## 1 Field extensions and algebraic elements

**Definition 1** (Field extension). When K and L are fields, we say that L is an <u>extension</u> of K if there is a homomorphism  $\varphi: K \to L$ . We then talk about the field extension  $(\varphi, K, L)$ .

**Definition 2** (Degree, finite extension). Suppose that L: K is a field extension. We define the <u>degree</u> of L: K to be the dimension of L as a vector space over K. We use the notation [L:K] to denote the <u>degree</u> of L: K. Further, we say that L: K is a finite extension if  $[L:K] < \infty$ .

**Definition 3** (Tower, intermediate field). We say that M:L:K is a <u>tower</u> of field extensions if M:L and L:K are field extensions, and in this case we say that L is an <u>intermediate field</u> (relative to the extension M:K)

**Proposition 1.1.** Suppose that L is a field extension of K with associated embedding  $\varphi: K \to L$ . Then L forms a vector space over K, under the operations

(vector addition) 
$$\psi: L \times L \to L$$
 given by  $(v_1, v_2) \mapsto v_1 + v_2$   
(scalar multiplication)  $\tau: K \times L \to L$  given by  $(k, v) \mapsto \varphi(k)v$ .

**Theorem 1.2** (The Tower Law). Suppose that M:L:K is a tower of field extensions. Then M:K is a field extension, and [M:K] = [M:L][L:K].

**Corollary 1.3.** Suppose that L: K is a field extension for which [L: K] is a prime number. Then whenever L: M: K is a tower of field extensions with  $K \subseteq M \subseteq L$ , one has either M = L or M = K.

**Proposition 1.4.** Suppose that K and L are fields and that  $\varphi: K \to L$  is a homomorphism.

With t and y denoting indeterminates, extend the homomorphism  $\varphi$  to the mapping  $\psi: K[t] \to L[y]$  by defining

$$\psi(a_0 + a_1t + \dots + a_nt^n) = \varphi(a_0) + \varphi(a_1)y + \dots + \varphi(a_n)y^n.$$

Then  $\psi: K[t] \to L[y]$  is an injective homomorphism.

Also, when  $\varphi: K \to L$  is surjective, then  $\psi: K[t] \to L[y]$  is surjective and maps irreducible polynomials in K[t] to irreducible polynomials in L[y].

**Definition 4** (Algebraic/transcendental element). Suppose that L: K is a field extension with associated embedding  $\varphi$ . Suppose also that  $\alpha \in L$ .

- (i) We say that  $\alpha$  is algebraic over K when  $\alpha$  is the root of  $\varphi(f)$  for some non-zero polynomial  $f \in K[t]$ .
- (ii) If  $\alpha$  is not algebraic over K, then we say  $\alpha$  is transcendental over K.
- (iii) When every element of L is algebraic over K, we say that the field L is algebraic over K.

**Definition 5** (Evaluation map). Suppose that L: K is a field extension with  $K \subseteq L$ , and that  $\alpha \in L$ . We define the <u>evaluation map</u>  $E_{\alpha}: K[t] \to L$  by putting  $E_{\alpha}(f) = f(\alpha)$  for each  $f \in K[t]$ .

**Proposition 1.5.** Suppose L: K is a field extension with  $K \subseteq L$ , and  $\alpha \in L$ . Then  $E_{\alpha}$  is a ring homomorphism.

**Proposition 1.6.** Let L: K be a field extension with  $K \subseteq L$ , and suppose that  $\alpha \in L$  is algebraic over K. Then

$$I = ker(E_{\alpha}) = \{ f \in K[t] : f(\alpha) = 0 \}$$

is a nonzero ideal of K[t], and there is a unique monic polynomial  $m_{\alpha}(K) \in K[t]$  that generates I.

**Definition 6** (Minimal polynomial). Suppose that L: K is a field extension with  $K \subseteq L$ , and suppose that  $\alpha \in L$  is algebraic over K. Then the minimal polynomial of  $\alpha$  over K is the unique monic polynomial  $m_{\alpha}(K)$  having the property that  $\ker(E_{\alpha}) = (m_{\alpha}(K))$ .

**Theorem 1.7.** Suppose that L: K is a field extension, and that  $\alpha \in L$  is algebraic over K. Let g be the minimal polynomial  $m_{\alpha}(K)$  of  $\alpha$  over K. Then g is irreducible over K, and K[t]/(g) is a field.

**Theorem 1.8.** Let K be a field, and suppose that  $f \in K[t]$  is irreducible. Then there exists a field extension L: K, with associated embedding  $\varphi: K[t] \to L[y]$ , having the property that L contains a root of  $\varphi(f)$ .

**Definition 7** (Smallest subring/subfield). Let L: K be a field extension with  $K \subseteq L$ .

- (i) When  $\alpha \in L$ , we denote by  $K[\alpha]$  the <u>smallest subring of L containing K and  $\alpha$ </u>, and by  $K(\alpha)$  the smallest subfield of L containing K and  $\alpha$ ;
- (ii) More generally, when  $A \subseteq L$ , we denote by K[A] the <u>smallest subring of L containing K and A</u>, and by K(A) the smallest subfield of L containing K and  $\overline{A}$ .

**Proposition 1.9.** Let L: K be a field extension with  $K \subseteq L$ . Let  $A \subseteq L$  and

$$C = \{C \subseteq A : C \text{ is a finite set}\}.$$

Then  $K(A) = \bigcup_{C \in \mathcal{C}} K(C)$ . Further, when  $[K(C) : K] < \infty$  for all  $C \in \mathcal{C}$ , then K(A) : K is an algebraic extension.

**Proposition 1.10.** Let L: K be a field extension with  $K \subseteq L$ , and suppose that  $\alpha \in L$ . Then

$$K[\alpha] = \{c_0 + c_1 \alpha + \dots + c_d \alpha^d : d \in \mathbb{Z}_{\leq 0}, c_0, \dots, c_d \in K\}$$

and

$$K(\alpha) = \{ f/g : f, g \in K[\alpha], g \neq 0 \}.$$

**Theorem 1.11.** Let L: K be a field extension with  $K \subseteq L$ , and suppose that  $\alpha \in L$  is algebraic over K.

- (i) The ring  $K[\alpha]$  is a field, and  $K[\alpha] = K(\alpha)$ ;
- (ii) Let  $n = \deg m_{\alpha}(K)$ . Then  $\{1, \alpha, \alpha^2, \dots, \alpha^{n-1}\}$  is a basis for  $K(\alpha)$  over K, and hence  $[K(\alpha) : K] = \deg m_{\alpha}(K)$ .

**Proposition 1.12.** Let L: K be a field extension with  $K \subseteq L$ , and suppose that  $\alpha \in L$ . Then  $\alpha$  is algebraic over K if and only if  $[K(\alpha):K] < \infty$ .

**Proposition 1.13.** Suppose that L: K is a field extension with  $K \subseteq L$ , and suppose that  $\alpha \in L$  is algebraic over K. Then every element of  $K(\alpha)$  is algebraic over K.

**Theorem 1.14.** Let L: K be a field extension with  $K \subseteq L$ . Then the following are equivalent:

- (i) one has  $[L:K] < \infty$ ;
- (ii) the extension L: K is algebraic, and there exist  $\alpha_1, \ldots, \alpha_n \in L$  having the property that  $L = K(\alpha_1, \ldots, \alpha_n)$ .

**Proposition 1.15.** Let L: K be a field extension, and define

$$L^{\text{alg}} = \{ \alpha \in L : \alpha \text{ is algebraic over } K \}.$$

Then  $L^{\text{alg}}$  is a subfield of L.

**Definition 8** (Characteristic). Let K be a field with additive identity  $0_K$  and multiplicative identity  $1_K$ . When  $n \in \mathbb{N}$ , we write  $n \cdot 1_K$  to denote  $1_K + \ldots + 1_K$  (as an n-fold sum). We define the <u>characteristic</u> of K, denoted by  $\operatorname{char}(K)$ , to be the smallest positive integer m with the property that  $m \cdot 1_K = 0_K$ ; if no such integer m exists, we define the characteristic of K to be 0.

**Proposition 1.16.** Let K be a field with  $\operatorname{char}(K) > 0$ . Then  $\operatorname{char}(K)$  is equal to a prime number p, and then for all  $x \in K$  one has  $p \cdot x = 0$ .

**Theorem 1.17.** Suppose that  $\operatorname{char}(K) = p > 0$ , and put  $F = \{c \cdot 1_K : c \in \mathbb{Z}\}$ . Then F is a subfield (called the prime subfield) of K, and  $F \cong \mathbb{Z}/p\mathbb{Z}$ .

**Theorem 1.18.** Let K be a field, and denote by  $K^{\times}$  the abelian multiplicative group  $K \setminus \{0\}$ . Then every finite subgroup G of  $K^{\times}$  is cyclic. In particular, if K is a finite field then  $K^{\times}$  is cyclic.

**Definition 9** (Highest common factor, content, primitive). Let R be a UFD. When  $a_0, \ldots, a_n \in R$  are not all 0, we define as a highest common factor of  $a_0, \ldots, a_n$  (written  $hcf(a_0, \ldots, a_n)$ ) any element  $c \in R$  satisfying

- (i)  $c \mid a_i \ (0 \le i \le n)$ , and
- (ii) whenever  $d \mid a_i \ (0 \le i \le n)$ , then  $d \mid c$ .

When  $f = a_0 + a_1X + \ldots + a_nX^n$  is a non-zero polynomial in R[X], we define a <u>content</u> of f to be any  $hcf(a_0, \ldots, a_n)$ . We say that  $f \in R[X]$  is <u>primitive</u> if  $f \neq 0$  and the content of f is <u>divisible</u> only by units of R

**Theorem 1.19** (Gauss' Lemma). Suppose that R is a UFD with field of fractions Q. Suppose that f is a primitive element of R[X] with deg f > 0. Then f is irreducible in R[X] if and only if f is irreducible in Q.

**Theorem 1.20** (Eisenstein's Criterion). Suppose that R is a UFD, and that  $f = a_0 + a_1 X + \ldots + a_n X^n \in R[X]$  is primitive. Then provided that there is an irreducible element p of R having the property that

- (i)  $p \mid a_i \text{ for } 0 \le i < n$ ,
- (ii)  $p^2 \nmid a_0$ , and
- (iii)  $p \nmid a_n$ ,

then f is irreducible in R[X], and hence also in Q[X], where Q is the field of fractions of R.

**Theorem 1.21** (Localisation principle). Let R be an integral domain, and let I be a prime ideal of R. Define  $\varphi: R[X] \to (R/I)[X]$  by putting

$$\varphi(a_0 + a_1 X + \dots + a_n X^n) = \overline{a}_0 + \overline{a}_1 X + \dots + \overline{a}_n X^n,$$

where  $\overline{a}_j = a_j + I$ . Then  $\varphi$  is a surjective homomorphism. Moreover, if  $f \in R[X]$  is primitive with leading coefficient not in I, then f is irreducible in R[X] whenever  $\varphi(f)$  is irreducible in (R/I)[X].

## 3 Extending field homomorphisms and the Galois group of an extension

**Definition 16** (Extension of field homomorphism, isomorphic field extensions). For i=1 and 2, let  $L_i:K_i$  be a field extension relative to the embedding  $\varphi_i:K_i\to L_i$ . Suppose that  $\sigma:K_1\to K_2$  and  $\tau:L_1\to L_2$  are isomorphisms. We say that  $\underline{\tau}$  extends  $\underline{\sigma}$  if  $\tau\circ\varphi_1=\varphi_2\circ\sigma$ . In such circumstances, we say that  $L_1:K_1$  and  $L_2:K_2$  are isomorphic field extensions.

When  $\sigma: K_1 \to K_2$  and  $\tau: L_1 \to L_2$  are homomorphisms (instead of isomorphisms), then  $\underline{\tau}$  extends  $\underline{\sigma}$  as a homomorphism of fields when the isomorphism  $\tau: L_1 \to L'_1 = \tau(L_1)$  extends the isomorphism  $\sigma: K_1 \to K'_1 = \sigma(K_1)$ .

**Definition 17** (F-homomorphism). Let L: K be a field extension relative to the embedding  $\varphi: K \to L$ , and let M be a subfield of L containing  $\varphi(K)$ . Then, when  $\sigma: M \to L$  is a homomorphism, we say that  $\sigma$  is a K-homomorphism if  $\sigma$  leaves  $\varphi(K)$  pointwise fixed, which is to say that for all  $\alpha \in \varphi(K)$ , one has  $\sigma(\alpha) = \alpha$ .

**Proposition 3.1.** Suppose that L: K is a field extension with  $K \subseteq L$ , and that  $\tau: L \to L$  is a K-homomorphism. Suppose that  $f \in K[t]$  has the property that  $\deg f \geq 1$ , and additionally that  $\alpha \in L$ . Then

- (i) if  $f(\alpha) = 0$ , one has  $f(\tau(\alpha)) = 0$ ;
- (ii) when  $\tau$  is a K-automorphism of L, one has that  $f(\alpha) = 0$  if and only if  $f(\tau(\alpha)) = 0$ .

**Theorem 3.2.** Let  $\sigma: K_1 \to K_2$  be a field isomorphism. Suppose that  $L_i$  is a field with  $K_i \subseteq L_i$  (i = 1, 2). Suppose also that  $\alpha \in L_1$  is algebraic over  $K_1$ , and that  $\beta \in L_2$  is algebraic over  $K_2$ . Then we can extend  $\sigma$  to an isomorphism  $\tau: K_1(\alpha) \to K_2(\beta)$  in such a manner that  $\tau(\alpha) = \beta$  if and only if  $m_{\beta}(K_2) = \sigma(m_{\alpha}(K_1))$ .

**Note:** When  $\tau: K_1(\alpha) \to K_2(\beta)$  is a homomorphism, and  $\tau$  extends the homomorphism  $\sigma: K_1 \to K_2$ , then  $\tau$  is completely determined by  $\sigma$  and the value of  $\tau(\alpha)$ .

**Corollary 3.3.** Let L: M be a field extension with  $M \subseteq L$ . Suppose that  $\sigma: M \to L$  is a homomorphism, and  $\alpha \in L$  is algebraic over M. Then the number of ways we can extend  $\sigma$  to a homomorphism  $\tau: M(\alpha) \to L$  is equal to the number of distinct roots of  $\sigma(m_{\alpha}(M))$  that lie in L.

**Definition 18** (Galois group of extension). Suppose that L: K is a field extension. With Aut(L) denoting the automorphism group of L, we set

$$Gal(L:K) = \{ \sigma \in Aut(L) : \sigma \text{ is a } K\text{-homomorphism} \}$$

and we call Gal(L:K) the Galois group of L:K.

**Note:** Proposition 3.1 tells us that when  $f \in K[t]$  and  $\sigma \in Gal(L:K)$ , the mapping  $\sigma$  permutes the roots of f that lie in L.

**Theorem 3.4.** Suppose that L: K is an algebraic extension, and  $\sigma: L \to L$  is a K-homomorphism. Then  $\sigma$  is an automorphism of L.

**Theorem 3.5.** If L: K is a finite extension, then  $|Gal(L:K)| \leq [L:K]$ .

**Corollary 3.6.** Suppose that L: F and L: F' are finite extensions with  $F \subseteq L$  tand  $F' \subseteq L$ , and further that  $\psi: F \to F'$  is an isomorphism. Then there are at most [L:F] ways to extend  $\psi$  to a homomorphism from L into L.

Corollary 3.7. Let L: K be a finite extension with  $K \subseteq L$ . Suppose that  $\alpha_1, \ldots, \alpha_n \in L$  and put  $L = K(\alpha_1, \ldots, \alpha_n)$ . Let  $K_0 = K$ , and for  $1 \le i \le n$ , let  $K_i = K_{i-1}(\alpha_i)$ . Then every automorphism  $\tau \in \operatorname{Gal}(L:K)$  corresponds to a sequence of homomorphisms  $\sigma_1, \ldots, \sigma_n$ , having the property that  $\sigma_0: K \to L$  is the inclusion map, one has  $\sigma_n = \tau$ , and for  $1 \le i \le n$ , the map  $\sigma_i: K_i \to L$  is a homomorphism extending  $\sigma_{i-1}: K_{i-1} \to L$ .

# 4 Algebraic closures

**Definition 19** (Algebraically closed field, algebraic closure). Let M be a field.

- (i) We say that M is algebraically closed if every non-constant polynomial  $f \in M[t]$  has a root in M.
- (ii) We say that M is an algebraic closure of K if M: K is an algebraic field extension having the property that M is algebraically closed.

**Lemma 4.1.** Let M be a field. The following are equivalent:

- (i) The field M is algebraically closed;
- (ii) every non-constant polynomial  $f \in M[t]$  factors in M[t] as a product of linear factors;
- (iii) every irreducible polynomial in M[t] has degree 1;
- (iv) the only algebraic extension of M containing M is itself.

**Definition 20** (Chain). Suppose that X is a nonempty, partially ordered set with  $\leq$  denoting the partial ordering. A <u>chain</u> C in X is a collection of elements  $\{a_i\}_{i\in I}$  of X having the property that for every  $i, j \in I$ , either  $a_i \leq a_j$  or  $a_j \leq a_i$ .

**Zorn's Lemma:** Suppose that X is a nonempty, partially ordered set with  $\leq$  the partial ordering. Suppose that every non-empty chain C in X has an upper bound in X. Then X has at least one maximal element m, meaning that if  $b \in X$  with  $m \leq b$ , then b = m.

**Proposition 4.2.** Any proper ideal A of a commutative ring R is contained in a maximal ideal.

**Lemma 4.3.** Let K be a field. Then there exists an algebraic extension E: K, with  $K \subseteq E$ , having the property that E contains a root of every irreducible  $f \in K[t]$ , and hence also every  $g \in K[t] \setminus K$ .

**Theorem 4.4.** Suppose that K is a field. Then there exists an algebraic extension  $\overline{K}$  of K having the property that  $\overline{K}$  is algebraically closed.

Corollary 4.5. When K is a field, the field  $\overline{K}$  is a maximal algebraic extension of K.

**Theorem 4.6.** Let E be an algebraic extension of K with  $K \subseteq E$ , and let  $\overline{K}$  be an algebraic closure of K. Given a homomorphism  $\varphi: K \to \overline{K}$ , the map  $\varphi$  can be extended to a homomorphism from E into  $\overline{K}$ .

**Corollary 4.7.** Suppose that  $\overline{K}$  is an algebraic closure of K, and assume that  $K \subseteq \overline{K}$ . Take  $\alpha \in \overline{K}$  and suppose that  $\sigma : K \to \overline{K}$  is a homomorphism. Then the number of distinct roots of  $m_{\alpha}(K)$  in  $\overline{K}$  is equal to the number of distinct roots of  $\sigma(m_{\alpha}(K))$  in  $\overline{K}$ .

**Proposition 4.8.** Suppose that L and M are fields having the property that L is algebraically closed, and  $\psi: L \to M$  is a homomorphism. Then  $\psi(L)$  is algebraically closed.

**Proposition 4.9.** If L and M are both algebraic closures of K, then  $L \cong M$ .

**Proposition 4.10.** If L: K is an algebraic extension, then  $\overline{L}$  is an algebraic closure of K, and hence  $\overline{L} \cong \overline{K}$ . If in addition  $K \subseteq L \subseteq \overline{L}$ , then we can take  $\overline{K} = \overline{L}$ .

**Proposition 4.11.** Let L: K be an extension with  $K \subseteq L$ . Suppose that  $g \in L[t]$  is irreducible over L, and that  $g \mid f$  in L[t], where  $f \in K[t] \setminus \{0\}$ . The g divides a factor of f that is irreducible over K. Thus, there exists an irreducible  $h \in K[t]$  having the property that  $h \mid f$  in K[t], and  $g \mid h$  in L[t].

## 5 Splitting field extensions

**Definition 21** (Splitting field, splitting field extension). Suppose that L: K is a field extension relative to the embedding  $\varphi: K \to L$ , and  $f \in K[t] \setminus K$ .

- (i) We say that f splits over L if  $\varphi(f) = \lambda(t \alpha_1) \cdots (t \alpha_n)$ , for some  $\lambda \in \varphi(K)$  and  $\alpha_1, \ldots, \alpha_n \in L$ .
- (ii) Suppose that f splits over L, and let M be a field with  $\varphi(K) \subseteq M \subseteq L$ . We say that  $\underline{M} : K$  is a splitting field extension for  $\underline{f}$  if M is the smallest subfield of L containing  $\varphi(K)$  over which  $\underline{f}$  splits.
- (iii) More generally, suppose that  $S \subseteq K[t] \setminus K$  has the property that every  $f \in S$  splits over L. Let M be a field with  $\varphi(K) \subseteq M \subseteq L$ . We say that  $\underline{M:K}$  is a splitting field extension for S if M is the smallest subfield of L containing  $\varphi(K)$  over which every polynomial  $f \in S$  splits.

**Proposition 5.1.** Suppose that L: K is a splitting field extension for the polynomial  $f \in K[t] \setminus K$  with associated embedding  $\varphi: K \to L$ . Let  $\alpha_1, \ldots, \alpha_n \in L$  be the roots of  $\varphi(f)$ . Then  $L = \varphi(K)(\alpha_1, \ldots, \alpha_n)$ .

**Proposition 5.2.** Suppose that L: K is a splitting field extension for the polynomial  $f \in K[t] \setminus K$ . Then  $[L:K] \leq (\deg f)!$ 

**Proposition 5.3.** Given  $S \subseteq K[t] \setminus K$ , there exists a splitting field extension L : K for S, and L : K is an algebraic extension. More explicitly, suppose that  $\overline{K}$  is an algebraic closure of K, and that  $\overline{K} : K$  is an extension relative to the embedding  $\varphi : \overline{K} \to K$ . Let

$$A = \left\{\alpha \in \overline{K} : \alpha \text{ is a root of } \varphi(f), \text{ for some } f \in S\right\}.$$

Put  $K' = \varphi(K)$ . Then K'(A) : K is a splitting field extension for S.

**Theorem 5.4.** Let  $f \in K[t] \setminus K$ , and suppose that L : K and M : K are splitting field extensions for f. Then  $L \cong M$ , and thus [L : K] = [M : K].

**Theorem 5.5.** Suppose that  $S \subseteq K[t] \setminus K$ , and suppose that L : K and M : K are splitting field extensions for S. Then  $L \cong M$  and [L : K] = [M : K].

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## 6 Normal extensions and composita

**Definition 22** (Normal extension). The extension L: K is <u>normal</u> if it is algebraic, and every irreducible polynomial  $f \in K[t]$  either splits over L or has no root in L.

**Proposition 6.1.** Suppose that L: K is a normal extension with  $K \subseteq L \subseteq \overline{K}$ . Then for any K-homomorphism  $\tau: L \to \overline{K}$ , we have  $\tau(L) = L$ .

**Proposition 6.2.** An extension L: K is a finite, normal extension if and only if it is a splitting field extension for some  $f \in K[t] \setminus K$ . More generally, an extension L: K is normal if and only if it is a splitting field extension for some  $S \subseteq K[t] \setminus K$ .

**Proposition 6.3.** Suppose that L:M:K is a tower of field extensions and L:K is a normal extension. Then L:M is also a normal extension.

**Theorem 6.4.** Suppose that M:L:K is a tower of field extensions having the property that M:K is normal. Assume that  $K\subseteq L\subseteq M$ . Then the following are equivalent:

- (i) the field extension L: K is normal;
- (ii) any K-homomorphism of L into M is an automorphism of L;
- (iii) whenever  $\sigma: M \to M$  is a K-automorphism, then  $\sigma(L) \subseteq L$ .

**Proposition 6.5.** Suppose that M: K is a normal extension. Then:

- (a) for any  $\sigma \in Gal(M:K)$  and  $\alpha \in M$ , we have  $m_{\sigma(\alpha)}(K) = m_{\alpha}(K)$ ;
- (b) for any  $\alpha, \beta \in M$  with  $m_{\alpha}(K) = m_{\beta}(K)$ , there exists  $\tau \in Gal(M : K)$  having the property that  $\tau(\alpha) = \beta$ .

**Definition 23** (Compositum). Let  $K_1$  and  $K_2$  be fields contained in some field L. The <u>compositum</u> of  $K_1$  and  $K_2$  in L, denoted by  $K_1K_2$ , is the smallest subfield of L containing both  $K_1$  and  $K_2$ .

**Proposition 6.6.** Suppose that E: K and F: K are finite extensions having the property that K, E and F are contained in a field L. Then EF: K is a finite extension.

**Theorem 6.7.** Let E: K and F: K be finite extensions having the property that K, E and F are contained in a field L.

- (a) When E: K is normal, then EF: F is normal.
- (b) When E: K and F: K are both normal, then EF: K and  $E \cap F: K$  are normal.

## 7 Separability

**Definition 25** (Separable). Let K be a field.

- (i) An irreducible polynomial  $f \in K[t]$  is <u>separable over K</u> if it has no multiple roots, meaning that  $f = \lambda(t \alpha_1)(t \alpha_2) \cdots (t \alpha_d)$ , where  $\alpha_1, \ldots, \alpha_d \in \overline{K}$  are distinct.
- (ii) A non-zero polynomial  $f \in K[t]$  is <u>separable over K</u> if its irreducible factors in K[t] are separable over K.
- (iii) When L: K is a field extension, we say that  $\alpha \in L$  is <u>separable over K</u> when  $\alpha$  is algebraic over K and  $m_{\alpha}(K)$  is separable.
- (iv) An algebraic extension L: K is a separable extension if every  $\alpha \in L$  is separable over K.

**Proposition 7.1.** Suppose that L:M:K is a tower of algebraic field extensions. Assume that  $K\subseteq M\subseteq L\subseteq \overline{K}$ , and suppose that  $f\in K[t]\setminus K$  satisfies the property that f is separable over K. If  $g\in M[t]\setminus M$  has the property that  $g\mid f$ , then g is separable over M. Thus, if  $\alpha\in L$  is separable over K then  $\alpha$  is separable over M, and if L:K is separable then so is L:M.

**Proposition 7.2.** Suppose that L: M is an algebraic field extension. Let  $\alpha \in L$  and  $\sigma: M \to \overline{M}$  be a homomorphism. Then  $\sigma(m_{\alpha}(M))$  is separable over  $\sigma(M)$  if and only if  $m_{\alpha}(M)$  is separable over M.

**Theorem 7.3.** Let L: K be a finite extension with  $K \subseteq L \subseteq \overline{K}$ , whence  $L = K(\alpha_1, ..., \alpha_n)$  for some  $\alpha_1, ..., \alpha_n \in L$ . Put  $K_0 = K$ , and for  $1 \le i \le n$ , set  $K_i = K_{i-1}(\alpha_i)$ . Finally, let  $\sigma_0: K \to \overline{K}$  be the inclusion map.

- (i) If  $\alpha_i$  is separable over  $K_{i-1}$  for  $1 \leq i \leq n$ , then there are [L:K] ways to extend  $\sigma_0$  to a homomorphism  $\tau: L \to \overline{K}$ .
- (ii) If  $\alpha_i$  is not separable over  $K_{i-1}$  for some i with  $1 \le i \le n$ , then there are fewer than [L:K] ways to extend  $\sigma_0$  to a homomorphism  $\tau: L \to \overline{K}$ .

**Theorem 7.4.** Let L: K be a finite extension with  $L = K(\alpha_1, \ldots, \alpha_n)$ . Set  $K_0 = K$ , and for  $1 \le i \le n$ , inductively define  $K_i$  by putting  $K_i = K_{i-1}(\alpha_i)$ . Then the following are equivalent:

- (i) the element  $\alpha_i$  is separable over  $K_{i-1}$  for  $1 \leq i \leq n$ ;
- (ii) the element  $\alpha_i$  is separable over K for  $1 \leq i \leq n$ ;
- (iii) the extension L: K is separable.

**Corollary 7.5.** Suppose that L: K is a finite extension. If L: K is a separable extension, then the number of K-homomorphism  $\sigma: L \to \overline{K}$  is [L:K], and otherwise the number is smaller than [L:K].

**Corollary 7.6.** Suppose that  $f \in K[t] \setminus K$  and that L : K is a splitting field extension for f. Then L : K is a separable extension if and only if f is separable over K. More generally, suppose that L : K is a splitting field extension for  $S \subseteq K[t] \setminus K$ . Then L : K is a separable extension if and only if each  $f \in S$  is separable over K.

**Theorem 7.7.** Suppose that L:M:K is a tower of algebraic extensions. Then L:K is separable if and only if L:M and M:K are both separable.

**Theorem 7.8.** Suppose that E: K and F: K are finite extensions with  $E\subseteq L$  and  $F\subseteq L$ , where L is a field.

- (a) When E: K is separable, then so too is EF: F;
- (b) When E: K and F: K are both separable, then so too are EF: K and  $E \cap F: K$ .

## 8 Inseparable polynomials, differentiation, and the Frobenius map

**Definition 26** (Inseparable). A polynomial  $f \in K[t]$  is inseparable over K if f is not separable over K, meaning that f has an irreducible factor  $g \in K[t]$  having the property that g has fewer than  $\deg g$  distinct roots in K.

**Definition 27** (Formal derivative). We define the derivative operator  $\mathcal{D}: K[t] \to K[t]$  by

$$\mathcal{D}\left(\sum_{k=0}^{n} a_k t^k\right) = \sum_{k=1}^{n} k a_k t^{k-1}.$$

**Theorem 8.1.** Let  $f \in K[t] \setminus K$ , and let L : K be a splitting field extension for f. Assume that  $K \subseteq L$ . Then the following are equivalent:

- (i) The polynomial f has a repeated root over L;
- (ii) There is some  $\alpha \in L$  for which  $f(\alpha) = 0 = (\mathcal{D}f)(\alpha)$ ;
- (iii) There is some  $g \in K[t]$  having the property that  $\deg g \geq 1$  and g divides both f and  $\mathcal{D}f$ .

**Theorem 8.2.** Suppose that  $f \in K[t]$  is irreducible over K. Then f is inseparable over K if and only if  $\operatorname{char}(K) = p > 0$ , and  $f \in K[t^p]$ , which is to say that  $f = a_0 + a_1 t^p + \cdots + a_m t^{mp}$ , for some  $a_0, \ldots, a_m \in K$ .

Corollary 8.3. Suppose that char(K) = 0. Then all polynomials in K[t] are separable over K.

**Definition 28** (Frobenius map). Suppose that  $\operatorname{char}(K) = p > 0$ . The <u>Frobenius map</u>  $\phi : K \to K$  is defined by  $\phi(\alpha) = \alpha^p$ .

**Note:** Fix( $_{)}\phi(K) = \{\alpha \in K : \phi(\alpha) = \alpha\}.$ 

**Theorem 8.4.** Suppose that char(K) = p > 0, and let F be the prime subfield of K. Let  $\phi : K \to K$  denote the Frobenius map. Then  $\phi$  is an injective homomorphism, and  $Fix()\phi(K) = F$ .

**Corollary 8.5.** Suppose that char(K) = p > 0 and K is algebraic over its prime subfield. Then the Frobenius map is an automorphism of K.

**Corollary 8.6.** Suppose that char(K) = p > 0 and K is algebraic over its prime subfield. Then all polynomials in K[t] are separable over K.

**Theorem 8.7.** Suppose that char(K) = p > 0. Let

$$f(t) = g(t^p) = a_0 + a_1 t^p + \dots + a_{n-1} t^{(n-1)p} + t^{np}$$

be a non-constant monic polynomial over K. Then f(t) is irreducible in K[t] if and only if g(t) is irreducible in K[t] and not all the coefficients  $a_i$  are p-th powers in K.

### 9 The Primitive Element Theorem

**Definition 29** (Simple extension). Suppose L: K is a field extension relative to the embedding  $\varphi: K \to L$ . We say that L: K is a <u>simple extension</u> if there is some  $\gamma \in L$  having the property that  $L = \varphi(K)(\gamma)$ .

**Theorem 9.1** (The Primitive Element Theorem). Let L: K be a finite, separable extension with  $K \subseteq L$ . Then L: K is a simple extension.

**Corollary 9.2.** Suppose that L: K is an algebraic, separable extension, and suppose that for every  $\alpha \in L$ , the polynomial  $m_{\alpha}(K)$  has degree at most n over K. Then  $[L:K] \leq n$ .

#### 10 Fixed fields and Galois extensions

**Definition 30** (Fixed field). Let L: K be a field extension. When G is a subgroup of Aut(L), we define the fixed field of G to be

$$Fix(_1L(G) = \{ \alpha \in L : \sigma(\alpha) = \alpha \text{ for all } \sigma \in G \}.$$

**Proposition 10.1.** Let K, M and L be fields with  $K \subseteq L$  and  $M \subseteq L$ . Suppose that G and H are subgroups of  $\operatorname{Aut}(L)$ . Then one has the following:

- (a) if  $K \subseteq M$ , then  $Gal(L:K) \geqslant Gal(L:M)$ ;
- (b) if  $G \leq H$ , then  $Fix(_1L(G) \supseteq Fix(_1L(H);$
- (c) one has  $K \subseteq \text{Fix}(L(\text{Gal}(L:K)))$ ;
- (d) one has  $G \leq \operatorname{Gal}(L : \operatorname{Fix}_{\backslash}L(G));$
- (e) one has Gal(L:K) = Gal(L:Fix(L)Gal(L:K));
- (f) one has Fix(L(G)) = Fix(L(Gal(L : Fix(L(G)))).

**Definition 31** (Galois extension). When L: K is a field extension, we say that L: K is a <u>Galois extension</u> if it is an extension that is normal and separable.

**Theorem 10.2.** Suppose that L: K is an algebraic extension. Then L: K is Galois if and only if  $K = \text{Fix}({}_{1}L(\text{Gal}(L:K)).$ 

**Theorem 10.3.** Suppose that L is a field and G is a finite subgroup of Aut(L), and put K = Fix(LG). Then L: K is a finite Galois extension with [L: K] = |Gal(L: K)|, and furthermore G = Gal(L: K).

**Theorem 10.4.** Suppose that L: K is a finite extension. Then, if L: K is a Galois extension, one has |Gal(L:K)| = [L:K] and  $K = Fix(_1L(Gal(L:K))$ . If L: K is not Galois, meanwhile, one has |Gal(L:K)| < [L:K] and K is a proper subfield of  $Fix(_1L(Gal(L:K)))$ .

**Proposition 10.5.** Suppose that L: K is a Galois extension, and further that L: M: K is a tower of field extensions. Then L: M is a Galois extension.

## 11 The main theorems of Galois theory

**Definition 32.** Suppose that L: K is a field extension. When G is a subgroup of Aut(L), we write  $\phi(G)$  for  $Fix(_1L(G), and when <math>L: M: K_0$  is a tower of field extensions with  $K_0 = \phi(Gal(L:K))$ , we write  $\gamma(M)$  for Gal(L:M).

**Theorem 11.1** (The Fundamental Theorem of Galois Theory). Suppose that L: K is a finite extension, let  $G = \operatorname{Gal}(L:K)$ , and put  $K_0 = \phi(G)$ . Then one has the following:

- (a) the map  $\phi$  is a bijection from the set of subgroups of G onto the set of fields M intermediate between L and  $K_0$ , and  $\gamma$  is the inverse map;
- (b) if  $H \leq G$ , then  $H \leq G$  if and only if  $\phi(H) : K_0$  is a normal extension;
- (c) if  $H \leq G$ , one has  $\operatorname{Gal}(\phi(H):K_0) \cong G/H$ . In particular, if  $\sigma \in G$ , one has  $\sigma|_{\phi(H)} \in \operatorname{Gal}(\phi(H):K_0)$ , and the map  $\sigma \mapsto \sigma|_{\phi(H)}$  is a homomorphism of G onto  $\operatorname{Gal}(\phi(H):K_0)$  with kernel H.

**Definition 33** (Galois group of polynomial). When  $f \in K[t]$  and L : K is a splitting field extension for f, we define the Galois group of the polynomial f over K to be  $\operatorname{Gal}_K(f) = \operatorname{Gal}(L : K)$ .

#### 12 Finite fields

**Theorem 12.1.** Let p be a prime, and let  $q = p^n$  for some  $n \in \mathbb{N}$ . Then:

- (a) There exists a field  $\mathbb{F}_q$  of order q, and this field is unique up to isomorphism.
- (b) All elements of  $\mathbb{F}_q$  satisfy the equation  $t^q = t$ , and hence  $\mathbb{F}_q : \mathbb{F}_p$  is a splitting field extension for  $t^q t$ .
- (c) There is a unique copy of  $\mathbb{F}_q$  inside any algebraically closed field containing  $\mathbb{F}_p$ .

**Theorem 12.2.** Let p be a prime, and suppose that  $q = p^n$  for some natural number n. Then:

- (a) the field extension  $\mathbb{F}_q : \mathbb{F}_p$  is Galois with  $Gal(\mathbb{F}_q : \mathbb{F}_p) \cong \mathbb{Z}/n\mathbb{Z}$ ;
- (b) The field  $\mathbb{F}_q$  contains a subfield of order  $p^d$  if and only if  $d \mid n$ . When  $d \mid n$ , moreover, there is a unique subfield of  $\mathbb{F}_q$  of order  $p^d$ .

## 13 Solvability by radicals: polynomials of degree 2, 3 and 4

**Definition 34** (Radical element/extension). Suppose that L: K is a field extension, and  $\beta \in L$ . We say that  $\beta$  is radical over K when  $\beta^n \in K$  for some  $n \in \mathbb{N}$  (so  $\beta = \alpha^{1/n}$  for some  $\alpha \in K$  and some  $n \in \mathbb{N}$ ). We say that  $\overline{L:K}$  is an extension by radicals when there is a tower of field extensions  $L = L_r : L_{r-1} : \cdots : L_0 = K$  such that  $L_i = \overline{L_{i-1}(\beta_i)}$  with  $\beta_i$  radical over  $L_{i-1}$  ( $1 \le i \le r$ ). We say  $f \in K[t]$  is solvable by radicals if there is a radical extension of K over which f splits.

## 14 Solvability and solubility

**Definition 35** (Soluble group). A finite group G is soluble if there is a series of groups

$$\{id\} = G_0 \le G_1 \le \dots \le G_n = G,$$

with the property that  $G_i \subseteq G_{i+1}$  and  $G_{i+1}/G_i$  is abelian  $(0 \le i < n)$ .

**Theorem 14.1.** Let K be a field of characteristic 0. Then  $f \in K[t]$  is solvable by radicals if and only if  $Gal_K(f)$  is solvable.

**Lemma 14.2.** Suppose char(K) = 0 and L: K is a radical extension. Then there exists an extension N: L such that N: K is normal and radical.

**Definition 36** (Cyclic extension). The extension L: K is <u>cyclic</u> if L: K is a Galois extension and Gal(L: K) is a cyclic group.

**Lemma 14.3.** Suppose that char(K) = 0 and let p be a prime number. Also, let L : K be a splitting field extension for  $t^p - 1$ . Then Gal(L : K) is cyclic, and hence L : K is a cyclic extension.

**Lemma 14.4.** Let  $\operatorname{char}(K) = 0$  and suppose that n is an integer such that  $t^n - 1$  splits over K. Let L : K be a splitting field extension for  $t^n - a$ , for some  $a \in K$ . Then  $\operatorname{Gal}(L : K)$  is abelian.

**Theorem 14.5.** Let char(K) = 0 and suppose that L : K is Galois. Suppose that there is an extension M : L with the property that M : K is radical. Then Gal(L : K) is soluble.

**Corollary 14.6.** Suppose that char(K) = 0. Then  $Gal_K(f)$  is soluble whenever  $f \in K[t]$  is soluble by radicals.

**Corollary 14.7.** There exist quintic polynomials in  $\mathbb{Q}[t]$  with insoluble Galois groups, such as  $f(t) = t^5 - 4t + 2$ , and which are not solvable by radicals.

**Lemma 14.8.** Let  $\operatorname{char}(K) = 0$ , and suppose that L : K is a cyclic extension of degree n. Suppose also that K contains a primitive n-th root of 1. Then there exists  $\theta \in K$  having the property that  $t^n - \theta$  is irreducible over K, and L : K is a splitting field for  $t^n - \theta$ . Further, if  $\beta$  is a root of  $t^n - \theta$  over L, then  $L = K(\beta)$ .

**Theorem 14.9.** Let  $\operatorname{char}(K) = 0$ , and suppose that  $f \in K[t] \setminus K$ . Then f is solvable by radicals whenever  $\operatorname{Gal}_K(f)$  is solvable.