes a bottleneck for document generations. The templates are cached based on the CustomerId of a Customer Organization, the type of the document and the date and time at which the batch was provided by the Customer Organization (in order to account for template updates).
- Super-component: DocumentGenerationManager
- Sub-components: None

- $\bullet$  GetTemplate
  - Template getTemplate(CustomerId customerId, DocumentType documentType, TimeStamp whenReceived
    - \* Effect: The TemplateCache looks into its cache for the Template belonging to the customer organisation with id customerId corresponding to a document of type documentType and received at time whenReceived. If the Template is in its cache, it returns it. If the Template is not in its cache, it asks OtherDB for the Template and stores it in its cache, after which it returns that Template.
    - \* Exceptions:
      - $\cdot$  NoSuch TemplateException: Thrown if there is no template for the given arguments.

## A.44 UserFunctionality

- **Description:** The **UserFunctionality** is responsible for the interaction of registered recipients, unregistered recipients, customer organizations and eDocs operators with the eDocs system. It provides methods to register, to login and to logout, to consult the personal document store and download documents, to consult the status of document processing jobs, ...
- Super-component: None
- Sub-components: RecipientFacade, CustomerOrganizationClient, EDocsadminfacade, RegistrationManager AuthenticationHandler and SessionDB

#### Provided interfaces

- InterfaceA
  - returntType1 operation1(ParamType param) throws SomeException
    - \* Effect: Describe the effect of the operation
    - \* Exceptions:
      - · SomeException: Describe when the exception is thrown.
  - void operation2(ParamType2 param)
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None
- InterfaceB
  - returntType2 operation3()
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None

#### A.45 ZoomitChannel

- **Description:** The **ZoomitChannel** is responsible for delivering documents via Zoomit. It is external to the system and represents the servers of Zoomit to which a document can be sent.
- Super-component: None
- Sub-components: None

- InterfaceA
  - returntType1 operation1(ParamType param) throws SomeException
    - $\ast\,$  Effect: Describe the effect of the operation
    - \* Exceptions:
      - · SomeException: Describe when the exception is thrown.
  - void operation2(ParamType2 param)
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None
- InterfaceB
  - returntType2 operation3()
    - \* Effect: Describe the effect of the operation
    - \* Exceptions: None

## A.46 ZoomitFacade

• Description: The ZoomitFacade is responsible for sending documents to Unregistered Recipients through Zoomit. It is also responsible for receiving messages from Zoomit when a document has been received by Zoomit or when a Zoomit user as received his or her document. The ZoomitFacade can use the JobManager to mark jobs as sent or received.

• Super-component: DeliveryFunctionality

• Sub-components: None

#### Provided interfaces

• InterfaceA

- returntType1 operation1(ParamType param) throws SomeException

\* Effect: Describe the effect of the operation

\* Exceptions:

· SomeException: Describe when the exception is thrown.

## B Defined data types

List and describe all data types defined in your interface specifications. List them alphabetically for ease of navigation.

- BatchId: A piece of data uniquely identifying a batch of document processing jobs in the system. This architecture does not specify the exact format of this identifier, but possibilites are a long integer, a string, a URL etc.
- BatchMetaData: A data structure listing the metadata belonging to a batch of jobs. This includes the CustomerID of a Customer Organization, the DocumentType of the documents to be generated, the TimeStamp of when the batch was received, ...
- CompletePartialBatchData: A complex data structure listing all data a Generator needs to complete document generation jobs that are part of the same batch. It contains an array of Tuple<JobId, RawData>. The JobIds identify jobs that are all part of the same batch. The RawData belongs to these document processing jobs. Also listed in the BatchMetaData are the values of the BatchMetaData, Key and Template data types belonging to the batch.
  - CompletePartialBatchData also contains a BatchMetaData entry, a Key and a Template. *Important to note:* a value of CompletePartialBatchData contains all information necessary to generate **some** jobs of belonging to same batch. It does not have to contain the information of all jobs belonging to same batch.
- Credentials: The authentication credentials of a Registered Recipient or Customer Organization. The credentials always contain an identifier of the recipient or customer organization and a proof of his or her identity. The architecture does not specify the specific credentials used, but a possibility is using a username and password.
- CustomerId: A piece of data uniquely identifying a Customer Organization in the system. This architecture does not specify the exact format of this identifier, but possibilites are a long integer, a string, a URL etc.
- Document: A data file corresponding to a document. The architecture specifies the format of this data type as a PDF-file.
- DocumentId: A piece of data uniquely identifying a document in the system.
- DocumentMetaData: The meta-data stored with a document in the DocumentDB. This meta-data differs from the PDSDBMetaData, in that it does not contain a UserId, but it does contain an Email address.

- DocumentPriority: A data type representing the priority of document generation jobs. They have values representing the Critical, Diamond, Gold and Silver priorities. The exact format of this data type is not specified by the architecture.
- DocumentType: A piece of data describing the type of a document. This architecture does not specify the exact format of this data type, but possibilities are a long integer, a string, a URL etc.
- Echo: The response to a ping message. This data element does not contain any meaningful data.
- Error: Description of data type.
- GeneratordId: A piece of data uniquely identifying a Generator in the system. This architecture does not specify the exact format of this identifier, but possibilities are a long integer, a string, a URL etc.
- JobBatch: Description of data type.
- JobId: A piece of data uniquely identifying a document processing job in the system.
- Key: A data structure containing the key of the Customer Organization which is used to sign its documents during the generation process. This architecture does not specify the exact format of this data type, but possibilities are a long integer, a string, a URL etc.
- NotificationMessage: A textual message which can be used to include extra information about the event of the notification.
- PDSOverview The overview of a personal document store that can be shown to a Registered Recipient. Through this overview, the Registered Recipient can consult documents. The architecture does not specify the exact format of such an overview, but a likely possibility is an HTML page.
- PDSDBReplicald: A piece of data uniquely identifying a PDSDBReplica in the system. This architecture does not specify the exact format of this identifier, but possibilites are a long integer, a string, a URL etc.
- PDSDBMetaData: The meta-data stored with a document in the PDSDB. This meta-data differs from the DocumentMetaData, in that it does not contain a Email address, but it does contain a UserID.
- RawData: A data structure listing the raw data used in a document processing job.
- RecipientId: A piece of data uniquely identifying a Registered Recipient in the system. This architecture does not specify the exact format of this identifier, but possibilites are a long integer, a string, a URL etc.
- SessionId: A piece of data uniquely identifying a session of a registered recipient of customer organization in the eDocs system. This contains at least the user identifier (i.e. the CustomerId for a customer organization or the RecipientId for a registered recipient) and the time the session was initiated.
- SessionAttributeKey: The key of an attribute attached to a session. This architecture does not specify the exact format of this key. a possible value is a long integer or a flat string.
- SessionAttributeValue: The value of an attribute attached to a session. This value van be of any primitive type.
- TimeStamp: The representation of a time (i.e. date and time of day) in the system.
- Template: A document used as a template for the generation of documents.
- TemplateId: A data structure uniquely identifying a template in the system. It lists three values. It contains CustomerId which identifies the Customer Organization who the template belongs to. It also contains a DocumentType, specifying for which kind of document it is a template for. The last piece of information it contains is a TimeStamp specifying when the system received the template.