### **SIMPLICITY OF** $PSL_n(F)$

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#### 1. Introduction

For a field F and integer  $n \geq 2$ , the projective special linear group  $\mathrm{PSL}_n(F)$  is the quotient group of  $\mathrm{SL}_n(F)$  by its center:  $\mathrm{PSL}_n(F) = \mathrm{SL}_n(F)/Z(\mathrm{SL}_n(F))$ . In 1831, Galois claimed that  $\mathrm{PSL}_2(\mathbf{F}_p)$  is a simple group for all primes p > 3, although he didn't give a proof. He had to exclude p = 2 and p = 3 since  $\mathrm{PSL}_2(\mathbf{F}_2) \cong S_3$  and  $\mathrm{PSL}_2(\mathbf{F}_3) \cong A_4$ , and these groups are not simple. It turns out that  $\mathrm{PSL}_n(F)$  is a simple group for all  $n \geq 2$  and all fields F except when n = 2 and  $F = \mathbf{F}_2$  and F3. The proof of this was developed over essentially 30 years, from 1870 to 1901:

- Jordan [4] for  $n \ge 2$  and  $F = \mathbf{F}_p$  except (n, p) = (2, 2) and (2, 3).
- Moore [5] for n=2 and F all finite fields of size greater than 3.
- Dickson for n > 2 and F finite [1], and for  $n \ge 2$  and F infinite [2].

We will prove simplicity of  $\operatorname{PSL}_n(F)$  using a criterion of Iwasawa [3] from 1941 that relates simple quotient groups and doubly transitive group actions. This criterion will be developed in Section 2, and applied to  $\operatorname{PSL}_2(F)$  in Section 3 and  $\operatorname{PSL}_n(F)$  for n > 2 in Section 4.

#### 2. Doubly transitive actions and Iwasawa's criterion

An action of a group G on a set X is called transitive when, given two distinct x and y in X, there is a  $g \in G$  such that g(x) = y. We call the action doubly transitive if each pair of distinct points in X can be carried to every other pair of distinct points in X by some element of G. That is, given two pairs  $(x_1, x_2)$  and  $(y_1, y_2)$  in  $X \times X$ , where  $x_1 \neq x_2$  and  $y_1 \neq y_2$ , there is a  $g \in G$  such that  $g(x_1) = y_1$  and  $g(x_2) = y_2$ . Although the  $x_i$ 's are distinct and the  $y_j$ 's are distinct, we do allow an  $x_i$  to be a  $y_j$ . For instance, if x, x', x'' are three distinct elements of X then there is a  $g \in G$  such that g(x) = x and g(x') = x''. (Here  $x_1 = y_1 = x$  and  $x_2 = x'$ ,  $y_2 = x''$ .) Necessarily a doubly transitive action requires  $|X| \geq 2$ .

**Example 2.1.** The action of  $A_4$  on  $\{1, 2, 3, 4\}$  is doubly transitive.

**Example 2.2.** The action of  $D_4$  on  $\{1, 2, 3, 4\}$ , as vertices of a square, is not doubly transitive since a pair of adjacent vertices can't be sent to a pair of nonadjacent vertices.

**Example 2.3.** For all fields F, the group Aff(F) acts on F by  $\begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix} x = ax + b$  and this action is doubly transitive.

**Example 2.4.** For all fields F, the group  $GL_2(F)$  acts on  $F^2 - \{\binom{0}{0}\}$  by the usual way matrices act on vectors, but this action is not doubly transitive since linearly dependent vectors can't be sent to linearly independent vectors by a matrix.

**Theorem 2.5.** If G acts doubly transitively on X then the stabilizer subgroup of each point in X is a maximal subgroup of G.

A maximal subgroup is a proper subgroup contained in no other proper subgroup.

*Proof.* Pick  $x \in X$  and let  $H_x = \operatorname{Stab}_x$ .

Step 1: For each  $g \notin H_x$ ,  $G = H_x \cup H_x g H_x$ .

For  $g' \in G$  such that  $g' \notin H_x$ , we will show  $g' \in H_x g H_x$ . Both gx and g'x are not x, so by double transitivity with the pairs (x, gx) and (x, g'x) there is some  $g'' \in G$  such that g''x = x and g''(gx) = g'x. The first equation implies  $g'' \in H_x$ , so let's write g'' as h. Then h(gx) = g'x, so  $g' \in hgH_x \subset H_x g H_x$ .

Step 2:  $H_x$  is a maximal subgroup of G.

The group  $H_x$  is not all of G, since  $H_x$  fixes x while G carries x to each element of X and  $|X| \geq 2$ . Let K be a subgroup of G strictly containing  $H_x$  and pick  $g \in K - H_x$ . By step  $1, G = H_x \cup H_x g H_x$ . Both  $H_x$  and  $H_x g H_x$  are in K, so  $G \subset K$ . Thus K = G.

The converse of Theorem 2.5 is false. If H is a maximal subgroup of G then left multiplication of G on G/H has H as a stabilizer subgroup, but this action is not doubly transitive if G has odd order because a finite group with a doubly transitive action has even order.

**Theorem 2.6.** Suppose G acts doubly transitively on a set X. Any normal subgroup  $N \triangleleft G$  acts on X either trivially or transitively.

Proof. Suppose N does not act trivially:  $nx \neq x$  for some  $x \in X$  and some  $n \neq 1$  in N. Pick arbitrary y and y' in X with  $y \neq y'$ . By double transitivity, there is  $g \in G$  such that gx = y and g(nx) = y'. Then  $y' = (gng^{-1})(gx) = (gng^{-1})(y)$  and  $gng^{-1} \in N$ , so N acts transitively on X.

**Example 2.7.** The action of  $A_4$  on  $\{1, 2, 3, 4\}$  is doubly transitive and the normal subgroup  $\{(1), (12)(34), (13)(24), (14)(23)\} \triangleleft A_4$  acts transitively on  $\{1, 2, 3, 4\}$ .

**Example 2.8.** For a field F, let Aff(F) act on F by  $\begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix}x = ax + b$ . This is doubly transitive and the normal subgroup  $N = \{\begin{pmatrix} 1 & b \\ 0 & 1 \end{pmatrix} : b \in F\}$  acts transitively (by translations) on F

**Example 2.9.** The action of  $D_4$  on the 4 vertices of a square is not doubly transitive. Consistent with Theorem 2.6, the normal subgroup  $\{1, r^2\}$  of  $D_4$  acts on the vertices neither trivially nor transitively.

Here is the main group-theoretic result we will use to prove  $\mathrm{PSL}_n(F)$  is simple.

**Theorem 2.10** (Iwasawa). Let G act doubly transitively on a set X. Assume the following:

- (1) For some  $x \in X$  the group  $\operatorname{Stab}_x$  has an abelian normal subgroup whose conjugate subgroups generate G.
- (2) [G,G] = G.

Then G/K is a simple group, where K is the kernel of the action of G on X.

The kernel of an action is the kernel of the homomorphism  $G \to \text{Sym}(X)$ ; it's those g that act like the identity on X.

*Proof.* To show G/K is simple we will show the only normal subgroups of G lying between K and G are K and G. Let  $K \subset N \subset G$  with  $N \lhd G$ . Let  $H = \operatorname{Stab}_X$ , so H is a maximal subgroup of G (Theorem 2.5). Since N is normal,  $NH = \{nh : n \in N, h \in H\}$  is a subgroup of G, and it contains H, so by maximality either NH = H or NH = G. By Theorem 2.6, N acts trivially or transitively on X.

If NH = H then  $N \subset H$ , so N fixes x. Therefore N does not act transitively on X, so N must act trivially on X, which implies  $N \subset K$ . Since  $K \subset N$  by hypothesis, we have N = K.

Now suppose NH = G. Let U be the abelian normal subgroup of H in the hypothesis: its conjugate subgroups generate G. Since  $U \triangleleft H$ ,  $NU \triangleleft NH = G$ . Then for  $g \in G$ ,  $gUg^{-1} \subset g(NU)g^{-1} = NU$ , which shows NU contains all the conjugate subgroups of U. By hypothesis it follows that NU = G.

Thus  $G/N = (NU)/N \cong U/(N \cap U)$ . Since U is abelian, the isomorphism tells us that G/N is abelian, so  $[G,G] \subset N$ . Since G = [G,G] by hypothesis, we have N = G.

**Example 2.11.** We can use Theorem 2.10 to show  $A_5$  is a simple group. Its natural action on  $\{1, 2, 3, 4, 5\}$  is doubly transitive. Let x = 5, so  $\operatorname{Stab}_x \cong A_4$ , which has the abelian normal subgroup

$$\{(1), (12)(34), (13)(24), (14)(23)\}.$$

The  $A_5$ -conjugates of this subgroup generate  $A_5$  since the (2,2)-cycles in  $A_5$  are all conjugate in  $A_5$  and they generate  $A_5$ . The commutator subgroup  $[A_5, A_5]$  contains every (2,2)-cycle: if a, b, c, d are distinct then

$$(ab)(cd) = (abc)(abd)(abc)^{-1}(abd)^{-1}.$$

Therefore  $[A_5, A_5] = A_5$ , so  $A_5$  is simple.

# 3. SIMPLICITY OF $PSL_2(F)$

Let F be a field. The group  $\mathrm{SL}_2(F)$  acts on  $F^2 - \{\binom{0}{0}\}$ , but this action is not doubly transitive since linearly dependent vectors can't be sent to linearly independent vectors by a matrix. (We saw this for  $\mathrm{GL}_2(F)$  in Example 2.4, and the same argument applies for its subgroup  $\mathrm{SL}_2(F)$ .) Linearly dependent vectors in  $F^2$  lie along the same line through the origin, so let's consider the action of  $\mathrm{SL}_2(F)$  on the linear subspaces of  $F^2$ : let  $A \in \mathrm{SL}_2(F)$  send the line L = Fv to the line A(L) = F(Av). (Equivalently, we let  $\mathrm{SL}_2(F)$  act on  $\mathbf{P}^1(F)$ , the projective line over F.)

**Theorem 3.1.** The action of  $SL_2(F)$  on the linear subspaces of  $F^2$  is doubly transitive.

*Proof.* An obvious pair of distinct linear subspaces in  $F^2$  is  $F\binom{1}{0}$  and  $F\binom{0}{1}$ . It suffices to show that, given two distinct linear subspaces Fv and Fw of  $F^2$ , there is an  $A \in \mathrm{SL}_2(F)$  that sends  $F\binom{1}{0}$  to Fv and  $F\binom{0}{1}$  to Fw, because we can then use  $F\binom{1}{0}$  and  $F\binom{0}{1}$  as an intermediate step to send a pair of distinct linear subspaces to every other pair of distinct linear subspaces.

Let  $v = \binom{a}{c}$  and  $w = \binom{b}{d}$ . Since  $Fv \neq Fw$ , the vectors v and w are linearly independent, so D := ad - bc is nonzero. Let  $A = \binom{a \ b/D}{c \ d/D}$ , which has determinant a(d/D) - (b/D)c = D/D = 1, so  $A \in \mathrm{SL}_2(F)$ . Since  $A\binom{1}{0} = \binom{a}{c} = v$  and  $A\binom{0}{1} = \binom{b/D}{d/D} = (1/D)w$ , A sends  $F\binom{1}{0}$  to Fv and  $F\binom{0}{1}$  to F(1/D)w = Fw.

We will apply Iwasawa's criterion (Theorem 2.10) to  $SL_2(F)$  acting on the set of linear subspaces of  $F^2$ . This action is doubly transitive by Theorem 3.1. It remains to check

- the kernel K of this action is the center of  $SL_2(F)$ , so  $SL_2(F)/K = PSL_2(F)$ ,
- the stabilizer subgroup of  $\binom{1}{0}$  contains an abelian normal subgroup whose conjugate subgroups generate  $\mathrm{SL}_2(F)$ ,
- $[\operatorname{SL}_2(F), \operatorname{SL}_2(F)] = \operatorname{SL}_2(F).$

It is only in the third part that we will require |F| > 3. (At *some* point we need to avoid  $F = \mathbf{F}_2$  and  $F = \mathbf{F}_3$ , because  $\mathrm{PSL}_2(\mathbf{F}_2)$  and  $\mathrm{PSL}_2(\mathbf{F}_3)$  are not simple.)

**Theorem 3.2.** The kernel of the action of  $SL_2(F)$  on the linear subspaces of  $F^2$  is the center of  $SL_2(F)$ .

Proof. A matrix  $\binom{a \ b}{c \ d} \in \operatorname{SL}_2(F)$  is in the kernel K of the action when it sends each linear subspace of  $F^2$  back to itself. If the matrix preserves the lines  $F\binom{1}{0}$  and  $F\binom{0}{1}$  then c=0 and b=0, so  $\binom{a \ b}{c \ d} = \binom{a \ 0}{0 \ d}$ . The determinant is 1, so d=1/a. If  $\binom{a \ 0}{0 \ 1/a}$  preserves the line  $F\binom{1}{1}$  then a=1/a, so  $a=\pm 1$ . This means  $\binom{a \ b}{c \ d} = \pm \binom{1 \ 0}{0 \ 1}$ . Conversely, the matrices  $\pm \binom{1 \ 0}{0 \ 1}$  both act trivially on the linear subspaces of  $F^2$ , so  $K=\{\pm \binom{1 \ 0}{0 \ 1}\}$ .

If a matrix  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$  is in the center of  $SL_2(F)$  then it commutes with  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  and  $\begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$ , which implies a = d and b = c (check!). Therefore  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} a & 0 \\ 0 & a \end{pmatrix}$ . Since this has determinant 1,  $a^2 = 1$ , so  $a = \pm 1$ . Conversely,  $\pm \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$  commutes with all matrices.

Let  $x = F(\frac{1}{0})$ . Its stabilizer subgroup in  $SL_2(F)$  is

$$\operatorname{Stab}_{F\binom{1}{0}} = \left\{ A \in \operatorname{SL}_{2}(F) : A\binom{1}{0} \in F\binom{1}{0} \right\}$$
$$= \left\{ \begin{pmatrix} a & b \\ 0 & d \end{pmatrix} \in \operatorname{SL}_{2}(F) \right\}$$
$$= \left\{ \begin{pmatrix} a & b \\ 0 & 1/a \end{pmatrix} : a \in F^{\times}, b \in F \right\}.$$

This subgroup has a normal subgroup

$$U = \left\{ \begin{pmatrix} 1 & * \\ 0 & 1 \end{pmatrix} \right\} = \left\{ \begin{pmatrix} 1 & \lambda \\ 0 & 1 \end{pmatrix} : \lambda \in F \right\},$$

which is abelian since  $\begin{pmatrix} 1 & \lambda \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & \mu \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & \lambda + \mu \\ 0 & 1 \end{pmatrix}$ .

**Theorem 3.3.** The subgroup U and its conjugates generate  $SL_2(F)$ . More precisely, each matrix of the form  $\begin{pmatrix} 1 & 0 \\ * & 1 \end{pmatrix}$  is conjugate to a matrix of the form  $\begin{pmatrix} 1 & * \\ 0 & 1 \end{pmatrix}$ , and every element of  $SL_2(F)$  is the product of at most 4 elements of the form  $\begin{pmatrix} 1 & * \\ 0 & 1 \end{pmatrix}$  or  $\begin{pmatrix} 1 & 0 \\ * & 1 \end{pmatrix}$ .

This is the analogue for  $SL_2(F)$  of the 3-cycles generating  $A_n$ .

*Proof.* The matrix  $\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$  is in  $SL_2(F)$  and  $\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}\begin{pmatrix} 1 & \lambda \\ 1 & 0 \end{pmatrix}\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$   $-1 = \begin{pmatrix} 1 & 0 \\ -\lambda & 1 \end{pmatrix}$ , so  $\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$  conjugates  $U = \{\begin{pmatrix} 1 & * \\ 0 & 1 \end{pmatrix}\}$  to the group of lower triangular matrices  $\{\begin{pmatrix} 1 & 0 \\ * & 1 \end{pmatrix}\}$ .

Pick  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$  in  $SL_2(F)$ . To show it is a product of matrices of type  $\begin{pmatrix} 1 & * \\ 0 & 1 \end{pmatrix}$  or  $\begin{pmatrix} 1 & 0 \\ * & 1 \end{pmatrix}$ , first suppose  $b \neq 0$ . Then

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ (d-1)/b & 1 \end{pmatrix} \begin{pmatrix} 1 & b \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ (a-1)/b & 1 \end{pmatrix}.$$

If  $c \neq 0$  then

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} 1 & (a-1)/c \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ c & 1 \end{pmatrix} \begin{pmatrix} 1 & (d-1)/c \\ 0 & 1 \end{pmatrix}.$$

If b = 0 and c = 0 then the matrix is  $\begin{pmatrix} a & 0 \\ 0 & 1/a \end{pmatrix}$ , and

$$\begin{pmatrix} a & 0 \\ 0 & 1/a \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ (1-a)/a & 1 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ a-1 & 1 \end{pmatrix} \begin{pmatrix} 1 & -1/a \\ 0 & 1 \end{pmatrix}. \quad \Box$$

So far F has been a general field. Now we reach a result that requires  $|F| \geq 4$ .

**Theorem 3.4.** If  $|F| \ge 4$  then  $[\operatorname{SL}_2(F), \operatorname{SL}_2(F)] = \operatorname{SL}_2(F)$ .

*Proof.* We compute an explicit commutator:

$$\begin{pmatrix} a & 0 \\ 0 & 1/a \end{pmatrix} \begin{pmatrix} 1 & b \\ 0 & 1 \end{pmatrix} \begin{pmatrix} a & 0 \\ 0 & 1/a \end{pmatrix}^{-1} \begin{pmatrix} 1 & b \\ 0 & 1 \end{pmatrix}^{-1} = \begin{pmatrix} 1 & b(a^2 - 1) \\ 0 & 1 \end{pmatrix}.$$

Since  $|F| \ge 4$ , there is an  $a \ne 0, 1$ , or -1 in F, so  $a^2 \ne 1$ . Using this value of a and letting b run over F shows  $[\operatorname{SL}_2(F), \operatorname{SL}_2(F)]$  contains U. Since the commutator subgroup is normal, it contains every subgroup conjugate to U, so  $[\operatorname{SL}_2(F), \operatorname{SL}_2(F)] = \operatorname{SL}_2(F)$  by Theorem 3.3.

Theorem 3.4 is false when |F| = 2 or 3:  $SL_2(\mathbf{F}_2) = GL_2(\mathbf{F}_2)$  is isomorphic to  $S_3$  and  $[S_3, S_3] = A_3$ . In  $SL_2(\mathbf{F}_3)$  there is a unique 2-Sylow subgroup, so it is normal, and its index is 3, so the quotient by it is abelian. Therefore the commutator subgroup of  $SL_2(\mathbf{F}_3)$  lies inside the 2-Sylow subgroup (in fact, the commutator subgroup is the 2-Sylow subgroup).

**Theorem 3.5.** If  $|F| \ge 4$  then the group  $PSL_2(F)$  is simple.

*Proof.* By the previous four theorems the action of  $SL_2(F)$  on the linear subspaces of  $F^2$  satisfies the hypotheses of Iwasawa's theorem, and its kernel is the center of  $SL_2(F)$ .

4. Simplicity of 
$$PSL_n(F)$$
 for  $n > 2$ 

To prove  $\operatorname{PSL}_n(F)$  is simple for all F when n > 2, we will study the action of  $\operatorname{SL}_n(F)$  on the linear subspaces of  $F^n$ , which is the projective space  $\mathbf{P}^{n-1}(F)$ .

**Theorem 4.1.** The action of  $SL_n(F)$  on  $\mathbf{P}^{n-1}(F)$  is doubly transitive with kernel equal to the center of the group and the stabilizer of some point has an abelian normal subgroup.

*Proof.* For nonzero v in  $F^n$ , write the linear subspace Fv as [v]. Pick  $[v_1] \neq [v_2]$  and  $[w_1] \neq [w_2]$  in  $\mathbf{P}^{n-1}(F)$ . We seek an  $A \in \mathrm{SL}_n(F)$  such that  $A[v_1] = [w_1]$  and  $A[v_2] = [w_2]$ .

Extend  $v_1, v_2$  and  $w_1, w_2$  to bases  $v_1, \ldots, v_n$  and  $w_1, \ldots, w_n$  of  $F^n$ . Let  $L \colon F^n \to F^n$  be the linear map where  $Lv_i = w_i$  for all i, so  $\det L \neq 0$  and on  $\mathbf{P}^{n-1}(F)$  we have  $L[v_i] = [w_i]$  for all i. In particular,  $L[v_1] = [w_1]$  and  $L[v_2] = [w_2]$ . Alas,  $\det L$  may not be 1. For  $c \in F^{\times}$ , let  $L_c \colon F^n \to F^n$  be the linear map where  $L_c v_i = w_i$  for  $i \neq n$  and  $L_c v_n = cw_n$ , so  $L = L_1$ . Then  $L_c$  sends  $[v_i]$  to  $[w_i]$  for all i and  $\det L_c = c \det L$ , so  $L_c \in \mathrm{SL}_n(F)$  for  $c = 1/\det L$ .

If  $A \in \operatorname{SL}_n(F)$  is in the kernel of this action then A[v] = [v] for all nonzero  $v \in F^n$ , so  $Av = \lambda_v v$ , where  $\lambda_v \in F^\times$ : every nonzero element of  $F^n$  is an eigenvector of A. The only matrices for which all vectors are eigenvectors are scalar diagonal matrices. To prove this, use the equation  $Av = \lambda_v v$  when  $v = e_i$ ,  $v = e_j$ , and  $v = e_i + e_j$  for the standard basis  $e_1, \ldots, e_n$  of  $F^n$ . The equation  $A(e_i + e_j) = Ae_i + Ae_j$  implies  $\lambda_{e_i + e_j} e_i + \lambda_{e_i + e_j} e_j = \lambda_{e_i} e_i + \lambda_{e_j} e_j$ , so  $\lambda_{e_i} = \lambda_{e_i + e_j} = \lambda_{e_j}$ . Let  $\lambda$  be the common value of  $\lambda_{e_i}$  over all i, so  $Av = \lambda v$  when v runs through the basis. By linearity,  $Av = \lambda v$  for all  $v \in F^n$ , so A is a scalar diagonal matrix with determinant 1. It is left to the reader to check that the center of  $\operatorname{SL}_n(F)$  is also the scalar diagonal matrices with determinant 1.

To show the stabilizer of some point in  $\mathbf{P}^{n-1}(F)$  has an abelian normal subgroup, we look at the stabilizer H of the point

$$\begin{bmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{bmatrix} \in \mathbf{P}^{n-1}(F),$$

which is the group of  $n \times n$  determinant 1 matrices

$$\begin{pmatrix} a & * \\ \mathbf{0} & M \end{pmatrix}$$

where  $a \in F^{\times}$ ,  $M \in GL_{n-1}(F)$ , and \* is a row vector of length n-1. For this to be in  $SL_n(F)$  means  $a = 1/\det M$ . The projection  $H \to GL_{n-1}(F)$  sending  $\begin{pmatrix} a & * \\ \mathbf{0} & M \end{pmatrix}$  onto M has abelian kernel

$$(4.1) U := \left\{ \begin{pmatrix} 1 & * \\ \mathbf{0} & I_{n-1} \end{pmatrix} \right\} \cong F^{n-1}.$$

To conclude by Iwasawa's theorem that  $PSL_n(F)$  is simple, it remains to show

- the subgroups of  $SL_n(F)$  that are conjugate to U generate  $SL_n(F)$ ,
- $[\operatorname{SL}_n(F), \operatorname{SL}_n(F)] = \operatorname{SL}_n(F).$

This will follow from a study of the elementary matrices  $I_n + \lambda E_{ij}$  where  $i \neq j$  and  $\lambda \in F^{\times}$ . An example of such a matrix when n = 3 is

$$I_3 + \lambda E_{23} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & \lambda \\ 0 & 0 & 1 \end{pmatrix}.$$

The matrix  $I_n + \lambda E_{ij}$  has 1's on the main diagonal and a  $\lambda$  in the (i, j) position. Therefore its determinant is 1, so such matrices are in  $SL_n(F)$ . The most basic example of such an elementary matrix in U is

(4.2) 
$$I_n + E_{12} = \begin{pmatrix} 1 & 1 & \mathbf{0} \\ 0 & 1 & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & I_{n-2} \end{pmatrix}.$$

Here are the two properties we will need about the elementary matrices  $I_n + \lambda E_{ij}$ :

- (1) For n > 2, each  $I_n + \lambda E_{ij}$  is conjugate in  $SL_n(F)$  to  $I_n + E_{12}$ .
- (2) For n > 2, the matrices  $I_n + \lambda E_{ij}$  generate  $SL_n(F)$ .

These properties imply the conjugates of  $I_n + E_{12}$  generate  $SL_n(F)$ . Since  $I_n + E_{12} \in U$ , the subgroups of  $SL_n(F)$  that are conjugate to U generate  $SL_n(F)$ , so the next theorem would complete the proof that  $PSL_n(F)$  is simple for n > 2.

**Theorem 4.2.** For 
$$n > 2$$
,  $[\operatorname{SL}_n(F), \operatorname{SL}_n(F)] = \operatorname{SL}_n(F)$ .

*Proof.* We will show  $I_n + E_{12}$  is a commutator in  $SL_n(F)$ . Then, since the commutator subgroup is normal, the above two properties of elementary matrices imply that  $[SL_n(F), SL_n(F)]$  contains every  $I_n + \lambda E_{ij}$ , and therefore  $[SL_n(F), SL_n(F)] = SL_n(F)$ .

$$g = \begin{pmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \text{ and } h = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 1 & 1 \end{pmatrix}.$$

Then

$$ghg^{-1}h^{-1} = \begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix},$$

which is  $I_3 + E_{12}$ . For  $n \ge 4$ ,  $I_n + E_{12}$  is the block matrix

$$\begin{pmatrix}
1 & 1 & 0 \\
0 & 1 & 0 \\
0 & 0 & I_{n-2}
\end{pmatrix} = \begin{pmatrix}
1 & 1 & 0 & 0 \\
0 & 1 & 0 & 0 \\
0 & 0 & 1 & 0 \\
0 & 0 & 0 & I_{n-3}
\end{pmatrix}$$

$$= \begin{pmatrix}
g & O \\
O & I_{n-3}
\end{pmatrix} \begin{pmatrix}
h & O \\
O & I_{n-3}
\end{pmatrix} \begin{pmatrix}
g & O \\
O & I_{n-3}
\end{pmatrix}^{-1} \begin{pmatrix}
h & O \\
O & I_{n-3}
\end{pmatrix}^{-1}. \qquad \square$$

All that remains is to prove the two properties we listed of the elementary matrices, and this is handled by the next two theorems.

**Theorem 4.3.** For n > 2, each  $I_n + \lambda E_{ij}$  with  $\lambda \in F^{\times}$  is conjugate in  $SL_n(F)$  to  $I_n + E_{12}$ .

*Proof.* Let  $T = I_n + \lambda E_{ij}$ . For the standard basis  $e_1, \ldots, e_n$  of  $F^n$ ,

$$T(e_k) = \begin{cases} e_k, & \text{if } k \neq j, \\ \lambda e_i + e_j, & \text{if } k = j. \end{cases}$$

We want a basis  $e'_1, \ldots, e'_n$  of  $F^n$  in which the matrix representation of T is  $I_n + E_{12}$ , *i.e.*,  $T(e'_k) = e'_k$  for  $k \neq 2$  and  $T(e'_2) = e'_1 + e'_2$ .

Define a basis  $f_1, \ldots, f_n$  of  $F^n$  by  $f_1 = \lambda e_i$ ,  $f_2 = e_j$ , and  $f_3, \ldots, f_n$  is some ordering of the n-2 standard basis vectors of  $F^n$  besides  $e_i$  and  $e_j$ . Then

$$T(f_1) = \lambda T(e_i) = \lambda e_i = f_1, \ T(f_2) = T(e_j) = \lambda e_i + e_j = f_1 + f_2, \ T(f_k) = f_k \text{ for } k \ge 3,$$

so relative to the basis  $f_1, \ldots, f_n$  the matrix representation of T is  $I_n + E_{12}$ . Therefore

$$T = A(I_n + E_{12})A^{-1},$$

where A is the matrix such that  $A(e_k) = f_k$  for all k. (Check  $T = A(I_n + E_{12})A^{-1}$  by checking both sides take the same values at  $f_1, \ldots, f_n$ .) There is no reason to expect det A = 1, so the equation  $T = A(I_n + E_{12})A^{-1}$  shows us T and  $I_n + E_{12}$  are conjugate in  $GL_n(F)$ , rather than in  $SL_n(F)$ . With a small change we can get a conjugating matrix in  $SL_n(F)$ , as follows. For all  $c \in F^{\times}$  we have

$$T = A_c(I_n + E_{12})A_c^{-1},$$

where

$$A_c(e_k) = \begin{cases} f_k, & \text{if } k < n, \\ cf_n, & \text{if } k = n. \end{cases}$$

(Check both sides of the equation  $T = A_c(I_n + E_{12})A_c^{-1}$  are equal at  $f_1, \ldots, f_{n-1}, cf_n$ , where we need n > 2 for both sides to be the same at  $f_2$ .) The columns of  $A_c$  are the same as the columns of A except for the nth column, where  $A_c$  is c times the nth column of A. Therefore  $\det(A_c) = c \det A$ , so if we use  $c = 1/\det A$  then  $A_c \in \mathrm{SL}_n(F)$ . That proves T is conjugate to  $I_n + E_{12}$  in  $\mathrm{SL}_n(F)$ .

#### Example 4.4. Let

$$T = I_3 + \lambda E_{23} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & \lambda \\ 0 & 0 & 1 \end{pmatrix}.$$

Starting from the standard basis  $e_1, e_2, e_3$  of  $F^3$ , introduce a new basis  $f_1, f_2, f_3$  by  $f_1 = \lambda e_2$ ,  $f_2 = e_3$ , and  $f_3 = e_1$ . Since  $T(f_1) = f_1$ ,  $T(f_2) = f_1 + f_2$ , and  $T(f_3) = f_3$ , we have

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & \lambda \\ 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 1 \\ \lambda & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 0 & 0 & 1 \\ \lambda & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}^{-1},$$

where the conjugating matrix

$$\begin{pmatrix}
0 & 0 & 1 \\
\lambda & 0 & 0 \\
0 & 1 & 0
\end{pmatrix}$$

has for its columns  $f_1$ ,  $f_2$ , and  $f_3$  in order. The determinant of this conjugating matrix is  $\lambda$ , so it is usually not in  $SL_3(F)$ . If we insert a nonzero constant c into the third column then we get a more general conjugation relation between  $I_3 + \lambda E_{23}$  and  $I_3 + E_{12}$ :

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & \lambda \\ 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 0 & 0 & c \\ \lambda & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 0 & 0 & c \\ \lambda & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}^{-1}.$$

The conjugating matrix has determinant  $\lambda c$ , so using  $c = 1/\lambda$  makes the conjugating matrix have determinant 1, which exhibits an  $SL_3(F)$ -conjugation between  $I_3 + \lambda E_{23}$  and  $I_3 + E_{12}$ .

**Theorem 4.5.** For  $n \geq 2$ , the matrices  $I_n + \lambda E_{ij}$  with  $i \neq j$  and  $\lambda \in F^{\times}$  generate  $\mathrm{SL}_n(F)$ .

*Proof.* This will be a sequence of tedious computations. By a matrix calculation,

(4.3) 
$$E_{ij}E_{k\ell} = \delta_{jk}E_{i\ell} = \begin{cases} E_{i\ell}, & \text{if } j = k, \\ O, & \text{if } j \neq k. \end{cases}$$

Therefore  $(I_n + \lambda E_{ij})(I_n + \mu E_{ij}) = I_n + (\lambda + \mu)E_{ij}$ , so  $(I_n + \lambda E_{ij})^{-1} = 1 - \lambda E_{ij}$ , so the theorem amounts to saying that every element of  $SL_n(F)$  is a product of matrices  $I_n + \lambda E_{ij}$ .

We already proved the theorem for n=2 in Theorem 3.3, so we can take n>2 and assume the theorem is proved for  $\mathrm{SL}_{n-1}(F)$ . Pick  $A\in\mathrm{SL}_n(F)$ . We will show that by multiplying A on the left or right by suitable elementary matrices  $I_n+\lambda E_{ij}$  we can obtain a block matrix  $\begin{pmatrix} 1 & \mathbf{0} \\ \mathbf{0} & A' \end{pmatrix}$ . Since this is in  $\mathrm{SL}_n(F)$ , taking its determinant shows  $\det A'=1$ , so  $A'\in\mathrm{SL}_{n-1}(F)$ . By induction A' is a product of elementary matrices  $I_{n-1}+\lambda E_{ij}$ , so  $\begin{pmatrix} 1 & \mathbf{0} \\ \mathbf{0} & A' \end{pmatrix}$  would be a product of block matrices of the form  $\begin{pmatrix} 1 & \mathbf{0} \\ \mathbf{0} & I_{n-1}+\lambda E_{ij} \end{pmatrix}$ , which is  $I_n+\lambda E_{i+1}$  j+1. Therefore

(product of some  $I_n + \lambda E_{ij}$ ) A(product of some  $I_n + \lambda E_{ij}$ ) = product of some  $I_n + \lambda E_{ij}$ , and we can solve for A to see that it is a product of matrices  $I_n + \lambda E_{ij}$ .

The effect of multiplying an  $n \times n$  matrix A by  $I_n + \lambda E_{ij}$  on the left or right is an elementary row or column operation:

$$(I_n + \lambda E_{ij})A = \begin{pmatrix} a_{11} & \cdots & a_{1n} \\ \vdots & \ddots & \vdots \\ a_{i1} + \lambda a_{j1} & \cdots & a_{in} + \lambda a_{jn} \\ \vdots & \ddots & \vdots \\ a_{n1} & \cdots & a_{nn} \end{pmatrix} ith row = ith row of A + \lambda(jth row of A)$$

and

$$A(I_n + \lambda E_{ij}) = \begin{pmatrix} a_{11} & \cdots & a_{1j} + \lambda a_{1i} & \cdots & a_{1n} \\ \vdots & \ddots & \vdots & \ddots & \vdots \\ a_{n1} & \cdots & a_{nj} + \lambda a_{ni} & \cdots & a_{nn} \end{pmatrix}$$

$$j \text{th col.} = j \text{th col. of } A + \lambda (i \text{th col. of } A)$$

Looking along the first column of A, some entry is not 0 since det  $A \neq 0$ . If some  $a_{k1}$  in A is not 0 where k > 1, then

(4.4) 
$$\left(I_n + \frac{1 - a_{11}}{a_{k1}} E_{1k}\right) A = \begin{pmatrix} 1 & \cdots \\ \vdots & \ddots \end{pmatrix}.$$

If  $a_{21}, \ldots, a_{n1}$  are all 0, then  $a_{11} \neq 0$  and

$$\left(I_n + \frac{1}{a_{11}}E_{21}\right)A = \begin{pmatrix} a_{11} & \cdots \\ 1 & \cdots \\ \vdots & \ddots \end{pmatrix}.$$

Then by (4.4) with k=2,

$$(I_n + (1 - a_{11})E_{12})\left(I_n + \frac{1}{a_{11}}E_{21}\right)A = \begin{pmatrix} 1 & \cdots \\ \vdots & \ddots \end{pmatrix}.$$

Once we have a matrix with upper left entry 1, multiplying it on the left by  $I_n + \lambda E_{i1}$  for  $i \neq 1$  will add  $\lambda$  to the (i,1)-entry, so with a suitable  $\lambda$  we can make the (i,1)-entry of the matrix 0. Thus multiplication on the left by suitable matrices of the form  $I_n + \lambda E_{ij}$  produces a block matrix  $\begin{pmatrix} 1 & * \\ 0 & B \end{pmatrix}$  whose first column is all 0's except for the upper left entry, which is 1. Multiplying this matrix on the right by  $I_n + \lambda E_{1j}$  for  $j \neq 1$  adds  $\lambda$  to the (1,j)-entry without changing column other than the jth column. With a suitable choice of  $\lambda$  we can make the (1,j)-entry equal to 0, and carrying this out for  $j=2,\ldots,n$  leads to a block matrix  $\begin{pmatrix} 1 & 0 \\ 0 & A' \end{pmatrix}$ , which is what we need to conclude the proof by induction.

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