

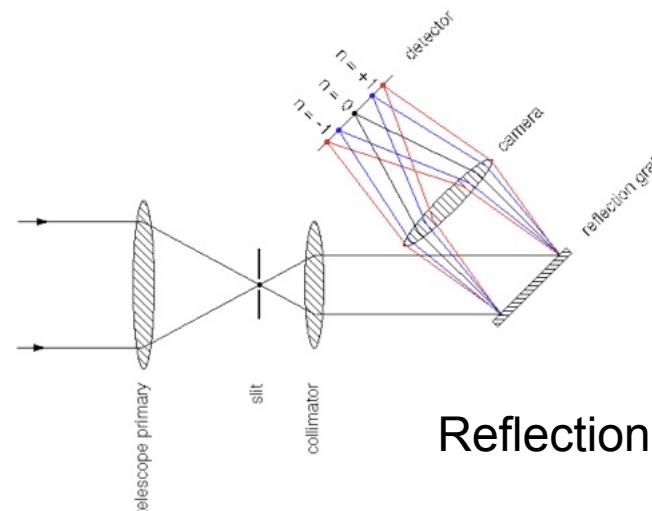
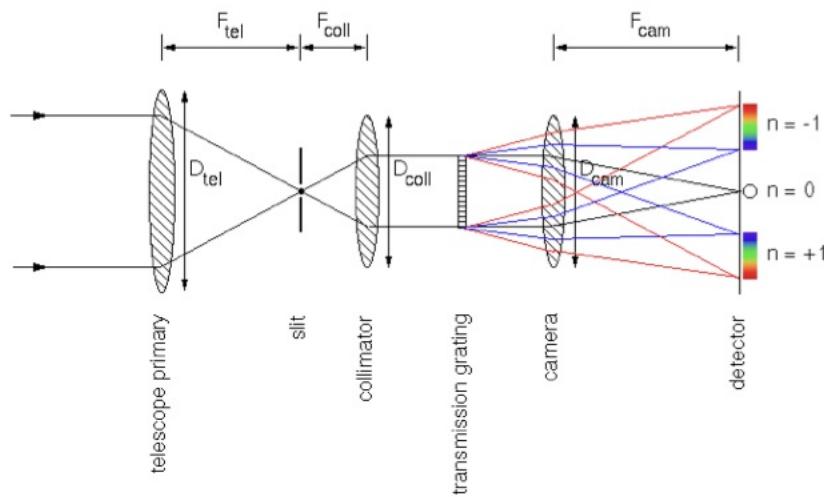
Optical Spectroscopy

Overview

- Why spectroscopy?
- Basic configuration & dispersive elements
- Diffraction optics
 - Interference, dispersion, resolution
- Blazed gratings
- Slit-less spectroscopy, slits, slit-losses
- Long-slit, multi-slit, multi-fibre observations

Basic Configuration

Transmission Grating



Reflection Grating

- Slit: isolates portion of sky that is imaged (not required)
- Collimator: makes the beam parallel
- Dispersive element: disperses light in wavelength
- Camera: focus light on detector, where the spectrum is recorded

Why spectroscopy?

Stellar types / Stellar composition of galaxies

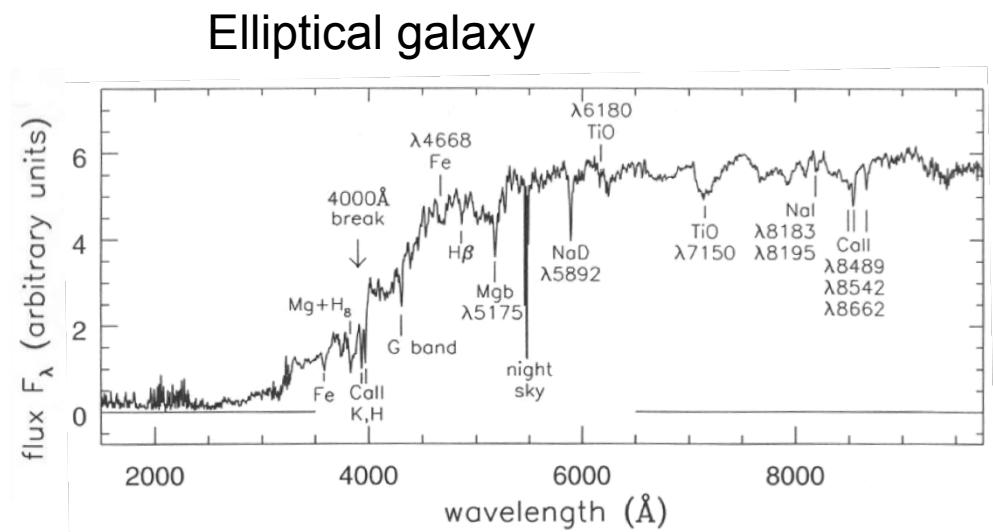
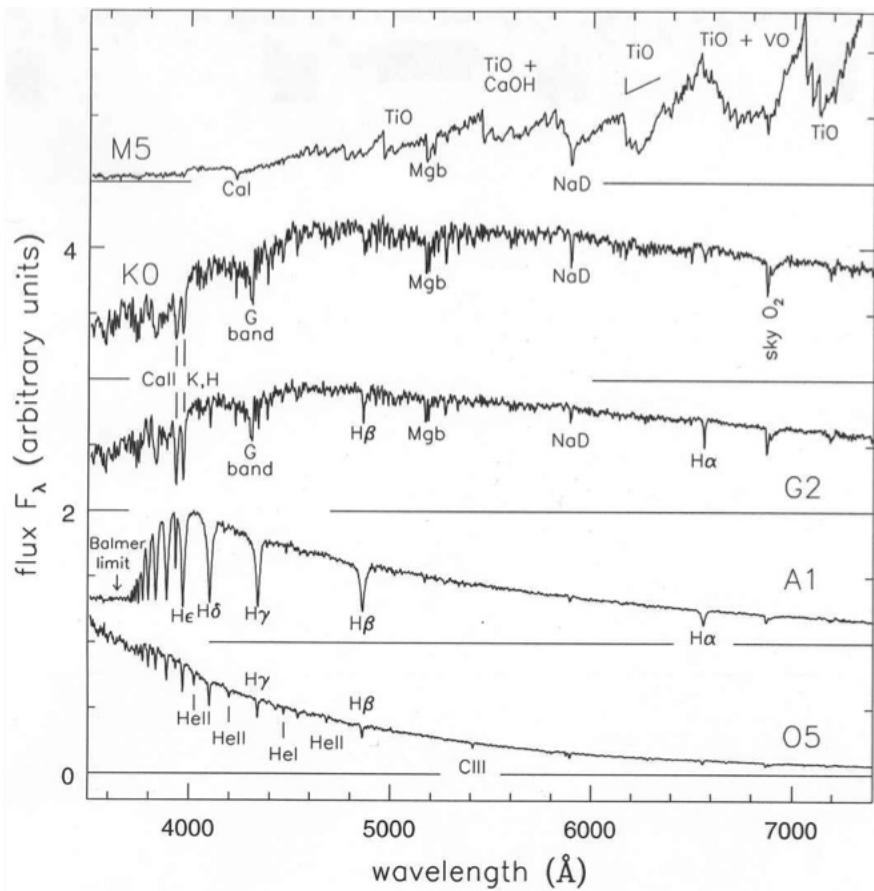
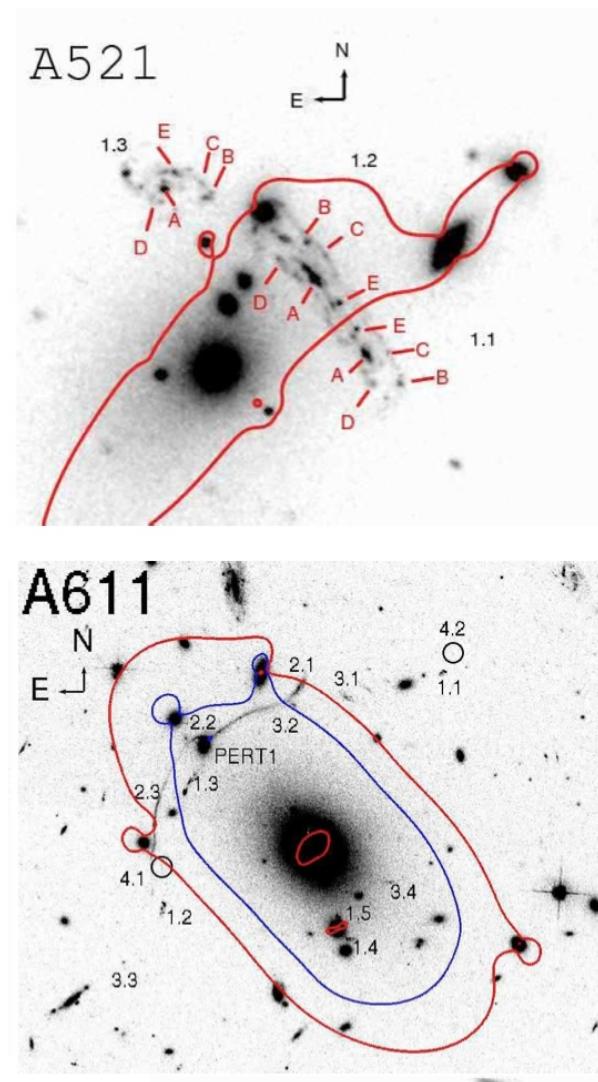
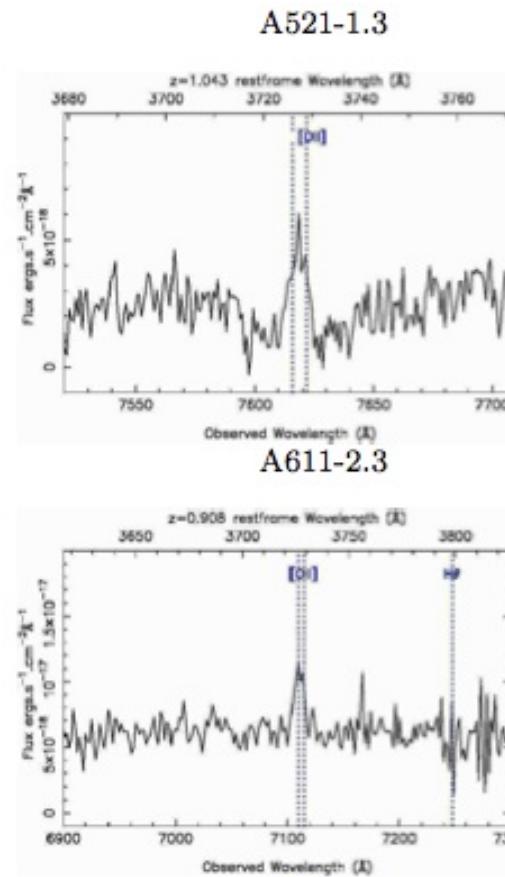
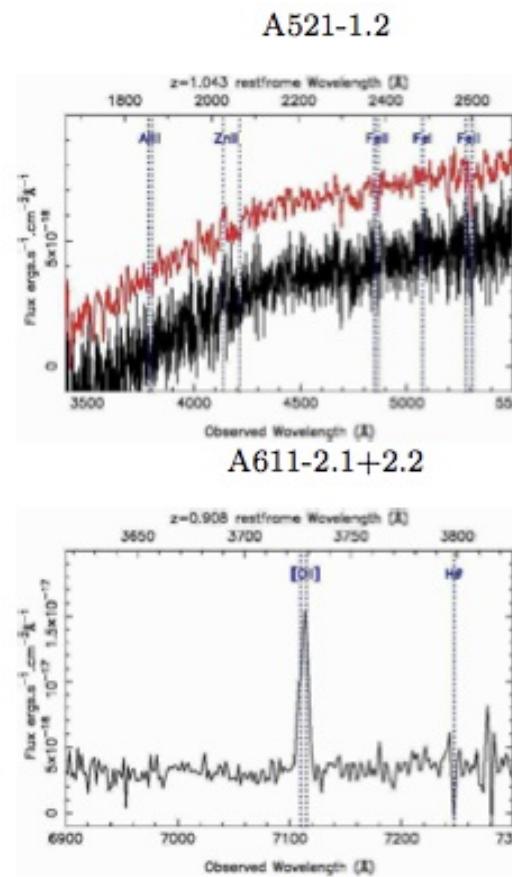


Figure 1.1 Optical spectra of main-sequence stars with roughly the solar chemical composition. From the top in order of increasing surface temperature, the stars have spectral classes M5, K0, G2, A1, and O5 – G. Jacoby *et al.*, spectral library.

Why spectroscopy?

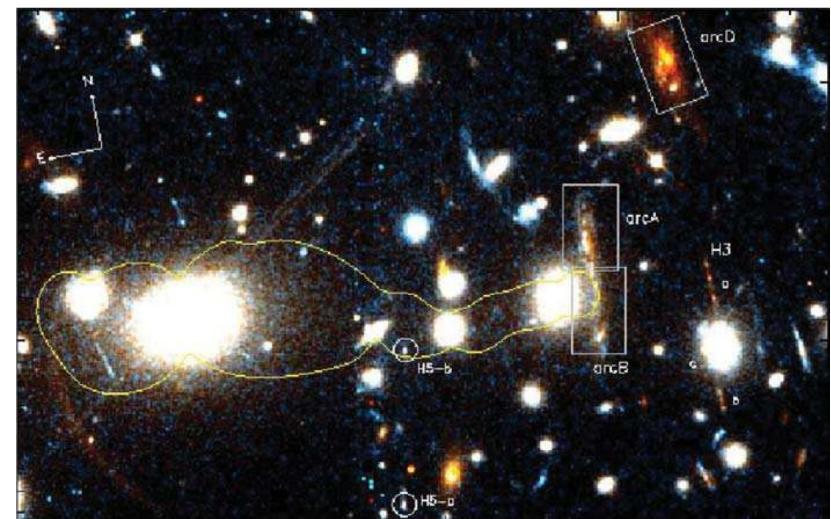
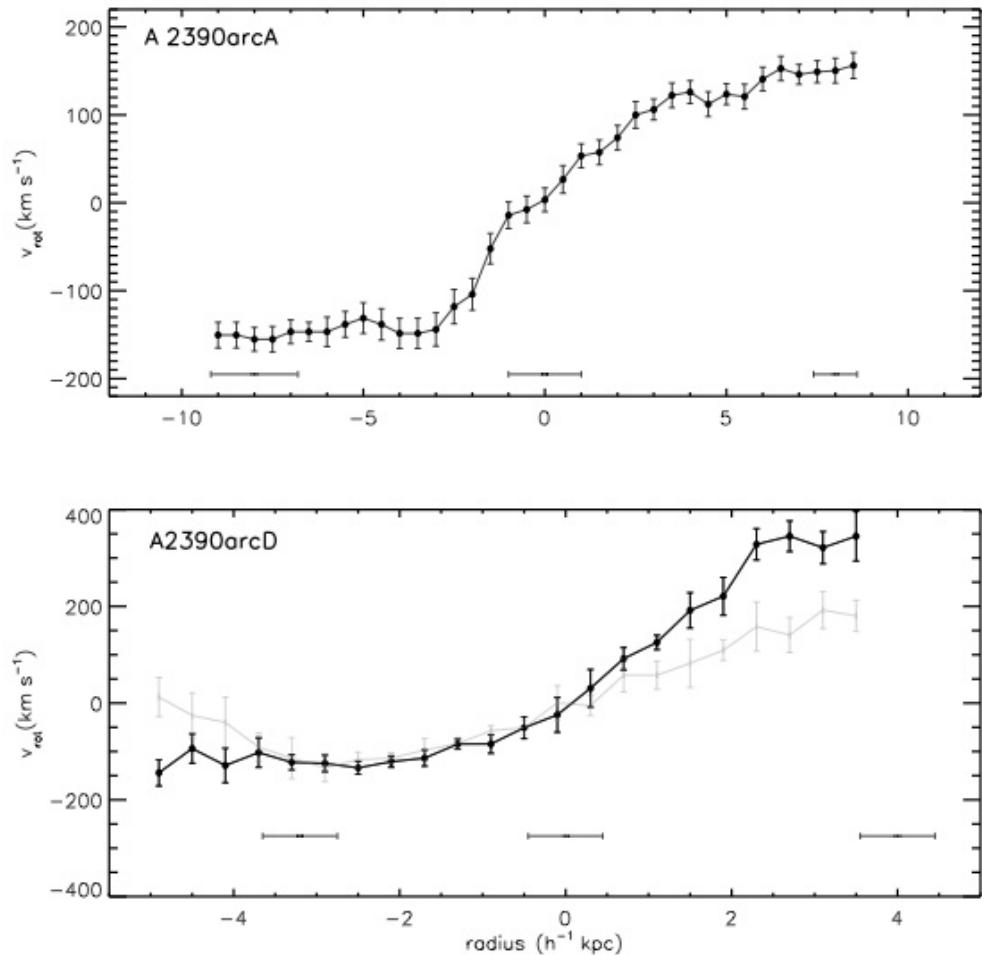
Measure the redshift of distant galaxies



From: Richard, Smith, et al., 2010, MNRAS, 404, 325

Why spectroscopy?

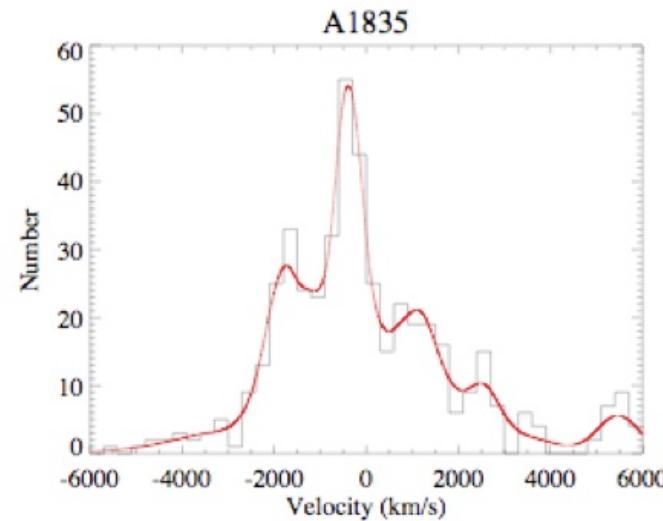
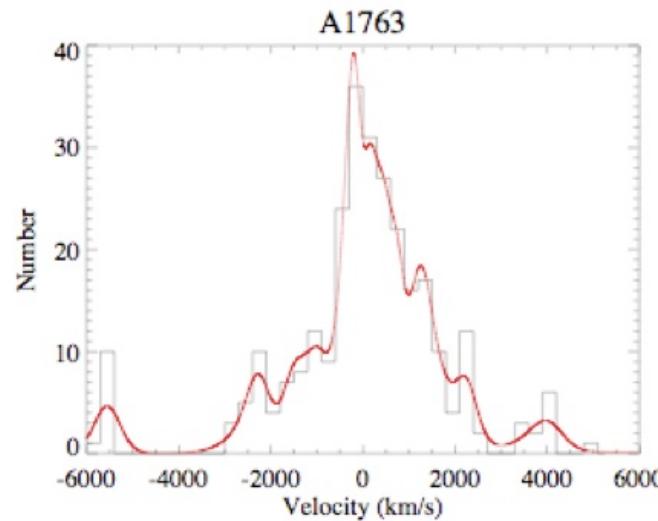
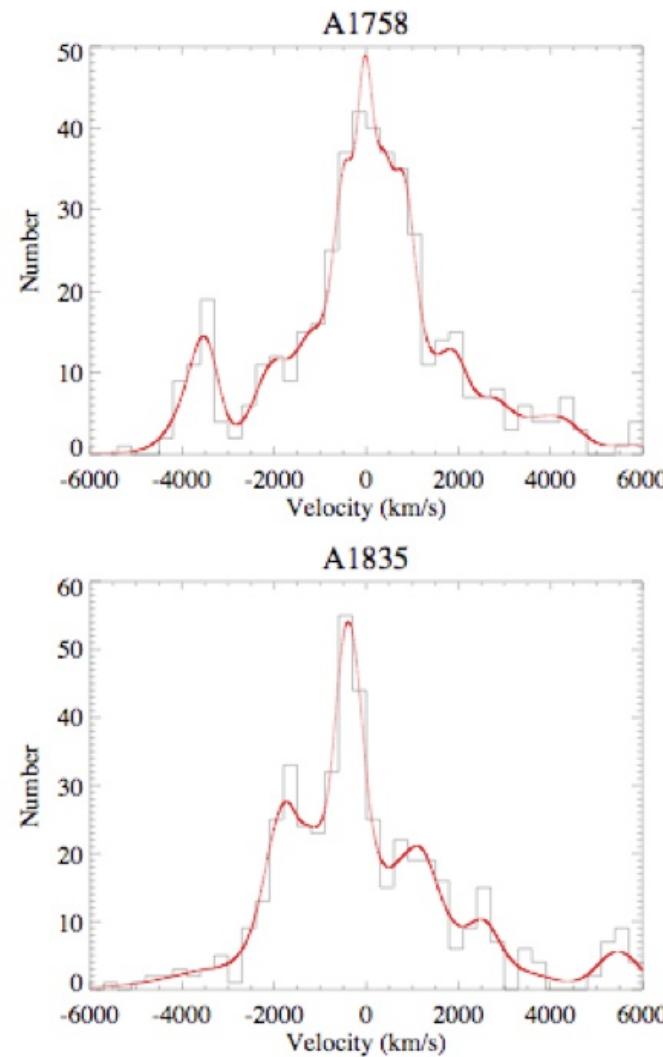
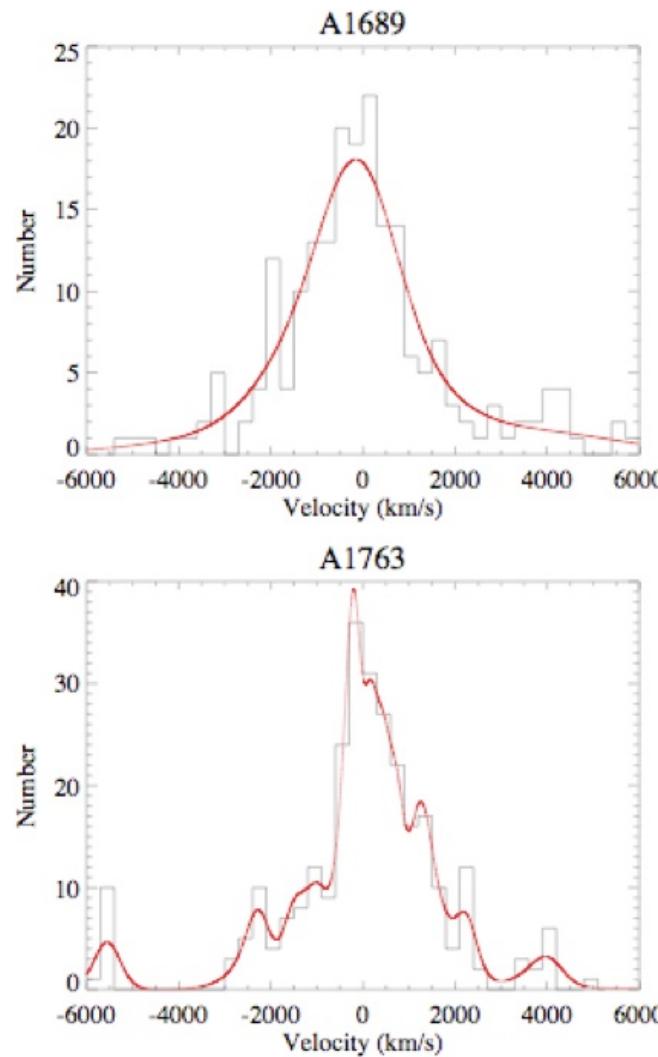
Measure the rotation speed of disk galaxies



From: Swinbank, Bower, Smith, et al., 2006, MNRAS, 368, 1631

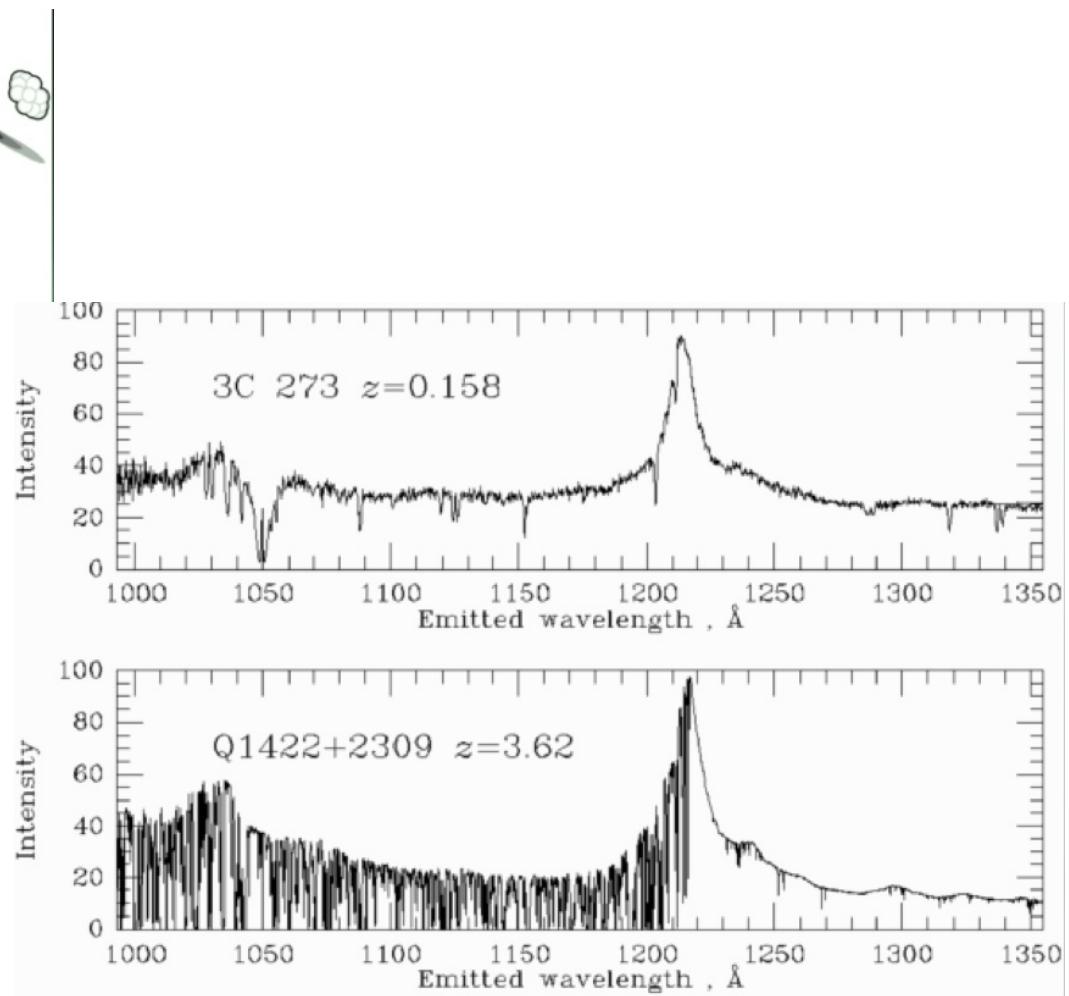
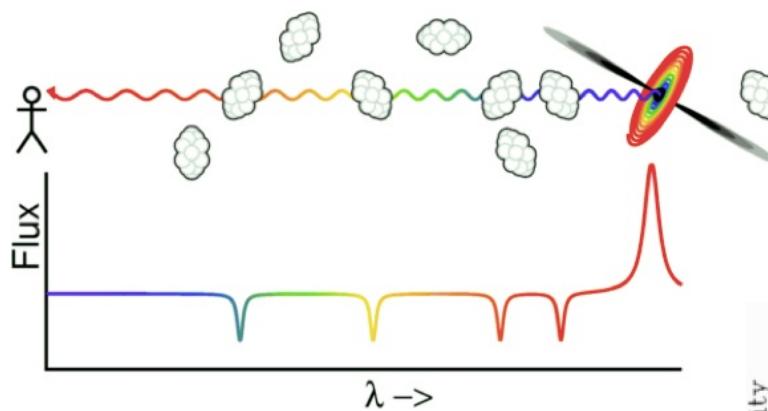
Why spectroscopy?

Measure the mass and internal structure of galaxy clusters



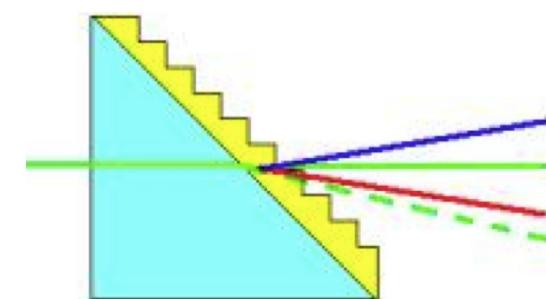
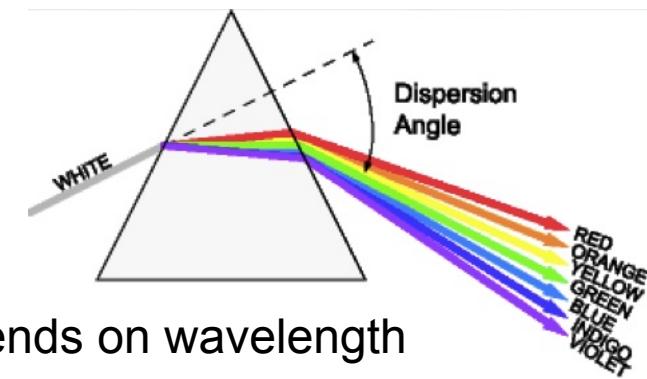
Why spectroscopy?

Probe the physics of the inter-galactic medium



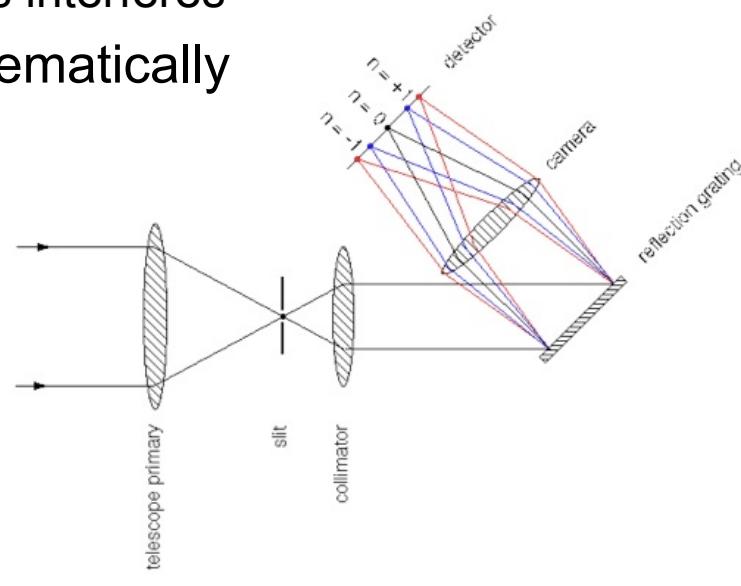
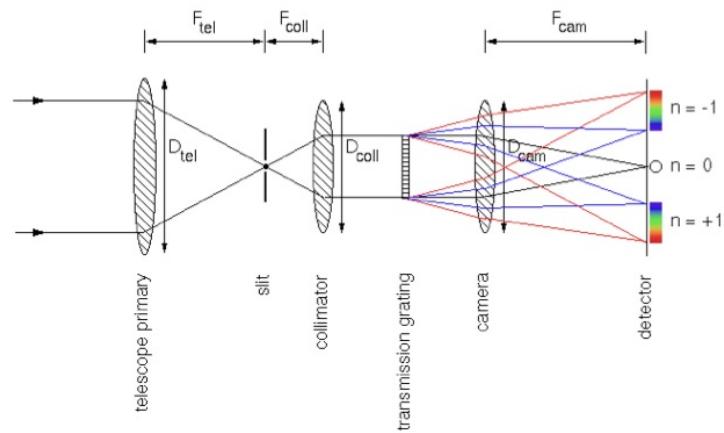
Dispersive Elements

- Prisms
 - Disperse light by refraction (Snell's law)
 - First surface disperses light
 - Second surface disperses light further
 - Angles of incidence at second surface depends on wavelength
- Gratings
 - Disperse light by diffraction
 - Transmission gratings similar to Young's slits with $N \gg 2$
 - Reflection gratings have many finely spaced grooves
- Grisms
 - Cross between a prism and a transmission grating
 - Light at chosen central wavelength is transmitted un-deviated

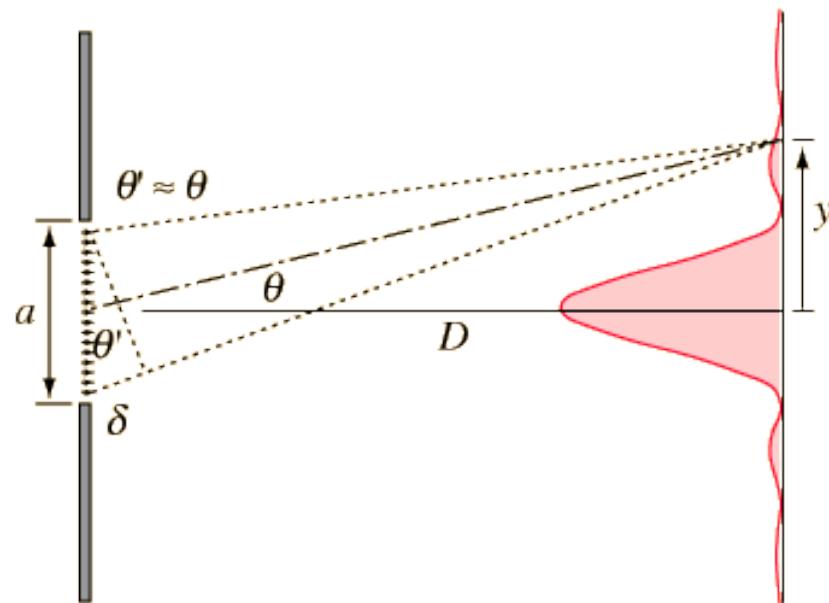


Gratings

- Transmission gratings
 - Equivalent to Young's double slit experiment, with large number of slits
- Reflection gratings
 - Closely spaced grooves act as parallel mirrors
 - Grooves are typically cut with a diamond
 - Mirrors (grooves) are typically inclined – known as blazing (more later)
 - Light reflected from adjacent mirrors interferes
- Both can be treated the same mathematically



Fraunhofer Diffraction by a Single Slit



Path difference:

$$p = a \sin \theta$$

Phase difference:

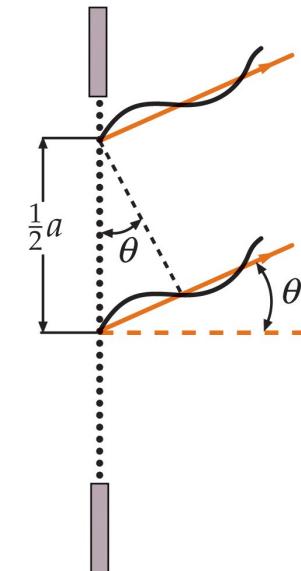
$$\delta = \frac{2\pi p}{\lambda} = \frac{2\pi a \sin \theta}{\lambda}$$

Minima occur when path difference across the width of the slit is an integer number of wavelengths:

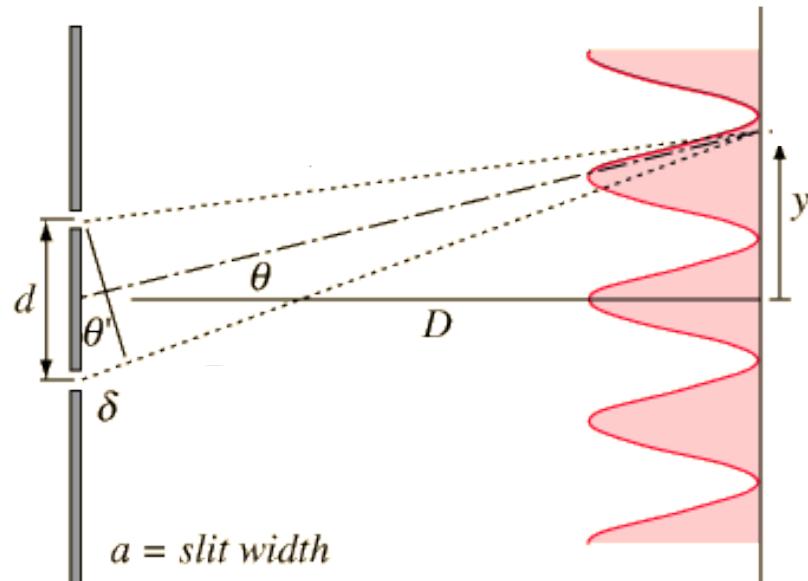
$$p = m\lambda = a \sin \theta \approx a \tan \theta = \frac{ay_{\min}}{D}$$

Minima located at: $y_{\min} = \frac{m\lambda D}{a}$

Each pair of wavefronts originating from points separated by $a/2$ interfere destructively if $p=m\lambda$



Young's Double Slit Experiment



Path difference:

$$p = d \sin \theta$$

Phase difference:

$$\delta = \frac{2\pi p}{\lambda} = \frac{2\pi d \sin \theta}{\lambda}$$

Maxima occur when path difference between the wavefronts emerging from each slit is an integer number of wavelengths:

$$p = m\lambda = d \sin \theta \approx d \tan \theta = \frac{dy_{\max}}{D}$$

Maxima located at: $y_{\max} = \frac{m\lambda D}{d}$

[Assumptions:

- $D \gg a$, therefore $\theta' = \theta$ and $\sin \theta = \tan \theta = \theta$
- Incident wave is a plane wave, therefore all amplitude elements are in phase]

Grating Equation

Path difference between adjacent reflections:

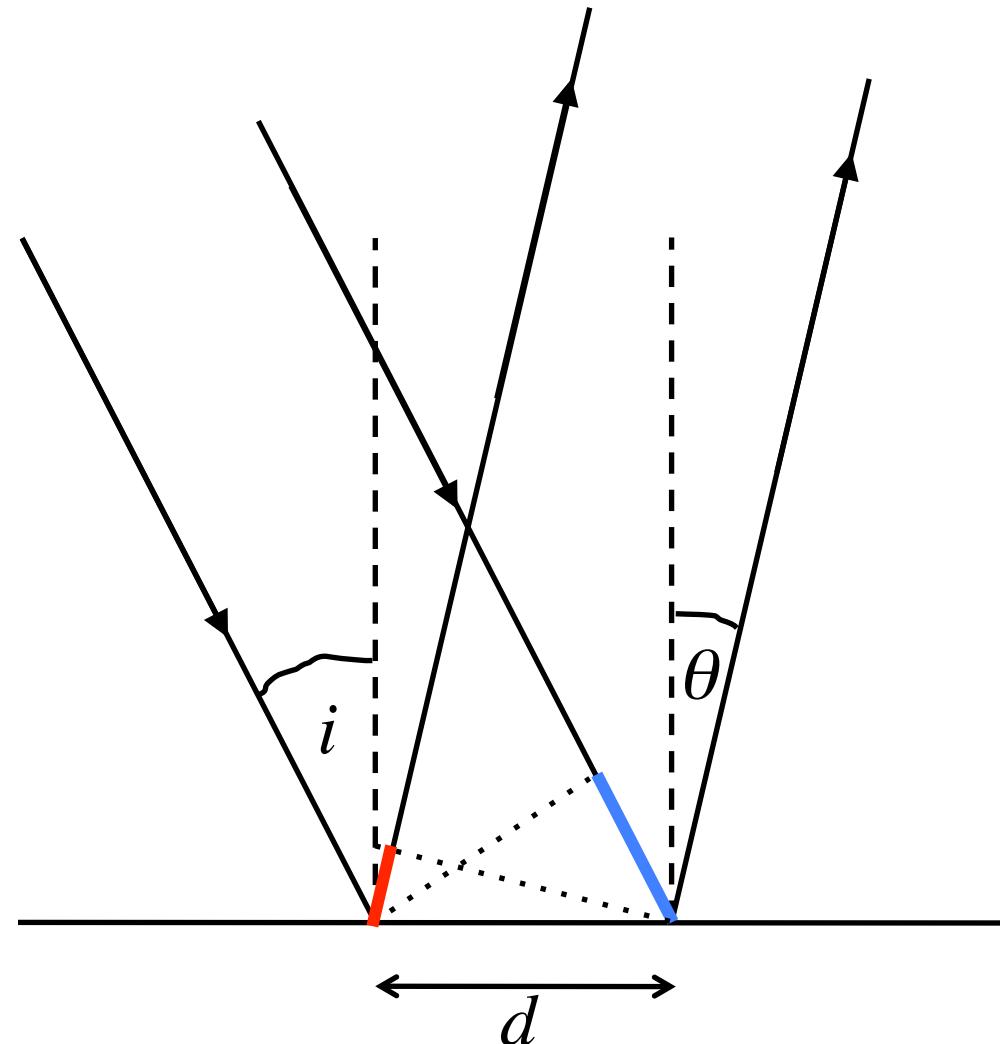
$$p = d(\underline{\sin \theta} + \underline{\sin i})$$

Phase difference between adjacent reflections:

$$\delta = \frac{2\pi p}{\lambda} = \frac{2\pi d(\sin i + \sin \theta)}{\lambda}$$

Condition for constructive interference:

$$m\lambda = d(\sin i + \sin \theta)$$



Interference in Diffracted Beam

Amplitude and phase of the diffracted beam

is the sum over all N slits/grooves in the grating:

$$Ae^{i\phi} = \sum_{n=0}^{N-1} \alpha e^{in\delta} = \alpha \frac{(1 - e^{iN\delta})}{(1 - e^{i\delta})}$$

Phase difference between
adjacent slits/grooves:

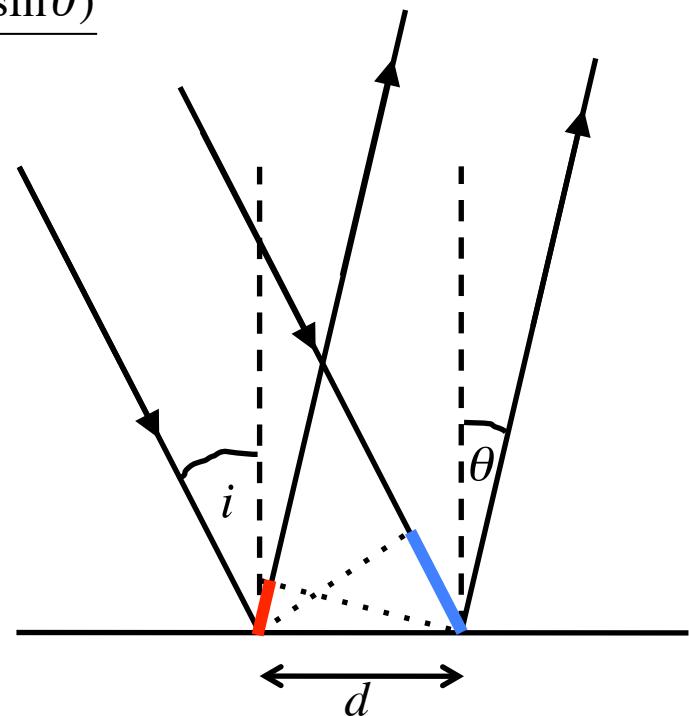
$$\delta = \frac{2\pi p}{\lambda} = \frac{2\pi d(\sin i + \sin \theta)}{\lambda}$$

Amplitude and phase of the
diffraction pattern of an
individual slit/groove:

$$\alpha \propto \frac{\sin \beta}{\beta}$$

Phase difference across an
individual slit/groove (a =
width of each slit/groove):

$$\beta = \frac{2\pi a}{\lambda} \sin \theta$$



Intensity of Diffracted Beam

Amplitude and phase of diffracted beam:

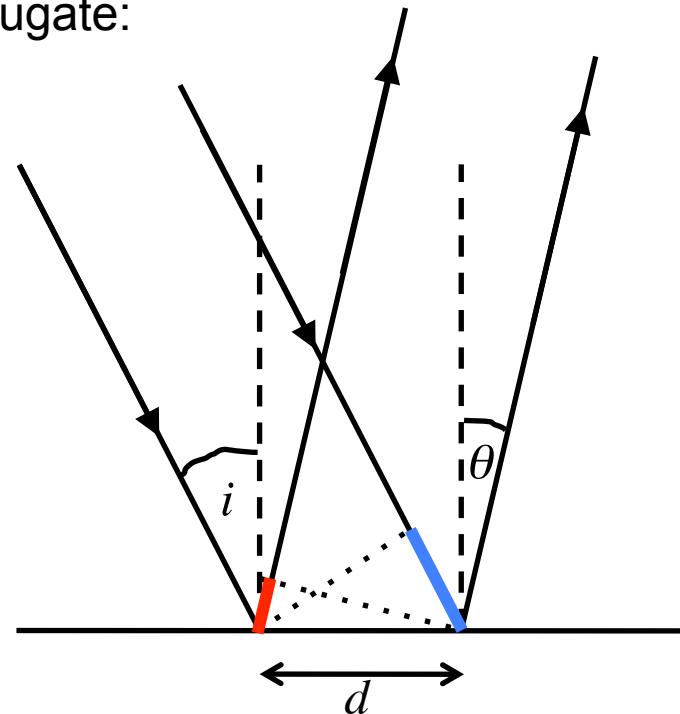
$$Ae^{i\phi} = \alpha \frac{(1 - e^{iN\delta})}{(1 - e^{i\delta})}$$

Multiply amplitude and phase by its complex conjugate:

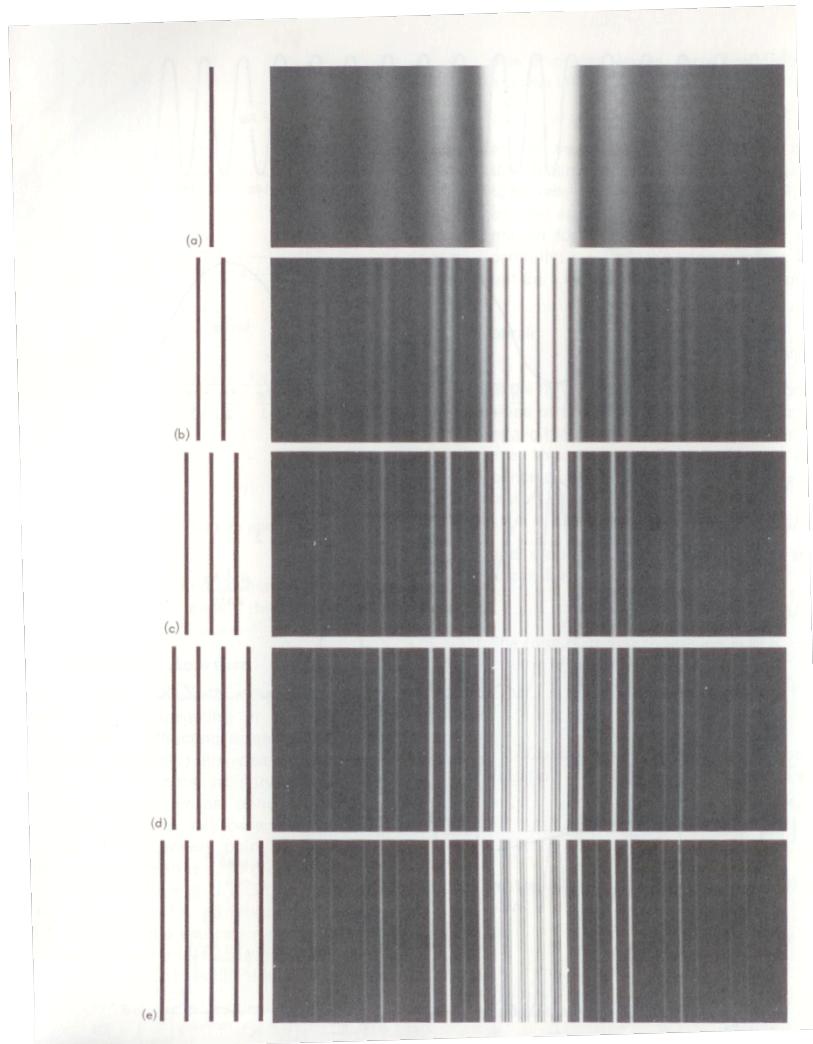
$$I = \alpha^2 \frac{(1 - e^{iN\delta})(1 - e^{-iN\delta})}{(1 - e^{i\delta})(1 - e^{-i\delta})}$$

$$= \alpha^2 \frac{\sin^2(N\delta/2)}{\sin^2(\delta/2)}$$

$$\propto \left(\frac{\sin^2 \beta}{\beta} \right) \left(\frac{\sin^2(N\delta/2)}{\sin^2(\delta/2)} \right)$$

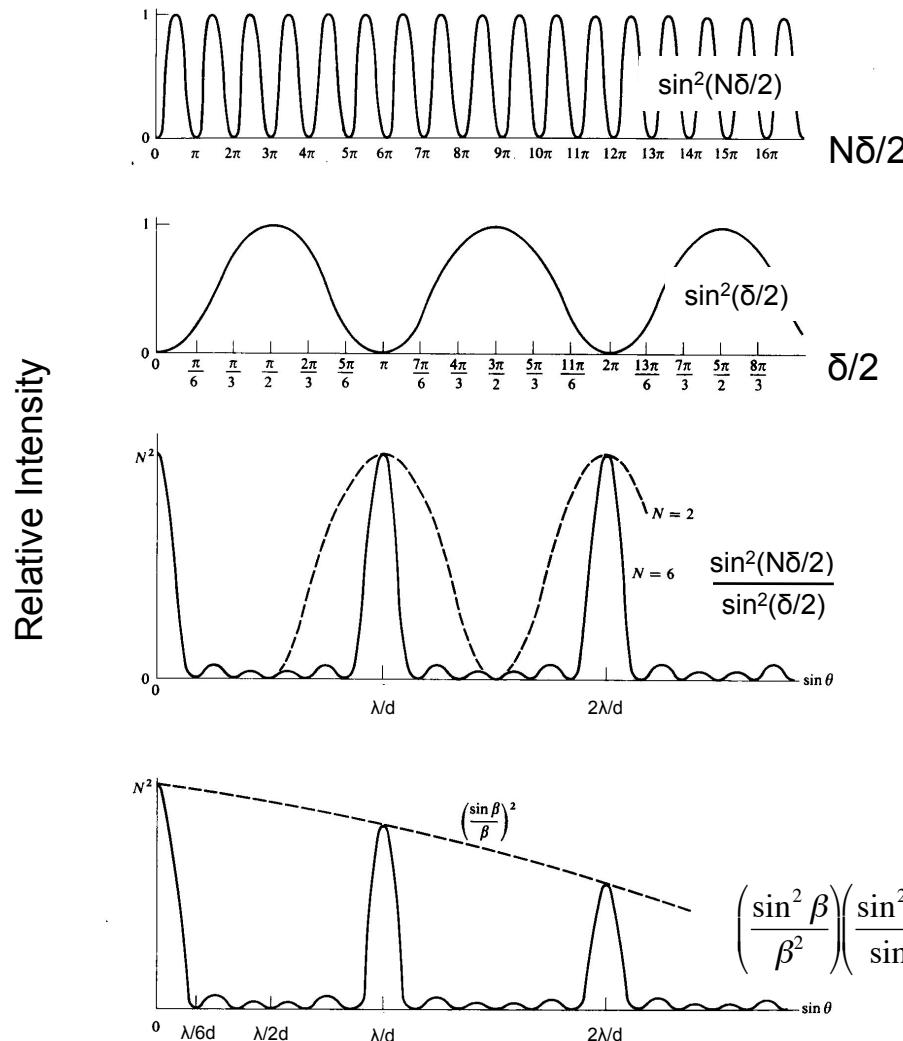


Increasing number of slits, N



- Primary peaks get narrower and brighter
- Secondary peaks fade

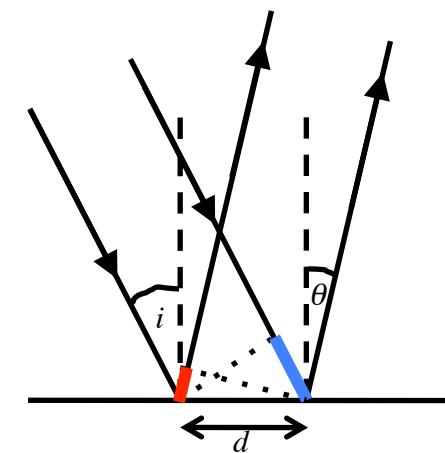
Diffraction Pattern from N Slits/grooves



$$I \propto \left(\frac{\sin^2 \beta}{\beta^2} \right) \left(\frac{\sin^2(N\delta/2)}{\sin^2(\delta/2)} \right)$$

$$\delta = \frac{2\pi p}{\lambda} = \frac{2\pi d(\sin i + \sin \theta)}{\lambda}$$

$$\beta = \frac{2\pi a}{\lambda} \sin \theta$$



Dispersion

The Grating Equation (i.e. the condition for constructive interference in the diffracted beam) tells us that the angular location of interference fringes is wavelength dependent:

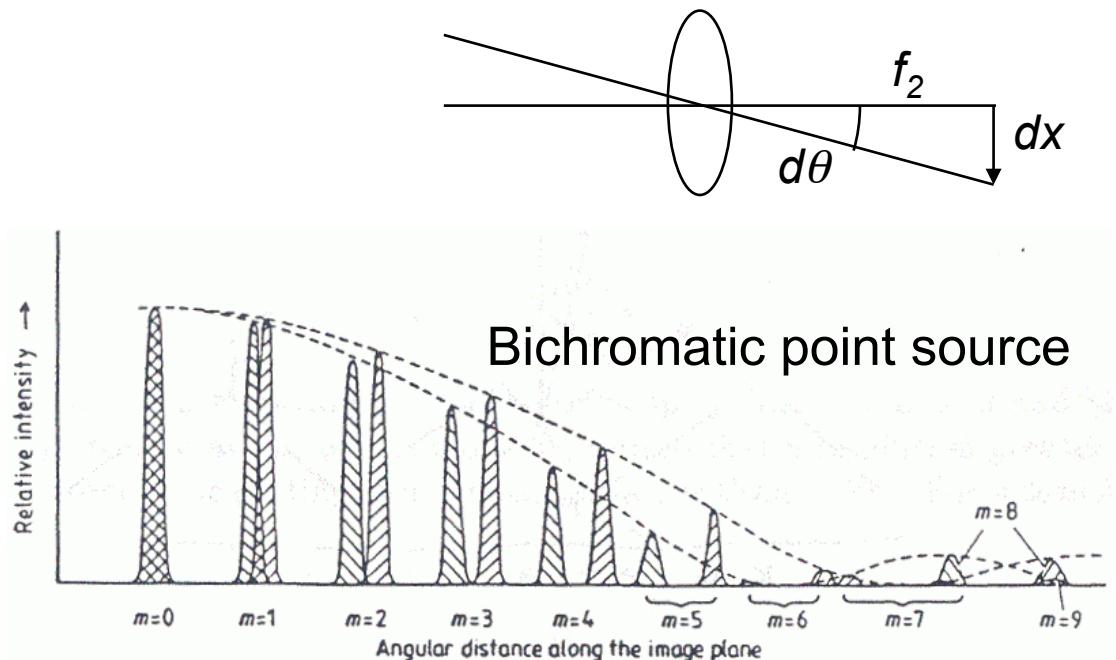
$$m\lambda = d(\sin i + \sin \theta)$$

Differentiating the Grating Equation gives us the Dispersion Equation:

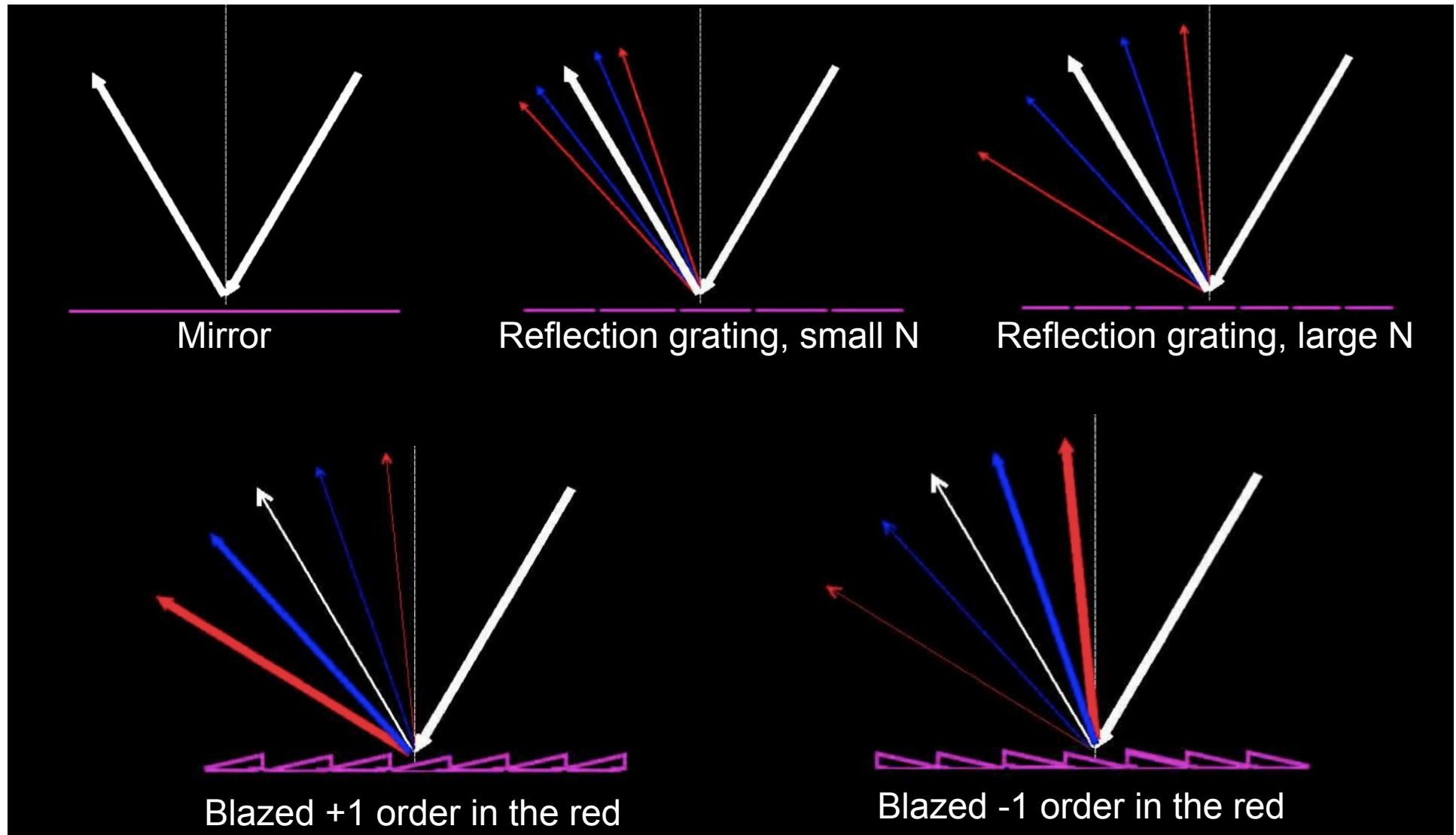
$$\frac{d\lambda}{d\theta} = \frac{\cos \theta}{m} d \quad \frac{d\lambda}{dx} = \frac{d\lambda}{d\theta} \frac{d\theta}{dx} = \frac{\cos \theta}{mf_2} d$$

Re-arranging the Dispersion Equation shows that there is no dispersion in the zeroth order ($m=0$):

$$\Delta\theta = \frac{m(\Delta\lambda)}{d\cos\theta}$$



Blazed Gratings



Spectral Resolving Power

Resolving power is the smallest possible wavelength difference that can be resolved, and is defined as:

Differentiate the expression for phase difference to show that we can write R in terms of phase difference:

$$\delta = \frac{2\pi p}{\lambda} = \frac{2\pi d(\sin i + \sin \theta)}{\lambda} \quad (\Delta\delta)\lambda + \delta(\Delta\lambda) = 0$$

At a primary peak in the interference pattern:

The adjacent minimum is separated by a small phase difference of:

Resolving power of a grating is therefore:

$$R = \frac{\lambda}{\Delta\lambda}$$

$$R = \frac{\delta}{\Delta\delta}$$

Homework:
what about
the minus
sign?

$$\delta = 2m\pi$$

$$\Delta\delta = \frac{2\pi}{N}$$

$$R = mN$$

Maximum Resolving Power

Resolving power of a grating is therefore:

$$R = mN$$

Use the Grating Equation to substitute for m:

$$m\lambda = d(\sin i + \sin \theta)$$

Maximum resolving power is therefore:

$$R = \frac{Nd}{\lambda} (\sin i + \sin \theta)$$

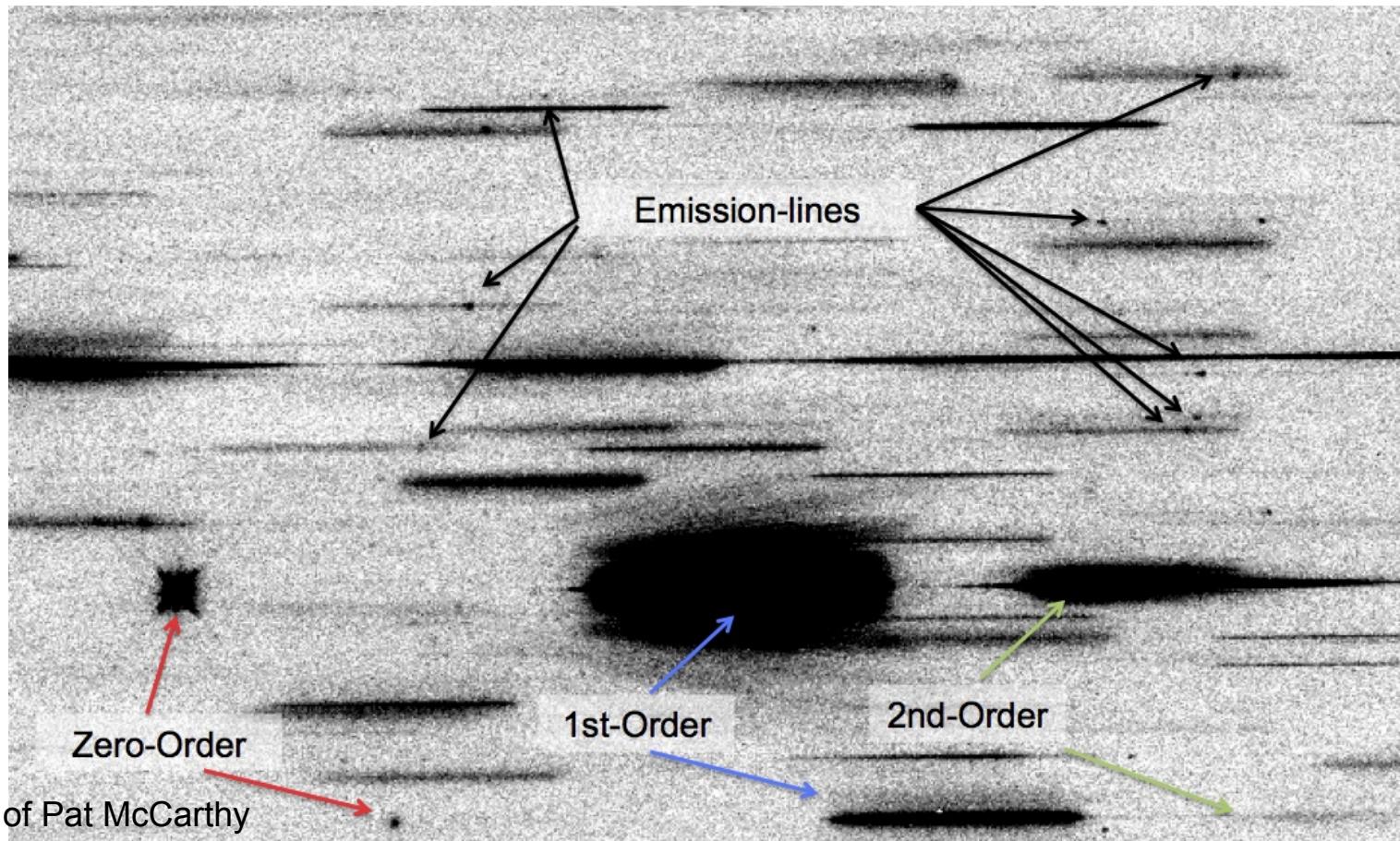
$$R_{\max} = \frac{2Nd}{\lambda} = \frac{2w}{\lambda}$$

Maximum resolving power therefore depends only on the illuminated width of the grating, $w=Nd$, and the wavelength of light.

[Remember: N=number of slits/grooves; d=separation of slits/grooves]

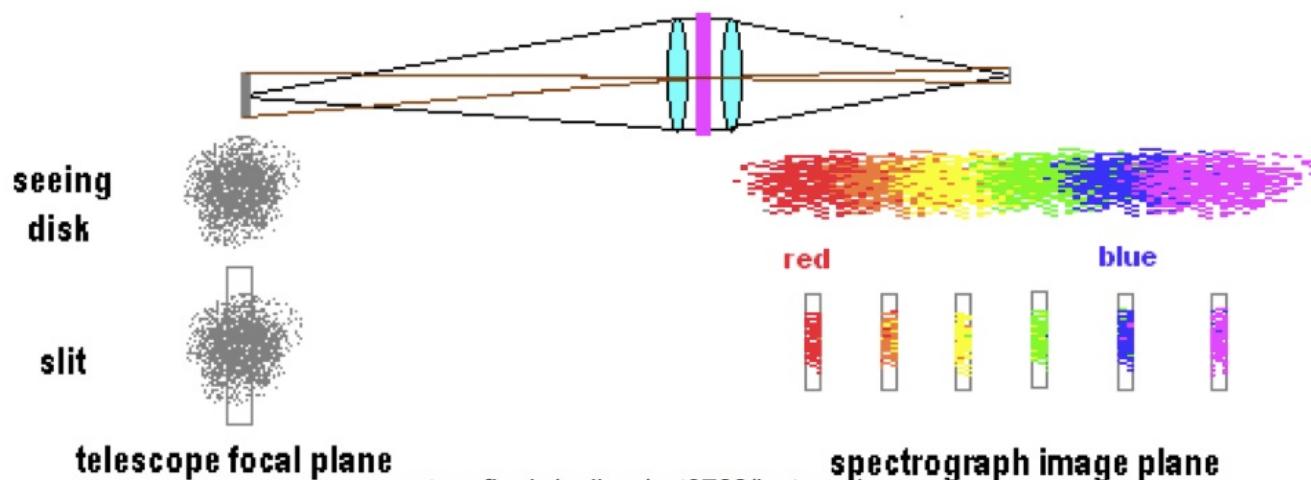
Slit-less Spectroscopy

- Slit-less spectroscopy is possible, but the spectra overlap and can be hard to analyse/interpret

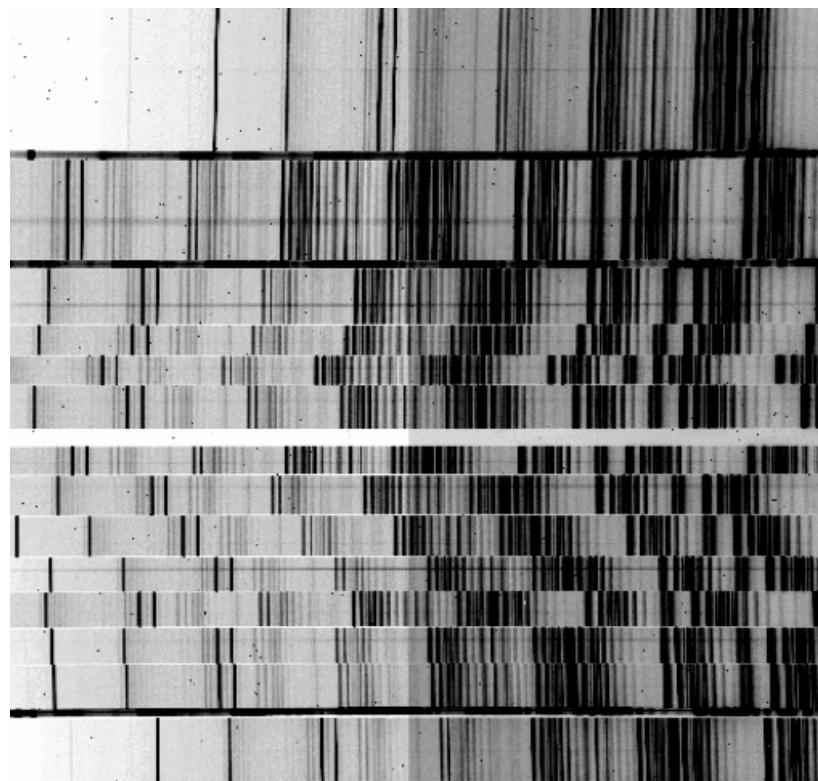
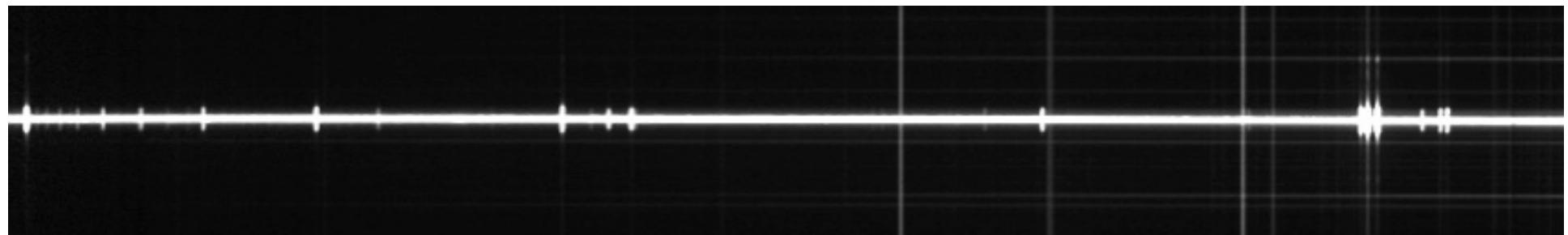


Slits, spectral resolution, losses

- Using a slit in the focal plane therefore only allows the light from the object of interest to be dispersed and recorded
- To first order the possible spectral resolution set by the slit width, ω , (in the dispersion direction), as imaged in the spectrograph
- The wavelength resolution is thus given by $\delta\lambda = \omega d\lambda/dx$
- Trade-off: narrower slit gives higher resolution but greater slit-losses

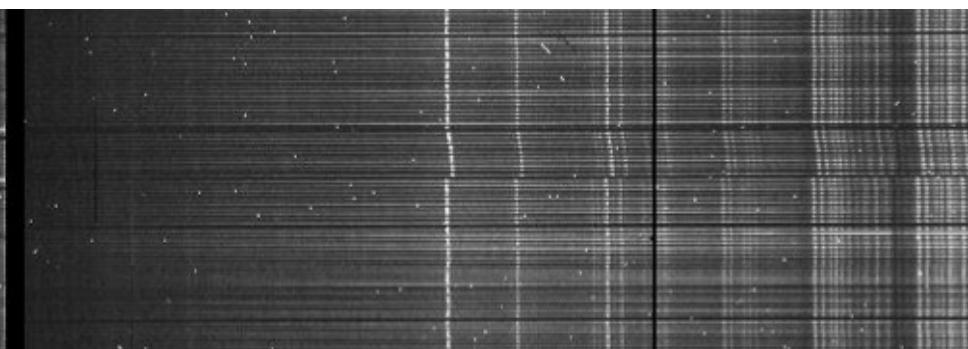
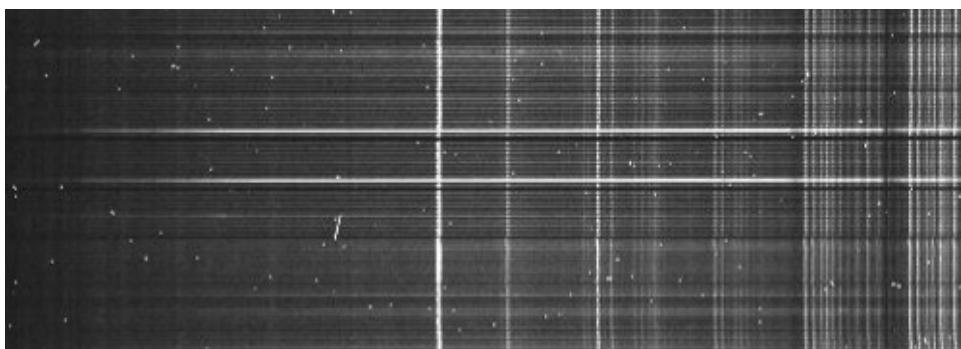
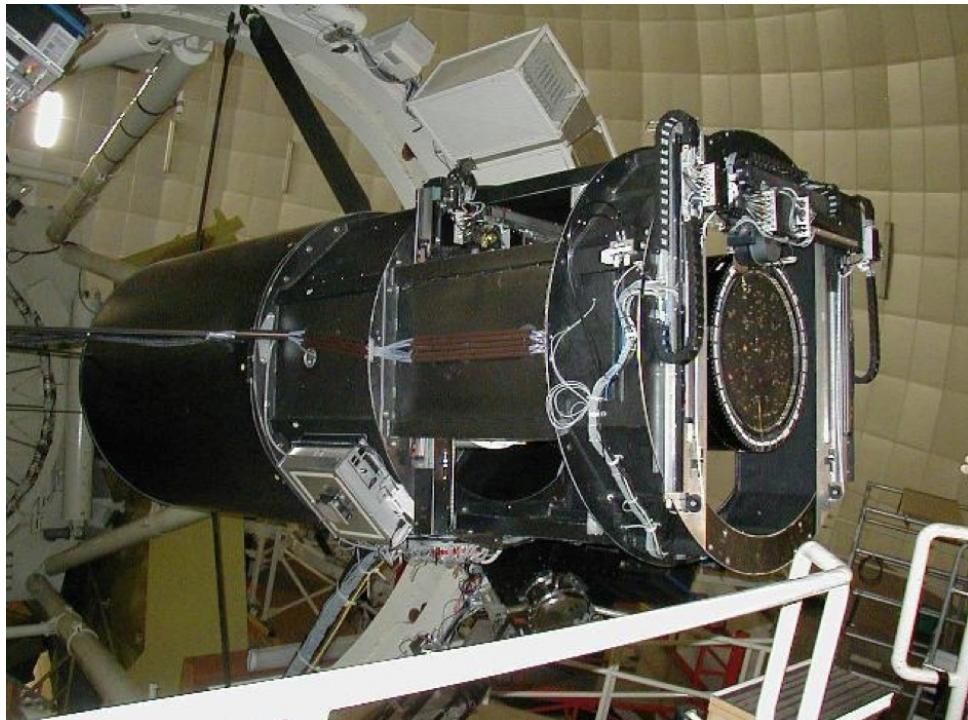


Long-slit and multi-slit observations



Multi-fibre Spectroscopy

Example: 2dF Spectrograph



Summary

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