

# 1 Field extensions and algebraic elements

## 1.1 Field extensions

**Definition 1** (Field extension). When  $K$  and  $L$  are fields, we say that  $L$  is an extension of  $K$  if there is a homomorphism  $\varphi : K \rightarrow L$ . We then talk about the field extension  $(\varphi, K, L)$ .

**Definition 2** (Degree, finite extension). Suppose that  $L : K$  is a field extension. We define the degree of  $L : K$  to be the dimension of  $L$  as a vector space over  $K$ . We use the notation  $[L : K]$  to denote the degree of  $L : K$ . Further, we say that  $L : K$  is a finite extension if  $[L : K] < \infty$ .

**Definition 3** (Tower, intermediate field). We say that  $M : L : K$  is a tower of field extensions if  $M : L$  and  $L : K$  are field extensions, and in this case we say that  $L$  is an intermediate field (relative to the extension  $M : K$ ).

**Proposition 1.** Suppose that  $L$  is a field extension of  $K$  with associated embedding  $\varphi : K \rightarrow L$ . Then  $L$  forms a vector space over  $K$ , under the operations

$$\begin{aligned} & \text{(vector addition)} \quad \psi : L \times L \rightarrow L \quad \text{given by} \quad (v_1, v_2) \mapsto v_1 + v_2 \\ & \text{(scalar multiplication)} \quad \tau : K \times L \rightarrow L \quad \text{given by} \quad (k, v) \mapsto \varphi(k)v. \end{aligned}$$

**Theorem 1.1** (The Tower Law). Suppose that  $M : L : K$  is a tower of field extensions. Then  $M : K$  is a field extension, and  $[M : K] = [M : L][L : K]$ .

**Corollary 1.** Suppose that  $L : K$  is a field extension for which  $[L : K]$  is a prime number. Then whenever  $L : M : K$  is a tower of field extensions with  $K \subseteq M \subseteq L$ , one has either  $M = L$  or  $M = K$ .

## 1.2 Algebraic elements

**Proposition 2.** Suppose that  $K$  and  $L$  are fields and that  $\varphi : K \rightarrow L$  is a homomorphism.

With  $t$  and  $y$  denoting indeterminates, extend the homomorphism  $\varphi$  to the mapping  $\psi : K[t] \rightarrow L[y]$  by defining

$$\psi(a_0 + a_1t + \cdots + a_nt^n) = \varphi(a_0) + \varphi(a_1)y + \cdots + \varphi(a_n)y^n.$$

Then  $\psi : K[t] \rightarrow L[y]$  is an injective homomorphism.

Also, when  $\varphi : K \rightarrow L$  is surjective, then  $\psi : K[t] \rightarrow L[y]$  is surjective and maps irreducible polynomials in  $K[t]$  to irreducible polynomials in  $L[y]$ .

**Definition 4** (Algebraic/transcendental element). Suppose that  $L : K$  is a field extension with associated embedding  $\varphi$ . Suppose also that  $\alpha \in L$ .

- (i) We say that  $\alpha$  is algebraic over  $K$  when  $\alpha$  is the root of  $\varphi(f)$  for some non-zero polynomial  $f \in K[t]$ .
- (ii) If  $\alpha$  is not algebraic over  $K$ , then we say  $\alpha$  is transcendental over  $K$ .
- (iii) When every element of  $L$  is algebraic over  $K$ , we say that the field  $L$  is algebraic over  $K$ .

**Definition 5** (Evaluation map). Suppose that  $L : K$  is a field extension with  $K \subseteq L$ , and that  $\alpha \in L$ . We define the evaluation map  $E_\alpha : K[t] \rightarrow L$  by putting  $E_\alpha(f) = f(\alpha)$  for each  $f \in K[t]$ .

**Proposition 3.** Suppose  $L : K$  is a field extension with  $K \subseteq L$ , and  $\alpha \in L$ . Then  $E_\alpha$  is a ring homomorphism.

**Proposition 4.** Let  $L : K$  be a field extension with  $K \subseteq L$ , and suppose that  $\alpha \in L$  is algebraic over  $K$ . Then

$$I = \ker(E_\alpha) = \{f \in K[t] : f(\alpha) = 0\}$$

is a nonzero ideal of  $K[t]$ , and there is a unique monic polynomial  $m_\alpha(K) \in K[t]$  that generates  $I$ .

**Definition 6** (Minimal polynomial). Suppose that  $L : K$  is a field extension with  $K \subseteq L$ , and suppose that  $\alpha \in L$  is algebraic over  $K$ . Then the minimal polynomial of  $\alpha$  over  $K$  is the unique monic polynomial  $m_\alpha(K)$  having the property that  $\ker(E_\alpha) = (m_\alpha(K))$ .

**Theorem 1.2.** Suppose that  $L : K$  is a field extension, and that  $\alpha \in L$  is algebraic over  $K$ . Let  $g$  be the minimal polynomial  $m_\alpha(K)$  of  $\alpha$  over  $K$ . Then  $g$  is irreducible over  $K$ , and  $K[t]/(g)$  is a field.

**Theorem 1.3.** Let  $K$  be a field, and suppose that  $f \in K[t]$  is irreducible. Then there exists a field extension  $L : K$ , with associated embedding  $\varphi : K[t] \rightarrow L[y]$ , having the property that  $L$  contains a root of  $\varphi(f)$ .

**Definition 7** (Smallest subring/subfield). Let  $L : K$  be a field extension with  $K \subseteq L$ .

- (i) When  $\alpha \in L$ , we denote by  $K[\alpha]$  the smallest subring of  $L$  containing  $K$  and  $\alpha$ , and by  $K(\alpha)$  the smallest subfield of  $L$  containing  $K$  and  $\alpha$ ;
- (ii) More generally, when  $A \subseteq L$ , we denote by  $K[A]$  the smallest subring of  $L$  containing  $K$  and  $A$ , and by  $K(A)$  the smallest subfield of  $L$  containing  $K$  and  $A$ .

**Proposition 5.** Let  $L : K$  be a field extension with  $K \subseteq L$ . Let  $A \subseteq L$  and

$$\mathcal{C} = \{C \subseteq A : C \text{ is a finite set}\}.$$

Then  $K(A) = \cup_{C \in \mathcal{C}} K(C)$ . Further, when  $[K(C) : K] < \infty$  for all  $C \in \mathcal{C}$ , then  $K(A) : K$  is an algebraic extension.

**Proposition 6.** Let  $L : K$  be a field extension with  $K \subseteq L$ , and suppose that  $\alpha \in L$ . Then

$$K[\alpha] = \{c_0 + c_1\alpha + \cdots + c_d\alpha^d : d \in \mathbb{Z}_{\geq 0}, c_0, \dots, c_d \in K\}$$

and

$$K(\alpha) = \{f/g : f, g \in K[\alpha], g \neq 0\}.$$

**Theorem 1.4.** Let  $L : K$  be a field extension with  $K \subseteq L$ , and suppose that  $\alpha \in L$  is algebraic over  $K$ .

- (i) The ring  $K[\alpha]$  is a field, and  $K[\alpha] = K(\alpha)$ ;
- (ii) Let  $n = \deg m_\alpha(K)$ . Then  $\{1, \alpha, \alpha^2, \dots, \alpha^{n-1}\}$  is a basis for  $K(\alpha)$  over  $K$ , and hence  $[K(\alpha) : K] = \deg m_\alpha(K)$ .

**Proposition 7.** Let  $L : K$  be a field extension with  $K \subseteq L$ , and suppose that  $\alpha \in L$ . Then  $\alpha$  is algebraic over  $K$  if and only if  $[K(\alpha) : K] < \infty$ .

**Proposition 8.** Suppose that  $L : K$  is a field extension with  $K \subseteq L$ , and suppose that  $\alpha \in L$  is algebraic over  $K$ . Then every element of  $K(\alpha)$  is algebraic over  $K$ .

**Theorem 1.5.** Let  $L : K$  be a field extension with  $K \subseteq L$ . Then the following are equivalent:

- (i) one has  $[L : K] < \infty$ ;
- (ii) the extension  $L : K$  is algebraic, and there exist  $\alpha_1, \dots, \alpha_n \in L$  having the property that  $L = K(\alpha_1, \dots, \alpha_n)$ .

**Proposition 9.** Let  $L : K$  be a field extension, and define

$$L^{\text{alg}} = \{\alpha \in L : \alpha \text{ is algebraic over } K\}.$$

Then  $L^{\text{alg}}$  is a subfield of  $L$ .

### 1.3 Review of finite fields and tests for irreducibility

**Definition 8** (Characteristic). Let  $K$  be a field with additive identity  $0_K$  and multiplicative identity  $1_K$ . When  $n \in \mathbb{N}$ , we write  $n \cdot 1_K$  to denote  $1_K + \dots + 1_K$  (as an  $n$ -fold sum). We define the characteristic of  $K$ , denoted by  $\text{char } K$ , to be the smallest positive integer  $m$  with the property that  $m \cdot 1_K = 0_K$ ; if no such integer  $m$  exists, we define the characteristic of  $K$  to be 0.

**Proposition 10.** *Let  $K$  be a field with  $\text{char } K > 0$ . Then  $\text{char } K$  is equal to a prime number  $p$ , and then for all  $x \in K$  one has  $p \cdot x = 0$ .*

**Theorem 1.6.** *Suppose that  $\text{char } K = p > 0$ , and put  $F = \{c \cdot 1_K : c \in \mathbb{Z}\}$ . Then  $F$  is a subfield (called the prime subfield) of  $K$ , and  $F \cong \mathbb{Z}/p\mathbb{Z}$ .*

**Theorem 1.7.** *Let  $K$  be a field, and denote by  $K^\times$  the abelian multiplicative group  $K \setminus \{0\}$ . Then every finite subgroup  $G$  of  $K^\times$  is cyclic. In particular, if  $K$  is a finite field then  $K^\times$  is cyclic.*

**Definition 9** (Highest common factor, content, primitive). Let  $R$  be a UFD. When  $a_0, \dots, a_n \in R$  are not all 0, we define as a highest common factor of  $a_0, \dots, a_n$  (written  $\text{hcf}(a_0, \dots, a_n)$ ) any element  $c \in R$  satisfying

- (i)  $c \mid a_i$  ( $0 \leq i \leq n$ ), and
- (ii) whenever  $d \mid a_i$  ( $0 \leq i \leq n$ ), then  $d \mid c$ .

When  $f = a_0 + a_1X + \dots + a_nX^n$  is a non-zero polynomial in  $R[X]$ , we define a content of  $f$  to be any  $\text{hcf}(a_0, \dots, a_n)$ . We say that  $f \in R[X]$  is primitive if  $f \neq 0$  and the content of  $f$  is divisible only by units of  $R$ .

**Theorem 1.8** (Gauss' Lemma). *Suppose that  $R$  is a UFD with field of fractions  $Q$ . Suppose that  $f$  is a primitive element of  $R[X]$  with  $\deg f > 0$ . Then  $f$  is irreducible in  $R[X]$  if and only if  $f$  is irreducible in  $Q$ .*

**Theorem 1.9** (Eisenstein's Criterion). *Suppose that  $R$  is a UFD, and that  $f = a_0 + a_1X + \dots + a_nX^n \in R[X]$  is primitive. Then provided that there is an irreducible element  $p$  of  $R$  having the property that*

- (i)  $p \mid a_i$  for  $0 \leq i < n$ ,
- (ii)  $p^2 \nmid a_0$ , and
- (iii)  $p \nmid a_n$ ,

*then  $f$  is irreducible in  $R[X]$ , and hence also in  $Q[X]$ , where  $Q$  is the field of fractions of  $R$ .*

**Theorem 1.10** (Localisation principle). *Let  $R$  be an integral domain, and let  $I$  be a prime ideal of  $R$ . Define  $\varphi : R[X] \rightarrow (R/I)[X]$  by putting*

$$\varphi(a_0 + a_1X + \dots + a_nX^n) = \bar{a}_0 + \bar{a}_1X + \dots + \bar{a}_nX^n,$$

*where  $\bar{a}_j = a_j + I$ . Then  $\varphi$  is a surjective homomorphism. Moreover, if  $f \in R[X]$  is primitive with leading coefficient not in  $I$ , then  $f$  is irreducible in  $R[X]$  whenever  $\varphi(f)$  is irreducible in  $(R/I)[X]$ .*

## 3 Extending field homomorphisms and the Galois group of an extension

**Definition 16** (Extension of field homomorphism, isomorphic field extensions). For  $i = 1$  and  $2$ , let  $L_i : K_i$  be a field extension relative to the embedding  $\varphi_i : K_i \rightarrow L_i$ . Suppose that  $\sigma : K_1 \rightarrow K_2$  and  $\tau : L_1 \rightarrow L_2$  are isomorphisms. We say that  $\tau$  extends  $\sigma$  if  $\tau \circ \varphi_1 = \varphi_2 \circ \sigma$ . In such circumstances, we say that  $L_1 : K_1$  and  $L_2 : K_2$  are isomorphic field extensions.

When  $\sigma : K_1 \rightarrow K_2$  and  $\tau : L_1 \rightarrow L_2$  are homomorphisms (instead of isomorphisms), then  $\tau$  extends  $\sigma$  as a homomorphism of fields when the isomorphism  $\tau : L_1 \rightarrow L'_1 = \tau(L_1)$  extends the isomorphism  $\sigma : K_1 \rightarrow K'_1 = \sigma(K_1)$ .

**Definition 17** ( $F$ -homomorphism). Let  $L : K$  be a field extension relative to the embedding  $\varphi : K \rightarrow L$ , and let  $M$  be a subfield of  $L$  containing  $\varphi(K)$ . Then, when  $\sigma : M \rightarrow L$  is a homomorphism, we say that  $\sigma$  is a  $K$ -homomorphism if  $\sigma$  leaves  $\varphi(K)$  pointwise fixed, which is to say that for all  $\alpha \in \varphi(K)$ , one has  $\sigma(\alpha) = \alpha$ .

**Proposition 11.** Suppose that  $L : K$  is a field extension with  $K \subseteq L$ , and that  $\tau : L \rightarrow L$  is a  $K$ -homomorphism. Suppose that  $f \in K[t]$  has the property that  $\deg f \geq 1$ , and additionally that  $\alpha \in L$ . Then

- (i) if  $f(\alpha) = 0$ , one has  $f(\tau(\alpha)) = 0$ ;
- (ii) when  $\tau$  is a  $K$ -automorphism of  $L$ , one has that  $f(\alpha) = 0$  if and only if  $f(\tau(\alpha)) = 0$ .

**Theorem 3.1.** Let  $\sigma : K_1 \rightarrow K_2$  be a field isomorphism. Suppose that  $L_i$  is a field with  $K_i \subseteq L_i$  ( $i = 1, 2$ ). Suppose also that  $\alpha \in L_1$  is algebraic over  $K_1$ , and that  $\beta \in L_2$  is algebraic over  $K_2$ . Then we can extend  $\sigma$  to an isomorphism  $\tau : K_1(\alpha) \rightarrow K_2(\beta)$  in such a manner that  $\tau(\alpha) = \beta$  if and only if  $m_\beta(K_2) = \sigma(m_\alpha(K_1))$ .

**Note:** When  $\tau : K_1(\alpha) \rightarrow K_2(\beta)$  is a homomorphism, and  $\tau$  extends the homomorphism  $\sigma : K_1 \rightarrow K_2$ , then  $\tau$  is completely determined by  $\sigma$  and the value of  $\tau(\alpha)$ .

**Corollary 2.** Let  $L : M$  be a field extension with  $M \subseteq L$ . Suppose that  $\sigma : M \rightarrow L$  is a homomorphism, and  $\alpha \in L$  is algebraic over  $M$ . Then the number of ways we can extend  $\sigma$  to a homomorphism  $\tau : M(\alpha) \rightarrow L$  is equal to the number of distinct roots of  $\sigma(m_\alpha(M))$  that lie in  $L$ .

**Definition 18** (Galois group of extension). Suppose that  $L : K$  is a field extension. With  $\text{Aut}(L)$  denoting the automorphism group of  $L$ , we set

$$\text{Gal}(L : K) = \{\sigma \in \text{Aut}(L) : \sigma \text{ is a } K\text{-homomorphism}\}$$

and we call  $\text{Gal}(L : K)$  the Galois group of  $L : K$ .

**Note:** Proposition 3.1 tells us that when  $f \in K[t]$  and  $\sigma \in \text{Gal}(L : K)$ , the mapping  $\sigma$  permutes the roots of  $f$  that lie in  $L$ .

**Theorem 3.2.** Suppose that  $L : K$  is an algebraic extension, and  $\sigma : L \rightarrow L$  is a  $K$ -homomorphism. Then  $\sigma$  is an automorphism of  $L$ .

**Theorem 3.3.** If  $L : K$  is a finite extension, then  $|\text{Gal}(L : K)| \leq [L : K]$ .

**Corollary 3.** Suppose that  $L : F$  and  $L : F'$  are finite extensions with  $F \subseteq L$  and  $F' \subseteq L$ , and further that  $\psi : F \rightarrow F'$  is an isomorphism. Then there are at most  $[L : F]$  ways to extend  $\psi$  to a homomorphism from  $L$  into  $L$ .

**Corollary 4.** Let  $L : K$  be a finite extension with  $K \subseteq L$ . Suppose that  $\alpha_1, \dots, \alpha_n \in L$  and put  $L = K(\alpha_1, \dots, \alpha_n)$ . Let  $K_0 = K$ , and for  $1 \leq i \leq n$ , let  $K_i = K_{i-1}(\alpha_i)$ . Then every automorphism  $\tau \in \text{Gal}(L : K)$  corresponds to a sequence of homomorphisms  $\sigma_1, \dots, \sigma_n$ , having the property that  $\sigma_0 : K \rightarrow L$  is the inclusion map, one has  $\sigma_n = \tau$ , and for  $1 \leq i \leq n$ , the map  $\sigma_i : K_i \rightarrow L$  is a homomorphism extending  $\sigma_{i-1} : K_{i-1} \rightarrow L$ .

## 4 Algebraic closures

### 4.1 The definition of an algebraic closure, and Zorn's Lemma

**Definition 19** (Algebraically closed field, algebraic closure). Let  $M$  be a field.

- (i) We say that  $M$  is algebraically closed if every non-constant polynomial  $f \in M[t]$  has a root in  $M$ .
- (ii) We say that  $M$  is an algebraic closure of  $K$  if  $M : K$  is an algebraic field extension having the property that  $M$  is algebraically closed.

**Lemma 4.1.** Let  $M$  be a field. The following are equivalent:

- (i) The field  $M$  is algebraically closed;

- (ii) every non-constant polynomial  $f \in M[t]$  factors in  $M[t]$  as a product of linear factors;
- (iii) every irreducible polynomial in  $M[t]$  has degree 1;
- (iv) the only algebraic extension of  $M$  containing  $M$  is itself.

**Definition 20** (Chain). Suppose that  $X$  is a nonempty, partially ordered set with  $\leq$  denoting the partial ordering. A chain  $C$  in  $X$  is a collection of elements  $\{a_i\}_{i \in I}$  of  $X$  having the property that for every  $i, j \in I$ , either  $a_i \leq a_j$  or  $a_j \leq a_i$ .

**Zorn's Lemma:** Suppose that  $X$  is a nonempty, partially ordered set with  $\leq$  the partial ordering. Suppose that every non-empty chain  $C$  in  $X$  has an upper bound in  $X$ . Then  $X$  has at least one maximal element  $m$ , meaning that if  $b \in X$  with  $m \leq b$ , then  $b = m$ .

**Proposition 12.** Any proper ideal  $A$  of a commutative ring  $R$  is contained in a maximal ideal.

## 4.2 The existence of an algebraic closure

**Lemma 4.2.** Let  $K$  be a field. Then there exists an algebraic extension  $E : K$ , with  $K \subseteq E$ , having the property that  $E$  contains a root of every irreducible  $f \in K[t]$ , and hence also every  $g \in K[t] \setminus K$ .

**Theorem 4.3.** Suppose that  $K$  is a field. Then there exists an algebraic extension  $\bar{K}$  of  $K$  having the property that  $\bar{K}$  is algebraically closed.

**Corollary 5.** When  $K$  is a field, the field  $\bar{K}$  is a maximal algebraic extension of  $K$ .

## 4.3 Properties of algebraic closures

**Theorem 4.4.** Let  $E$  be an algebraic extension of  $K$  with  $K \subseteq E$ , and let  $\bar{K}$  be an algebraic closure of  $K$ . Given a homomorphism  $\varphi : K \rightarrow \bar{K}$ , the map  $\varphi$  can be extended to a homomorphism from  $E$  into  $\bar{K}$ .

**Corollary 6.** Suppose that  $\bar{K}$  is an algebraic closure of  $K$ , and assume that  $K \subseteq \bar{K}$ . Take  $\alpha \in \bar{K}$  and suppose that  $\sigma : K \rightarrow \bar{K}$  is a homomorphism. Then the number of distinct roots of  $m_\alpha(K)$  in  $\bar{K}$  is equal to the number of distinct roots of  $\sigma(m_\alpha(K))$  in  $\bar{K}$ .

**Proposition 13.** Suppose that  $L$  and  $M$  are fields having the property that  $L$  is algebraically closed, and  $\psi : L \rightarrow M$  is a homomorphism. Then  $\psi(L)$  is algebraically closed.

**Proposition 14.** If  $L$  and  $M$  are both algebraic closures of  $K$ , then  $L \cong M$ .

**Proposition 15.** If  $L : K$  is an algebraic extension, then  $\bar{L}$  is an algebraic closure of  $K$ , and hence  $\bar{L} \cong \bar{K}$ . If in addition  $K \subseteq L \subseteq \bar{L}$ , then we can take  $\bar{K} = \bar{L}$ .

**Proposition 16.** Let  $L : K$  be an extension with  $K \subseteq L$ . Suppose that  $g \in L[t]$  is irreducible over  $L$ , and that  $g \mid f$  in  $L[t]$ , where  $f \in K[t] \setminus \{0\}$ . The  $g$  divides a factor of  $f$  that is irreducible over  $K$ . Thus, there exists an irreducible  $h \in K[t]$  having the property that  $h \mid f$  in  $K[t]$ , and  $g \mid h$  in  $L[t]$ .

## 5 Splitting field extensions

**Definition 21** (Splitting field, splitting field extension). Suppose that  $L : K$  is a field extension relative to the embedding  $\varphi : K \rightarrow L$ , and  $f \in K[t] \setminus K$ .

- (i) We say that  $f$  splits over  $L$  if  $\varphi(f) = \lambda(t - \alpha_1) \cdots (t - \alpha_n)$ , for some  $\lambda \in \varphi(K)$  and  $\alpha_1, \dots, \alpha_n \in L$ .
- (ii) Suppose that  $f$  splits over  $L$ , and let  $M$  be a field with  $\varphi(K) \subseteq M \subseteq L$ . We say that  $M : K$  is a splitting field extension for  $f$  if  $M$  is the smallest subfield of  $L$  containing  $\varphi(K)$  over which  $f$  splits.

- (iii) More generally, suppose that  $S \subseteq K[t] \setminus K$  has the property that every  $f \in S$  splits over  $L$ . Let  $M$  be a field with  $\varphi(K) \subseteq M \subseteq L$ . We say that  $M:K$  is a splitting field extension for  $S$  if  $M$  is the smallest subfield of  $L$  containing  $\varphi(K)$  over which every polynomial  $f \in S$  splits.

**Proposition 17.** *Suppose that  $L : K$  is a splitting field extension for the polynomial  $f \in K[t] \setminus K$  with associated embedding  $\varphi : K \rightarrow L$ . Let  $\alpha_1, \dots, \alpha_n \in L$  be the roots of  $\varphi(f)$ . Then  $L = \varphi(K)(\alpha_1, \dots, \alpha_n)$ .*

**Proposition 18.** *Suppose that  $L : K$  is a splitting field extension for the polynomial  $f \in K[t] \setminus K$ . Then  $[L : K] \leq (\deg f)!$*

**Proposition 19.** *Given  $S \subseteq K[t] \setminus K$ , there exists a splitting field extension  $L : K$  for  $S$ , and  $L : K$  is an algebraic extension. More explicitly, suppose that  $\bar{K}$  is an algebraic closure of  $K$ , and that  $\bar{K} : K$  is an extension relative to the embedding  $\varphi : \bar{K} \rightarrow K$ . Let*

$$A = \{\alpha \in \bar{K} : \alpha \text{ is a root of } \varphi(f), \text{ for some } f \in S\}.$$

*Put  $K' = \varphi(K)$ . Then  $K'(A) : K$  is a splitting field extension for  $S$ .*

**Theorem 5.1.** *Let  $f \in K[t] \setminus K$ , and suppose that  $L : K$  and  $M : K$  are splitting field extensions for  $f$ . Then  $L \cong M$ , and thus  $[L : K] = [M : K]$ .*

**Theorem 5.2.** *Suppose that  $S \subseteq K[t] \setminus K$ , and suppose that  $L : K$  and  $M : K$  are splitting field extensions for  $S$ . Then  $L \cong M$  and  $[L : K] = [M : K]$ .*

## 6 Normal extensions and composita

### 6.1 Normal extensions and splitting field extensions

**Definition 22** (Normal extension). The extension  $L : K$  is normal if it is algebraic, and every irreducible polynomial  $f \in K[t]$  either splits over  $L$  or has no root in  $L$ .

**Proposition 20.** *Suppose that  $L : K$  is a normal extension with  $K \subseteq L \subseteq \bar{K}$ . Then for any  $K$ -homomorphism  $\tau : L \rightarrow \bar{K}$ , we have  $\tau(L) = L$ .*

**Proposition 21.** *An extension  $L : K$  is a finite, normal extension if and only if it is a splitting field extension for some  $f \in K[t] \setminus K$ . More generally, an extension  $L : K$  is normal if and only if it is a splitting field extension for some  $S \subseteq K[t] \setminus K$ .*

**Proposition 22.** *Suppose that  $L : M : K$  is a tower of field extensions and  $L : K$  is a normal extension. Then  $L : M$  is also a normal extension.*

### 6.2 Normal closures

**Theorem 6.1.** *Suppose that  $M : L : K$  is a tower of field extensions having the property that  $M : K$  is normal. Assume that  $K \subseteq L \subseteq M$ . Then the following are equivalent:*

- (i) *the field extension  $L : K$  is normal;*
- (ii) *any  $K$ -homomorphism of  $L$  into  $M$  is an automorphism of  $L$ ;*
- (iii) *whenever  $\sigma : M \rightarrow M$  is a  $K$ -automorphism, then  $\sigma(L) \subseteq L$ .*

**Proposition 23.** *Suppose that  $M : K$  is a normal extension. Then:*

- (a) *for any  $\sigma \in \text{Gal}(M : K)$  and  $\alpha \in M$ , we have  $m_{\sigma(\alpha)}(K) = m_\alpha(K)$ ;*
- (b) *for any  $\alpha, \beta \in M$  with  $m_\alpha(K) = m_\beta(K)$ , there exists  $\tau \in \text{Gal}(M : K)$  having the property that  $\tau(\alpha) = \beta$ .*

### 6.3 Composita of field extensions

**Definition 23** (Compositum). Let  $K_1$  and  $K_2$  be fields contained in some field  $L$ . The compositum of  $K_1$  and  $K_2$  in  $L$ , denoted by  $K_1K_2$ , is the smallest subfield of  $L$  containing both  $K_1$  and  $K_2$ .

**Proposition 24.** Suppose that  $E : K$  and  $F : K$  are finite extensions having the property that  $K$ ,  $E$  and  $F$  are contained in a field  $L$ . Then  $EF : K$  is a finite extension.

**Theorem 6.2.** Let  $E : K$  and  $F : K$  be finite extensions having the property that  $K$ ,  $E$  and  $F$  are contained in a field  $L$ .

- (a) When  $E : K$  is normal, then  $EF : F$  is normal.
- (b) When  $E : K$  and  $F : K$  are both normal, then  $EF : K$  and  $E \cap F : K$  are normal.

### 6.4 Normal closures (non-examinable)

## 7 Separability

**Definition 25** (Separable). Let  $K$  be a field.

- (i) An irreducible polynomial  $f \in K[t]$  is separable over  $K$  if it has no multiple roots, meaning that  $f = \lambda(t - \alpha_1)(t - \alpha_2) \cdots (t - \alpha_d)$ , where  $\alpha_1, \dots, \alpha_d \in \bar{K}$  are distinct.
- (ii) A non-zero polynomial  $f \in K[t]$  is separable over  $K$  if its irreducible factors in  $K[t]$  are separable over  $K$ .
- (iii) When  $L : K$  is a field extension, we say that  $\alpha \in L$  is separable over  $K$  when  $\alpha$  is algebraic over  $K$  and  $m_\alpha(K)$  is separable.
- (iv) An algebraic extension  $L : K$  is a separable extension if every  $\alpha \in L$  is separable over  $K$ .

**Proposition 25.** Suppose that  $L : M : K$  is a tower of algebraic field extensions. Assume that  $K \subseteq M \subseteq L \subseteq \bar{K}$ , and suppose that  $f \in K[t] \setminus K$  satisfies the property that  $f$  is separable over  $K$ . If  $g \in M[t] \setminus M$  has the property that  $g \mid f$ , then  $g$  is separable over  $M$ . Thus, if  $\alpha \in L$  is separable over  $K$  then  $\alpha$  is separable over  $M$ , and if  $L : K$  is separable then so is  $L : M$ .

**Proposition 26.** Suppose that  $L : M$  is an algebraic field extension. Let  $\alpha \in L$  and  $\sigma : M \rightarrow \bar{M}$  be a homomorphism. Then  $\sigma(m_\alpha(M))$  is separable over  $\sigma(M)$  if and only if  $m_\alpha(M)$  is separable over  $M$ .

**Theorem 7.1.** Let  $L : K$  be a finite extension with  $K \subseteq L \subseteq \bar{K}$ , whence  $L = K(\alpha_1, \dots, \alpha_n)$  for some  $\alpha_1, \dots, \alpha_n \in L$ . Put  $K_0 = K$ , and for  $1 \leq i \leq n$ , set  $K_i = K_{i-1}(\alpha_i)$ . Finally, let  $\sigma_0 : K \rightarrow \bar{K}$  be the inclusion map.

- (i) If  $\alpha_i$  is separable over  $K_{i-1}$  for  $1 \leq i \leq n$ , then there are  $[L : K]$  ways to extend  $\sigma_0$  to a homomorphism  $\tau : L \rightarrow \bar{K}$ .
- (ii) If  $\alpha_i$  is not separable over  $K_{i-1}$  for some  $i$  with  $1 \leq i \leq n$ , then there are fewer than  $[L : K]$  ways to extend  $\sigma_0$  to a homomorphism  $\tau : L \rightarrow \bar{K}$ .

**Theorem 7.2.** Let  $L : K$  be a finite extension with  $L = K(\alpha_1, \dots, \alpha_n)$ . Set  $K_0 = K$ , and for  $1 \leq i \leq n$ , inductively define  $K_i$  by putting  $K_i = K_{i-1}(\alpha_i)$ . Then the following are equivalent:

- (i) the element  $\alpha_i$  is separable over  $K_{i-1}$  for  $1 \leq i \leq n$ ;
- (ii) the element  $\alpha_i$  is separable over  $K$  for  $1 \leq i \leq n$ ;
- (iii) the extension  $L : K$  is separable.

**Corollary 7.** Suppose that  $L : K$  is a finite extension. If  $L : K$  is a separable extension, then the number of  $K$ -homomorphism  $\sigma : L \rightarrow \bar{K}$  is  $[L : K]$ , and otherwise the number is smaller than  $[L : K]$ .

**Corollary 8.** Suppose that  $f \in K[t] \setminus K$  and that  $L : K$  is a splitting field extension for  $f$ . Then  $L : K$  is a separable extension if and only if  $f$  is separable over  $K$ . More generally, suppose that  $L : K$  is a splitting field extension for  $S \subseteq K[t] \setminus K$ . Then  $L : K$  is a separable extension if and only if each  $f \in S$  is separable over  $K$ .

**Theorem 7.3.** Suppose that  $L : M : K$  is a tower of algebraic extensions. Then  $L : K$  is separable if and only if  $L : M$  and  $M : K$  are both separable.

**Theorem 7.4.** Suppose that  $E : K$  and  $F : K$  are finite extensions with  $E \subseteq L$  and  $F \subseteq L$ , where  $L$  is a field.

- (a) When  $E : K$  is separable, then so too is  $EF : F$ ;
- (b) When  $E : K$  and  $F : K$  are both separable, then so too are  $EF : K$  and  $E \cap F : K$ .

## 8 Inseparable polynomials, differentiation, and the Frobenius map

### 8.1 Inseparable polynomials and differentiation

**Definition 26** (Inseparable). A polynomial  $f \in K[t]$  is inseparable over  $K$  if  $f$  is not separable over  $K$ , meaning that  $f$  has an irreducible factor  $g \in K[t]$  having the property that  $g$  has fewer than  $\deg g$  distinct roots in  $K$ .

**Definition 27** (Formal derivative). We define the derivative operator  $\mathcal{D} : K[t] \rightarrow K[t]$  by

$$\mathcal{D} \left( \sum_{k=0}^n a_k t^k \right) = \sum_{k=1}^n k a_k t^{k-1}.$$

**Theorem 8.1.** Let  $f \in K[t] \setminus K$ , and let  $L : K$  be a splitting field extension for  $f$ . Assume that  $K \subseteq L$ . Then the following are equivalent:

- (i) The polynomial  $f$  has a repeated root over  $L$ ;
- (ii) There is some  $\alpha \in L$  for which  $f(\alpha) = 0 = (\mathcal{D}f)(\alpha)$ ;
- (iii) There is some  $g \in K[t]$  having the property that  $\deg g \geq 1$  and  $g$  divides both  $f$  and  $\mathcal{D}f$ .

**Theorem 8.2.** Suppose that  $f \in K[t]$  is irreducible over  $K$ . Then  $f$  is inseparable over  $K$  if and only if  $\text{char } K = p > 0$ , and  $f \in K[t^p]$ , which is to say that  $f = a_0 + a_1 t^p + \cdots + a_m t^{mp}$ , for some  $a_0, \dots, a_m \in K$ .

**Corollary 9.** Suppose that  $\text{char } K = 0$ . Then all polynomials in  $K[t]$  are separable over  $K$ .

### 8.2 The Frobenius map

**Definition 28** (Frobenius map). Suppose that  $\text{char } K = p > 0$ . The Frobenius map  $\phi : K \rightarrow K$  is defined by  $\phi(\alpha) = \alpha^p$ .

**Note:**  $\text{Fix}_\phi(K) = \{\alpha \in K : \phi(\alpha) = \alpha\}$ .

**Theorem 8.3.** Suppose that  $\text{char } K = p > 0$ , and let  $F$  be the prime subfield of  $K$ . Let  $\phi : K \rightarrow K$  denote the Frobenius map. Then  $\phi$  is an injective homomorphism, and  $\text{Fix}_\phi(K) = F$ .

**Corollary 10.** Suppose that  $\text{char } K = p > 0$  and  $K$  is algebraic over its prime subfield. Then the Frobenius map is an automorphism of  $K$ .

**Corollary 11.** Suppose that  $\text{char } K = p > 0$  and  $K$  is algebraic over its prime subfield. Then all polynomials in  $K[t]$  are separable over  $K$ .



**Theorem 8.4.** *Suppose that  $\text{char } K = p > 0$ . Let*

$$f(t) = g(t^p) = a_0 + a_1 t^p + \cdots + a_{n-1} t^{(n-1)p} + t^{np}$$

*be a non-constant monic polynomial over  $K$ . Then  $f(t)$  is irreducible in  $K[t]$  if and only if  $g(t)$  is irreducible in  $K[t]$  and not all the coefficients  $a_i$  are  $p$ -th powers in  $K$ .*

## 9 The Primitive Element Theorem

**Definition 29** (Simple extension). Suppose  $L : K$  is a field extension relative to the embedding  $\varphi : K \rightarrow L$ . We say that  $L : K$  is a simple extension if there is some  $\gamma \in L$  having the property that  $L = \varphi(K)(\gamma)$ .

**Theorem 9.1** (The Primitive Element Theorem). *Let  $L : K$  be a finite, separable extension with  $K \subseteq L$ . Then  $L : K$  is a simple extension.*

**Corollary 12.** *Suppose that  $L : K$  is an algebraic, separable extension, and suppose that for every  $\alpha \in L$ , the polynomial  $m_\alpha(K)$  has degree at most  $n$  over  $K$ . Then  $[L : K] \leq n$ .*

## 10 Fixed fields and Galois extensions

**Definition 30** (Fixed field). Let  $L : K$  be a field extension. When  $G$  is a subgroup of  $\text{Aut}(L)$ , we define the fixed field of  $G$  to be

$$\text{Fix}_L(G) = \{\alpha \in L : \sigma(\alpha) = \alpha \text{ for all } \sigma \in G\}.$$

**Proposition 27.** *Let  $K$ ,  $M$  and  $L$  be fields with  $K \subseteq L$  and  $M \subseteq L$ . Suppose that  $G$  and  $H$  are subgroups of  $\text{Aut}(L)$ . Then one has the following:*

- (a) *if  $K \subseteq M$ , then  $\text{Gal}(L : K) \geq \text{Gal}(L : M)$ ;*
- (b) *if  $G \leq H$ , then  $\text{Fix}_L(G) \subseteq \text{Fix}_L(H)$ ;*
- (c) *one has  $K \subseteq \text{Fix}_L(\text{Gal}(L : K))$ ;*
- (d) *one has  $G \leq \text{Gal}(L : \text{Fix}_L(G))$ ;*
- (e) *one has  $\text{Gal}(L : K) = \text{Gal}(L : \text{Fix}_L(\text{Gal}(L : K)))$ ;*
- (f) *one has  $\text{Fix}_L(G) = \text{Fix}_L(\text{Gal}(L : \text{Fix}_L(G)))$ .*

**Definition 31** (Galois extension). When  $L : K$  is a field extension, we say that  $L : K$  is a Galois extension if it is an extension that is normal and separable.

**Theorem 10.1.** *Suppose that  $L : K$  is an algebraic extension. Then  $L : K$  is Galois if and only if  $K = \text{Fix}_L(\text{Gal}(L : K))$ .*

**Theorem 10.2.** *Suppose that  $L$  is a field and  $G$  is a finite subgroup of  $\text{Aut}(L)$ , and put  $K = \text{Fix}_L(G)$ . Then  $L : K$  is a finite Galois extension with  $[L : K] = |\text{Gal}(L : K)|$ , and furthermore  $G = \text{Gal}(L : K)$ .*

**Theorem 10.3.** *Suppose that  $L : K$  is a finite extension. Then, if  $L : K$  is a Galois extension, one has  $|\text{Gal}(L : K)| = [L : K]$  and  $K = \text{Fix}_L(\text{Gal}(L : K))$ . If  $L : K$  is not Galois, meanwhile, one has  $|\text{Gal}(L : K)| < [L : K]$  and  $K$  is a proper subfield of  $\text{Fix}_L(\text{Gal}(L : K))$ .*

**Proposition 28.** *Suppose that  $L : K$  is a Galois extension, and further that  $L : M : K$  is a tower of field extensions. Then  $L : M$  is a Galois extension.*

## 11 The main theorems of Galois theory

### 11.1 The Fundamental Theorem

**Definition 32.** Suppose that  $L : K$  is a field extension. When  $G$  is a subgroup of  $\text{Aut}(L)$ , we write  $\phi(G)$  for  $\text{Fix}_L(G)$ , and when  $L : M : K_0$  is a tower of field extensions with  $K_0 = \phi(\text{Gal}(L : K))$ , we write  $\gamma(M)$  for  $\text{Gal}(L : M)$ .

**Theorem 11.1** (The Fundamental Theorem of Galois Theory). *Suppose that  $L : K$  is a finite extension, let  $G = \text{Gal}(L : K)$ , and put  $K_0 = \phi(G)$ . Then one has the following:*

- (a) *the map  $\phi$  is a bijection from the set of subgroups of  $G$  onto the set of fields  $M$  intermediate between  $L$  and  $K_0$ , and  $\gamma$  is the inverse map;*
- (b) *if  $H \leq G$ , then  $H \trianglelefteq G$  if and only if  $\phi(H) : K_0$  is a normal extension;*
- (c) *if  $H \trianglelefteq G$ , one has  $\text{Gal}(\phi(H) : K_0) \cong G/H$ . In particular, if  $\sigma \in G$ , one has  $\sigma|_{\phi(H)} \in \text{Gal}(\phi(H) : K_0)$ , and the map  $\sigma \mapsto \sigma|_{\phi(H)}$  is a homomorphism of  $G$  onto  $\text{Gal}(\phi(H) : K_0)$  with kernel  $H$ .*

**Definition 33** (Galois group of polynomial). When  $f \in K[t]$  and  $L : K$  is a splitting field extension for  $f$ , we define the Galois group of the polynomial  $f$  over  $K$  to be  $\text{Gal}_K(f) = \text{Gal}(L : K)$ .

### 11.2 Non-examinable: consequences for composita and intersections

## 12 Finite fields

**Theorem 12.1.** *Let  $p$  be a prime, and let  $q = p^n$  for some  $n \in \mathbb{N}$ . Then:*

- (a) *There exists a field  $\mathbb{F}_q$  of order  $q$ , and this field is unique up to isomorphism.*
- (b) *All elements of  $\mathbb{F}_q$  satisfy the equation  $t^q = t$ , and hence  $\mathbb{F}_q : \mathbb{F}_p$  is a splitting field extension for  $t^q - t$ .*
- (c) *There is a unique copy of  $\mathbb{F}_q$  inside any algebraically closed field containing  $\mathbb{F}_p$ .*

**Theorem 12.2.** *Let  $p$  be a prime, and suppose that  $q = p^n$  for some natural number  $n$ . Then:*

- (a) *the field extension  $\mathbb{F}_q : \mathbb{F}_p$  is Galois with  $\text{Gal}(\mathbb{F}_q : \mathbb{F}_p) \cong \mathbb{Z}/n\mathbb{Z}$ ;*
- (b) *The field  $\mathbb{F}_q$  contains a subfield of order  $p^d$  if and only if  $d \mid n$ . When  $d \mid n$ , moreover, there is a unique subfield of  $\mathbb{F}_q$  of order  $p^d$ .*

## 13 Solvability by radicals: polynomials of degree 2, 3 and 4

### 13.1 Finding roots of quadratic, cubic, and quartic polynomials

**Definition 34** (Radical element/extension). Suppose that  $L : K$  is a field extension, and  $\beta \in L$ . We say that  $\beta$  is radical over  $K$  when  $\beta^n \in K$  for some  $n \in \mathbb{N}$  (so  $\beta = \alpha^{1/n}$  for some  $\alpha \in K$  and some  $n \in \mathbb{N}$ ). We say that  $L : K$  is an extension by radicals when there is a tower of field extensions  $L = L_r : L_{r-1} : \cdots : L_0 = K$  such that  $L_i = L_{i-1}(\beta_i)$  with  $\beta_i$  radical over  $L_{i-1}$  ( $1 \leq i \leq r$ ). We say  $f \in K[t]$  is solvable by radicals if there is a radical extension of  $K$  over which  $f$  splits.

## 14 Solvability and solubility

**Definition 35** (Soluble group). A finite group  $G$  is soluble if there is a series of groups

$$\{\text{id}\} = G_0 \leq G_1 \leq \cdots \leq G_n = G,$$

with the property that  $G_i \trianglelefteq G_{i+1}$  and  $G_{i+1}/G_i$  is abelian ( $0 \leq i < n$ ).

**Theorem 14.1.** *Let  $K$  be a field of characteristic 0. Then  $f \in K[t]$  is solvable by radicals if and only if  $\text{Gal}_K(f)$  is soluble.*

**Lemma 14.2.** *Suppose  $\text{char } K = 0$  and  $L : K$  is a radical extension. Then there exists an extension  $N : L$  such that  $N : K$  is normal and radical.*

**Definition 36** (Cyclic extension). The extension  $L : K$  is cyclic if  $L : K$  is a Galois extension and  $\text{Gal}(L : K)$  is a cyclic group.

**Lemma 14.3.** *Suppose that  $\text{char } K = 0$  and let  $p$  be a prime number. Also, let  $L : K$  be a splitting field extension for  $t^p - 1$ . Then  $\text{Gal}(L : K)$  is cyclic, and hence  $L : K$  is a cyclic extension.*

**Lemma 14.4.** *Let  $\text{char } K = 0$  and suppose that  $n$  is an integer such that  $t^n - 1$  splits over  $K$ . Let  $L : K$  be a splitting field extension for  $t^n - a$ , for some  $a \in K$ . Then  $\text{Gal}(L : K)$  is abelian.*

**Theorem 14.5.** *Let  $\text{char } K = 0$  and suppose that  $L : K$  is Galois. Suppose that there is an extension  $M : L$  with the property that  $M : K$  is radical. Then  $\text{Gal}(L : K)$  is soluble.*

**Corollary 13.** *Suppose that  $\text{char } K = 0$ . Then  $\text{Gal}_K(f)$  is soluble whenever  $f \in K[t]$  is soluble by radicals.*

**Corollary 14.** *There exist quintic polynomials in  $\mathbb{Q}[t]$  with insoluble Galois groups, such as  $f(t) = t^5 - 4t + 2$ , and which are not solvable by radicals.*

**Lemma 14.6.** *Let  $\text{char } K = 0$ , and suppose that  $L : K$  is a cyclic extension of degree  $n$ . Suppose also that  $K$  contains a primitive  $n$ -th root of 1. Then there exists  $\theta \in K$  having the property that  $t^n - \theta$  is irreducible over  $K$ , and  $L : K$  is a splitting field for  $t^n - \theta$ . Further, if  $\beta$  is a root of  $t^n - \theta$  over  $L$ , then  $L = K(\beta)$ .*

**Theorem 14.7.** *Let  $\text{char } K = 0$ , and suppose that  $f \in K[t] \setminus K$ . Then  $f$  is solvable by radicals whenever  $\text{Gal}_K(f)$  is soluble.*