

# Spring 2018 CS755 Project Report Acoustic Sensing

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## ABSTRACT

More and more IoT devices emerge into our daily life, aiming to ease our living style. Those devices such as smart TV, smart fridge will have more functionality to provide a better user experience. A traditional controller that uses buttons cannot meet the expectation of the usage. In this paper, we implement AAMouse [13], a system that tracks the device using acoustic sensing. It enables users to use their mobile devices as the controller to draw freely in the air. AAMouse adopts inaudible sound wave and Doppler shift effect to track the device movement. Human being cannot hear the sound wave frequency above 17kHz. However, these frequencies are supported in commercial devices. AAMouse takes advantage of this unused frequencies. Also, AAMouse does not require specialized hardware to operate. We implemented AAMouse in two platforms, PC and Android tablet. To refine the tracking result, we applied maximum ratio combining and signal smoothing filter. Then we conducted the evaluation experiment. The actual error is larger than the AAMouse paper. We also discussed the possible reason of the error. In addition, the difference between our implementation and the original work is discussed in this work as well.

## Keywords

AAMouse, acoustic sensing, inaudible sound wave

## 1. INTRODUCTION

In this work, we implemented AAMouse, a system that utilize acoustic signal and Doppler shift effect to track the device movement. AAMouse uses inaudible sound wave that operates above 17kHz. Researches indicate that human being cannot hear the sound wave above 17kHz. However, current commercial speakers support those frequencies. The unique property of AAMouse is that it can operate in our daily devices and it does not require any specialized hardware. A system that uses mobile device to track its movement can offer a variety of usage. User would be able to

control the appliance anywhere they want by carrying their mobile devices and such system can also enable user to have a better user experience than the traditional remote controller. Moreover, mobile devices have IMU sensors built in, which can also be used to aid the accuracy of the tracking result.

The original AAMouse uses STFT, Doppler shift, maximum ratio combining (MRC) Kalman filter, and particle filter. Our system is different than the original work with minor changes.

Our system sends the sound wave and analyze the received signal using Doppler shift effect. The frequency shift will give information to calculate the velocity. We then used the velocity to calculate the distance that the device traveled. To refine the tracking result, we further apply MRC and signal smoothing filter.

We implemented the system in PC using matlab and in Android using Java. Some technical details we are using are different than the original paper, but the overall concepts are the same. During the experimental evaluation part, we observed that the actual system has larger error than the original paper. We provided detailed reasoning to explain what the potential causes are and what are the potential solutions.

The rest of this paper is organized as follows. Section 2 introduces some related works in this area. Section 3 provides some possible applications of this work in the future. Section 4 summarized the contribution of each group member in this work. Section 5 provides an overview of the project, compared to the original work. Section 6 includes all the technical details of the implementation. We evaluated the system in section 7.

## 2. RELATED WORK

AAMouse is the first tracking system using acoustic signals. It uses the unused inaudible sound wave that are available in commercial devices. Other than AAMouse, some other works also utilize acoustic signals. CAT [4] propose a high precision acoustic tracking using the combination of FMCW with Doppler shift. It modifies the traditional FMCW which sends a chirp signal and applied the modified FMCW to a distributed system. Then it combines with Doppler shift to refine the results. The evaluation shows that this system outperforms AAMouse. Wang [9] proposed a device

free tracking using inaudible sound wave phase information. This work differs from previous ones in the fact that it does not track the movement of a device, instead, it tracks the movement of the finger. Such device free tracking will have more applications. For example, it can help to control the smart watch, and user can wear gloves. FinerIO [5] proposed a tracking system using OFDM and its echo profile. It is also a device free tracking system.

In RF-based schemes, ArrayTrack [11] uses WiFi to realize fine-grained tracking system with a median error of 23 cm using 16 antennas. RF-IDraw [8] adopts 8 RFID antennas with different spacing. This system has the median error of 3.7 cm. WiDraw [7] utilize the angle of arrive(AoA) based on CSI to enable hand-free drawing. Its median error is 5cm when sing 25 WiFi transmitters. mTrack [10] has a high accuracy using 60 GHz RF signals. Tagoram [12] is also a RFID based tracking system that utilizes commercial off-the-shelf devices. Its median error is 12 cm. Compared with RF based tracking systems, audio tracking can achieve a fine-grained system with high accuracy in mm level.

IMU sensors can also be used for localization tracking. For example, Microsoft X-box Kinect uses depth sensor and Nintendo Wii uses infrared cameras to track. Both system works for line-of-sight environment only and they suffer from error accumulation. Li [3] uses acceleration data from accelerator for localization tracking. However, it occurs significant error from measurement. IMU sensor can also be used to improve the tracking result. Zee [6] uses such approach to aid the WiFi tracking accuracy. Compared with sensor based tracking systems, audio tracking has a lower signal processing cost and it supports condition other than line- of-sight.

### 3. APPLICATIONS

IoT devices becomes more and more popular nowadays. Some appliances such as smart TV, smart fridge offer more functions than we ever had before. Merely using a remote controller with buttons cannot meet the expected user experience offered by those appliances. A mouse has been on of the best design for controllers. It enables a high level of freedom of controlling the devices. There are recently mouse developed for smart TV to allow users have a better exploration experience.

A traditional mouse requires a smooth surface to operate, which is feasible for a typical PC. However, when it comes to the smart TV or smart fridge, it is not suitable to have a flat surface near them and it is not suitable for user to maintain a fixed position when using the appliance. For example, an user may want to control a TV on couch, on desk, or on the dining table. Having a mouse in each one of the location is not feasible in real world. Our system can be applied in this scenario by enabling a mouse in the air on the go. User would be able to control the appliance wherever they want with rich control options.

### 4. ROLES AND COLLABORATION

We discussed the project implementation details together, including what we should implement, what the time line would be, what the issues are, and what we should do to solve them. For each technical concept we need, such as MRC, Kalman filter, STFT, Doppler Shift, and the particle

filter, we discussed the implementation procedure, potential issues, and the possible solutions.

Joshua Lilly: Signal processing portion in MATLAB, Maximal Ratio Combining, Outlier removal Kalman Filtering, Distance and point Calculation.

Mingrui Han: Implement the system in Android tablet. Review and discuss matlab code. Implement MRC, distance calculation, following the matlab code. Research and implement FFT, signal filter, and other third party tools which java does not have.

## 5. PROJECT OVERVIEW

We implement a modified version of AAMouse in two platforms, PC (matlab) and Android tablet. Our project is different than the original work in some way but it has the same concept as AAMouse. The key techniques used in AAMouse are, Doppler shift, distance calculation, maximum ratio combining (MRC), Kalman filter, calibration phase, and particle filter. As we implement the work, we found that the paper omits some detail for implementation. Compared with AAMouse, we implemented a modified version of the system. Our system uses Doppler shift, distance calculation, MRC, and signal smoothing filter. Detail will be given in the later sections.

### 5.1 Original Work

The key idea of the original work is using Doppler shift as an estimate of the device velocity. The Doppler shift is a well known effect where the frequency of a signal changes as a sender or a receiver moves. In our case, the sender is fixed, so we only consider the movement of the receiver. Doppler shift is observed in both RF wave and acoustic wave. Because of its speed propagation speed and narrower bandwidth, acoustic signal can achieve a higher accuracy than RF signal. The detailed equation is shown below.

$$v = \frac{F^s}{F} \times C \quad (1)$$

$F$  denotes the original frequency of the signal.  $F^s$  is the frequency shift of the signal.  $C$  is the signal speed, which is the sound wave speed in this case. After the calculation of the velocity  $v$ , AAMouse integrates it with time to obtain the distance of the device movement.

$$D = D + v \times t \quad (2)$$

In 1D tracking,  $D$  is the result. In 2D tracking,  $D$  is the distance for each speaker. We have 2 distances of the device to each speaker and we have the distance between the two speakers. We will be able to track the device movement using basic triangle properties.

To improve the accuracy, AAMouse uses maximum ratio combining (MRC). Measuring from a single frequency may not be reliable. Thus, AAMouse sends the signal in 10 frequencies, with a guarding frequency of 200Hz. Then it applied MRC to average the received signal weighted by the

inverse of the noise variance. After performing MRC, AAMouse adopts Kalman filter to smooth the estimation with noise covariance and measurement noise covariance both to be 0.00001.

To find the distance between the speakers, AAMouse introduced a Doppler shift based calibration process. The TV emits the inaudible sound and user scans the TV with his hands holding the device. The users start from the left end of the TV to the right end of the TV. It finds the points where the value of Doppler shift change from positive to negative. Those points indicate the time that uses spent to move the device between the speakers.

AAMouse finds the initial device location by applying a particle filter. It generates many particles uniformly distributed in the area. Each particle indicates a possible initial location of the device. In the next Doppler shift interval, the system checks the device movement of each particle. If the movement is not feasible, such particle will be filtered out.

## 5.2 Project Summary

We have implemented a modified version of AAMouse. Our system includes the key concept of the original work, which is the Doppler shift. Doppler shift in our system is basically the same as it in the AAMouse, except in the Android part, where there is no STFT library available. Original AAMouse uses STFT to find the peak frequencies of the received signals. Our PC implementation does the same procedure as AAMouse, but our Android tablet uses FFT instead. Basic concept of Doppler shift is given in the previous section. Implementation detail will be given in section ??.

To refine the tracking result, original AAMouse uses maximum ratio combining. We also implemented such technique in both our system. We sent the signal in 10 different frequencies and average the received signal weighted by the inverse of the signal noise. The implementation detail will be given in section ??.

Next, AAMouse applied Kalman Filtering after the MRC to further smooth the signal. Our final evaluation does not employ the use of the Kalman Filtering, due to the fact that the authors did not specify the state transition function used in the Kalman Filter, which is needed by MATLAB. We did make an attempt using van der Pol state estimation, where the system is modeled as two non-linear ordinary differential equations [14].

$$\dot{x}_1 = x_2$$

$$\dot{x}_2 = (1 - x_1^2)x_2 - x_1$$

but this seemed to produce slightly worse results in the empirical evaluation. Which would suggest this is not the evaluation function the authors chose, or there is a bug in the implementation. In Android side, there are not a lot of options to choose from. For example, Kalman filter does not exist in Android platform. We used a signal filter from [2], called OneEuroFilter, to smooth the signal.

The distance calculation portion of the work are the same as AAMouse. We calculated the distance in each axis and

then calculated the intersection of the two circles from two speakers with the radius as the distance calculated before. Section ?? will discuss the detail of the calculation.

AAMouse implements particle filter to find the initial location of the device. Due to the high complexity of particle filter concept and the missing detail of the implementation in the original paper. We were not able to replicate the particle filter from AAMouse. Therefore, our system does not have the particle filter implemented, which could cause the system to be error-prone.

## 6. DESIGN AND IMPLEMENTATION

We implemented the system in two platforms, PC using matlab and Android using Java. In this section, we will discuss the implement details of our system. It includes the hardware we used, the experimental setup we used, the implementation approach we applied, the possible problem we faced and the solutions we took.

### 6.1 Setup PC

The PC implementation is implemented in MATLAB using a Macbook with 4 Gigabytes of memory and an Intel I5 processor with a clock speed 2.8 GHz. A simple microphone (Snowball Black Ice) is used for collection of the audio samples and two Logitech Z200 speakers are used to play the sounds. The distance between the speakers is measured to be 1 meter and the initial starting location for the microphone is approximately 1 meter from both speakers.

### 6.2 Technical Details Sound Generation

In order to generate sounds MATLAB is used to generate 10 Sinusoidal waves, 5 for the left speaker and 5 for the right, each spaced 200 Hz apart. The process for generating the sounds can be seen in Algorithm 1.

```

Data: amp=1;
Data: fs=80000;
Data: duration=50;
Data: values=0:1/fs:duration;
for frequency from 18000 to 18800 do
    wave_left =
        wave_left + amp * sin(2 * pi * frequency * values);
    frequency+ = 200;
end
for frequency from 19000 to 19800 do
    wave_right =
        wave_right + amp * sin(2 * pi * frequency * values);
    frequency+ = 200;
end
combined = [wave_left(:), wave_right(:)];
write(file, combined);
Algorithm 1: How to generate Sinusoidal inaudible sounds

```

### 6.3 Technical Details Receiver Design

The receiver which processes the audio signals uses a continuous loop to run for some variable amount of time. Inside of the loop we take 44100 samples from the microphone and perform a Short Time Fourier Transform with 44100 points. This is also applies a Hamming window with 1764 points and an overlap of 50 percent. At this point the signal consists of all of the 10 frequencies transmitted from the speakers. We

next perform maximal ratio combining by imposing the idea of a channel. We do this by imposing a window of 50 Hz around the center frequencies of each of the 10 frequencies we generated. Then for each channel we estimate the noise variance by taking the sum of all of the signals outside of where we expect the signal to fall and then averaging this value by the number of points used. We then weight each channel by the inverse of this value, which is a rough approximation of the inverse of the noise variance. Next the frequencies are split into two sets. The weighted signals are then averaged with the other signals in their set and the frequency exhibiting the strongest power is extracted from each set. We use these value to calculate the Doppler shift by finding the original frequency value it is closest to and taking the difference. If Doppler shift that is generated is greater than 10 Hz we throw this point out. In the event we throw the point out, we extract the maximum power signal in each channel and calculate the difference between each value and it's closest original frequency value. Then the Doppler shift calculation that is closest to the previous loops Doppler shift is selected as the Doppler shift. We specifically note that this technique of throwing out points in the event the Doppler shift is greater than 10 Hz may cause a biasing towards higher values for future calculations in the event no channel yields a Doppler shift estimate that is less than 10 Hz. Which is a potential source of error, however, the probability that this event will occur is somewhat small given the fact there are 10 frequency values. The Doppler shift obtained is then used to estimate a distance between each of the speakers. Then given distance we estimate the angle between the speaker and the microphone and use that angle to then calculate an x y point. We also note that this point is the intersection of two concentric circles, each with their center at each of the speakers. This means there are potentially two intersections. However, for simplicity and because the second second intersection point tends to cause a larger distance jump from the microphone we do not calculate the second intersection point in the event one exists.

## 6.4 Setup-Android

We used one Samsung SM-T320 tablet and two Logitech S-120 speakers for experiment hardware. The experiment setup is the same as the one mentioned above for our PC implementation. We keep the distance between the speaker to the device to be one meter and the distance between two speakers also to be one meter.

## 6.5 Technical Details-Android

The sampling rate we used is the same as the original paper, 44.1 KHz. It is also the default sampling rate supported by the device. By default, we uses 44,100 as the buffer size to store the audio samples. Such size is chosen in order to provide the fine-grained frequency resolution. Because in Android system, there is no signal processing libraries to use, we cannot call STFT function in one line as we did in PC side. Thus the first issue is how to calculate frequency shift in Doppler shift. We used a third party FFT tool from [1]. This FFT function will return the same number of points as the buffer size of the stored signal. The even index of the FFT point are the imaginary part and the odd index of the FFT point are the real part. We then found the frequencies with the greatest FFT value and subtracted it

with the sending frequency to obtain the frequency shift. Then we are able to calculate the velocity.

To improve the accuracy of the system, we also implement MRC in Android side, the technical detail is the same as above for the PC section. After applying MRC, the original work uses Kalman filter to smooth the data. However, there is no such filter available in Android system. Instead, we applied a third party filter from [2] called OneEuroFilter to smooth the data.

After the post processing, we were able to get the frequency shift in each axis, averaging five sound frequencies. Then we calculate the distance using the same equations mentioned above.

## 6.6 Lessons learned

The knowledge we obtained from the project, specifically with the PC implementation in mind is we learned numerous pieces of signal processing theory, including why we would want to use a STFT as opposed to an FFT. We also learned tons about spacial and frequency diversity when we attempted to implement the maximal ratio combining portion of the project since most of the examples for diversity combining techniques center around spacial diversity as opposed to frequency diversity. Despite learning this, we still are yet to find a good example on Maximal Ratio Combining for frequency diversity. Hence it is possible our implementation is theoretically flawed. We also learned how to implement the Kalman filter in MATLAB, which is not necessarily a simple task despite there being numerous resources on how to do it. Modeling the problem as a state transition function turns out to require even more knowledge of signal processing, beyond what even to this point we have obtained. Despite this we did make an attempt to figure out how to come up with a model for our problem, unfortunately more research is necessary to build the Kalman filtering into the code. One piece of the of the project which we did not attempt to implement was the particle filtering for estimation of the starting location of the microphone. This is our largest source of error by far and is the primary reason for regular bad results. We further hypothesize that we could obtain significantly better results for the project if we would have implemented this portion. In Android side, The initial buffer size is chosen as 1759, which is the minimum buffer size by default calculation using Android AudioRecorder class. Using buffer size of 1759 is no doubt too small for FFT. Such value will lead to a very low frequency resolution, which will cause the distance calculation to be very inaccurate. Same theory is also mentioned in the original work. The correct buffer size should be 44100. However, this value did not work at the first place and it will lead the app to stop working immediately. One suspicious cause is the intensive computing cost inside the while loop for the real time signal processing. Then we optimized the code to compute the maximum frequencies in linear time. This procedure allow the buffer size to be set as 44100. The app will run for approximate 15 seconds before it crushes. Even if the app will work for 15 seconds, this huge buffer size value will take one second to record. A long FFT does not allow us to track the device in real time. In one second, the device may move to other location. As a result, even though we were able to obtain the fine grained frequency shift using

our Android device, the tracking result may not be accurate due to the long FFT.

## 7. EVALUATION

Performance evaluation and potential improvement. Should include below subsections. Feel free to add (do not remove) subsections and reorder them.

### 7.1 Performance and Analysis

Be illustrative with graphs and figures. However, don't just simply enlist the results, but explain them and provide analysis/insights obtained from the implementation and experiments. For example, why does your project performs better/worse than what is reported in the original paper? Why does it perform differently under various scenarios? Any unexpected results are found, and why

### 7.2 Potential Improvements

From your experiment experience, what do you think can be done to improve the performance. Please justify

/\*\*\*\*\* I added some part of mine below. \*\*\*\*\*/

In Android side, we could use STFT packet instead of FFT to increase the accuracy because a long FFT cannot track the device in real time. However, currently there is no STFT library available for Android platform. We could implement our own STFT. The original work applied STFT to use 1764 audio samples to still achieve 1 Hz frequency resolution.

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