

# Counting Binary Trees

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Fall 2024

# Outline

1 Counting Binary Trees

2 Selection Trees

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# Counting Binary Trees

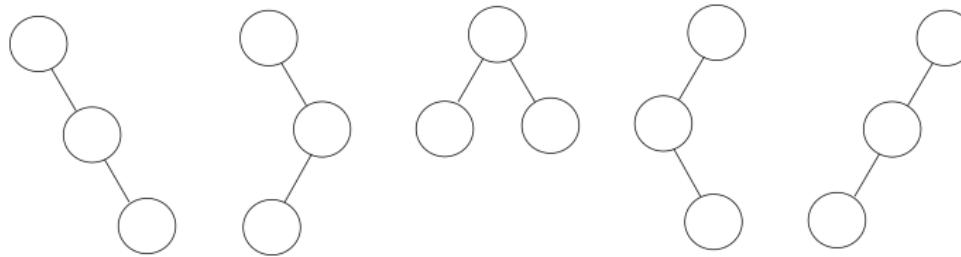
- Consider the following three disparate problems:
  - ➊ The number of distinct binary trees having  $n$  nodes.
  - ➋ The number of distinct permutations of the numbers from 1 to  $n$  obtainable by a **stack**.
  - ➌ The number of distinct ways of multiplying  $n + 1$  matrices.

# Counting Binary Trees

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  - ➌ The number of distinct ways of multiplying  $n + 1$  matrices.
- Amazingly, **these problems have the same solution!**

# Problem One

- The number of distinct binary trees having  $n$  nodes.



- ★ Example of  $n = 3$ .

## Problem Two

- The number of distinct permutations of the numbers from 1 to  $n$  obtainable by a stack.
  - ① push 1 → pop → push 2 → pop → push 3 → pop ⇒ 123.
  - ② push 1 → pop → push 2 → push 3 → pop → pop ⇒ 132.
  - ③ push 1 → push 2 → push 3 → pop → pop → pop ⇒ 321.
  - ④ push 1 → push 2 → pop → pop → push 3 → pop ⇒ 213.
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\* Example of  $n = 3$ .

# Problem Three

- The number of distinct ways of multiplying  $n + 1$  matrices.
  - ①  $((M_1 \times M_2) \times M_3) \times M_4$ .
  - ②  $(M_1 \times (M_2 \times M_3)) \times M_4$ .
  - ③  $M_1 \times ((M_2 \times M_3) \times M_4)$ .
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# Stack Permutation (1/4)

- Recall: preorder, inorder and postorder traversal of a binary tree.
  - Each traversal requires a **stack**.

Every binary tree has a unique pair of preorder/inorder sequences.

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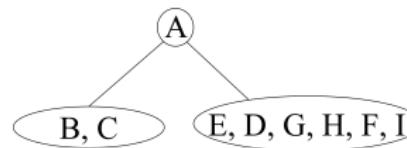
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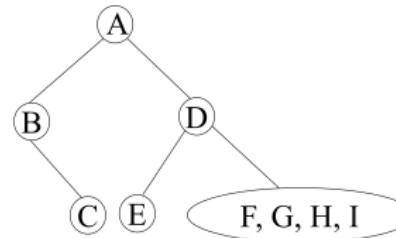
- The number of distinct binary trees is equal to the number of **inorder permutations** obtainable from binary trees having the preorder permutation,  $1, 2, \dots, n$ .

## Stack Permutation (2/4)

- preorder: A B C E D G H F I
- inorder: B C A E D G H F I



- preorder: A B C (D E F G H I)
- inorder: B C A (E D F G H I)



## Stack Permutation (3/4)

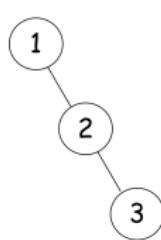
- We can show that

the number of distinct permutations obtainable by passing the numbers  $\{1, 2, \dots, n\}$  through a stack is equal to the number of distinct binary trees with  $n$  nodes.

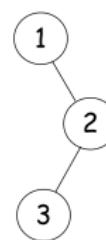
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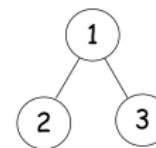
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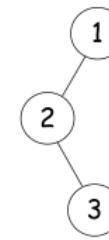
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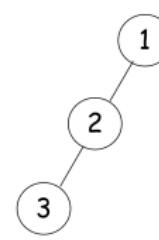
(1, 3, 2)



(2, 1, 3)



(2, 3, 1)



(3, 2, 1)

# Go Back to the Matrix Multiplication

- Computing the product of  $n$  matrices are related to the distinct binary tree problem.
- $n = 3$ :
  - ①  $(M_1 \times M_2) \times M_3$ .
  - ②  $M_1 \times (M_2 \times M_3)$ .
- $n = 4$ :
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- Trivially,  $b_1 = 1$ ,  $b_2 = 1$ .
- We have also derived that  $b_3 = 2$  and  $b_4 = 5$ .
- We can compute that

$$b_n = \sum_{i=1}^{n-1} b_i b_{n-i}, \text{ for } n > 1.$$

# Distinct Binary Trees

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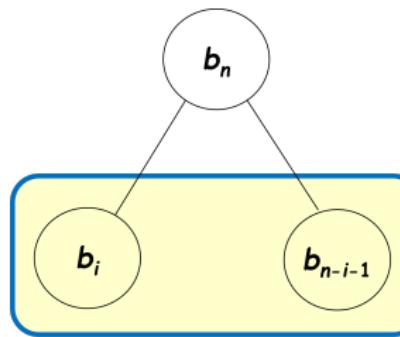
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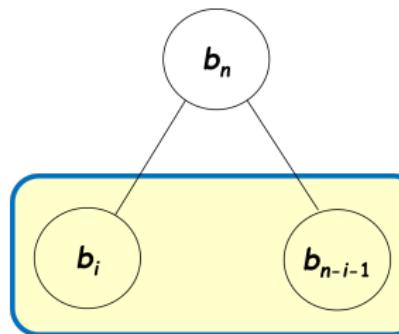
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- But, how to compute  $b_n$  exactly?

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&= 1 + x \left( \sum_{j=0}^{\infty} \sum_{k=0}^{j-1} b_k b_{j-k} x^j \right)^2 \\
&= 1 + x \left( \sum_{j=0}^{\infty} b_j x^j \right)^2 = 1 + x B(x)^2.
\end{aligned}$$



# The Generating Function Trick

- By the recurrence relation we get:

$$xB(x)^2 = B(x) - 1.$$

- Solving the recurrence relation, we have

$$\begin{aligned}B(x) &= \frac{1 - \sqrt{1 - 4x}}{2x} \\&= \frac{1}{2x} \left( 1 - \sum_{n \geq 0} \binom{1/2}{n} (-4x)^n \right) \\&= \sum_{m \geq 0} \binom{1/2}{m+1} (-1)^m 2^{2m+1} x^m.\end{aligned}$$

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\* supplementary: Stirling's approximation

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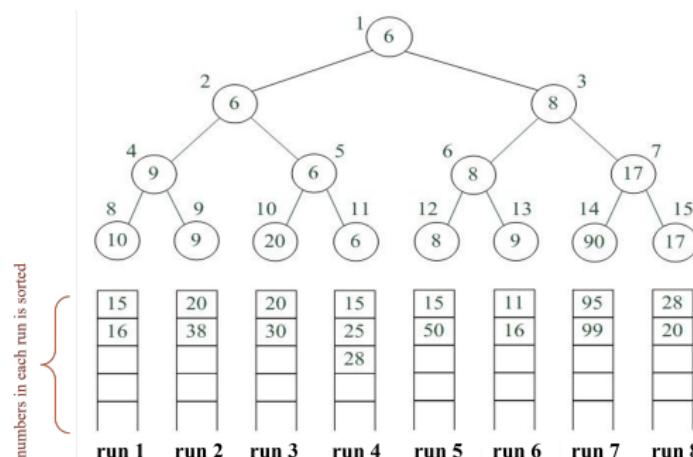
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# Winner Selection Tree

(Winner) Selection Tree:  $O(n \lg k)$  time.

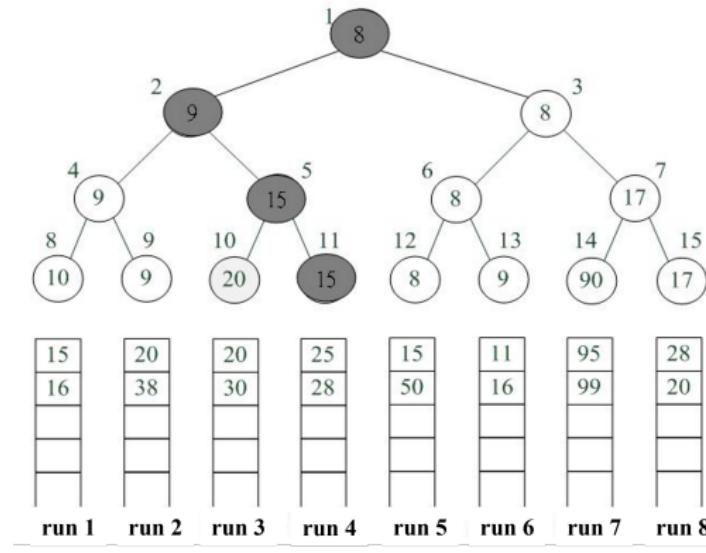
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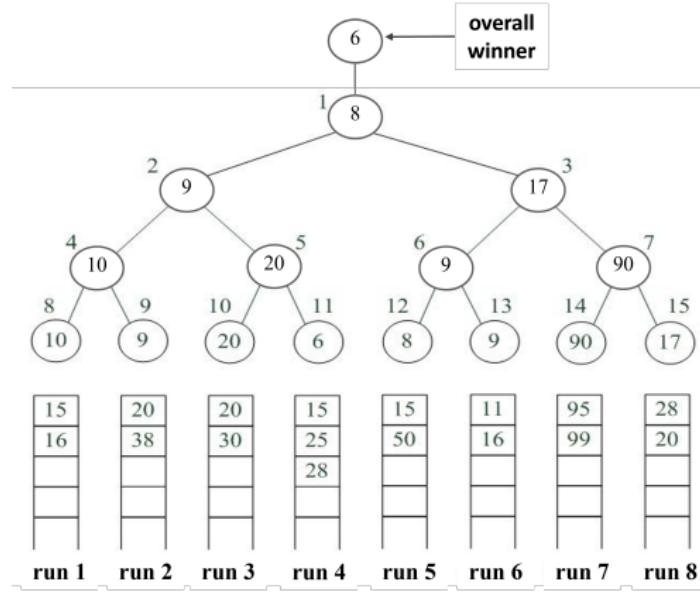
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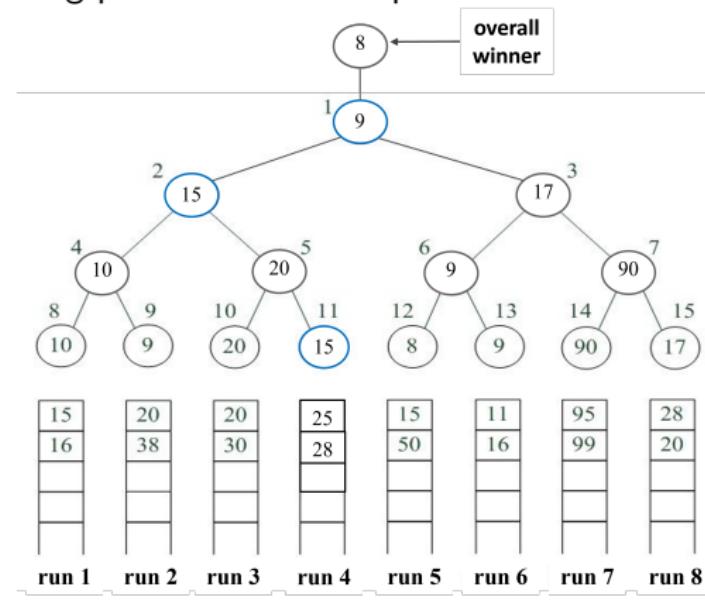
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# Note for the Loser Selection Tree

- Comparison with the sibling is required for the first construction.
- After the first construction, we only need to compare each node with its parent; “push” the smaller key value upward and left the “larger” key value as the **loser**.

# Discussions