

Advanced Topics in Programming Languages: Equation List

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Contents

1	Structural Rules	3
1.1	Inversion Lemma	3
1.2	Weakening	3
1.3	Substitution	3
2	Type Safety	4
2.1	Preservation	4
2.2	Progress	4
3	Judgements	5
3.1	Statics	5
3.2	Dynamics	5
4	Simply-Typed Lambda Calculus	6
4.1	Products	6
4.1.1	Syntax	6
4.1.2	Statics	6
4.1.3	Dynamics	6
4.2	Sums	7
4.2.1	Syntax	7
4.2.2	Statics	7
4.2.3	Dynamics	7
4.3	Functions	8
4.3.1	Syntax	8
4.3.2	Statics	8
4.3.3	Dynamics	8
5	Programming Computable Functions (PCF)	9
5.1	Syntax	9
5.2	Statics	9
5.3	Dynamics	10
6	Call by Value/Name	11
6.1	Process order	11
7	Store	12
7.1	Syntax	12
7.2	Statics	12
7.3	Transitions	13

1 Structural Rules

1.1 Inversion Lemma

Lemma 1 (Inversion)

Suppose $\Gamma \vdash e : \tau$.

1. If $e = \text{plus}(e_1; e_2)$ then it must be that

- $\tau = \text{Num}$
- $\Gamma \vdash e_1 : \text{Num}$
- $\Gamma \vdash e_2 : \text{Num}$

You basically prove this lemma by saying look at the rules there can't be another way. The lemma can also be shown by induction on the typing derivation.

1.2 Weakening

Suppose that $x : \sigma \vdash e : \tau$ (e computes a value of type τ if x is of type σ). It is fair to say that for any **fresh variable** y (a variable that doesn't already appear in term e), the typing judgement $x : \sigma, y : \rho \vdash e : \tau$ should also hold no matter what type ρ is. Essentially, assuming random free variables that are unused should not influence the type of a program. This is called **weakening**. We state and prove by induction on the typing derivation that:

Lemma 2 (Weakening)

If $\Gamma \vdash e : \tau$ and x is fresh then $\Gamma, x : \sigma \vdash e : \tau$

1.3 Substitution

Lemma 3 (Substitution)

If $\Gamma \vdash e : \tau$ and $\Gamma, x : \tau \vdash u : \sigma$ then $\Gamma \vdash u[e/x] : \sigma$

2 Type Safety

Theorem 1 (Type safety)

1. (Preservation) If $\vdash e : \tau$ and $e \mapsto e'$ then $\vdash e' : \tau$
2. (Progress) If $\vdash e : \tau$ then either $e \text{ val}$ or $e \mapsto e'$ for some e'

2.1 Preservation

Preservation is the statement that types are preserved under evaluation. This is a central **safety** property of type systems: it shows that a step-by-step computation preserves the kind of value that is being computed. We perform this on dynamics.

Theorem 2 (Preservation)

If $\vdash e : \tau$ and $e \mapsto e'$ then $\vdash e' : \tau$

Proof. Using $\vdash e : \tau$, prove that $\vdash e' : \tau$ and if proving some rule $b \mapsto b'$, also prove $\vdash b' : \tau$.

Example: By induction on the derivation of $e \mapsto e'$. We show the most difficult case, namely that of D-Let: Suppose that the reduction $e \mapsto e'$ is of the form

$$\frac{}{\text{let}(e_1; x, e_2) \mapsto e_2[e_1/x]} \text{D-Let}$$

We know that $\vdash \text{let}(e_1; x, e_2) : \tau$. By **inversion** there must exist σ such that $\vdash e_1 : \sigma$ and $x : \sigma \vdash e_2 : \tau$. By the **substitution lemma**, we obtain $\vdash e_1[e_1/x] : \tau$.

2.2 Progress

Progress is the statement that if a well-typed program is not done computing (aka: is a value), then there must be a step of computation it may take. We perform this on statics.

Lemma 4 (Canonical Forms)

Suppose $e \text{ val}$:

1. If $\vdash e : \text{Num}$ then $e = \text{num}[n]$ for some $n \in \mathbb{N}$
2. If $\vdash e : \text{Str}$ then $e = \text{str}[s]$ for some $s \in \Sigma^*$

Theorem 4 (Progress)

If $\vdash e : \tau$ then either $e \text{ val}$ or $e \mapsto e'$ for some e'

Proof. By induction on the derivation of $\vdash e : \tau$.

3 Judgements

3.1 Statics

$$\begin{array}{c}
\text{VAR} \\
\hline
\Gamma, x : \sigma \vdash x : \sigma
\end{array}
\qquad
\begin{array}{c}
\text{NUM} \\
n \in \mathbb{N} \\
\hline
\Gamma \vdash \text{num}[n] : \text{Num}
\end{array}
\qquad
\begin{array}{c}
\text{STR} \\
s \in \Sigma^* \\
\hline
\Gamma \vdash \text{str}[s] : \text{Str}
\end{array}$$

$$\begin{array}{c}
\text{PLUS} \\
\Gamma \vdash e_1 : \text{Num} \quad \Gamma \vdash e_2 : \text{Num} \\
\hline
\Gamma \vdash \text{plus}(e_1; e_2) : \text{Num}
\end{array}
\qquad
\begin{array}{c}
\text{TIMES} \\
\Gamma \vdash e_1 : \text{Num} \quad \Gamma \vdash e_2 : \text{Num} \\
\hline
\Gamma \vdash \text{times}(e_1; e_2) : \text{Num}
\end{array}$$

$$\begin{array}{c}
\text{CAT} \\
\Gamma \vdash e_1 : \text{Str} \quad \Gamma \vdash e_2 : \text{Str} \\
\hline
\Gamma \vdash \text{cat}(e_1; e_2) : \text{Str}
\end{array}
\qquad
\begin{array}{c}
\text{LEN} \\
\Gamma \vdash e : \text{Str} \\
\hline
\Gamma \vdash \text{len}(e) : \text{Num}
\end{array}
\qquad
\begin{array}{c}
\text{LET} \\
\Gamma \vdash e_1 : \sigma_1 \quad \Gamma, x : \sigma_1 \vdash e_2 : \sigma_2 \\
\hline
\Gamma \vdash \text{let}(e_1; x. e_2) : \sigma_2
\end{array}$$

3.2 Dynamics

$$\begin{array}{c}
\text{D-PLUS} \\
n_1 + n_2 = n \\
\hline
\text{plus}(\text{num}[n_1]; \text{num}[n_2]) \mapsto \text{num}[n]
\end{array}
\qquad
\begin{array}{c}
\text{D-PLUS-1} \\
e_1 \mapsto e'_1 \\
\hline
\text{plus}(e_1; e_2) \mapsto \text{plus}(e'_1; e_2)
\end{array}
\qquad
\begin{array}{c}
\text{D-PLUS-2} \\
e_1 \text{ val} \quad e_2 \mapsto e'_2 \\
\hline
\text{plus}(e_1; e_2) \mapsto \text{plus}(e_1; e'_2)
\end{array}$$

$$\begin{array}{c}
\text{D-CAT} \\
s_1 \mathbin{++} s_2 = s \\
\hline
\text{cat}(\text{str}[s_1]; \text{str}[s_2]) \mapsto \text{str}[s]
\end{array}
\qquad
\begin{array}{c}
\text{D-CAT-1} \\
e_1 \mapsto e'_1 \\
\hline
\text{cat}(e_1; e_2) \mapsto \text{cat}(e'_1; e_2)
\end{array}
\qquad
\begin{array}{c}
\text{D-CAT-2} \\
e_1 \text{ val} \quad e_2 \mapsto e'_2 \\
\hline
\text{cat}(e_1; e_2) \mapsto \text{cat}(e_1; e'_2)
\end{array}$$

$$\begin{array}{c}
\text{D-LEN} \\
|s| = n \\
\hline
\text{len}(\text{str}[s]) \mapsto \text{num}[n]
\end{array}
\qquad
\begin{array}{c}
\text{D-LEN-1} \\
e \mapsto e' \\
\hline
\text{len}(e) \mapsto \text{len}(e')
\end{array}
\qquad
\begin{array}{c}
\text{D-LET} \\
\hline
\text{let}(e_1; x. e_2) \mapsto e_2[e_1/x]
\end{array}$$

$$\begin{array}{c}
\text{D-MULTI-REFL} \\
\hline
e \mapsto^* e
\end{array}
\qquad
\begin{array}{c}
\text{D-MULTI-STEP} \\
e \mapsto e' \quad e' \mapsto^* e'' \\
\hline
e \mapsto^* e''
\end{array}$$

4 Simply-Typed Lambda Calculus

4.1 Products

4.1.1 Syntax

types	$\tau ::=$	\dots	
		$\tau_1 \times \tau_2$	product type
		$\mathbf{1}$	unit type
pre-terms	$e ::=$	\dots	
		$\langle e_1, e_2 \rangle$	pair constructor
		$\pi_1(e)$	first projection
		$\pi_2(e)$	second projection

4.1.2 Statics

UNIT	PROD	PROJ-1	PROJ-2
$\frac{}{\Gamma \vdash \langle \rangle : \mathbf{1}}$	$\frac{\Gamma \vdash e_1 : \tau_1 \quad \Gamma \vdash e_2 : \tau_2}{\Gamma \vdash \langle e_1, e_2 \rangle : \tau_1 \times \tau_2}$	$\frac{\Gamma \vdash e : \tau_1 \times \tau_2}{\Gamma \vdash \pi_1(e) : \tau_1}$	$\frac{\Gamma \vdash e : \tau_1 \times \tau_2}{\Gamma \vdash \pi_2(e) : \tau_2}$

4.1.3 Dynamics

VAL-UNIT	VAL-PAIR	D-PROJ-TUPLE-1	D-PROJ-TUPLE-2
$\frac{}{\langle \rangle \text{ val}}$	$\frac{}{\langle e_1, e_2 \rangle \text{ val}}$	$\frac{}{\pi_1(\langle e_1, e_2 \rangle) \mapsto e_1}$	$\frac{}{\pi_2(\langle e_1, e_2 \rangle) \mapsto e_2}$
	D-PROJ-1		D-PROJ-2
	$\frac{e \mapsto e'}{\pi_1(e) \mapsto \pi_1(e')}$		$\frac{e \mapsto e'}{\pi_2(e) \mapsto \pi_2(e')}$

4.2 Sums

4.2.1 Syntax

types	$\tau ::= \dots$	
	$\tau_1 + \tau_2$	sum type
	$\mathbf{0}$	void type
pre-terms	$e ::= \dots$	
	$\text{inl}(e)$	left injection
	$\text{inr}(e)$	right injection
	$\text{case}(e; x. e_1; y. e_2)$	case analysis

4.2.2 Statics

$$\frac{\text{ABORT} \quad \Gamma \vdash e : \mathbf{0}}{\Gamma \vdash \text{abort}(e) : \tau}$$

$$\frac{\text{INL} \quad \Gamma \vdash e : \tau_1}{\Gamma \vdash \text{inl}(e) : \tau_1 + \tau_2}$$

$$\frac{\text{INR} \quad \Gamma \vdash e : \tau_2}{\Gamma \vdash \text{inr}(e) : \tau_1 + \tau_2}$$

$$\frac{\text{CASE} \quad \Gamma \vdash e : \tau_1 + \tau_2 \quad \Gamma, x : \tau_1 \vdash e_1 : \tau \quad \Gamma, y : \tau_2 \vdash e_2 : \tau}{\Gamma \vdash \text{case}(e; x. e_1; y. e_2) : \tau}$$

4.2.3 Dynamics

$$\frac{\text{VAL-INL}}{\text{inl}(e) \text{ val}}$$

$$\frac{\text{VAL-INR}}{\text{inr}(e) \text{ val}}$$

$$\frac{\text{D-ABORT-1} \quad e \mapsto e'}{\text{abort}(e) \mapsto \text{abort}(e')}$$

$$\frac{\text{D-CASE-INL}}{\text{case}(\text{inl}(e); x. e_1; y. e_2) \mapsto e_1[e/x]}$$

$$\frac{\text{D-CASE-INR}}{\text{case}(\text{inr}(e); x. e_1; y. e_2) \mapsto e_2[e/y]}$$

$$\frac{\text{D-CASE-1} \quad e \mapsto e'}{\text{case}(e; x. e_1; y. e_2) \mapsto \text{case}(e'; x. e_1; y. e_2)}$$

4.3 Functions

4.3.1 Syntax

types	$\tau ::= \dots$	
	$\tau_1 \rightarrow \tau_2$	function type
pre-terms	$e ::= \dots$	
	$\lambda x : \tau. e$	abstraction
	$e_1(e_2)$	application

4.3.2 Statics

$$\frac{\text{LAM} \quad \Gamma, x : \sigma \vdash e : \tau}{\Gamma \vdash \lambda x : \sigma. e : \sigma \rightarrow \tau}$$

$$\frac{\text{APP} \quad \Gamma \vdash e_1 : \sigma \rightarrow \tau \quad \Gamma \vdash e_2 : \sigma}{\Gamma \vdash e_1(e_2) : \tau}$$

4.3.3 Dynamics

$$\frac{\text{VAL-LAM}}{\lambda x : \tau. e \text{ val}}$$

$$\frac{\text{D-APP-1} \quad e_1 \mapsto e'_1}{e_1(e_2) \mapsto e'_1(e_2)}$$

$$\frac{\text{D-BETA}}{(\lambda x : \tau. e_1)(e_2) \mapsto e_1[e_2/x]}$$

5 Programming Computable Functions (PCF)

5.1 Syntax

types	$\tau ::=$	Nat	natural numbers
		$\tau_1 \rightarrow \tau_2$	(partial) function type
pre-terms	$e ::=$	x	variables
		zero	zero
		succ(e)	successor
		ifz($e; e_0; x. e_1$)	zero test
		$\lambda x : \tau. e$	abstraction
		$e_1(e_2)$	application
		fix($x : \tau. e$)	fixed point

5.2 Statics

$$\text{VAR} \quad \frac{}{\Gamma, x : \sigma \vdash x : \sigma}$$

$$\text{ZERO} \quad \frac{}{\Gamma \vdash \text{zero} : \text{Nat}}$$

$$\text{Succ} \quad \frac{\Gamma \vdash e : \text{Nat}}{\Gamma \vdash \text{succ}(e) : \text{Nat}}$$

$$\text{LAM} \quad \frac{\Gamma, x : \sigma \vdash e : \tau}{\Gamma \vdash \lambda x : \sigma. e : \sigma \rightarrow \tau}$$

$$\text{APP} \quad \frac{\Gamma \vdash e_1 : \sigma \rightarrow \tau \quad \Gamma \vdash e_2 : \sigma}{\Gamma \vdash e_1(e_2) : \tau}$$

$$\text{IFZERO} \quad \frac{\Gamma \vdash e : \text{Nat} \quad \Gamma \vdash e_0 : \tau \quad \Gamma, x : \text{Nat} \vdash e_1 : \tau}{\Gamma \vdash \text{ifz}(e; e_0; x. e_1) : \tau}$$

$$\text{FIX} \quad \frac{\Gamma, x : \tau \vdash e : \tau}{\Gamma \vdash \text{fix}(x : \tau. e) : \tau}$$

5.3 Dynamics

$\frac{\text{VAL-ZERO}}{\text{zero val}}$	$\frac{\text{VAL-SUCC} \quad e \text{ val}}{\text{succ}(e) \text{ val}}$	$\frac{\text{VAL-LAM}}{\lambda x : \tau. e \text{ val}}$	$\frac{\text{D-SUCC} \quad e \mapsto e'}{\text{succ}(e) \mapsto \text{succ}(e')}$
$\frac{\text{D-APP-1} \quad e_1 \mapsto e'_1}{e_1(e_2) \mapsto e'_1(e_2)}$	$\frac{\text{D-BETA}}{(\lambda x : \tau. e_1)(e_2) \mapsto e_1[e_2/x]}$		
$\frac{\text{D-FIX}}{\text{fix}(x : \tau. e) \mapsto e[\text{fix}(x : \tau. e)/x]}$	$\frac{\text{D-IFZ-1} \quad e \mapsto e'}{\text{ifz}(e; e_0; x. e_1) \mapsto \text{ifz}(e'; e_0; x. e_1)}$		
$\frac{\text{D-IFZ-ZERO}}{\text{ifz}(\text{zero}; e_0; x. e_1) \mapsto e_0}$	$\frac{\text{D-IFZ-SUCC} \quad \text{succ}(e) \text{ val}}{\text{ifz}(\text{succ}(e); e_0; x. e_1) \mapsto e_1[e/x]}$		

6 Call by Value/Name

$$\frac{\text{VAL-INL} \quad v \text{ val}}{\text{inl}(v) \text{ val}}$$

$$\frac{\text{VAL-INR} \quad v \text{ val}}{\text{inr}(v) \text{ val}}$$

$$\frac{\text{VAL-LAM}}{\lambda x : \tau. e \text{ val}}$$

$$\frac{\text{D-LET} \quad v \text{ val}}{\text{let}(v; x. e_2) \mapsto e_2[v/x]}$$

$$\frac{\text{D-INL} \quad e \mapsto e'}{\text{inl}(e) \mapsto \text{inl}(e')}$$

$$\frac{\text{D-INR} \quad e \mapsto e'}{\text{inr}(e) \mapsto \text{inr}(e')}$$

$$\frac{\text{D-CASE-INL} \quad v \text{ val}}{\text{case}(\text{inl}(v); x. e_1; y. e_2) \mapsto e_1[v/x]}$$

$$\frac{\text{D-CASE-INR} \quad v \text{ val}}{\text{case}(\text{inr}(v); x. e_1; y. e_2) \mapsto e_2[v/y]}$$

$$\frac{\text{D-CASE-1} \quad e \mapsto e'}{\text{case}(e; x. e_1; y. e_2) \mapsto \text{case}(e'; x. e_1; y. e_2)}$$

$$\frac{\text{D-APP-1} \quad e_1 \mapsto e'_1}{e_1(e_2) \mapsto e'_1(e_2)}$$

$$\frac{\text{D-APP-2} \quad e_1 \text{ val} \quad e_2 \mapsto e'_2}{e_1(e_2) \mapsto e_1(e'_2)}$$

$$\frac{\text{D-BETA} \quad v \text{ val}}{(\lambda x : \tau. e)(v) \mapsto e[v/x]}$$

6.1 Process order

In CBV:

$$\begin{aligned} (\lambda x : \text{Num. plus}(x; x))(\text{print}(\text{hi}; \text{num}[1])) &\xrightarrow{\text{hi}}_v (\lambda x : \text{Num. plus}(x; x))(\text{num}[1]) \\ &\xrightarrow{\varepsilon}_v \text{plus}(\text{num}[1]; \text{num}[1]) \\ &\xrightarrow{\varepsilon}_v \text{num}[2] \end{aligned}$$

In contrast, in CBN:

$$\begin{aligned} (\lambda x : \text{Num. plus}(x; x))(\text{print}(\text{hi}; \text{num}[1])) &\xrightarrow{\varepsilon}_n \text{plus}(\text{print}(\text{hi}; \text{num}[1]); \text{print}(\text{hi}; \text{num}[1])) \\ &\xrightarrow{\text{hi}}_n \text{plus}(\text{num}[1]; \text{print}(\text{hi}; \text{num}[1])) \\ &\xrightarrow{\text{hi}}_n \text{plus}(\text{num}[1]; \text{num}[1]) \\ &\xrightarrow{\varepsilon}_n \text{num}[2] \end{aligned}$$

7 Store

7.1 Syntax

types	$\tau ::=$	Nat	natural numbers
		$\tau_1 \rightarrow \tau_2$	(partial) function type
		Cmd	unevaluated commands
pre-terms	$e ::=$	\dots	
		$\text{cmd}(m)$	unevaluated command
pre-commands	$m ::=$	$\text{ret}(e)$	$\text{ret } e$ return value
		$\text{bnd}(e; x. m)$	$\text{bind } x \leftarrow e; m$ sequence
		$\text{dcl}(e; a. m)$	$\text{decl } a := e \text{ in } m$ allocate
		$\text{get}[a]$	$@ a$ fetch location contents
		$\text{set}[a](e)$	$a := e$ set location contents

7.2 Statics

$$\frac{\text{CMD} \quad \Gamma \vdash_{\Sigma} m \text{ ok}}{\Gamma \vdash_{\Sigma} \text{cmd}(m) : \text{Cmd}}$$

$$\frac{\text{RET} \quad \Gamma \vdash_{\Sigma} e : \text{Nat}}{\Gamma \vdash_{\Sigma} \text{ret}(e) \text{ ok}}$$

$$\frac{\text{BIND} \quad \Gamma \vdash_{\Sigma} e : \text{Cmd} \quad \Gamma, x : \text{Nat} \vdash_{\Sigma} m \text{ ok}}{\Gamma \vdash_{\Sigma} \text{bnd}(e; x. m) \text{ ok}}$$

$$\frac{\text{DECL} \quad \Gamma \vdash_{\Sigma} e : \text{Nat} \quad \Gamma \vdash_{\Sigma, a} m \text{ ok}}{\Gamma \vdash_{\Sigma} \text{dcl}(e; a. m) \text{ ok}}$$

$$\frac{\text{FETCH}}{\Gamma \vdash_{\Sigma, a} \text{get}[a] \text{ ok}}$$

$$\frac{\text{ASSIGN} \quad \Gamma \vdash_{\Sigma, a} e : \text{Nat}}{\Gamma \vdash_{\Sigma, a} \text{set}[a](e) \text{ ok}}$$

7.3 Transitions

D-GET

$$\frac{}{\text{get}[a] \parallel \mu \otimes \{a \mapsto e\} \mapsto_{\Sigma, a} \text{ret}(e) \parallel \mu \otimes \{a \mapsto e\}}$$

D-SET-1

$$\frac{e \mapsto e'}{\text{set}[a](e) \parallel \mu \mapsto_{\Sigma, a} \text{set}[a](e') \parallel \mu}$$

D-SET

$$\frac{e \text{ val}}{\text{set}[a](e) \parallel \mu \otimes \{a \mapsto _ \} \mapsto_{\Sigma, a} \text{ret}(e) \parallel \mu \otimes \{a \mapsto e\}}$$

D-RET-1

$$\frac{e \mapsto e'}{\text{ret}(e) \parallel \mu \mapsto_{\Sigma} \text{ret}(e') \parallel \mu}$$

D-BND-1

$$\frac{e \mapsto e'}{\text{bnd}(e; x. m) \parallel \mu \mapsto_{\Sigma} \text{bnd}(e'; x. m) \parallel \mu}$$

D-BND-CMD

$$\frac{m_1 \parallel \mu \mapsto_{\Sigma} m'_1 \parallel \mu'}{\text{bnd}(\text{cmd}(m_1); x. m_2) \parallel \mu \mapsto_{\Sigma} \text{bnd}(\text{cmd}(m'_1); x. m_2) \parallel \mu'}$$

D-BND-RET

$$\frac{e \text{ val}}{\text{bnd}(\text{cmd}(\text{ret}(e)); x. m) \parallel \mu \mapsto_{\Sigma} m[e/x] \parallel \mu}$$

D-DCL-1

$$\frac{e \mapsto e'}{\text{dcl}(e; a. m) \parallel \mu \mapsto_{\Sigma} \text{dcl}(e'; a. m) \parallel \mu}$$

D-DCL-2

$$\frac{e \text{ val} \quad m \parallel \mu \otimes \{a \mapsto e\} \mapsto_{\Sigma, a} m' \parallel \mu' \otimes \{a \mapsto e'\}}{\text{dcl}(e; a. m) \parallel \mu \mapsto_{\Sigma} \text{dcl}(e'; a. m') \parallel \mu'}$$

D-DCL-RET

$$\frac{e \text{ val} \quad e' \text{ val}}{\text{dcl}(e; a. \text{ret}(e')) \parallel \mu \mapsto_{\Sigma} \text{ret}(e') \parallel \mu}$$