

Breast cancer risk and clinical implications for germline *PTEN* mutation carriers

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Abstract *PTEN* Hamartoma Tumor syndrome (PHTS) encompasses a clinical spectrum of heritable disorders including Cowden syndrome (CS), Bannayan–Riley–Ruvalcaba syndrome, and Proteus and Proteus-like syndrome that are associated with germline mutations in the *PTEN* tumor suppressor gene. Breast cancer risk estimates (67–85 %) for women with germline *PTEN* mutations are similar to those quoted for patients with germline mutations in the *BRCA1/2* genes. With *PTEN* on several germline gene testing panels, finding *PTEN* mutations and variants have increased exponentially. PHTS can be differentiated from other hereditary cancer syndromes including Hereditary Breast Ovarian Cancer syndrome, Lynch syndrome, and hamartomatous polyposis syndromes based on personal as well as family history. However,

many of the benign features of CS are common in the general population, making the diagnosis of CS challenging. Breast cancer patients with an identified germline *PTEN* mutation are at increased risk of endometrial, thyroid, renal, and colorectal cancers as well as a second breast cancer. Increased screening for the various component cancers as well as predictive testing in first-degree relatives is recommended. Prophylactic mastectomy may be considered especially if breast tissue is dense or if repeated breast biopsies have been necessary. Management of women with breast cancer suspected of CS who test negative for germline *PTEN* mutations should be managed as per a mutation carrier if she meets CS diagnostic criteria, and should be offered enrollment in research to identify other predisposition genes.

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Introduction

Gene panels for hereditary breast cancer risk assessment are gaining wide clinical acceptance, even though technical questions remain about the performance and clinical interpretation of gene panels. The *PTEN* tumor suppressor gene is included in the majority of hereditary breast cancer next-generation sequencing (NGS) panel offerings due to lifetime breast cancer risk estimates ranging from 67 to 85 % for women [1–3]. Multi-gene panel testing for hereditary breast cancers have shown a relatively low prevalence of germline mutations identified in *PTEN* [5, 6]. Regardless, clinicians will need to understand the clinical features, implications, and management of patients with

germline *PTEN* mutations as the phenotypic spectrum is wide and variable.

***PTEN* Hamartoma Tumor syndrome (PHTS)** encompasses a clinical spectrum of heritable disorders including Cowden syndrome, Bannayan–Riley–Ruvalcaba syndrome, and Proteus and Proteus-like syndrome that are associated with germline mutations in the *PTEN* tumor suppressor gene [1, 4]. The most studied syndrome of this group, Cowden syndrome (CS), is a multi-system disorder characterized by increased risks of malignant and benign tumors of the breast, thyroid, endometrium, and other organs, as well as a combination of mucocutaneous findings such as trichilemmomas, oral papillomas, and acral keratoses [4, 8]. PHTS can be differentiated from other hereditary cancer syndromes based on personal as well as family history. However, many of the benign features of CS are common in the general population, making the diagnosis of CS challenging [4].

Since the first description of the International Cowden Consortium's (ICC) Operational Diagnostic Criteria for CS in 1995, the spectrum of disorders and clinical features associated with CS have continued to evolve because of on-going research [9]. Current diagnostic criteria for CS are shown in Table 1 (NCCN) and have been modified from the ICC criteria [9, 10]. Prior to *PTEN* being identified, only the risks of breast and thyroid cancers were recognized by epidemiologic study [11]. With research over the last 2 decades, additional cancer risks have been added to the phenotypic spectrum of CS including increased risks for endometrial and renal carcinomas, and, to a lesser extent, colon cancer and melanoma [3]. An association between germline *PTEN* mutations and autism has been well documented in the literature with several groups identifying a 10–20 % prevalence of germline *PTEN* pathogenic variants in patients with macrocephaly and autism spectrum disorders [12, 13]. An increasing number of benign findings such as GI polyposis, lipomas, Lhermitte–Duclos disease, and arteriovenous malformations have been found to be associated with CS as well [11, 14].

Bannayan–Riley–Ruvalcaba syndrome (BRRS) is a congenital disorder of pediatric onset causing features such as macrocephaly, Hashimoto's thyroiditis, vascular malformations, hamartomatous gastrointestinal polyps, and freckling of the glans penis [15]. About 60 % of individuals with BRRS carry a detectable germline mutation in the *PTEN* gene [9]. Identical germline *PTEN* mutations have been described in individuals with CS and BRRS, and some families have affected individuals diagnosed with BRRS and others with CS. This information has shown that BRRS is allelic to CS [4, 16–19]. Proteus syndrome is a genetic condition involving overgrowth of multiple tissues in a variable pattern [9]. Studies have shown that a subset

of patients with Proteus and Proteus-like syndrome had detectable germline *PTEN* mutations confirming that these conditions are also allelic to CS [4, 16–19].

Cowden syndrome, Bannayan–Riley–Ruvalcaba syndrome, and Proteus and Proteus-like syndrome represent a spectrum of genetic conditions associated with mutations in the *PTEN* tumor suppressor gene [4]. A diagnosis of *PTEN* PHTS is given to patients with identifiable germline *PTEN* mutations to encompass the phenotypic variability and overlap of these four genetic conditions [4]. If a germline *PTEN* mutation is not identified, patients may still meet a clinical diagnosis of Cowden syndrome based on NCCN criteria (Table 1). CS can be differentiated from other hereditary cancer syndromes including Hereditary Breast Ovarian Cancer syndrome (HBOC), Lynch syndrome (LS), and hamartomatous polyposis syndromes based on personal as well as family history but given the protean nature of CS and lack of general awareness among clinicians, this can be challenging [20]. An occipito-frontal head circumference (OFC) in adults greater than 2 standard deviations is seen in the majority of adult PHTS patients, this is one useful clinical feature which can help flag which breast cancer patients are at risk of PHTS. Breast cancer patients with a large OFC should be assessed for personal/family history of other CS-related features (e.g., thyroiditis, polyps, developmental delay/autism, and other malignancies) and be referred for genetic risk assessment [20–22].

Prevalence of germline *PTEN* mutations

The true prevalence of CS remains largely unknown, with previous literature estimating an incidence of 1 in 200,000 live births and likely an underestimation [4, 23]. Elucidating the true prevalence of CS has proven to be difficult as many individuals may remain undiagnosed often due to subtle phenotypic manifestations and variability of the condition [4]. Historically, about 80 % of patients who met strict diagnostic criteria for CS were found to carry a germline *PTEN* mutation [16]. A more recent study by Tan et al. [24] expanded the initial study cohort to include patients with Cowden-like syndrome (CSL) prospectively accrued from the community and found that about 25 % of individuals will have a detectable mutation in *PTEN* [8, 9, 24].

In CS patients who do not have a germline *PTEN* mutation, several new genes may be implicated as modifiers of cancer risk. A study by Ni et al. [26] found that germline mutations and variants in the succinate dehydrogenase complex subunits, or *SDHx* genes, occur in 8 % of *PTEN* mutation negative CS and CSL individuals. Interestingly, 6 % of individuals positive for a *PTEN* mutation were also found to have *SDHx* variants. Individuals with

Table 1 National comprehensive cancer network 2013 Cowden syndrome criteria [48]

Major criteria

Breast cancer

Endometrial cancer (epithelial)

Thyroid cancer (follicular)

Gastrointestinal hamartomas (including ganglioneuromas but excluding hyperplastic polyps; >3)

Lhermitte-Duclos disease (adult)

Macrocephaly (>97th percentile: 58 cm for adult women, 60 cm for adult men)

Macular pigmentation of the glans penis

Multiple mucocutaneous lesions (any of the following):

Multiple trihilemmomas (>3, at least 1 proven by biopsy)

Acral keratoses (>3 palmoplantar keratotic pits and/or acral hyperkeratotic papules)

Mucocutaneous neuromas (>3)

Oral papillomas (particularly on tongue and gingival), multiple (>3) OR biopsy proven OR dermatologist diagnosed

Minor criteria

Autism spectrum disorder

Colon cancer

Esophageal glycogenic acanthosis (>3)

Lipomas (>3)

Intellectual disability (i.e., intelligence quotient <75)

Renal cell carcinoma

Testicular lipomatosis

Thyroid cancer (papillary or follicular variant of papillary)

Thyroid structural lesions (e.g., adenoma, multinodular goiter)

Vascular anomalies (including multiple intracranial developmental venous anomalies)

alterations in the *SDHx* genes were found to have elevated instances of breast, renal, and thyroid cancers with risk frequencies reported as higher than those for individuals with an identifiable *PTEN* mutation [25, 26]. Hypermethylation of the *KILLIN* promoter accounts for approximately 30–40 % of individuals with CS and CSL who were found to be *PTEN* mutation negative [27]. Eleven percent of individuals with CS and CSL without detectable *PTEN/SDHx/KLLN* mutations were found to carry germline mutations in either *AKT1* or *PIK3CA* [28]. Individuals who test negative for germline mutations in *PTEN* may harbor alterations in other modifier genes not included in the majority of breast cancer-specific NGS panels [26].

Penetrance of breast cancer with *PTEN* mutations

Early estimates of breast cancer risk for females with *PTEN* mutations were traditionally reported to be around 25–50 % [24, 29]. Three more recent studies (Table 2) have re-examined the lifetime risks for malignancy in patients with germline *PTEN* mutations and have found that early risk figures may have been underestimates [1–3, 8]. The largest of the three studies by Tan et al. [24] identified increased risks for several types of cancer, with

the highest risk estimate increase for female breast cancer. Tan et al. [24] identified an 85 % lifetime risk, beginning around age 30 years, for female breast cancer with 50 % penetrance by age 50 years. This risk figure is comparable to that quoted for patients with Hereditary Breast and Ovarian Cancer syndrome [8] Fig. 1. A similar study by Bubien et al. [1] found a cumulative 77 % risk for female breast cancer at age 70 years for women with *PTEN* mutations. In addition, Nieuwenhuis et al. [2] identified a 67 % risk for females with germline *PTEN* mutations developing breast cancer by age 60 years.

Several studies have also considered *PTEN* mutation status related to primary and secondary breast cancer diagnoses and found that women with *PTEN* mutations are at elevated risk for both primary and secondary breast cancers [8, 30]. These studies also identified that women with *PTEN* mutations who have had a diagnosis of breast cancer have a 29 % risk to develop a secondary breast cancer within 10 years [8, 30]. Breast cancer has been described in males with *PTEN* mutations, but an overall increased risk for male breast cancer was not established in a recent study of 3000 patients [24, 31]. Germline *SDHx* mutation was seen in ~6 % of PHTS patients and found to be associated with a higher prevalence of breast cancer

Table 2 Lifetime cancer risks for PTEN Hamartoma Tumor syndrome (PHTS) [1–3]

Cancer	General population risk (%)	Tan et al. [3] (%)	Nieuwenhuis et al. [2]	Bubien et al. [1]
Breast	12	~ 85	67	77 %
Thyroid	1	35	Women: 25 Men: 6	38 %
Endometrial	2.6	28	21	Elevated*
Renal cell	1.6	34	Women: 9	Elevated in women*
Colon	5	9	Women: 17	Elevated in men*
Melanoma	2	6	Men: 2	Elevated*

* N insufficient for further analysis

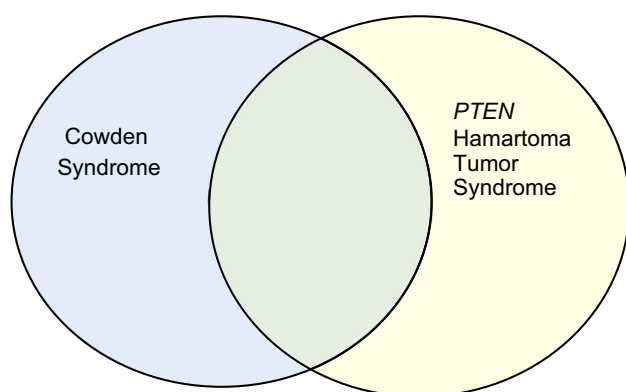


Fig. 1 Schematic diagram illustrating the relationship between Cowden syndrome (CS) And PTEN Hamartoma Tumor syndrome (PHTS). The diagram seeks to clarify the relationship between CS and PHTS. Not all patients meeting the diagnostic criteria for CS are found to be *PTEN* mutation carriers. The a priori risk will depend largely on the patient's personal and family history. Any patient identified with a germline *PTEN* mutation is considered to have PHTS and may or may not have met diagnostic criteria for CS which is a diagnosis based on clinical features. PHTS patients have increased risk of breast, endometrial, thyroid, renal, and colorectal cancers. PHTS patients will require increased surveillance and predictive testing offered to family members. CS patients who are not *PTEN* mutation carriers may have other genetic alterations and will require increased surveillance based on their personal and family history, but no predictive testing will be possible due to the absence of an identified mutation in a cancer predisposition gene

when compared to PHTS patients alone with only the *PTEN* mutation, suggesting a possible role of *SDHx* in modifying PHTS-related breast cancer risk [25, 26].

Spectrum of other PHTS-related cancers

CS is an autosomal dominant disorder characterized by multiple hamartomas and increased risk of breast, thyroid, endometrial, renal, and colorectal carcinomas. Certain brain tumors, lymphoma, and melanoma may occur also in CS but the association is less well established [32]. It is important to efficiently identify *PHTS*-related cancers

among all presentations of apparently sporadic cancer because of the increased risks of other cancers in PHTS (Table 2), namely 85 % lifetime risk of breast cancer, 35 % of thyroid cancer, 28 % of uterine cancer, and 33 % of renal cancer [3, 16, 18, 24, 33].

Based on early epidemiological studies, nonmedullary thyroid cancer was identified as a major feature and benign thyroid disorders such as thyroid adenoma and nodular hyperplasia were included as minor features toward clinical diagnosis [11]. In a recent large prospective study, we confirmed and quantified that germline mutations in *PTEN* increased the risk of epithelial thyroid cancer by more than 70-fold when compared with that of the general population [24]. In contrast, the prevalence of germline *PTEN* mutations in unselected differentiated thyroid cancer is low (<1 %) [34, 35]. A recent review of PHTS-related renal cell carcinoma demonstrated that among patients with PHTS, an overall age-adjusted Standardized Incidence Ratio (SIR) of 32-fold with a higher sex-adjusted SIR for females (46.7 vs. 21.6 for males) was seen. Reported histology of each mutation positive patient's RCC was variable. However, on central pathology re-review of eight patients, six examined lesions were determined to be of papillary subhistology, with the other two patients' tumors consistent with the initial report of chromophobe RCC. Immunohistochemistry demonstrated complete loss of *PTEN* protein in all *PTEN* mutation positive patients' papillary RCCs (pRCC) and patchy positivity in one chromophobe RCC. Physicians caring for PHTS patients should have a low threshold for investigating possible RCC in patients with relevant complaints. Renal ultrasound is not sensitive for detecting pRCC, and so PHTS patients should have alternate renal imaging (CT or MRI) [36].

Individuals with germline *PTEN* mutations have a 28 % lifetime endometrial cancer risk [3]. A recent study showed that age <50, macrocephaly, and/or prevalent or synchronous renal cell carcinoma could predict for germline *PTEN* mutation [33]. The mean age of endometrial cancer

diagnosis in those with *PTEN* mutations was 44 years, with three-quarters diagnosed under 50. This observation may guide age range for consideration of surveillance or prophylactic surgery.

The gastrointestinal (GI) tract is affected in individuals with CS with published case reports and highly selected small series highlighting the association with GI hamartomatous polyps. Although the majority of patients have been described to have hamartomatous polyps, they have also been reported to have ganglioneuromatous polyps; colonic lipomas and lymphoid aggregates; and hyperplastic, adenomatous, and inflammatory polyps [14, 37]. These polyps have been reported to occur in the esophagus, stomach, duodenum, jejunum, ileum, colon, and rectum, with the colon being the site most often affected. Whether GI neoplasias, especially malignancies, are true component phenotypes of CS was not certain due to the lack of systematic studies. The largest to date, Heald et al. demonstrated almost all (>90 %) *PTEN* mutation carriers who had a colonoscopy performed as part of clinical care had colorectal polyps typically with a mix of histological subtypes [14]. The patients who developed colorectal carcinomas also tended to have multiple polyps. These findings led to a change in clinical practice, and colorectal surveillance should now be offered to any *PTEN* mutation carrier especially those with multiple lower GI polyps. In *PTEN* mutation carriers, upper GI polyps do occur with some frequency, and, for a subset of patients, they do experience symptoms. Notably, a significant proportion (~20 %) of those with upper GI examinations had glycogenic acanthosis [14, 38, 39].

Variant classification

Our understanding of the clinical significance of any given sequence variant falls along a gradient, ranging from those in which the variant is almost certainly pathogenic to those that are almost certainly benign. This has tremendous implications for clinical practice and will affect geneticists' recommendations for predictive testing as well as for surveillance and management. This is particularly true for PHTS as many of the clinical features are commonly seen in the general population further compounding variant interpretation.

PTEN spans nine exons, with a transcript length of 3417 bps, encoding a 403 amino acid protein. The C-terminal domain contains important subdomains that are common to other signal-transducing molecules. First, *PTEN* contains a C2 domain, which is associated with phospholipid-binding regions including phospholipid membranes. Additionally, the C terminus features a PDZ-binding motif, which interacts strongly with the phosphatase domain. PDZ domains are significant regions for protein–protein

interactions that play a vital role in cellular signal transduction [40]. Removal of the PDZ domain reduces the ability of *PTEN* to inhibit one of its substrates, AKT. The C terminus also contains PEST sequences, in addition to several phosphorylation sites located in the last 50 amino acids, which both appear to play important roles in *PTEN* protein stability. The N-terminal domain contains the phosphatase domain (the enzymatic activity of *PTEN*), and it is, therefore, not surprising that the majority of *PTEN* mutations occur within this domain [32, 41].

Pathogenic variants may include large deletions, small intragenic deletions/insertions and missense, nonsense, and splice site variants and have been described in all nine exons of the *PTEN* gene [3, 24, 30, 42]. Unlike other genes, virtually all germline *PTEN* missense mutations in the coding region are believed to be pathogenic [24, 32]; these variants are uncommon in the general population with a minor allele frequencies of less than 0.1–0.5 % (unpublished data). To date, no founder mutations for CS have been described [24]. Mutations most commonly seen in *PTEN* include nonsense/frameshift mutations located in exons 5, 6, 7, and 8 [43]. More specifically, three truncation mutations, R130X, R233X, and R335X, have been well characterized in exons 5, 7, and 8, respectively [24].

Multi-gene panel testing has also led to an increase in the number of variants of uncertain significance and unexpected findings [44]. *PTEN* variants of uncertain significance have been identified by both hereditary and somatic breast cancer panels, which can create a challenge for physicians in terms of potential treatment and management options [5, 45]. Notably, it was recognized that a breast cancer and mutations in the *PTEN* promoter leading to breast cancer risks were higher than those published for individuals with mutations in *BRCA1* or *BRCA2* [24]. Although limited information is currently available for variants identified in the *PTEN* promoter region, clinical sequencing panels should include the *PTEN* promoter region as some promoter variants affecting gene expression have been reported and are considered pathogenic [8, 46].

Surveillance and management of CS

Treatment for the benign and malignant manifestations of PHTS is the same as for their sporadic counterparts. Expert opinion currently recommends annual comprehensive physical examination with special attention paid to skin and thyroid (all ages), and breast (adult women) as well as annual formal dermatologic examination, starting at 18 years (Table 3). Screening for various component cancers may start at the ages 30 and 40 as listed in Table 3 or should start 5–10 years before the youngest diagnosis of that particular cancer type in the family, whichever is earlier.

Table 3 Recommendations for cancer surveillance in patients with PTEN mutations [3]

	Adult male	Adult female
Baseline workup	Targeted history and physical examination Baseline thyroid ultrasound Dermatologic examination	Targeted history and physical examination Baseline thyroid ultrasound Dermatologic examination
Cancer surveillance		
From diagnosis	Annual thyroid ultrasound and skin examination	Annual thyroid ultrasound and skin examination
From age 30 ^a		Annual mammogram (for consideration of breast MRI instead of mammography if dense breasts) Annual endometrial sampling or transvaginal ultrasound
From age 40 ^a	Biannual colonoscopy ^b Biannual renal ultrasound/MRI	Biannual colonoscopy ^b Biannual renal ultrasound/MRI
Prophylactic surgery	N/A	Individual discussion of prophylactic mastectomy or hysterectomy

^a Surveillance may begin 5 years before the earliest onset of a specific cancer in the family

^b More frequent colonoscopy may be considered for patients with a heavy polyp burden

Some women at increased risk for breast cancer consider prophylactic mastectomy, especially if breast tissue is dense or if repeated breast biopsies have been necessary for the multiple benign pathology in the breast common in CS/CSL. The recommendation of prophylactic mastectomy is a generalization for women at increased risk for breast cancer from a variety of causes, not just from PHTS, and best managed by breast surgeons or breast medical specialists with expertise and interest in high-risk breast cancer patients. The mucocutaneous manifestations of Cowden syndrome are rarely life threatening. When asymptomatic, observation alone is prudent. When symptomatic, topical agents (e.g., 5-fluorouracil), curettage, cryosurgery, or laser ablation may provide only temporary relief [47]. The most serious consequences of PHTS related to the increased risk of cancers including breast, thyroid, endometrial, and, to a lesser extent, renal and colorectal, as described above. In this regard, the most important aspect of management of any individual with a *PTEN* mutation is increased cancer surveillance to detect any tumors at the earliest, most treatable stages.

Management of women with breast cancer suspected of CS who test negative for germline *PTEN* mutations should be managed as per a mutation carrier if she meets full CS diagnostic criteria (Table 1). Additionally, the patient should be considered for testing for other genes associated with CS-like features (e.g., *AKT1*, *PIK3CA*) [28] and research enrollment.

CS can be differentiated from other hereditary cancer syndromes based on personal as well as family history, but this can be challenging for clinicians [20]. It is important that clinicians use a combination of genotype and phenotype information when ordering breast cancer NGS panel testing [20]. Previous literature has shown that about 25 % of individuals with CS or Cowden-like syndrome (CSL)

will have a detectable mutation in *PTEN* [8, 9, 24]. If a germline *PTEN* mutation is not identified, patients may still meet a clinical diagnosis of Cowden syndrome based on NCCN criteria (Table 1). Patients with a diagnosis of breast cancer and no other phenotypic features suggestive of CS may undergo NGS panel testing and found to be positive for a germline *PTEN* mutation [8, 9, 24], and these individuals should follow *PTEN*-informed clinical management and surveillance. The treating clinician should keep in mind that *PTEN* mutations have an age-related penetrance. Patients may be found to carry a variant of uncertain significance in the *PTEN* gene from somatic tumor profiling, hereditary breast cancer panels, or both [4, 5, 7].

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Compliance with ethical standards

Conflict of interest No author had any financial or personal relationships that could inappropriately influence or bias this work.

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