

# Splitting field & Abel-Ruffini

## Lecture 8

Df. Let  $L:K$  be a field extension  $\varphi: K \rightarrow L$  be the embedding  $\varphi: K \rightarrow L$ , and  $f \in K[t] \setminus K$ . Then  $f$  splits over  $L$  if  $\varphi(f) = c \prod_{j=1}^n (t - \alpha_j)$ , where  $\alpha_j \in L$ ,  $c \in \varphi(K)$ . If  $f$  splits over  $L$ , and  $\varphi(K) \subseteq M \subseteq L$ , then we say that  $M:K$  is a splitting field extension for  $f$  if  $M$  is the smallest subfield of  $L$  containing  $\varphi(K)$  over  $f$  splits.

L. Let  $L:K$  be a splitting field ext. for  $f \in K[t] \setminus K$ ,  $\varphi: K \rightarrow L$ . Let  $\alpha_j \in L$  be roots of  $\varphi(f)$ . Then  $L = \varphi(K)(\alpha_1, \dots, \alpha_n)$ .

Pf. We can identify  $K$  &  $\varphi(K) \Rightarrow$  let  $K \subseteq L$  and put  $F = K(\alpha_1, \dots, \alpha_n) \Rightarrow K \subsetneq F \subseteq L$  and  $f$  splits over  $F$ . By minimality  $L \subseteq F \Rightarrow L = F$ .

Ex. 1)  $\mathbb{C}$  is a splitting field of  $x^2 + 1$   
1') Let  $[L:K] = 2 \Rightarrow \forall \alpha \in L \setminus K$ ,  $L$  is a splitting field of  $\mu_\alpha^K$  ( $\deg \mu_\alpha^K = 2 \Rightarrow \mu_\alpha^K = (x - \alpha)(x - \alpha')$ )  
But  $K(\alpha, \alpha') = K(\alpha)$  since  $\alpha + \alpha' \in K$  by Vieta  
2)  $x^3 - 2 \in \mathbb{Q}[x] \Rightarrow \mathbb{Q}(\sqrt[3]{2}, \epsilon_3)$  is a splitting field of  $x^3 - 2$ .  
3)  $x^n - a \in K[x] \Rightarrow L = K(R, \epsilon_n)$ ,  $R$  is any root of  $x^n - a$  (we need  $\text{char } K \nmid n$ )

4)  $f(x) = x^3 + ax^2 + bx + c$ ,  $f$  is irreducible over  $K$ ,  
 $\alpha_1, \alpha_2, \alpha_3$  are roots

$$K \xrightarrow{3} K(\alpha_1) \rightarrow \alpha_2 \in K(\alpha_1) \Rightarrow L = K(\alpha_1)$$

( $\alpha_3 \in K(\alpha_1)$  automatically)

$$\rightarrow \alpha_2 \notin K(\alpha_1) \Rightarrow L = K(\alpha_1, \alpha_2)$$

We have  $[K(\alpha_1, \alpha_2) : K] = 6$

In general, we get

L. Let  $f \in K[t] \setminus K$  and  $L:K$  be a splitting field for  $f$ . Then  $[L:K] \leq (\deg f)!$

Just consider  $K \xrightarrow{\leq n} K(\alpha_1) \xrightarrow{\leq n-1} K(\alpha_1, \alpha_2) \xrightarrow{\leq n-2} \dots \xrightarrow{\leq 1} K(\alpha_1, \dots, \alpha_n)$

5)  $f = t^4 - 2 \in \mathbb{Q}[t] \Rightarrow \pm \alpha, \pm i\alpha$ , where  $\alpha = \sqrt[4]{2}$  are roots of  $f \Rightarrow L = \mathbb{Q}(\alpha, i)$  is a splitting field. We have  $L:K = 8$  ( $i \notin \mathbb{R}$ )

Thm If  $f \in K[t] \setminus K$ , and  $L:K, M:K$  are splitting field extensions for  $f$ . Then  $L \cong M$  (in particular  $[L:K] = [M:K]$ ).

We will prove this result later (the proof requires the concept of algebraic closure) and now let us obtain the first result about solvability by radicals.

Def. Let  $L:K$  be a field extension,  $\alpha \in L$ . Then  $\alpha$  is **radical** over  $K$  if  $\alpha^n \in K$  for some  $n \in \mathbb{N}$ . Further  $L:K$  is an **extension by radicals** if  $\exists$  a tower of field extensions

$$L_0 = K = L_1 = L_2 = \dots = L = L_m \text{ s.t. } L_j = L_{j-1}^{(R_j)}$$

with  $R_j$  radical over  $L_{j-1}$ ,  $j = 1, \dots, m$ .

Finally, we say  $f \in K[t]$  is **solvable by radicals** if there is a radical extension of  $K$  over which  $f$  splits.

Thm (Abel - Ruffini) Informally it states that there is no solution by radicals to general equations of degree 5 or higher with arbitrary coefficients (coefficients = indeterminates)

Our basic field is  $K = \mathbb{C}(a_1, \dots, a_n)$  where  $a_1, \dots, a_n$  are formal variables. Consider the **general** or **generic** polynomial eq. of degree  $n$  over  $K$ :

$$x^n + a_1 x^{n-1} + \dots + a_{n-1} x + a_n = 0, \quad n \geq 5.$$

Let  $x_1, \dots, x_n$  be root of  $f$  and  $L = K(x_1, \dots, x_n)$  be a splitting field for  $f$ . We prove that  $f \in K[x]$

is not solvable by radicals.

Pf (Ruffini) Suppose that  $K(x_1, \dots, x_n)$

$$K = \mathbb{C}(a_1, \dots, a_n) = K_0 - K_1 - K_2 - \dots - K_m = L,$$
$$K_j = K_{j-1}(R_j), \quad R_j^{h_j} \in K_{j-1} \quad (\text{i.e. } R_j \text{ are RADICALS})$$

Since  $a_j$  are elementary symmetric pol. in  $x_1, \dots, x_n$ , we have  $K(x_1, \dots, x_n) = \mathbb{C}(a_1, \dots, a_n)(x_1, \dots, x_n) = \mathbb{C}(x_1, \dots, x_n)$ .

Moreover one can say that  $K = \mathbb{C}(a_1, \dots, a_n) = \mathbb{C}(x_1, \dots, x_n)$  (the field  $\frac{h_1(x_1, \dots, x_n)}{h_2(x_1, \dots, x_n)}$ , where  $h_1, h_2$  are symmetric).

L.1. Let  $R \in L$ ,  $\sigma \in S_n$  and  $\sigma(R^k) = R^k$ ,  $k \in \mathbb{Z}^+$ . Then  $\sigma(R) = \epsilon R$ , where  $\epsilon^{\text{Ord}(\sigma)} = 1$ .

Pf. We have  $\sigma(R)^k = \sigma(R^k) = R^k \Rightarrow \sigma(R) = \epsilon R$ , where  $\epsilon \in \sqrt[k]{1}$ . Further (since  $\epsilon \in \mathbb{C}$  does not depend on  $x_1, \dots, x_n$ )

$$\sigma(\sigma(R)) = \sigma(\epsilon R) = \epsilon \sigma(R) = \epsilon^2 R.$$

Similarly,  $\sigma^d(R) = \epsilon^d R \quad \forall d$ . Thus for  $d = \text{Ord}(\sigma)$  we have  $\epsilon^d R = \sigma^d(R) = R \Rightarrow \epsilon^{\text{Ord}(\sigma)} = 1$  (if  $R=0$ , then there is nothing to prove).  
Now we use some computations in  $S_n$ .

L.2. Let  $n \geq 5$  and  $\pi = (12345)$ ,  $\rho = (345)$ ,  $\tau = (123)$ . If  $\pi^k(R) = \rho^k(R) = \tau^k(R) = R$ , then  $\pi(R) = \rho(R) = \tau(R) = R$ .

Pf. By Lemma 1  $\pi(R) = \omega R$ ,  $\omega^5 = 1$   
 $\tau(R) = \varepsilon R$ ,  $\varepsilon^3 = 1$

$$\tau\pi = (13452) \Rightarrow \tau\pi(R) = \tau(\pi(R)) = \omega \varepsilon R \\ \Rightarrow (\omega \varepsilon)^5 = 1 \Rightarrow \varepsilon^5 = 1 \Rightarrow \varepsilon = 1 \Rightarrow \tau(R) = R$$

Similarly,  $\rho\pi = (12435)$  and the same argument gives us  $\rho(R) = R$ . Finally,  $\tau\rho = \pi$  (obviously)  $\Rightarrow \omega = 1 \Rightarrow \pi(R) = R$ . ■

L.O.  $x_1, \dots, x_n$  are algebraically independent over  $\mathbb{C}$ .

Pf. Let  $0 \neq g(x_1, \dots, x_n) = 0$ , where  $g(t_1, \dots, t_n) \in \mathbb{C}[t_1, \dots, t_n]$ . Consider  $g_\sigma(t_1, \dots, t_n) = g(t_{\sigma(1)}, \dots, t_{\sigma(n)}) \neq 0$ ,  $\sigma \in S_n$ .

Then  $\prod_{\sigma \in S_n} g_\sigma(t_1, \dots, t_n) = F(t_1 + \dots + t_n, \dots, t_1 \dots t_n)$

Put  $t_i = x_i \Rightarrow \text{LHS} = 0 = F(-a_1, a_2, \dots, (-1)^n a_n)$   
 $\Rightarrow F \equiv 0$  and this is a contradiction. ■

Now consider  $K_0 = K_1$ ,  $z_1^{k_1} \in K_0 = \mathbb{C}_{\text{sym}}(x_1, \dots, x_n)$ .



Thus  $\forall \sigma \in S_n$  one has  $\sigma(R_1^{k_1}) = R_1^{k_1}$   
 (this is an element of  $K_0 = \mathbb{C}_{\text{sym}}(x_1, \dots, x_n)$ ).  
 Further, by L.2  $\pi, \rho$  and  $\tau$  preserve the  
 whole field  $K_1 = K_0(R_1)$ , where  $R_1^{k_1} \in K_0$   
 $\Rightarrow$  they preserve  $R_2^{k_2} \in K_1 \Rightarrow$  by L.2 they  
 preserve  $R_2$ . And so on. Thus,  $\pi, \rho, \tau$   
 preserve  $L$ . In particular,  $\pi(x_1) = x_1$  but  
 $\pi(x_1) = x_2 \neq x_1$ . This is a contradiction. ■

Actually, instead of  $K(x_1, \dots, x_n)$   
 $K = \mathbb{C}(a_1, \dots, a_n) = K_0 - K_1 - K_2 - \dots - K_m = L$ ,  
 $K_j = K_{j-1}(R_j)$ ,  $R_j^{k_j} \in K_{j-1}$  (i.e.  $R_j$  are radicals)

we need  $K_0 - K_1 - K_2 - \dots - K_m \supseteq L$ .

Exm  $\mathbb{Q} \supseteq \mathbb{Q}(\cos \frac{2\pi}{9}) \supseteq \cos \frac{4\pi}{9}, \cos \frac{8\pi}{9}$ . Clearly,  
 the eq.  $4x^3 - 3x = -\frac{1}{2}$  is solvable by radicals  
 If  $\exists$  an extension by radicals

$\mathbb{Q} = K_0 - K_1 - \dots - K_m = \mathbb{Q}(\cos \frac{2\pi}{9}) = L$   
 then obviously,  $m=1 \Rightarrow L = \mathbb{Q}(\sqrt[3]{a})$ ,  $a \in \mathbb{Q}$   
 $\Rightarrow a > 0$  (exercise: otherwise the degree  $\neq 3$ )  
 but conjugates of  $\sqrt[3]{a}$  are  $\sqrt[3]{a} \cdot \epsilon_3, \sqrt[3]{a} \cdot \epsilon_3^2$  and

they do not belong to  $\mathbb{Q}(\sqrt[3]{a}) = L$ .

In the future  $\Rightarrow$  such fields  $L$  will be  
called normal!