

ASPECTS OF SUPERRADIANT SCATTERING OFF KERR BLACK HOLES

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- Introduction
- Kerr black hole and the Penrose process
- Newman-Penrose formalism and the Teukolsky equation
- Analytical approximated solutions
- Numerical methods
- Scattering of planes waves
- Conclusions and future work

Superradiance

- Any radiation “enhancement” processes: scalar, electromagnetic, gravitational
- First cases being spontaneous pair creation: Klein paradox
- *Rotational superradiance*: Zeldovich absorbing cylinder

$$\omega < m\Omega$$

(Ω angular velocity, m azimuthal quantum number)

Motivation

- Kerr BHs can amplify EM and gravitational radiation
- Focus on EM wave scattering
- Future observational tests of BH superradiance and GR

Boyer-Lindquist coordinates

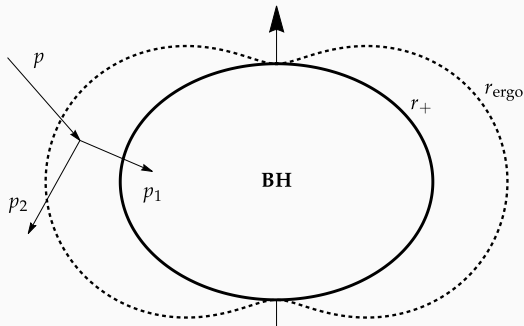
$$ds^2 = \left(1 - \frac{2Mr}{\rho^2}\right) dt^2 + 2a \sin^2 \theta \frac{(r^2 + a^2 - \Delta)}{\rho^2} dt d\varphi \\ - \frac{(r^2 + a^2)^2 - \Delta a^2 \sin^2 \theta}{\rho^2} \sin^2 \theta d\varphi^2 - \frac{\rho^2}{\Delta} dr^2 - \rho^2 d\theta^2 \\ [\Delta = r^2 - 2Mr + a^2, \quad \rho^2 = r^2 + a^2 \cos^2 \theta]$$

- BH angular momentum $J = aM$ [$a = 0 \Rightarrow$ Schwarzschild]
- Killing vectors ∂_t (stationary) and ∂_φ (axisymmetric)
- Horizons at $g^{rr} = 0 \Rightarrow \Delta = 0 \Rightarrow r = r_\pm \equiv M \pm \sqrt{M^2 - a^2}$
Cosmic censorship conjecture $\Rightarrow |a| \leq M$
- **Ergoregion** ($g_{tt} < 0$) \Rightarrow negative energy states

$$r_+ < r < r_{\text{ergo}}(\theta) \equiv M + \sqrt{M^2 - a^2 \cos^2 \theta}$$

PENROSE PROCESS

- Particle decays into two inside the ergoregion: $p = p_1 + p_2$



Killing horizon

Hypersurface $r = r_+$
with normal null vector

$$\xi = \partial_t + \Omega_H \partial_\phi$$

Event horizon angular
"velocity":

$$\Omega_H = \frac{a}{2Mr_+}$$

- We may have $E_1 = k \cdot p_1 < 0 \Rightarrow E_2 = E + |E_1| > E$
- Local energy condition $\xi \cdot p_1 > 0$ at $r = r_+ \Rightarrow E_1 - \Omega_H L_1 > 0$

$$\psi \sim e^{-i\omega t + im\phi} \Rightarrow \frac{\delta J}{\delta M} = \frac{\hbar m}{\hbar \omega} \Rightarrow \boxed{\delta M < 0 \wedge \omega(\omega - m\Omega_H) < 0}$$

Energy extraction !

NEWMAN-PENROSE FORMALISM

- EM waves on a fixed Kerr background spacetime
- Projection of Maxwell tensor onto a complex null tetrad $(\mathbf{l}, \mathbf{n}, \mathbf{m}, \bar{\mathbf{m}})$

$$\underbrace{\mathbf{E}, \mathbf{B}}_{\text{6 real components}} \longrightarrow \begin{cases} \phi_0 = F_{\mu\nu} l^\mu m^\nu \\ \phi_1 = \frac{1}{2} F_{\mu\nu} (l^\mu n^\nu - m^\mu \bar{m}^\nu) \\ \phi_2 = F_{\mu\nu} \bar{m}^\mu n^\nu \end{cases}$$

- Kinnersley tetrad

$$\begin{aligned} \mathbf{l} &= \frac{1}{\Delta} (r^2 + a^2, \Delta, 0, a) & \mathbf{m} &= \frac{1}{\sqrt{2}\bar{\rho}} (ia \sin \theta, 0, 1, i \csc \theta) \\ \mathbf{n} &= \frac{1}{2\rho^2} (r^2 + a^2, -\Delta, 0, a) & \bar{\mathbf{m}} &= \mathbf{m}^* \quad [\bar{\rho} = r + ia \cos \theta] \end{aligned}$$

Tetrad suitable for the study of incoming and outgoing radiation

\Rightarrow decoupled and separable wave equations for ϕ_0 and ϕ_2

NEWMAN-PENROSE FORMALISM

$$\begin{aligned} \nabla_\mu F^{\mu\nu} = 0 \\ \nabla_{[\mu} F_{\nu\rho]} = 0 \end{aligned} \Rightarrow \begin{array}{c} \text{NP equivalent} \\ \text{equations} \end{array} \Rightarrow \begin{array}{c} \text{4 first-order coupled complex} \\ \text{equations } (\phi_0, \phi_1, \phi_2) \end{array}$$

$$\boxed{\Upsilon_{+1} = \phi_0 \quad \Upsilon_{-1} = 2(\bar{\rho}^*)^2 \phi_2}$$



Teukolsky's equation

$$\begin{aligned} & \frac{1}{\Delta^s} \frac{\partial}{\partial r} \left(\Delta^{s+1} \frac{\partial \Upsilon_s}{\partial r} \right) + \frac{1}{\sin \theta} \frac{\partial}{\partial \theta} \left(\sin \theta \frac{\partial \Upsilon_s}{\partial \theta} \right) - \left[\frac{(r^2 + a^2)^2}{\Delta} - a^2 \sin^2 \theta \right] \frac{\partial^2 \Upsilon_s}{\partial t^2} \\ & - \frac{4Mar}{\Delta} \frac{\partial^2 \Upsilon_s}{\partial t \partial \varphi} - \left(\frac{a^2}{\Delta} - \frac{1}{\sin^2 \theta} \right) \frac{\partial^2 \Upsilon_s}{\partial \varphi^2} + 2s \left[\frac{M(r^2 - a^2)}{\Delta} - r - ia \cos \theta \right] \frac{\partial \Upsilon_s}{\partial t} \\ & + 2s \left[\frac{a(r - M)}{\Delta} + \frac{i \cos \theta}{\sin^2 \theta} \right] \frac{\partial \Upsilon_s}{\partial \varphi} - (s^2 \cot^2 \theta - s) \Upsilon_s = 0 \end{aligned}$$

Note: valid for scalar ($s = 0$) and gravitational waves ($s = \pm 2$)

General mode decomposition

$$\Upsilon_s = \int d\omega \sum_{\ell, m} e^{-i\omega t + im\varphi} {}_sS_{\ell m}(\theta) {}_sR_{\ell m}(r)$$

Angular equation

$$[z = \cos \theta, c = a\omega]$$

$$\frac{d}{dz} \left[(1 - z^2) \frac{d {}_sS_{\ell m}}{dz} \right] + \left[(cz)^2 - 2csz - \frac{(m + sz)^2}{1 - z^2} + s + {}_s\mathcal{A}_{\ell m} \right] {}_sS_{\ell m} = 0$$

- $c = 0 \Rightarrow$ Spherical symmetry (closed form)

$$e^{im\varphi} {}_sS_{\ell m}(\theta) = {}_sY_{\ell m}(\theta, \varphi) \quad {}_s\mathcal{A}_{\ell m} = \ell(\ell + 1) - s(s + 1)$$

- $c \neq 0 \Rightarrow$ Series approximation or numerical methods (Leaver/Spectral)

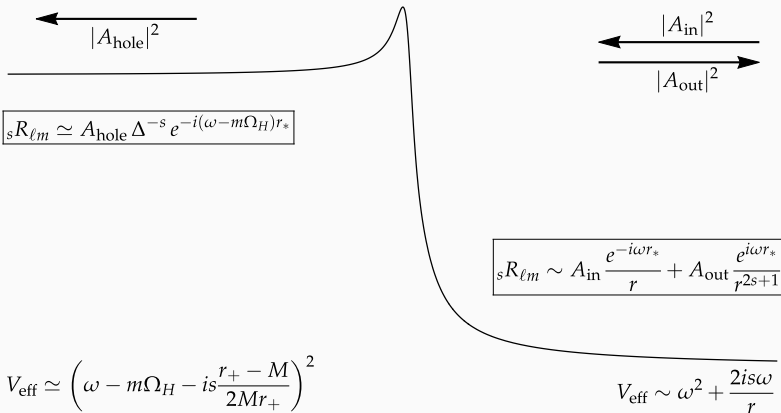
$${}_s\mathcal{A}_{\ell m} = \ell(\ell + 1) - s(s + 1) - \frac{2ms^2}{\ell(\ell + 1)}c + \mathcal{O}(c^2)$$

TEUKOLSKY EQUATION

Radial equation $\frac{1}{\Delta^s} \frac{d}{dr} \left(\Delta^{s+1} \frac{d {}_s R_{\ell m}}{dr} \right) + \left[\frac{K^2 - 2is(r-M)K}{\Delta} + 4is\omega r - \lambda \right] {}_s R_{\ell m} = 0$

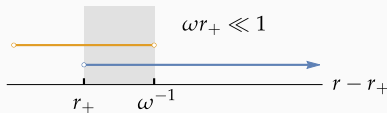
$$\Rightarrow \left(\frac{d^2}{dr_*^2} + V_{\text{eff}} \right) {}_s U_{\ell m} = 0 \quad \left[\frac{dr_*}{dr} = \frac{r^2 + a^2}{\Delta} \right]$$

where $\lambda = {}_s \mathcal{A}_{\ell m} - 2ma\omega + a^2\omega^2$ and ${}_s U_{\ell m} = \sqrt{\Delta^s (r^2 + a^2)} {}_s R_{\ell m}$



Matching of coefficients

- Solving radial equation for $r - r_+ \gg r_+$ (far) and $r - r_+ \ll \omega^{-1}$ (near)
- Extend solutions to opposite regions and match dominant monomials of $x^{\ell-s}$ and $x^{-\ell-1-s} \Rightarrow$ valid for modes $\bar{\omega} \equiv \omega r_+ \ll 1$



- Obtain loss/gain factor

$$\pm_1 Z_{\ell m} = \frac{dE_{\text{out}}}{dt} \bigg/ \frac{dE_{\text{in}}}{dt} - 1$$

$$\pm_1 Z_{\ell m} \simeq -4\bar{\omega}(\bar{\omega} - m\bar{\Omega}_H) \underbrace{(2 - \tau)(2\bar{\omega}\tau)^{2\ell} \left[\frac{(\ell - 1)!(\ell + 1)!}{(2\ell)!(2\ell + 1)!} \right]^2 \prod_{n=1}^{\ell} \left(n^2 + \frac{4\bar{\omega}^2}{\tau^2} \right)}_{\text{always} \geq 0}$$

- Mode amplification $\pm_1 Z_{\ell m} > 0 \Rightarrow \omega(\omega - m\Omega_H) < 0$

$$[x = (r - r_+)/r_+, \tau = (r_+ - r_-)/r_+, \bar{\omega} = (2 - \tau)(\bar{\omega} - m\bar{\Omega}_H)]$$

- $\pm 1 R_{\ell m} = (r_+)^{\mp 1} x^{\mp 1 - i\omega/\tau} f_{\pm}(x) \Rightarrow$ removes singular points of the eq.
- Integrate from $\epsilon \ll 1$ (stiffness) up to $x_{\infty} = 2\pi/\bar{\omega} \times 200$

$$f_{\pm}(\epsilon) = \sum_{n=0}^{N_H} \left(\frac{a_n}{a_0} \right) \epsilon^n \Rightarrow f_{\pm}(\epsilon) \simeq 1, f'_{\pm}(\epsilon) \simeq 0$$

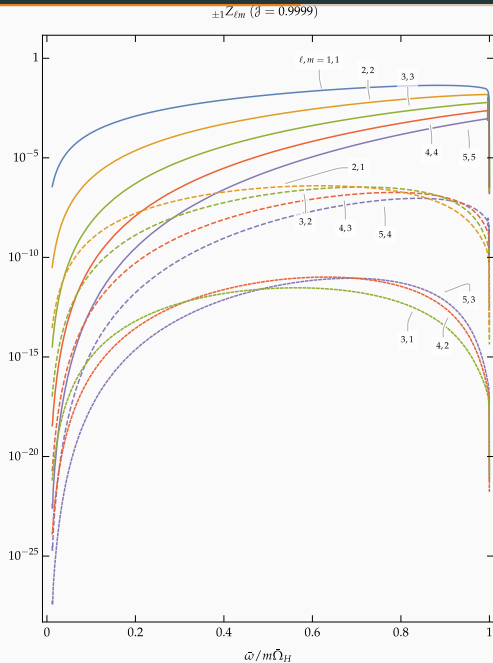
- Different asymptotic behavior: $\phi_0 \rightarrow A_{\text{in}}, \phi_2 \rightarrow A_{\text{out}}$
- Using conservation of energy $\frac{dE_{\text{in}}}{dt} - \frac{dE_{\text{out}}}{dt} = \frac{dE_{\text{hole}}}{dt}$

$\pm 1 Z_{\ell m}$	Solutions
$\frac{\mathcal{B}^2 \tau^4}{4\bar{\omega}^2(\tau^2 + 4\bar{\omega}^2)} \left \frac{f_{-}(x_{\infty})}{f_{+}(x_{\infty})} \right ^2 - 1$	ϕ_0 and ϕ_2
$-\frac{\bar{\omega} \tau^2}{\omega} \left \frac{1}{f_{+}(x_{\infty})} \right ^2$	ϕ_0
$-1 / \left(1 + \frac{\mathcal{B}^2 \tau^2 f_{-}(x_{\infty}) ^2}{4\bar{\omega} \omega (\tau^2 + 4\bar{\omega}^2)} \right)$	ϕ_2

$$[\mathcal{B}^2 = (-1 \mathcal{A}_{\ell m} + a^2 \omega^2 - 2ma\omega)^2 - 4a^2 \omega^2 + 4ma\omega]$$

NUMERICAL METHODS

- ${}_sZ_{\ell m}(-\omega) = {}_sZ_{\ell, -m}(\omega)$
- Maximum EM amplification:
 $\sim 4.4\%$
 for $\ell = m = 1$, $\omega M \simeq 0.436$
- Superradiant modes
 increase $\ell \Rightarrow \pm {}_1Z_{\ell m} \rightarrow 0$
 (potential centrifugal barrier)
- Non-superradiant modes
 $|\omega| \gg |m\Omega_H| \Rightarrow \pm {}_1Z_{\ell m} \rightarrow -1$
 (fully absorbed)



SCATTERING OF PLANE WAVES

- Realistic astrophysical source: pulsar-BH binary [Rosa, 2017]

$$\phi_2^{(\text{pl})} \sim 2\pi\epsilon_R e^{-i\omega t} \sum_{\ell,m} \left(a_{\text{out}} \frac{e^{i\omega r}}{r} + a_{\text{in}} \frac{e^{-i\omega r}}{r^3} \right) \times {}_{-1}Y_{\ell m}(\hat{\mathbf{k}})^* {}_{-1}Y_{\ell m}(\hat{\mathbf{r}}) + (\epsilon_R \rightarrow \epsilon_L^*, \omega \rightarrow -\omega)$$

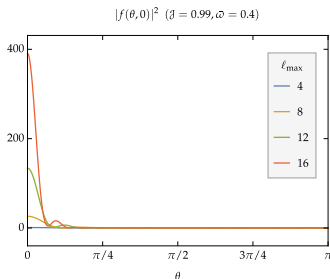
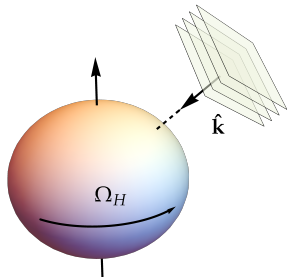
- Scattering theory description

$$\phi_2^{(\text{scatt})} = \phi_2^{(\text{pl})} + f(\theta, \varphi) e^{-i\omega(t-r_*)} / r$$

- Match *ingoing* part of $\phi_2^{(\text{pl})}$ and $\phi_2^{(\text{scatt})}$

$$f(\theta, \varphi) = -\frac{\pi\epsilon_R}{\omega} \sum_{\ell,m} \left[\frac{(-1)^{\ell+1} \ell(\ell+1)}{4\omega^2} \frac{A_{\text{out}}}{A_{\text{in}}} - 1 \right] \times {}_{-1}Y_{\ell m}(\hat{\mathbf{k}})^* {}_{-1}Y_{\ell m}(\hat{\mathbf{r}})$$

- Series divergences $\theta = \theta_0 \Rightarrow$ **phase-shifts**



SCATTERING OF PLANE WAVES

- Separate long-range $V_{\text{eff}} \sim 1/r$ effects
 \Rightarrow only phase-shifts, no effect on the amplitudes

$$f(\theta, \varphi) = f_N(\theta, \varphi) + f_D(\theta, \varphi)$$

- Newtonian phase-shifts** $\delta_N = \arg \Gamma(\ell + 1 - 2iM\omega)$

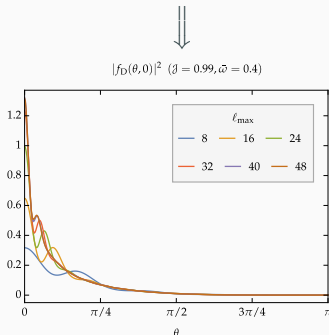
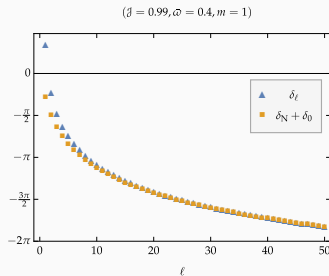
$$|f_N(\theta, 0)|^2 \sim 1/\sin^4(\theta/2) \quad (\theta_0 = 0)$$

$$\delta_\ell = \frac{1}{2} \arg \left[(-1)^{\ell+1} \frac{A_{\text{out}}}{A_{\text{in}}} \right] \longrightarrow \delta_N \quad (\ell \rightarrow \infty)$$

- Dispersed wave

$$f_D(\theta, \varphi) = -\frac{\pi \epsilon_R}{\omega} \sum_{\ell, m} \left[\frac{\sqrt{\pm 1 Z_{\ell m} + 1}}{\ell(\ell+1)/\mathcal{B}} e^{2i\delta_\ell} - e^{2i\delta_N} \right] \\ \times {}_{-1}Y_{\ell m}(\hat{\mathbf{k}})^* {}_{-1}Y_{\ell m}(\hat{\mathbf{r}})$$

- Superradiance effects masked from higher ℓ phase-shifts \Rightarrow separate lower ℓ effects



Conclusions

- Development of computation routine that numerically computes global gain/loss factors and phase shifts
- Superradiant modes with lower $\ell = m$ have larger amplification (max. 4.4% in the EM case)
- Modes with higher ℓ are fully reflected (centrifugal barrier)
- Non-superradiant modes (higher frequencies) are fully absorbed
- Phase-shifts for high ℓ modes mask superradiance

Future work

- Isolate lower ℓ modes
- Generalize to gravitational waves (max. amplification 138%)

QUESTIONS ?