

# MATC32 Notes

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**Abstract**

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**Graph Theory and Algorithms for its Applications**

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# 1 Introduction

In this course, we are analyzing graphs, not traditional graphs but tree and network structures.

## 1.1 Basic Terms

**Vertex:** aka. node, points, arcs are the points on a graph.

**Edges:** aka. bonds, lines are the connections that connect each vertex.

**Degree:** the number of connections per vertex.

**Order:** Number of vertices.

**Size:** Number of edges.

$K_{n,m}$  : is a graph with **order**  $n + m$  and **size**  $n * m$ .

**Neighbor:**  $u, v \in V$ ,  $u$  is a neighbor of  $v$  if  $uv \in E$ .

**Complete graph:** a graph in which all nodes have max degrees.

**Adjacent edges:** 2 edges are adjacent if they share a vertex.

Each graph has two sets:

$\bar{V}$  : the non-empty set that includes all the vertices.

$E$  : the set that includes all the edges. Note:  $ab = ba \in E$

**Connected:** A graph is connected if for every  $u \neq v \in V$  there exists a  $u - v$  walk. Connection implies:

$$\begin{aligned}
 &u \leftrightarrow u \text{ reflexivity} \\
 &u \leftrightarrow v \Rightarrow v \leftrightarrow u \text{ symmetry} \\
 &u \leftrightarrow v, v \leftrightarrow w \Rightarrow u \leftrightarrow w
 \end{aligned}$$

## 1.2 Subgraphs

**Subgraph:**  $H$  is a subgraph of  $G$  if  $V_H \subset V_G$ ,  $E_H \subset E_G$ .

There are a few types of subgraphs: for  $H \subset G$

**Proper:** If  $H$  is not equal to  $G$ .

$$H \neq G$$

**Spanning:** If all of  $G$ 's vertices exist in  $H$ .

$$V_H = V_G$$

**Induced:** If an edge exists in  $G$ , it exists in  $H$  provided vertices exist.

$$u, v \in V_H, uv \in E_G \Rightarrow uv \in E_H$$

## 1.3 Walks

A walk is a sequence of nodes that are connected.

**Open/Closed:** A walk is closed if the first node is also the last.

**Trail:** A walk with no repeated lines. Note: nodes can repeat.

**Circuit:** A closed trail.

**Path:** A walk with no repeated nodes.

**Cycle:** A closed path.

## 1.4 Operations on Graphs

**Union:**(Disjoint) Two graph are put next to each other; nodes are not connected.

**Join:** Nodes are connected.

$$V = V_{H1} \cup V_{H2}$$
$$E = E_{H1} \cup E_{H2} \cup \{uv : u \in V_{H1}, v \in V_{H2}\}$$

**Cartesian Product:** Use one graph as the other graph's nodes.

$$\text{for } G_1 \times G_2 = H$$
$$V_H = \{(v_1, u_1) : v_1 \in G_1, u_1 \in G_2\}$$
$$(v_1, u_1)(v_2, u_2) \in E_H \iff$$

1.  $v_1 = v_2, u_1 u_2 \in E_2$
2.  $u_1 = u_2, v_1 v_2 \in E_1$

## 1.5 Bipartite Graphs

**Def:** A union-ed graph where all connected nodes are from different sets.

**$G$  is a bipartite graph if,**  
for partitioned vertices  $V = V_1 \cup V_2$

There is no edge from  $V_1$  to  $V_1$  or  $V_2$  to  $V_2$ .

**Thm :**  $G$  is a **bipartite** graph iff it contains no odd cycle.

**Proof:**

Backwards

We know odd cycles are not bipartite thus if graph contains an odd cycle, it is not bipartite.

Forwards

If the graph is bipartite, prove it does not contain an odd cycle.

First assume that  $G$  contains an odd cycle. Proof component by component.

If  $G$  has a single component, fix vertex  $v$ .

Let  $V_1$  : vertex of even distance from  $v$  and,

Let  $V_2$  : vertex of odd distance from  $v$ .

This shows that  $V(G)$  is a partition of  $V_1, V_2$  that intersects  $v$ .

Now to show that there is no edge from  $V_1$  to  $V_1$  or  $V_2$  to  $V_2$ .

Let  $x, y \in V_1$ , assume there is a edge  $xy$ .

$d(v, x) \leq d(v, y) + 1$  and  $d(v, y) \leq d(v, x) + 1$ . Since  $x, y \in V_1$  should be even distance from  $v$ , thus  $d(v, x) = d(v, y)$ .

Now we've found a cycle of  $v - x - y - v$ , which it's distance is  $d(v, x) + d(v, y) + d(xy) = 2n + 2n + 1 = 2(2n) + 1$  which is odd, thus a contradiction. Thus  $G$  contains no odd cycle.

QED.

## 2 Degree sequences, regular graphs

### 2.1 Properties of Degrees

**Thm :** First theorem of graph theory, for graph  $G$

$$\sum_{v \in V} \deg(v) = 2|E|$$

Every graph has even degree sum is equivalent to,  
the number of odd degree vertices is even.

**Proof:** Count edges by their end points

$$\begin{aligned} \sum_{v \in V} \deg(v) &= \sum_{v \in V} \sum_{e \in E}, \text{number of } e \text{ for each } v \\ &= \sum_{e \in E} \sum_{v \in V}, \text{number of } v \text{ for each } e \\ &= \sum_{e \in E} 2 = 2|E| \end{aligned}$$

QED

The minimal degree is less than or equal to the average degree is less than or equal to the maximum degree.

**Degree Notation:**  $\delta(G) \leq \bar{d}(G) \leq \Delta(G)$

**Crly :** If  $\delta(G) \geq \frac{n-1}{2}$ , then  $G$  is connected.

**Proof:** For  $v, w \in V$ ,  $\deg(v) + \deg(w) \geq \delta(G) + \delta(G) \geq n - 1$  QED.



**Thm :** If  $\deg(v) + \deg(w) \geq n - 1$  for every pair of non-adjacent vertices  $v, w$ , then  $G$  is connected.

**Proof:** We need to show  $v \neq w, \in V$ , there exists  $v$ - $w$  path.

Case 1: If  $v, w$  are adjacent, then  $vw$  is the path.

Case 2: If  $v, w$  are not adjacent, there are  $n - 2$  other nodes who are distinct neighbours of  $v, w$ , so at most  $\deg(v) + \deg(w) \leq n - 2$ . Thus for the two to be connected there must be a common neighbour  $u$  of  $v, w$  with forms the  $vuw$  path. Thus  $\deg(v) + \deg(w) \geq n - 1$  QED.

## 2.2 Regular Graphs

**Def :** If  $\forall v \in V, \deg(v) = r$ ,  $G$  is  $r$ -regular.

In regular graphs min degree = average degree = max degree =  $r$ .

**Thm :** A  $r$ -regular graph of order  $n$  can only exist if,

1.  $n$  or  $r$  is even.
2.  $r < n$ .

Proof: Use Harari graphs.

For  $r < n$

Case 1:  $r$  is even,  $n$  is arbitrary

$$V = \{1, \dots, n\}$$

$$E = \{vw : w \in \{v - \frac{r}{2}, v - \frac{r}{2} + 1, \dots, v + \frac{r}{2} : \text{mod } n\}\}$$

There are  $r$  neighbours for each  $v$ .

Case 2:  $r$  is odd,  $n$  is even

$$V = \{1, \dots, n\}$$

$$E = \{vw : w \in \{v - \frac{r-1}{2}, v - \frac{r-1}{2} + 1, \dots, v + \frac{r-1}{2} : \text{mod } n\} \text{ or } w = v + \frac{n}{2}\}$$

There are  $r-1$  neighbours for each  $v$  and  $v$  connected to the node opposite to it.

**Thm :** The Bollobas model for generating  $n$ -order  $r$ -regular graphs is drawing  $n$  nodes with  $r$  edges and connecting those edges randomly. This algorithm generates an uniform distribution of graphs.

The problems with this graph is that there could be node that form its own component and there could be self loop where node connects with itself.

Usually in implementation, if that happens, just reset and start again.

**Thm :** For any graph  $G$  and  $r \geq \Delta(G)$ , there exists a  $r$ -regular graph  $H$  such that  $G$  is a induced subgraph of  $H$ .

Proof:

Case 1:  $\delta(G) = r$ . Then we are done because  $\delta(G) = r = \delta(H)$  and  $H$  is  $r$ -regular.

Case 2:  $\delta(G) < r$ . Take two copies of  $G$  denoted  $G_1, G_2$ .

For  $G_1, G_2$ , let  $v_1, v_2$  denote the two copies of  $v \in G$ .

If  $\deg(v) < r$  connect  $v_1, v_2$  and do this for any  $v \in V$ .

Now for the new graph  $G'$ ,  $\delta(G') = \delta(G) + 1$ . If  $G'$  is regular is we are done.

If not repeat this process with  $G'$ .

Note: Every rep increases  $\delta(G)$  by one so it will stop in  $r - \delta(G)$  repetitions. QED.

## 2.3 Graphical Sequences

**Def :** Degree Sequence

A sequence  $d_1 \geq d_2 \geq \dots \geq d_n$  is a graphical sequence if there is a graph with these degrees.

Not all sequences are degree sequences, ex:

1. sum of sequence is odd
2. odd number of odd degrees
3. biggest number = order of graph

Look for contradictions in the biggest and smallest numbers.

For  $n$  nodes, there are  $2^{\binom{n}{2}}$  possible graphs.

Use the following algorithm to know a graphical sequence is a graph,

**Thm :** A sequence  $d_1 \geq d_2 \geq \dots \geq d_n$  is a graphical iff  $d_2 - 1, d_3 - 1, \dots, d_{d_1+1} - 1, d_{d_1+2}, \dots, d_n$  is also graphical.

**Proof:**

Forwards

For  $\text{seq1} \rightarrow \text{seq2}$ , assuming  $\text{seq2}$  exists. We can add a node  $v_1$  to  $\text{seq2}$  such that  $\deg(v_1) > \Delta(\text{seq2})$ . By connecting  $v_1$  to the largest nodes in  $\text{seq2}$ , we can create  $\text{seq1}$ .

Backwards

We want to prove that the neighbors of  $v_1$  has largest sum of degrees. (Eg.  $d_2, \dots, d_{d_1+1}, \dots, d_n$  is in decreasing order).

Assume the contrary, there exists a neighbor  $v_s$  of  $v_1$  which has lower degree than non-neighbor  $v_t$ .

Then there exists  $v_r$  such that  $v_r v_t \in E$  but  $v_r v_s \notin E$ .

By switching the connections between the edges above creating  $G'$ , the degree sequence doesn't change but there is a contradiction.

Since  $v_1$  lost  $v_s$  but gained  $v_t$  and  $\deg(v_t) > \deg(v_s)$ , the total degree of neighbors of  $v_1$  in  $G$  is less than  $G'$  but we assumed that  $G$  is the largest thus neighbors of  $v_1$  must have the largest sum of degrees.

QED.

**Thm :** Any graph must have 2 vertices with the same degree.

**Proof:**

In any graph the possible degrees are:  $0, 1, \dots, n-1$ .

The only way for this to happen is if each vertex has degree:  $n-1, n-2, \dots, 0$ . This is impossible since a graph can't have  $n-1$  connections and 0 at the same time.

Also the degree sequence  $n-1, n-2, \dots, 0 \rightarrow n-2-1, n-3-1, \dots, -1$  is impossible. QED.

## 2.4 Adjacency Matrices

**Def:** For  $V = \{v_1, v_2, \dots, v_n\}$ , the adjacency matrix of graph  $G$  is

the  $n \times n$  matrix  $A : a_{ij} = \begin{cases} 1 & v_i v_j \in E \\ 0 & v_i v_j \notin E \end{cases}$

Note:  $a_{ii} = 0$

Rows and columns represent vertices. This matrix is always symmetric.  
Row sum is degree of vertex.

**Thm :** Let  $A$  be adjacency matrix for graph  $G$ .  $A \times A \times \dots \times A = A^k$ .  
 $A^k_{ij}$  is the number of  $v_i$ - $v_j$  walks of length  $k$ .

**Proof:** For graph  $G$  of size  $n$ ,  $(A^{(1)} \times A^{(2)})_{ij} = \sum_{k=1}^n A_{ik}^{(1)} A_{kj}^{(2)}$

$$((A^{(1)} \times A^{(2)}) \times A^{(3)})_{il} = \sum_{k=1}^n \sum_{j=1}^n A_{ik}^{(1)} A_{kj}^{(2)} A_{jl}^{(3)}$$

Thus in general

$$(A^{(1)} \times A^{(2)} \times \dots \times A^{(k)})_{il} = \sum_{j_1, j_2, \dots, j_{k-2}=1}^n A_{ij_1}^{(1)} A_{j_1 j_2}^{(2)} \dots A_{j_{k-1} l}^{(k)}$$

So  $v_i v_{j_1} \dots v_{j_l}$  is a walk. QED.

## 2.5 Incidence Matrix

## 2.6 Incidence Matrices

**Def:** The incidence matrix of graph  $G$  is the  $n(\text{order}) \times m(\text{size})$

$$\text{matrix } B : b_{ij} = \begin{cases} 1 & v_i \in e_j \\ 0 & o/w \end{cases}$$

Rows represent vertices and columns represent edges of the graph. Row sum is still degree for vertex. Column sum is always 2.

**Prop:**  $BB^t = D + A$

Where  $D$  is a diagonal matrix with degrees, and  $A$  is the adjacency matrix.

**Thm :**  $G$  is a connected graph, the number of walks from  $v - v$  of length  $2k$  is,

$$\lambda_1^{2k(1+O(1))}$$

as  $k \rightarrow \infty$ ,  $O(1)$  quantity  $\rightarrow 0$

$\lambda_1$  is the top eigenvalue of  $A$ .

**Def :** Signed incidence matrix  $J$

$$W_{2k} = \lambda_1^{2k(1+O(1))} \Leftrightarrow \sqrt[2k]{W_{2k}} \rightarrow \lambda_1 \text{ as } k \rightarrow \infty$$

For each edge  $e_1, \dots, e_m$ , pick a direction for each  $e_i = v_j v_k$

$$J : j_{ij} = \begin{cases} 1 & v_i \in e_j \text{ second} \\ -1 & v_i \in e_j \text{ first} \\ 0 & o/w \end{cases}$$

Rows represent vertices and columns represents incoming(1) and outgoing(-1) edges.

**Prop:**  $JJ^t = D - A$

Where  $D$  is a diagonal matrix with degrees, and  $A$  is the adjacency matrix.

**Lemma:** Determinate on Signed Incidence Matrix

$$JJ^t[1, \dots, 1] = 0 \Leftrightarrow JJ^t \text{ row sum} = 0 \rightarrow \det(JJ^t) = 0.$$

Since  $D$  is the diagonal matrix of degrees and  $A$ 's rows sum to the degrees, thus when multiplied by  $[1, \dots, 1]$ , rows sum to 0.

### 3 Isomorphisms of graphs

A graph  $G_1$  is isomorphic to  $G_2$  if renaming the vertex set on  $G_1$  gives  $G_2$ .

**Def :** Graph Isomorphism

$G_1$  is isomorphic to  $G_2$  if for isomorphic function:

$$\alpha : V_1 \rightarrow V_2$$

For  $u, v \in V_1$

1.  $\alpha$  is a bijection(one to one, onto)
2.  $\alpha(u)\alpha(v) \in E_2 \Leftrightarrow uv \in E_1$

Further if two graphs are isomorphic, we know that they have the same orders, sizes, degrees.

**Def :** Complementary Isomorphism

$G_1$  is isomorphic to  $G_2$  if and only if  $\bar{G}_1$  is isomorphic to  $\bar{G}_2$ , their complements.

**Def :** Graph Automorphism,  $Aut(G)$

An automorphism of  $G$  is an isomorphism of  $G$  to itself.

If a graph is automorphic, it is symmetrical.

Observe that  $Aut(G)$  is a group. Eg.  $\alpha, \beta, \gamma \in Aut(G)$ :

1.  $\exists \epsilon \in G, \alpha \circ \epsilon = \epsilon \circ \alpha = \alpha$
2.  $\alpha \circ \beta \in Aut(G)$
3.  $\alpha^{-1} \in Aut(G)$
4.  $\alpha \circ (\beta \circ \gamma) = (\alpha \circ \beta) \circ \gamma$

Thus this leads to,

**Thm:** Frucht Theorem

Every finite group  $\Gamma$  is the automorphism for some graph  $G$ .  
(All group theory is graph theory.)

### 3.1 Graph Reconstruction

What is **Quasi-Polynomial** time?

**Polynomial**  $e^{\log(n)} = n, e^{2\log(n)} = n^2, e^{3\log(n)} = n^3 \dots$

**Quasi Polynomial**  $e^{\log(n)^\alpha}, \alpha \in \mathbb{R}$

**Exponential**  $e^n = e^n$

#### Graph Reconstruction Problem

With a graph  $G$  with at least 3 vertices, let there be  $n = |V|$  subgraphs of  $G$  where each subgraph,  $G/e_i$  has one vertex deleted. Without knowing what  $G$  looks like, piece  $G/e_i$  together.

**Def:** Recognizable Parameter

A graph parameter is recognizable if it is determinable by the isomorphic classes of  $G/v, v \in V$ .

The **order** is recognizable in the Graph Reconstruction Problem.  
Since the number of isomorphism classes  $n$ , of  $G/v$  is equal to  $|V|$ .

The **size** is recognizable.

For each isomorphic class the number of edges is  $|E_i| = |E| - d_i$ , where  $d_i$  is the degree of the  $i$ th vertex. Then  $|E_1| + |E_2| + \dots + |E_n| = |E| - d_1 + \dots + |E| - d_i = n(|E|) - (d_1 + \dots + d_n) = n|E| - 2|E| = (n - 2)|E| \rightarrow |E| = \frac{|E_1| + |E_2| + \dots + |E_n|}{n-2}$ .

Note:  $n > 2$ .

The **degree sequence** is recognizable since  $|E_i| = |E| - d_i \rightarrow d_i = |E| -$



$|E_i|$ .

The **connectivity** is recognizable.

**Thm** Recognizable Connectivity

For a graph  $G, n = |V| \geq 3$ , is connected if and only if  $\exists v, w$  such that  $G/v, G/w$  are both connected.

Eg. If two subgraphs are connected, then the graph is connected. Otherwise not.

**Proof:**

$\Leftarrow$

Let there exist two vertices  $v, w : G/v, G/w$  are connected. We must find a  $u_1$ - $u_2$  walk for any vertex  $u_1, u_2$  in  $G$ . There must exist  $u' \in G/v, G/w$  such that  $u'$  is connected to all vertices not  $v, w$  as  $G/v, G/w$  are connected. Lastly for  $v, w$ , we can form a  $vuw$  walk thus  $G$  is connected.

$\Rightarrow$

Let graph  $G$  be connected. Pick two vertices  $v, w : dist(v, w) = diam(G)$ .

We only need to prove one way by symmetry of argument. Show  $G/w$  is connected. Suppose not.

So that means there exists  $u_1, u_2 \in G/w$  such that  $u_1, u_2$  are not connected. Then there's no  $v, u_1$  or  $v, u_2$  path.

Then  $u_1, u_2$  must be connected in  $G$  with a path  $u_1vuw_2$  which is a path containing  $w$  that is longer than  $dist(v, w)$ . This is a contradiction since we assumed that  $dist(v, w) = diam(G)$ .

QED.

**Thm:**

If  $G$  is a connected graph and  $e \in E$  is on a cycle of  $G \Leftrightarrow e$  is not a bridge.

**Proof:**

Forwards

If  $G$  is connected and  $e$  is on a cycle.

Let that cycle be  $c_1c_2 \dots c_ic_{i+1} \dots c_l = c_1$  where  $e = c_ic_{i+1}$ . Then for any  $u-v$  walk that contained  $e$  in  $G$ ,  $u-v$  is still connected by  $c_{i+1} \dots c_lc_2 \dots c_i$  or reverse in  $G/e$ . Thus  $G/e$  is still connected.

Backwards

If  $e$  is not a bridge. Then  $G/e$  is connected. Find arbitrary path  $u_1 \dots u_l$  on  $G/e$ . Let  $e = u_1u_l$  and thus  $e$  is in a cycle in  $G$  and is also connected. QED.

## 4 Trees

### 4.1 Definitions

**Forest:** An acyclic graph.

**Tree:** A connected forest.

**Leaf:** A vertex with degree 1.

**Bridge:** An edge  $e \in E$  such that  $G/e$  is disconnected.

**Thm:** Recognizable Trees  
Trees are recognizable.

### 4.2 Theorems On Trees

**Thm:** Trees are unique paths  
If  $G$  is a tree if and only if there is a unique path between between any two vertices  $u, v$ .

**Proof:**

Forwards

If  $G$  is a tree, it is connected and has no cycles. Show unique path between any two vertices  $u, v$ .

Assume the contrary, there are multiple paths from  $u, v, Q, Q'$ . Then cycle  $uQvQ'u$  exists thus by contradiction there is only one path.

Backwards

If for any  $u, v$ , there exists a unique path that connects them thus by def  $G$  is a tree. QED.

**Thm:** Edge and Order of Trees

For trees  $m = n - 1$

**Proof:**

We want to prove every tree with  $n > 1$  has a leaf. Thus we can build a tree by adding leaves which proves the theorem.

So let  $w_0 \dots w_l$  be the longest path on tree  $G$ . Claim  $w_0, w_l$  are leafs.

Let's assume the contrary and let  $\deg(w_l) > 1$ , so it has neighbours  $w_{l-1}, v$ .  $v$  can not be on the path of  $w_0 \dots w_l$  or it will be a cycle but if it's not, we found a longer path  $w_0 \dots w_l v$  thus a contradiction. By symmetry,  $w_0, w_l$  are leaves.

**Thm:** Leaves on Trees

There are at least 2 leaves on every tree.

Now by induction, tree with  $n = 1$  holds,

Assuming tree with order  $n - 1$  holds, prove tree with order  $n$ ,

We added a leaf  $v$  in this tree such that  $G/v$  has order  $n - 1$  thus for  $G$ ,  $m = n - 2 + 1 = n - 1$ . QED.

## 5 Connectivity and Menger's theorem

**Thm:** Connected Graph Contains Spanning Tree  
Every connected graph  $G$ , contains a spanning tree  $T$ .

**Proof:**

By induction,  $n=1$  is true, assume  $n=k$  is true,  
Prove  $n=k+1$  is true,

Case 1: all edges bridges, then by def  $G$  is already a tree.

Case 2: some edge,  $v$ , is not a bridge, then  $G/v$  is still connected and  $T$  is also a spanning tree.

**Thm:** Size  $\geq$  Order -1  
Every connected graph satisfies  $m \geq n - 1$ .

**Proof:**

Since every connected graph  $G$  contains a spanning tree  $T$ .  $E(G) \geq E(T) = n - 1$  by Thm Edge and Order of Trees.

**Thm:** Size of Forest  
For every forest,  $m = n - k$  where  $k$  is the number of components

**Proof:**

Let  $n_i, m_i$  be the order and size of each component. Then,

$$m = \sum_{i=1}^k m_i = \sum_{i=1}^k n_i - 1 = \sum_{i=1}^k n_i - k = n - k.$$

**Thm:** Three Conditions Theorem

$G$  is a tree if at least 2 of the 3 condition hold.

1.  $G$  is connected
2.  $G$  is acyclic
3.  $m=n-1$

**Proof:**

1,2 proven by definition

2,3 2 says  $G$  is a forest, 3 implies  $k = 1$  and by above theorem, there is only one component thus  $G$  is connected

1,3 if  $G$  is connected. Assume  $G$  has a cycle with  $e$  which is not a bridge, then  $G/e$  is still connected and  $E(G/e) = n - 2 < n - 1$  thus there are no cycles.

QED.

## 5.1 Matrices in Graph Theory(Not in textbook)

Let  $\det^*(M)$  be the determinant of  $M$  with the last row and column removed.

Recall  $J$  is the signed incidence matrix  $n \times m$  indexed by  $V \times E$ .

## 5.2 Matrix Tree Theorem

**Thm:** Kirkhoff's Matrix Tree Theorem

$$T(G) = \det^*(D - A) = \det^*(JJ^t)$$

The number of spanning trees in  $G$  is the determinant\* of  $JJ^T$ , the combinatorial Laplacian, where  $J$  is the signed incidence matrix.

**Proof:** Simple Cases

Case 1:  $G$  is disconnected. Then  $T(G) = 0$  since there are no spanning trees.

Show  $\det^*(D - A) = 0$

If  $G$  is disconnected, there exists  $v_1, v_2$  where there isn't an edge between them. Then  $A$  becomes a block diagonal matrix with components  $A_1, A_2$  partitioned by  $v_1, v_2$ ,

$$A = \begin{bmatrix} A_1 & 0 \\ 0 & A_2 \end{bmatrix}$$

$$D - A = \begin{bmatrix} D_1 - A_1 & 0 \\ 0 & D_2 - A_2 \end{bmatrix}$$

Where  $A_1, A_2$  is the adjacency matrix of components  $G_1, G_2$  induced by  $v_1, v_2$ . Thus  $\det^*(D - A) = \det(D_1 - A_1) \times \det^*(D_2 - A_2) = 0 \times \det^*(D_2 - A_2) = 0$  by Lemma  $\det(D - A) = 0$ .

Case 2:  $G$  is a tree, it is the only tree.

Show  $\det^*(D - A) = 1$

**Thm:** Cauchy-Binet Theorem

For  $n \times m$  matrix  $A$  and  $m \times n$  matrix  $B$ ,

$$\det(AB) = \sum_{I \in \{1, \dots, m\}, |I|=n} \det A_I \det B^I$$

$\det(AB)$  equals the sum of determinant of  $n \times n$  sub-matrices  $A_I, B^I$  where  $A_I$  is the matrix given by columns of  $I$  and  $B^I$  is the matrix given by the rows of  $I$ .

**Proof:** Matrix Tree Theorem

If  $m = n - 1$  then  $G$  is disconnected or a tree. Then  $\det(D - A) = 0, 1$ .

Using Cauchy-Binet Theorem

$$\begin{aligned} \det^*(D - A) &= \det^*(JJ^t) \\ &= \det(\hat{J}\hat{J}^t) \end{aligned}$$

Where  $\hat{J}$  is the  $n \times n$  matrix  $J$  with the last row removed. The two are equivalent since you have to remove the last row and column in  $\det^*$ .

$$\begin{aligned} &= \sum_{I \in E, |I|=n-1} \det \hat{J}_I \det \hat{J}^{It} \\ &= \sum_{I \in E, |I|=n-1} \det \hat{J}_I \hat{J}^{It} \\ &= \sum_{I \in E, |I|=n-1} \det^*(D_I - A_I) \end{aligned}$$

So  $(D_I - A_I)$  is the matrices for the subgraph with vertex set  $V$  and edge set  $I$  sized  $n - 1$ . If the subgraph indeed is a tree,  $\det^*(D - A) = 1$ , and if it's disconnected, then  $\det^*(D - A) = 0$  proven above. Thus the sum of all  $I$ s is the number of spanning trees. QED.

### 5.3 Cayley's Theorem

**Example** Carley's Theorem

Find  $T(K_n)$ , the number of spanning trees of an  $n$ th order complete graph.

First way, using the Matrix Tree Theorem

Then  $T(K_n) = \det^*(D - A)$  where  $D$  is the diagonal matrix with  $n - 1$  across the diagonal since every vertex is connected with every other vertex and  $A$  is a matrix of 1s except for the diagonal which is filled with 0s.

Then  $\hat{D} - \hat{A}$  which are  $(n - 1) \times (n - 1)$  matrices with the last row and columns removed equals  $(n - 1)I - (M - I) = nI - M$  where  $M$  is the matrix of all 1s. Thus  $T(K_n) = \det(nI - M)$  and to determine this value, we use the fact that the determinant equals the product of the eigenvalues.

Obeservation, adding the identity to a matrix adds 1 to all the eigenvalues. So we need to find the eigenvalues of  $-M$ , and since  $-M$  has rank 1 (all the columns are the same), it's eigenvalues is some  $\lambda \neq 0$  and the rest are 0 with multiplicity  $n - 2$ . Thus  $-M$  has eigenvector  $v = \begin{pmatrix} 1 \\ \vdots \\ 1 \end{pmatrix}$  and

$-Mv = \begin{pmatrix} n-1 \\ \vdots \\ n-1 \end{pmatrix} = -(n-1)v$  and eigenvalue  $0, \dots, 0, -n+1$ . Finally the eigenvalues of  $nI - M$  is  $n, \dots, n, 1$  so  $T(K_n) = \det(nI - M) = n^{n-2}$ . QED.

Thus,

**Def:** Cayley's Theorem

For complete graph of order  $n$ ,  $K_n$ ,

$$T(K_n) = n^{n-2}$$

Define a new graph operator,

**Def:** Graph Mod  $e$

For graph  $G$ , for edge  $e \in E$ , define  $G/e$  a multi-graph of where the vertices  $u, v$  that form  $e$  are combined into  $w$  and the edges that went into  $uv$ , now go into  $w$ .

**Def:** Another Way to Find Spanning Trees

For any graph  $G$  and edge  $e$ ,

$$T(G) = T(G - e) + T(G/e)$$

**Proof:**

To proof this we need a bijection between the two sets.

1) Tree  $T$  that does not contain  $e$ :



$T$  is a spanning tree of  $G$  that does not contain  $e \leftrightarrow T$  is a spanning tree of  $G - e$ . This is obvious.

1) Tree  $T$  that contain  $e$ :

$T'$  is a spanning tree of  $G/e \leftrightarrow T' + e$  is a spanning tree of  $G$  containing  $e$ .

Where  $T'$  is a spanning tree of  $G/e$ .

Forwards

If  $T'$  spans  $G/e \leftrightarrow T' + e$  spans  $G$ .

If  $T'$  has size  $n - 2$  (because it's a spanning tree in  $G$ )  $\rightarrow T' + e$  has size  $n - 1$  and since  $T' + e$  is connected, it is also a tree.

Backwards

Is the same proof. QED.

## 5.4 More Spanning Tree Algorithms

### 5.4.1 Minimal Spanning Tree Problem

In a weighted graph (edges have cost), find the spanning tree with least cost.

**Thm:** Kruskal's Algorithm

For graph  $G$  to find the minimal spanning tree  $T$ ,

Keep adding lowest weight edges and skip edge if cycle is formed.

This algorithm is not unique since multiple edges with the same weight have equal chance of being chosen.

Issue: Hard to decide if cycle is formed.

**Proof:**

Define  $w(e)$  as the weight of an edge  $e \in E$  and the weight of tree  $T$ ,  $w(T) = \sum_{e \in E} w(e)$  where  $w(T) \leq w(S)$  for any other spanning tree  $S$ .

For graph  $G$ , create new minimal spanning tree  $T$  with Kruskal's Algorithm and let  $e_1, \dots, e_n$  be the sequences of edges added to it. Let  $S$  be a

minimal spanning tree of  $G$  so that it has the largest possible number of shared edges with  $T$ . Show  $S = T$ , assume not by contradiction. Let index  $j$  be so that  $e_1, \dots, e_{j-1}$  are edges used by  $S$  and  $e_j$  is the first edge used by  $T$  but not by  $S$ .

Now if we add  $e_j$  to  $S$ , we would create a cycle  $C$  and for every edge  $e$  in  $C$ ,  $w(e) \leq w(e_j)$  since  $S$  is minimal. There must exist an edge  $e' \in C$  that's not in  $T$  but is in  $S$ . So  $w(e') \leq w(e_j)$  as shown above.

We claim that graph  $e_1, \dots, e_{j-1}, e'$  has no cycle. Thus given this claim, it's impossible  $w(e') < w(e_j)$  since we chose edge  $e_j$  when  $e'$  was available since  $T$  is following Kruskal's Algorithm. Thus  $w(e_j) = w(e')$

Then  $w(S + e_j - e') = w(S)$  and  $S + e_j - e'$  is also a minimal spanning tree but has one more edge in common with  $T$  than  $S$  thus a contradiction and  $S = T$ . QED.

**Thm:** Prim's Algorithm

For graph  $G$  to find the minimal spanning tree  $T$ ,  
Pick a starting vertex and add the smallest weighted edge to a neighbouring un-visited vertex. Then repeat with current set of nodes.

**Proof:**

For graph  $G$ , create new minimal spanning tree  $T$  and let  $e_1, \dots, e_{n-1}$  be the sequences of edges added to it. Let  $S$  be a minimal spanning tree so that it has the largest possible number of shared edges with  $T$ . Let index  $j$  be so that  $e_1, \dots, e_{j-1}$  are edges used by  $S$  and  $e_j$  is the first edge used by  $T$  but not by  $S$ .

Now if we add  $e_j$  to  $S$ , we would create a cycle  $C$  and there must exist an edge  $e' \in C$  that's not in  $T$  but is in  $S$ . This cycle has some vertices of  $T_{j-1}$  and some not but contains all of  $S_{j-1}$ .

Now if we pick  $e_j$  as our next edge such that  $T_j = e_1 \dots e_j$  this implies  $w(e_j) \leq w(e')$ . Then we have new graph  $S' = S - e' + e_j$ .

Finally  $w(S') \leq w(S)$ , so  $S'$  is minimal but it has one more edge in com-

mon with  $T$  that  $S$  thus a contradiction. QED.

**Thm:** Max Weighted Cycle Algorithm

For graph  $G$  where all weights are different, find the minimal spanning tree  $T$ ,

For any cycle of  $G$ , erase the maximal weight in the cycle.

## 5.5 Cut Vertices

**Def:** Cut Vertex

A cut vertex  $v \in V$  of a connected graph is a vertex  $v$  such that  $G - v$  is disconnected.

If a vertex is on a bridge it's not always a cut vertex.

**Thm:** Cut Vertex Is Not Leaf

Given a bridge  $e \in E$ , a vertex  $v \in V$  incident to  $e$ .  
 $v$  is a cut vertex if and only if  $v$  is not a leaf.

**Proof:**

Let vertex  $v$  be incident to bridge  $e = vw$ .

Forwards

If  $v$  is a cut vertex, show  $v$  is not a leaf. Since  $v$  is a cut vertex,  $G - v$  is disconnected.

If we assume  $v$  is a leaf, then  $G - v$  is connected since  $\deg(v) > 1$  which is  $e$ . Thus  $v$  is not a leaf.

Backwards

If  $v$  is not leaf, show  $v$  is a cut vertex.

Show  $G - v$  is disconnected. Since  $v$  is incident to a bridge and  $\deg(v) > 1$ , there exists  $u$  connected to  $v$  not incident to  $e = vw$ . Thus  $w, u$  are not

connected in  $G - v$ . So  $v$  is a cut vertex.

QED.

**Thm:** Cut Vertices in Connected Graph

Every connected graph with  $|V| \geq 2$  has at least 2 vertices that are not cut vertices.

**Proof:**

**Lem:** Diameter of Cut Vertices

For  $u, v \in V$ , if  $\text{dist}(u, v) = \text{diam}(u, v)$ , then  $u, v$  are not cut vertices.

Thus by lemma, there are exist  $u, v \in V$  such that  $\text{dist}(u, v) = \text{diam}(G)$ .

QED.

**Col:** Cut Vertices In Complete Graph

There are no cut vertices in a complete graph  $K_n$  since every pair of vertices has  $\text{diam}(K_n) = 1$ .

## 5.6 Separability

**Def:** Separable

A graph is separable if it contains a cut vertex.

**Thm:** Separable

A graph  $n \geq 3$  is inseparable if and only if every 2 vertices lie on a common cycle.

**Proof:**

Backwards

If every vertex lie on a common cycle, show it contain no cut vertex. If  $C = u_1 \dots u_{i-1} u_i u_{i+1} \dots u_n = u_1$ , for arbitrary vertex  $u_i \in V(G)$  show  $G - u_i$  is connected.

Then on  $G - u_i$ , there exists a  $u_{i+1} \dots u_n \dots u_{i-1}$  path for any  $v, w$  on  $C$ , thus is connected.

Forwards

If graph  $G$  with  $n \geq 3$  is inseparable;  $G - v$  is connected for any  $v \in V$ , show every 2 vertices lie on a cycle.

Prove by induction;

For  $n = 3$ , there is only one connected graph that is inseparable  $K_3$ , which is a cycle.

Assume  $n = k$  on  $G_k$  holds, where every 2 vertices lie on a common cycle.

Prove if  $n = k + 1$  and is inseparable, then every 2 vertices lie on a common cycle.

Let  $G_{k+1}$  be the graph where vertex  $w$  is attached to arbitrary vertex  $u$  on  $G_k$ . Let  $v$  be another arbitrary vertex on  $G_k$ . Then there are two unique paths  $P_1, P_2$  that connect  $v, u$  on  $G_k$  as shown above. Since  $G_{k+1}$  inseparable,  $w$  can not be a leaf since if it was a leaf  $G_{k+1} - u$  would be disconnected. Thus there must exist another path from  $v$  to  $w$  that does not contain  $u$ , call it  $P_3$ .

Thus finally for any two vertex on  $G_{k+1}$ ,  $u, v$ , if one is on  $P_1$ , one is on  $P_2$ , the common cycle is  $P_1 P_2'$ . If one is on  $P_1$ , one is on  $P_3$ , the common cycle is  $P_1 u w P_3'$ . If one is on  $P_2$ , one is on  $P_3$ , the common cycle is  $P_2 u w P_3'$ .

QED.

## 5.7 Blocks

**Def:** Block

A block is a maximal inseparable subgraph of  $G$ . **Note:** it is an equivalence class on edges.

This is maximal in the sense similarly to a component.

**Def:** Equivalence Relation of Cycles

If  $v \sim u$ , means that  $u$  is on a cycle with  $v$ . Then,

1.  $e \sim e$
2.  $v \sim u \rightarrow u \sim v$
3. if  $a \sim b$ ,  $a \sim c \rightarrow b \sim c$

So along with the definition of inseparability, every two vertices on a block with  $n \geq 3$  lie on a common cycle.

**Thm:** Sets on Blocks

If  $B, B'$  are two distinct blocks of  $G$ , then,

1.  $B$  and  $B'$  are edge disjoint
2.  $B$  and  $B'$  can have at most one vertex in common and is a cut vertex

**Proof:**

1. By def, blocks are an equivalence class on edges.
2. Assume the contrary that there are two vertex in common. Then we can form a bigger cycle for any two vertices in  $B$  and  $B'$ , thus a contradiction since blocks are maximal.

QED.

**Def:** Vertex Cut

A set of vertices  $U \subset V$  such that  $G - U$  is disconnected.

**Note:** Complete graphs have no vertex cuts.  $K_n - U = K_{n-|U|}$ . Thus for any graph where there exists  $u, v : uv \notin E$ , there exists a vertex cut  $V - u - v$ .

**Def:** Minimum Vertex Cut

A vertex cut of smallest possible cardinality (size of set).

**Example:** How many hubs do we need to bring down in a network for disconnection.

**Def:** Vertex Connectivity

A vertex connectivity of  $G$  is the cardinality of a minimum vertex cut. ( $\kappa$ )

$$0 \leq \kappa(G) \leq n - 1$$

**Define:** Graph is  $k$ -connected if  $\kappa(G) \geq k$

**Note:** Above is defined for non-complete graphs.

**Def:** Properties of Vertex Connectivity

1.  $\kappa(G) = n - 1 \Leftrightarrow G$  is  $K_n$
2.  $\kappa(G) = 0 \Leftrightarrow G$  is disconnected
3.  $\kappa(G) = 1 \Leftrightarrow G$  connected but separable, there is 1 cut vertex
4.  $\kappa(G) \geq 2 \Leftrightarrow G$  is connected but non-separable

**Def:** Edge Cut

A set of edges  $X \subset E$  such that  $G - X$  is disconnected.

**Def:** Minimum Edge Cut

A edge cut of smallest possible cardinality(size of set).

**Def:** Edge Connectivity

A edge connectivity of  $G$  is the cardinality of a minimum edge cut.

$$0 \leq \lambda(G)$$

**Define:** Graph is  $k$ -edge connected if  $\lambda(G) \geq k$

**Note:** If  $\lambda(G) \leq \delta(G)$ ,  $X$  is edges incident to  $v : \deg(v) = \delta(G)$ .

**Def:** Properties of Edge Connectivity

1.  $\lambda(G) = 0 \Leftrightarrow G$  is disconnected
2.  $\lambda(G) = 1 \Leftrightarrow G$  connected but has one bridge
3.  $\lambda(G) \geq 2 \Leftrightarrow G$  is connected but with no bridges

**Lem:** Edge Connectivity of Complete Graph

$$\lambda(K_n) = n - 1$$

**Proof:**

**Thm:** Vertex vs Edge Connectivity

$$\kappa(G) \leq \lambda(G) \leq \delta(G)$$



Since  $\delta(K_n) = n-1$  and  $\kappa(K_n) = n-1$ , by Squeeze Theorem  $\lambda(K_n) = n-1$ .

**Proof:** In lecture 15

**Thm:** Connectivity of Cubic Graphs  
In cubic graphs(3-regular),  $\kappa = \lambda$

**Proof:**

We have  $\kappa(G) \leq \lambda(G) \leq 3$

The two trivial cases are

if  $\kappa = 0$ , graph is disconnected then  $\lambda = 0$

if  $\kappa = 3$ ,  $\lambda = 3$  by squeeze theorem

When  $\kappa = 1, 2$ , let  $|U| = 1, 2 : U \subset V$  is the minimal vertex cut. Let  $G_1, G_2$  be the two components of  $G - U$ . Either  $G_1$  or  $G_2$  contains exactly one neighbour  $w$  of  $u$  for each  $u \in U$

Thus if  $\kappa = 1$ , then  $\lambda = 1$  since  $u$  has one neighbour  $w$ .

If  $\kappa = 2$  where vertex cut =  $\{u, u'\}$ , then  $\lambda = 2$  for neighbours  $w, w'$  where edge cut =  $\{uw, u'w'\}$ .

QED.

## 5.8 Menger's Theorem

Let  $\kappa(G, u, v) = |S|$  where  $S$  is the minimal vertex set such that there is no  $u - v$  path on  $G - S$ .  $\kappa(G) \leq \kappa(G, u, v)$

Let  $\Pi(G, u, v)$  be the maximal number of internally disjoint  $u - v$  paths in  $G$ .

**Thm:** Menger's Theorem

For every  $G$  and  $u, v$ ,

$$\kappa(G, u, v) = \Pi(G, u, v)$$

The minimal size of the  $u, v$  separating set = the maximal number of internally disjoint  $u, v$  paths.

So if  $\Pi(G) = \min(\Pi(G, u, v))$  and  $\kappa(G) = \min(\kappa(G, u, v))$ , then by Menger's Theorem,  $\Pi(G) = \kappa(G)$ . So  $\kappa(G) \geq k \Leftrightarrow \Pi(G) \geq k$ . **Thus,**

$G$  is  $k$ -connected  $\Leftrightarrow$  Every  $u, v$  has  $k$  internally disjoint  $u - v$  paths

**Proof:**

We want to show that  $\kappa(G, u, v) = \Pi(G, u, v)$ .

Note,  $\Pi \leq \kappa$  since every  $u, v$  path has to use a vertex in a separating set.

We want to show  $\Pi \leq \kappa$ , so if  $\kappa = k$ , then there exists  $k$  internally disjoint  $u, v$  paths. Trivial  $k = 1, 2$  always true. Assume  $k \geq 2$ ,

Begin induction on size of  $G$ . Assume true for graph of size  $< m$ . Prove for graph size  $m$ .

Case 1.

There exists a  $u, v$  separating set with a vertex  $x$  adjacent to both  $u$  and  $v$ . So  $G - x$  has a  $u, v$  separating set  $U - x$  of cardinality  $k - 1$ . Thus by hypothesis, there  $k - 1$  internally disjoint  $u, v$  paths.

Finally add path  $uxv$  to get  $k$  internally disjoint paths in  $G$ .

Case 2.

There exists a  $u, v$  separating set  $W$  with no vertex adjacent to both  $u$  and  $v$ . Let  $W = \{w_1, \dots, w_k\}$

Let  $G_u$  be the sub-graph consisting of all  $u - w_i$  graph in  $G$  that contain

no other vertices of  $W$ . Now let  $G'_u$  be  $G_u$  but all  $w_i$  are connect to new arbitrary vertex  $v'$ .

The same can be done with  $v$  creating  $G_v$  and  $G'_v, v'$ .

Claim  $G'_v < \text{size of } G$ .  $G'_v$  has  $k$  extra edges since there are  $k$  connections from  $w_i$  to  $u'$ . We threw away more than  $k$  edges since every  $w_i$  is connected to at least one edge. And since there exists no vertex adjacent to both  $u, v$ , there exists some  $w_i - v$  of at least 2. So we threw away at least  $k + 1$  edges.

We need to prove above claim to use the induction hypothesis.

Since  $W$  is a minimal  $u, v$  separating set in  $G'_v$ , by induction there are  $k$  internally disjoint  $u, v$  paths in  $G'_v$ . Similarly this applies for  $G'_u$ . Thus when you remove  $u', v'$  and join  $G'_u, G'_v$ , it's prove that there are  $k$  disjoint paths in  $G$ .

Case 3.

For each minimum  $u, v$  separating set  $S$  in  $G$ , either every vertex in  $S$  is adjacent to  $u$  or every vertex in  $S$  is adjacent to  $v$ .

Pick a  $u, v$  geodesic,  $P = (u, x, y, \dots, v)$  where  $x \in S$ . Consider  $G - xy$ , if  $S'$  is a  $u, v$  separating set in  $G - xy$ , then  $\kappa(G - xy, u, v) \geq k - 1, k = |S|$ .

Claim, if  $S'$  is a  $u, v$  separating set in  $G - xy$ , then  $S' \cup x$  and  $S' \cup y$  are  $u, v$  separating sets in  $G$ . By contradiction, if they are not, then there would exist a  $u, v$  path in  $G - S'$  not using  $x$ . This also implies that there exists a  $u, v$  path in  $G - xy - S'$  not using  $x$  which is a contradiction since  $S'$  is a  $u, v$  separating set for  $G - xy$ .

Finally since  $S' \cup x/y$  are separating sets,  $\kappa(G - xy, u, v) \geq k$  and thus  $\Pi(G - xy, u, v) \geq k \rightarrow \Pi(G, u, v) \geq k$

QED.

**Note:** Proof on lecture 16,17.

**Thm:** Edge Menger's Theorem

For every  $G$  and  $u, v$ , if  $\Pi^*(G, u, v)$  is the number of edge-disjoint  $u, v$  paths

$$\lambda(G, u, v) = \Pi^*(G, u, v)$$

The minimal size of the  $u, v$  separating edge set = the maximal number of edge-disjoint  $u, v$  paths.

So if  $\Pi^*(G) = \min(\Pi(G, u, v))$  and  $\lambda(G) = \min(\lambda(G, u, v))$ , then by Edge Menger's Theorem,  $\Pi^*(G) = \lambda(G)$ . So  $\lambda(G) \geq k \Leftrightarrow \Pi^*(G) \geq k$ . **Thus,**

$G$  is  $k$  edge-connected  $\Leftrightarrow$  Every  $u, v$  has  $k$  edge-disjoint  $u - v$  paths

**Thm K Cycles**

If  $G$  is  $k$ -connected where  $k \geq 2$ , then every  $k$  vertices lie on a common cycle.

**Proof:**

If  $G$  is  $k$ -connected, then for every  $u, v$ , there are  $k$  internally disjoint  $u, v$  paths. Show every  $k$  vertices lie on a common cycle.

## 6 Euler's theorem and Traversability

### 6.1 Eulerian Graphs

**Def: Eulerian Trail** is a trail that uses each edge once.

**Def: Eulerian Circuit** is a circuit that uses each edge once.

**Def:** Degree of Eulerian Trail

$G$  has a Eulerian Trail if and only if all but two have even degrees.

$G$  has a Eulerian Circuit if and only if all vertices have even degrees.

To traverse this trail, we can't take arbitrary edges. Use following algorithm.

**Def:** Fleury's Algorithm

1. Keep adding edges to the trail while removing them from  $G$ .
2. At every step, check that the remaining graph has a connected component containing the given end point,  $v$ . And all other components have order 1(just vertices).
3. Stop when there are no more edges.

**Note:** Works with directed graphs.

The components with order 1 have been traversed. The component containing  $v$  has not.

**Proof:**

Since the graph starts with all even or all even but two. At every step of the algorithm, those conditions still holds, thus at every step, the remaining graph  $G$  is still Eulerian. To proven algorithm, we only need to show one step.

## 6.2 Hamiltonian Graphs

**Def: Hamiltonian Path** is a path that uses each vertex once.

**Def: Hamiltonian Cycle** is a cycle that uses each vertex once.

**Thm:** Components of Hamiltonian

For Hamiltonian graph  $G$ , for every non-empty set of vertices  $S$ ,

$$k(G - S) \leq |S|$$

where  $k(G)$  is the number of components of  $G$

**Proof:**

If  $G$  is Hamiltonian with cycle  $C$ , erase all edges in  $G$  except for those in  $C$ . Then  $C - S$  has  $|S|$  components. Now adding back the edges not incident to vertices in  $S$  will not increase the number of components.

QED.

**Corr:** Hamiltonian  $K_{n,n}$

$K_{n,n}$  is Hamiltonian if  $\deg(u) = n, \deg(v) = n$

$$\begin{aligned} \deg(u) + \deg(v) &\geq 2n \\ n + n &\geq 2n \end{aligned}$$

**Thm:** Degrees of Hamiltonian

For a graph  $G$  with  $n \geq 3$ , if

$$\deg(u) + \deg(v) \geq n$$

for all distinct pairs of  $u, v \in V$ , then  $G$  is Hamiltonian.

**Proof:**

If  $\deg(u) + \deg(v) \geq n$  for all distinct pairs of  $u, v \in V$ . Prove by contradiction, assume  $G$  is not Hamiltonian.

Add the maximum number of edges to  $G \rightarrow G' \neq K_n$  such that if one more edge was added  $G'$  would become Hamiltonian. Thus there exists distinct

non-adjacent  $u, v$  in  $G'$  where edge  $uv$  would make  $G'$  Hamiltonian.

This implies there exists Hamiltonian path in  $G'$   $P = (u = x_1, \dots x_{i-1}x_i \dots x_n = v)$ .

Claim: this  $x_1x_i$  can only exist if  $x_1x_{i-1}$  doesn't exist since if they both exist, a Hamiltonian cycle would form. Thus  $\deg(x_1) + \deg(x_n) \leq n - 1$ .

Which is a contradiction. Thus  $G$  is Hamiltonian.

QED.

We can then conclude,

**Cor:** Regular Hamiltonian Graphs

If  $G$  is regular with  $n \geq 3$

$$\delta(G) \geq \frac{n}{2}$$

$G$  is Hamiltonian.

Also

**Cor:**

If  $\deg(u) + \deg(v) \geq n$ , then  $G$  is Hamiltonian  $\Leftrightarrow G + uv$  is Hamiltonian.

**Def:** Closure of Graph

The closure of  $G$ ,  $C(G)$  is the graph; for all distinct non-adjacent pairs of vertices  $u, v \in V(G)$ , if  $\deg(u) + \deg(v) \geq n$  add edge  $uv$ .

Then by above Corollary, if  $C(G)$  is Hamiltonian  $\Leftrightarrow G$  is Hamiltonian.

**Def:** Degree Sequence of Hamiltonian Graph

For a graph with  $n \geq 3$ , if for any  $j : 1 \leq j < \frac{n}{2}$ , the number of vertices with degree  $j$  is strictly less than  $j$ , then  $G$  is Hamiltonian.

Thus no leaves, at most 1 degree 2, at most 2 degree 3...

**Proof:**

To show that  $G$  is Hamiltonian, show  $C(G) = K_n$ . Prove by contradiction so assume  $C(G) \neq K_n$ .

Pick non adjacent vertices  $u, w$  so that  $\deg(u) + \deg(w)$  is maximal in  $C(G)$ . Since they are non-adjacent,  $\deg(u) + \deg(w) \leq n - 1$ .

Let  $\deg(u) \leq \deg(w)$  where  $k = \deg(u) \leq \frac{n-1}{2}$ .

Let  $W = \{v : vw \notin E\}$ , the set of vertices not adjacent to  $w$ . Claim: for all  $v \in W, \deg(v) \leq k$ ; since we assumed  $u, v$  are maximal. Thus by the theorem if  $\deg(v) \leq k$ , then  $|W| \leq k - 1$ .

Then  $\deg(w) = n - 1 - |W| \geq n - k$ . Finally this implies  $\deg(u) + \deg(w) \geq k + n - k = n$  which is a contradiction from the earlier assumption of  $\deg(u) + \deg(w) \leq n - 1$ .

Therefore  $G$  is Hamiltonian. QED.

## 7 Planarity

**Def:** Planar Graphs

A graph is planar if it can be drawn in the plane such that edges do not cross.

Eg. Trees are planar.



**Def:** Plane Graph

A graph that is drawn in the plane.

**Note:** Isomorphic graphs are not necessarily isomorphic plane graphs.

**Thm:** Euler's Identity

Let  $r =$  **number of regions** .

$$n + r = m + k + 1$$

where  $k$  is the number of components. Thus when  $G$  is **connected**.

$$n + r = m + 2$$

**Proof:**

Connected Case:

Proof by induction on number of edges.

Base case:  $m=n-1$ , true for trees.

Assume true for all graphs with  $n$  vertices and  $< m$  edges. So  $m > n - 1$ .

Prove for  $m$ .

Consider a cycle in  $G$ , if we remove an edge  $e$ , the two regions neighbouring  $e$  become connected. Thus  $n' = n, m' + 1 = m - 1, r' = r - 1$ . By hypothesis we have,  $n' + r' = m' + 2$ , thus  $n + r - 1 = m - 1 + 2 \rightarrow n + r = m + 2$ .

QED.

**Def:** Dual of a Plane Graph

The dual graph  $G^*$  of plane graph  $G$  is a graph/multigraph drawn by connecting each planar region (as vertex in  $G^*$ ) of  $G$  for each edge in  $G$ .

Edges of the dual are paired with edges of the original.

**Prop:** Spanning Tree of Dual

Let  $T$  be a spanning tree of  $G$ ; the pairwise dual of the edges not used by  $T$  form a spanning tree of  $G^*$ , the dual of  $G$ .

**Prop:** Application of Euler's Identity

If  $G$  is planar with  $n \geq 3$ , then

$$m \leq 3n - 6$$

An immediate result is  $K_5$  is not planar.

**Proof:**

Assume  $G$  is connected.

If  $m < 3$ , then  $n = 3$ . Thus  $G = P_3$  which is planar.

If  $m \geq 3$ , the boundary of each region  $r_i$  has  $m_i \geq 3$  edges.

Thus  $M = \sum_{i=1}^r m_i \geq 3r$ . Since some edges are counted twice while others counted once,  $M \leq 2m$ . Finally we have  $3r \leq M \leq 2m \rightarrow 3r \leq 2m$ .

Thus by Euler's Identity  $n+r = m+2 \rightarrow 2 = n-m+r \rightarrow 6 = 3n-3m+3r \leq 3n-3m+2m = 3n-m \rightarrow m \leq 3n-6$ .

QED

**Thm:** Kuratowski's Theorem

A graph is planar if and only if the graph does not contain a subgraph that is the subdivision of  $K_5$  or  $K_{3,3}$ .

Or every non-planar graph contains a subdivision of  $K_5$  or  $K_{3,3}$ .

**Cor:**

Every planar graph has a vertex of degree 5 or less.

$$\delta(G) \leq 5$$

**Proof:**

$$\begin{aligned} m &\leq 3n - 6 \\ \rightarrow 2m/n &\leq 6 - \frac{12}{n} < 6 \end{aligned}$$

If the average degree is less than 6, then the minimal degree is less than 6.

## 7.1 Embedded Graphs

**Def:** Shapes

A donut shape is called the torus (genus-1).

A figure 8 donut is called genus-2

A sphere is called genus-0

**Def:** Gamma Function

The smallest genus surface that  $G$  can be embedded in is

$$\gamma(G)$$

For example  $\gamma(K_3), \gamma(K_4) = 0$  where  $\gamma(K_5), \gamma(K_6) = 1$  since it's not planar.

Thus if  $G$  is a subgraph of  $H$ , then  $\gamma(G) \leq \gamma(H)$ .

**Prop:** Gamma of Complete Graph

For a complete graph  $K_n$

$$\gamma(K_n) = \lceil \frac{(n-3)(n-4)}{12} \rceil$$

**Thm:** Euler's Identity for General Surfaces

If  $G$  is 2-cell embedded on a surface with genus- $k$ ,  $k > 0$

$$n - m + r = 2 - 2k$$

for  $G$  with order  $n$ , size  $m$  and  $r$  regions.

**Cor:**

For any connected graph embedded in a surface of genus- $\gamma(G)$ ,

$$n - m + r = 2 - 2\gamma(G)$$

Since the minimal genus embedding is always 2-cell.

**Cor:**

$$\gamma(G) \geq \frac{m}{6} + \frac{n}{2} + 1$$

**Proof:**

From earlier proof of Application of Euler's Identity, we have  $3r \leq 2m \rightarrow r \leq \frac{2}{3}m$

Thus by corollary above,

$$\begin{aligned}
n - m + r &= 2 - 2\gamma(G) \\
\rightarrow 2\gamma(G) &= 2 - n + m - r \\
\rightarrow \gamma(G) &= 1 - n/2 + m/2 - r/2 \\
\rightarrow \gamma(G) &\geq 1 - n/2 + m/2 - 2/6m \\
\rightarrow \gamma(G) &\geq \frac{1}{6}m - \frac{1}{2}n + 1
\end{aligned}$$

QED.

**Cor:**

For  $n \geq 3$

$$\begin{aligned}
\gamma(K_n) &\geq \frac{1}{6} \frac{n(n-1)}{n} + \frac{n}{2} + 1 \\
&= \frac{n^2 - 7n + 12}{12} = \frac{(n-3)(n-4)}{12}
\end{aligned}$$