**Exercise 10.3.** After your glorious app PikPok hit number 1 in the app store, you're preparing for version 2. Obviously, it needs to be great.

You've gathered a list of k features  $F_1, \ldots, F_k$  that you could potentially add to version 2. However, there are complicated dependencies and requirements among them so you don't necessarily want to add all of them. There are 3 types of specifications defined over pairs of features  $F_i$  and  $F_j$ :

- 1. Requirements: Your app must include either  $F_i$  or  $F_j$ .
- 2. Conflicts: You cannot include both  $F_i$  and  $F_j$ .
- 3. Dependencies: If you include  $F_i$ , then you must include  $F_i$ .

Collectively, we call requirements, conflicts, and dependencies the *feature specifications*. The feature specifications are given in list form. The high-level task is to decide which of the features to implement, based on the given feature specifications. We have two versions of the problem. For each of the problems [below], either (a) design and analyze a polynomial time algorithm (the faster the better), or (b) prove that a polynomial time algorithm would imply a polynomial time algorithm for SAT.

Exercise 10.3.1. In the idealistic feature selection problem, the task is to decide if there is a subset of features that satisfies all the feature specifications.

Solution. We claim that the case of idealistic feature selection reduces to a 2-SAT problem and therefore has a polynomial time solution.

For each feature  $F_i$ , define a boolean variable  $f_i$  such that  $f_i$  true  $\iff$   $F_i$  implemented. Then, notice that the constraints for each feature specification can be translated into the language of 2-SAT.

- 1. Requirement:  $(f_i \vee f_j)$
- 2. Conflict:  $(\overline{f_i} \vee \overline{f_j})$
- 3. Dependency:  $(\overline{f_i} \vee f_i)$

Thus, the conjunction of all of the feature specifications as 2-variable clauses gives us a 2-SAT problem. We can therefore determine satisfiability of the feature specifications in polynomial time by using the algorithm for 2-SAT.

Correctness. Suppose 2-SAT returns true. Then there exists some assignment  $A: \{f_1, ..., f_k\} \to \{0, 1\}$  satisfying the conjunction of all the feature-spec clauses. Including each feature  $F_i$  if and only if  $A(f_i) = 1$  gives us a subset of features that satisfies all feature specifications.

On the other hand, if 2-SAT returns false, then there is no assignment on  $\{f_1, ..., f_k\}$  that satisfies the conjunction of all the feature-spec clauses. Hence, there is no subset of features that would satisfy all of the feature specifications.

Exercise 10.3.2. In the realistic feature selection problem, the task is to choose a subset of features that satisfies the maximum number of feature specifications

Solution. A realistic feature selection implies a polynomial time algorithm for SAT; we prove this creating a polynomial-time reduction from any Max 2-SAT problem to a realistic feature selection problem.

Consider a generic Max 2-SAT instance  $f(x_1,...,x_n)$  with m clauses.

If we let  $F_1, ..., F_n$  be features, where each  $x_i$  is true if and only if its corresponding feature  $F_i$  is chosen, then Max 2-SAT on  $f(x_1, ..., x_n)$  directly corresponds to a realistic feature selection problem on  $F_1, ..., F_n$ . In particular, each clause constructed with variables  $x_i$  and  $x_j$  directly corresponds to a type of feature dependency:

- 1.  $(x_i \vee x_j)$ : app must include either  $F_i$  or  $F_j$  (requirements).
- 2.  $(\overline{x}_i \vee \overline{x}_j)$ : cannot include both  $F_i$  and  $F_j$  (conflicts).
- 3.  $(\overline{x}_i \vee x_j)$ : if  $F_i$  is included,  $F_j$  must be included (dependencies).
- 4.  $(x_i \vee \overline{x}_j)$ : if  $F_j$  is included,  $F_i$  must be included (dependencies).

Hence, we can create a list of feature specifications on  $F_1, ..., F_n$  from  $f(x_1, ..., x_n)$  in polynomial time.

Therefore, if realistic feature selection on  $F_1, ..., F_n$  has a polynomial-time solution, then so does Max 2-SAT on  $f(x_1, ..., x_n)$ 

Correctness. Let  $S \subseteq \{F_1, \ldots, F_n\}$  be a subset of features, and define ' $F_i$  chosen'  $\iff$  ' $x_i$  is true'. Then, the clauses  $\{C_j\}_{j=1}^k$  are satisfied  $\iff$  S meets the corresponding feature requirements.

Suppose the Max 2-SAT instance  $f(x_1, ..., x_n)$  has at most k satisfiable clauses, and label them  $C_1, C_2, ..., C_k$ . Assume ad absurdum that S satisfies more than k feature specifications. Then, the corresponding 2-SAT instance would satisfy more than k clauses, contradicting our assumption that the number of satisfiable clauses is bounded above by k. Hence, if no assignment satisfies more than k clauses, there can not be some subset of features S satisfying greater than than k feature specifications.

Now, suppose the Max 2-SAT instance  $f(x_1, ..., x_n)$  has greater than k satisfiable clauses. Assume ad absurdum that S satisfies less than k feature specifications. Then, f would necessarily satisfy less than k clauses, which contradicts the assumption that f has greater than k satisfiable clauses. Thus, there can not be some subset of features S satisfying less than k feature specifications.