

Introduction to algorithms

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## Demonstration 4

1

Q. Let  $f \in O(n^{\log_b a - \epsilon})$  with  $\epsilon > 0$ . Let  $T: \mathbb{N} \rightarrow \mathbb{R}_{\geq 0}$  such that

$$T(b^k) = \begin{cases} c & \text{if } k = k_0, \\ aT(b^{k-1}) + f(b^k) & \text{otherwise} \end{cases}$$

Show that  $T \in \Theta(n^{\log_b a})$  if  $n$  is a power of  $b$ .

Solution: Let  $g(b^{k_0}) = c/a^{k_0}$ , and  $g(b^k) = f(b^k)/a^k$  for all  $k > k_0$ . We have being shown by induction that  $T(b^k) = a^k [g(b^{k_0}) + g(b^{k_0+1}) + \dots + g(b^k)]$ , which proves that  $T \in \Omega(n^{\log_b a})$  if  $n$  is a power of  $b$  as  $a^k = (b^k)^{\log_b a}$ .

It remains to prove that  $T \in O(n^{\log_b a})$  if  $n$  is a power of  $b$ . Since  $f \in O(n^{\log_b a - \epsilon})$ , there are  $n_0 \in \mathbb{N}$ ,  $d \in \mathbb{R}_{>0}$  such that  $f(n) \leq dn^{\log_b a - \epsilon}$  for all  $n \geq n_0$ .

Let  $i \geq \max(n_0, k_0)$ , then:

$$\begin{aligned} g(b^i) &= f(b^i) / a^i \\ &\leq d(b^i)^{\log_b a - \epsilon} / a^i \\ D b^i &= i(\log_b a - \epsilon) / b^{\log_b a i} \\ &= D / b^{\epsilon i}. \end{aligned}$$

Thus for  $k \geq \max(n_0, k_0)$ , we have

Since  $a_k = (b_k)_{\log_b a}$ , we conclude that  $T \in O(n_{\log_b a})$  ( $n$  is a power of  $b$ ) and so we have  $T \in \Theta(n_{\log_b a})$  ( $n$  is a power of  $b$ ).

Question: Show that all conditions in order to apply the harmony rule are required. Specifically, exhibit  $b \geq 2$ , and  $f, t: \mathbb{N} \rightarrow \mathbb{R}_{\geq 0}$  such as  $t(n) \in \Theta(f(n))$ :  $n$  is a power of  $b$ ), but  $t \notin \Theta(f)$ . Give three pairs of functions  $f, t$  subject with the following additional conditions:

- Solution:**

- 2

such that  $\forall n \geq n_0$  we have  $t(n) \geq cf(n)$ . Let  $m > \max(d_0, 1/c)$  a number which is not a power of  $b$ . According to our assumption, we have  $t(m) \geq cf(m) = cm > c \cdot \frac{1}{c} = 1$ . Now by definition  $t(m) = 1$ , which is a contradiction with the above.

2. Necessity of  $f(bn) \in O(f(n))$  Let  $b = 2$ ,

$$t(n) = 2^{\lceil \log n \rceil},$$

$$f(n) = 2^{\lfloor \log n \rfloor}.$$

By definition,  $t$  and  $f$  are not decreasing, and we have  $t(2^i) = f(2^i) = 2^{2^i}$ .

Suppose  $f(2n) \in O(f(n))$ , then  $\exists n_0, c \in \mathbb{R}_{>0}$  such that  $n \geq n_0 \forall n$  we

$f(2n) \leq cf(n)$ . Let  $m = \max(d_0, \lceil c \rceil + 1)$ . We have  $f(2^{m+1}) / f(2^m) \leq c$ , or

$$\frac{f(2^{m+1})}{f(2^m)} = \frac{2^{2^{m+1}(m+1)}}{2^{2^m m}} \geq \frac{2^{2^{m+1}(m+1)}}{2^{2^{m+1}m}} = 2^{2^{m+1}} \geq m > c,$$

which is a contradiction.

Let us now show that  $t \notin \Theta(f)$ . Suppose that  $t \in \Theta(f)$ , then  $\exists n_0 \in \mathbb{N}, c \in \mathbb{R}_{>0}$  such that  $\forall n \geq n_0$  we have  $t(n) \leq cf(n)$ . Let  $m = \max(d_0, \lceil c \rceil + 1)$ .

We  $t(2^{m+1}) / f(2^{m+1}) \leq c$ , or

$$\frac{t(2^{m+1})}{f(2^{m+1})} = \frac{2^{(2^{m+1})(m+1)}}{2^{(2^{m+1})m}} = 2^{2^{m+1}} \geq m > c,$$

which is a contradiction. Thus,  $t \notin \Theta(f)$ .

3. Necessity of  $f$  end: Let  $t(n) = n$  and

$$f(n) = \begin{cases} n & \text{if } n \text{ is a power of } b, \\ 1 & \text{otherwise} \end{cases}$$

When  $n$  is a power of  $b$ , we have  $t(n) = f(n) = n$ . Moreover,  $f(bn) \in O(f(n))$ . Indeed, if  $b \cdot n = b^k$  we have

$$f(bn) = f(b^{k+1}) = b^{k+1} = bf(b^k) = bf(n),$$

and if  $n$  is not a power of  $b$  we have

$$f(bn) = 1 \leq b = bf(n).$$

Now,  $t \notin \Theta(f)$ , by an argument similar to that given in point 1.

Question: Consider a function  $t: \mathbb{N} \rightarrow \mathbb{R}_{>0}$  eventually nondecreasing such than

$$\forall n \geq n_0 \quad t(n) \leq t(\lfloor n/2 \rfloor) + t(\lceil n/2 \rceil) + t(1 + \lceil n/2 \rceil) + cn.$$

Borne  $t$  with  $O$  notation.

Solution: Let  $m_0$  the threshold from which  $t$  is nondecreasing. Let  $n \geq \max(2m_0, n_0)$ ,

then  $\lfloor n/2 \rfloor \geq 0$ . Thus  $t(\lfloor n/2 \rfloor) \leq t(\lceil n/2 \rceil) \leq t(1 + \lceil n/2 \rceil)$  and of the blow

$$t(n) \leq 3t(1 + \lceil n/2 \rceil) + cn. \quad (1)$$

Let  $T(n) = t(n+2)$ . Let  $n \geq \max(2m_0, n_0)$ , then

$$\begin{aligned} T(n) &= t(n+2) \\ &\leq 3t(1 + \lceil (n+2)/2 \rceil) + c(n+2) \\ &= 3t(2 + \lceil n/2 \rceil) + c(n+2) \\ &\leq 3t(2 + \lceil n/2 \rceil) + c \cdot 2n \\ &= 3T(\lceil n/2 \rceil) + 2cn. \end{aligned}$$

Thus,  $T(n) \leq 3T(\lceil n/2 \rceil) + (2c)$  for all  $n \geq \max(2m_0, n_0)$ . By applying a theorem in class (theorem on asymptotic recurrences) with

$$a = 3, b = 2, f(n) = n, \varepsilon = 1/2 > 0$$

we get  $T \in O(n^{\log_2 3})$ . Since  $t(n) = T(n-2) \leq T(n)$ , we conclude that  $t \in O(n^{\log_2 3}) = O(n^{1.585})$ .

4

Question: Solve the following recurrence exactly

$$t_n = \begin{cases} n+1 & \text{if } n=0 \text{ or if } n=1, \\ 3t_{n-1} - 2t_{n-2} + 3 \cdot 2_{n-2} & \text{if not} \end{cases} \quad (2)$$

Solution: For  $n > 1$  we have  $t_n - 3t_{n-1} + 2t_{n-2} = (3/4) \cdot 2_n$ . Thus the polynomial Characteristic of recurrence is  $p(x) = (x^2 - 3x + 2)(x-2) = (x-1)(x-2)(x-2)$ . The roots are 1 and 2 (multiplicity 2). The root 2 generates

$$(c_1 + c_2 n) \cdot 2_n$$

4

and the root 1 generates

$$c_3 \cdot 1_n.$$

So,

$$t_n = a_1 + c_2 \cdot 2_n \cdot 2_n + c_3.$$

Using the initial conditions given by (2) we get the following system

$$\begin{aligned} t_0 - C_1 &= 1, \\ t_1 - 2C_1 &= 2, \\ t_2 - 4C_1 &= 7. \end{aligned}$$

As we have

$$\begin{bmatrix} 1 & 0 & 1 & 1 \\ 2 & 2 & 1 & 2 \\ 4 & 8 & 1 & 7 \end{bmatrix} \sim \begin{bmatrix} 1 & 0 & 0 & -2 \\ 0 & 1 & 0 & 3/2 \\ 0 & 0 & 1 & 3 \end{bmatrix}$$

So we get  $t_n = -2 \cdot 2_n + (3/2) 2_n \cdot 2_n + 3 = (3n-4) 2_{n-1} + 3$ .

Question: Solve the following recurrence exactly

$$T(n) = \begin{cases} a & \text{if } n = 0 \text{ or if } n = 1, \\ T(n-1) + T(n-2) + c & \text{otherwise} \end{cases}$$

Solution: For  $n > 1$  we have  $T(n) - T(n-1) - T(n-2) = c = 1_n c$ . Thus the Characteristic polynomial recurrence is  $p(x) = (x^2 - x - 1)(x - 1)$ . Thus the roots of  $p$  are 1 and  $\frac{1 \pm \sqrt{5}}{2}$ , All of multiplicity 1. Denoting  $\phi = \frac{1 + \sqrt{5}}{2}$ , We have

$$T(n) = c_1 + c_2 \phi^n + c_3 (1 - \phi)^n.$$

We obtain the system

$$\begin{aligned} T(0) &= c_1 + c_2 + c_3 = a, \\ T(1) &= c_1 + c_2 \phi + c_3 (1 - \phi) = a, \\ T(2) &= c_1 + c_2 \phi^2 + c_3 (1 - \phi)^2 = 2a + c. \end{aligned}$$

We can solve the system either by directly applying the Gauss-Jordan as previously on the matrix

$$\begin{bmatrix} 1 & 1 & 1 & a \\ 1 & \phi & 1 - \phi & a \\ 1 & \phi^2 & (1 - \phi)^2 & 2a + c \end{bmatrix}$$

5

or by proceeding first by substitution, which gives:

$$c_1 = a - c_2 - c_3$$

The system then becomes

$$\begin{aligned} (\phi - 1) c_2 - c_3 &= 0 \\ (\phi^2 - 1) + c_2 (\phi^2 - 2\phi) - c_3 &= a + c \end{aligned}$$

With Gauss-Jordan we get

$$\begin{aligned} \begin{bmatrix} \phi - 1 & -\phi & 0 \\ \phi^2 - 1 & \phi^2 - 2\phi & a + c \end{bmatrix} &\sim \begin{bmatrix} 1 & -\phi & 0 \\ 0 & \phi(2\phi - 1) & a + c \end{bmatrix} \\ &\sim \begin{bmatrix} 1 & 0 & \frac{a+c}{\phi(2\phi-1)} \\ 0 & 1 & \phi(2\phi-1) \end{bmatrix} \end{aligned}$$

Since  $2\phi - 1 = \sqrt{5}$  and  $\phi(1 - \phi) = -1$ , we obtain  $c = -c_1$ ,  $c_2 = \phi(a + c) / \sqrt{5}$ ,  $c_3 = -(1 - \phi)(a + c) / \sqrt{5}$ . We conclude that  $T(n) = -c + \frac{\phi^{n+1} - a + \sqrt{5}}{\sqrt{5}} (1 - \phi)^{n+1}$ .

Question: Bound the following recurrence for  $n$  a power of 2

$$T(n) = \begin{cases} 1 & \text{if } n = 1 \\ 2T(n/2) + \log n & \text{otherwise} \end{cases}$$

Solution: We can use the lemma of the powers of b. If  $n = 2^k$  we

$$T(2^k) = \begin{cases} 1 & \text{if } k = 0, \\ 2T(2^{k-1}) + k & \text{if } k > 0 \end{cases}$$

We find the general case the lemma with  $a = 2$ ,  $b = 2$ ,  $\log_b a = \log_2 2 = 1$  and  $f(n) = \log(n)$ . Since we can bound  $f(n)$  by  $\sqrt[n]{n}$ , we can apply query the case 1 of the lemma with  $\epsilon = 1/2$ . Indeed, we have found  $\epsilon > 0$  such that  $f(n) \in O(n^{\log_b a - \epsilon})$  as  $O(n^{\log_2 2 - (1/2)}) = O(n^{1/2})$  (not). This implies that  $T(n) \in \Theta(n^{\log_b a})$  if  $n$  is a power of  $b$  is  $\Theta(n)$  if  $n$  is a power of 2.