

# Presentation for the Quantum Seminar

José Manuel Rodríguez Caballero

University of Tartu

Spring 2020

# Subject

My presentation is about the paper<sup>1</sup>:

*Bouman, Niek J., and Serge Fehr. "Sampling in a quantum population, and applications." Annual Cryptology Conference. Springer, Berlin, Heidelberg, 2010.*

My presentation may differ in some points with respect of the presentation given by the authors.

---

<sup>1</sup>I will not repeat the notation from the paper in this presentation. If someone needs a clarification, either read the paper or ask me during the presentation.

# Contributions of the paper

**Contribution 1.** The authors introduce a framework in for *sampling quantum population*.

**Contribution 2.** This framework is used in a new proof of the security of the *quantum key distribution protocol BB84* (entanglement-based version).

**Contribution 3.** This framework is used in a new proof of the security of the *quantum oblivious-transfer from bit-commitment*.

# Presentation of Contribution 1.

The authors introduce a framework in for *sampling quantum population*.

# Overview of Contribution 1

The authors define a *sampling and estimation strategy* (*sampling strategy* for short). This strategy can be used in order to study both classical and quantum populations. The “quantum error” is bounded by the square root of the “classical error”, thus reducing the quantum information-theoretical problem to a well-studied probabilistic problem.

# Sampling strategy

Let  $n$  be a positive integer,  $\mathcal{A}$  be a finite alphabet and  $\mathcal{S}$  be a finite set of seeds. Assume that  $|\mathcal{A}| \geq 2$  and  $|\mathcal{S}| \geq 1$ . A *sampling strategy* is a triplet  $(P_T, P_S, f)$ , where  $P_T$  is a probability distribution over  $\mathcal{T} := 2^{[n]}$ ,  $P_S$  is a probability distribution over  $\mathcal{S}$  and  $f$  is a real-valued function defined on the finite set<sup>2</sup>

$$\text{Dom}_f := \{(t, q, s) \in \mathcal{T} \times \mathcal{A}^* \times \mathcal{S} : |t| = |q|\}.$$

---

<sup>2</sup>The notation  $\mathcal{A}^*$  is for the free monoid over  $\mathcal{A}$ .

# Classical populations

# What is a classical population?

In the context of the paper, a *classical population* is a finite word  $q$  over the alphabet  $\mathcal{A}$ . The alphabet  $\mathcal{A}$  contains a distinguished element, that we will denote by 0. We are interested in estimating how many letters in  $q$  are different from 0, i.e., the Hamming weight of  $q$ . In order to simplify the presentation, we will use the relative hamming weight of  $q$ , denoted  $\omega(q)$ , which is the Hamming weight of  $q$  divided by its length.



## Example of classical population

The classical population could be the population of the People's Republic of Wakanda<sup>3</sup> (each person corresponds to a position in the word  $q$ ) and the alphabet could be  $\mathcal{A} = \{0, 1\}$ , where 0 and 1 stand for uninfected and infected of COVID-19, respectively. In order to estimate how many people are infested with COVID-19, we will study a random sample of the population consisting of  $k$  people, which will be small in comparison to the size of the population, i.e.  $k$  is “small” with respect to  $n$ . Finally, we will extrapolate the data from the sample to the whole population.

---

<sup>3</sup>I am considering an idealized situation, in order to avoid all the complications of the a real-life scenario, e.g., fake data and heterogeneous properties of the population. In Wakanda everything will be as homogeneous as possible.

# How to estimate in a classical population?

Given a sampling strategy  $(P_T, P_S, f)$  and a classical population  $q = q_1 q_2 q_3 \dots q_n \in \mathcal{A}^n$ . We take a random pair  $(t, s) \in \mathcal{T} \times \mathcal{S}$  (using the joint distribution of  $P_T$  and  $P_S$ ). Let

$$\tau_1 < \tau_2 < \dots < \tau_k$$

be the elements of  $t$  written in increasing order. Let

$$\bar{\tau}_1 < \bar{\tau}_2 < \dots < \bar{\tau}_{n-k}$$

be the elements of  $[n] \setminus t$  written in increasing order. We define

$$q_t = q_{\tau_1} q_{\tau_2} \dots q_{\tau_k}, \quad q_{\bar{t}} = q_{\bar{\tau}_1} q_{\bar{\tau}_2} \dots q_{\bar{\tau}_{n-k}}.$$

By definition, we *estimate* that the relative Hamming weight of  $q_{\bar{t}}$  is given by  $f(t, q_t, s)$ .

## Example of estimation in a classical population

Let's return to the example of the population of Wakanda, identified with the word  $q$ . We define  $\mathcal{S} = \{\perp\}$ , i.e., we do not use a random seed here. So,  $P_S$  is trivially the uniform distribution on  $\mathcal{S}$ . Now, let assume that  $P_T$  is the uniform distribution on subsets of size  $k$  of  $[n]$ , i.e.,

$$P_T(t) = \frac{1}{\binom{n}{k}}$$

if  $|t| = k$  and  $P_T(t) = 0$  otherwise. Finally, define

$$f(t, q, s) := \omega(q).$$

This framework is known as *random sampling without replacement*.

## Example of estimation in a classical population (continuation)

This sampling strategy is just a formalization of the heuristic approach assuming that the frequency of cases of COVID-19 in the whole population is the same as in our uniformly random sample. This is not necessarily the case in reality, e.g., people working in hospitals have a higher probability of getting infested than the remain of the population.

## Set of classical $\delta$ -close states

Let  $\delta$  be a positive real number. We define the *set of classical  $\delta$ -close states* as

$$B_{t,s}^{\delta} := \{q \in \mathcal{A}^n : |\omega(q_{\bar{t}}) - f(t, q_t, s)| < \delta\},$$

This is the set of states for which the estimation is fine up to the precision  $\delta$ .

## Example of set of classical $\delta$ -close states

In Wakanda example,  $B_{t,s}^\delta$  is the set of all possibilities (after choosing  $t$  and  $s$ ) in which the frequency of infection of COVID-19 in the sample is  $\delta$ -close to the frequency of infection in the remaining part of the population of Wakanda.

## Random set of classical $\delta$ -close states

Let  $T$  and  $S$  be random variables associated to the probability distributions  $P_T$  and  $P_S$  respectively. Notice that the pair  $(T, S)$  is a random variable. Furthermore, the evaluation of  $(t, s) \mapsto B_{t,s}^\delta$  at  $(T, S)$  determines a random variable, denoted  $B_{T,S}^\delta$  and associated to the probability distribution

$$\Pr \left[ B_{T,S}^\delta \in \Gamma \right] := \Pr \left[ (T, S) \in \left\{ (t, s) \in \mathcal{T} \times \mathcal{S} : B_{t,s}^\delta \in \Gamma \right\} \right]$$

where  $\Gamma \subset 2^{\mathcal{A}^n}$ . We call  $B_{T,S}^\delta$  the *random set of classical  $\delta$ -close states*.

## Example of random set of classical $\delta$ -close states

In Wakanda example,  $B_{T,S}^\delta$  is the random set of all possibilities (before choosing  $t$  and  $s$ ) in which the frequency of infection of COVID-19 in the sample is  $\delta$ -close to the frequency of infection in the remaining part of the population of Wakanda.



# Classical $\delta$ -error

The *classical  $\delta$ -error* is defined as

$$\varepsilon_c^\delta := \max_{q \in \mathcal{A}^n} \Pr \left[ B_{T,S}^\delta \in \{X \in 2^{\mathcal{A}^n} : q \notin X\} \right].$$

$\varepsilon_c^\delta$  measures the probability that the sampling strategy fails in the worst-case scenario<sup>4</sup>.

---

<sup>4</sup>Notice that the expression inside the bracket is equivalent to  $q \notin B_{T,S}^\delta$ .

## Example of classical $\delta$ -error

In Wakanda example,  $\varepsilon_c^\delta$  is the largest probability, with respect to all possible populations, that the frequency of infection of the sample is not  $\delta$ -close to the frequency of infection of the remaining part of the population of Wakanda.

For  $k \leq \frac{n}{2}$  (as it should be in practice), we have

$$\varepsilon_c^\delta \leq 2 \exp \left( -\frac{\delta^2 k}{2} \right).$$

# Quantum populations

# What is a quantum population?

In the context of the paper, a *quantum population* is a quantum state from  $\mathcal{H}_A \otimes \mathcal{H}_E$ , where  $\{|q\rangle\}_{q \in \mathcal{A}^n}$  is an orthonormal basis of the Hilbert space  $\mathcal{H}_A$  (populations as such) and  $\mathcal{H}_E$  (environment) is also a finite dimensional Hilbert space.

## Example of quantum population

Imagine that People's Republic of Wakanda isolated itself from the rest of the universe in such a way that information exchange with the exterior is no longer possible<sup>5</sup>. Inside a lab of virology, there was an accident and some particles of the virus SARS-CoV-2 (associated to the illness COVID-19) escaped to the lab of physics. More precisely, the viral particles fall into a radioactive sample. If the radioactive sample decay, the radiation will kill the virus, but until then, all the physicists using the sample will get infected of COVID-19.

---

<sup>5</sup>This mental experiment is a variation of the famous Schrödinger's cat.

## Example of quantum population (continuation)

From the point of view of an outsider, the population of Wakanda will be a quantum population: the health state (infested or not) of the people (population as such) will be entangled with the quantum state of the above-mentioned radioactive sample (environment).

# How to estimate in a quantum population?

Given a sampling strategy  $(P_T, P_S, f)$  and a quantum population  $|\varphi_{AE}\rangle \in \mathcal{H}_A \otimes \mathcal{H}_E$ . We take a random pair  $(t, s) \in \mathcal{T} \times \mathcal{S}$  (using the joint distribution of  $P_T$  and  $P_S$ ). We apply the measurement of the system  $A$  in the positions indexed by the elements of  $t$ . The result will be classical population  $q_t$ . By definition, we *estimate* that the post-measurement state of  $|\varphi_{AE}\rangle$ , denoted  $|\varphi_{A_{\bar{t}}E}\rangle$  is a superposition of states  $\delta$ -close to  $f(t, q_t, s)$ .

# Example of estimation in a classical population

The equivalent of a measurement of the part  $t$  of a quantum population is to ask for people corresponding to the numbers in  $t$  to get out Wakanda (without destroying the quantum entanglement of the remaining population) and to be tested for COVID-19 outside. From this information, we should be able to estimate the frequency of people in the remaining quantum population of Wakanda (post-measurement state).



## Presentation of Contribution 2.

This framework is used in a new proof of the security of the *quantum key distribution protocol BB84* (entanglement-based version).

## Presentation of Contribution 3.

This framework is used in a new proof of the security of the *quantum oblivious-transfer from bit-commitment*.

**End of my presentation**