### Presentation for the Quantum Seminar

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## Subject

My presentation is about the paper<sup>1</sup>:

Bouman, Niek J., and Serge Fehr. "Sampling in a quantum population, and applications." Annual Cryptology Conference. Springer, Berlin, Heidelberg, 2010. URL = https://arxiv.org/pdf/0907.4246.pdf

¹I will not repeat the notation from the paper in this presentation. If someone needs a clarification, please, either ask me during the presentation or read Bouman-Fehr paper.

### Outline

The main contributions of Bouman-Fehr paper are the following.

- (I) Introduction of a theory of sampling and estimate strategies for classical and quantum populations.
- (II) A new proof of the security of the protocol for quantum key distribution BB84 (and the entanglement-based version of it).
- (III) A new proof of the security of the protocol Quantum Oblivious Transfer<sup>2</sup> (QOT).

<sup>&</sup>lt;sup>2</sup>We consider that (i) and (ii) are enough in order to understand the technique developed Bouman-Fehr paper. So, we will omit (iii) in this presentation because of time constrains.

## **Brief History**

- (i) The protocol BB84, developed by Charles Bennett and Gilles Brassard<sup>3</sup> in 1984, was the first quantum key distribution protocol.
- (ii) An entanglement-based version of BB84 was proposed by Artur K. Ekert<sup>4</sup> in 1991. The security of this version of BB84 implies the security of the original protocol.
- (iii) The first security proof of BB84 was published by Dominic Mayers<sup>5</sup> in 1996.

<sup>&</sup>lt;sup>3</sup>C. H. Bennett and G. Brassard, "Quantum cryptography: Public-key distribution and coin tossing," in Proceedings of IEEE International Conference on Computers, Systems and Signal Processing, Bangalore, India, 1984, (IEEE Press, 1984), pp. 175–179

<sup>&</sup>lt;sup>4</sup>Artur K. Ekert. Quantum cryptography based on Bell's theorem. Physical Review Letter, 67(6):661–663, August 1991.

<sup>&</sup>lt;sup>5</sup>Mayers, D. 1996. Quantum key distribution and string oblivious transfer in noisy channels. Advances in Cryptology–Proceedings of Crypto '96 (Aug.).

Springer-Verlag, New York, pp. 343–357

## Description

Let  $n \geq 2$  and  $1 \leq k \leq \frac{n}{2}$  be the integer parameters of the following protocol. The entanglement-based BB84 protocol can be divided into the following steps<sup>6</sup>.

- (i) Qubit distribution.
- (ii) Error estimation.
- (iii) Error correction.
- (iv) Key distillation.

<sup>&</sup>lt;sup>6</sup>The explanation of each step will be developed in the next slides ← ≥ → ○ ○ ○

### Qubit distribution

- (i) Alice prepare *n* EPR pairs  $\frac{1}{\sqrt{2}}\left(|00\rangle+|11\rangle\right)$ .
- (ii) Alice sends one qubit for each pair to Bob.
- (iii) Bob confirms the receipt of the qubits.
- (iv) Alice picks random  $\theta \in \{0,1\}^n$  and send it to Bob.
- (v) Alice and Bob measure their respective qubits in basis  $\theta$  (0 for computational, 1 for Hadamard) and the results of the measurements are registered in x and y respectively.

#### Error estimation

- (i) Alice chooses a random subset  $s \subset [n]$  of size k and send it to Bob.
- (ii) Alice and Bob exchange  $x_s$  and  $y_s$ .
- (iii) Alice and Bob both compute  $\omega(x_s \oplus y_s)$ .

#### Error correction

- (i) Alice send the syndrome **syn** of  $x_{\overline{s}}$  to Bob with respect to a suitable linear error correcting code. Let m be the bit-size of **syn**.
- (ii) Bob uses **syn** to correct the errors in  $y_{\overline{s}}$  and obtains  $\hat{x}_{\overline{s}}$ .

## Key distillation

- (i) Alice chooses a random seed r for a universal hash function g with range  $\{0,1\}^{\ell}$ , where  $\ell < (1-h(\beta)) \, n-k-m$  (or  $\ell=0$  if the right-hand side is not positive).
- (ii) Alice sends r to Bob.
- (iii) Alice and Bob compute their keys  $\mathbf{k} := g(r, x_{\overline{s}})$  and  $\hat{\mathbf{k}} := g(r, \hat{x}_{\overline{s}})$ .

## Security claim (statement)

Consider an execution of the entanglement-based BB84 in the presence of an adversary Eve. Let  $\mathbf{K}$  be the key obtained by Alice, and let E be Eve's quantum system at the end of the protocol. Let  $\tilde{\mathbf{K}}$  be chosen uniformly at random of the same bit-length as  $\mathbf{K}$ . Then, for any  $0<\delta\leq\frac{1}{2}-\beta$ , the inequality

$$\Delta\left(\rho_{\mathsf{K}E},\rho_{\mathsf{K}E}\right) \leq \frac{1}{2}\exp\left[-\frac{\ln 2}{2}\left((1-h(\beta+\delta))n-k-m-\ell\right)\right] +2\exp\left(-\frac{\delta^2 k}{6}\right)$$

holds.

# Security claim (application)

Let  $\varepsilon > 0$ . The security claim can be used in order compute a possible value for  $\ell$  such that  $\Delta\left(\rho_{\mathbf{K}E}, \rho_{\mathbf{\tilde{K}}E}\right) \leq \varepsilon$ .

# Security proof (sketch)

There is a quantum state  $\rho_{\beta,\delta}$  satisfying the following conditions.

(i) Quantum error:

$$\Delta\left(
ho_{\mathsf{KE}},
ho_{eta,\delta}
ight)\leq 2\exp\left(-rac{\delta^2k}{6}
ight).$$

(ii) Privacy amplification:

$$\Delta\left(\rho_{\beta,\delta},\rho_{\tilde{\mathbf{K}}E}\right) \leq \frac{1}{2} \exp\left[-\frac{\ln 2}{2}\bigg((1-h(\beta+\delta))n-k-m-\ell\bigg)\right].$$

Applying triangular inequality we get the desired result.

#### Motivation

In this presentation, the motivation for introducing the theory of sampling and estimation strategies for quantum and classical populations is to guarantee the existence of the quantum state  $\rho_{\beta,\delta}$  satisfying the conditions of the previous slide.

#### Main definition

Let  $\mathcal{I}$  be a finite set of indices,  $\mathcal{S}$  be a finite set of seeds and  $\mathcal{A}$  be a finite alphabet. Define  $\mathcal{T} := 2^{\mathcal{I}}$ . A sampling and estimation strategy (a strategy for short) is given<sup>7</sup> by  $\Psi := (\mathcal{A}, \mathcal{I}, \mathcal{S}, P_{TS}, f)$ , where  $P_{TS}$  is a probability distribution over  $\mathcal{T} \times \mathcal{S}$  and f is a real-valued function over

$$\mathsf{Dom}_f := igcup_{(t,s) \in \mathcal{T} imes \mathcal{S}} ig\{ (t,q,s) : \quad q \in \mathcal{A}^t ig\} \,.$$

<sup>&</sup>lt;sup>7</sup>Our definition is slightly different of the definition given in Bouman-Fehr paper, but equivalent to it.

## Main example

**Strategy**  $\Psi_{n,k}$ : Pairwise one-out-of-two sampling, using only part of the sample.

Consider the integer parameters  $n \geq 2$  and  $1 \leq k \leq \frac{n}{2}$ . Let  $\mathcal{A} := \{0,1\}$ ,  $\mathcal{I} := [n] \times \{0,1\}$  and  $\mathcal{S} := \mathcal{T}$ . The probability distribution  $P_{TS}$  is given by

$$P_{TS}(t,s) = \frac{1}{2^n \binom{n}{k}}$$

if for some  $(j_1,...,j_n) \in \{0,1\}^n$  we have  $t = \{(\ell,j_\ell): 1 \le \ell \le n\}$ , |s| = k and  $s \subset t$ . Otherwise,  $P_{TS}(t,s) := 0$ . Furthermore,  $f(t,q,s) := \omega(q_s)$ .

## Existence of $\rho_{\beta,\delta}$ and quantum error (sketch)

- (i) The error estimation phase in the protocol is interpreted as strategy  $\Psi_{n,k}$ .
- (ii) The classical error of the strategy is bounded above by  $4\exp\left(-\frac{\delta^2k}{3}\right)$  using well-known techniques from probability theory (Hoeffding's inequality).
- (iii) The quantum error of the strategy is bounded above by the square root of the classical error of the strategy, i.e.,  $2\exp\left(-\frac{\delta^2 k}{6}\right)$ .
- (iv) According to the definition of the quantum error, there exists a state  $\rho_{\beta,\delta}$ , which is a superposition of states having relative Hamming weight<sup>8</sup>  $\delta$ -close to  $\beta$ , for which  $\Delta\left(\rho_{\mathbf{K}E},\rho_{\beta,\delta}\right)$  is bounded above by the quantum error, a fortiori by  $2\exp\left(-\frac{\delta^2k}{6}\right)$ .

<sup>&</sup>lt;sup>8</sup>The basis from which the Hamming weight is taken are the Hadamard basis for Alice and the computational basis for Bob. ←□→←②→←②→←②→←②→

# Privacy amplification (sketch)

(i) The fact that  $\rho_{\beta,\delta}$  is a superposition of states having relative Hamming weight  $\delta$ -close to  $\beta$  implies the inequality

$$H_{\min}(W|\Theta Z S E_0) \geq (1 - h(\beta + \delta)) n.$$

(ii) Applying the chain rule to the inequality above, we get

$$H_{\min}(X_{\overline{S}}|\Theta\ Z\ X_S\ {\sf SYN}\ E_0) \geq (1-h(\beta+\delta))\ n-k-m.$$

(iii) In virtue of the privacy amplification inequality,  $\Delta\left(\rho_{\beta,\delta},\rho_{\tilde{\mathbf{K}}E}\right) \leq \frac{1}{2} \cdot 2^{\left(H_{\min}(X_{\overline{S}}|\Theta \ Z \ X_{S} \ \mathbf{SYN} \ E_{0})-\ell\right)/2}.$ 

From (ii) and (iii) we conclude the desired inequality.

# End of my presentation