MA 45401-H01: Galois Theory Honors Definitions and Results

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 $\begin{array}{c} {\rm MA~45401\text{-}H01-Galois~Theory~Honors} \\ {\rm Definitions~and~Results} \end{array}$

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1 Introduction I

Definition 1 (Symmetric function). A function $\varphi(x_1,\ldots,x_n)$ is called symmetric if

$$\varphi(x_1,\ldots,x_n)=\varphi(x_{\omega(1)},\ldots,x_{\omega(n)})$$

for all $\omega \in S_n$.

Definition 2 (Elementary symmetric polynomial).

$$\sigma_1 = \sigma_1(x_1, \dots, x_n) = x_1 + \dots + x_n$$

$$\sigma_2 = \sigma_2(x_1, \dots, x_n) = x_1 x_2 + \dots + x_1 x_n + x_2 x_3 + \dots + x_{n-1} x_n$$

$$\dots$$

$$\sigma_k = \sigma_k(x_1, \dots, x_n) = \sum_{1 \le i_1 < i_2 < \dots < i_k \le n} x_{i_1} \dots x_{i_k}$$

$$\dots$$

$$\sigma_n = \sigma_n(x_1, \dots, x_n) = \prod_{i=1}^n x_i$$

Theorem 1.1. For any symmetric function $\psi(x_1, \ldots, x_n)$, there exists a unique polynomial $P(t_1, \ldots, t_n)$ such that $\psi(x_1, \ldots, x_n) = P(\sigma_1, \ldots, \sigma_n)$.

Vieta formulae:

$$x^{n} + a_{1}x^{n-1} + \dots + a_{n-1}x + a_{n} = (x - x_{1})(x - x_{2}) \cdots (x - x_{n})$$
$$= x^{n} - \sigma_{1}x^{n-1} + \sigma_{2}x^{n-2} + \dots + (-1)^{n}\sigma_{n}$$

Corollary 1. The discriminant D of $f \in R[x]$, where R is a ring and $f = x^n + a_1x^{n-1} + \cdots + a_{n-1}x + a_n$, is a polynomial in a_1, \ldots, a_n and coefficients from R (i.e. $D \in R[a_1, \ldots, a_n]$).

Note: Any cubic equation can be converted to a depressed cubic by

$$x^{3} + Ax^{2} + Bx + c = \left(x + \frac{A}{3}\right)^{3} + p\left(x + \frac{A}{3}\right) + q.$$

Vieta's method: Using the trigonometric formula $\cos 3\varphi = 4\cos^3 \varphi - 3\cos \varphi$, we can solve certain cubic equations. For example, consider $4x^3 - 3x = -\frac{1}{2}$. Let $x = \cos \varphi$. Then

$$\cos 3\varphi = -\frac{1}{2} \iff 3\varphi = \pm \frac{2\pi}{3} + 2\pi k \quad \text{for } k \in \mathbb{Z}$$

$$\iff \varphi = \pm \frac{2\pi}{9} + 2\pi k$$

$$\iff x \in \left\{\cos \frac{2\pi}{9}, \cos \frac{4\pi}{9}, \cos \frac{8\pi}{9}\right\}.$$

In general, we can use this method to solve $4x^3-3x=a \implies x=\cos\varphi,\ \cos3\varphi \ \text{and}\ \cos:\mathbb{C}\to\mathbb{C}$ is now a complex function. For $x^3+px+q=0$, set x=ky such that $\frac{k^3}{pk}=\frac{-4}{3}\implies k=\pm\frac{\sqrt{-4p}}{3}$.

Definition 3 (Ferrari resolvent). Let $f(x) = x^4 + ax^3 + bx^2 + cx + d \in K[x]$ be a quartic polynomial over a field K of characteristic not 2. We define the <u>Ferrari resolvent</u> of f to be the associated cubic resolvent polynomial $R(z) \in K[z]$ given by

$$R(z) = z^3 - 2bz^2 + (b^2 - 4d + ac)z - c^2 - a^2d + 4bd.$$

Solving the resolvent allows one to reduce solving f to solving a system of quadratics.

Lagrange's method: Suppose $f(x) = x^3 + px + q$ is a depressed cubic with roots x_1, x_2, x_3 . Lagrange's method finds expressions involving the roots that take only a few values under permutation, then uses symmetry to connect them to the coefficients.

For instance, define

$$y_1 = x_1 + \zeta x_2 + \zeta^2 x_3,$$

where $\zeta = e^{2\pi i/3}$ is a primitive cube root of unity. Then define

$$y_2 = x_1 + \zeta^2 x_2 + \zeta x_3.$$

These expressions are not symmetric, but they only take a few values when the x_i 's are permuted. In particular, y_1^3 and y_2^3 are symmetric functions of the roots and thus can be written as polynomials in p and q.

Since the roots x_i are related to y_1 and y_2 , we can use symmetric combinations such as

$$x = \frac{1}{3}(y_1 + y_2)$$

to recover the original roots of f(x).

2 Introduction II

Theorem 2.1 (Lagrange). Let $\varphi = \varphi(x_1, \ldots, x_n)$ and

$$\operatorname{orb}(\varphi) = \left\{ \varphi^{\omega} = \varphi(x_{\omega(1)}, \dots, x_{\omega(n)}) \mid \omega \in S_n \right\}.$$

Then y_1, \ldots, y_k are roots of some polynomial with degree $\leq k$ whose coefficients depend on elementary symmetric polynomials $\sigma_1, \ldots, \sigma_n$ in a polynomial way.

Theorem 2.2 (Lagrange). Let $\varphi, \psi \in K[x_1, \dots, x_n]$ and $G_{\varphi} = \{\omega \in S_n \mid \varphi^{\omega} = \varphi\} \leqslant G_{\psi}$. Then $\psi = R(\varphi)$ where R is a rational function whose coefficients are symmetric functions on x_1, \dots, x_n .

Definition 4 (Group action). Let G be a group and X be a set. The (left) group action of G on X is the map $\cdot : G \times X \to X$ such that

- 1. $e_G \cdot x = x$, $\forall x \in X$
- 2. $g \cdot (h \cdot x) = (g \cdot h) \cdot x$, $\forall x \in X, \forall g, h \in G$

Definition 5 (Orbit). Let G be a group, X be a set, and $x \in X$. Then we define the orbit of x, $G \cdot x = \text{orb}(x)$, as $\{g \cdot x \mid g \in G\}$. Moreover, $\text{orb}(x) \subseteq X$.

Definition 6 (Stabilizer). Let G be a group, X be a set, and $x \in X$. Then we define the stabilizer of x, stab(x), as $\{g \in G \mid g \cdot x = g\}$. Moreover, stab $(x) \leq G$.

Theorem 2.3. Let G be a finite group that acts on X. Then for all $x \in X$, $|\operatorname{orb}(x)| \cdot |\operatorname{stab}(x)| = |G|$.

Definition 7 (Polynomial ring). Let R be a commutative ring. Then the ring of polynomials with coefficients in R is

$$R[t] = \left\{ \sum_{i=0}^{n} c_i t^i : n \in \mathbb{Z}_+, c_i \in R \right\}$$

3 Field Extensions I

Definition 8 (Integral domain). Let R be a commutative ring. Then R is <u>an integral domain</u> if ab = 0 implies that a = 0 or b = 0 for all $a, b \in R$.

Definition 9 (Euclidean domain). Let R be an integral domain. Then R is a <u>Euclidean domain</u> if there exists some function $f: R \setminus \{0\} \to \mathbb{Z}_{\geq 0}$ such that for all $a, b_{\not\equiv 0} \in R$, there exist elements $q, r \in R$ such that a = qb + r where r = 0 or f(r) < f(b).

Theorem 3.1 (Bézout's Identity). Let R be a Euclidean domain. For $a, b \in R$, there exists $\alpha, \beta \in R$ such that $gcd(a, b) = \alpha a + \beta b$

Definition 10 (Irreducible). Let F be a field, and $f \in F[t] \setminus F$. Then f is <u>irreducible</u> if $\not\supseteq g, h \in F[t] \setminus F$ of strictly smaller degree such that f = gh.

Definition 11 (Unique factorization domain). Let R be an integral domain. Then R is a unique factorization domain (UFD) if for irreducible $p_i \in R$, any nonzero $x \in R$ can be written uniquely (up to ordering) as $x = p_1 p_2 \cdots p_k$, $k \ge 1$.

Fact: If R is an Euclidean domain, then R is a UFD (and PID)

Corollary 2. Let $f \in \mathbb{F}[t]$ be a monic polynomial with deg $f \geq 1$. Then we can write $f = f_1 f_2 \cdots f_k$ uniquely (up to ordering) for irreducible monic polynomials f_i .

Definition 12. Let R be a UFD. When $a_0, \ldots, a_n \in R$ are not all 0, we can generalize the <u>greatest common</u> divisor of a_0, \ldots, a_n (written $gcd(a_0, \ldots, a_n)$) any element $c \in R$ satisfying

- (i) $c \mid a_i \ (0 \le i \le n)$, and
- (ii) if $d \mid a_i \ (0 \le i \le n)$, then $d \mid c$.

When $f = \sum_{j=0}^{d} a_j x^j \in R[x]$ is a non-zero polynomial, we define a <u>content</u> of f to be any $gcd(a_0, \ldots, a_d)$ and $gcd(f) = gcd(a_0, \ldots, a_d)$. We say that $f \in R[X]$ is <u>primitive</u> if $f \neq 0$ and the content of f is divisible only by units of R.

Lemma 3.2 (Gauss). $gcd(fg) = gcd f \cdot gcd g$

Corollary 3. $f \in \mathbb{Z}[t]$ is irreducible $\iff f$ is irreducible over $\mathbb{Q}[t]$

Corollary 4. If R is a UFD with field of fractions Q and $f \in R[X]$ with deg f > 0, then f is irreducible in $R[X] \iff f$ is irreducible in Q.

Theorem 3.3 (Eisenstein's Criterion). Let R be a UFD with field of fractions Q and let $f = a_0 + a_1X + \dots + a_nX^n \in R[X]$ with gcd(f) = 1. Suppose there exists an irreducible element $p \in R$ such that

- (i) $p \mid a_i \text{ for } 0 \le i < n$,
- (ii) $p^2 \nmid a_0$, and
- (iii) $p \nmid a_n$,

then f is irreducible in R[X] (and hence also in Q[X]).

Definition 13 (Field extension). Let L and K be fields. Then L is an extension of K if there exists a homomorphism $\varphi: K \to L$. Then we write L: K or L/K, $\varphi(K) \cong K$ and identify $\varphi(K)$ with K.

Fact: Suppose that L is a field extension of K with associated embedding $\varphi: K \to L$. Then L forms a vector space over K, under the operations

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(vector addition) \psi: L \times L \to L given by (v_1, v_2) \mapsto v_1 + v_2 (scalar multiplication) \tau: K \times L \to L given by (k, v) \mapsto \varphi(k)v.
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Definition 14 (Degree, finite extension). Let L:K. Then the <u>degree</u> of L:K is $[L:K] = \dim L$ over K as a vector space. We say that L:K is a finite extension if $[L:K] < \infty$.

Definition 15 (Tower, intermediate field). We say that M:L:K is a <u>tower</u> of field extensions if M:L and L:K are field extensions, and in this case we say that L is an <u>intermediate field</u> (relative to the extension M:K)

Theorem 3.4 (The Tower Law). Suppose that M:L:K is a tower of field extensions. Then M:K is a field extension, and [M:K] = [M:L][L:K].

Corollary 5. Suppose that L: K is a field extension for which [L: K] is a prime number. Then whenever L: M: K is a tower of field extensions with $K \subseteq M \subseteq L$, one has either M = L or M = K.

4 Field Extensions II

Definition 16 (Smallest subring/subfield). Let L: K with $K \subseteq L$.

- (i) When $\alpha \in L$, we denote by $K[\alpha]$ the <u>smallest subring of L containing K and α , and by $K(\alpha)$ the smallest subfield of L containing K and α ;</u>
- (ii) More generally, when $A \subseteq L$, we denote by K[A] the <u>smallest subring of L containing K and A, and by K(A) the smallest subfield of L containing K and A.</u>

Then

$$K[\alpha] = \left\{ \sum_{i=0}^{d} c_i \alpha^i : d \in \mathbb{Z}_{\leq 0}, \ c_0, \dots, c_d \in K \right\}$$
$$K(\alpha) = \left\{ f/g : f, g \in K[\alpha], g \neq 0 \right\}.$$

Definition 17 (Algebraic/transcendental element). Suppose that L: K is a field extension with $K \subseteq L$ and $\alpha \in L$.

- (i) We say α is algebraic over K if $\exists f_{\neq 0} \in K[t]$ such that $f(\alpha) = 0$.
- (ii) If α is not algebraic over K, then we say α is transcendental over K.
- (iii) When every element of L is algebraic over K, we say that \underline{L} is algebraic over \underline{K} .

Definition 18 (Evaluation map). Suppose that L:K is a field extension with $K\subseteq L$, and that $\alpha\in L$. We define the evaluation map $E_{\alpha}:K[t]\to L$ by putting $E_{\alpha}(f)=f(\alpha)$ for each $f\in K[t]$.

Definition 19 (Minimal polynomial). Suppose that L:K is a field extension with $K\subseteq L$, and suppose that $\alpha\in L$ is algebraic over K. Then the minimal polynomial of α over K is the unique monic polynomial μ_{α}^{K} having the property that $\ker(E_{\alpha})=(\mu_{\alpha}^{K})$.

Lemma 4.1. 1. μ_{α}^{K} is irreducible over K;

- 2. If $f \in K[t]$ such that $f(\alpha) = 0$, then $\mu_{\alpha}^{K} \mid f$;
- 3. If $f \in K[t]$ such that $f(\alpha) = 0$ and f is irreducible over K, then $\exists k \in K$ such that $f = k\mu_{\alpha}^{K}$.

Theorem 4.2. Let L: K with $K \subseteq L$, and suppose that $\alpha \in L$ is algebraic over K.

- (i) $K[\alpha]$ is a field, and $K[\alpha] = K(\alpha)$;
- (ii) If $n = \deg \mu_{\alpha}^K$, then $\{1, \alpha, \alpha^2, \dots, \alpha^{n-1}\}$ is a basis for $K(\alpha)$ over K ($\Longrightarrow [K(\alpha): K] = \deg \mu_{\alpha}^K$).

Theorem 4.3 (Rational Root Theorem). Let $\frac{p}{q}$ be a root of $f = a_0 t^n + \cdots + a_{n-1} t^{n-1} + a_n$, for $a_j \in \mathbb{Z}$, where p and q are coprime. Then $p \mid a_n$ and $q \mid a_0$.

Note: If α is transcendental over K, then $K(\alpha) \cong K(x)$ (where x is a formal variable).

Corollary 6. Let L: K with $K \subseteq L$, and suppose that $\alpha \in L$ is algebraic over K. Then every element of $K(\alpha)$ is algebraic over K.

Corollary 7. Let L: K with $K \subseteq L$. Then $[L:K] < \infty \iff L = K(\alpha_1, \ldots, \alpha_n)$ for $\alpha_j \in L$.

Theorem 4.4. Let L: K be a field extension, and define

$$L^{\text{alg}} = \{ \alpha \in L : \alpha \text{ is algebraic over } K \}.$$

Then L^{alg} is a subfield of L.

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Algebraic Conjugates 5

Lemma 5.1. Let \mathbb{F} be a field with $f \in \mathbb{F}[t]$ irreducible. Then $\mathbb{F}[t]/(f)$ is a field.

Corollary 8. If L: K with $\alpha \in L$ algebraic over K, then $K[t] / (\mu_{\alpha}^{K})$ is a field.

Theorem 5.2. Let K be a field, and suppose that $f \in K[t]$ is irreducible. Then there exists a field extension L: K, with associated embedding $\varphi: K[t] \to L[y]$, having the property that L contains a root of $\varphi(f)$.

Definition 20 (Algebraic conjugate). Suppose α algebraic over K and μ_{α}^{K} factors as a product of linear polynomials over a field $L \supseteq K$:

$$\mu_{\alpha}^{K}(x) = (x - \alpha_1) \cdots (x - \alpha_n), \quad \alpha_1, \dots, \alpha_n \in L.$$

Then $\alpha_1, \ldots, \alpha_n$ are algebraic conjugates of α .

Lemma 5.3. Let $(x-\alpha_1)\cdots(x-\alpha_n)\in K[x]$ and $f(\overline{y},x_1,\ldots,x_n)\in K[\overline{y},x_1,\ldots,x_n]$ be symmetric polynomial in x_1, \ldots, x_n . Then $f(\overline{y}, x_1, \ldots, x_n) \in K[\overline{y}]$.

Theorem 5.4. Let α be algebraic over K with algebraic conjugates $\alpha = \alpha_1, \ldots, \alpha_n$. Then for all $f \in K[x]$, the conjugates of $f(\alpha)$ are exactly $f(\alpha_1), \ldots, f(\alpha_n)$.

6 Ruler and Compass Constructions

Cyclotomic Polynomials

Theorem 7.1. For prime p, we have $x^p - 1 = (x - 1)(x^{p-1} + \dots + 1)$ and $\mu_{\varepsilon_p}^{\mathbb{Q}} = x^{p-1} + \dots + 1$.

Definition 21 (n^{th} cyclotomic polynomial).

$$\Phi_n(x) = \prod_{\substack{\varepsilon \in \sqrt[n]{1} \\ |\varepsilon| = n}} (x - \varepsilon) = \frac{x^n - 1}{\prod_{d \mid n, d < n} \Phi_d(x)}$$

Theorem 7.2. Φ_n is irreducible over \mathbb{Q} .

Corollary 9. (a) $\left[\mathbb{Q}(\exp\left(\frac{2\pi i}{n}\right)):\mathbb{Q}\right] = \varphi(n)$ (where φ is Euler's totient function);

- (b) $\left[\mathbb{Q}\left(\cos\left(\frac{2\pi}{n}\right)\right):\mathbb{Q}\right] = \frac{1}{2}\varphi(n)$. Furthermore, all algebraic conjugates of $\cos\frac{2\pi}{n}$ are $\cos\frac{2\pi k}{n}$ for $\gcd(k,n)=1$.
- (c) Let $c = \frac{a+bi}{a-bi} \in \sqrt[\infty]{1}$, where $a, b \in \mathbb{Z}$. Then $c \in \{\pm i, \pm 1\}$

Lemma 7.3. Let \mathbb{F} be a finite field. Then $\mathbb{F}^{\times} = \mathbb{F} \setminus \{0\}$ is a cyclic group.

Splitting Fields, Abel-Ruffini 8

Definition 22 (Splitting field). Let L: K with embedding $\varphi: K \to L$ and $f \in K[t] \setminus K$. We say f splits over L if $\varphi(f) = c \prod_{j=1}^{n} (x - \alpha_j)$ for $\alpha_j \in L$ and $c \in \varphi(K)$. We say that M : K is a splitting field extension for f if f splits over L, $\varphi(K) \subseteq M \subseteq L$, and M is the smallest subfield of L containing $\varphi(K)$ over which f splits. **Lemma 8.1.** Let L: K be a splitting field extension for $f \in K[t]$ relative to the embedding $\varphi: K \to L$, and let $\alpha_j \in L$ be roots of $\varphi(f)$. Then $L = \varphi(K)(\alpha_1, \ldots, \alpha_n)$.

Lemma 8.2. Let L: K be a splitting field extension for $f \in K[t] \setminus K$. Then $[L:K] \leq (\deg f)!$.

Lemma 8.3. Let L: K and M: K be splitting field extensions for $f \in K[t] \setminus K$. Then $L \cong M$ (in particular, [L:K] = [M:K]).

Definition 23 (Radical, radical extension, solvability by radicals). Let L: K and $\beta \in L$. We say that β is radical over K when $\beta^n \in K$ for some $n \in \mathbb{N}$ (so $\beta = \alpha^{1/n}$ for some $\alpha \in K$ and some $n \in \mathbb{N}$). We say that L: K is an extension by radicals when there is a tower of field extensions $L = L_r : L_{r-1} : \cdots : L_0 = K$ such that $L_i = L_{i-1}(\beta_i)$ with β_i radical over L_{i-1} (for $1 \le i \le r$). We say $f \in K[t]$ is solvable by radicals if there is a radical extension of K over which K splits.

Theorem 8.4 (Abel-Ruffini). Let $K = \mathbb{C}(a_1, \ldots, a_n)$ where a_1, \ldots, a_n are formal variables. Let $f(x) = x^n + a_1 x^{n-1} + \cdots + a_n \in K[x]$ be the generic polynomial of degree $n \geq 5$ over K. Then f(x) is not solvable by radicals.

9 Algebraic Closure I

Definition 24 (Algebraically closed field, algebraic closure). Let M be a field.

- (i) We say that M is algebraically closed if every non-constant polynomial $f \in M[t]$ has a root in M.
- (ii) We say that M is an algebraic closure of K if M:K is an algebraic field extension having the property that M is algebraically closed.

Lemma 9.1. Let M be a field. The following are equivalent:

- (i) The field M is algebraically closed;
- (ii) every non-constant polynomial $f \in M[t]$ factors in M[t] as a product of linear factors;
- (iii) every irreducible polynomial in M[t] has degree 1;
- (iv) the only algebraic extension of M containing M is itself.

Definition 25 (Chain). Suppose that X is a nonempty, partially ordered set with \leq denoting the partial ordering. A chain C in X is a collection of elements $\{a_i\}_{i\in I}$ of X having the property that for every $i,j\in I$, either $a_i\leq a_j$ or $a_j\leq a_i$.

Zorn's Lemma: Suppose that X is a nonempty, partially ordered set with \leq the partial ordering. If every non-empty chain C in X has an upper bound in X, then X has at least one maximal element m (i.e. $b \in X$ with $m \leq b \Longrightarrow b = m$).

Corollary 10. Any proper ideal A of a commutative ring R is contained in a maximal ideal.

Lemma 9.2. Let K be a field. Then there exists an algebraic extension E: K, with $K \subseteq E$, having the property that E contains a root of every irreducible $f \in K[t]$, and hence also every $g \in K[t] \setminus K$.

Theorem 9.3 (Existence of Algebraic Closures). Suppose that K is a field. Then there exists an algebraic extension \overline{K} of K having the property that \overline{K} is algebraically closed.

Definition 26 (Extension of field homomorphism, isomorphic field extensions). For i=1 and 2, let $L_i:K_i$ be a field extension relative to the embedding $\varphi_i:K_i\to L_i$. Suppose that $\sigma:K_1\to K_2$ and $\tau:L_1\to L_2$ are isomorphisms. We say that $\underline{\tau}$ extends $\underline{\sigma}$ if $\tau\circ\varphi_1=\varphi_2\circ\sigma$. In such circumstances, we say that $L_1:K_1$ and $L_2:K_2$ are isomorphic field extensions.



When $\sigma: K_1 \to K_2$ and $\tau: L_1 \to L_2$ are homomorphisms (instead of isomorphisms), then $\underline{\tau}$ extends $\underline{\sigma}$ as a homomorphism of fields when the isomorphism $\tau: L_1 \to L'_1 = \tau(L_1)$ extends the isomorphism $\underline{\sigma}: K_1 \to K'_1 = \sigma(K_1)$.

Definition 27 (K-homomorphism). Let L:K be a field extension relative to the embedding $\varphi:K\to L$, and let M be a subfield of L containing $\varphi(K)$. Then, when $\sigma:M\to L$ is a homomorphism, we say that σ is a K-homomorphism if σ leaves $\varphi(K)$ pointwise fixed, which is to say that for all $\alpha\in\varphi(K)$, one has $\sigma(\alpha)=\alpha$.

Lemma 9.4. Suppose that L: K is a field extension with $K \subseteq L$, and that $\tau: L \to L$ is a K-homomorphism. Suppose that $f \in K[t]$ has the property that $\deg f \geq 1$, and additionally that $\alpha \in L$.

- (i) if $f(\alpha) = 0$, one has $f(\tau(\alpha)) = 0$;
- (ii) if τ is a K-automorphism of L, then $f(\alpha) = 0 \iff f(\tau(\alpha)) = 0$.

Theorem 9.5. Let $\sigma: K_1 \to K_2$ be a field isomorphism. Suppose that L_i is a field with $K_i \subseteq L_i$ (i = 1, 2). Suppose also that $\alpha \in L_1$ is algebraic over K_1 , and that $\beta \in L_2$ is algebraic over K_2 . Then we can extend σ to an isomorphism $\tau: K_1(\alpha) \to K_2(\beta)$ in such a manner that $\tau(\alpha) = \beta$ if and only if $m_{\beta}(K_2) = \sigma(m_{\alpha}(K_1))$.

$$K_{2} \xrightarrow{\varphi_{2}} K_{2}(\beta) \xrightarrow{\iota_{2}} L_{2}$$

$$\downarrow^{\sigma} \qquad \qquad \downarrow^{\tau}$$

$$K_{1} \xrightarrow{\varphi_{1}} K_{1}(\alpha) \xrightarrow{\iota_{1}} L_{1}$$

Note: When $\tau: K_1(\alpha) \to K_2(\beta)$ is a homomorphism, and τ extends the homomorphism $\sigma: K_1 \to K_2$, then τ is completely determined by σ and the value of $\tau(\alpha)$.

Corollary 11. Let L: M be a field extension with $M \subseteq L$. Suppose that $\sigma: M \to L$ is a homomorphism, and $\alpha \in L$ is algebraic over M. Then the number of ways we can extend σ to a homomorphism $\tau: M(\alpha) \to L$ is equal to the number of distinct roots of $\sigma(m_{\alpha}(M))$ that lie in L.

10 Algebraic Closure II

Theorem 10.1. Let L:K be an algebraic extension with $K\subseteq L$ and $\varphi:K\to \overline{K}$ be a homomorphism. Then there exists an extension of φ to a homomorphism $\psi:L\to \overline{K}$.

Theorem 10.2. If L and M are both algebraic closures of K, then $L \cong M$.

Corollary 12. Let L: K be an extension with $K \subseteq L$. Suppose that $g \in L[t]$ is irreducible over L, and that $g \mid f$ in L[t], where $f \in K[t] \setminus \{0\}$. The g divides a factor of f that is irreducible over K.

Thus, there exists an irreducible $h \in K[t]$ having the property that $h \mid f$ in K[t], and $g \mid h$ in L[t].

Definition 28 (Normal extension). The extension L: K is <u>normal</u> if it is algebraic, and every irreducible polynomial $f \in K[t]$ either splits over L or has no root in L.

Theorem 10.3. $K(\alpha): K$ is normal \iff all conjugates of α are contained in $K(\alpha)$.

Theorem 10.4. A finite extension L: K is normal $\iff L$ is a splitting field extension for some $f \in K[t] \setminus K$.

11 Galois Groups I

Definition 29 (Galois group of polynomial). Let $L = K(\alpha_1, ..., \alpha_n)$ and let $P(\alpha_1, ..., \alpha_n)$ where $P \in K[\alpha_1, ..., \alpha_n]$ is an element of L. Then we define

$$\operatorname{Gal}_K(f) = \{ \sigma \in S_n \mid \forall P \in K[\alpha_1, \dots, \alpha_n], \text{ if } P(\alpha_1, \dots, \alpha_n) = 0 \text{ then } P(\alpha_{\sigma(1)}, \dots, \alpha_{\sigma(n)}) \}$$

Lemma 11.1. 1. $Gal_K(f) \leq S_n$;

2. If $K_1: K$, then $Gal_{K_1}(f) \leq Gal_K(f)$.

Definition 30. Let L: K be a field extension. Then

$$\operatorname{Gal}_K(L) = \operatorname{Gal}(L:K) = \{ \varphi \in \operatorname{Aut}(L) : \varphi \text{ is a K-homomorphism} \}$$

Definition 31 (Galois automorphism on splitting field). Let $\sigma \in \operatorname{Gal}_K f$ where L is a splitting field for f over K, and define $\widehat{\sigma} \in \operatorname{Aut}_K(L)$ such that $\widehat{\sigma}(P(\alpha_1, \ldots, \alpha_n)) = P(\alpha_{\sigma(1)}, \ldots, \alpha_{\sigma(n)})$.

Lemma 11.2. The map $\psi(\sigma) = \hat{\sigma}$ is a group isomorphism.

Theorem 11.3. If L: K is an algebraic extension and $\sigma: L \to L$ is a K-homomorphism, then $\sigma \in \operatorname{Aut}(L)$

Lemma 11.4. Suppose that M:K is a normal extension. Then:

- (a) for any $\sigma \in Gal(M:K)$ and $\alpha \in M$, we have $\mu_{\sigma(\alpha)}^K = \mu_{\alpha}^K$;
- (b) for any $\alpha, \beta \in M$ with $\mu_{\alpha}^K = \mu_{\beta}^K$, there exists $\tau \in \operatorname{Gal}(M:K)$ having the property that $\tau(\alpha) = \beta$.

12 Galois Groups II

Lemma 12.1. Suppose that L: K is a normal extension with $K \subseteq L \subseteq \overline{K}$. Then for any K-homomorphism $\tau: L \to \overline{K}$, we have $\tau(L) = L$.

Lemma 12.2. For $n \geq 2$, S_n is generated by

- 1. transpositions(ij);
- 2. transpositions(1i);
- 3. adjacent transpositions $(1\,2),(2\,3),\ldots,(n-1,n)$;
- 4. (12) and (12...n);
- 5. (12) and (23...n);
- 6. (ij) and $(i \dots i_p)$ where p is prime.

Lemma 12.3. Let $(i_1 \dots i_k) \in S_n$. Then for all $\sigma \in S_n$, one has $\sigma(i_1 \dots i_k) \sigma^{-1} = (\sigma(i_1) \dots \sigma(i_k))$.

Note: $|Gal_K(f)| = [L:K]$ where L:K is a splitting field extension for f.

13 Galois Groups III

Theorem 13.1 (Kronecker). Let $p \geq 3$ be a prime and $f \in \mathbb{Q}[x]$ be irreducible over \mathbb{Q} with deg f = p. If the equation f(x) = 0 is solvable by radicals, then the number of real roots of f is 1 or p.

Lemma 13.2. Let p be prime and $G \leq S_p$ such that G acts transitively on $\{1, \ldots, p\}$. Then G contains a cycle of order p.

Theorem 13.3. If L: K is a finite extension, then $|Gal_K(L)| \leq [L:K]$.

14 Separability

Definition 32 (Separable). Let K be a field.

- (i) An irreducible polynomial $f \in K[t]$ is <u>separable over K</u> if it has no multiple roots, meaning that $f = \lambda(t \alpha_1)(t \alpha_2) \cdots (t \alpha_d)$, where $\alpha_1, \ldots, \alpha_d \in \overline{K}$ are distinct.
- (ii) A non-zero polynomial $f \in K[t]$ is <u>separable over K</u> if its irreducible factors in K[t] are separable over K.
- (iii) When L: K is a field extension, we say that $\alpha \in L$ is <u>separable over K</u> when α is algebraic over K and μ_{α}^{K} is separable.
- (iv) An algebraic extension L: K is a separable extension if every $\alpha \in L$ is separable over K.
- **Lemma 14.1.** Suppose that L:M:K is a tower of algebraic field extensions. Assume that $K\subseteq M\subseteq L\subseteq \overline{K}$, and suppose that $f\in K[t]\setminus K$ satisfies the property that f is separable over K. If $g\in M[t]\setminus M$ has the property that $g\mid f$, then g is separable over M. Thus, if $\alpha\in L$ is separable over K then α is separable over M, and if L:K is separable then so is L:M.
- **Lemma 14.2.** 1. If L: M is an algebraic field extension, $\alpha \in L$ and $\sigma: M \to \overline{M}$ is a homomorphism, then $\sigma(\mu_{\alpha}^{M})$ is separable over $\sigma(M) \iff \mu_{\alpha}^{M}$ is separable over M.
 - 2. If L: K is a splitting field extension for $f \in K[t]$ and f is separable over K, then L: K is separable.
- **Theorem 14.3.** Let L: K be a finite extension with $K \subseteq L \subseteq \overline{K}$, whence $L = K(\alpha_1, ..., \alpha_n)$ for some $\alpha_1, ..., \alpha_n \in L$. Put $K_0 = K$, and for $1 \le i \le n$, set $K_i = K_{i-1}(\alpha_i)$. Finally, let $\sigma_0: K \to \overline{K}$ be the inclusion map.
 - (i) If α_i is separable over K_{i-1} for $1 \leq i \leq n$, then there are [L:K] ways to extend σ_0 to a homomorphism $\tau: L \to \overline{K}$.
- (ii) If α_i is not separable over K_{i-1} for some i with $1 \le i \le n$, then there are fewer than [L:K] ways to extend σ_0 to a homomorphism $\tau: L \to \overline{K}$.

Theorem 14.4. Let L: K be a finite extension with $L = K(\alpha_1, ..., \alpha_n)$. Set $K_0 = K$, and for $1 \le i \le n$, inductively define K_i by putting $K_i = K_{i-1}(\alpha_i)$. Then the following are equivalent:

- (i) the element α_i is separable over K_{i-1} for $1 \leq i \leq n$;
- (ii) the element α_i is separable over K for $1 \leq i \leq n$;
- (iii) the extension L: K is separable.

Corollary 13. Suppose that L:K is a finite extension. If L:K is a separable extension, then the number of K-homomorphism $\sigma:L\to \overline{K}$ is [L:K], and otherwise the number is smaller than [L:K].

Corollary 14. Suppose that $f \in K[t] \setminus K$ and that L : K is a splitting field extension for f. Then L : K is a separable extension $\iff f$ is separable over K. More generally, suppose that L : K is a splitting field extension for $S \subseteq K[t] \setminus K$. Then L : K is a separable extension \iff each $f \in S$ is separable over K.

15 The Primitive Element Theorem

Definition 33 (Simple extension). Suppose L: K is a field extension relative to the embedding $\varphi: K \to L$. We say that L: K is a simple extension if there is some $\gamma \in L$ having the property that $L = \varphi(K)(\gamma)$.

Theorem 15.1 (The Primitive Element Theorem). If L: K be a finite, separable extension with $K \subseteq L$, then L: K is a simple extension.

Corollary 15. Suppose that L: K is an algebraic, separable extension, and suppose that for every $\alpha \in L$, the polynomial μ_{α}^{K} has degree at most n over K. Then $[L:K] \leq n$.

Fact: Let L: K be a normal extension and let $\deg(\mu_{\alpha}^K) \leq n$ for all $\alpha \in L$. Then $[L:K] \leq n$.

Corollary 16. If $f \in K[t]$ is irreducible over K, then $Gal_K(f)$ acts transitively on the roots of f.

16 Galois Fields I

Definition 34 (Formal derivative). We define the derivative operator $\mathcal{D}: K[t] \to K[t]$ by

$$\mathcal{D}\left(\sum_{k=0}^{n} a_k t^k\right) = \sum_{k=1}^{n} k a_k t^{k-1}.$$

Theorem 16.1. Let $f \in K[t] \setminus K$, and let L : K be a splitting field extension for f with $K \subseteq L$. Then the following are equivalent:

- (i) f has a repeated root over L;
- (ii) There exists $\alpha \in L$ such that $f(\alpha) = 0 = (\mathcal{D}f)(\alpha)$;
- (iii) There exists $g \in K[t]$ with $\deg g \ge 1$ such that $g \mid f$ and $g \mid \mathcal{D}f$.

Definition 35 (Inseparable). A polynomial $f \in K[t]$ is inseparable over K if f is not separable over K, meaning that f has an irreducible factor $g \in K[t]$ having the property that g has fewer than $\deg g$ distinct roots in K.

Theorem 16.2. Suppose $f \in K[t]$ is irreducible over K. Then f is inseparable over $K \iff \operatorname{char} K = p > 0$ and $f \in K[t^p]$.

Definition 36 (Frobenius map). Suppose that char K = p > 0. The <u>Frobenius map</u> $\varphi : K \to K$ is defined by $\varphi(\alpha) = \alpha^p$.

Theorem 16.3. Suppose that char K = p > 0, and put $F = \{c \cdot 1_K : c \in \mathbb{Z}\}$. Then F is a subfield (called the prime subfield) of K, and $F \cong \mathbb{Z}/p\mathbb{Z}$.

Definition 37 (Fixed field). Let L: K be a field extension and $G \leq \operatorname{Aut}(L)$. We define the <u>fixed field of G</u> as

$$\operatorname{Fix}_L(G) = \{ \alpha \in L : \sigma(\alpha) = \alpha \text{ for all } \sigma \in G \}.$$

Theorem 16.4. Suppose that char K = p > 0, and let F be the prime subfield of K. Let $\varphi : K \to K$ denote the Frobenius map. Then φ is an injective homomorphism, and $\operatorname{Fix}_{\varphi}(K) = F$.

Corollary 17. Suppose that char K = p > 0 and K is algebraic over its prime subfield. Then the Frobenius map is an automorphism of K.

Corollary 18. Suppose that char K = p > 0 and K is algebraic over its prime subfield. Then all polynomials in K[t] are separable over K.

Corollary 19 (**). Suppose that char K = 0. Then all polynomials in K[t] are separable over K.

Theorem 16.5. Suppose that char K = p > 0. Let

$$f(t) = g(t^p) = a_0 + a_1 t^p + \dots + a_{n-1} t^{(n-1)p} + t^{np}$$

be a non-constant monic polynomial over K. Then f(t) is irreducible in K[t] if and only if g(t) is irreducible in K[t] and not all the coefficients a_i are p-th powers in K.

17 Galois Fields II

Theorem 17.1. Let p be a prime, and let $q = p^n$ for some $n \in \mathbb{N}$. Then:

- (a) There exists a field \mathbb{F}_q of order q, and this field is unique up to isomorphism.
- (b) All elements of \mathbb{F}_q satisfy the equation $t^q = t$, and hence $\mathbb{F}_q : \mathbb{F}_p$ is a splitting field extension for $t^q t$.
- (c) There is a unique copy of \mathbb{F}_q inside any algebraically closed field containing \mathbb{F}_p .

Theorem 17.2. Let p be a prime, and suppose that $q = p^n$ for some $n \in \mathbb{N}$. Then:

- (a) $\operatorname{Gal}(\mathbb{F}_q : \mathbb{F}_p) \cong \mathbb{Z}/n\mathbb{Z};$
- (b) The field \mathbb{F}_q contains a subfield of order p^d if and only if $d \mid n$. When $d \mid n$, moreover, there is a unique subfield of \mathbb{F}_q of order p^d .

Definition 38 (Norm, Trace). Let p be a prime and let $\alpha \in F_q$ where $q = p^n$ for some $n \in \mathbb{N}$. Then we define

$$Tr(\alpha) = \alpha + \alpha^{p} + \dots + \alpha^{p^{n-1}}$$
$$= \alpha + \varphi(\alpha) + \dots + \varphi^{n-1}(\alpha)$$

and

$$Norm(\alpha) = \alpha \cdot \alpha^{p} \cdots \alpha^{p^{n-1}} = \alpha^{\frac{p^{n}-1}{p-1}}$$
$$= \alpha \cdot \varphi(\alpha) \cdots \varphi^{n-1}(\alpha)$$

Lemma 17.3. Let p be a prime and let $\alpha \in F_q$ where $q = p^n$ for some $n \in \mathbb{N}$.

- 1. For all $\alpha \in \mathbb{F}_q$, one has $\text{Tr}(\alpha)$, $\text{Norm}(\alpha) \in \mathbb{F}_p$;
- 2. If $p \neq 2$, then $\exists \alpha_1$ such that $\operatorname{Tr}(\alpha_1) \neq 0$ and $\exists \alpha_2 (\neq 0)$ such that $\operatorname{Norm}(\alpha_2) \neq 1$.

19 Fixed Fields

Definition 39 (Fixed field). Let L: K be a field extension and $G \leq \operatorname{Aut}(L)$. Then the fixed field of G is

$$\operatorname{Fix}_L(G) = L^G = \{ \alpha \in L : g\alpha = \alpha \ \forall g \in G \}$$

Theorem 19.1. Let $K, M \subseteq L$ be fields and $G, H \leq \operatorname{Aut}(L)$. Then

- 1) if $K \subseteq M$, then $Gal(L:K) \geqslant Gal(L:M)$;
- 2) if $G \leq H$, then $\operatorname{Fix}_L(G) \supseteq \operatorname{Fix}_L(H)$;
- 3) $K \subseteq \operatorname{Fix}_L(\operatorname{Gal}(L:K))$;
- 4) $G \leq \operatorname{Gal}(L : \operatorname{Fix}_L(G));$
- 5) $Gal(L:K) = Gal(L:Fix_L(Gal(L:K)));$
- 6) $\operatorname{Fix}_L(G) = \operatorname{Fix}_L(\operatorname{Gal}(L : \operatorname{Fix}_L(G))).$

Definition 40 (Galois Extension). Let L: K be a field extension. Then L: K is a <u>Galois extension</u> if it is normal and separable.

Theorem 19.2. Let L: K be algebraic. Then L: K is Galois $\iff K = Fix_L(Gal_K(L))$

Theorem 19.3. Suppose that L is a field, $G \leq \operatorname{Aut}(L)$ such that $|G| < \infty$, and put $K = \operatorname{Fix}_L(G)$. Then L : K is a finite Galois extension with $[L : K] = |\operatorname{Gal}(L : K)|$, and furthermore $G = \operatorname{Gal}_K(L)$.

Theorem 19.4. Let L: K be finite.

- 1. If L: K is a Galois extension, then |Gal(L:K)| = [L:K] and $K = Fix_L(Gal(L:K))$.
- 2. If L: K is not Galois, then |Gal(L:K)| < [L:K] and K is a proper subfield of $Fix_L(Gal(L:K))$.

Corollary 20. Let L:M:K be a tower such that L:K is Galois. Then L:M is Galois.

20 Fundamental Theorem of Galois Theory I

Definition 41 $(\mathcal{I}(K,L), \mathcal{S}(G))$. Let L:K be a Galois extension with $G = \operatorname{Gal}(L:K)$. Define $\mathcal{I}(K,L)$ and $\mathcal{S}(G)$ as the set of all intermediate fields of L:K and the set of all subgroups of G, respectively.

Theorem 20.1 (Fundamental Theorem of Galois Theory, Part 1). For all $P \in \mathcal{I}(K, L)$, we have $P = L^{G_P}$ where $G_P = \operatorname{Aut}_P(L)$ Then

$$\forall P \in \mathcal{I}(K, L), \quad L^{G_P} = P,$$

 $\forall H \in \mathcal{S}(G), \quad G_{L^H} = H,$

Also, $P_1 \subseteq P_2 \iff G_{P_1} \geqslant G_{P_2}$ and $H_1 \leqslant H_2 \iff L^{H_1} \supseteq L^{H_2}$.

21 Fundamental Theorem of Galois Theory II

Theorem 21.1 (Fundamental Theorem of Galois Theory, Part 2). For all $P \in \mathcal{I}(K, L)$,, we have P : K is a normal extension $\iff G_P \triangleleft G$. Then, $\operatorname{Gal}_K P \cong G/G_P$.

Lemma 21.2. Let K - P - L be a tower of fields and $g \in \operatorname{Aut} L$. Then $G_{qP} = gG_Pg^{-1}$.

Remark 1. Let L:P:K be a tower of fields, where [L:K]=[L:P][P:K]. Then Id.: $G_P:G$ is a tower of groups, where $[G:G_P]\cdot |G_P|$. That is, for all $P\leqslant L$ we have $[P:K]=[G:G_P]$ and $[L:P]=|G_P|$.

22 Composita and further comments

Remark 2. Let A, B be sets. Then $A \cap B$ can be expressed using only the operation \subseteq . Notice $A \cap B \subseteq A, B$ and $A \cap B$ is the maximal set with this property:

$$\forall C \text{ such that } C \subseteq A, B \implies C \subseteq A \cap B.$$

Let $H_1, H_2 \leq G$. Then $H_1 \cap H_2 \leq G$ is the maximal subgroup contained in both H_1 and H_2 . Hence by the Galois correspondence we have $L^{H_1 \cap H_2}$ is the minimal subfield of L containing both L^{H_1} and L^{H_2} .

Definition 42 (Compositum). Let K_1 and K_2 be fields contained in some field L. The <u>compositum</u> of K_1 and K_2 in L (or the <u>composite field</u>), denoted by K_1K_2 , is the smallest subfield of L containing both K_1 and K_2 .

Lemma 22.1. Let $K, E, F \subseteq L$. Then

- 1. $E: K, F: K \text{ finite } \Longrightarrow EF: K \text{ finite};$
- 2. $E:K, F:K normal \implies E\cap F:K normal;$
- 3. E: K, F: K finite and E: K normal $\implies EF: F$ normal;
- 4. E: K, F: K finite and normal $\implies EF: K, E \cap F: K$ normal;
- 5. $E:K, F:K normal \implies EF:E\cap F normal$.

Definition 43 (Subnormal series). Suppose char K = 0, $\sqrt[\infty]{1} \subset K$, and K - L is a radical Galois extension. Then,

$$K = K_0 - K_1 - K_2 - \dots - K_m = L,$$

for $K_j = K_{j-1}(r_j), r_j^{n_j} \in K_{j-1}$. Then

$$\operatorname{Gal}_K L = G = G_0 \geqslant G_1 \geqslant G_2 \geqslant \cdots \geqslant G_m = \{Id.\}.$$

By assumption $\sqrt[\infty]{1} \subset K \implies K_j : K_{j-1}$ is a normal extension, so $G_j \subseteq G_{j-1}$ and we have

$$\operatorname{Gal}_K L = G = G_0 \rhd G_1 \rhd G_2 \rhd \cdots \rhd G_m = \{Id.\}.$$

This is called a subnormal series.

Definition 44 (Soluble group). A group G is soluble if there exists a finite series of subgroups

$$\{Id.\} = G = G_0 \leqslant G_1 \leqslant G_2 \leqslant \cdots \leqslant G_m = G$$

such that

- 1. $G_j \triangleleft G_{j-1} \quad \forall 1 \leq j \leq n \text{ and }$
- 2. G_{j-1}/G_j is cyclic $\forall 1 \leq j \leq n$.