

# Lecture 2:

## Image Classification with Linear Classifiers

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# Administrative: Assignment 1

- K-Nearest Neighbor
- Linear classifiers: SVM, Softmax
- Two-layer neural network
- Image features

# Syllabus

## Deep Learning Basics

### **Data-driven approaches**

### **Linear classification & kNN**

Loss functions

Optimization

Backpropagation

Multi-layer perceptrons

Neural Networks

## Convolutional Neural Networks

Convolutions

PyTorch / TensorFlow

Activation functions

Batch normalization

Transfer learning

Data augmentation

Momentum / RMSProp / Adam

Architecture design

## Computer Vision Applications

RNNs / Attention / Transformers

Image captioning

Object detection and segmentation

Style transfer

Video understanding

Generative models

Self-supervised learning

3D vision

Human-centered AI

Fairness & ethics

# Image Classification

A Core Task in Computer Vision

Today:

- The image classification task
- Two basic data-driven approaches to image classification
  - K-nearest neighbor and linear classifier

# Image Classification: A core task in Computer Vision



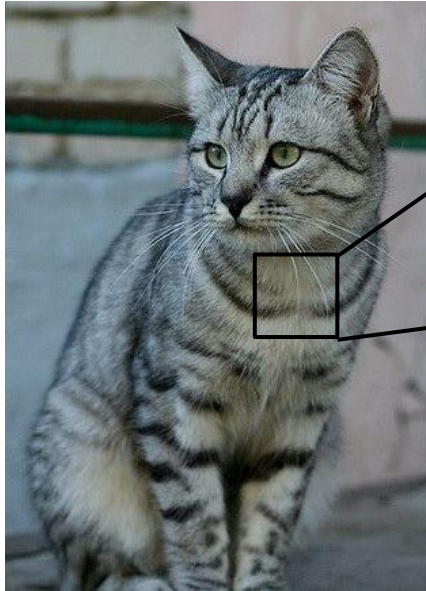
This image by [Nikita](#) is  
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(assume given a set of possible labels)  
{dog, cat, truck, plane, ...}



cat

# The Problem: Semantic Gap



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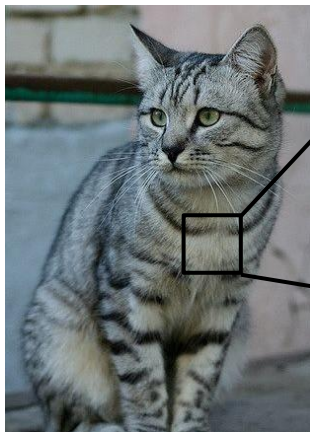
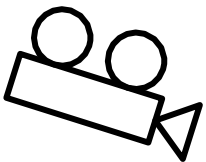
```
[[105 112 108 111 104 99 106 99 96 103 112 119 104 97 93 87]  
[ 91 98 102 106 104 79 98 103 99 105 123 136 110 105 94 85]  
[ 76 85 90 105 128 105 87 96 95 99 115 112 106 103 99 85]  
[ 99 81 81 93 120 131 127 100 95 98 102 99 96 93 101 94]  
[106 91 61 64 69 91 88 85 101 107 109 98 75 84 96 95]  
[114 108 85 55 55 69 64 54 64 87 112 129 98 74 84 91]  
[133 137 147 103 65 81 80 65 52 54 74 84 102 93 85 82]  
[128 137 144 140 109 95 86 70 62 65 63 63 60 73 86 101]  
[125 133 148 137 119 121 117 94 65 79 80 65 54 64 72 98]  
[127 125 131 147 133 127 126 131 111 96 89 75 61 64 72 84]  
[115 114 109 123 150 148 131 110 113 109 100 92 74 65 72 78]  
[ 89 93 90 97 108 147 131 118 113 114 113 109 106 95 77 80]  
[ 63 77 86 81 77 79 102 123 117 115 117 125 125 130 115 87]  
[ 62 65 82 89 78 71 80 101 124 126 119 101 107 114 131 119]  
[ 63 65 75 88 89 71 62 81 120 138 135 105 81 98 110 118]  
[ 87 65 71 87 106 95 69 45 76 130 126 107 92 94 105 112]  
[118 97 82 86 117 123 116 66 41 51 95 93 89 95 102 107]  
[164 146 112 80 82 120 124 104 76 48 45 66 88 101 102 109]  
[157 170 157 120 93 86 114 132 112 97 69 55 70 82 99 94]  
[130 128 134 161 139 100 109 118 121 134 114 87 65 53 69 86]  
[128 112 96 117 150 144 120 115 104 107 102 93 87 81 72 79]  
[123 107 96 86 83 112 153 149 122 109 104 75 80 107 112 99]  
[122 121 102 80 82 86 94 117 145 148 153 102 58 78 92 107]  
[122 164 148 103 71 56 78 83 93 103 119 139 102 61 69 84]]
```

What the computer sees

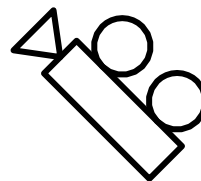
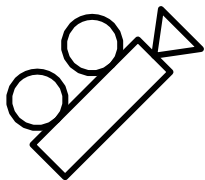
An image is a tensor of integers  
between [0, 255]:

e.g. 800 x 600 x 3  
(3 channels RGB)

# Challenges: Viewpoint variation



[	105	112	108	111	104	99	106	99	96	103	112	119	104	97	93	87]
[	91	98	102	106	104	79	98	103	99	105	123	136	118	105	94	85]
[	76	85	90	105	128	105	87	96	95	89	115	112	106	103	99	85]
[	99	81	81	93	120	131	127	100	95	98	102	99	96	93	101	94]
[	106	91	61	64	69	91	88	85	101	107	109	98	75	84	96	95]
[	114	108	85	55	65	64	54	64	87	112	129	98	74	84	91]	
[	133	137	147	103	65	81	80	65	52	54	74	84	102	93	85	82]
[	128	137	144	140	109	95	86	78	62	65	63	63	68	73	86	101]
[	125	133	140	137	119	121	117	94	65	79	88	65	54	64	72	98]
[	127	125	131	147	133	127	126	131	111	96	89	75	61	64	72	84]
[	115	114	109	123	150	148	131	118	113	109	100	92	74	65	72	78]
[	89	93	98	97	100	147	131	118	113	114	113	100	106	95	77	80]
[	63	77	86	81	77	79	102	123	117	115	117	125	125	138	115	87]
[	62	65	82	80	78	71	80	101	124	126	119	101	107	114	131	119]
[	63	65	75	80	89	71	62	81	120	130	135	105	61	98	118	118]
[	87	65	71	87	106	95	69	45	76	130	126	107	92	94	105	112]
[	118	97	82	86	117	123	116	66	41	51	95	93	89	95	102	107]
[	164	146	112	80	82	120	124	104	76	48	45	66	88	101	102	109]
[	157	170	157	120	63	86	114	132	112	97	69	55	78	82	99	94]
[	130	128	134	161	139	100	109	118	121	134	114	87	65	53	69	86]
[	128	112	96	117	150	144	120	115	104	107	102	93	87	81	72	79]
[	123	107	96	86	83	112	153	149	122	109	104	75	88	107	112	99]
[	122	121	102	80	82	86	94	117	145	148	153	102	58	78	92	107]
[	122	164	148	103	71	56	78	83	93	103	119	139	102	61	69	84]]



All pixels change when  
the camera moves!

# Challenges: Illumination



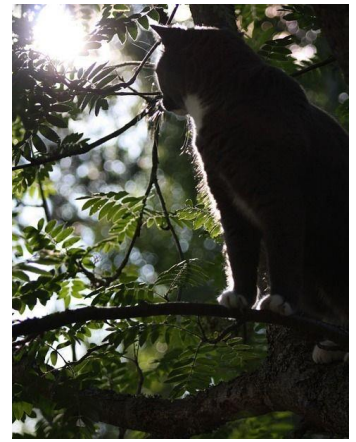
[This image is CC0 1.0](#) public domain



[This image is CC0 1.0](#) public domain



[This image is CC0 1.0](#) public domain



[This image is CC0 1.0](#) public domain



# Challenges: Background Clutter



[This image](#) is [CC0 1.0](#) public domain



[This image](#) is [CC0 1.0](#) public domain

# Challenges: Occlusion



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# Challenges: Deformation



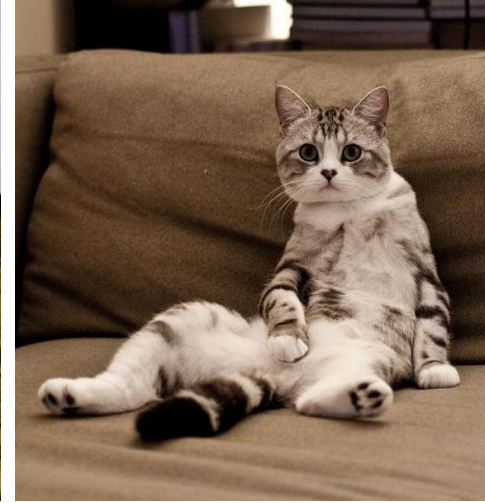
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This image by [Umberto Salvagnin](#)  
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# Challenges: Intraclass variation



[This image](#) is [CC0 1.0](#) public domain

# Challenges: Context

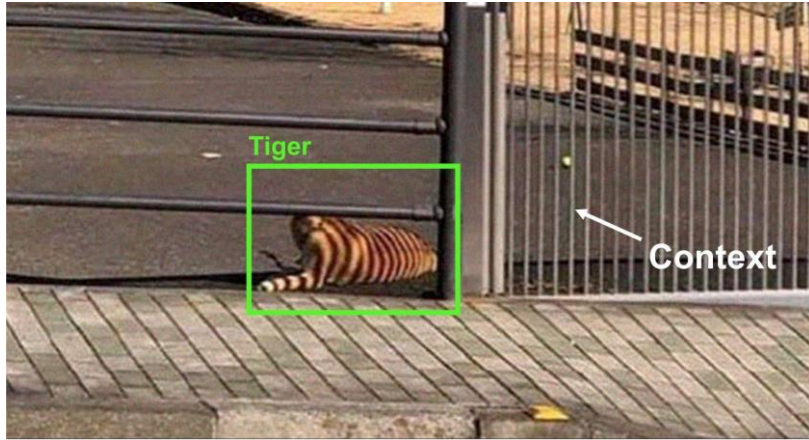


Image source:

[https://www.linkedin.com/posts/ralph-aboujaoude-diaz-40838313\\_technology-artificialintelligence-computervision-activity-6912446088364875776-h-lq?utm\\_source=linkedin\\_share&utm\\_medium=member\\_desktop\\_web](https://www.linkedin.com/posts/ralph-aboujaoude-diaz-40838313_technology-artificialintelligence-computervision-activity-6912446088364875776-h-lq?utm_source=linkedin_share&utm_medium=member_desktop_web)



# Modern computer vision algorithms



[This image](#) is [CC0 1.0](#) public domain

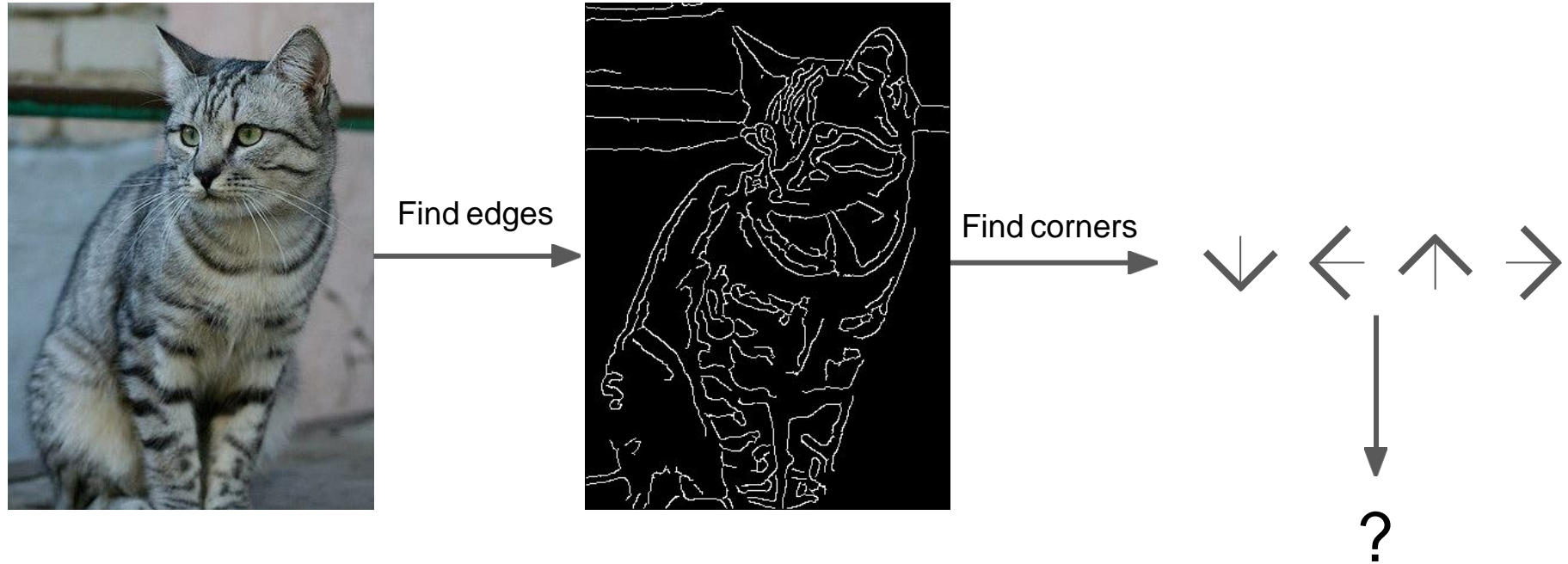
# An image classifier

```
def classify_image(image):  
    # Some magic here?  
    return class_label
```

Unlike e.g. sorting a list of numbers,

**no obvious way to hard-code** the algorithm for recognizing a cat, or other classes.

# Attempts have been made



John Canny, "A Computational Approach to Edge Detection", IEEE TPAMI 1986

## Image Classification with Linear Classifiers



# Machine Learning: Data-Driven Approach

1. Collect a dataset of images and labels
2. Use Machine Learning algorithms to train a classifier
3. Evaluate the classifier on new images

Example training set

```
def train(images, labels):  
    # Machine learning!  
    return model
```

```
def predict(model, test_images):  
    # Use model to predict labels  
    return test_labels
```

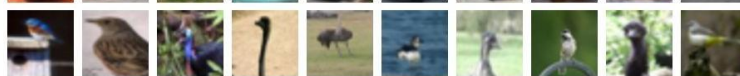
**airplane**



**automobile**



**bird**



**cat**



**deer**



# Nearest Neighbor Classifier

# First classifier: **Nearest Neighbor**

```
def train(images, labels):  
    # Machine learning!  
    return model
```



Memorize all  
data and labels

```
def predict(model, test_images):  
    # Use model to predict labels  
    return test_labels
```



Predict the label  
of the most similar  
training image

# First classifier: **Nearest Neighbor**



Training data with labels



query data

Distance Metric

$$\left| \begin{array}{c} \text{query cat} \\ \text{training cat} \end{array} \right| \rightarrow \mathbb{R}$$

# Distance Metric to compare images

**L1 distance:**

$$d_1(I_1, I_2) = \sum_p |I_1^p - I_2^p|$$

test image

56	32	10	18
90	23	128	133
24	26	178	200
2	0	255	220

training image

10	20	24	17
8	10	89	100
12	16	178	170
4	32	233	112

-

pixel-wise absolute value differences

=

46	12	14	1
82	13	39	33
12	10	0	30
2	32	22	108

add  
→ 456

## Nearest Neighbor classifier

```
import numpy as np

class NearestNeighbor:
    def __init__(self):
        pass

    def train(self, X, y):
        """ X is N x D where each row is an example. Y is 1-dimension of size N """
        # the nearest neighbor classifier simply remembers all the training data
        self.Xtr = X
        self.ytr = y

    def predict(self, X):
        """ X is N x D where each row is an example we wish to predict label for """
        num_test = X.shape[0]
        # lets make sure that the output type matches the input type
        Ypred = np.zeros(num_test, dtype = self.ytr.dtype)

        # loop over all test rows
        for i in xrange(num_test):
            # find the nearest training image to the i'th test image
            # using the L1 distance (sum of absolute value differences)
            distances = np.sum(np.abs(self.Xtr - X[i,:]), axis = 1)
            min_index = np.argmin(distances) # get the index with smallest distance
            Ypred[i] = self.ytr[min_index] # predict the label of the nearest example

        return Ypred
```

```
import numpy as np
```

```
class NearestNeighbor:
```

```
    def __init__(self):
```

```
        pass
```

```
    def train(self, X, y):
```

```
        """ X is N x D where each row is an example. Y is 1-dimension of size N """
```

```
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```

```
        self.Xtr = X
```

```
        self.ytr = y
```

```
    def predict(self, X):
```

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        """ X is N x D where each row is an example we wish to predict label for """
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        num_test = X.shape[0]
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```
        Ypred = np.zeros(num_test, dtype = self.ytr.dtype)
```

```
        # loop over all test rows
```

```
        for i in xrange(num_test):
```

```
            # find the nearest training image to the i'th test image
```

```
            # using the L1 distance (sum of absolute value differences)
```

```
            distances = np.sum(np.abs(self.Xtr - X[i,:]), axis = 1)
```

```
            min_index = np.argmin(distances) # get the index with smallest distance
```

```
            Ypred[i] = self.ytr[min_index] # predict the label of the nearest example
```

```
        return Ypred
```

## Nearest Neighbor classifier

Memorize training data



## Nearest Neighbor classifier

```
import numpy as np

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            distances = np.sum(np.abs(self.Xtr - X[i,:]), axis = 1)
            min_index = np.argmin(distances) # get the index with smallest distance
            Ypred[i] = self.ytr[min_index] # predict the label of the nearest example

        return Ypred
```

For each test image:  
Find closest train image  
Predict label of nearest image



```
import numpy as np
```

```
class NearestNeighbor:
```

```
    def __init__(self):
```

```
        pass
```

```
    def train(self, X, y):
```

```
        """ X is N x D where each row is an example. Y is 1-dimension of size N """
```

```
        # the nearest neighbor classifier simply remembers all the training data
```

```
        self.Xtr = X
```

```
        self.ytr = y
```

```
    def predict(self, X):
```

```
        """ X is N x D where each row is an example we wish to predict label for """
```

```
        num_test = X.shape[0]
```

```
        # lets make sure that the output type matches the input type
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        Ypred = np.zeros(num_test, dtype = self.ytr.dtype)
```

```
        # loop over all test rows
```

```
        for i in xrange(num_test):
```

```
            # find the nearest training image to the i'th test image
```

```
            # using the L1 distance (sum of absolute value differences)
```

```
            distances = np.sum(np.abs(self.Xtr - X[i,:]), axis = 1)
```

```
            min_index = np.argmin(distances) # get the index with smallest distance
```

```
            Ypred[i] = self.ytr[min_index] # predict the label of the nearest example
```

```
        return Ypred
```

## Nearest Neighbor classifier

**Q:** With N examples, how fast are training and prediction?

**Ans:** Train  $O(1)$ ,  
predict  $O(N)$

This is bad: we want classifiers that are **fast** at prediction; **slow** for training is ok

```
import numpy as np
```

```
class NearestNeighbor:
```

```
    def __init__(self):
```

```
        pass
```

```
    def train(self, X, y):
```

```
        """ X is N x D where each row is an example. Y is 1-dimension of size N """
```

```
        # the nearest neighbor classifier simply remembers all the training data
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```
        self.Xtr = X
```

```
        self.ytr = y
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```
    def predict(self, X):
```

```
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```

```
        num_test = X.shape[0]
```

```
        # lets make sure that the output type matches the input type
```

```
        Ypred = np.zeros(num_test, dtype = self.ytr.dtype)
```

```
        # loop over all test rows
```

```
        for i in xrange(num_test):
```

```
            # find the nearest training image to the i'th test image
```

```
            # using the L1 distance (sum of absolute value differences)
```

```
            distances = np.sum(np.abs(self.Xtr - X[i,:]), axis = 1)
```

```
            min_index = np.argmin(distances) # get the index with smallest distance
```

```
            Ypred[i] = self.ytr[min_index] # predict the label of the nearest example
```

```
        return Ypred
```

## Nearest Neighbor classifier

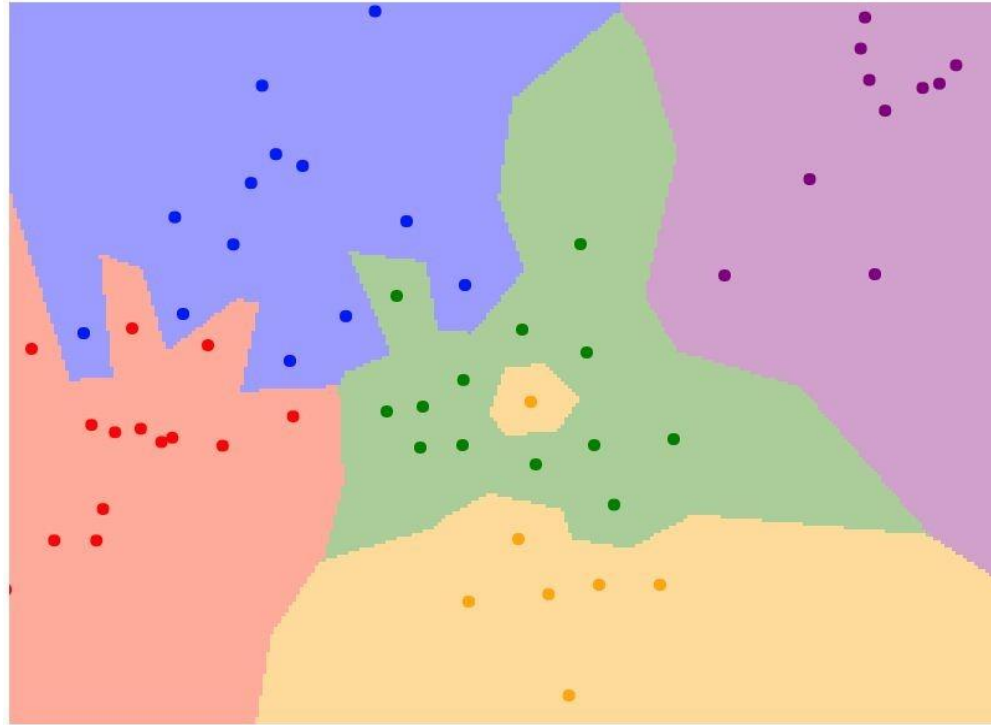
Many methods exist for fast / approximate nearest neighbor (beyond the scope of 231N!)

A good implementation:

<https://github.com/facebookresearch/faiss>

Johnson et al, "Billion-scale similarity search with GPUs", arXiv 2017

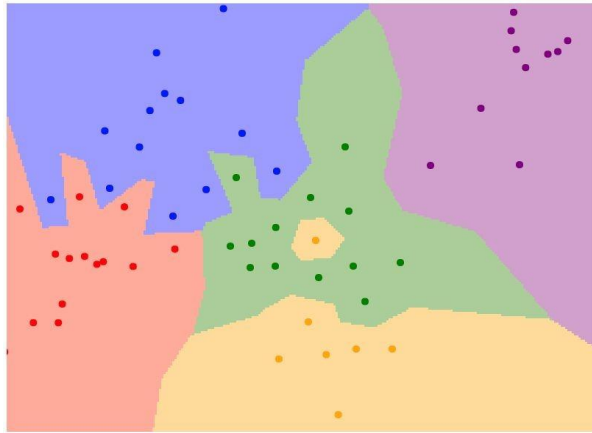
# What does this look like?



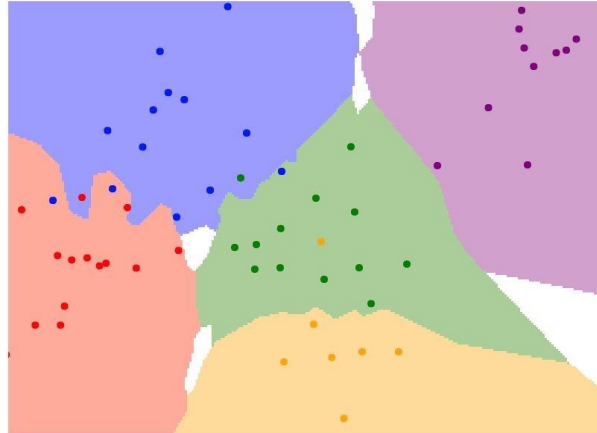
1-nearest neighbor

# K-Nearest Neighbors

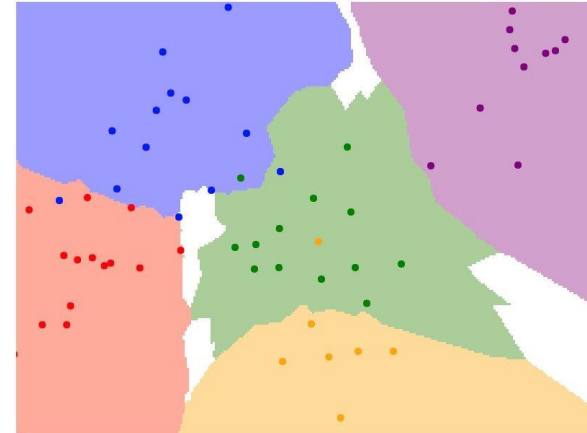
Instead of copying label from nearest neighbor,  
take **majority vote** from K closest points



$K = 1$



$K = 3$

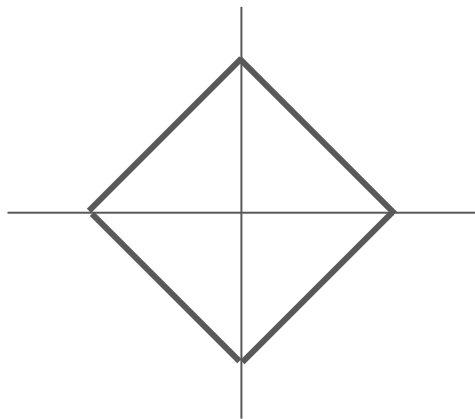


$K = 5$

# K-Nearest Neighbors: Distance Metric

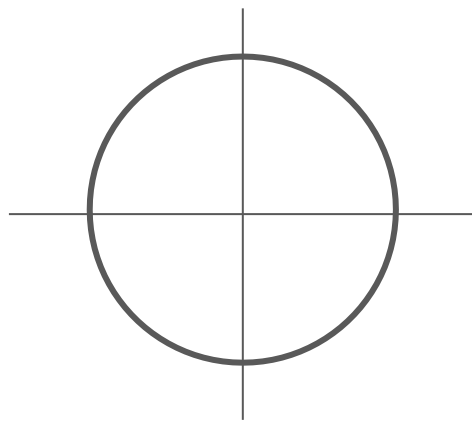
L1 (Manhattan) distance

$$d_1(I_1, I_2) = \sum_p |I_1^p - I_2^p|$$



L2 (Euclidean) distance

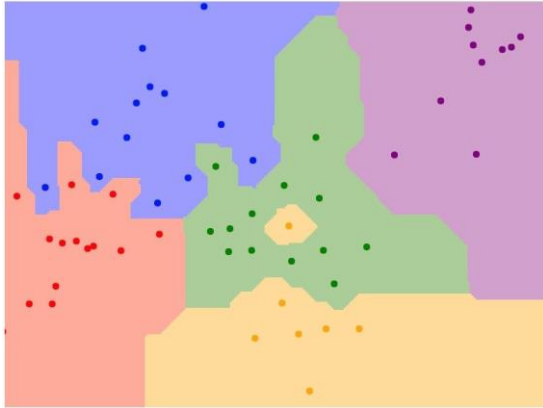
$$d_2(I_1, I_2) = \sqrt{\sum_p (I_1^p - I_2^p)^2}$$



# K-Nearest Neighbors: Distance Metric

L1 (Manhattan) distance

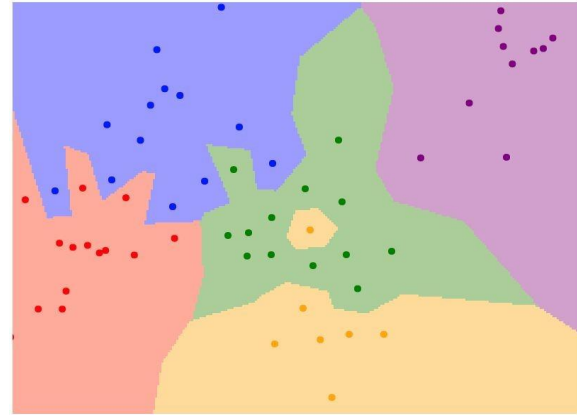
$$d_1(I_1, I_2) = \sum_p |I_1^p - I_2^p|$$



K = 1

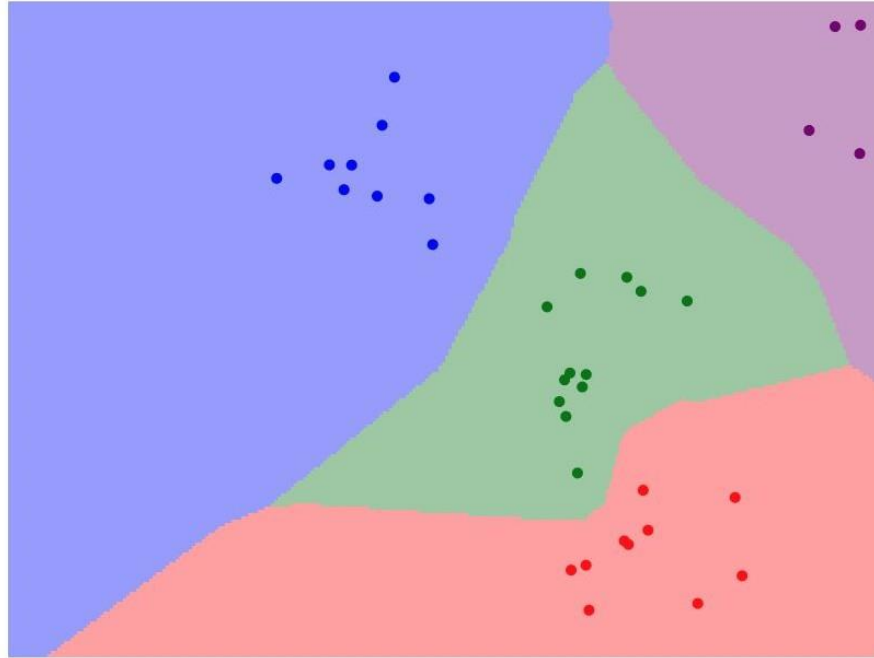
L2 (Euclidean) distance

$$d_2(I_1, I_2) = \sqrt{\sum_p (I_1^p - I_2^p)^2}$$



K = 1

# K-Nearest Neighbors: try it yourself!



<http://vision.stanford.edu/teaching/cs231n-demos/knn/>

# Hyperparameters

What is the best value of **k** to use?

What is the best **distance** to use?

These are **hyperparameters**: choices about the algorithms themselves.

Very problem/dataset-dependent.

Must try them all out and see what works best.



# Setting Hyperparameters

**Idea #1:** Choose hyperparameters that work best on the **training data**



train

# Setting Hyperparameters

**Idea #1:** Choose hyperparameters that work best on the **training data**

**BAD:**  $K = 1$  always works perfectly on training data



train

# Setting Hyperparameters

**Idea #1:** Choose hyperparameters that work best on the **training data**

**BAD:**  $K = 1$  always works perfectly on training data



train

**Idea #2:** choose hyperparameters that work best on **test** data



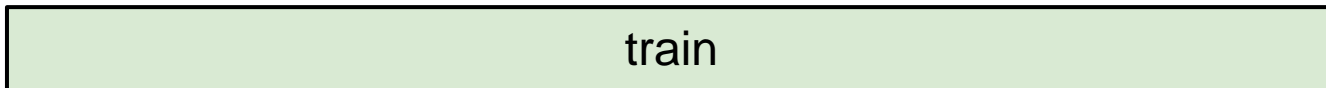
train

test

# Setting Hyperparameters

**Idea #1:** Choose hyperparameters that work best on the **training data**

**BAD:**  $K = 1$  always works perfectly on training data



**Idea #2:** choose hyperparameters that work best on **test** data

**BAD:** No idea how algorithm will perform on new data

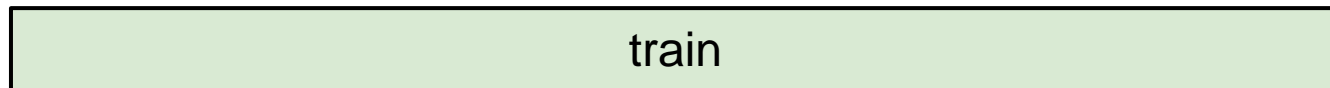


**Never do this!**

# Setting Hyperparameters

**Idea #1:** Choose hyperparameters that work best on the **training data**

**BAD:**  $K = 1$  always works perfectly on training data



**Idea #2:** choose hyperparameters that work best on **test** data

**BAD:** No idea how algorithm will perform on new data



**Idea #3:** Split data into **train**, **val**; choose hyperparameters on val and evaluate on test

**Better!**



# Setting Hyperparameters

train
-------

**Idea #4: Cross-Validation:** Split data into **folds**, try each fold as validation and average the results

fold 1	fold 2	fold 3	fold 4	fold 5	test
fold 1	fold 2	fold 3	fold 4	fold 5	test
fold 1	fold 2	fold 3	fold 4	fold 5	test

Useful for small datasets, but not used too frequently in deep learning

# Example Dataset: CIFAR10

**10** classes

**50,000** training images

**10,000** testing images

airplane



automobile



bird



cat



deer



dog



frog



horse



ship



truck



Alex Krizhevsky, "Learning Multiple Layers of Features from Tiny Images", Technical Report, 2009.

# Example Dataset: CIFAR10

**10** classes

**50,000** training images

**10,000** testing images

airplane



automobile



bird



cat



deer



dog



frog



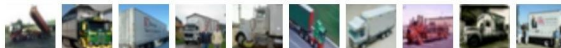
horse



ship



truck



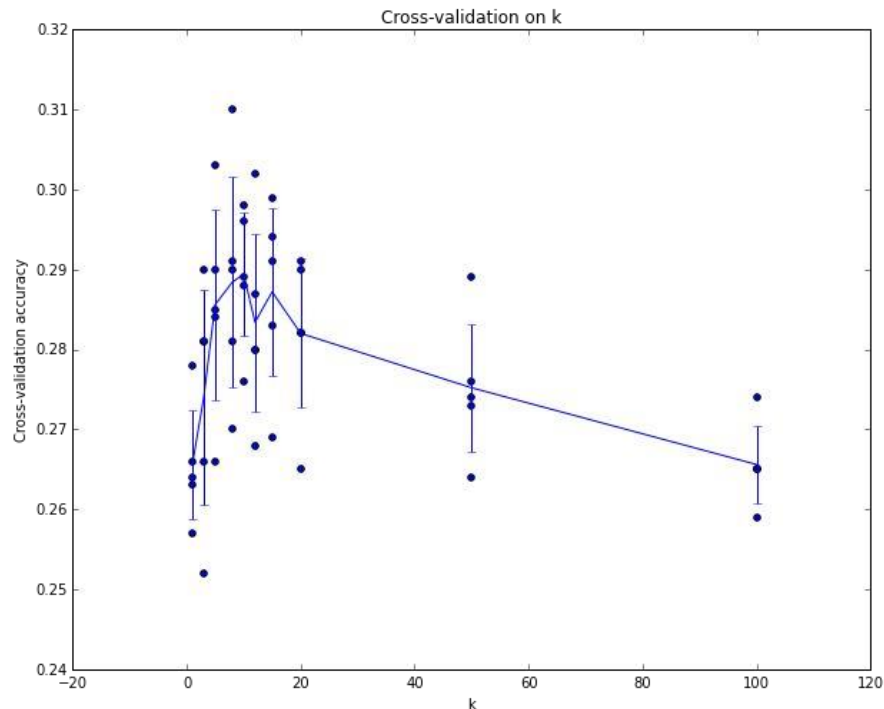
Test images and nearest neighbors



Alex Krizhevsky, "Learning Multiple Layers of Features from Tiny Images", Technical Report, 2009.



# Setting Hyperparameters



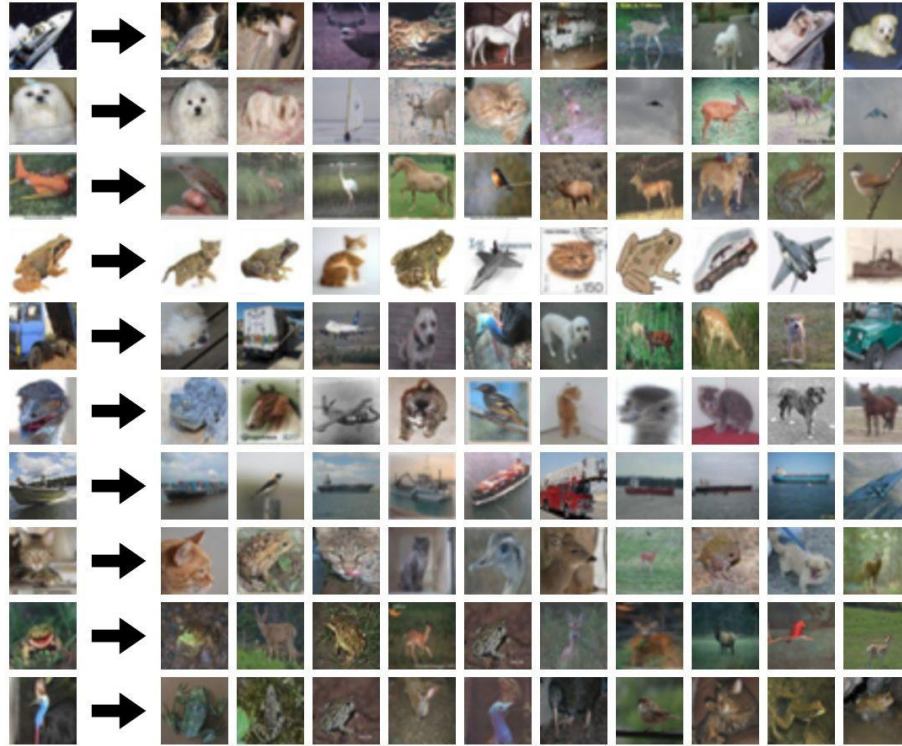
Example of  
5-fold cross-validation  
for the value of **k**.

Each point: single  
outcome.

The line goes  
through the mean, bars  
indicated standard  
deviation

(Seems that  $k \approx 7$  works best  
for this data)

# What does this look like?



# What does this look like?



# k-Nearest Neighbor with pixel distance **never used**.

- Distance metrics on pixels are not informative

[Original image is](#)  
[CC0 public domain](#)

Original



Occluded



Shifted (1 pixel)



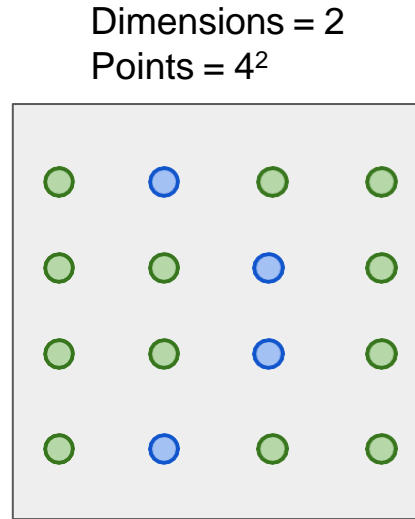
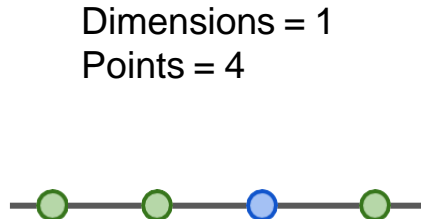
Tinted



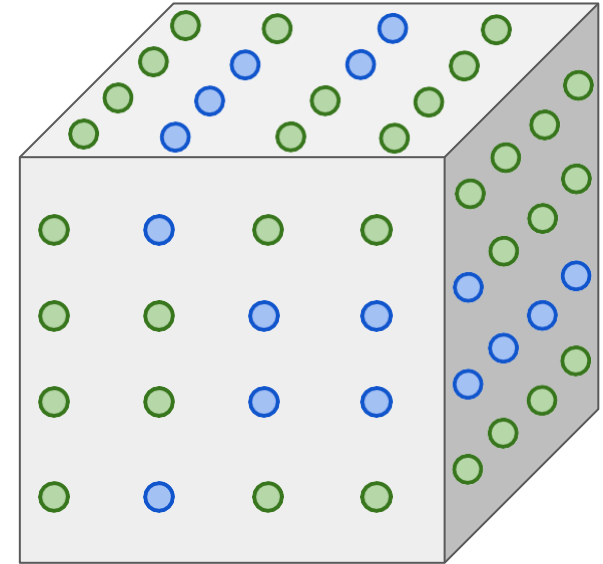
(All three images on the right have the same pixel distances to the one on the left)

# k-Nearest Neighbor with pixel distance **never used**.

- Curse of dimensionality



Dimensions = 3  
Points =  $4^3$



# K-Nearest Neighbors: Summary

In **image classification** we start with a **training set** of images and labels, and must predict labels on the **test set**

The **K-Nearest Neighbors** classifier predicts labels based on the K nearest training examples

Distance metric and K are **hyperparameters**

Choose hyperparameters using the **validation set**;

Only run on the test set once at the very end!



# Linear Classifier

# Parametric Approach

Image



Array of **32x32x3** numbers  
(3072 numbers total)



**10** numbers giving  
class scores

**W**

parameters  
or weights

# Parametric Approach: Linear Classifier

Image



Array of **32x32x3** numbers  
(3072 numbers total)

$$f(x, W) = Wx$$

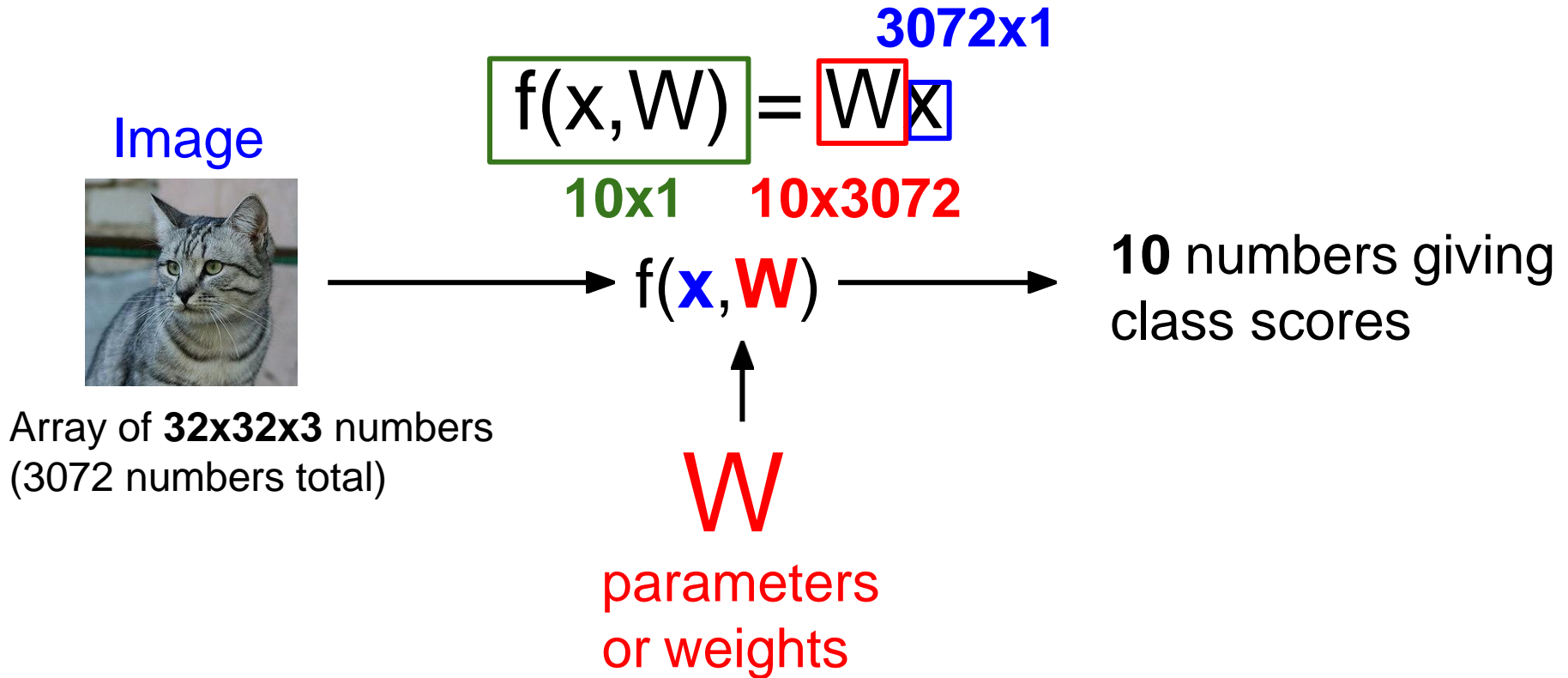
$f(\mathbf{x}, \mathbf{W})$

$\mathbf{W}$

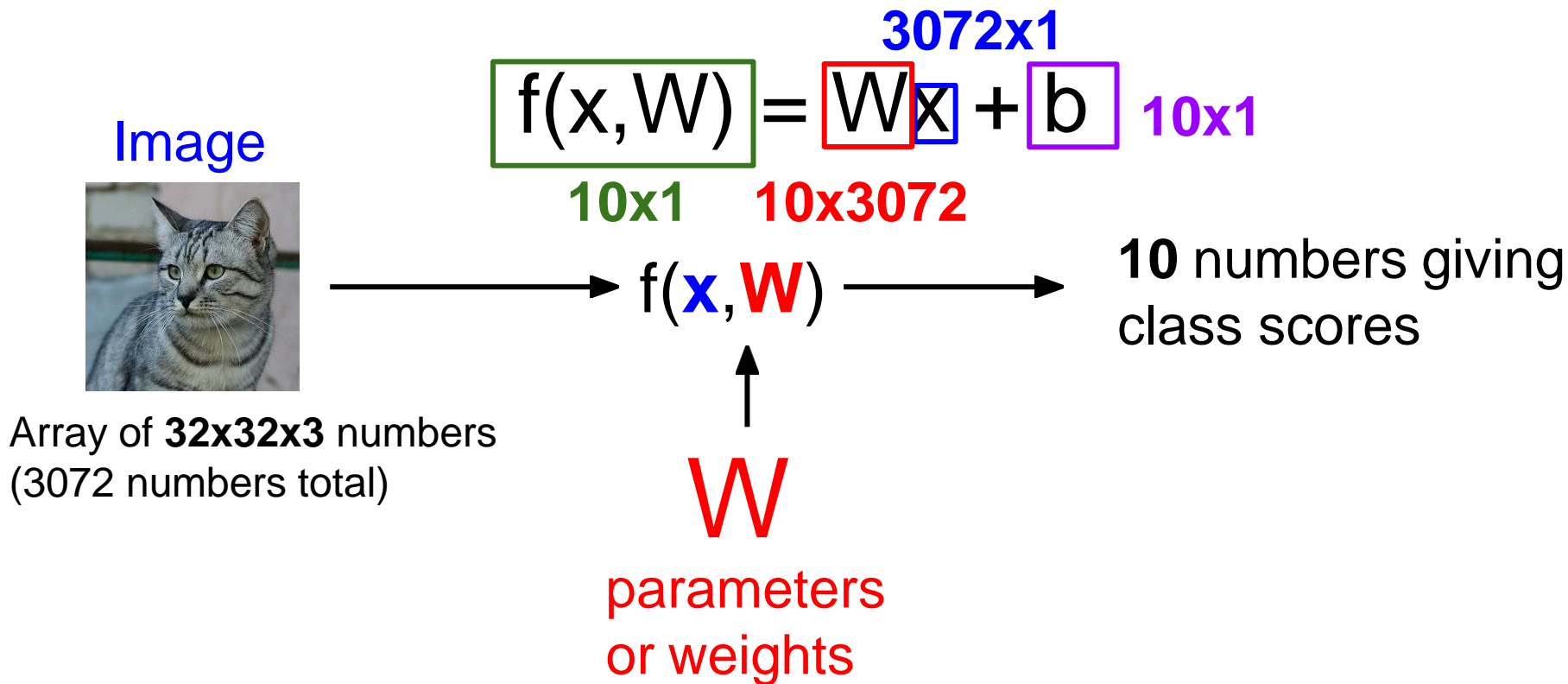
parameters  
or weights

**10** numbers giving  
class scores

# Parametric Approach: Linear Classifier



# Parametric Approach: Linear Classifier



# Neural Network

Linear  
classifiers



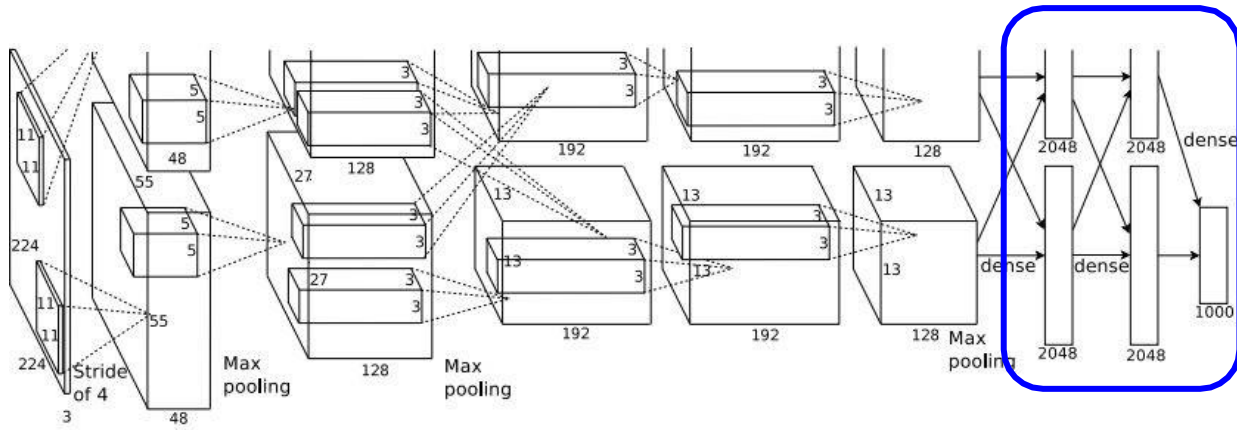
[This image is CC0 1.0](#) public domain

Image Classification with Linear Classifiers



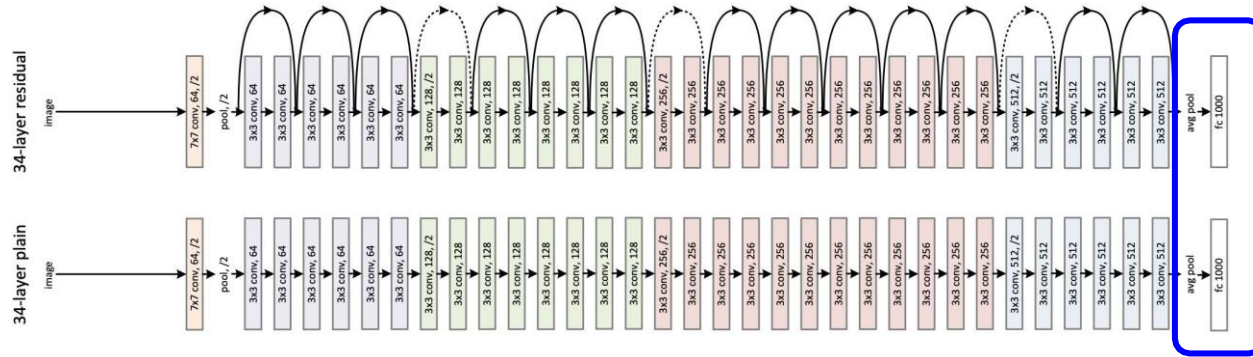
**Universidad**  
Popular del Cesar





[Krizhevsky et al. 2012]

Linear layers

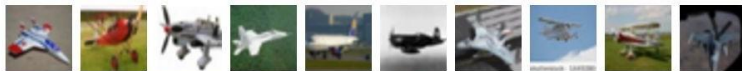


[He et al. 2015]

# Image Classification with Linear Classifiers

# Recall CIFAR10

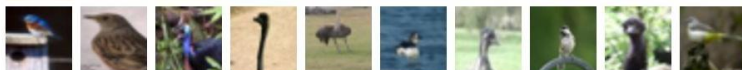
airplane



automobile



bird



cat



deer



dog



frog



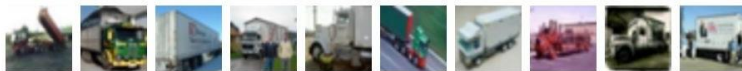
horse



ship



truck

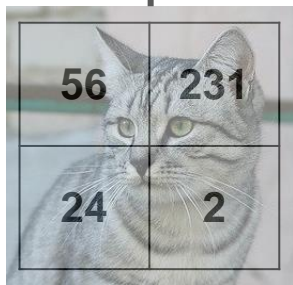


**50,000** training images  
each image is **32x32x3**

**10,000** test images.

# Example with an image with 4 pixels, and 3 classes (cat/dog/ship)

Flatten tensors into a vector



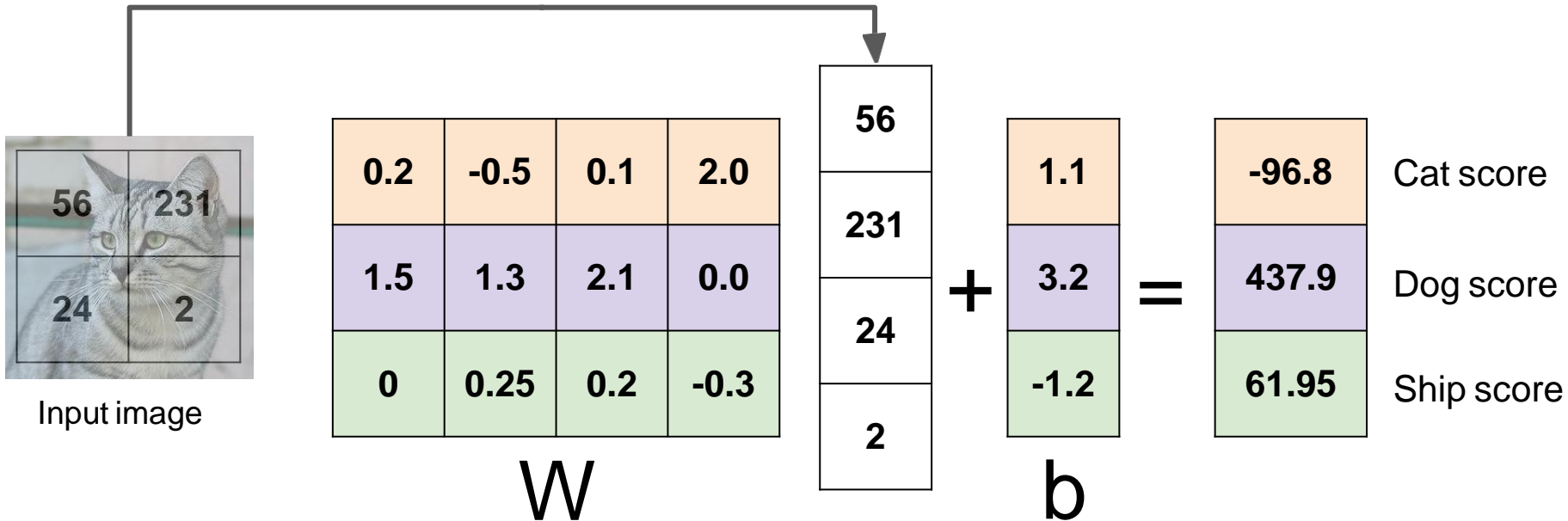
Input image



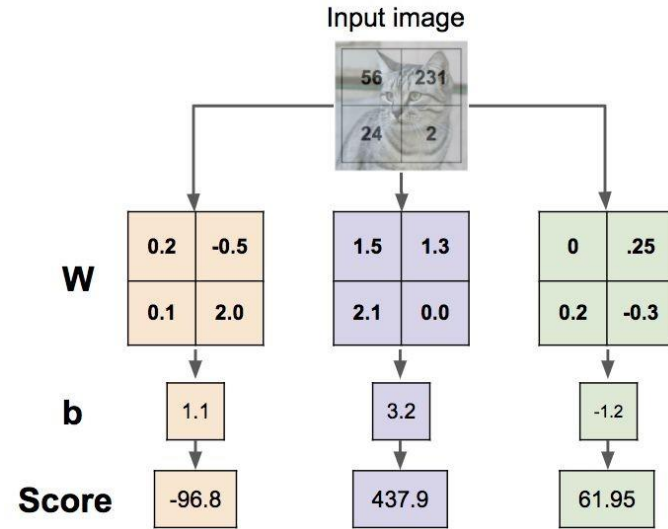
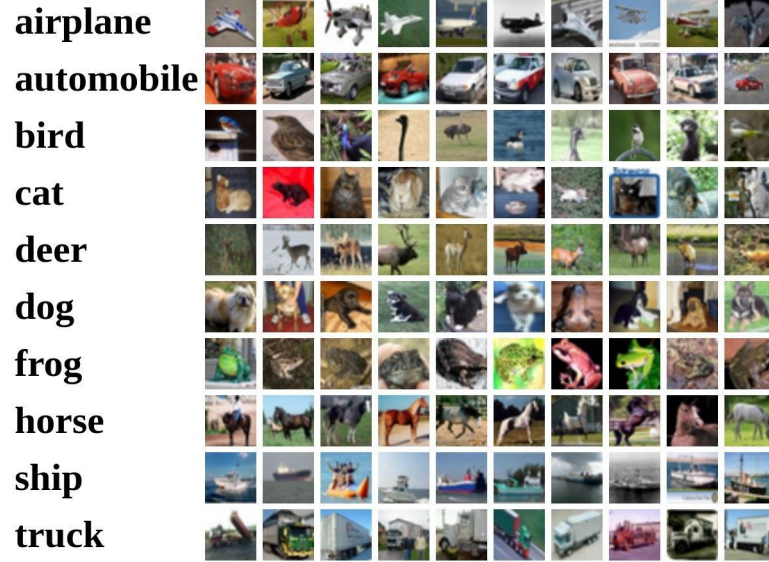
# Example with an image with 4 pixels, and 3 classes (cat/dog/ship)

## Algebraic Viewpoint

Flatten tensors into a vector

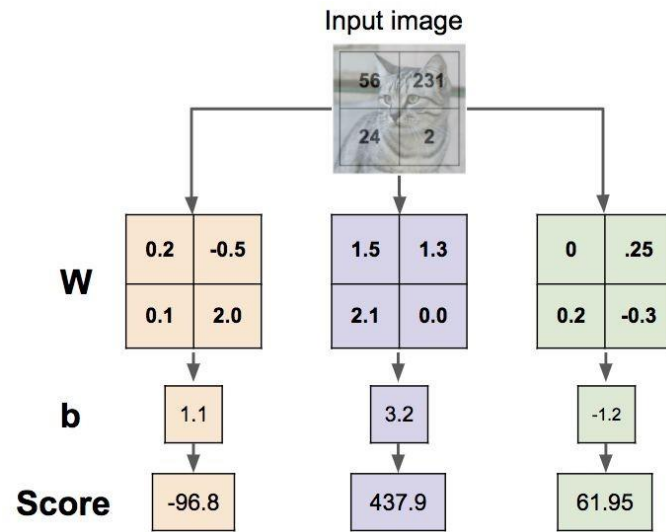
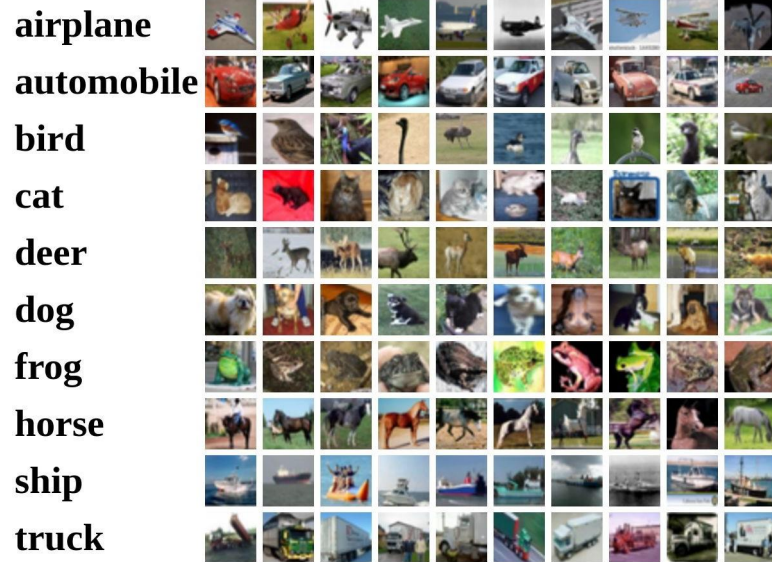


# Interpreting a Linear Classifier





# Interpreting a Linear Classifier: Visual Viewpoint





# Interpreting a Linear Classifier: Geometric Viewpoint

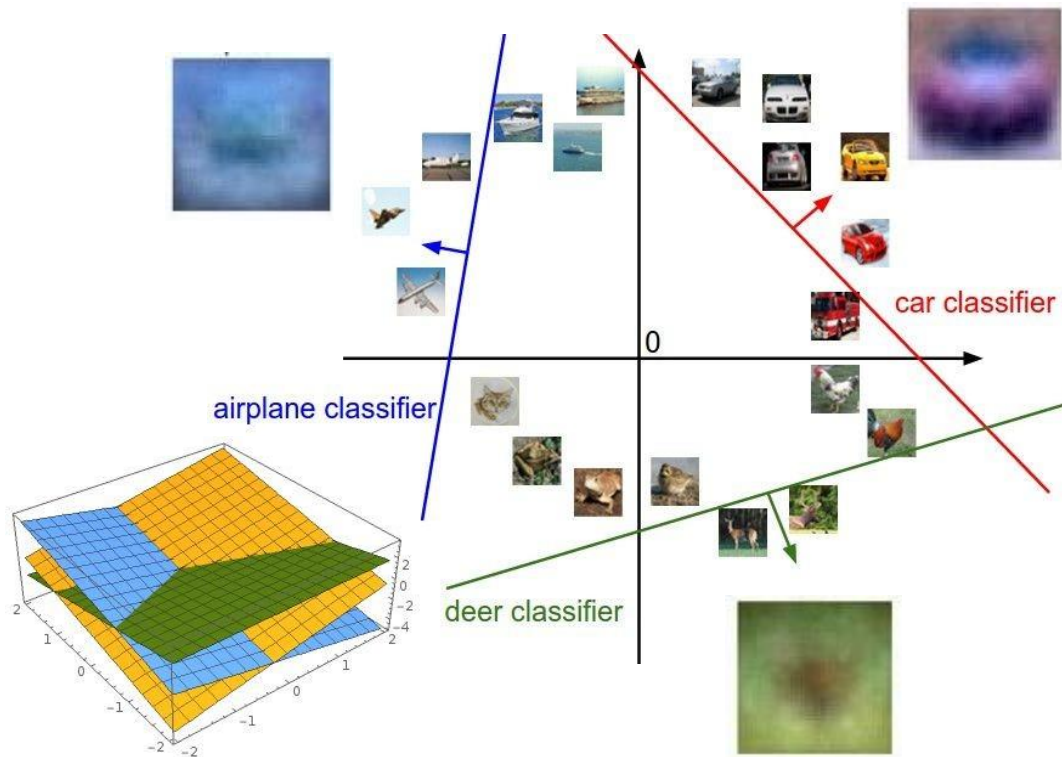
**Analogía de las imágenes como puntos de alta dimensión.** Dado que las imágenes se estiran en vectores de columna de alta dimensión, podemos interpretar cada imagen como un solo punto en este espacio (por ejemplo, cada imagen en CIFAR-10 es un punto en un espacio de 3072 dimensiones de  $32 \times 32 \times 3$  píxeles). Análogamente, todo el conjunto de datos es un conjunto (etiquetado) de puntos.

Dado que definimos la puntuación de cada clase como una suma ponderada de todos los píxeles de la imagen, cada puntuación de clase es una función lineal sobre este espacio. No podemos visualizar espacios de 3072 dimensiones, pero si imaginamos aplastar todas esas dimensiones en solo dos dimensiones, entonces podemos tratar de visualizar lo que el clasificador podría estar haciendo:

# Interpreting a Linear Classifier: Geometric Viewpoint

Representación de dibujos animados del espacio de la imagen, donde cada imagen es un solo punto, y se visualizan tres clasificadores. Usando el ejemplo del clasificador de automóviles (en rojo), la línea roja muestra todos los puntos en el espacio que obtienen una puntuación de cero para la clase de automóvil. La flecha roja muestra la dirección del aumento, por lo que todos los puntos a la derecha de la línea roja tienen puntuaciones positivas (y linealmente crecientes), y todos los puntos a la izquierda tienen puntuaciones negativas (y linealmente decrecientes).

# Interpreting a Linear Classifier: Geometric Viewpoint



$$f(x, W) = Wx + b$$

Cada fila de  $W$  es un clasificador para una de las clases. A medida que cambiamos una fila la línea que corresponde a ese pixel girará en diferentes direcciones



Los sesgos  $b$  permite que el clasificador traduzca la línea

Array of **32x32x3** numbers  
(3072 numbers total)

Plot created using [Wolfram Cloud](#)

Cat image by [Nikita](#) is licensed under [CC-BY 2.0](#)

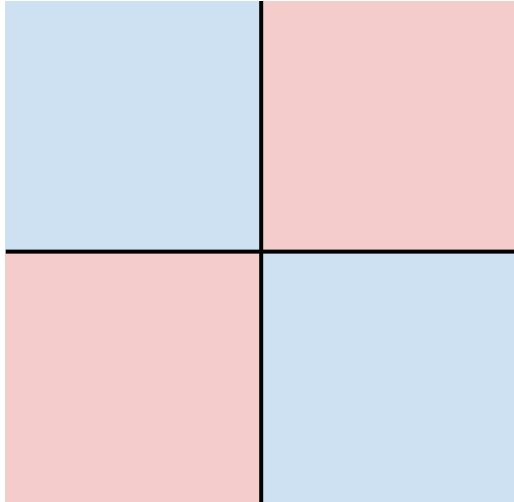
# Hard cases for a linear classifier

**Class 1:**

First and third quadrants

**Class 2:**

Second and fourth quadrants

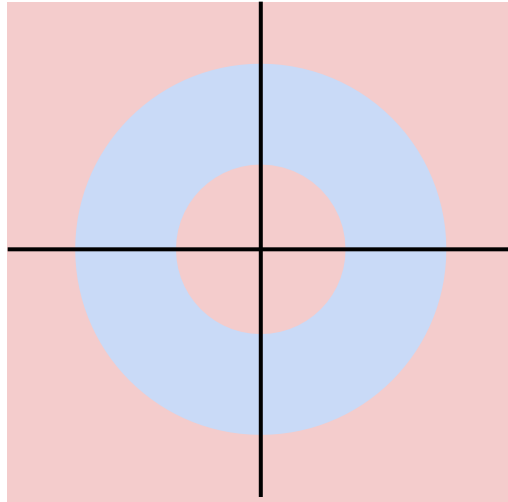


**Class 1:**

$1 \leq \text{L2 norm} \leq 2$

**Class 2:**

Everything else

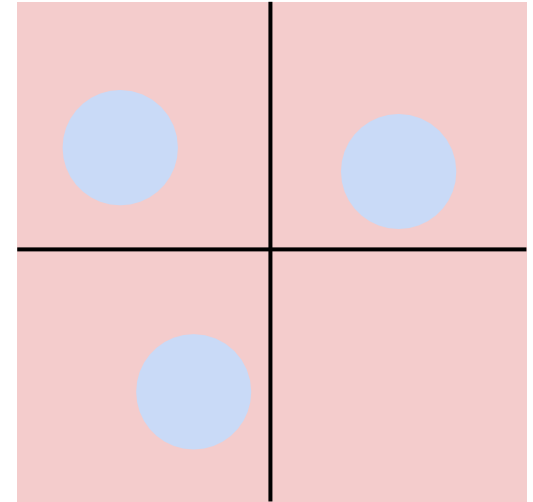


**Class 1:**

Three modes

**Class 2:**

Everything else



# Linear Classifier – Choose a good $W$



TODO:

1. Define a **loss function** that quantifies our unhappiness with the scores across the training data.
2. Come up with a way of efficiently finding the parameters that minimize the loss function. (**optimization**)

airplane	-3.45	-0.51	3.42
automobile	-8.87	<b>6.04</b>	4.64
bird	0.09	5.31	2.65
cat	<b>2.9</b>	-4.22	5.1
deer	4.48	-4.19	2.64
dog	8.02	3.58	5.55
frog	3.78	4.49	<b>-4.34</b>
horse	1.06	-4.37	-1.5
ship	-0.36	-2.09	-4.79
truck	-0.72	-2.93	6.14

[Cat image](#) by [Nikita](#) is licensed under [CC-BY 2.0](#); [Car image](#) is [CC0 1.0](#) public domain; [Frog image](#) is in the public domain

Suppose: 3 training examples, 3 classes.  
With some  $W$  the scores  $f(x, W) = Wx$  are:



cat	<b>3.2</b>	<b>1.3</b>	<b>2.2</b>
car	<b>5.1</b>	<b>4.9</b>	<b>2.5</b>
frog	<b>-1.7</b>	<b>2.0</b>	<b>-3.1</b>



Suppose: 3 training examples, 3 classes.  
With some  $W$  the scores  $f(x, W) = Wx$  are:

A **loss function** tells how good  
our current classifier is



cat	<b>3.2</b>	1.3	2.2
car	5.1	<b>4.9</b>	2.5
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Suppose: 3 training examples, 3 classes.  
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car	5.1	<b>4.9</b>	2.5
frog	-1.7	2.0	<b>-3.1</b>

A **loss function** tells how good our current classifier is

Given a dataset of examples

$$\{(x_i, y_i)\}_{i=1}^N$$

Where  $x_i$  is image and  
 $y_i$  is (integer) label

Suppose: 3 training examples, 3 classes.  
With some  $W$  the scores  $f(x, W) = Wx$  are:



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A **loss function** tells how good our current classifier is

Given a dataset of examples

$$\{(x_i, y_i)\}_{i=1}^N$$

Where  $x_i$  is image and  
 $y_i$  is (integer) label

Loss over the dataset is a  
average of loss over examples:

$$L = \frac{1}{N} \sum_i L_i(f(x_i, W), y_i)$$

Suppose: 3 training examples, 3 classes.  
 With some  $W$  the scores  $f(x, W) = Wx$  are:



cat	<b>3.2</b>	1.3	2.2
car	5.1	<b>4.9</b>	2.5
frog	-1.7	2.0	<b>-3.1</b>

## Multiclass SVM loss:

Given an example  $(x_i, y_i)$   
 where  $x_i$  is the image and  
 where  $y_i$  is the (integer) label,

and using the shorthand for the  
 scores vector:  $s = f(x_i, W)$

the SVM loss has the form:

$$L_i = \sum_{j \neq y_i} \begin{cases} 0 & \text{if } s_{y_i} \geq s_j + 1 \\ s_j - s_{y_i} + 1 & \text{otherwise} \end{cases}$$

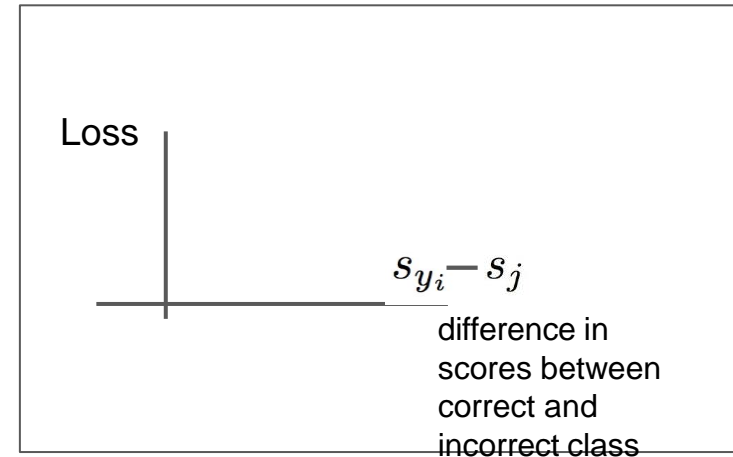
$$= \sum_{j \neq y_i} \max(0, s_j - s_{y_i} + 1)$$

Suppose: 3 training examples, 3 classes.  
 With some  $W$  the scores  $f(x, W) = Wx$  are:



cat	<b>3.2</b>	1.3	2.2
car	5.1	<b>4.9</b>	2.5
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## Interpreting Multiclass SVM loss:



$$L_i = \sum_{j \neq y_i} \begin{cases} 0 & \text{if } s_{y_i} \geq s_j + 1 \\ s_j - s_{y_i} + 1 & \text{otherwise} \end{cases}$$

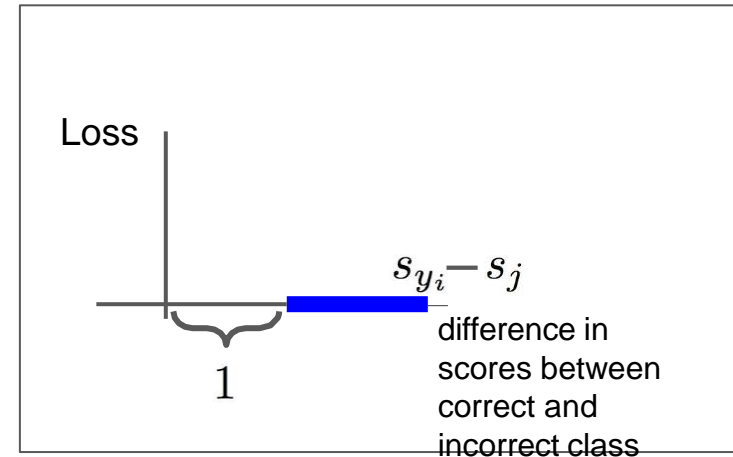
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$$L_i = \sum_{j \neq y_i} \begin{cases} 0 & \text{if } s_{y_i} \geq s_j + 1 \\ s_j - s_{y_i} + 1 & \text{otherwise} \end{cases}$$

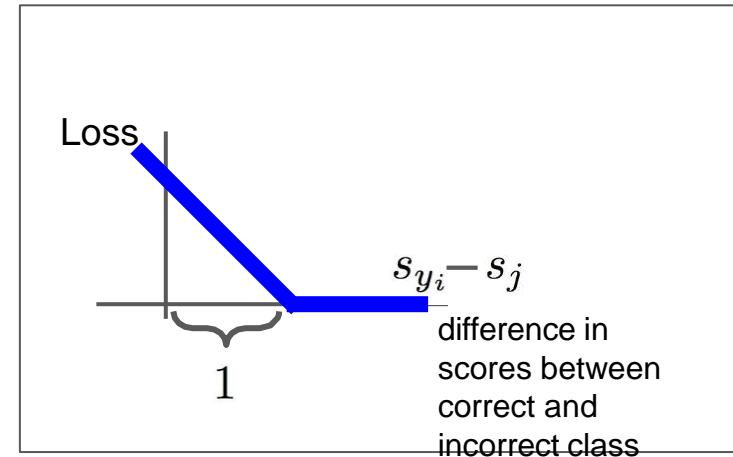
$$= \sum_{j \neq y_i} \max(0, s_j - s_{y_i} + 1)$$

Suppose: 3 training examples, 3 classes.  
 With some  $W$  the scores  $f(x, W) = Wx$  are:



cat	<b>3.2</b>	1.3	2.2
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$$L_i = \sum_{j \neq y_i} \begin{cases} 0 & \text{if } s_{y_i} \geq s_j + 1 \\ s_j - s_{y_i} + 1 & \text{otherwise} \end{cases}$$

$$= \sum_{j \neq y_i} \max(0, s_j - s_{y_i} + 1)$$



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cat	<b>3.2</b>	1.3	2.2
car	5.1	<b>4.9</b>	2.5
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Given an example  $(x_i, y_i)$   
where  $x_i$  is the image and  
where  $y_i$  is the (integer) label,

and using the shorthand for the  
scores vector:  $s = f(x_i, W)$

the SVM loss has the form:

$$L_i = \sum_{j \neq y_i} \max(0, s_j - s_{y_i} + 1)$$

Suppose: 3 training examples, 3 classes.  
With some  $W$  the scores  $f(x, W) = Wx$  are:



cat	<b>3.2</b>	1.3	2.2
car	5.1	<b>4.9</b>	2.5
frog	-1.7	2.0	<b>-3.1</b>
Losses:	<b>2.9</b>		

## Multiclass SVM loss:

Given an example  $(x_i, y_i)$   
where  $x_i$  is the image and  
where  $y_i$  is the (integer) label,

and using the shorthand for the  
scores vector:  $s = f(x_i, W)$

the SVM loss has the form:

$$\begin{aligned} L_i &= \sum_{j \neq y_i} \max(0, s_j - s_{y_i} + 1) \\ &= \max(0, 5.1 - 3.2 + 1) \\ &\quad + \max(0, -1.7 - 3.2 + 1) \\ &= \max(0, 2.9) + \max(0, -3.9) \\ &= 2.9 + 0 \\ &= 2.9 \end{aligned}$$

Suppose: 3 training examples, 3 classes.  
With some  $W$  the scores  $f(x, W) = Wx$  are:



cat	<b>3.2</b>	1.3	2.2
car	5.1	<b>4.9</b>	2.5
frog	-1.7	2.0	<b>-3.1</b>
Losses:	2.9	0	

## Multiclass SVM loss:

Given an example  $(x_i, y_i)$   
where  $x_i$  is the image and  
where  $y_i$  is the (integer) label,

and using the shorthand for the  
scores vector:  $s = f(x_i, W)$

the SVM loss has the form:

$$\begin{aligned} L_i &= \sum_{j \neq y_i} \max(0, s_j - s_{y_i} + 1) \\ &= \max(0, 1.3 - 4.9 + 1) \\ &\quad + \max(0, 2.0 - 4.9 + 1) \\ &= \max(0, -2.6) + \max(0, -1.9) \\ &= 0 + 0 \\ &= 0 \end{aligned}$$

Suppose: 3 training examples, 3 classes.  
 With some  $W$  the scores  $f(x, W) = Wx$  are:



cat	<b>3.2</b>	1.3	2.2
car	5.1	<b>4.9</b>	2.5
frog	-1.7	2.0	<b>-3.1</b>
Losses:	2.9	0	12.9

## Multiclass SVM loss:

Given an example  $(x_i, y_i)$   
 where  $x_i$  is the image and  
 where  $y_i$  is the (integer) label,

and using the shorthand for the  
 scores vector:  $s = f(x_i, W)$

the SVM loss has the form:

$$\begin{aligned}
 L_i &= \sum_{j \neq y_i} \max(0, s_j - s_{y_i} + 1) \\
 &= \max(0, 2.2 - (-3.1) + 1) \\
 &\quad + \max(0, 2.5 - (-3.1) + 1) \\
 &= \max(0, 6.3) + \max(0, 6.6) \\
 &= 6.3 + 6.6 \\
 &= 12.9
 \end{aligned}$$

Suppose: 3 training examples, 3 classes.  
 With some  $W$  the scores  $f(x, W) = Wx$  are:



cat	<b>3.2</b>	1.3	2.2
car	5.1	<b>4.9</b>	2.5
frog	-1.7	2.0	<b>-3.1</b>
Losses:	2.9	0	12.9

## Multiclass SVM loss:

Given an example  $(x_i, y_i)$   
 where  $x_i$  is the image and  
 where  $y_i$  is the (integer) label,

and using the shorthand for the  
 scores vector:  $s = f(x_i, W)$

the SVM loss has the form:

$$L_i = \sum_{j \neq y_i} \max(0, s_j - s_{y_i} + 1)$$

Loss over full dataset is average:

$$L = \frac{1}{N} \sum_{i=1}^N L_i$$

$$L = (2.9 + 0 + 12.9)/3$$

$$= 5.27$$

Suppose: 3 training examples, 3 classes.  
With some  $W$  the scores  $f(x, W) = Wx$  are:



cat	1.3
car	4.9
frog	2.0
Losses:	0

### Multiclass SVM loss:

$$L_i = \sum_{j \neq y_i} \max(0, s_j - s_{y_i} + 1)$$

Q1: What happens to loss if car scores decrease by 0.5 for this training example?

Q2: what is the min/max possible SVM loss  $L_i$ ?

Q3: At initialization  $W$  is small so all  $s \approx 0$ . What is the loss  $L_i$ , assuming  $N$  examples and  $C$  classes?



Suppose: 3 training examples, 3 classes.  
With some  $W$  the scores  $f(x, W) = Wx$  are:



cat	<b>3.2</b>	1.3	2.2
car	5.1	<b>4.9</b>	2.5
frog	-1.7	2.0	<b>-3.1</b>
Losses:	2.9	0	12.9

## Multiclass SVM loss:

Given an example  $(x_i, y_i)$   
where  $x_i$  is the image and  
where  $y_i$  is the (integer) label,

and using the shorthand for the  
scores vector:  $s = f(x_i, W)$

the SVM loss has the form:

$$L_i = \sum_{j \neq y_i} \max(0, s_j - s_{y_i} + 1)$$

Q4: What if the sum  
was over all classes?  
(including  $j = y_i$ )



Suppose: 3 training examples, 3 classes.  
With some  $W$  the scores  $f(x, W) = Wx$  are:



cat	<b>3.2</b>	1.3	2.2
car	5.1	<b>4.9</b>	2.5
frog	-1.7	2.0	<b>-3.1</b>
Losses:	2.9	0	12.9

## Multiclass SVM loss:

Given an example  $(x_i, y_i)$   
where  $x_i$  is the image and  
where  $y_i$  is the (integer) label,

and using the shorthand for the  
scores vector:  $s = f(x_i, W)$

the SVM loss has the form:

$$L_i = \sum_{j \neq y_i} \max(0, s_j - s_{y_i} + 1)$$

Q5: What if we used  
mean instead of  
sum?

Suppose: 3 training examples, 3 classes.  
 With some  $W$  the scores  $f(x, W) = Wx$  are:



cat	<b>3.2</b>	1.3	2.2
car	5.1	<b>4.9</b>	2.5
frog	-1.7	2.0	<b>-3.1</b>
Losses:	2.9	0	12.9

## Multiclass SVM loss:

Given an example  $(x_i, y_i)$   
 where  $x_i$  is the image and  
 where  $y_i$  is the (integer) label,

and using the shorthand for the  
 scores vector:  $s = f(x_i, W)$

the SVM loss has the form:

$$L_i = \sum_{j \neq y_i} \max(0, s_j - s_{y_i} + 1)$$

Q6: What if we used

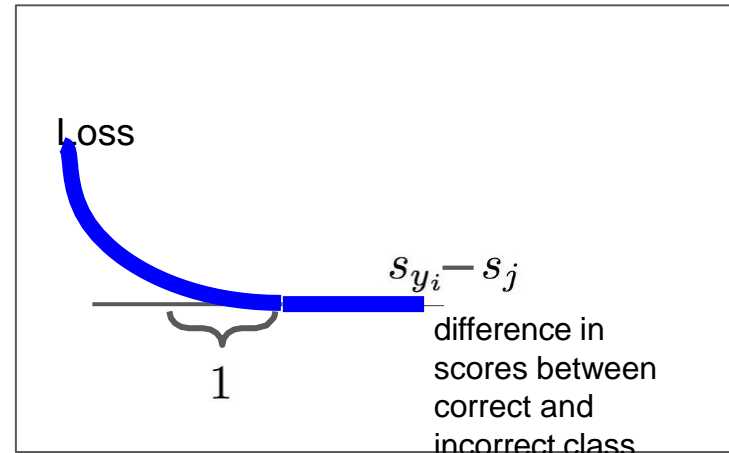
$$L_i = \sum_{j \neq y_i} \max(0, s_j - s_{y_i} + 1)^2$$

Suppose: 3 training examples, 3 classes.  
 With some  $W$  the scores  $f(x, W) = Wx$  are:



cat	<b>3.2</b>	1.3	2.2
car	5.1	<b>4.9</b>	2.5
frog	-1.7	2.0	<b>-3.1</b>
Losses:	2.9	0	12.9

## Multiclass SVM loss:



Q6: What if we used

$$L_i = \sum_{j \neq y_i} \max(0, s_j - s_{y_i} + 1)^2$$

# Multiclass SVM Loss: Example code

$$L_i = \sum_{j \neq y_i} \max(0, s_j - s_{y_i} + 1)$$

```
def L_i_vectorized(x, y, W):  
    scores = W.dot(x)  
    margins = np.maximum(0, scores - scores[y] + 1)  
    margins[y] = 0  
    loss_i = np.sum(margins)  
    return loss_i
```

# First calculate scores  
# Then calculate the margins  $s_j - s_{y_i} + 1$   
# only sum  $j$  is not  $y_i$ , so when  $j = y_i$ , set to zero.  
# sum across all  $j$

# Softmax classifier

# Softmax Classifier (Multinomial Logistic Regression)

Want to interpret raw classifier scores as **probabilities**



cat	<b>3.2</b>
car	5.1
frog	-1.7

# Softmax Classifier (Multinomial Logistic Regression)



Want to interpret raw classifier scores as **probabilities**

$$s = f(x_i; W)$$

$$P(Y = k | X = x_i) = \frac{e^{s_k}}{\sum_j e^{s_j}}$$

Softmax  
Function

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Softmax  
Function

Probabilities  
must be  $\geq 0$

cat	3.2	exp →	24.5
car	5.1		164.0
frog	-1.7		0.18

unnormalized  
probabilities

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Probabilities  
must be  $\geq 0$

$$P(Y = k | X = x_i) = \frac{e^{s_k}}{\sum_j e^{s_j}}$$

Softmax  
Function

Probabilities  
must sum to 1

cat	3.2	exp	24.5	normalize	0.13
car	5.1		164.0		0.87
frog	-1.7		0.18		0.00

unnormalized  
probabilities

probabilities

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Softmax  
Function

Probabilities  
must be  $\geq 0$

Probabilities  
must sum to 1

cat  
car  
frog

3.2  
5.1  
-1.7

Unnormalized  
log-probabilities / logits

exp

24.5  
164.0  
0.18

unnormalized  
probabilities

normalize

0.13  
0.87  
0.00

probabilities

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# Softmax Classifier (Multinomial Logistic Regression)



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Softmax  
Function

Probabilities  
must be  $\geq 0$

Probabilities  
must sum to 1

$$L_i = -\log P(Y = y_i | X = x_i)$$

cat  
car  
frog

3.2  
5.1  
-1.7

Unnormalized  
log-probabilities / logits

exp

24.5  
164.0  
0.18

unnormalized  
probabilities

normalize

0.13  
0.87  
0.00

probabilities

$$\rightarrow L_i = -\log(0.13) = 2.04$$

# Softmax Classifier (Multinomial Logistic Regression)



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$$P(Y = k | X = x_i) = \frac{e^{s_k}}{\sum_j e^{s_j}}$$

Softmax  
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probabilities

normalize

0.13  
0.87  
0.00

probabilities

$$\rightarrow L_i = -\log(0.13) = 2.04$$

**Maximum Likelihood Estimation**  
Choose weights to maximize the  
likelihood of the observed data  
(See CS 229 for details)

# Softmax Classifier (Multinomial Logistic Regression)



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$$s = f(x_i; W)$$

$$P(Y = k|X = x_i) = \frac{e^{s_k}}{\sum_j e^{s_j}}$$

Softmax  
Function

Probabilities  
must be  $\geq 0$

Probabilities  
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$$L_i = -\log P(Y = y_i|X = x_i)$$

cat

3.2

car

5.1

frog

-1.7

exp

24.5

164.0

0.18

normalize

0.13

0.87

0.00

compare

1.00

0.00

0.00

Unnormalized  
log-probabilities / logits

unnormalized  
probabilities

probabilities

Correct  
probs

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Softmax  
Function

Probabilities  
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must sum to 1

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3.2  
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24.5  
164.0  
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unnormalized  
probabilities

normalize

0.13  
0.87  
0.00

probabilities

compare

Kullback–Leibler  
divergence

$$D_{KL}(P||Q) =$$

$$\sum_y P(y) \log \frac{P(y)}{Q(y)}$$

1.00  
0.00  
0.00

Correct  
probs

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Function

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Probabilities  
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cat  
car  
frog

3.2  
5.1  
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Unnormalized  
log-probabilities / logits

exp

24.5  
164.0  
0.18

unnormalized  
probabilities

normalize

0.13  
0.87  
0.00

probabilities

compare

Cross Entropy

$$H(P, Q) = H(p) + D_{KL}(P||Q)$$

1.00  
0.00  
0.00

Correct  
probs

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# Softmax Classifier (Multinomial Logistic Regression)



cat	<b>3.2</b>
car	<b>5.1</b>
frog	<b>-1.7</b>

Want to interpret raw classifier scores as **probabilities**

$$s = f(x_i; W)$$

$$P(Y = k|X = x_i) = \frac{e^{s_k}}{\sum_j e^{s_j}}$$

Softmax  
Function

Maximize probability of correct class

$$L_i = -\log P(Y = y_i|X = x_i)$$

Putting it all together:

$$L_i = -\log\left(\frac{e^{s_{y_i}}}{\sum_j e^{s_j}}\right)$$

# Softmax Classifier (Multinomial Logistic Regression)



cat	<b>3.2</b>
car	<b>5.1</b>
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Function

Maximize probability of correct class

$$L_i = -\log P(Y = y_i | X = x_i)$$

Putting it all together:

$$L_i = -\log\left(\frac{e^{s_{y_i}}}{\sum_j e^{s_j}}\right)$$

Q1: What is the min/max possible softmax loss  $L_i$ ?

Q2: At initialization all  $s_j$  will be approximately equal; what is the softmax loss  $L_i$ , assuming  $C$  classes?

# Softmax Classifier (Multinomial Logistic Regression)



cat	<b>3.2</b>
car	<b>5.1</b>
frog	<b>-1.7</b>

Want to interpret raw classifier scores as **probabilities**

$$s = f(x_i; W)$$

$$P(Y = k | X = x_i) = \frac{e^{s_k}}{\sum_j e^{s_j}}$$

Softmax  
Function

Maximize probability of correct class

$$L_i = -\log P(Y = y_i | X = x_i)$$

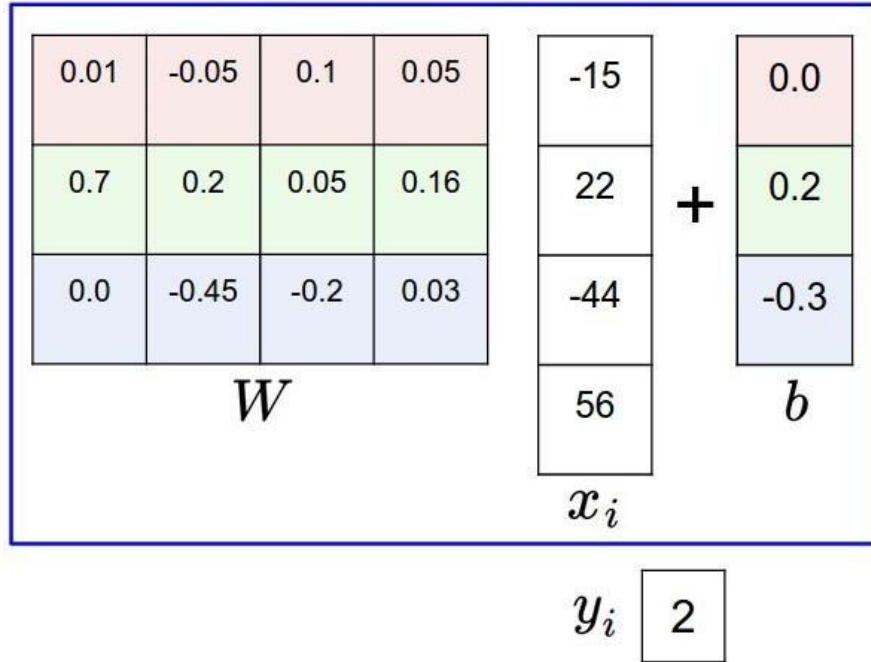
Putting it all together:

$$L_i = -\log\left(\frac{e^{s_{y_i}}}{\sum_j e^{s_j}}\right)$$

Q2: At initialization all  $s$  will be approximately equal; what is the loss?  
A:  $-\log(1/C) = \log(C)$ ,  
If  $C = 10$ , then  $L_i = \log(10) \approx 2.3$

# Softmax vs. SVM

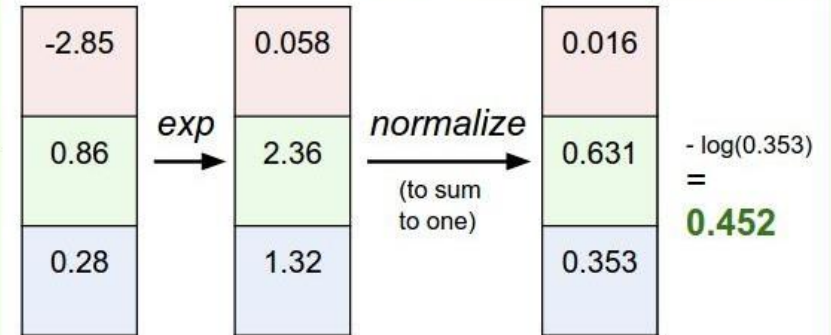
matrix multiply + bias offset



hinge loss (SVM)

$$\begin{aligned} &\max(0, -2.85 - 0.28 + 1) + \\ &\max(0, 0.86 - 0.28 + 1) \\ &= \\ &\mathbf{1.58} \end{aligned}$$

cross-entropy loss (Softmax)



# Softmax vs. SVM

$$L_i = -\log\left(\frac{e^{s_{y_i}}}{\sum_j e^{s_j}}\right)$$

$$L_i = \sum_{j \neq y_i} \max(0, s_j - s_{y_i} + 1)$$

# Softmax vs. SVM

$$L_i = -\log\left(\frac{e^{s_{y_i}}}{\sum_j e^{s_j}}\right)$$

$$L_i = \sum_{j \neq y_i} \max(0, s_j - s_{y_i} + 1)$$

assume scores:

[10, -2, 3]

[10, 9, 9]

[10, -100, -100]

and  $y_i = 0$

Q: What is the **softmax loss** and the **SVM loss**?



# Softmax vs. SVM

$$L_i = -\log\left(\frac{e^{s_{y_i}}}{\sum_j e^{s_j}}\right)$$

$$L_i = \sum_{j \neq y_i} \max(0, s_j - s_{y_i} + 1)$$

assume scores:

[20, -2, 3]

[20, 9, 9]

[20, -100, -100]

and  $y_i = 0$

Q: What is the **softmax loss** and the **SVM loss** if I double the **correct class score** from 10 -> 20?

# Coming up:

- Regularization
- Optimization

$$f(x, W) = Wx + b$$

