

## PHYS 5306: Classical Dynamics

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### Load relevant packages

```
In [1]: %load_ext autoreload
        %autoreload 2
```

```
In [2]: import numpy as np
import scipy.special as special
import matplotlib.pyplot as plt
import matplotlib as mpl
import matplotlib.lines as lines
from mpl_toolkits.mplot3d import Axes3D
%matplotlib inline
%config InlineBackend.figure_format = 'retina'

mpl.rcParams['figure.dpi'] = 100
#mpl.rcParams['figure.figsize'] = [5,3]
mpl.rcParams['text.usetex'] = True
```

### 0. Useful mathematical identities, special functions, etc.

- Review of vector calculus, calculus of variations, linear algebra, ...
- Trig identities
- Double-angle formulae
- Hyperbolic function identities
- Some standard integrals
- Beta function, Gamma function
- Elliptic functions and elliptic integrals

### 1. Lagrangian mechanics (1-5)

- Write down the Lagrangian for a simple system in terms of generalized coordinates.
- Distinguish generalized coordinates from Cartesian coordinates.
- Write down Lagrange's equations.
- Define the action in terms of the Lagrangian, and derive Lagrange's equations starting from the action.
- Show that Lagrange's equations are unchanged if one adds a total time derivative  $df(q, t)/dt$  to  $L$ .
- Include holonomic and non-holonomic constraint forces in the Lagrangian formalism by introducing Lagrange multipliers.
- Define and give examples of a *closed system*, *constant external field*, and *uniform field*.

### 2. Conservation laws (6-10)

- Show how conservation of energy, momentum, and angular momentum are connected to time translation, space translation, and rotational symmetry.
- Derive the transformation equations for energy, momentum, and angular momentum from one inertial frame  $K$  to another  $K'$ .
- Write down the general expression for the energy function  $E$ .
- Explain what it means for a function to be homogeneous of degree  $k$ .
- Write down the expression for the generalized momentum  $p_i$ .
- Write down the expression for the center of mass (COM) of a system of particles.
- Write down the virial theorem for a system whose motion takes place in a finite region of space and whose potential energy is a homogeneous function of degree  $k$ .

### 3. Hamiltonian mechanics (40)

- Write down the Hamiltonian  $H(p, q, t)$  for a simple system starting from a Lagrangian  $L(q, \dot{q}, t)$ .
- Write down Hamilton's equations for  $p_i$  and  $q_i$ .
- Explain the fundamental difference between Hamilton's equations and Lagrange's equations.
- Show the equivalence of Hamilton's equations and Lagrange's equation for simple systems.

## 4. Central force motion (11, 13-15)

- Write down an integral expression for  $t$  in terms of  $x$  for 1-d motion in a constant external field  $U(x)$ .
- Determine the allowed values of the energy and turning points for 1-d motion in a constant external field.
- Transform the problem of two interacting particles into an effective one-body problem by working in the COM frame.
- Show that both energy and angular momentum are conserved for a central potential.
- Write down an expression for the effective potential  $U_{\text{eff}}(r)$  in terms of  $U(r)$  and  $\ell$ .
- Plot the effective potential for some simple central force potentials.
- From the graph of the effective potential, determine the different types of allowed motion.
- Write down integral expressions for  $t$  and  $\phi$  in terms of  $r$  for a general central potential.
- Evaluate these two integrals for Kepler's problem for bound orbits, using appropriate trig substitutions.
- Derive the relationship between  $E$ ,  $\ell$ ,  $a$ ,  $b$ ,  $e$ , and  $p$  for an ellipse.
- State the only two central potentials that have closed bound orbits.
- State and derive Kepler's three laws of planetary motion.
- Explain the difference in  $E$  and  $e$  for elliptical, parabolic, and hyperbolic motion.

## 5. Collisions and scattering (16-20)

- Draw diagrams relating velocities in the lab and COM frames for the disintegration of a single particle.
- Draw diagrams relating the momenta in the lab and COM frames for an elastic collision of two particles ( $m_2$  initially at rest in the lab frame).
- Explain what information can and cannot be obtained for an elastic collision of two particles, using just conservation of momentum and kinetic energy.
- Derive formulas relating the scattering angles  $\chi$ ,  $\theta_1$ ,  $\theta_2$  in the COM and lab frames.
- Draw diagrams showing how the scattering angle  $\chi$  is related to the angle of closest approach  $\phi_0$ .
- Relate the impact parameter  $\rho$  and initial velocity  $v_\infty$  to the energy  $E$  and angular momentum  $\ell$ .
- Derive an integral expression for  $\phi_0$  and solve it for simple potentials---e.g.,  $U(r) = \alpha/r$  for Rutherford scattering.
- Write down expressions for  $d\sigma$  in terms of  $d\rho$ ,  $d\chi$ ,  $d\theta_1$ ,  $d\theta_2$ , or  $d\Omega$ ,  $d\Omega_1$ ,  $d\Omega_2$ .
- Explain how one can obtain an expression for small-angle scattering starting from the integral equation for  $\phi_0$ .

## 6. Small oscillations (21-23)

- Explain what stable equilibrium means in terms of the potential energy  $U(q)$ .
- Calculate the frequency for small oscillations about a position of stable equilibrium.
- Solve the equations of motion for both free and forced oscillation in one dimension, noting the difference between the general solution of the homogeneous equation and a particular integral of the inhomogeneous equation.
- Calculate the normal mode frequencies and normal mode solutions for small oscillations of systems with more than one DOF.

## 7. Rigid body motion (31-36, 38)

- Draw a diagram showing the body frame and fixed inertial reference frame.
- Show that the angular velocity vector is unchanged under a shift of the origin of the body frame.
- Write down an expression for the components  $I_{ik}$  of the inertia tensor as a sum over discrete mass points or as an integral over the volume of the body.
- Indicate how the components of the inertia tensor change if you shift the origin of the body frame.
- Obtain or identify the principal axes of inertia for various rigid bodies.
- Calculate the principal moments of inertia for various rigid bodies.
- Calculate the kinetic energy of a rigid body in terms of its COM motion and rotational kinetic energy.
- Write down an expression for the angular momentum vector  $\mathbf{M}$  in terms  $I_{ik}$  and  $\Omega_i$ .
- Write down the equations of motion for a rigid body with respect to an inertial frame.
- Derive Euler's equations for rigid body motion (equations of motion in the body frame).
- Draw a diagram showing the definition of the Euler angles  $(\phi, \theta, \psi)$ .
- Calculate the components of  $\mathbf{\Omega}$  wrt the body frame in terms of the Euler angles and their time derivatives.
- Solve for the reaction forces for rigid bodies in static equilibrium.

## 8. Non-inertial reference frames (39)

- Draw a diagram relating an inertial and non-inertial reference frame.
- Write down the relationship between velocity vectors in inertial and non-inertial reference frames.
- Distinguish non-inertial reference frames associated with translational and rotational motion.
- Derive the Coriolis, centrifugal, translational acceleration, and rotational acceleration fictitious force terms.
- Explain the physical significance of Foucault's pendulum.

## 0. Useful mathematical identities, special functions, etc.

### 1) Review of vector calculus, etc.:

<https://link.springer.com/content/pdf/bbm%3A978-3-319-68780-3%2F1.pdf>  
<https://link.springer.com/content/pdf/bbm%3A978-3-319-68780-3%2F1.pdf>

### 2) Trig identities:

$$\begin{aligned}\cos^2 x + \sin^2 x &= 1 \\ \sin(A \pm B) &= \sin A \cos B \pm \cos A \sin B \\ \cos(A \pm B) &= \cos A \cos B \mp \sin A \sin B \\ \tan(A \pm B) &= \frac{\tan A \pm \tan B}{1 \mp \tan A \tan B} \\ \cos x + \cos y &= 2 \cos\left(\frac{x+y}{2}\right) \cos\left(\frac{x-y}{2}\right) \\ \sin x + \sin y &= 2 \sin\left(\frac{x+y}{2}\right) \cos\left(\frac{x-y}{2}\right)\end{aligned}$$

### 3) Double-angle formulae:

$$\begin{aligned}\sin 2x &= 2 \sin x \cos x \\ \cos 2x &= \cos^2 x - \sin^2 x = \frac{1 - \tan^2 x}{1 + \tan^2 x} \\ \tan 2x &= \frac{2 \tan x}{1 - \tan^2 x} \\ \cos^2 x &= \frac{1 + \cos 2x}{2}, \quad \sin^2 x = \frac{1 - \cos 2x}{2}\end{aligned}$$

### 4) Hyperbolic function identities:

$$\begin{aligned}\cosh^2 x - \sinh^2 x &= 1 \\ \sinh^{-1} x &= \ln\left(x + \sqrt{x^2 + 1}\right) \\ \cosh^{-1} x &= \ln\left(x + \sqrt{x^2 - 1}\right) \\ \tanh^{-1} x &= \frac{1}{2} \ln\left(\frac{1+x}{1-x}\right)\end{aligned}$$

### 5) Some standard integrals:

$$\begin{aligned}\int_a^b u dv &= uv \Big|_a^b - \int_a^b v du \\ \int \frac{dx}{1-x^2} &= \frac{1}{2} \ln \left| \frac{1+x}{1-x} \right| + \text{const} \\ \int \frac{dx}{1+x^2} &= \tan^{-1} x + \text{const} \\ \int \frac{dx}{\sqrt{1+x^2}} &= \sinh^{-1} x + \text{const} \\ \int \frac{dx}{\sqrt{1-x^2}} &= \sin^{-1} x + \text{const}, \quad |x| \leq 1 \\ \int \frac{dx}{\sqrt{x^2-1}} &= \cosh^{-1} x + \text{const}, \quad |x| \geq 1\end{aligned}$$

## 6) Beta function and Gamma function:

$$B(x, y) \equiv \int_0^1 dt t^{x-1} (1-t)^{y-1} = \frac{\Gamma(x)\Gamma(y)}{\Gamma(x+y)}, \quad \text{where } \operatorname{Re}(x) > 0, \operatorname{Re}(y) > 0$$

$$\Gamma(z) \equiv \int_0^\infty dx x^{z-1} e^{-x}, \quad \text{where } \operatorname{Re}(z) > 0$$

$\Gamma(z)$  is a generalization of the factorial function  $n!$ :

$$\Gamma(z+1) = z\Gamma(z)$$

Useful value:

$$\Gamma(1/2) = \sqrt{\pi}$$

## 7) Elliptic functions and integrals:

Consider an ellipse with semi-major axis  $a$ , semi-minor axis  $b$ , and eccentricity  $k \equiv \sqrt{1 - (b/a)^2}$ :

$$\left(\frac{x}{a}\right)^2 + \left(\frac{y}{b}\right)^2 = 1$$

As usual let

$$x = r \cos \theta, \quad y = r \sin \theta, \quad r^2 = x^2 + y^2$$

For a given  $k$ , define the following three functions

$$\operatorname{cn}(u, k) \equiv x/a, \quad \operatorname{sn}(u, k) \equiv y/b, \quad \operatorname{dn}(u, k) \equiv r/a$$

where the argument  $u$  is defined by

$$u \equiv \frac{1}{b} \int_0^\theta r d\theta$$

Then it's easy to show that

$$\operatorname{cn}^2 u + \operatorname{sn}^2 u = 1, \quad \operatorname{dn}^2 u + k^2 \operatorname{sn}^2 u = 1$$

where we have dropped the  $k$  dependence above (and henceforth) to simplify the notation.

One can also prove the following differential identities:

$$\frac{d}{du} \operatorname{sn} u = \operatorname{cn} u \operatorname{dn} u, \quad \frac{d}{du} \operatorname{cn} u = -\operatorname{sn} u \operatorname{dn} u, \quad \frac{d}{du} \operatorname{dn} u = -k^2 \operatorname{sn} u \operatorname{cn} u$$

These equations can be integrated, e.g., the first being

$$u = \int \frac{d(\operatorname{sn} u)}{\operatorname{cn} u \operatorname{dn} u} = \int_0^{\operatorname{sn} u} \frac{dt}{\sqrt{1-t^2} \sqrt{1-k^2 t^2}}$$

or, equivalently,

$$\operatorname{sn}^{-1} x = \int_0^x \frac{dt}{\sqrt{1-t^2} \sqrt{1-k^2 t^2}}$$

which is the inverse of the  $\operatorname{sn}$  function.

This equation is typically written in mathematical handbooks as the Jacobi elliptic function with *amplitude*  $\phi$  and *modulus*  $k$ :

$$F(\phi, k) \equiv \int_0^{\sin \phi} \frac{dt}{\sqrt{1-t^2} \sqrt{1-k^2 t^2}}$$

Setting  $\sin \phi = 1$  gives the complete elliptic integral of 1st kind:

$$K(k) \equiv F(\pi/2, k) = \int_0^1 \frac{dt}{\sqrt{1-t^2} \sqrt{1-k^2 t^2}}$$

Complete elliptic integral of the 2nd kind:

$$E(k) = \int_0^1 dt \frac{\sqrt{1-k^2 t^2}}{\sqrt{1-t^2}}$$

NOTE: The functions  $\operatorname{sn}(u, k)$ ,  $\operatorname{cn}(u, k)$  are generalizations of the circular functions  $\sin \theta$ ,  $\cos \theta$  to an ellipse with eccentricity  $k$ . When  $k = 0$ ,  $u \rightarrow \theta$ ,  $\operatorname{sn} \rightarrow \sin$ ,  $\operatorname{cn} \rightarrow \cos$ , and  $\operatorname{dn} \rightarrow 1$ .

## Plot the $\operatorname{sn}(u, k)$ function for different values of $k$

```
In [3]: # discrete u values
u = np.linspace(0, 10, 10000)

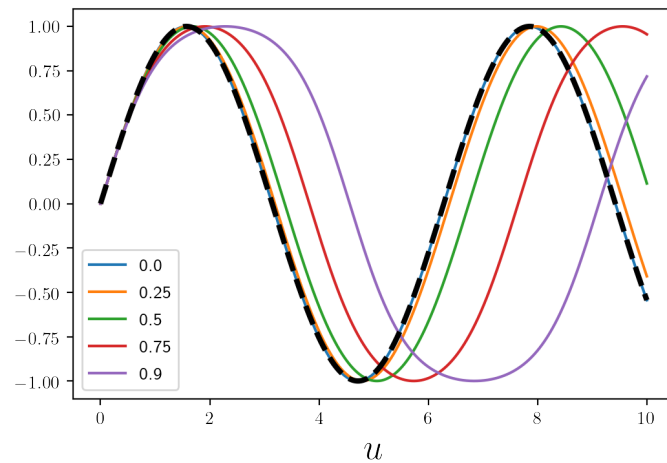
# consider several different values for k
k = np.array([0, 0.25, 0.5, 0.75, 0.9])

# make plots
plt.figure()
plt.xlabel('$u$', size=20)
for ii in range(len(k)):
    m = k[ii]**2 # scipy uses m=k^2
    sn, cn, dn, ph = special.ellipj(u, m)
    plt.plot(u, sn)

_=plt.legend(k)

# compare with ordinary sine functions
sin = np.sin(u)
plt.plot(u, sin, color='k', ls='--', lw=3)
```

Out[3]: [<matplotlib.lines.Line2D at 0x818572710>]



```
In [4]: # semi-major, semi-minor axes
a = 2.
b = 0.75

# calculate eccentricity
k = np.sqrt(1-(b/a)**2)
m = k**2 # scipy uses m=k^2
print('k =', k)
print('m = k^2 =', m)

# period
P = 4*special.ellipk(m)
print('period =', P)

# circumference
C = 4*a*special.ellipe(m)
print('circumference =', C)

# calculate elliptic functions
u = np.linspace(0, P, 10000)
sn, cn, dn, ph = special.ellipj(u, m)
x = a*cn
y = b*sn

# plot figure
plt.figure()
plt.axis('equal')
plt.plot(x, y, color='k', ls='-', lw=2)
plt.xlabel('$x$', size=20)
plt.ylabel('$y$', size=20)
```

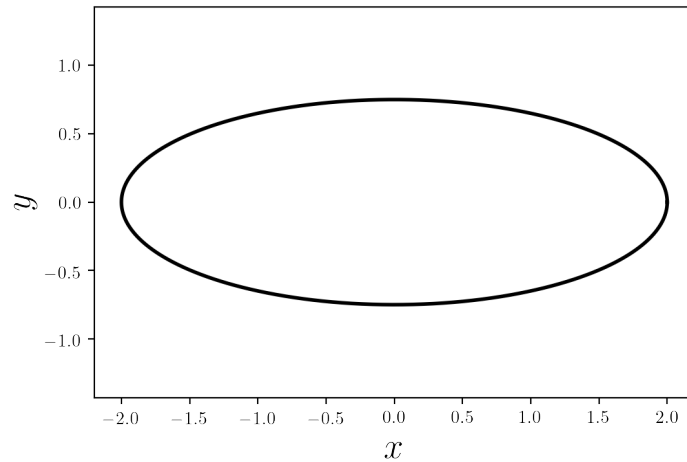
## Plot an ellipse using the sn(u,k) and cn(u,k) functions

```

k = 0.9270248108869579
m = k^2 = 0.859375
period = 9.675475975236065
circumference = 9.091715695792137

```

Out[4]: Text(0, 0.5, '\$y\$')



## Simple pendulum: compare small-angle and exact calculation

```

In [5]: # see L&L prob 11.1' K&S prob 1.7

# choose maximum angle phi0 (E = mgl(1-cos(phi0)))
theta0 = np.deg2rad(40)
print('theta0 =', np.rad2deg(theta0), ' degrees')

# modulus k
k = np.sin(theta0/2)
m = k**2 # scipy has K(m) where m=k^2

# constants
g = 9.8 # m/s^2
ell = 1 # m

# small angle approximation angular frequency and period
omega0 = np.sqrt(g/ell)
P0 = 2*np.pi/omega0

# actual period
P = (4/omega0)*special.ellipk(m)

# 1st order correction to the period
P1 = P0*(1 + theta0**2/16)

# display periods
print('small angle  =', P0, 'sec')
print('1st order    =', P1, 'sec')
print('exact period  =', P, ' sec')

# discrete times
t = np.linspace(0, 4*P, 10000)

# time evolution for theta
x = omega0*t
sn_x, cn_x, dn_x, ph_x = special.ellipj(x+omega0*P/4, m)
theta = 2*np.arcsin(k*sn_x)

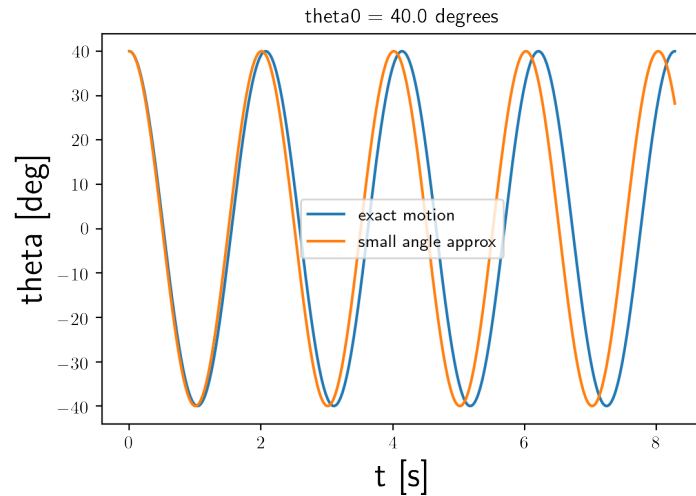
# make plots
plt.figure()
plt.plot(t, np.rad2deg(theta), t, np.rad2deg(theta0)*np.cos(omega0*t))
plt.xlabel('t [s]', size=20)
plt.ylabel('theta [deg]', size=20)
plt.legend(('exact motion', 'small angle approx'))
titlestr = 'theta0 = ' + str(np.rad2deg(theta0)) + ' degrees'
plt.title(titlestr)

```

```

theta0 = 40.0 degrees
small angle = 2.007089923154493 sec
1st order   = 2.0682293785216155 sec
exact period = 2.069993163286821 sec

```



## 1. Lagrangian mechanics (1-5)

### 1) Write down the Lagrangian for a simple system in terms of generalized coordinates.

Example:

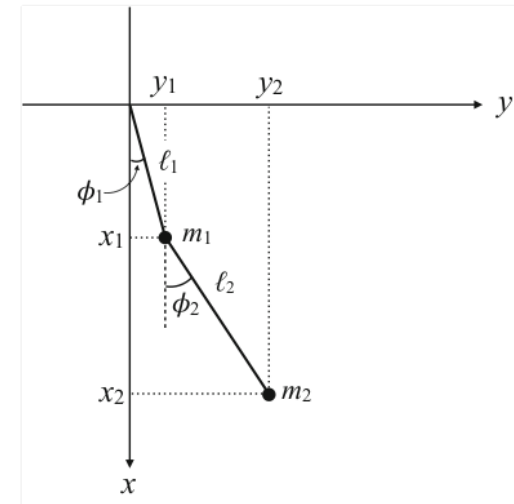
$$L(x, \dot{x}, t) = \frac{1}{2} m \dot{x}^2 - \frac{1}{2} k x^2$$

More generally:

$$L(q, \dot{q}, t) = T - U = \frac{1}{2} \sum_{i,k} a_{ik}(q) \dot{q}_i \dot{q}_k - U(q_1, q_2, \dots, q_n, t)$$

### 2) Distinguish generalized coordinates from Cartesian coordinates.

Example: Double pendulum



Use the two angles  $\phi_1, \phi_2$  for the generalized coordinates  $q_1, q_2$ , as opposed to the Cartesian coordinates  $(x_1, y_1)$  and  $(x_2, y_2)$ , which are subject to constraints imposed by the pendulum rods.

### 3) Write down Lagrange's equations.

$$\frac{d}{dt} \left( \frac{\partial L}{\partial \dot{q}_i} \right) = \frac{\partial L}{\partial q_i}, \quad i = 1, 2, \dots, n$$

This is of the form

$$\frac{dp_i}{dt} = F_i \quad i = 1, 2, \dots, n$$

where  $p_i \equiv \partial L / \partial \dot{q}_i$  and  $F_i = -\partial U / \partial q_i$ , for the case where the kinetic energy  $T$  does not depend explicitly on  $q$ .

**4) Define the action in terms of the Lagrangian, and derive Lagrange's equations starting from the action.**

Action:

$$S[q] \equiv \int_{t_1}^{t_2} dt \, L(q, \dot{q}, t)$$

Lagrange's equations are obtained by setting  $\delta S = 0$  for variations  $\delta q$  that vanish at the end points  $t_1$  and  $t_2$ .

The following derivation is for a single degree of freedom. For multiple degrees of freedom, we should vary each  $q_i(t)$ ,  $i = 1, 2, \dots, n$  independently.

Derivation:

$$\delta S = \int_{t_1}^{t_2} dt \left[ \frac{\partial L}{\partial q} \delta q + \frac{\partial L}{\partial \dot{q}} \delta \dot{q} \right]$$

Integrate the second term by parts using

$$\delta \dot{q} \equiv \delta \left( \frac{dq}{dt} \right) = \frac{d}{dt} \delta q$$

obtaining

$$\delta S = \int_{t_1}^{t_2} dt \left[ \frac{\partial L}{\partial q} - \frac{d}{dt} \left( \frac{\partial L}{\partial \dot{q}} \right) \right] \delta q + \frac{\partial L}{\partial \dot{q}} \delta q \Big|_{t_2} - \frac{\partial L}{\partial \dot{q}} \delta q \Big|_{t_1}$$

The last two terms vanish given the condition that the variations  $\delta q$  vanish at  $t_1$  and  $t_2$ . Since  $\delta q$  is arbitrary, setting  $\delta S = 0$  is equivalent to the integrand vanishing:

$$\frac{\partial L}{\partial q} - \frac{d}{dt} \left( \frac{\partial L}{\partial \dot{q}} \right) = 0$$

**5) Show that Lagrange's equations are unchanged if one adds a total time derivative  $df(q, t)/dt$  to  $L$ .**

Define

$$\bar{L}(q, \dot{q}, t) \equiv L(q, \dot{q}, t) + \frac{df(q, t)}{dt}$$

Then

$$\bar{S}[q] \equiv \int_{t_1}^{t_2} dt \, \bar{L}(q, \dot{q}, t) = S[q] + f(q, t) \Big|_{t_2} - f(q, t) \Big|_{t_1}$$

From this we see that  $\delta \bar{S} = \delta S$ , since  $\delta q = 0$  at  $t_1$  and  $t_2$ . So the EOMs for  $L$  and  $\bar{L} \equiv L + df(q, t)/dt$  are the same.

Note: one can obtain the same result by working directly with Lagrange's equations for  $L$  and  $\bar{L}$ .



## 6) Include holonomic and non-holonomic constraint forces in the Lagrangian formalism by introducing Lagrange multipliers.

(i) Holonomic constraints are relationships between the generalized coordinates and possibly time

$$\varphi_\alpha(q, t) = 0, \quad \alpha = 1, 2, \dots, m < n$$

where  $\alpha$  labels the different constraints. Holonomic constraints can be included in the Lagrangian formalism by adding to the Lagrangian a term of the form  $\sum_\alpha \lambda_\alpha(t) \varphi_\alpha(q, t)$ , where  $\lambda_\alpha(t)$  are the so-called Lagrange multipliers. The modified equations of motions are then

$$\frac{d}{dt} \left( \frac{\partial L}{\partial \dot{q}_i} \right) = \frac{\partial L}{\partial q_i} + \sum_\alpha \lambda_\alpha \frac{\partial \varphi_\alpha}{\partial q_i}, \quad i = 1, 2, \dots, n$$

Together with the constraint equations, these are  $n + m$  equations for the  $n + m$  unknowns  $q_i$  and  $\lambda_\alpha$ . Note that the generalized constraint force  $\sum_\alpha \lambda_\alpha (\partial \varphi_\alpha / \partial q_i)$  is orthogonal to the constraint surface.

(ii) Non-holonomic constraints are relationships between the coordinate differentials

$$\sum_i c_{\alpha i} \delta q_i = 0, \quad \alpha = 1, 2, \dots, m < n$$

that *cannot* be integrated to yield relations between just the generalized coordinates. Non-holonomic constraints can be included in the Lagrangian formalism at the level of the variation of the action. The modified equations of motion are

$$\frac{d}{dt} \left( \frac{\partial L}{\partial \dot{q}_i} \right) = \frac{\partial L}{\partial q_i} + \sum_\alpha \lambda_\alpha c_{\alpha i}, \quad i = 1, 2, \dots, n$$

where  $\lambda_\alpha(t)$  are Lagrange multipliers. Together with the constraint equations, these are  $n + m$  equations for the  $n + m$  unknowns  $q_i$  and  $\lambda_\alpha$ .

Examples of non-holonomic constraints include dissipative forces like friction, and the reaction force for a sphere that rolls without slipping or pivoting on a horizontal surface:

$$\mathbf{V} - \mathbf{\Omega} \times (a \hat{\mathbf{n}}) = 0$$

where  $\mathbf{V}$  is the velocity of the COM of the sphere of radius  $a$ ,  $\mathbf{\Omega}$  is the angular velocity vector, and  $\hat{\mathbf{n}}$  is a unit vector normal to the surface.

## 7) Define and give examples of a closed system, constant external field, and uniform field.

- Closed system: The potential  $U$  is a function of only the relative position vectors  $\mathbf{r}_1 - \mathbf{r}_2$ , etc.
- Constant external field: The potential  $U \equiv U(\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_N)$  has no explicit time dependence
- Uniform field: The potential  $U = - \sum_a \mathbf{F}_a \cdot \mathbf{r}_a$ , where  $\mathbf{F}_a$  is independent of  $\mathbf{r}_1, \mathbf{r}_2$ , etc. Thus,  $-\partial U / \partial \mathbf{r}_a = \mathbf{F}_a$  has no position dependence.

The Newtonian gravitational field near the surface of the Earth,  $U \equiv - \sum_a m_a \mathbf{g} \cdot \mathbf{r}_a$ , is an example of a constant, external, uniform field.

## 2. Conservation laws (6-10)

### 1) Show how conservation of energy, momentum, and angular momentum are connected to time translation, space translation, and rotational symmetry, respectively.

Symmetry of the Lagrangian means that  $\delta L = 0$  under a transformation of time, space, ...

(i) Time translation symmetry:

$$t \rightarrow t + \delta t$$

for which

$$L \rightarrow L + \frac{\partial L}{\partial t} \delta t$$

Then  $\delta L = 0$  for all  $\delta t$  implies

$$0 = \frac{\partial L}{\partial t} = \frac{dL}{dt} - \sum_i \left( \frac{\partial L}{\partial q_i} \dot{q}_i + \frac{\partial L}{\partial \dot{q}_i} \ddot{q}_i \right)$$

Using Lagrange's equation, we can replace  $\partial L / \partial q_i$  by  $d/dt (\partial L / \partial \dot{q}_i)$  for which

$$0 = \frac{dL}{dt} - \sum_i \left[ \frac{d}{dt} \left( \frac{\partial L}{\partial \dot{q}_i} \right) \dot{q}_i + \frac{\partial L}{\partial \dot{q}_i} \ddot{q}_i \right] = \frac{dL}{dt} - \sum_i \frac{d}{dt} \left( \frac{\partial L}{\partial \dot{q}_i} \dot{q}_i \right) = - \frac{d}{dt} \left( \sum_i \frac{\partial L}{\partial \dot{q}_i} \dot{q}_i - L \right)$$

Thus,

$$E \equiv \sum_i \frac{\partial L}{\partial \dot{q}_i} \dot{q}_i - L = \text{const}$$

This is conservation of energy.

**(ii) Space translation symmetry:**

$$\mathbf{r}_a \rightarrow \mathbf{r}_a + \delta \mathbf{x}$$

for which

$$L \rightarrow L + \sum_a \frac{\partial L}{\partial \mathbf{r}_a} \cdot \delta \mathbf{x}$$

Then  $\delta L = 0$  for all  $\delta \mathbf{x}$  implies

$$0 = \sum_a \frac{\partial L}{\partial \mathbf{r}_a} = \sum_a \frac{d}{dt} \left( \frac{\partial L}{\partial \dot{\mathbf{r}}_a} \right) = \frac{d}{dt} \left( \sum_a \frac{\partial L}{\partial \dot{\mathbf{r}}_a} \right)$$

where the second equality follows from Lagrange's equations.

Thus,

$$\mathbf{P} \equiv \sum_a \frac{\partial L}{\partial \dot{\mathbf{r}}_a} = \sum_a \mathbf{p}_a = \text{const}$$

This is conservation of total linear momentum.

**(iii) Rotational symmetry:**

$$\mathbf{r}_a \rightarrow \mathbf{r}_a + \delta \boldsymbol{\phi} \times \mathbf{r}_a, \quad \dot{\mathbf{r}}_a \rightarrow \dot{\mathbf{r}}_a + \delta \boldsymbol{\phi} \times \dot{\mathbf{r}}_a$$

for which

$$L \rightarrow L + \sum_a \left( \frac{\partial L}{\partial \mathbf{r}_a} \cdot (\delta \boldsymbol{\phi} \times \mathbf{r}_a) + \frac{\partial L}{\partial \dot{\mathbf{r}}_a} \cdot (\delta \boldsymbol{\phi} \times \dot{\mathbf{r}}_a) \right) = L + \delta \boldsymbol{\phi} \cdot \sum_a \left( \mathbf{r}_a \times \frac{\partial L}{\partial \mathbf{r}_a} + \dot{\mathbf{r}}_a \times \frac{\partial L}{\partial \dot{\mathbf{r}}_a} \right)$$

Then  $\delta L = 0$  for all  $\delta \boldsymbol{\phi}$  implies

$$0 = \sum_a \left( \mathbf{r}_a \times \frac{\partial L}{\partial \mathbf{r}_a} + \dot{\mathbf{r}}_a \times \frac{\partial L}{\partial \dot{\mathbf{r}}_a} \right) = \sum_a \left( \mathbf{r}_a \times \frac{d}{dt} \left( \frac{\partial L}{\partial \dot{\mathbf{r}}_a} \right) + \dot{\mathbf{r}}_a \times \frac{\partial L}{\partial \dot{\mathbf{r}}_a} \right) = \sum_a \frac{d}{dt} \left( \mathbf{r}_a \times \frac{\partial L}{\partial \dot{\mathbf{r}}_a} \right) = \frac{d}{dt} \left( \sum_a \mathbf{r}_a \times \frac{\partial L}{\partial \dot{\mathbf{r}}_a} \right)$$

where the second equality follows from Lagrange's equations.

Thus,

$$\mathbf{M} \equiv \sum_a \mathbf{r}_a \times \frac{\partial L}{\partial \dot{\mathbf{r}}_a} = \sum_a \mathbf{r}_a \times \mathbf{p}_a = \text{const}$$

This is conservation of total angular momentum.

**2) Derive the transformation equations for energy, momentum, and angular momentum from one inertial frame  $K$  to another  $K'$ .**

Consider two inertial frames  $K$  and  $K'$ , with  $K'$  moving with velocity  $\mathbf{V}$  wrt  $K$ . To simplify the calculations, assume that the origin of the two coordinate systems coincide at the instant under consideration, so that the position vectors  $\mathbf{r}_a$  and  $\mathbf{r}'_a$  of mass point  $m_a$  wrt to the two inertial frames agree.

The velocities  $\mathbf{v}_a$  and  $\mathbf{v}'_a$  wrt the two frames are related by

$$\mathbf{v}_a = \mathbf{V} + \mathbf{v}'_a$$

**Energy:**

$$E = \frac{1}{2} \sum_a m_a |\mathbf{v}_a|^2 + U = \frac{1}{2} \sum_a m_a |\mathbf{V} + \mathbf{v}'_a|^2 + U = \frac{1}{2} \sum_a m_a (|\mathbf{V}|^2 + |\mathbf{v}'_a|^2 + 2\mathbf{V} \cdot \mathbf{v}'_a) + U$$

Now

$$\frac{1}{2} \sum_a m_a |\mathbf{V}|^2 = \frac{1}{2} \mu V^2, \quad \frac{1}{2} \sum_a m_a |\mathbf{v}'_a|^2 + U = E', \quad \sum_a m_a \mathbf{V} \cdot \mathbf{v}'_a = \mathbf{V} \cdot \mathbf{P}'$$

where  $\mu \equiv \sum_a m_a$  is the total mass, and  $E'$  and  $\mathbf{P}' \equiv \sum_a m_a \mathbf{v}'_a$  are the energy and the total momentum wrt  $K'$ .

Thus

$$E = E' + \frac{1}{2} \mu V^2 + \mathbf{P}' \cdot \mathbf{V}$$

**Momentum:**

$$\mathbf{P} = \sum_a m_a \mathbf{v}_a = \sum_a m_a (\mathbf{V} + \mathbf{v}'_a) = \mu \mathbf{V} + \mathbf{P}'$$

**Angular momentum:**

$$\mathbf{M} = \sum_a \mathbf{r}_a \times \mathbf{p}_a = \sum_a m_a \mathbf{r}_a \times \mathbf{v}_a = \sum_a m_a \mathbf{r}_a \times (\mathbf{V} + \mathbf{v}'_a) = \left( \sum_a m_a \mathbf{r}_a \right) \times \mathbf{V} + \sum_a \mathbf{r}'_a \times \mathbf{p}'_a = \mu \mathbf{R} \times \mathbf{V} + \mathbf{M}'$$

where  $\mathbf{R} \equiv \sum_a m_a \mathbf{r}_a / \mu$  is the position vector of the COM wrt  $K$ .

In summary:

$$E = E' + \frac{1}{2} \mu V^2 + \mathbf{P}' \cdot \mathbf{V}, \quad \mathbf{P} = \mathbf{P}' + \mu \mathbf{V}, \quad \mathbf{M} = \mathbf{M}' + \mu \mathbf{R} \times \mathbf{V}$$

3) Write down the general expression for the energy function  $E$ .

$$E \equiv \sum_i \frac{\partial L}{\partial \dot{q}_i} \dot{q}_i - L(q, \dot{q}, t)$$

4) Explain what it means for a function to be homogeneous of degree  $k$ .

A function  $f(x_1, x_2, \dots, x_n)$  is homogeneous of degree  $k$  if

$$f(\alpha x_1, \alpha x_2, \dots, \alpha x_n) = \alpha^k f(x_1, x_2, \dots, x_n)$$

For such a function

$$\sum_i \frac{\partial f}{\partial x_i} x_i = k f(x_1, x_2, \dots, x_n)$$

which can be proved by differentiating both sides of the first equation with respect to  $\alpha$ , and then evaluating at  $\alpha = 1$ .

Example: the kinetic energy

$$T = \frac{1}{2} \sum_{ik} a_{ik}(q) \dot{q}_i \dot{q}_k$$

is homogeneous of degree 2 in the generalized velocities  $\dot{q}_i$ , so that

$$\sum_i \frac{\partial T}{\partial \dot{q}_i} \dot{q}_i = 2T$$

5) Write down the expression for the generalized momentum  $p_i$ .

$$p_i \equiv \frac{\partial L}{\partial \dot{q}_i}, \quad i = 1, 2, \dots, n$$

6) Write down the expression for the center of mass (COM) of a system of particles.

$$\mathbf{R}_a = \frac{\sum_a m_a \mathbf{r}_a}{\sum_b m_b}$$

7) Write down the virial theorem for a system whose motion takes place in a finite region of space and whose potential energy is a homogeneous function of degree  $k$  in the coordinates.

Recall that the virial theorem relates the time-averaged KE to the time-averaged potential energy.

For Newtonian gravity, with a mass  $m$  in circular orbit of radius  $r$  about a fixed mass  $M$ :

$$\frac{GMm}{r^2} = \frac{mv^2}{r}$$

which implies

$$U = -\frac{GMm}{r} = -mv^2 = -2T$$

More generally, for a potential that is homogeneous of degree  $k$ :

$$k\langle U \rangle = 2\langle T \rangle$$

where angle bracket means time average:

$$\langle f \rangle \equiv \lim_{\tau \rightarrow \infty} \frac{1}{\tau} \int_0^\tau dt f(t)$$

For Newtonian gravity, the potential  $U = -GMm/r$  is homogeneous of degree  $k = -1$ .

**Proof:**

If  $U$  is homogeneous of degree  $k$ , then

$$\sum_a \frac{\partial U}{\partial \mathbf{r}_a} \cdot \mathbf{r}_a = kU$$

The LHS of the above equation can be written as

$$\sum_a \frac{\partial U}{\partial \mathbf{r}_a} \cdot \mathbf{r}_a = - \sum_a \dot{\mathbf{p}}_a \cdot \mathbf{r}_a = - \frac{d}{dt} \left( \sum_a \mathbf{p}_a \cdot \mathbf{r}_a \right) + \sum_a \mathbf{p}_a \cdot \dot{\mathbf{r}}_a$$

where the first equality follows from Lagrange's equations and the second equality follows from the product rule.

Thus,

$$kU = - \frac{d}{dt} \left( \sum_a \mathbf{p}_a \cdot \mathbf{r}_a \right) + \sum_a \mathbf{p}_a \cdot \dot{\mathbf{r}}_a$$

The last term equals twice the kinetic energy  $T$  since  $\mathbf{p}_a = m_a \dot{\mathbf{r}}_a$ , and the first term vanishes if we take the time average, assuming that the motion is bounded (i.e., finite velocities and finite distances). Thus,

$$k\langle U \rangle = 2\langle T \rangle$$

### 3. Hamiltonian mechanics (40)

**1) Write down the Hamiltonian  $H(p, q, t)$  for a simple system starting from a Lagrangian  $L(q, \dot{q}, t)$ .**

General relationship:

$$H(p, q, t) = \sum_i p_i \dot{q}_i - L(q, \dot{q}, t)$$

where on the RHS all of the  $\dot{q}_i$  are expressed in terms of  $q_i$ ,  $p_i$ , and  $t$ .

1-d example:

$$L(x, \dot{x}, t) = \frac{1}{2} m \dot{x}^2 - U(x)$$

has

$$p \equiv \frac{\partial L}{\partial \dot{x}} = m \dot{x} \quad \Leftrightarrow \quad \dot{x} = \frac{p}{m}$$

leading to

$$H(p, x, t) = \frac{p^2}{2m} + U(x)$$

**2) Write down Hamilton's equations for  $p_i$  and  $q_i$ .**

EOMs:

$$\dot{q}_i = \frac{\partial H}{\partial p_i}, \quad \dot{p}_i = -\frac{\partial H}{\partial q_i}, \quad i = 1, 2, \dots, n$$

**3) Explain the fundamental difference between Hamilton's equations and Lagrange's equations.**

Hamilton's equations are  $2n$  first-order differential equations for  $q_i$ ,  $p_i$ , while Lagrange's equations are  $n$  second-order equations for  $q_i$ .

**4) Show the equivalence of Hamilton's equations and Lagrange's equation for simple systems.**

For the above 1-d Lagrangian and Hamiltonian we have:

Lagrange's equation:

$$\frac{d}{dt} \left( \frac{\partial L}{\partial \dot{x}} \right) = \frac{\partial L}{\partial x} \quad \Rightarrow \quad m \ddot{x} = -\frac{dU}{dx}$$

Hamilton's equations:

$$\dot{x} = \frac{\partial H}{\partial p} = \frac{p}{m}, \quad \dot{p} = -\frac{\partial H}{\partial x} = -\frac{dU}{dx}$$

Solving the first of Hamilton's equations for  $p$ , and then substituting this solution into the second equation yields:

$$m \ddot{x} = -\frac{dU}{dx}$$

which is the same as Lagrange's equation.

### 4. Central force motion (11, 13-15)

**1) Write down an integral expression for  $t$  in terms of  $x$  for 1-d motion in a constant external field  $U(x)$ .**

Conservation of energy:

$$\frac{1}{2} m \dot{x}^2 + U(x) = E$$

where  $E$  is a constant. This is a separable differential equation with

$$t = \int \frac{dx}{\sqrt{\frac{2}{m}(E - U(x))}} + \text{const}$$

## 2) Determine the allowed values of the energy and turning points for 1-d motion in a constant external field.

Allowed values of the energy:

$$E \geq U_{\min}$$

since kinetic energy is positive.

Turning points are solutions to the equation  $E - U(x) = 0$ .

## 3) Transform the problem of two interacting particles into an effective one-body problem by working in the COM frame.

Lagrangian for two interacting particles:

$$L = T - U = \frac{1}{2}m_1|\dot{\mathbf{r}}_1|^2 + \frac{1}{2}m_2|\dot{\mathbf{r}}_2|^2 - U(\mathbf{r}_1 - \mathbf{r}_2)$$

Total momentum is conserved since  $L$  is unchanged by a spatial translation  $\mathbf{r}_a \rightarrow \mathbf{r}_a + \delta\mathbf{x}$ . Thus, we can work in the COM frame where the COM is the origin of coordinates:

$$m_1\mathbf{r}_1 + m_2\mathbf{r}_2 = 0$$

In terms of the relative separation vector

$$\mathbf{r} \equiv \mathbf{r}_1 - \mathbf{r}_2$$

we have

$$\mathbf{r}_1 = \frac{m_2}{m_1 + m_2}\mathbf{r}, \quad \mathbf{r}_2 = -\frac{m_1}{m_1 + m_2}\mathbf{r}$$

The kinetic energy becomes

$$T = \frac{1}{2}m|\dot{\mathbf{r}}|^2$$

where

$$m \equiv \frac{m_1 m_2}{m_1 + m_2}$$

is the reduced mass. Thus,

$$L = \frac{1}{2}m|\dot{\mathbf{r}}|^2 - U(\mathbf{r})$$

## 4) Show that both energy and angular momentum are conserved for a central potential.

For a central potential,  $U$  depends only on the magnitude of the relative separation vector

$$U = U(|\mathbf{r}_1 - \mathbf{r}_2|) = U(r)$$

Energy

$$E = \frac{\partial L}{\partial \dot{\mathbf{r}}} \cdot \dot{\mathbf{r}} - L = \frac{1}{2}m|\dot{\mathbf{r}}|^2 + U(r)$$

is conserved since the Lagrangian does not depend explicitly on time.

Angular momentum

$$\mathbf{M} = \mathbf{r} \times \mathbf{p} = m\mathbf{r} \times \dot{\mathbf{r}}$$

is conserved since the Lagrangian is unchanged by a rotation

$$\mathbf{r} \rightarrow \mathbf{r} + \delta\boldsymbol{\phi} \times \mathbf{r}, \quad \dot{\mathbf{r}} \rightarrow \dot{\mathbf{r}} + \delta\boldsymbol{\phi} \times \dot{\mathbf{r}}$$

Since  $\mathbf{M}$  is conserved, we can choose the orientation of our COM frame such that  $\mathbf{M}$  points along the  $z$ -axis. Then the motion is in the  $xy$ -plane and we can write the Lagrangian in terms of plane-polar coordinates  $(r, \phi)$  and their time derivatives:

$$L = \frac{1}{2}m(\dot{r}^2 + r^2\dot{\phi}^2) - U(r)$$

Conservation of angular momentum then manifests itself as conservation of

$$p_\phi \equiv \frac{\partial L}{\partial \dot{\phi}}$$

since  $L$  does not depend explicitly on  $\phi$ . Taking the derivative, we have

$$p_\phi = mr^2\dot{\phi} \equiv \ell = \text{const}$$

or, equivalently,

$$\dot{\phi} = \frac{\ell}{mr^2}$$

**NOTE:** Landau and Lifshitz use  $M$  instead of  $\ell$  for the magnitude of the angular momentum. I prefer  $\ell$  since  $M$  can be confused with the total mass  $m_1 + m_2$ .

5) Write down an expression for the effective potential  $U_{\text{eff}}(r)$  in terms of  $U(r)$  and  $\ell$ .

Energy:

$$E = \frac{1}{2}m(\dot{r}^2 + r^2\dot{\phi}^2) + U(r) = \frac{1}{2}m\dot{r}^2 + \frac{\ell^2}{2mr^2} + U(r) = \frac{1}{2}m\dot{r}^2 + U_{\text{eff}}(r)$$

with

$$U_{\text{eff}}(r) = U(r) + \frac{\ell^2}{2mr^2}$$

6) Plot the effective potential for some simple central force potentials.

The code below produces plots for the following potentials:

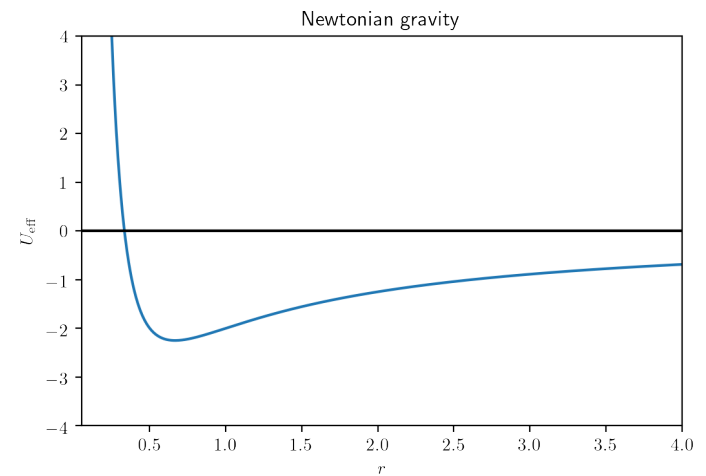
- Newtonian gravity:  $U = -\alpha/r$
- 3d harmonic oscillator:  $U = \alpha r^2$
- General relativity:  $U = -\alpha/r - \beta/r^3$

```
In [4]: # Newtonian gravity (Kepler's problem) effective potential
eps = 1e-4
r = np.linspace(eps, 5, 1000)

U = -3./r
Ueff = U + 1./r**2

plt.figure()
plt.plot(r, Ueff)
plt.axhline(y=0, color='k')
plt.xlim((0.05, 4))
plt.ylim((-4, 4))
plt.xlabel('$r$')
plt.ylabel('$U_{\mathrm{eff}}$')
plt.title('Newtonian gravity')
#plt.savefig('newtonian_gravity')
```

Out[4]: Text(0.5, 1.0, 'Newtonian gravity')

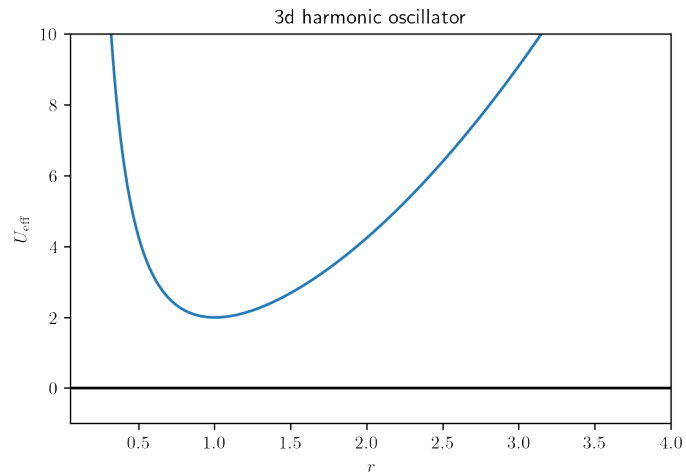


```
In [5]: # 3d harmonic oscillator effective potential
eps = 1e-4
r = np.linspace(eps, 5, 1000)

U = r**2
Ueff = U + 1./r**2
```

```
plt.figure()
plt.plot(r, Ueff)
plt.axhline(y=0, color='k')
plt.xlim((0.05, 4))
plt.ylim((-1,10))
plt.xlabel('$r$')
plt.ylabel('$U_{\mathrm{eff}}$')
plt.title('3d harmonic oscillator')
#plt.savefig('3d_harmonic_oscillator')
```

Out[5]: Text(0.5, 1.0, '3d harmonic oscillator')

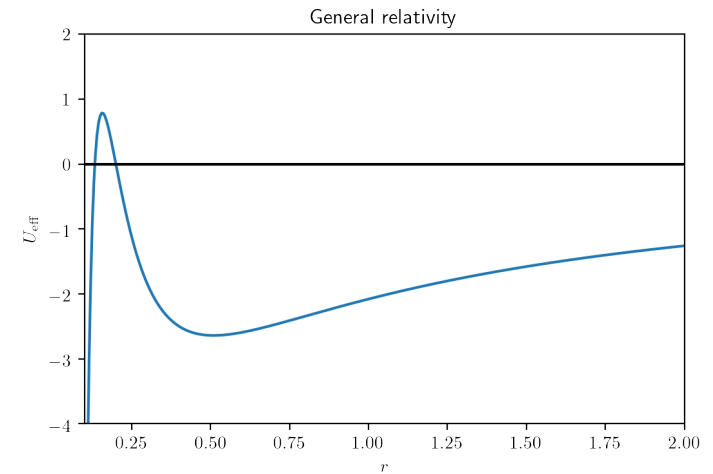


```
In [6]: # GR effective potential
eps = 1e-4
r = np.linspace(eps, 5, 1000)

U1 = -3./r
U2 = -0.08/r**3
Ueff = U1 + U2 + 1./r**2
```

```
plt.figure()
plt.plot(r, Ueff)
plt.axhline(y=0, color='k')
plt.xlim((0.1, 2))
plt.ylim((-4,2))
plt.xlabel('$r$')
plt.ylabel('$U_{\mathrm{eff}}$')
plt.title('General relativity')
#plt.savefig('general_relativity')
```

Out[6]: Text(0.5, 1.0, 'General relativity')



## 7) From the graph of the effective potential, determine the different types of allowed motion.

Different values of the energy  $E$  determine the different types of allowed motion.

Example: Newtonian gravity ( $U = -\alpha/r$ )

- $E < U_{\text{eff,min}}$ : not allowed
- $E = U_{\text{eff,min}}$ : stable circular orbit
- $U_{\text{eff,min}} < E < 0$ : bound (elliptical) orbit
- $E = 0$ : (parabolic) scattering orbit
- $E > 0$ : (hyperbolic) scattering orbit

For more general potentials, the bound and scattering orbits need not be conic sections.

## 8) Write down integral expressions for $t$ and $\phi$ in terms of $r$ for a general central potential.

Start with the energy equation written in the form:

$$E = \frac{1}{2}mr^2 + \frac{\ell^2}{2mr^2} + U(r)$$

This is a separable equation for  $r(t)$ , which can be solved for  $t$  as

$$t = \int \frac{dr}{\sqrt{\frac{2}{m}(E - U(r)) - \frac{\ell^2}{m^2 r^2}}} + \text{const}$$

To obtain an integral expression for  $\phi$  in terms of  $r$ , use the conservation of angular momentum equation

$$\ell = mr^2 \dot{\phi}$$

which implies

$$dt = \frac{mr^2}{\ell} d\phi$$

This leads to separable equation for  $r(\phi)$ , which can be solved for  $\phi$  as

$$\phi = \int \frac{\ell dr/r^2}{\sqrt{2m(E - U(r)) - \frac{\ell^2}{r^2}}} + \text{const}$$

## 9) Evaluate these two integrals for Kepler's problem for bound orbits, using appropriate trig substitutions

Kepler's problem:  $U(r) = -\alpha/r$  where  $\alpha = GMm > 0$  with  $M \equiv m_1 + m_2$  denoting the total mass of the system.

For bound orbits,  $U_{\text{eff,min}} \leq E < 0$ .

(i) To solve the orbit equation for  $r(\phi)$ , first make the substitution  $u = 1/r$  in the  $\phi$  integral:

$$\phi = \int \frac{-\ell du}{\sqrt{2m(E + GMmu) - \ell^2 u^2}} + \text{const}$$

This integral now has the standard form

$$I \equiv \int \frac{dx}{\sqrt{a + bx + cx^2}}$$

which can either be looked up in a handbook of integrals or solved by completing the square of the expression inside the square root. What type of substitution you make depends on the sign of  $E$ .

Completing the square:

$$2mE + 2GMm^2 u - \ell^2 u^2 = -\ell^2 \left[ \left( u - \frac{GMm^2}{\ell^2} \right)^2 - \frac{G^2 M^2 m^4}{\ell^4} - \frac{2mE}{\ell^2} \right] = \ell^2 [B^2 - (u - A)^2]$$

where

$$A \equiv \frac{GMm^2}{\ell^2}, \quad B \equiv \sqrt{A^2 + \frac{2mE}{\ell^2}}$$

Thus,

$$\phi = \int \frac{-du}{\sqrt{B^2 - (u - A)^2}} + \text{const}$$

This suggests the trig substitution

$$u - A = B \sin \theta$$

for which the integral becomes

$$\phi = -\theta + \text{const} = -\sin^{-1} \left[ \frac{u - A}{B} \right] + \text{const} = -\sin^{-1} \left[ \frac{1/r - A}{B} \right] + \text{const}$$

It is convenient to choose the constant such that  $\phi = 0$  where  $r = r_{\min}$  for the orbit. Then

$$\text{const} = \sin^{-1} \left[ \frac{1/r_{\min} - A}{B} \right]$$

But since  $r_{\min}$  is a turning point of the motion, it follows that

$$\frac{1}{r_{\min}} - A = B \quad \Rightarrow \quad \text{const} = \sin^{-1}(1) = \pi/2$$

Thus, making this substitution for the constant, and solving for  $1/r$ , we obtain



$$\frac{1}{r} = A + B \cos \phi$$

This has the form of an ellipse

$$\frac{p}{r} = 1 + e \cos \phi$$

where  $p$  is the semi-latus rectum of the ellipse and  $e$  is the eccentricity (discussed in detail below). Solving for  $p$  and  $e$ :

$$p = \frac{\ell^2}{GMm^2}, \quad e = \sqrt{1 + \frac{2E\ell^2}{G^2 M^2 m^3}}$$

Using the fact that the semi-major axis  $a$  and semi-latus rectum  $p$  for an ellipse are related by

$$p = a(1 - e^2)$$

it follows from the above expressions that

$$a = -\frac{GMm}{2E} \quad \text{or, equivalently,} \quad E = -\frac{GMm}{2a}$$

(ii) To solve for the time dependence of the orbit, we rewrite the time integral as

$$t = \sqrt{\frac{m}{2|E|}} \int \frac{r dr}{\sqrt{-r^2 + \frac{GMm}{|E|}r - \frac{\ell^2}{2m|E|}}} + \text{const}$$

where we have simplified the square-root in the denominator by pulling out a factor of  $\sqrt{\frac{2|E|}{m}} \frac{1}{r}$ .

We then use the expressions

$$E = -\frac{GMm}{2a}, \quad p = \frac{\ell^2}{GMm^2}$$

to further simplify the integral:

$$t = \sqrt{\frac{a}{GM}} \int \frac{r dr}{\sqrt{-r^2 + 2ar - pa}} + \text{const}$$

Completing the square in the square root is now easy:

$$-r^2 + 2ar - pa = -[(r-a)^2 - a^2 + pa] = -[(r-a)^2 - a^2 e^2]$$

where we used  $p = a(1 - e^2)$  to get the last equality. This suggests the trig substitution

$$r - a = -ae \cos \xi$$

where we have chosen to use a cosine function so that  $\xi = 0$  corresponds to  $r_{\min} = a(1 - e)$ . Making this substitution into the integral yields

$$t = \sqrt{\frac{a}{GM}} \int d\xi a(1 - e \cos \xi) + \text{const} = \sqrt{\frac{a^3}{GM}} (\xi - e \sin \xi)$$

where we have set the constant to zero so that  $t = 0$  corresponds to  $\xi = 0$ .

Thus, we have the parametric representation

$$r = a(1 - e \cos \xi), \quad t = \sqrt{\frac{a^3}{GM}} (\xi - e \sin \xi)$$

We can also find an expression for  $x$  and  $y$  in terms of  $\xi$ :

We start with

$$x = r \cos \phi$$

Using

$$\frac{p}{r} = 1 + e \cos \phi$$

it follows that

$$p = r + ex \quad \text{or} \quad x = \frac{1}{e}(p - r)$$

Substituting for  $r = a(1 - e \cos \xi)$  then gives

$$x = a(\cos \xi - e)$$

To find

$$y = r \sin \phi$$

we write it instead as

$$y = \sqrt{r^2 - x^2}$$

Substituting for  $r$  and  $x$  in terms of  $\xi$  gives:

$$y = a\sqrt{1 - e^2} \sin \xi$$

A complete orbit is traversed when  $\xi$  goes from 0 to  $2\pi$ .

## Parametric representation of the time-evolution of an elliptical orbit

```

In [7]: # parameters for ellipse
a = 1
e = 0.5
G = 1
M = 1

xi = np.linspace(0, 2*np.pi, 10000)
t = np.sqrt(a**3/(G*M)) * (xi - e*np.sin(xi))
r = a*(1-e*np.cos(xi))
x = a*(np.cos(xi)-e)
y = a*np.sqrt(1-e**2)*np.sin(xi)

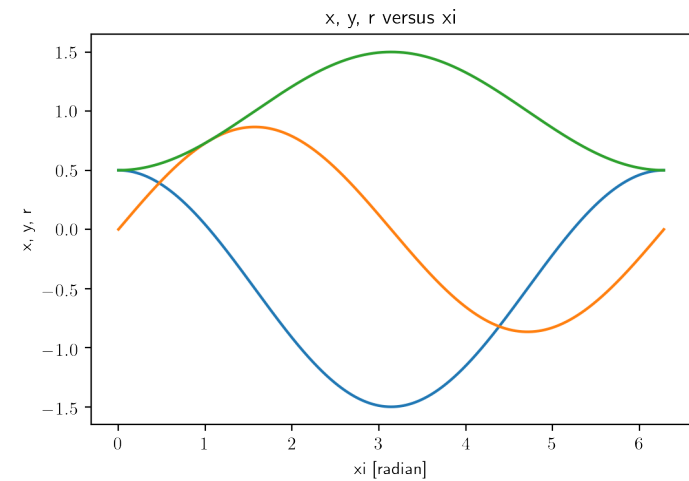
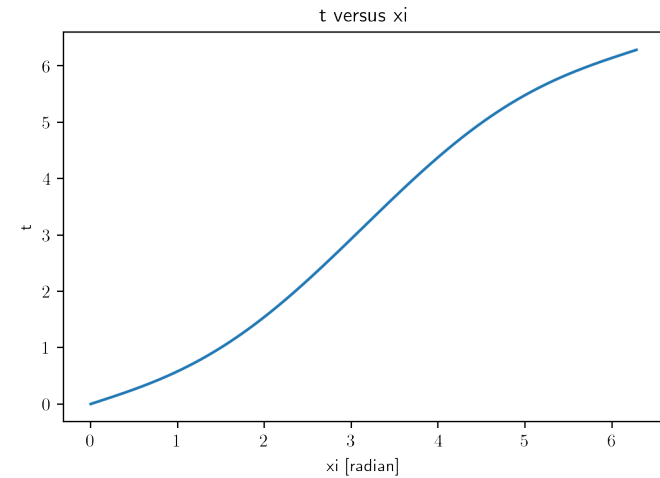
plt.figure()
plt.plot(xi, t)
plt.xlabel('xi [radian]')
plt.ylabel('t')
plt.title('t versus xi')

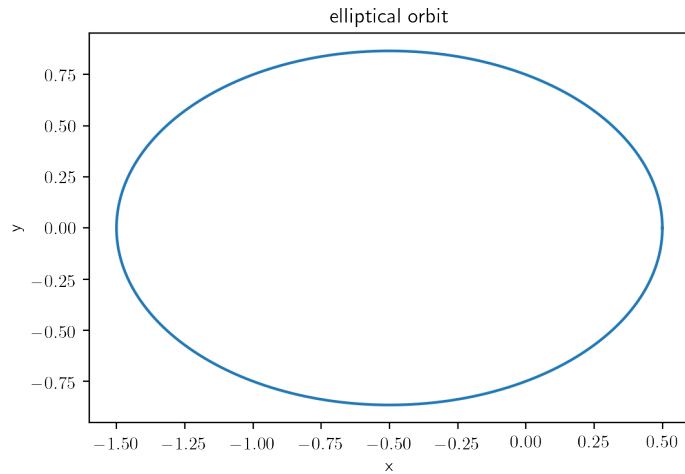
plt.figure()
plt.plot(xi, x, xi, y, xi, r)
plt.xlabel('xi [radian]')
plt.ylabel('x, y, r')
plt.title('x, y, r versus xi')

plt.figure()
plt.plot(x,y)
plt.xlabel('x')
plt.ylabel('y')
plt.title('elliptical orbit')

```

Out[7]: Text(0.5, 1.0, 'elliptical orbit')





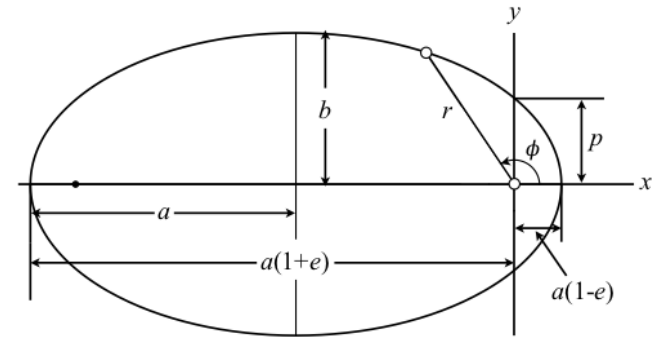
### 10) Derive the relationship between $E$ , $\ell$ , $a$ , $b$ , $e$ , and $p$ for an ellipse.

Central force motion is described by two parameters, e.g.,

- $E$  and  $\ell$  (the energy and angular momentum of the motion)
- $a$  and  $b$  (the semi-major and semi-minor axes of the ellipse)
- $a$  and  $e$  (the semi-major axis and eccentricity of the ellipse)
- $a$  and  $p$  (the semi-major axis and semi-latus rectum of the ellipse)
- $r_p$  and  $r_a$  (the minimum and maximum radii, perihelion and aphelion for motion around the Sun)
- or other combinations of the above

It is useful to be able to derive expressions relating the different parameterizations.

Ellipse:



Using that fact that

$$d_1 + d_2 = 2a$$

where  $d_1$  and  $d_2$  are the distances from the two focal points to a point on the ellipse, one can show that

$$\begin{aligned} p &= a(1 - e^2) \\ b &= a\sqrt{1 - e^2} \\ e &= \frac{\sqrt{a^2 - b^2}}{a} \end{aligned}$$

We also have

$$r_p = a(1 - e), \quad r_a = a(1 + e)$$

We also showed earlier that

$$E = -\frac{GMm}{2a}, \quad \ell = \sqrt{GMm^2 p}$$

so that  $E$  only depends on  $a$  and  $\ell$  only depends on  $p$ .

These last two results can also be derived from the conservation of energy equation:

$$E = \frac{1}{2}mv^2 + \frac{\ell^2}{2mr^2} - \frac{GMm}{r}$$

evaluated at  $r_p = a(1 - e)$  and  $r_a = a(1 + e)$ , where  $\dot{r} = 0$ . One then has two equations which can be solved for  $E$  and  $\ell$  in terms of  $a$  and  $e$  (or  $a$  and  $p = a(1 - e^2)$ ), which leads back to the boxed equations.

### 11) State the only two central potentials that have closed bound orbits.

- $U = -a/r$  (Newtonian gravity)
- $U = ar^2$  (3d harmonic oscillator)

Other potentials can have bound orbits, but they won't be closed. For example, precessing ellipses in general relativity (perihelion precession of Mercury).

### 12) State and derive Kepler's three laws of planetary motion.

Kepler's laws:

I. Planets go around the Sun in elliptical orbits with the Sun at one focus

II. A line connecting a planet to the Sun sweeps out equal areas in equal times

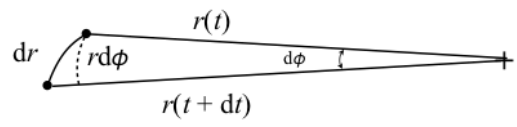
III.  $P^2/a^3 = \text{const}$  for all planets, where  $P$  is the orbital period of a planet and  $a$  is the semi-major axis of its elliptical orbit around the Sun

NOTE: Kepler's laws need to be adjusted slightly given the finite mass of the Sun. Since the Sun has finite mass, the focal point of the elliptical orbit is actually at the COM of the planet and the Sun. Also, the constant in Kepler's 3rd law is not related to the mass of the Sun but to the total mass of the Earth-planet system.

Proof:

I. We already proved this law by integrating the orbit equation to find  $r$  as a function of  $\phi$ .

II. Equal areas in equal times means that  $dA/dt$  should be constant. From the following figure



we see that the differential area swept out in time interval  $dt$  is approximately a triangle, so

$$dA = \frac{1}{2} \text{base} \cdot \text{height} = \frac{1}{2} r^2 d\phi$$

Dividing both sides by  $dt$  and using  $\ell = mr^2\dot{\phi}$ , it follows that

$$\frac{dA}{dt} = \frac{1}{2} r^2 \dot{\phi} = \frac{\ell}{2m} = \text{const}$$

Note that Kepler's 2nd law is a consequence of conservation of angular momentum, and is therefore valid for any central potential.

III. For Kepler's 3rd law, we need to calculate the period of a planet's orbit around the Sun. This can be obtained by integrating Kepler's 2nd law for one complete elliptical orbit:

$$P = \int dt = \int \frac{2m}{\ell} dA = \frac{2m}{\ell} \pi ab$$

where we used  $A = \pi ab$  for the area of an ellipse.

Then substituting for

$$b = a\sqrt{1-e^2}, \quad \ell = \sqrt{GMm^2 p}, \quad p = a(1-e^2)$$

we get

$$P = \frac{2m}{\sqrt{GMm^2 p}} \pi a^2 \sqrt{1-e^2} = 2\pi \sqrt{\frac{a^3}{GM}}$$

so

$$\frac{P^2}{a^3} = \frac{4\pi^2}{GM}$$

which is the desired result. In terms of the angular frequency  $\omega = 2\pi/P$ , we have

$$\boxed{\omega^2 a^3 = GM}$$

### 13) Explain the difference in $E$ and $e$ for elliptical, parabolic, and hyperbolic motion.

- Elliptical orbits:  $U_{\text{eff,min}} \leq E < 0, 0 \leq e < 1$
- Parabolic (scattering) motion:  $E = 0, e = 1$
- Hyperbolic (scattering) motion:  $E > 0, e > 1$

## 5. Collisions and scattering (16-20)

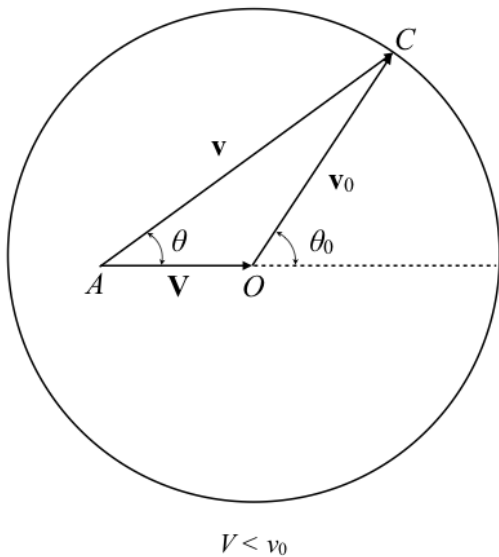
### 1) Draw diagrams relating velocities in the lab and COM frames for the disintegration of a single particle.

Notation:

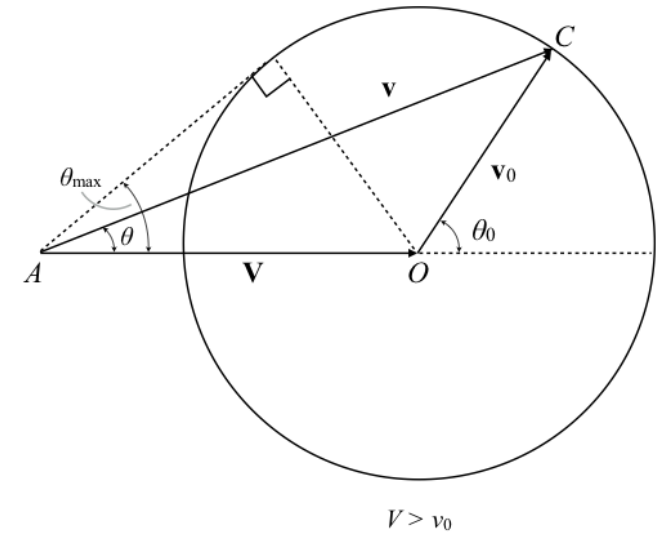
- $\mathbf{v}_0$  is the velocity of one of the particles produced by the disintegration as seen in the COM frame

- $\mathbf{v}$  is its velocity with respect to the lab frame
- $\mathbf{V}$  is the velocity of the COM of the system (i.e., the velocity of the particle in the lab frame *before* disintegration)
- $\theta_0$  is the angle that  $\mathbf{v}_0$  makes wrt  $\mathbf{V}$  as seen in the COM frame
- $\theta$  is the angle that  $\mathbf{v}$  makes wrt  $\mathbf{V}$  as seen in the lab frame

Case  $V < v_0$ :



Case  $V > v_0$ :



For the case  $V > v_0$ , the particles produced by the disintegration are emitted only in the forward direction as seen in the lab frame,  $\theta < \pi/2$ . The maximum angle  $\theta_{\max}$  is

$$\sin \theta_{\max} = \frac{v_0}{V}$$

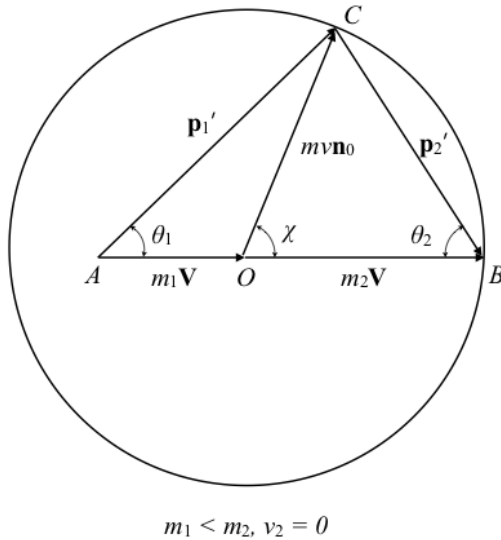
## 2) Draw diagrams relating the momenta in the lab and COM frames for an elastic collision of two particles ( $m_2$ initially at rest in the lab frame).

Notation:

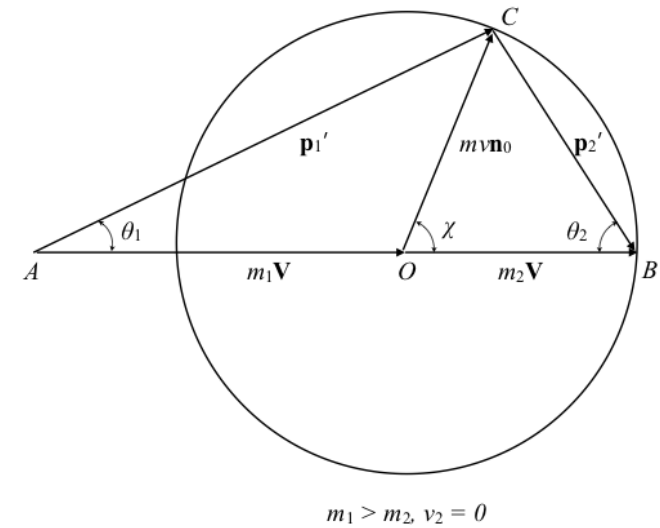
- $\mathbf{p}'_1, \mathbf{p}'_2$  are the momenta of the two particles as seen in the lab frame after the collision
- $\mathbf{n}_0$  is the direction of particle 1 as seen in the COM frame after the collision (subscript '0' denotes quantities wrt COM frame)
- $v = |\mathbf{v}|$  is the magnitude of the relative velocity vector  $\mathbf{v} \equiv \mathbf{v}_1 - \mathbf{v}_2$  before the collision (note:  $\mathbf{v} = \mathbf{v}_0 \equiv \mathbf{v}_{10} - \mathbf{v}_{20}$ )
- $m$  is the reduced mass of the system,  $m \equiv m_1 m_2 / (m_1 + m_2)$
- $\mathbf{V}$  is the velocity of the COM of the system  $\mathbf{V} \equiv (m_1 \mathbf{v}_1 + m_2 \mathbf{v}_2) / (m_1 + m_2) = m_1 \mathbf{v}_1 / (m_1 + m_2)$  since  $\mathbf{v}_2 = 0$
- $\chi$  is the angle that particle 1 makes wrt  $\mathbf{V}$  as seen in the COM frame
- $\theta_1$  is the angle that particle 1 makes wrt  $\mathbf{V}$  as seen in the lab frame

- $\theta_2$  is the angle that particle 2 makes wrt  $\mathbf{V}$  as seen in the lab frame

Case  $m_1 < m_2, v_2 = 0$ :



Case  $m_1 > m_2, v_2 = 0$ :



Conservation of momentum (wrt lab frame):

$$(m_1 + m_2)\mathbf{V} = \mathbf{p}'_1 + \mathbf{p}'_2$$

In the COM frame, the total momentum is zero, since the momenta of the two particles are equal and opposite. After the collision:

$$\mathbf{p}'_{10} = m\mathbf{v}\mathbf{n}_0 = -\mathbf{p}'_{20}$$

Elastic collision: The magnitude  $v$  of the relative velocity vector  $\mathbf{v}$  is the same before and after the collision,  $v = v'$ .

For the case where  $m_2$  is initially at rest (i.e.,  $\mathbf{v}_2 = 0$ ) we have  $\mathbf{v} = \mathbf{v}_1$ . Thus,

$$m_2 V = m_2 \frac{m_1 v_1}{m_1 + m_2} = m v_1 = m v$$

so  $B$  lies on the boundary of the circle.

For the case  $m_1 > m_2$ , we can only have forward scattering of the particles in the lab frame,  $\theta_1, \theta_2 < \pi/2$ .

### 3) Explain what information can and cannot be obtained for an elastic collision of two particles, using just conservation of momentum and kinetic energy.

An elastic collision of two particles is mostly simply analyzed in the COM frame. In this frame, the momenta of the two particles both before and after the collision are equal in magnitude and opposite in direction. Conservation of kinetic energy implies that the magnitude of the relative velocity vector  $\mathbf{v}$  is unchanged by the collision since

$$T = \frac{1}{2}m_1|\mathbf{v}_{10}|^2 + \frac{1}{2}m_2|\mathbf{v}_{20}|^2 = \frac{1}{2}m|\mathbf{v}_0|^2$$

where

$$m \equiv \frac{m_1 m_2}{m_1 + m_2}, \quad \mathbf{v}_0 \equiv \mathbf{v}_{10} - \mathbf{v}_{20} = \mathbf{v}_1 - \mathbf{v}_2 \equiv \mathbf{v}$$

Hence an elastic collision can only change the direction of the relative velocity vector. This direction *cannot* be determined. It is denoted by  $\mathbf{n}_0$  in the above figures.

### 4) Derive formulas relating the scattering angles $\chi$ , $\theta_1$ , $\theta_2$ in the COM and lab frames.

From either of the last two figures, one sees that

$$\chi + 2\theta_2 = \pi \quad (\text{from equilateral triangle } OBC)$$

so

$$\theta_2 = \frac{1}{2}(\pi - \chi)$$

Also

$$\tan \theta_1 = \frac{mv \sin \chi}{m_1 V + mv \cos \chi}$$

Using

$$m \equiv \frac{m_1 m_2}{m_1 + m_2}, \quad v = v_1, \quad V = \frac{m_1 v_1}{m_1 + m_2}$$

where the last two results hold since  $v_2 = 0$ , it follows that

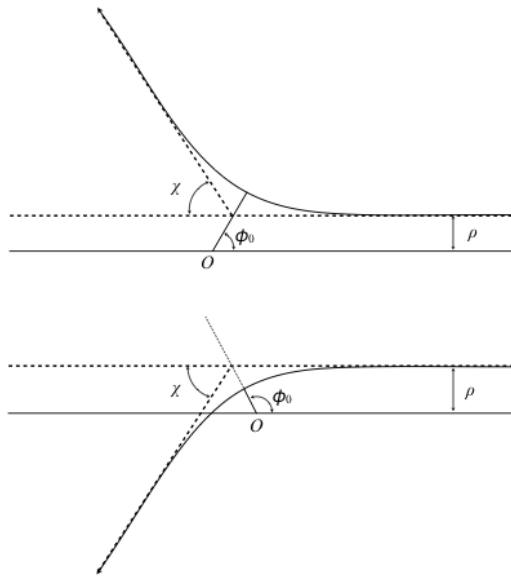
$$\tan \theta_1 = \frac{m_2 \sin \chi}{m_1 + m_2 \cos \chi}$$

For differential cross-section calculations (see below), it is useful to convert this expression to one involving  $\cos \theta_1$ :

$$\cos \theta_1 = \frac{m_1 + m_2 \cos \chi}{\sqrt{m_1^2 + m_2^2 + 2m_1 m_2 \cos \chi}}$$

### 5) Draw diagrams showing how the scattering angle $\chi$ is related to the angle of closest approach $\phi_0$ .

Repulsive scattering:



Attractive scattering:

For repulsive scattering

$$\chi + 2\phi_0 = \pi$$

For attractive scattering

$$\phi_0 + (\phi_0 - \chi) = \pi \Rightarrow 2\phi_0 - \chi = \pi$$

Both of these relations can be captured by

$$\chi = |\pi - 2\phi_0|$$

## 6) Relate the impact parameter $\rho$ and initial velocity $v_\infty$ to the energy $E$ and angular momentum $\ell$ .

Relation:

$$E = \frac{1}{2}mv_\infty^2, \quad \ell = m\rho v_\infty$$

where  $m$  is the reduced mass of the system  $m \equiv m_1 m_2 / (m_1 + m_2)$ .

## 7) Derive an integral expression for $\phi_0$ and solve it for simple potentials---e.g., $U(r) = \alpha/r$ for Rutherford scattering.

Start with the central force differential equation for  $\phi$ :

$$d\phi = \frac{\ell dr/r^2}{\sqrt{2m(E - U(r)) - \frac{\ell^2}{r^2}}}$$

Integrate the LHS from 0 to  $\phi_0$  and the RHS from  $r_{\min}$  to  $\infty$ :

$$\phi_0 = \int_{r_{\min}}^{\infty} \frac{\ell dr/r^2}{\sqrt{2m(E - U(r)) - \frac{\ell^2}{r^2}}}$$

If we substitute for  $E$  and  $\ell$  using

$$E = \frac{1}{2}mv_\infty^2, \quad \ell = m\rho v_\infty$$

we obtain

$$\phi_0 = \int_{r_{\min}}^{\infty} \frac{\rho dr/r^2}{\sqrt{1 - \frac{2U(r)}{mv_\infty^2} - \frac{\rho^2}{r^2}}}$$

Rutherford scattering:

Take  $U(r) = \alpha/r$ . Note that  $\alpha > 0$  corresponds to repulsive scattering and  $\alpha < 0$  to attractive scattering.

Similar to what we did when solving for the orbit for Kepler's problem, make the substitution  $u = \rho/r$ . Then  $du = -\rho dr/r^2$  and

$$\phi_0 = \int_0^{\rho/r_{\min}} \frac{du}{\sqrt{1 - \frac{2\alpha}{\rho mv_\infty^2} u - u^2}}$$

Again we can complete the square for the quadratic in the square root leading to a trig substitution of the form

$$u + A = B \sin \theta, \quad A \equiv \frac{\alpha}{\rho mv_\infty^2}, \quad B \equiv \sqrt{1 + A^2}$$

which allows us to evaluate the integral:

$$\phi_0 = \cos^{-1} \frac{\alpha/\rho mv_\infty^2}{\sqrt{1 + \left(\frac{\alpha}{\rho mv_\infty^2}\right)^2}}$$



For calculating the differential cross section (see below), it is useful to find an explicit relationship between the impact parameter  $\rho$  and scattering angle  $\chi$ . Using the above expression for  $\phi_0$ , we get

$$\rho = \frac{|\alpha|}{mv_\infty^2} \tan \phi_0$$

Then using

$$\phi_0 = \frac{\pi}{2} \mp \frac{\chi}{2}$$

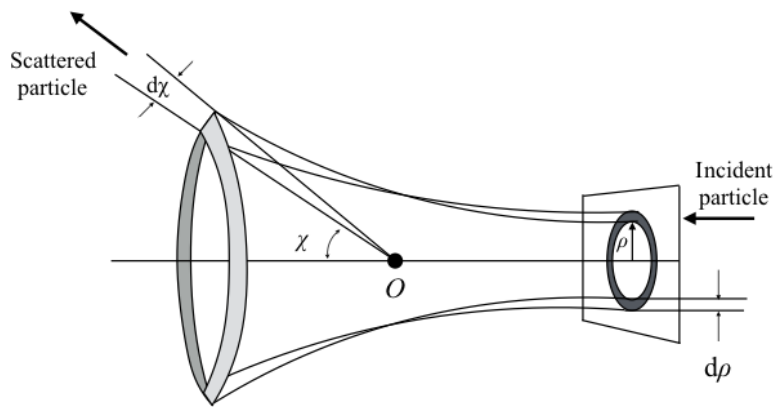
we have

$$\rho = \frac{|\alpha|}{mv_\infty^2} \cot\left(\frac{\chi}{2}\right)$$

**8) Write down expressions for  $d\sigma$  in terms of  $d\rho$ ,  $d\chi$ ,  $d\theta_1$ ,  $d\theta_2$ , or  $d\Omega$ ,  $d\Omega_1$ ,  $d\Omega_2$ .**

Differential cross section:

$$d\sigma = 2\pi\rho d\rho \quad (\text{differential area of annular region})$$



In the COM frame:

$$\frac{d\sigma}{d\Omega} = \frac{\rho}{\sin \chi} \left| \frac{d\rho}{d\chi} \right| = \rho \left| \frac{d\rho}{d(\cos \chi)} \right| \quad (\text{absolute value to keep things positive})$$

In the lab frame (for particle 1):

$$\frac{d\sigma_1}{d\Omega_1} = \frac{\rho}{\sin \theta_1} \left| \frac{d\rho}{d\theta_1} \right| = \rho \left| \frac{d\rho}{d(\cos \theta_1)} \right| = \frac{d\sigma}{d\Omega} \left| \frac{d(\cos \chi)}{d(\cos \theta_1)} \right|$$

which implies

$$d\sigma_1 = \frac{d\sigma}{d\Omega} \left| \frac{d(\cos \chi)}{d(\cos \theta_1)} \right| d\Omega_1 \quad \text{where} \quad d\Omega_1 = 2\pi \sin \theta_1 d\theta_1$$

The same applies for  $d\theta_2$ ,  $d\Omega_2$ .

Thus we need to be able to calculate quantities like  $d(\cos \chi)/d(\cos \theta_1)$  and  $d(\cos \chi)/d(\cos \theta_2)$ .

Recall:

$$\cos \theta_1 = \frac{m_1 + m_2 \cos \chi}{\sqrt{m_1^2 + m_2^2 + 2m_1 m_2 \cos \chi}}, \quad \theta_2 = \frac{1}{2}(\pi - \chi)$$

relate the scattering angles in the lab and COM frames.

We first invert these equations for  $\chi$  in terms of  $\theta_1$  and  $\theta_2$ :

$$\cos \chi = -\left(\frac{m_1}{m_2}\right) \sin^2 \theta_1 \pm \cos \theta_1 \sqrt{1 - \left(\frac{m_1}{m_2}\right)^2 \sin^2 \theta_1}$$

and

$$\cos \chi = \cos(\pi - 2\theta_2) = -\cos(2\theta_2)$$

for which

$$d(\cos \chi) = d(\cos \theta) \left[ 2 \left( \frac{m_1}{m_2} \right) \cos \theta_1 \pm \frac{\left( 1 + \left( \frac{m_1}{m_2} \right)^2 \cos^2(2\theta_1) \right)}{\sqrt{1 - \left( \frac{m_1}{m_2} \right)^2 \sin^2 \theta_1}} \right]$$

and

$$d(\cos \chi) = -d(\cos(2\theta_2)) = -4 \cos \theta_2 d(\cos \theta_2)$$

**9) Explain how one can obtain an expression for small-angle scattering starting from the integral equation for  $\phi_0$ .**

Recall:

$$\phi_0 = \int_{r_{\min}}^{\infty} \frac{\rho dr/r^2}{\sqrt{1 - \frac{2U(r)}{mv_\infty^2} - \frac{\rho^2}{r^2}}}$$

Small-angle scattering corresponds to

$$\epsilon \equiv -\frac{2U(r)}{mv_\infty^2} \ll 1$$

We expect  $\phi_0$  to deviate slightly from the  $U(r) \rightarrow 0$  value of  $\phi_0 = \pi/2$ .

Expand the factor of  $1/\sqrt{\epsilon}$  in the integrand:

$$\frac{1}{\sqrt{1 - \rho^2/r^2 + \epsilon}} = \frac{1}{\sqrt{(1 - \rho^2/r^2) \left[1 + \frac{\epsilon}{1 - \rho^2/r^2}\right]}} \approx \frac{1}{\sqrt{1 - \rho^2/r^2}} \left[1 - \frac{1}{2} \frac{\epsilon}{1 - \rho^2/r^2}\right] = \frac{1}{\sqrt{1 - \rho^2/r^2}} -$$

The first term when multiplied by  $\rho dr/r^2$  and integrated from  $r_{\min}$  to  $\infty$  gives  $\pi/2$ .

The second term when multiplied by  $\rho dr/r^2$  and integrated from  $r_{\min}$  to  $\infty$  gives

$$-\frac{1}{2} \int_{r_{\min}}^{\infty} \frac{\epsilon \rho dr/r^2}{(1 - \rho^2/r^2)^{3/2}} \approx \frac{1}{mv_\infty^2} \int_{\rho}^{\infty} \frac{U(r) \rho dr/r^2}{(1 - \rho^2/r^2)^{3/2}}$$

where we have substituted for  $\epsilon$  and replaced the limit  $r_{\min}$  by  $\rho$  to get the last approximate equality.

Thus,

$$\phi_0 \approx \frac{\pi}{2} + \frac{1}{mv_\infty^2} \int_{\rho}^{\infty} \frac{U(r) \rho dr/r^2}{(1 - \rho^2/r^2)^{3/2}} = \frac{\pi}{2} + \frac{1}{mv_\infty^2} \frac{\partial}{\partial \rho} \left[ \int_{\rho}^{\infty} \frac{U(r) dr}{\sqrt{1 - \rho^2/r^2}} \right]$$

Now the integral

$$I \equiv \int_{\rho}^{\infty} \frac{U(r) dr}{\sqrt{1 - \rho^2/r^2}}$$

can be integrated by parts setting

$$u = U(r), \quad dv = \frac{dr}{\sqrt{1 - \rho^2/r^2}} = \frac{r dr}{\sqrt{r^2 - \rho^2}}$$

for which

$$du = \frac{dU}{dr} dr, \quad v = \sqrt{r^2 - \rho^2}$$

where the last result was obtained making the substitution  $x = r^2 - \rho^2$  to do the integral for  $v$ .

Thus,

$$I = U(r) \sqrt{r^2 - \rho^2} \Big|_{\rho}^{\infty} - \int_{\rho}^{\infty} dr \frac{dU}{dr} \sqrt{r^2 - \rho^2} = - \int_{\rho}^{\infty} dr \frac{dU}{dr} \sqrt{r^2 - \rho^2}$$

where the first term vanishes assuming  $U(r) \rightarrow 0$  faster than  $1/r$  as  $r \rightarrow \infty$ .

Substituting  $I$  back into the expression for  $\phi_0$  gives

$$\phi_0 \approx \frac{\pi}{2} - \frac{1}{mv_\infty^2} \frac{\partial}{\partial \rho} \left[ \int_{\rho}^{\infty} dr \frac{dU}{dr} \sqrt{r^2 - \rho^2} \right] = \frac{\pi}{2} + \frac{\rho}{mv_\infty^2} \int_{\rho}^{\infty} dr \frac{dU}{dr} \frac{1}{\sqrt{r^2 - \rho^2}}$$

which is the desired result.

## 6. Small oscillations (21-23)

### 1) Explain what stable equilibrium means in terms of the potential energy $U(q)$ .

Stable equilibrium means there exists a local minimum at some  $q_0$ :

$$\left. \frac{dU}{dq} \right|_{q_0} = 0, \quad \left. \frac{d^2U}{dq^2} \right|_{q_0} > 0$$

## 2) Calculate the frequency for small oscillations about a position of stable equilibrium.

Lagrangian:

$$L = \frac{1}{2}a(q)\dot{q}^2 - U(q)$$

Let  $q_0$  be a position of stable equilibrium, and define

$$x \equiv q - q_0$$

which implies  $\dot{x} = \dot{q}$ .

Then for small deviations around  $q_0$ ,

$$U(q) = U(q_0) + \left. \frac{dU}{dq} \right|_{q_0} (q - q_0) + \frac{1}{2} \left. \frac{d^2U}{dq^2} \right|_{q_0} (q - q_0)^2 + \dots \approx U_0 + \frac{1}{2}kx^2$$

where

$$U_0 \equiv U(q_0), \quad k \equiv \left. \frac{d^2U}{dq^2} \right|_{q_0}$$

So for small deviations around  $q_0$

$$L = \frac{1}{2}m\dot{x}^2 - \frac{1}{2}kx^2$$

where  $m \equiv a(q_0)$  and we have dropped the constant  $U_0$  from the Lagrangian.

Lagrange's equation:

$$\frac{d}{dt} \left( \frac{\partial L}{\partial \dot{x}} \right) = \frac{\partial L}{\partial x}$$

gives

$$m\ddot{x} = -kx$$

which has general solution

$$x = c_1 \cos(\omega t) + c_2 \sin(\omega t), \quad \omega \equiv \sqrt{\frac{k}{m}}$$

## 3) Solve the equations of motion for both free and forced oscillation in one dimension, noting the difference between the general solution of the homogeneous equation and a particular integral of the inhomogeneous equation.

From the previous part we saw that the EOMs for free oscillations is

$$m\ddot{x} = -kx$$

which has general solution

$$x = c_1 \cos(\omega t) + c_2 \sin(\omega t), \quad \omega \equiv \sqrt{\frac{k}{m}}$$

This solution can also be written as

$$x = a \cos(\omega t + \alpha)$$

where

$$a = \sqrt{c_1^2 + c_2^2}, \quad \tan \alpha = -c_2/c_1$$

or as the real part of a complex solution

$$x = \text{re} [A e^{i\omega t}], \quad A = a e^{i\alpha}$$

Forced oscillations:

$$m\ddot{x} = -kx + F(t)$$

or, equivalently,

$$\ddot{x} + \omega^2 x = \frac{F(t)}{m}$$

where  $\omega \equiv \sqrt{k/m}$ .

This 2nd-order ODE for  $x(t)$  can be converted to a 1st-order ODE by defining

$$\xi \equiv \dot{x} + i\omega x$$

for which

$$\dot{\xi} = \ddot{x} + i\omega \dot{x}$$

and

$$\dot{\xi} - i\omega \xi = \frac{F(t)}{m}$$

This is a linear 1st-order ODE for  $\xi(t)$  similar to

$$y'(x) + P(x)y(x) = Q(x)$$

which we can rewrite in differential notation as

$$dy + [P(x)y - Q(x)] dx = 0$$

Although the LHS is not an exact differential, it can be made so by multiplying by an integrating factor  $\mu(x)$

for which

$$\mu(x)dy + \mu(x)[P(x)y - Q(x)] dx = dU$$

for some function  $U(x, y)$ . The integrating factor  $\mu(x)$  can be found by solving

$$\frac{d\mu}{dx} = \frac{\partial}{\partial y}(\mu(x)[P(x)y - Q(x)]) = \mu(x)P(x)$$

which is a consequence of

$$\frac{\partial^2 U}{\partial x \partial y} = \frac{\partial^2 U}{\partial y \partial x} \quad \text{with} \quad \frac{\partial U}{\partial x} = \mu(x) [P(x)y - Q(x)], \quad \frac{\partial U}{\partial y} = \mu(x)$$

leading to

$$\mu(x) = e^{I(x)}, \quad I(x) \equiv \int P(x) dx$$

To find  $U$  we solve

$$\frac{\partial U}{\partial x} = \mu(x) [P(x)y - Q(x)], \quad \frac{\partial U}{\partial y} = \mu(x),$$

The solution to the 2nd equation is

$$U(x, y) = \mu(x)y + g(x)$$

for some function  $g(x)$ .

The function  $g(x)$  is determined by substituting this solution for  $U$  back into the 1st equation:

$$\mu'(x)y + g'(x) = \mu(x) [P(x)y - Q(x)]$$

Substituting our previous solution for  $\mu(x)$  leads to

$$g'(x) = -\mu(x)Q(x)$$

which implies

$$g(x) = - \int dx \mu(x)Q(x) = - \int dx e^{I(x)} Q(x)$$

Thus,

$$U(x, y) = e^{I(x)} y - \int dx e^{I(x)} Q(x)$$

The solution to the original 1st-order ODE for  $y$  is then given by  $U(x, y) = \text{const}$ . So

$$y = e^{-I(x)} \left[ \int dx e^{I(x)} Q(x) + \text{const} \right], \quad I(x) \equiv \int P(x) dx$$

Returning to the differential equation for  $\xi(t)$ , we see that  $-i\omega$  plays the role of  $P(x)$  and  $F(t)/m$  plays the role of  $Q(x)$ .

Thus, the solution for  $\xi(t)$  is given by

$$\xi = e^{i\omega t} \left[ \int dt e^{-i\omega t} \frac{F(t)}{m} + \text{const} \right]$$

By choosing the limits on the integral to run from 0 to  $t$ , the constant becomes  $\xi(0) \equiv \xi_0$ . Thus,

$$\xi = e^{i\omega t} \left[ \int_0^t d\bar{t} e^{-i\omega \bar{t}} \frac{F(\bar{t})}{m} + \xi_0 \right]$$

The first term on the RHS is a particular solution  $\xi_p(t)$  to the differential equation for  $\xi(t)$ ; the second term is the general solution to the homogeneous equation and involves the complex integration constant  $\xi_0$ .

To return to  $x(t)$ , we recall the definition

$$\xi \equiv \dot{x} + i\omega x$$

which implies

$$\dot{x} = \text{re}[\dot{\xi}], \quad x = \text{im}[\dot{\xi}/\omega]$$

NOTE: The same solution for  $\xi(t)$  can be obtained if we first restrict attention to a sinusoidal force

$$F(t) \equiv F_0 e^{i\omega' t}$$

for which a particular solution of the differential equation  $\dot{\xi} - i\omega\xi = F(t)/m$  is then

$$\xi_p(t) = \frac{F_0}{im(\omega' - \omega)} (e^{i\omega' t} - e^{i\omega t})$$

Note that we added the last term (proportional to a solution of the homogeneous equation) so that  $\xi_p(0) = 0$ .

By Fourier's theorem, the most general  $F(t)$  is a superposition of sinusoidal forces:

$$F(t) = \int_{-\infty}^{\infty} d\omega' \tilde{F}(\omega') e^{i\omega' t}$$

which means that a particular solution for the most general  $F(t)$  is a superposition of particular solutions for sinusoidal forces:

$$\xi_p(t) = \int_{-\infty}^{\infty} d\omega' \frac{\tilde{F}(\omega')}{im(\omega' - \omega)} (e^{i\omega' t} - e^{i\omega t})$$

Factoring out an  $e^{i\omega t}$  we find

$$\xi_p(t) = e^{i\omega t} \int_{-\infty}^{\infty} d\omega' \frac{\tilde{F}(\omega')}{im(\omega' - \omega)} [e^{i(\omega' - \omega)t} - 1] = e^{i\omega t} \int_{-\infty}^{\infty} d\omega' \frac{\tilde{F}(\omega')}{m} \int_0^t d\bar{t} e^{i(\omega' - \omega)\bar{t}}$$

Rearranging the order of the integrals then gives

$$\xi_p(t) = e^{i\omega t} \int_0^t d\bar{t} e^{-i\omega \bar{t}} \int_{-\infty}^{\infty} d\omega' \frac{\tilde{F}(\omega')}{m} e^{i\omega' \bar{t}} = e^{i\omega t} \int_0^t d\bar{t} e^{-i\omega \bar{t}} \frac{F(\bar{t})}{m}$$

which is the particular solution we found earlier.

#### 4) Calculate the normal mode frequencies and normal mode solutions for small oscillations of systems with more than one DOF.

The Lagrangian

$$L = \frac{1}{2} \sum_{ik} a_{ik}(q) \dot{q}_i \dot{q}_k - U(q)$$

becomes

$$L = \frac{1}{2} \sum_{i,k} m_{ik} \dot{x}_i \dot{x}_k - \frac{1}{2} \sum_{i,k} k_{ik} x_i x_k$$

for small oscillations around  $q_{i0}$ , where

$$x_i \equiv q_i - q_{i0}, \quad m_{ik} \equiv a_{ik}(q_0), \quad k_{ik} \equiv \left. \frac{\partial^2 U}{\partial q_i \partial q_k} \right|_{q_0}$$

Lagrange's equations

$$\frac{d}{dt} \left( \frac{\partial L}{\partial \dot{x}_i} \right) = \frac{\partial L}{\partial x_i}, \quad i = 1, 2, \dots, n$$

imply

$$\sum_k m_{ik} \ddot{x}_k = - \sum_k k_{ik} x_k, \quad i = 1, 2, \dots, n$$

We guess a sinusoidal solution of the form

$$x_i = A_i e^{i\omega t}, \quad i = 1, 2, \dots, n$$

which converts the above EOMs to

$$\sum_k -\omega^2 m_{ik} A_k e^{i\omega t} = - \sum_k k_{ik} A_k e^{i\omega t}, \quad i = 1, 2, \dots, n$$

or, equivalently,

$$\sum_k (k_{ik} - \omega^2 m_{ik}) A_k = 0, \quad i = 1, 2, \dots, n$$

These equations have a non-trivial solution for the  $A_i$  only if the matrix  $(k_{ik} - \omega^2 m_{ik})$  is not invertible.

Thus, we must have

$$|k_{ik} - \omega^2 m_{ik}| = 0 \quad (\text{eigenvalue equation})$$

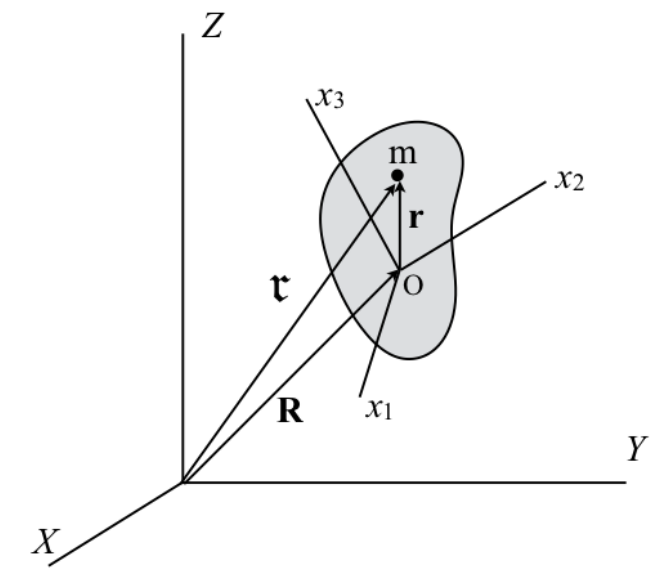
where  $| \cdot |$  means take the determinant. This is an  $n$ th-order polynomial equation for the normal mode frequencies  $\omega_\alpha^2$ .

The normal mode oscillations are the corresponding eigenvectors  $A_{k\alpha}$ , which are solutions to the matrix equation

$$\sum_k (k_{ik} - \omega_\alpha^2 m_{ik}) A_{k\alpha} = 0, \quad i = 1, 2, \dots, n \quad (\text{eigenvector equation})$$

## 7. Rigid body motion (31-36, 38)

1) Draw a diagram showing the body frame and fixed inertial reference frame.



Notation:

- $(X, Y, Z)$ : inertial frame
- $(x_1, x_2, x_3)$ : body frame (non-inertial)
- $m$ : point mass  $m$  fixed in the body frame
- $\mathbf{r}, \mathbf{r}$ : position vectors of  $m$  wrt to the inertial and body frames
- $\mathbf{R}$ : position vector of the origin  $O$  of the body frame (usually taken at the COM of the body)

## 2) Show that the angular velocity vector is unchanged under a shift of the origin of the body frame.

Consider a shift of origin of the body frame from  $\mathbf{R}$  to  $\mathbf{R}' \equiv \mathbf{R} + \mathbf{a}$ . Let  $\mathbf{r}$  and  $\mathbf{r}'$  denote the position vectors of a mass point fixed in the rigid body wrt these two origins. Then

$$\mathbf{r} = \mathbf{r}' + \mathbf{a}$$

Wrt to the inertial frame:

$$\mathbf{v} \equiv \frac{d\mathbf{r}}{dt} = \mathbf{V} + \boldsymbol{\Omega} \times \mathbf{r}$$

where  $\mathbf{V} \equiv d\mathbf{R}/dt$ . Expanding the RHS of the above expression, we have

$$\mathbf{v} = \mathbf{V} + \boldsymbol{\Omega} \times (\mathbf{r}' + \mathbf{a}) = \mathbf{V} + \boldsymbol{\Omega} \times \mathbf{r}' + \boldsymbol{\Omega} \times \mathbf{a} = \mathbf{V}' + \boldsymbol{\Omega}' \times \mathbf{r}'$$

where

$$\mathbf{V}' \equiv \frac{d\mathbf{R}'}{dt} = \mathbf{V} + \boldsymbol{\Omega} \times \mathbf{a}, \quad \boldsymbol{\Omega}' = \boldsymbol{\Omega}$$

This means that the angular velocity vector  $\boldsymbol{\Omega}$  is a property of the rigid body as a whole; it is not associated with any particular point in the body.

## 3) Write down an expression for the components $I_{ik}$ of the inertia tensor as a sum over discrete mass points or as an integral over the volume of the body.

Discrete mass points:

$$I_{ik} = \sum_a m_a (\delta_{ik} |\mathbf{r}_a|^2 - r_{ai} r_{ak})$$

Continuous mass distribution:

$$I_{ik} = \int dV \rho(\mathbf{r}) (\delta_{ik} |\mathbf{r}|^2 - r_i r_k)$$

where we have simply replaced  $m_a$  by  $\rho(\mathbf{r})dV$  and the summation by an integral.

## 4) Indicate how the components of the inertia tensor change if you shift the origin of the body frame.

Consider a shift of the origin of the body frame from the COM to  $\mathbf{a}$ . Let  $\mathbf{r}$  denote the position of mass point  $m$  wrt the COM and  $\mathbf{r}'$  the position of  $m$  wrt the origin at  $\mathbf{a}$ . Then

$$\mathbf{r} = \mathbf{r}' + \mathbf{a}$$

for which

$$I'_{ik} = \sum m (\delta_{ik} |\mathbf{r}'|^2 - r'_i r'_k) = \sum m [\delta_{ik} |\mathbf{r} - \mathbf{a}|^2 - (r_i - a_i)(r_k - a_k)] = I_{ik} + \mu (\delta_{ik} |\mathbf{a}|^2 - a_i a_k)$$

where  $\mu \equiv \sum m$  is the total mass, and we used  $\sum m \mathbf{r} = 0$  (the definition of COM frame) to get the last equality.

Thus

$$I'_{ik} = I_{ik} + \mu (\delta_{ik} |\mathbf{a}|^2 - a_i a_k)$$

The parallel-axis theorem is a special case of the above general result, with the moment of inertia about an axis  $\hat{\mathbf{n}}$  defined as  $I(\hat{\mathbf{n}}) = \sum_{i,k} I_{ik} n_i n_k$ .

## 5) Obtain or identify the principal axes of inertia for various rigid bodies.

With respect to principal axes  $x_1, x_2, x_3$  passing through the COM of the body, the moment of inertia tensor is diagonal:

$$I_{ik} = I_i \delta_{ik}$$

Principal axes always agree with symmetry axes of the body, if they exist.

If the rigid body lacks such symmetry, one can always diagonalize  $I_{ik}$  (which is a real symmetric matrix) by finding the eigenvectors and eigenvalues of the matrix  $I_{ik}$ . The eigenvalues are the principal moments of inertia; the eigenvectors are the principal axes.

## 6) Calculate the principal moments of inertia for various rigid bodies.

One can use the above expressions to calculate the principal moments of inertia for various rigid bodies consisting of either discrete mass points or for continuous mass distributions. This is primarily an exercise in doing multi-dimensional integrals.

Simplifications occur if the rigid body has a uniform mass distribution, since the mass density  $\rho(\mathbf{r})$  is then a constant and can be pulled out of the integral.

One needs to use the volume element appropriate for the geometry of the rigid body:

- Cartesian coordinates  $(x, y, z)$  for box-type objects:  $dV = dx dy dz$
- Spherical coordinates  $(r, \theta, \phi)$  for spherical objects or ellipsoids, with the axes appropriately rescaled:  $dV = r^2 \sin \theta dr d\theta d\phi$
- Cylindrical coordinate  $(s, z, \phi)$  for cylinders or cones:  $dV = s ds dz d\phi$ , where  $s^2 \equiv x^2 + y^2$

For objects like a cone or cylinder, with degenerate principal axes ( $x$  and  $y$ ) in the plane perpendicular to the main symmetry axis, one can calculate  $I \equiv I_1 = I_2$  using the following "trick":

$$I_1 = \int dV \rho [r^2 - x^2], \quad I_2 = \int dV \rho [r^2 - y^2], \quad I_3 = \int dV \rho [r^2 - z^2] = \int dV \rho s^2$$

which implies

$$2I = I_1 + I_2 = \int dV \rho [2r^2 - x^2 - y^2] = \int dV \rho [2(s^2 + z^2) - s^2] = \int dV \rho [s^2 + 2z^2] = I_3 + 2 \int dV \rho z^2$$

Thus

$$I \equiv I_1 = I_2 = \frac{1}{2} I_3 + \int dV \rho z^2$$

where the last integral is often easy to do.

#### Cylinder:

Applying these results to a uniform cylinder of total mass  $\mu$ , height  $h$ , and radius  $R$ , we find:

$$I_1 = I_2 = \frac{1}{4} \mu \left( R^2 + \frac{1}{3} h^2 \right), \quad I_3 = \frac{1}{2} \mu R^2$$

It is interesting to take the following limits:

- $h \rightarrow 0$  for a thin disk:

$$I_1 = I_2 = \frac{1}{4} \mu R^2, \quad I_3 = \frac{1}{2} \mu R^2$$

- $R \rightarrow 0$  for a thin rod:

$$I_1 = I_2 = \frac{1}{12} \mu h^2, \quad I_3 = 0$$

#### Cone:

For a cone, it is simplest to first calculate the components of the inertia tensor wrt  $(x', y', z')$  axes with the origin at the tip of the cone and the azimuthal symmetry axis directed along  $z'$ . For a uniform right-circular cone of total mass  $\mu$ , height  $h$ , and base radius  $R$ , the total volume of the cone is  $V = \pi R^2 h/3$ . The COM of mass is located at  $x' = 0, y' = 0, z' = 3h/4$ .

So we first find:

$$I'_1 = I'_2 = \frac{3}{20} \mu (R^2 + 4h^2), \quad I'_3 = \frac{3}{10} \mu R^2$$

Then use

$$I'_{ik} = I_{ik} + \mu (\delta_{ik} |\mathbf{a}|^2 - a_i a_k)$$

to transform the components of the inertia tensor wrt the primed axes  $(x', y', z')$  to the principal axes  $(x, y, z)$  passing through the COM. The final result is

$$I_1 = I_2 = \frac{3}{20} \mu \left( R^2 + \frac{1}{4} h^2 \right), \quad I_3 = \frac{3}{10} \mu R^2$$

### 7) Calculate the kinetic energy of a rigid body in terms of its COM motion and rotational kinetic energy.

Kinetic energy of the rigid body viewed as a collection of discrete mass points:

$$T = \frac{1}{2} \sum_a m_a |\mathbf{v}_a|^2$$

where  $\mathbf{v}_a \equiv d\mathbf{r}_a/dt$  is the position vector of  $m_a$  wrt to the inertial frame.

Since  $\mathbf{r}_a = \mathbf{R} + \mathbf{r}_a$ , where  $\mathbf{R}$  is the position vector of the COM and  $\mathbf{r}_a$  is the position vector of  $m_a$  wrt to the COM, we have

$$\mathbf{v}_a = \mathbf{V} + \boldsymbol{\Omega} \times \mathbf{r}_a$$

where  $\mathbf{V} \equiv d\mathbf{R}/dt$  is the velocity of the COM wrt to the inertial frame and  $\boldsymbol{\Omega}$  is the angular velocity of the rigid body. Note that there is no additional velocity term on the RHS since the mass point  $m_a$  is fixed wrt the rigid body.

Thus,

$$T = \frac{1}{2} \sum_a m_a |\mathbf{v}_a|^2 = \frac{1}{2} \sum_a m_a |\mathbf{V} + \boldsymbol{\Omega} \times \mathbf{r}_a|^2 = \frac{1}{2} \sum_a m_a [|\mathbf{V}|^2 + 2\mathbf{V} \cdot (\boldsymbol{\Omega} \times \mathbf{r}_a) + (\boldsymbol{\Omega} \times \mathbf{r}_a) \cdot (\boldsymbol{\Omega} \times \mathbf{r}_a)]$$

The three terms on the RHS are:

**1st term:**

$$\frac{1}{2} \sum_a m_a |\mathbf{V}|^2 = \frac{1}{2} \mu V^2$$

where  $\mu \equiv \sum_a m_a$  which is the kinetic energy of the COM wrt the inertial frame.

**2nd term:**

$$\frac{1}{2} \sum_a m_a 2\mathbf{V} \cdot (\boldsymbol{\Omega} \times \mathbf{r}_a) = \left( \sum_a m_a \mathbf{r}_a \right) \cdot (\mathbf{V} \times \boldsymbol{\Omega}) = 0$$

since  $\sum_a m_a \mathbf{r}_a = 0$  by definition of the COM frame.

**3rd term:**

$$\frac{1}{2} \sum_a m_a (\boldsymbol{\Omega} \times \mathbf{r}_a) \cdot (\boldsymbol{\Omega} \times \mathbf{r}_a)$$

Using vector identities

$$\mathbf{A} \cdot (\mathbf{B} \times \mathbf{C}) = \mathbf{B} \cdot (\mathbf{C} \times \mathbf{A}) = \mathbf{C} \cdot (\mathbf{A} \times \mathbf{B})$$

and

$$\mathbf{A} \times (\mathbf{B} \times \mathbf{C}) = \mathbf{B}(\mathbf{A} \cdot \mathbf{C}) - \mathbf{C}(\mathbf{A} \cdot \mathbf{B})$$

it follows that

$$(\boldsymbol{\Omega} \times \mathbf{r}_a) \cdot (\boldsymbol{\Omega} \times \mathbf{r}_a) = \boldsymbol{\Omega} \cdot [\mathbf{r}_a \times (\boldsymbol{\Omega} \times \mathbf{r}_a)] = \boldsymbol{\Omega} \cdot [\boldsymbol{\Omega} |\mathbf{r}_a|^2 - \mathbf{r}_a (\boldsymbol{\Omega} \cdot \mathbf{r}_a)] = |\boldsymbol{\Omega}|^2 |\mathbf{r}_a|^2 - (\boldsymbol{\Omega} \cdot \mathbf{r}_a)^2 = \sum_{i,k}$$

so

$$\text{3rd term} = \frac{1}{2} \sum_a m_a \sum_{i,k} \Omega_i \Omega_k (\delta_{ik} |\mathbf{r}_a|^2 - r_{ai} r_{ak}) = \frac{1}{2} \sum_{i,k} I_{ik} \Omega_i \Omega_k$$

where

$$I_{ik} = \sum_a m_a (\delta_{ik} |\mathbf{r}_a|^2 - r_{ai} r_{ak})$$

Thus, putting all the pieces together:

$$T = \frac{1}{2} \mu V^2 + \frac{1}{2} \sum_{ik} I_{ik} \Omega_i \Omega_k$$

The first term is the translational kinetic energy of the rigid body (its COM motion), and the second is its rotational kinetic energy about the COM.

## 8) Write down an expression for the angular momentum vector $\mathbf{M}$ in terms $I_{ik}$ and $\Omega_i$ .

The angular momentum of a rigid body depends on the origin about which it is defined. For rigid body motion it is simplest to define the angular momentum  $\mathbf{M}$  of the system wrt to an inertial frame whose origin is instantaneously comoving with the COM of the rigid body.

In that case

$$\mathbf{M} = \sum_a \mathbf{r}_a \times \mathbf{p}_a = \sum_a m_a \mathbf{r}_a \times \mathbf{v}_a$$

where  $\mathbf{r}_a$  is the position vector of mass point  $m_a$  with respect to the COM and  $\mathbf{v}_a$  is its velocity.

Since  $m_a$  is fixed in the rigid body

$$\mathbf{v}_a = \boldsymbol{\Omega} \times \mathbf{r}_a$$

Thus,

$$\mathbf{M} = \sum_a m_a \mathbf{r}_a \times (\boldsymbol{\Omega} \times \mathbf{r}_a) = \sum_a m_a (\boldsymbol{\Omega} |\mathbf{r}_a|^2 - \mathbf{r}_a (\boldsymbol{\Omega} \cdot \mathbf{r}_a))$$

where we used

$$\mathbf{A} \times (\mathbf{B} \times \mathbf{C}) = \mathbf{B}(\mathbf{A} \cdot \mathbf{C}) - \mathbf{C}(\mathbf{A} \cdot \mathbf{B})$$

It is more informative to write this last expression in component notation:

$$M_i = \sum_a m_a \left( \Omega_i |\mathbf{r}_a|^2 - r_{ai} \sum_k \Omega_k r_{ak} \right) = \sum_a m_a (\delta_{ik} |\mathbf{r}_a|^2 - r_{ai} r_{ak}) \Omega_k$$

or

$$M_i = \sum_k I_{ik} \Omega_k$$

where  $I_{ik}$  are the components of the moment of inertia tensor.

## 9) Write down the equations of motion for a rigid body with respect to an inertial frame.

The EOMs for a rigid body wrt an inertial frame are the force and torque equations

$$\frac{d\mathbf{P}}{dt} = \sum \mathbf{f} \equiv \mathbf{F}, \quad \frac{d\mathbf{M}}{dt} = \sum \mathbf{r} \times \mathbf{f} \equiv \mathbf{K}$$



where  $\mathbf{P}$  is the total momentum of the rigid body wrt the inertial frame and  $\mathbf{M}$  is its angular momentum relative to the COM. There are six equations because there are 6 DOFs describing a rigid body: 3 coordinates for the origin of the body frame and the 3 Euler angles specifying the orientation of the body frame with respect to a fixed (space) frame. The time derivatives are wrt an inertial frame, not wrt the non-inertial body frame.

Note that  $\mathbf{F}$  and  $\mathbf{K}$  are the net *external* force and torque acting on the body, since the *internal* forces and torques cancel out by Newton's 3rd law.

One can prove the force and torque equations two different ways:

**1st method:**

$$\frac{d\mathbf{P}}{dt} = \frac{d}{dt}(\sum \mathbf{p}) = \sum \dot{\mathbf{p}} = \sum \mathbf{f} \equiv \mathbf{F}$$

For the angular momentum equation we will do the calculation in an inertial reference frame that is instantaneously at rest wrt the COM of the rigid body. Then

$$\frac{d\mathbf{M}}{dt} = \frac{d}{dt}(\sum \mathbf{r} \times \mathbf{p}) = \sum (\dot{\mathbf{r}} \times \mathbf{p} + \mathbf{r} \times \dot{\mathbf{p}}) = \sum \mathbf{r} \times \dot{\mathbf{p}} = \sum \mathbf{r} \times \mathbf{f} \equiv \mathbf{K}$$

where we used the result that  $\dot{\mathbf{r}}$  is proportional to  $\mathbf{p}$  in the inertial reference frame that we have chosen, so  $\dot{\mathbf{r}} \times \mathbf{p} = 0$ .

NOTE: This relationship is actually valid in *any* inertial frame since if  $\mathbf{M}$  defined wrt to the COM of the body, it is unchanged by a transformation from one inertial frame to another. (Recall:  $\mathbf{M} = \mathbf{M}' + \mu \mathbf{R} \times \mathbf{V}$  for two inertial reference frames  $K$  and  $K'$  related by velocity  $\mathbf{V}$ , where  $\mathbf{R}$  is the location of the COM wrt  $K$ . For us,  $\mathbf{R} = 0$  in  $K$ .)

**2nd method:**

The EOMs can also be obtained from the Lagrangian:

$$L = \frac{1}{2} \mu V^2 + \frac{1}{2} \sum_{ik} I_{ik} \Omega_i \Omega_k - U$$

viewed as a function of the translational and rotational coordinates  $\mathbf{R}$  (COM) and  $\boldsymbol{\phi}$  (Euler angles) and their time derivatives  $\mathbf{V}$  and  $\boldsymbol{\Omega}$ .

Proof:

Vary  $L$ :

$$\delta L = \mu \mathbf{V} \cdot \delta \mathbf{V} + \sum_{i,k} I_{ik} \Omega_k \delta \Omega_i - \sum_a \frac{\partial U}{\partial \mathbf{r}_a} \cdot [\delta \mathbf{R} + \delta \boldsymbol{\phi} \times \mathbf{r}_a]$$

where we used

$$\mathbf{r}_a = \mathbf{R} + \mathbf{r}_a \quad \Rightarrow \quad \delta \mathbf{r}_a = \delta \mathbf{R} + \delta \boldsymbol{\phi} \times \mathbf{r}_a$$

under a translation of the COM and rotation of the rigid body, respectively.

Thus

$$\frac{\partial L}{\partial \mathbf{V}} = \mu \mathbf{V} = \mathbf{P}, \quad \frac{\partial L}{\partial \mathbf{R}} = - \sum_a \frac{\partial U}{\partial \mathbf{r}_a} = \sum_a \mathbf{f}_a, \quad \frac{\partial L}{\partial \Omega_i} = \sum_k I_{ik} \Omega_k = M_k, \quad \frac{\partial L}{\partial \boldsymbol{\phi}} = - \sum_a \mathbf{r}_a \times \frac{\partial U}{\partial \mathbf{r}_a}$$

So Lagrange's equations

$$\frac{d}{dt} \left( \frac{\partial L}{\partial \mathbf{V}} \right) = \frac{\partial L}{\partial \mathbf{R}}, \quad \frac{d}{dt} \left( \frac{\partial L}{\partial \boldsymbol{\Omega}} \right) = \frac{\partial L}{\partial \boldsymbol{\phi}}$$

are simply

$$\frac{d\mathbf{P}}{dt} = \sum_a \mathbf{f}_a, \quad \frac{d\mathbf{M}}{dt} = \sum_a \mathbf{r}_a \times \mathbf{f}_a$$

## 10) Derive Euler's equations for rigid body motion (equations of motion in the body frame).

Euler's equations for rigid body motion are the components of the force and torque equations  $\mathbf{F} = d\mathbf{P}/dt$  and  $\mathbf{K} = d\mathbf{M}/dt$  expressed wrt the principal axes of the body.

We use the general relation:

$$\frac{d\mathbf{A}}{dt} = \frac{d'\mathbf{A}}{dt} + \boldsymbol{\Omega} \times \mathbf{A}$$

where  $d\mathbf{A}/dt$  denotes the time derivative of any vector  $\mathbf{A}$  wrt the inertial frame and  $d'\mathbf{A}/dt$  denotes the time derivative of that same vector wrt to the body frame. Recall that the time derivative of a vector wrt to *any* reference frame is obtained by simply time differentiating the Cartesian components of that vector wrt to that frame, e.g.,  $(d'\mathbf{A}/dt)_i \equiv dA_i/dt \equiv \dot{A}_i$ .

**Force equation:**

$$\mathbf{F} = \frac{d\mathbf{P}}{dt} = \frac{d'\mathbf{P}}{dt} + \boldsymbol{\Omega} \times \mathbf{P}$$

Wrt principal axes

$$P_i = \mu V_i, \quad i = 1, 2, 3$$

so that

$$\left( \frac{d'\mathbf{P}}{dt} \right)_i \equiv \frac{dP_i}{dt} = \mu \dot{V}_i, \quad (\boldsymbol{\Omega} \times \mathbf{P})_i = \sum_{j,k} \epsilon_{ijk} \Omega_j V_k$$

Thus,

$$F_1 = \mu (\dot{V}_1 + \Omega_2 V_3 - \Omega_3 V_2) \\ F_2 = \mu (\dot{V}_2 + \Omega_3 V_1 - \Omega_1 V_3)$$

$$F_3 = \mu (\dot{V}_3 + \Omega_1 V_2 - \Omega_2 V_1)$$

Torque equation:

$$\mathbf{K} = \frac{d\mathbf{M}}{dt} = \frac{d'\mathbf{M}}{dt} + \boldsymbol{\Omega} \times \mathbf{M}$$

Wrt the principal axes

$$M_i = I_i \Omega_i, \quad i = 1, 2, 3$$

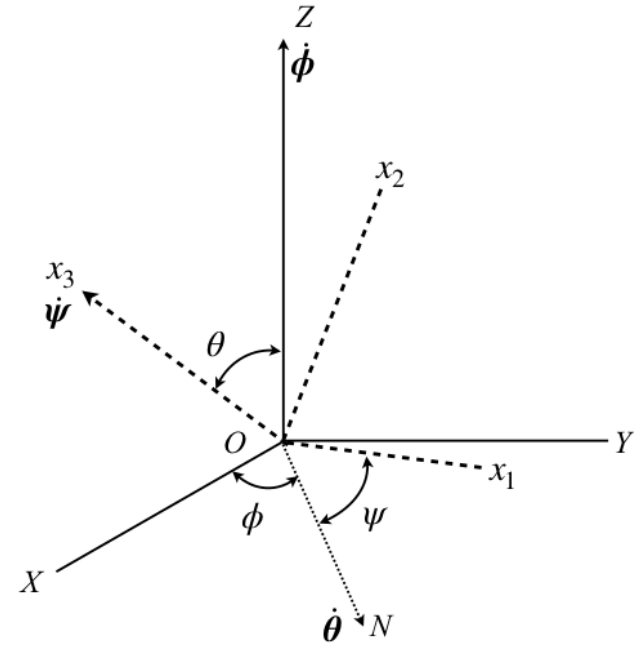
so that

$$\left( \frac{d'\mathbf{M}}{dt} \right)_i \equiv \frac{dM_i}{dt} = I_i \dot{\Omega}_i, \quad (\boldsymbol{\Omega} \times \mathbf{M})_i = \sum_{j,k} \epsilon_{ijk} \Omega_j M_k = \sum_{j,k} \epsilon_{ijk} \Omega_j \Omega_k I_k$$

Thus,

$$\begin{aligned} K_1 &= I_1 \dot{\Omega}_1 + \Omega_2 \Omega_3 (I_3 - I_2) \\ K_2 &= I_2 \dot{\Omega}_2 + \Omega_3 \Omega_1 (I_1 - I_3) \\ K_3 &= I_3 \dot{\Omega}_3 + \Omega_1 \Omega_2 (I_2 - I_1) \end{aligned}$$

11) Draw a diagram showing the definition of the Euler angles  $(\phi, \theta, \psi)$ .



First rotate around  $Z$  by  $\phi$ , then around the transformed  $X$  axis (which is the line of nodes  $ON$ ) by  $\theta$ , and then around the transformed  $Z$  axis (which is now the  $x_3$  axis) by  $\psi$ .

## Perform Euler angle rotations of body frame

```
In [8]: def Rx(a):
    '''
    calculate passive rotation matrix around x-axis
    '''

    R = np.array([[1, 0, 0],
                  [0, np.cos(a), np.sin(a)],
                  [0, -np.sin(a), np.cos(a)]])

    return R
```

```
In [9]: def Ry(a):
        """
        calculate passive rotation matrix around y-axis
        """

        R = np.array([[np.cos(a), 0, -np.sin(a)],
                       [0, 1, 0],
                       [np.sin(a), 0, np.cos(a)]])

        return R
```

```
In [10]: def Rz(a):
        """
        calculate passive rotation matrix around z-axis
        """

        R = np.array([[ np.cos(a), np.sin(a), 0],
                       [-np.sin(a), np.cos(a), 0],
                       [      0,      0, 1]])

        return R
```

```
In [11]: def rotate(axis, angle, prevRot):
        """
        actively rotate basis vectors about transformed axis thru angle (i
        n radians)

        prevRot: previous rotation matrix (3x3)
        """

        # passive rotation matrix about axis thru angle
        if axis == 'x':
            rot = Rx(angle)

        if axis == 'y':
            rot = Ry(angle)

        if axis == 'z':
            rot = Rz(angle)

        # convert to active rotation
        R = np.linalg.inv(rot)

        # conjugate R by previous active rotation to rotate around *transf
        ormed* axis
        Rprime = np.dot(prevRot, np.dot(R, np.linalg.inv(prevRot)) )

        # new combined rotation
        newRot = np.dot(Rprime, prevRot)

        # rotate basis vectors
        ex = np.dot(newRot, np.array([1, 0, 0]))
        ey = np.dot(newRot, np.array([0, 1, 0]))
        ez = np.dot(newRot, np.array([0, 0, 1]))

        return ex, ey, ez, newRot
```

```
In [12]: # interactive rotations

        # initial rotation (identity)
        prevRot = np.eye(3)

        # loop for successive rotations
        counter = 1
        while 1!=0:

            # input axis, angle
            print('\n')
            axis = input('input rotation axis (x,y,z; q to quit): ')
            if axis=='q':
                break
```

```

angle = input('input rotation angle (degrees): ')

# convert string input to float and degrees to radians
angle = float(angle)
angle = np.deg2rad(angle)

# perform rotation
ex, ey, ez, newRot = rotate(axis, angle, prevRot)

# plot
fig = plt.figure()
ax = fig.gca(projection='3d')
ax.set_axis_off()
ax.view_init(elev=20,azim=15)

# space frame
ax.quiver(0, 0, 1, 0, 0, color='k', linestyle='-')
ax.quiver(0, 0, 0, 1, 0, color='k', linestyle='-')
ax.quiver(0, 0, 0, 0, 1, color='k', linestyle='-')

# body frame
ax.quiver(0, 0, 0, ex[0], ex[1], ex[2], color='b', linestyle='--')
ax.quiver(0, 0, 0, ey[0], ey[1], ey[2], color='g', linestyle='--')
ax.quiver(0, 0, 0, ez[0], ez[1], ez[2], color='r', linestyle='--')

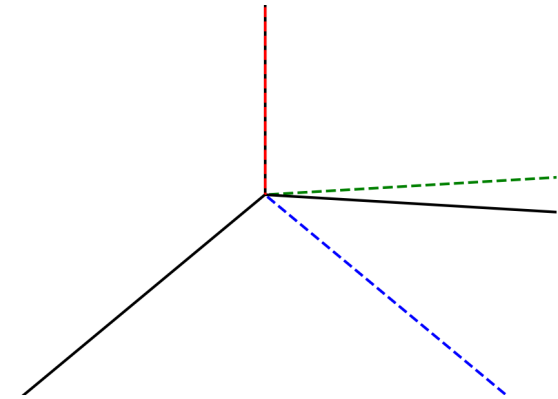
# savefig
figtitle = 'euler_' + str(counter)
plt.savefig(figtitle, bbox_inches='tight', dpi=400)

# display figure
plt.show()

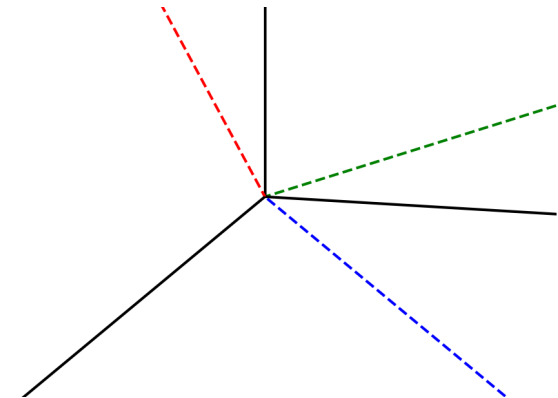
# prepare for next rotation
prevRot = newRot
counter = counter + 1

```

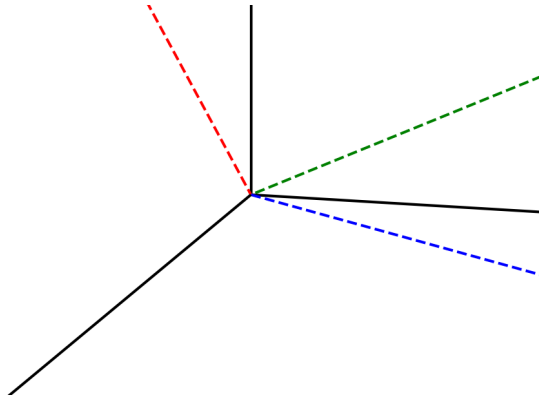
input rotation axis (x,y,z; q to quit): z  
input rotation angle (degrees): 30



input rotation axis (x,y,z; q to quit): x  
input rotation angle (degrees): 20



input rotation axis (x,y,z; q to quit): z  
input rotation angle (degrees): 15



input rotation axis (x,y,z; q to quit): q

### 12) Calculate the components of $\Omega$ wrt body frame in terms of the Euler angles and their time derivatives.

From the above diagram, one sees that

$$\Omega = \dot{\phi} + \dot{\theta} + \dot{\psi}$$

where

$$\dot{\phi} = \dot{\phi} [\sin \theta \sin \psi \hat{x}_1 + \sin \theta \cos \psi \hat{x}_2 + \cos \theta \hat{x}_3], \quad \dot{\theta} = \dot{\theta} [\cos \psi \hat{x}_1 - \sin \psi \hat{x}_2], \quad \dot{\psi} = \dot{\psi} \hat{x}_3$$

Adding these together we get

$$\Omega = \Omega_1 \hat{x}_1 + \Omega_2 \hat{x}_2 + \Omega_3 \hat{x}_3$$

with

$$\Omega_1 = \dot{\phi} \sin \theta \sin \psi + \dot{\theta} \cos \psi, \quad \Omega_2 = \dot{\phi} \sin \theta \cos \psi - \dot{\theta} \sin \psi, \quad \Omega_3 = \dot{\phi} \cos \theta + \dot{\psi}$$

### 13) Solve for the reaction forces for rigid bodies in static equilibrium.

In static equilibrium a rigid body has no translational or rotational acceleration:

$$\frac{d\mathbf{P}}{dt} = 0, \quad \frac{d\mathbf{M}}{dt} = 0$$

Given the EOMs for a rigid body, the above equations are equivalent to

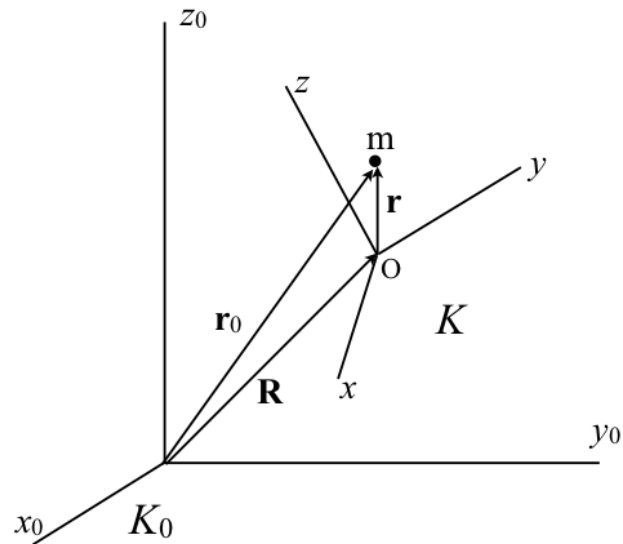
$$\mathbf{F} \equiv \sum \mathbf{f} = 0, \quad \mathbf{K} \equiv \sum \mathbf{r} \times \mathbf{f} = 0$$

where the reaction forces are included in the summations over  $\mathbf{f}$  and  $\mathbf{r} \times \mathbf{f}$ .

The number of force and torque equations (i.e., the number of different components, which is at most 6) must equal the number of unknown components of the reaction forces that you are trying to solve for.

## 8. Non-inertial reference frames (39)

### 1) Draw a diagram relating an inertial and non-inertial reference frame.



Notation:

- $K_0$ : inertial frame
- $K$ : non-inertial frame
- $m$ : point mass  $m$  (not necessarily fixed in either frame)
- $\mathbf{r}_0, \mathbf{r}$ : position vectors of  $m$  wrt to  $K_0, K$
- $\mathbf{R}$ : position vector of the origin  $O$  of the non-inertial reference frame

## 2) Write down the relationship between velocity vectors in inertial and non-inertial reference frames.

Since

$$\mathbf{r}_0 = \mathbf{R} + \mathbf{r}$$

it follows that

$$\mathbf{v}_0 \equiv \dot{\mathbf{r}}_0 = \mathbf{V} + \mathbf{v} + \boldsymbol{\Omega} \times \mathbf{r}$$

where  $\mathbf{V} \equiv \dot{\mathbf{R}}$  and  $\boldsymbol{\Omega}$  are the translational and rotational velocity of  $K$  wrt  $K_0$ , and  $\mathbf{v}$  is the velocity of  $m$  wrt  $K$ .

## 3) Distinguish non-inertial reference frames associated with translational and rotational motion.

- A non-inertial reference frame associated with translational motion would need to have a non-zero linear acceleration  $\mathbf{W} \equiv \dot{\mathbf{V}} = \ddot{\mathbf{R}}$ .
- A non-inertial reference frame associated with rotational motion only needs to have a non-zero angular velocity  $\boldsymbol{\Omega}$ . A non-zero angular acceleration  $\dot{\boldsymbol{\Omega}}$  is not required.

## 4) Derive the Coriolis, centrifugal, translational acceleration, and rotational acceleration fictitious force terms.

Newton's 2nd law in the inertial frame is:

$$m\mathbf{a}_0 = \mathbf{F}$$

where  $\mathbf{a}_0 \equiv \dot{\mathbf{v}}_0$  is the acceleration of  $\mathbf{r}_0$  in the inertial frame.

To obtain Newton's 2nd law in the non-inertial reference frame, we need to express  $\mathbf{a}_0$  in terms of the acceleration  $\mathbf{a}$  wrt the non-inertial frame plus other terms, which give rise to fictitious forces.

Recall that the time derivative of any vector  $\mathbf{A}$  wrt the inertial frame  $K_0$  is given by

$$\dot{\mathbf{A}} \equiv \left. \frac{d\mathbf{A}}{dt} \right|_0 = \frac{d\mathbf{A}}{dt} + \boldsymbol{\Omega} \times \mathbf{A}$$

where  $d\mathbf{A}/dt$  is the time derivative of  $\mathbf{A}$  wrt the non-inertial frame  $K$ . (The time derivative of a vector wrt to any reference frame is obtained by simply time differentiating the Cartesian components of that vector wrt that frame.)

Applying this formula to each side of the velocity vector

$$\mathbf{v}_0 = \mathbf{V} + \mathbf{v} + \boldsymbol{\Omega} \times \mathbf{r}$$

where we used  $\mathbf{W} \equiv \dot{\mathbf{V}} = d\mathbf{V}/dt + \boldsymbol{\Omega} \times \mathbf{V}$ .

we have

LHS:

$$\mathbf{a}_0 \equiv \left. \frac{d\mathbf{v}_0}{dt} \right|_0$$

RHS:

$$\left. \frac{d\mathbf{V}}{dt} \right|_0 \equiv \dot{\mathbf{V}} \equiv \mathbf{W}, \quad \left. \frac{d\mathbf{v}}{dt} \right|_0 = \mathbf{a} + \boldsymbol{\Omega} \times \mathbf{v}, \quad \left. \frac{d}{dt} \right|_0 (\boldsymbol{\Omega} \times \mathbf{r}) = \dot{\boldsymbol{\Omega}} \times \mathbf{r} + \boldsymbol{\Omega} \times (\mathbf{v} + \boldsymbol{\Omega} \times \mathbf{r})$$

Thus,

$$\mathbf{a}_0 = \mathbf{W} + \mathbf{a} + \dot{\boldsymbol{\Omega}} \times \mathbf{r} + 2\boldsymbol{\Omega} \times \mathbf{v} + \boldsymbol{\Omega} \times (\boldsymbol{\Omega} \times \mathbf{r})$$

Newton's 2nd law in a non-inertial reference frame:

$$m\mathbf{a} = \mathbf{F} - m\mathbf{W} - m\dot{\boldsymbol{\Omega}} \times \mathbf{r} - 2m\boldsymbol{\Omega} \times \mathbf{v} - m\boldsymbol{\Omega} \times (\boldsymbol{\Omega} \times \mathbf{r})$$

The fictitious forces terms on the RHS are associated with translational acceleration, rotational acceleration, Coriolis, and centrifugal forces.

The above equation can also be derived from Lagrange's equations starting with the Lagrangian

$$L = \frac{1}{2}m|\mathbf{v}_0|^2 - U = \frac{1}{2}m(\mathbf{V} + \mathbf{v} + \boldsymbol{\Omega} \times \mathbf{r}) \cdot (\mathbf{V} + \mathbf{v} + \boldsymbol{\Omega} \times \mathbf{r}) - U$$

The variation of  $L$  wrt  $\mathbf{r}$  and  $\mathbf{v}$  is

$$\delta L = m\delta\mathbf{v} \cdot (\mathbf{V} + \mathbf{v} + \boldsymbol{\Omega} \times \mathbf{r}) + m(\boldsymbol{\Omega} \times \delta\mathbf{r}) \cdot (\mathbf{V} + \mathbf{v} + \boldsymbol{\Omega} \times \mathbf{r}) - \frac{\partial U}{\partial \mathbf{r}} \cdot \delta\mathbf{r}$$

which implies

$$\frac{\partial L}{\partial \mathbf{r}} = -\frac{\partial U}{\partial \mathbf{r}} - m\boldsymbol{\Omega} \times (\mathbf{V} + \mathbf{v} + \boldsymbol{\Omega} \times \mathbf{r}), \quad \frac{\partial L}{\partial \mathbf{v}} = m(\mathbf{V} + \mathbf{v} + \boldsymbol{\Omega} \times \mathbf{r})$$

Lagrange's equations:

$$\frac{d}{dt} \left( \frac{\partial L}{\partial \mathbf{v}} \right) = \frac{\partial L}{\partial \mathbf{r}}$$

LHS

$$\frac{d}{dt} \left( \frac{\partial L}{\partial \mathbf{v}} \right) = m \left( \frac{d\mathbf{V}}{dt} + \mathbf{a} + \dot{\boldsymbol{\Omega}} \times \mathbf{r} + \boldsymbol{\Omega} \times \mathbf{v} \right)$$

where we used  $\mathbf{v} \equiv d\mathbf{r}/dt$ ,  $\mathbf{a} \equiv d\mathbf{v}/dt$ , and  $\dot{\boldsymbol{\Omega}} \equiv d\boldsymbol{\Omega}/dt|_0 = d\boldsymbol{\Omega}/dt$  since  $\boldsymbol{\Omega} \times \boldsymbol{\Omega} = 0$ .

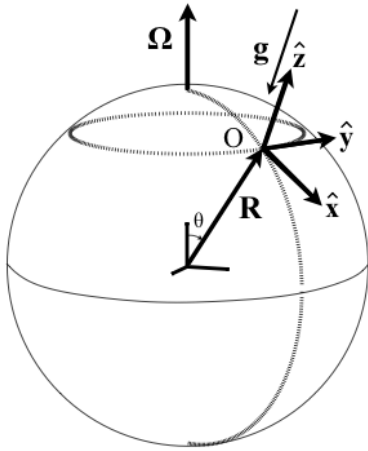
Equating with  $\partial L/\partial \mathbf{r}$  gives

$$m\mathbf{a} = -\frac{\partial U}{\partial \mathbf{r}} - m\mathbf{W} - m\dot{\boldsymbol{\Omega}} \times \mathbf{r} - 2m\boldsymbol{\Omega} \times \mathbf{v} - m\boldsymbol{\Omega} \times (\boldsymbol{\Omega} \times \mathbf{r})$$

### 5) Explain the physical significance of Foucault's pendulum.

The precession of the plane of oscillation of Foucault's pendulum is proof that a lab attached to the surface of the Earth is a non-inertial reference frame.

Reference frame:



Precession of plane of oscillation:



The main contribution to the precession comes from Earth's daily rotational motion. One solves

$$m\mathbf{a} = \mathbf{T} + m\mathbf{g} - 2m\boldsymbol{\Omega} \times \mathbf{v}$$

for the motion of the pendulum bob in the  $x$ - $y$ -plane, ignoring any motion in the vertical direction.

Code for producing the precessional motion is given below.

```
In [13]: # Foucault pendulum analysis

# some constants
T_day = 24*3660 #s
D = 1 # m
g = 9.8 # m/s^2
L = 30 # m
lat = 49 # degree (Paris)
theta = 90-lat # degree

# angular frequency of oscillation
# NOTE: reduced by a factor of 200 so that one can easily see precession after a few oscillations
w = np.sqrt(g/L) * (1/200)
T = 2*np.pi/w # period
print('T =', T, 'sec')
Nt = 10000
t = np.linspace(0, 3*T, Nt)

# angular velocity
Omega = 2*np.pi/T_day
Omega_z = Omega*np.cos(np.deg2rad(theta))

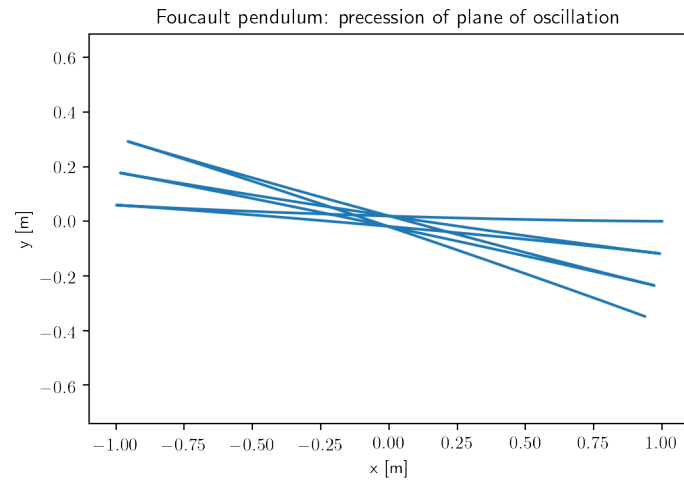
# solution
x = D*( np.cos(Omega_z*t)*np.cos(w*t) + (Omega_z/w)*np.sin(Omega_z*t)*np.sin(w*t) )
y = D*(-np.sin(Omega_z*t)*np.cos(w*t) + (Omega_z/w)*np.cos(Omega_z*t)*np.sin(w*t) )

# plot trajectory in x-y plane
plt.figure()
plt.plot(x, y)
#plt.axis('equal')
plt.xlim(-1.2, 1.2)
plt.ylim(-1.2, 1.2)
plt.axis('equal')
plt.xlabel('x [m]')
plt.ylabel('y [m]')
plt.title('Foucault pendulum: precession of plane of oscillation')
```



$T = 2198.6568517060523$  sec

Out[13]: Text(0.5, 1.0, 'Foucault pendulum: precession of plane of oscillation')



In [ ]: