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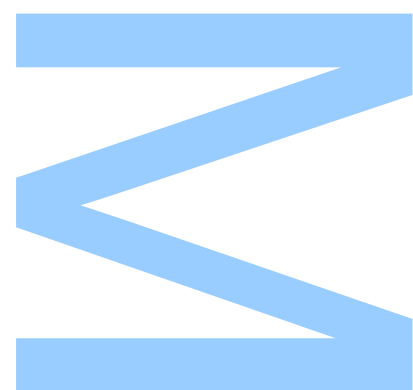
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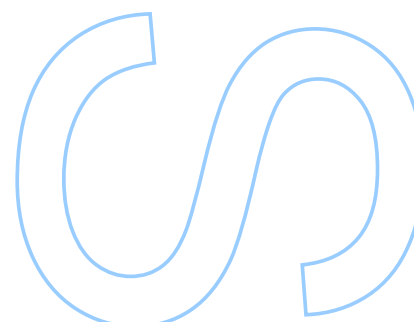
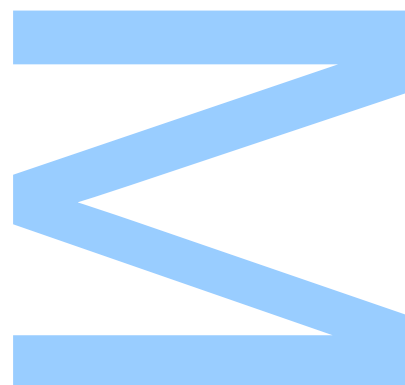
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UNIVERSIDADE DO PORTO

MASTER'S THESIS

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# Superradiance

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*A thesis submitted in fulfilment of the requirements  
for the degree of Master of Science*

*at the*

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Departamento de Física e Astronomia

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UNIVERSIDADE DO PORTO

# *Abstract*

Faculdade de Ciências da Universidade do Porto

Departamento de Física e Astronomia

Master of Science

**Superradiance**

by José Sá

The Thesis Abstract is written here (and usually kept to just this page). The page is kept centered vertically so can expand into the blank space above the title too...

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UNIVERSIDADE DO PORTO

## *Resumo*

Faculdade de Ciências da Universidade do Porto

Departamento de Física e Astronomia

Mestre de Ciência

**Nome da tese em português**

por José Sá

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# Abbreviations

<b>QM</b>	<b>Quantum Mechanics</b>
<b>BH</b>	<b>Black Hole</b>
<b>GR</b>	<b>General Relativity</b>
<b>SWSH</b>	<b>Spin-Weighted Spheroidal Harmonic</b>



# Notation and Conventions

## Units

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## Tensors and GR related

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# Chapter 1

## Superradiance

### 1.1 Introduction

The first appearance of the concept of *superradiance* was in 1954, when Dicke [1] showed that a gas could be excited by a pulse into “superradiant states” from thermal equilibrium and then emit coherent radiation.

Actually, radiation amplification can be traced to birth of Quantum Mechanics, in the beginnings of the 20th century. First studies of the Dirac equation by Klein [2] revealed the possibility of electrons propagating in a region with a sufficiently large potential barrier without the expected dampening from non-relativistic QM tunnel effect. Due to some confusion, this result was wrongly interpreted by some authors as fermionic superradiance, as if the reflected current by the barrier could be greater than the incident current. The problem was named *Klein paradox* by Sauter [3] and this misleading result was due to a incorrect calculation of the group velocities of the reflected and transmitted waves. Today, it is known that fermionic currents cannot be amplified for this particular problem [4, 5], which was correctly calculated in Klein’s original paper. On the contrary, superradiant scattering could indeed occur for bosonic fields.

Further calculations from Zel’dovich [6, 7] showed that a absorbing surface rotating with an angular velocity  $\Omega$  could scatter incident wave with frequency  $\omega$  which satisfies

$$\omega - m\Omega < 0 \tag{1.1}$$

where  $m$  is the usual azimuthal number of the monochromatic plane wave relative to the rotation axis. Condition (1.1) was to become one of the most important results of

(rotational) superradiance as it presents itself in multiple examples in the literature, *i.e.* Vavilov-Cherekov effect and anomalous Doppler effect.

## 1.2 Klein paradox as a first example

### 1.2.1 Fermions

For a minimally coupled electromagnetic potential, the usual partial derivative in the Dirac equation becomes  $D_\mu = \partial_\mu + ieA_\mu$  in order to preserve gauge invariance of the theory. Thus

$$(i\gamma^\mu D_\mu - m)\Psi = 0 \quad (1.2)$$

where  $m$  is the fermion mass. The problem is greatly simplified by considering flat space-time in (1+1)-dimensions, for which a valid representation of the gamma matrices is

$$\gamma^0 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad \gamma^1 = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \quad (1.3)$$

Following chronologically, Klein [2] used Dirac equation to study electrons in a step potential  $A(x) = V\theta(x) dt$ , for  $V > 0$  constant and plane wave solutions  $\Psi = e^{-iEt}\psi$ . For  $x < 0$ , the solution can be divided as incident and reflected, taking the form

$$\psi_{\text{inc}}(x) = \mathcal{I} e^{ikx} \begin{pmatrix} 1 \\ k \\ \frac{E+m}{2m} \end{pmatrix} \quad \psi_{\text{refl}}(x) = \mathcal{R} e^{-ikx} \begin{pmatrix} 1 \\ -k \\ \frac{E+m}{2m} \end{pmatrix} \quad (1.4)$$

while for  $x > 0$ , the transmitted wave function is written as

$$\psi_{\text{trans}}(x) = \mathcal{T} e^{iqx} \begin{pmatrix} 1 \\ q \\ \frac{E-eV+m}{2m} \end{pmatrix} \quad (1.5)$$

where  $q = [(E - eV)^2 - m^2]^{1/2}$ , by solving the eigenvalue problem. Writing the continuity condition for the complete solution at the barrier  $x = 0$ , determines the coefficients

$$\mathcal{I} + \mathcal{R} = \mathcal{T} \quad (1.6)$$

$$\mathcal{I} - \mathcal{R} = r \mathcal{T} \quad (1.7)$$

with

$$r = \frac{q}{k} \frac{E + m}{E - eV + m} \quad (1.8)$$

### 1.2.2 Bosons

Klein and Gordon develop their equation in which describes scalar fields

## 1.3 Black hole superradiance





## **Chapter 2**

# **Mathematical formalism**

### **2.1 General Relativity**

### **2.2 Kinnersley tetrad**

### **2.3 Newman-Penrose formalism**



## Appendix A

# Spin-weighted spheroidal harmonics

SWSHs play an important role BH physics and was first introduced by Teukolsky when considering non-scalar wave perturbations on a Kerr background, obtaining a separable master equation in four dimensions. After the usual change of coordinates, the polar differential equation goes as

$$\frac{1}{S} \frac{d}{dx} \left( (1-x^2) \frac{dS}{dx} \right) + (cx)^2 - 2csx - \frac{(m+sx)^2}{1-x^2} + s = -\lambda \quad (\text{A.1})$$

with  $x = \cos \theta$ , where  $\lambda$  is the eigenvalue for a given SWSH solution. Periodic boundary conditions on the azimuthal wave function constrains  $m$  to the integers.

### A.1 Connection with spheroidal and spherical harmonics

By setting  $s = 0$  (scalar) and  $c = 0$  (spherical), then it's clear that (A.1) appears as a generalization of the spherical harmonics equation. In this last case, the solution are given by the associated Legendre polynomials,  $P_\ell^m(x)$ , for which the eigenvalue is  $\ell(\ell+1)$ , restricted to the condition of  $|m| \leq \ell$ . The closed form for spherical harmonics, after normalization, is

$${}_0Y_\ell^m(x) = (-1)^m \sqrt{\frac{(2\ell+1)}{4\pi} \frac{(\ell-m)!}{(\ell+m)!}} P_\ell^m(x) \quad (\text{A.2})$$

where  $P_\ell^m$  are the associated Legendre polynomials which can be obtained using the famous Rodrigues' formula.



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