

# Public Attitudes Towards Military Alliances

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## Abstract

Why do Americans support or oppose military alliances? To answer this question, we must understand whether elites lead or follow public opinion. In this article, I demarcate the extent of elite influence on alliance attitudes by explaining how partisanship and foreign policy dispositions shape individual responses to elite cues and alliance characteristics. I then use two conjoint survey experiments to examine the roots of public attitudes towards forming and maintaining international alliances. I find broad elite leadership, along with evidence that subsets of both major parties hold rigid attitudes that do not follow elite cues. These fixed attitudes have a partisan asymmetry, as staunch alliance supporters in the Democratic party ignore elite cues, while consistent alliance skeptics in the Republican party do not heed elites. The results imply that elites lead most public opinion towards military alliances, but foreign policy dispositions constrain their impact.

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# 1 Introduction

What determines U.S. public opinion towards military alliances? Despite the importance of public attitudes for forming and upholding U.S. alliances, we do not know why the public supports or opposes alliance commitments. Most evidence on this question comes from opinion polls measuring public sentiment towards salient alliances like the North Atlantic Treaty Organization (NATO). These polls provide important descriptive data, but they do not explain why individuals express particular opinions about alliances.

Descriptive polling data has limited explanatory power because alliance attitudes are subject to the longstanding puzzle of who leads whom in public opinion on foreign policy. On the one hand, elites have ample opportunity to lead public opinion on alliances, given limited public information and interest in foreign policy (Canes-Wrone, 2006; Druckman, 2014). On the other, leaders often follow public attitudes (Barbera et al., 2019; Hager and Hilbig, 2020). In the context of alliance attitudes, it is unclear if elites lead the public or follow partisan differences in foreign policy dispositions that produce distinct opinions among Republicans and Democrats.<sup>1</sup> Although the public pays little attention to international affairs, their opinions have some consistency and structure (Holsti, 1992; Page and Shapiro, 1992; Kertzer and Zeitzoff, 2017). To give an example, Republicans have an established history of isolationism and skepticism towards alliances that their leaders may follow.

In this paper, I explore the roots of U.S. alliance attitudes by identifying who elites can lead. To do this, I examine how foreign policy dispositions and partisanship change the way individuals respond to elite cues and alliance characteristics. Whether elites lead or follow public opinion in the Republican and Democratic parties depends on how elite cues impact individuals with different predispositions towards alliances from isolationism and militant assertiveness. Isolationists are skeptical of alliances, while hawks often back alliance participation. If elite cues encourage

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<sup>1</sup>This article considers the leading or following question for Trump and NATO: <https://fivethirtyeight.com/features/is-trump-fueling-republicans-concerns-about-nato-or-echoing-them/>

isolationists to support alliances and hawks to oppose alliances, elites lead alliance attitudes. I find that while most individuals in both parties follow co-partisan elite cues regardless of their foreign policy dispositions, the strongest alliance supporters in the Democratic party have fixed alliance attitudes, as do staunch alliance skeptics in the Republican party. Most alliance attitudes are plastic under elite cues, but some individuals hold rigid opinions.

In addition to providing new insight into the debate over who leads whom, there are three reasons that understanding U.S. public opinion towards alliances is worthwhile. To start, public opinion is central to debates over whether democracies make more reliable commitments.<sup>2</sup> NATO leaders often feared that changing public attitudes would undermine the alliance (Sayle, 2019). If public opinion towards alliances is indifferent to elite cues, stable attitudes and reliable commitments follow (Gaubatz, 1996). If elite cues drive public opinion, then public attitudes can shift quickly, leading to cycles that hinder democratic reliability (Gartzke and Gleditsch, 2004). For example, some observers feared that Donald Trump's rhetoric would undermine domestic support for alliances. Yet U.S. public approval of alliances like NATO changed little during the Trump administration (Fagan and Poushter, 2020).

Why the public supports or opposes alliances also speaks to the consequences of a prominent scholarly and policy debate. Two competing visions of U.S. foreign policy depend on alliances. One view believes that the United States should reduce its alliance commitments to pursue a restrained grand strategy (Preble, 2009; Posen, 2014). The other argues that continued deep engagement through alliances is the best way to promote U.S. security and prosperity (Brooks, Ikenberry and Wohlforth, 2013; Brands and Feaver, 2017). If elite cues drive public opinion, leaders will be free to implement their preferred vision.

In addition to its practical importance, this study fills a gap in international institutions scholarship. Scholars are more likely to study how international institutions affect public attitudes (e.g.

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<sup>2</sup>Public opinion is important, but it is not deterministic. Kreps (2010) notes that public disapproval may not hinder coalition warfare, especially when elite consensus favors fighting.

(Kaya and Walker, 2014; Greenhill, 2020)), than scrutinize the sources of public attitudes towards international institutions themselves. Other studies use observational survey data to examine public opinion towards international cooperation such as multilateral financial institutions (Edwards, 2009) or the United Nations (Torgler, 2008; Dellmuth and Tallberg, 2015). That leaves limited causal evidence on why individuals hold particular alliance attitudes. In one study of public opinion and military alliances, Tomz and Weeks (2021) address a different question and show that the presence of an alliance increases public support for foreign military intervention. Chu, Ko and Liu (2021) explore how values and interest based elite cues shape public attitudes towards alliance maintenance. I build on these works with more general experiments on alliance formation and maintenance that clarify the reach of elite cues and examine a variety of alliance characteristics.

To provide causal evidence on the sources of public opinion towards alliances, I use two conjoint survey experiments. Conjoint experiments randomize multiple alliance characteristics and elite cues, so this tool is well-suited to assessing the relative weight of different factors (Hainmueller, Hopkins and Yamamoto, 2014). Unlike in observational data, in the context of an experiment that randomly assigns elite cues, I can use information on foreign policy dispositions within parties to distinguish who leads and who follows. The first study asks individuals to rate five hypothetical new alliance commitments and support or oppose alliance formation. The second asks respondents to rate five hypothetical existing commitments and support or oppose alliance maintenance.

In my analysis, I show who follows elite cues. I start by estimating the unconditional average marginal component effects of different elite cues and alliance attributes. Then I examine how partisanship and foreign policy dispositions shape individual responses to elite cues and alliance characteristics.

In nationally representative survey experiments on alliance formation and maintenance, I find that alliance attitudes depend on elite cues, partisanship and foreign policy dispositions. Elite cues are the most important influence, but their impact depends on foreign policy dispositions and

partisanship. Hawkish individuals in both parties often support alliance participation, even if they also hold isolationist views that otherwise dampen alliance support. There are also salient partisan differences in the role of foreign policy dispositions, which create substantial variation in baseline alliance attitudes.

Some Democrats and Republicans hold such strong alliance attitudes that they are unresponsive to elite cues. Hawkish and isolationist Democrats are robust alliance supporters. Dovish and isolationist Republicans are committed alliance skeptics. Outside these two groups, individuals shift their alliance attitudes in response to co-partisan elite cues. Therefore, Republicans can lead the most likely alliance supporters in their party, while Democrats can lead relative alliance skeptics. If elected leaders follow the strongest alliance attitudes in their party, they will lead in competing directions.

Some alliance characteristics also impact public attitudes. Democrats and most Republicans prefer alliances with other democracies to supporting nondemocracies. Issue linkages also increase public support for alliance participation, while high financial costs reduce support for alliance maintenance. Some Republicans express strong regional preferences and express minimal interest in alliances with African states.

Last, I find that public support for alliance formation and maintenance differs. Even with elite opposition, upholding existing alliances almost always retains majority support. In alliance formation, elite cues determine whether a treaty has majority or minority support. Therefore, elites have more influence over new alliance obligations than changing existing commitments.

The results imply that elites have substantial influence on public attitudes towards alliances. Foreign policy dispositions make some individual opinions less plastic, however. Elites often lead public opinion towards alliances, but subsets of both major parties hold rigid attitudes.

These findings have implications for the future of U.S. alliance politics. Although cues from one type of elite can weaken public support for U.S. alliances, they alone cannot produce majority opposition to existing treaties, given widespread support for alliance maintenance. Bipartisan

opposition to alliances would command enough support for leaders to withdraw from an alliance with little public disapproval, however. Unlike existing alliances, public support for new alliance commitments is vulnerable to elite criticism.

## 2 Who Leads Whom in Alliance Attitudes?

Public opinion has a critical role in democratic foreign policy and alliance politics. Public approval shapes military intervention decisions (Tomz, Weeks and Yarhi-Milo, 2020; Lin-Greenberg, 2021). In democracies, anticipation of paying public audience costs for treaty violation encourages limited promises of military support (Chiba, Johnson and Leeds, 2015; Fjelstul and Reiter, 2019). Moreover, public attitudes are central to disputes about the reliability of democratic alliances (Gaubatz, 1996; Gartzke and Gleditsch, 2004). Policymakers also pay careful attention to public support for alliances (Sayle, 2019).

There is meaningful variation in public opinion towards military alliances. Figure 1 plots the percentage of respondents supporting NATO in 59 surveys from 1974 to 2020.<sup>3</sup> A majority of respondents back NATO in most surveys, but public support has fallen since 2000.

Observed alliance attitudes like those in Figure 1 are subject to a longstanding puzzle in public opinion on foreign policy— who leads whom? In this case, does public support for NATO lead or follow elite cues? Put differently, if we observe elite expressions of support for alliances and high public support, it is unclear if public attitudes towards alliances follow from elite cues or if established public attitudes drive elite cues. Both perspectives offer plausible models.

Evidence on whether elites lead or follow public opinion is divided, as the following examples show. Some suggest that elites are more likely to lead public opinion. Canes-Wrone (2006) finds that U.S. Presidents rarely follow the public if they disagree with public preferences, and have more room to lead in foreign policy. Jacobs and Shapiro (2000) argue that elites track public opinion

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<sup>3</sup>These surveys ask respondents to assess NATO in many ways. I consider favorable opinions, feeling thermometer ratings of 50 or higher, and support for increasing or maintaining U.S. commitment as indicators of support for NATO.

### US Public Support for NATO: 1974-2020

Data from Roper iPoll



Figure 1: US public support for NATO from 1974 to 2020. Each point marks a unique poll, and colors differentiate the percentages of respondents that expressed support, opposition or neutral/no opinion of NATO. Loess lines estimate the average support for each group in every year. Topline data from the Roper Center's iPoll database.

to manipulate it, not conform to it. Kreps (2010) notes that public disapproval did not constrain participation in NATO's International Security Assistance Force in Afghanistan. Moreover, foreign policy is a secondary concern for many voters, so elite foreign policy views and rhetoric can diverge from public attitudes with few political repercussions (Busby and Monten, 2012).

Others show that elites match their rhetoric and policy stances to public opinion. Barbera et al. (2019) use social media data to show that legislators are more likely to follow than lead public opinion on issues, including some foreign policy considerations. Hager and Hilbig (2020) find that exposure to public opinion research moves speech and policy positions by German politicians closer to majority opinion. Guisinger and Saunders (2017) note that for issues with low partisan polarization, information effects can dominate public opinion, though elite cues matter more for polarized issues like cap and trade schemes. Haesebrouck (2019) uncovers little evidence that European elites led their public to support military interventions in Libya and the Islamic State. Bechtel et al. (2015) find that elite cues and frames led Swiss individuals, especially those with low knowledge, to reinforce their existing attitudes. Even military elites shape their recommendations in response to public opinion (Lin-Greenberg, 2021).

Alliance attitudes are subject to this puzzle of who leads whom. On the one hand, limited public information about alliances will increase elite influence (Druckman, 2001). On the other, the public opinion towards alliances may depend on individual foreign dispositions, as these intuitions about international affairs provide consistent heuristics even with limited information (Herrmann, Isernia and Segatti, 2009; Kertzer and Zeitzoff, 2017). A combination of the two is possible, and some alliance attitudes may be more plastic. Page and Shapiro (1992) note that public opinion is broadly consistent and rational, and changes in predictable ways in response to information from multiple sources, including elite cues.

Understanding alliance attitudes therefore provides insight into a fundamental debate about public opinion on foreign policy. In the following, I explain how partisanship and foreign policy dispositions provide leverage to understand who leads whom. First, I outline how elite cues can



lead public views of alliances.

## **2.1 Elite Cues**

Elite cues are a plausible determinant of alliance attitudes. In this general model the public follows trusted elites in forming their opinion, so elite portrayals of alliances bolster or undermine public support. Thus, public opinion towards alliances is endogenous to elite views (Druckman, 2014).

In an elite leading model, public opinion towards alliances permeates down from the top. There is substantial evidence that elites influence public foreign policy attitudes (Baum and Potter, 2008). The media often convey elite cues and frames. Social media may further amplify elite influence (Baum and Potter, 2019).

Furthermore, information shortcomings make individuals more responsive to elite framing and cues (Druckman, 2001; Peterson, 2017). The public has limited information about foreign policy relative to domestic issues (Baum and Potter, 2008). Furthermore, alliance politics has low salience within foreign policy. Alliances are less salient than international conflict, which is the most common subject for studies of foreign policy opinions. Therefore, elite support or opposition could shape alliance attitudes because individuals rely on trusted elites in an issue environment with little alternative information.

Whom leads depends on which elites are giving public cues as well. Elected officials, diplomats and military leaders all participate in alliance politics. Elected leaders have substantial public reach. Cues from military leaders can shape public opinion about the use of force (Golby, Feaver and Dropp, 2018), so military endorsements may be especially influential. Diplomatic elites are also domain experts. Public perceptions that military leaders and diplomats are well-informed about alliances will likely increase their influence.

Partisanship further shapes elite identity and influence. Under partisan polarization, individuals discount messages from out-partisan elites. Conversely, trust makes cues from co-partisan elites

more influential (Druckman, Peterson and Slothuus, 2013).

According to the elite cues model, support for alliances by trusted elites should increase individual support for alliances, and elite opposition will reduce support. This makes unified elite cues influential. For example, Berinsky (2007) finds that unified elite support for war leads to robust public support.

Elite cues are a straightforward and compelling explanation of alliance attitudes. Even information about alliance characteristics like allied democracy or military spending likely reaches the public through elite sources. As they receive elite messages, individuals also hold prior attachments, intuitions and beliefs, however. Partisanship and individual foreign policy dispositions could modify how rigid or plastic alliance attitudes are in the face of elite cues.

## **2.2 Individual Concerns**

Foreign policy dispositions and partisanship shape individual perceptions of the costs, benefits and value of international cooperation. These individual concerns have two consequences for alliance attitudes. First, they establish individuals' baseline alliance support, or willingness to back alliances in general.<sup>4</sup> Second, individual concerns might change individual responses to elite cues and particular alliance characteristics.

Many individuals have stable intuitions about international politics. These principles shape how people respond to foreign policy decisions, such as backing down from military intervention promises (Kertzer and Brutger, 2016). Militant assertiveness and internationalism are two key foreign policy dispositions (Herrmann, Tetlock and Visser, 1999).

Internationalism is an inclination to engage with other countries and contribute to international endeavors. Internationalist respondents support American engagement in foreign affairs and will likely favor alliance commitments. Conversely, isolationists are skeptical of international insti-

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<sup>4</sup>Another way to think of baseline support is an individual disposition to support a generic alliance, regardless of elite cues or alliance characteristics.

tutions and cooperation (Kertzer, 2013). Isolationists dislike foreign involvement and prioritize domestic affairs. As a result, isolationists should be skeptical of alliances and especially opposed to alliances with substantial financial cost or additional obligations.

Militant assertiveness increases support for alliance participation. Hawkish individuals are more willing to use force to address international problems. Although alliances are a cooperative institution, they also aggregate military capability (Fordham and Poast, 2014) and obligate members to fight. Hawks will value capability aggregation through alliance commitments and are willing to hazard foreign wars. Dovish individuals are skeptical of using military force in general. This makes doves less likely to support military alliances that promise to fight for foreign countries.

Militant assertiveness and internationalism are connected to partisanship, as they vary across and within the Democratic and Republican parties. Conservatives in the United States have a longstanding history of isolationist sentiment, for instance (Kupchan, 2020). As a result, many Republicans are more skeptical of international engagement and alliances. Republicans are more hawkish as well, however (Gries, 2014).

Party identification also connects elite cues and individual concerns by determining whose cues matter. Individuals look to cues from trusted elites, and partisanship is a straightforward heuristic for who to trust. Because partisanship reflects foreign policy dispositions and connects to elite cues, it has a crucial role in alliance attitudes.

Understanding alliance attitudes requires careful attention to elite cues, partisanship and foreign policy dispositions. Although elite cues are likely influential, the extent of elite influence is unclear. This is especially true because partisanship is correlated with foreign policy dispositions like isolationism and militant assertiveness that shape alliance attitudes. Perhaps Republican leaders' opposition to alliances does not decrease Republican support for alliances, it simply reflects conservative isolationism, for example.

## 2.3 Leading, Following and Individual Concerns

To assess who elites lead, I examine how elite cues impact Democrats and Republicans with different predispositions towards alliances. How individuals respond to cues from copartisan elites given their prior partisanship, militant assertiveness and isolationism reveals the extent of elite leadership. Individuals with different partisan affiliations will likely have different tendencies to back or oppose alliances on average. How elite cues move attitudes relative to this average or baseline tendency provides insight on who elites lead.

For elites to lead, they must be able to overcome individual predispositions to support or oppose alliance participation. If elites lead public opinion, elite support will increase support for alliance participation even among isolationists. Similarly, if elite opposition reduces support among hawkish individuals who would otherwise support an alliance, elite cues lead public opinion towards alliances.

If elites follow public opinion, their cues will have no effect or impact respondents in ways that agree with their predispositions. No effect of elite support and a negative effect of elite opposition relative to baseline attitudes among isolationists is consistent with limited elite leadership. If hawkish individuals discount elite cues, elite support will have a null or positive impact on alliance attitudes among these individuals, and elite opposition will have no impact.

Model	Elite Cue	Isolationists	Hawks
Elite Lead	Support	Increase Support	Increase Support
	Oppose	Decrease Support	Decrease Support
Elite Follow	Support	Null	Null or Increase Support
	Oppose	Null or Decrease Support	Null

Table 1: Summary of results consistent with elites leading or following public opinion on military alliances. These predictions assume that isolationists are disposed to oppose alliances, while hawks are likely to support alliance participation. Predictions relative to average alliance support within each disposition.

Table 1 summarizes the implications of this argument. Elite leadership implies that public

attitudes follow elite cues, even if cues conflict with their likely disposition towards alliances. Isolationism and hawkishness overlap, so their relative weight is also an important concern.

The predictions above simplify matters by discounting the confluence of hawkishness and isolationism. Individuals may be isolationist and hawkish, internationalist and hawkish, isolationist and dovish, or internationalist and dovish, however.<sup>5</sup> Dovish isolationists are the most likely alliance skeptics, while hawkish internationalists are the most likely alliance supporters. I do not have strong priors about the relative strength of hawkishness and isolationism, however. One effect could dominate the other, the two factors could offset, or they could interact in unexpected ways.<sup>6</sup>

Dividing respondents into these groups provides clear leverage over who holds plastic or rigid alliance attitudes. This in turn allows me to identify the boundaries of elite leadership. While most of the results focus on elite cues, I also consider alliance characteristics. Besides indications of support, elites and media often convey other information about an alliance that changes individual attitudes.

## **2.4 Alliance Characteristics**

Military alliances take many forms, as states negotiate distinct treaties with diverse partners. Allied capability, shared interests, and the nature of the alliance obligations are all plausible determinants of alliance attitudes. Limited public information on foreign policy issues may constrain the impact of alliance characteristics, however. Furthermore, most information about alliance characteristics is attached to elite cues in media reports (Baum and Potter, 2008).

Allied capability is the first major alliance characteristic. Greater allied military capability should generally increase the appeal of an alliance. All else equal, alliances with militarily capable

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<sup>5</sup>While some existing research does not divide isolationists into hawks and doves and distinguishes between cooperative and militant internationalists (Kertzer et al., 2014), I divide isolationists by hawkishness to assess the net impact of competing dispositions. To streamline discussion across the four categories, I do not use the terms cooperative and militant internationalism in the manuscript, though the concepts are present.

<sup>6</sup>As a result, portions of the following analysis are exploratory.

states are more valuable (Johnson, Leeds and Wu, 2015). Inasmuch as the public understands that allies with substantial military capability have greater capacity to deter and fight, they will back alliances with more capable states.

Perceptions of shared interests with allies are another salient alliance characteristic. Threat, economic ties, recent military operations and democratic political institutions are all observable indicators of common interests. If individuals see minimal shared security threat, they will be less likely to support protecting foreign states. In economics, protecting trade ties often motivates asymmetric alliances between large and small states (Fordham, 2010). As individuals value foreign trade and investment and see it as an indicator of common interests, they will approve of alliance commitments with trade partners. If the United States and a potential alliance partner or current ally recently participated in a common military operation, the public could believe that they share common concerns and interests. Joining U.S. operations also suggests that the other state will bear substantial costs to support the United States.<sup>7</sup>

Democratic citizens may also prefer alliances with other democracies. At a minimum, the democratic public rarely supports military strikes against other democracies (Tomz and Weeks, 2013). Individuals may believe that democracies should cooperate because they share common concerns and values. Shared values are a strong justification of alliance participation (Chu, Ko and Liu, 2021). Democratic political regimes thus offer a simple heuristic for a trustworthy and valuable alliance partner.

In addition to shared interests, alliance obligations may shape public support for alliances, especially alliance formation. There is immense variation in alliance treaty content (Leeds et al., 2002). Potential alliance members must agree on whether they are willing to offer military support, conditions on that support, and how they will contribute to deterrence and war fighting (Poast, 2019). These issues are reflected in alliance treaties, which stipulate military intervention, condi-

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<sup>7</sup>Some states participate in wartime coalitions to encourage closer ties with the United States (Gannon and Kent, 2020).

tions on allied support, defense cooperation, and issue linkages, all of which could impact alliance attitudes.

If the public fears entrapment in foreign conflicts or reckless allies, they will prefer alliances with conditional obligations. Most U.S. alliances restrict intervention to attacks on allies and conflicts in specific regions. Other alliances supplement the core promises of military support with peacetime defense cooperation (Morrow, 1994; Leeds and Anac, 2005) to coordinate policies and establish credible commitments. Almost half of all military alliances have some defense cooperation (Leeds et al., 2002), and many U.S. alliances include formal organizations, bases, and military aid. The public may prefer more arms-length commitments, or back strong ties with allies. Last, formal and informal issue linkages often facilitate agreement and support credible alliance commitments (Poast, 2012, 2013). Perceptions that an alliance brings trade or foreign policy concessions could increase public approval, as the public perceives tangible benefits.

Finally, military alliances affect U.S. defense spending (Alley and Fuhrmann, 2021). While the public may accept alliance expenses, skeptics often highlight the financial costs of alliance commitments (Posen, 2014). Military spending on alliances has opportunity costs, as funds spent on the military cannot acquire other goods. Thus, more expensive commitments should command less public support, especially among isolationists.

Accounting for these alliance characteristics avoids confounding elite cues and provides insight into what information about an alliance shifts public attitudes. Providing greater detail ensures the any impact of elite cues is not driven by inferred alliance characteristics. It also mimics media presentations of elite cues that give other information about an alliance.

Before discussing the research design, there are two important considerations. First, alliance formation and maintenance are distinct processes (Snyder, 1997). Therefore, I consider alliance formation and maintenance in separate survey experiments to assess whether the public views making a new alliance commitment and upholding an existing treaty differently.

Second, feedback between elite cues and public opinion is plausible in the long run. It is

possible that public opinion shapes elite cues, which in turn alter public opinion. For example, elites could respond to established alliance skepticism by adopting rhetoric that encourages further opposition. Elites could also attempt to lead public opinion and bolster alliance support, however. Such feedback takes time, and would be most obvious in the context of longstanding alliances. If such a cycle exists, elite cues must influence alliance attitudes. This analysis can therefore establish part of a potential feedback cycle, and identify who elites can lead. I now describe how I assess the sources of alliance attitudes.

### **3 Research Design**

I use two conjoint survey experiments to unpack U.S. public support for forming and maintaining alliances. Information about observed alliances includes bundles of elite support and alliance characteristics. Conjoint experiments allow researchers to decompose such composite phenomena and compare multidimensional treatments (Hainmueller, Hopkins and Yamamoto, 2014). For example, Hainmueller and Hopkins (2015) use a conjoint experiment to explore U.S. immigration attitudes.

Both conjoint experiments ask individuals to rate and support participation in defensive military alliances with randomly generated profiles of alliance characteristics and elite cues. In the alliance formation experiment, I ask respondents about five hypothetical new alliances. The alliance maintenance experiment presents five hypothetical existing alliances.

To start, I measure key respondent characteristics, especially partisanship, hawkishness and internationalism. These measures provide the basis of subgroup analyses examining how individual concerns shape baseline support for alliance participation as well as individual responses to elite cues and alliance characteristics. After measuring key individual factors, I present respondents with a table of information about a hypothetical alliance with a randomly generated profile of elite cues and characteristics. Once respondents read the table, I ask them to rate the alliance



on a scale from 0 to 100 and express approval of alliance formation or maintenance with a yes/no question. I then present four more randomly generated alliance profiles, so each respondent rates five hypothetical alliances in a single-profile conjoint design.

Each alliance partner profile is drawn from the attributes in Table 2. Every attribute has multiple potential values. The full alliance profile selects one value from each attribute. The set of attributes and values captures theoretically interesting alliance characteristics and generates plausible profiles.<sup>8</sup> I randomize attribute order at the respondent level, so the table of attributes is the consistent for each respondent. Drawing alliance profiles at random and providing multiple rating tasks in a conjoint experiment makes estimating the average marginal component effect (AMCE) for each alliance attribute straightforward (Hainmueller, Hopkins and Yamamoto, 2014).

As Table 2 shows, I include many salient alliance attributes. Support or opposition from Republican and Democratic Senators, the Joint Chiefs of Staff, and the Secretary of State provide elite cues from elected officials, military leaders and diplomats. Other attributes cover key alliance characteristics such as trade ties, regime type, shared threat, military capability, conditions on support, defense cooperation, and issue linkages. The regime type indicator includes nondemocracy, fragile democracy, and consolidated democracy. The financial cost values reflect the most conservative association between an alliance commitment and U.S. military spending from Alley and Fuhrmann (2021). I also randomize the region of the hypothetical alliance partner to mitigate confounding.

Each hypothetical alliance has fourteen attributes. This ensures that attributes do not mask one another, but also that respondents are not overwhelmed and reduce the effort they put into assessing the full profile. Studies of satisficing in conjoint experiments suggest that including fourteen attributes in a profile is unlikely to reduce data quality (Bansak et al., 2019). Furthermore, there is little evidence of satisficing when respondents are asked to rate or compare five profiles (Bansak et al., 2018), as is the case in this study.<sup>9</sup>

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<sup>8</sup>There are no restrictions on value combinations in the alliance profiles. I employ this uniform randomization because all of these alliance profiles are plausible. This also generates substantial variance in elite cues.

<sup>9</sup>I find little difference in treatment effects across rating tasks, which suggests that satisficing is not a major concern.

Attributes	Values
Republican Senators	Support an alliance with this country.
Democratic Senators	Oppose an alliance with this country.
The Joint Chiefs of Staff	Support an alliance with this country.
The Secretary of State	Oppose an alliance with this country.
Trade Ties	Supports an alliance with this country.
Partner Political Regime	Opposes an alliance with this country.
Partner Military Capability	The United States has minimal trade ties with this country.
Shared Threat	The United States has modest trade ties with this country.
Recent Military Cooperation	The United States has extensive trade ties with this country.
Financial Cost	This country is not a democracy, and shows no sign of becoming a democracy.
Conditions on Support	This country is a democracy, but shows signs that it may not remain a democracy.
Defense Cooperation	This country is a democracy, and shows every sign that it will remain a democracy.
Related Cooperation	10,000 soldiers and spends 1% of their GDP on the military.
Region	80,000 soldiers and spends 2% of their GDP on the military.
	250,000 soldiers and spends 3% of their GDP on the military.
	The United States and this country face minimal common threats.
	The United States and this country face modest common threats.
	The United States and this country face serious common threats.
	This country has not participated in recent U.S. military operations.
	This country recently supported U.S. airstrikes against terrorists.
	This country recently supported U.S. counterinsurgency operations.
	This country recently fought with the United States in a war.
	This alliance requires \$5 billion in annual U.S. defense spending.
	This alliance requires \$10 billion in annual U.S. defense spending.
	This alliance requires \$15 billion in annual U.S. defense spending.
	The alliance treaty promises military support in any conflict.
	The alliance treaty promises military support only if this country did not provoke the conflict.
	The alliance treaty promises military support only if the conflict takes place in this country's region.
	None.
	The alliance treaty provides basing rights for U.S. troops.
	The alliance treaty includes a shared military command.
	The alliance treaty includes an international organization to coordinate defense policies.
	None.
	The alliance is linked to greater trade and investment with the United States.
	The alliance is linked to greater support for the United States in the United Nations.
	Europe.
	Africa.
	The Middle East.
	Asia.
	The Americas.

Table 2: Table of alliance attributes in conjoint experiment profiles. I use the same set of attributes as treatments in the alliance formation and maintenance experiments.

### 3.1 Sample and Individual Measures

There are two experiments—one for alliance formation and another for maintenance. Each nationally representative sample contains 1500 U.S. respondents, recruited through Lucid Theorem. With an effective sample size of 7500, results will be under powered for very small effects, but should have enough power to pick up large differences and interactions.

I measured key individual correlates of alliance attitudes for each respondent, focusing on partisan affiliation<sup>10</sup> and foreign policy dispositions. I used standard batteries to measure internationalism and militant assertiveness (Herrmann, Tetlock and Visser, 1999; Kertzer and Brutger, 2016). These pretreatment measures structure the subgroup analyses.

Analyzing subgroups in the conjoint experiments requires categorical measures of foreign policy dispositions and partisanship. To divide respondents into isolationists and internationalists, I coded agreement with the most common survey measure as isolationism, and disagreement or a neutral stance as internationalism. The hawkishness index sums three questions about the use of force and war. Hawkish individuals scored above the midpoint of three on this scale, while doves scored three or lower. Finally, I interacted party affiliation, hawkishness and isolationism to analyze foreign policy dispositions within partisan groups.

To analyze the results, I first estimate unconditional average marginal component effects. After that, I explore how individual concerns modify the alliance treatments. The subgroup analysis examines how partisanship and foreign policy dispositions modify the impact of elite cues and alliance characteristics. To analyze alliance support in different groups, I estimate the overall mean choice, then compare the marginal means of support across different groups, employing omnibus F-tests to assess aggregate differences (Leeper, Hobolt and Tilley, 2020). Marginal means estimate average choices or ratings for each conjoint attribute level, averaging over all other treatments.

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<sup>10</sup>I classified independent “leaners” as Democrats or Republicans, respectively. I coded pure independents or others that expressed no partisan lean as independents.

## 4 Results

In these results, I first present the unconditional average marginal component effect (AMCE) of elite cues and alliance characteristics. I then consider how partisan identification, hawkishness and isolationism shape alliance attitudes. I find that elites have substantial power to lead alliance attitudes, but the consequences of elite cues depend on foreign policy dispositions. In addition to important differences in baseline alliance support, subsets of both parties hold rigid opinions. Figure 2 presents the AMCE of elite cues and alliance characteristics on individual choices in the alliance formation and maintenance experiments. Given the large number of factors, all results figures highlight the most salient AMCE estimates.<sup>11</sup>

The unconditional AMCE estimates show significant elite influence on alliance attitudes. Elite cues clearly increase public support for alliance formation and maintenance. Support from Senators and the Joint Chiefs of Staff is especially influential. Backing from the Secretary of State increases support for alliance formation and has a smaller positive effect on alliance maintenance choices.

Some alliance characteristics influence alliance attitudes as well. Allied regime type is particularly consequential. Established democracy increases support for alliance formation and maintenance. Weak democracies are marginally more likely than non-democracies to receive public support, but these receive more limited public backing. The magnitude of the established democracy AMCE is comparable to elite cues.

Issue linkages also encouraged support for alliance formation and maintenance. Linkages to trade and investment with the United States increased support for alliance participation, relative to an alliance with no linkages. Political issue linkages in the United Nations bolstered individual support though this effect is smaller than that of trade. This adds a public opinion mechanism to prior findings that issue linkages can facilitate new alliance agreements (Poast, 2012) and bolster

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<sup>11</sup>See the choice and rating AMCE figures in the appendix for a full presentation of all the estimates in a single figure.

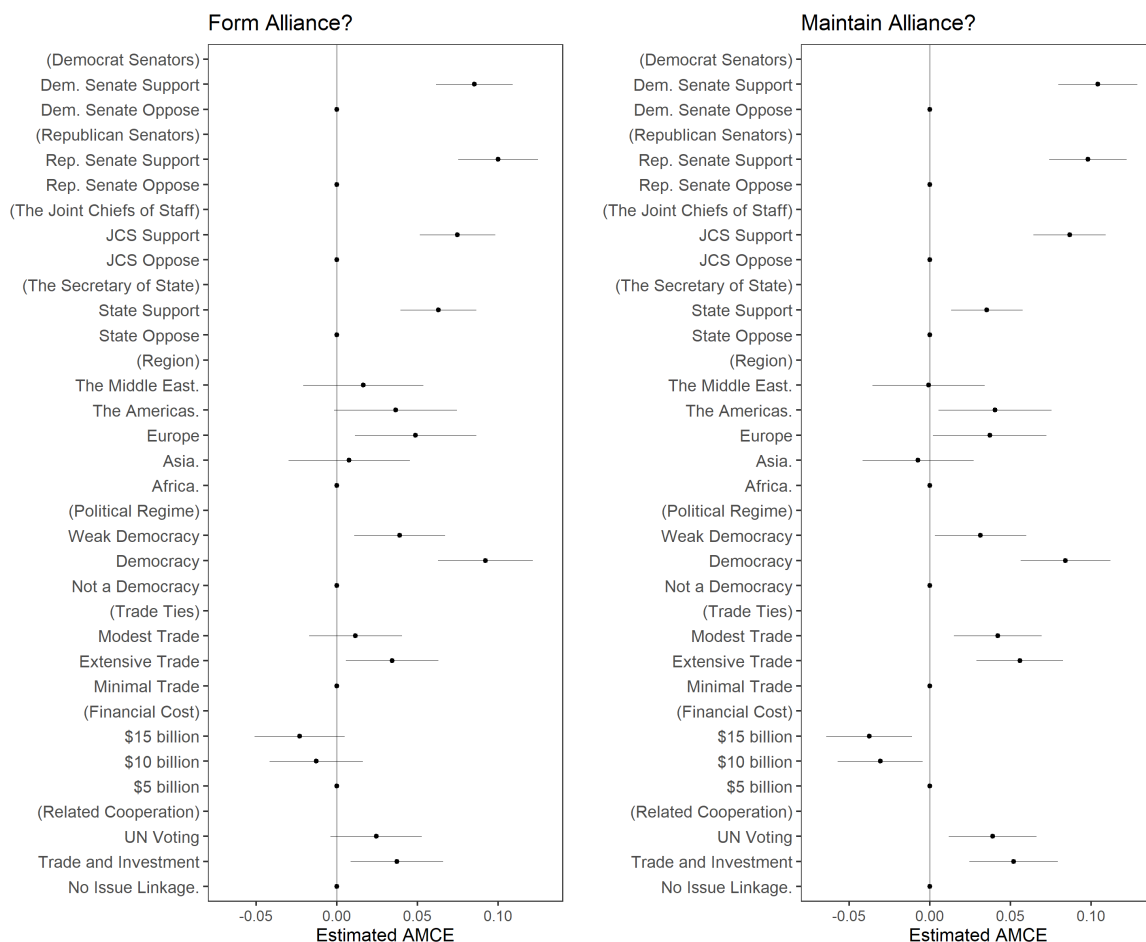


Figure 2: Average marginal component effect of elite cues and alliance characteristics on public support for forming or maintaining a hypothetical military alliance. Feature names in parentheses. Components marked with abbreviated labels and some attributes omitted to make the plot more legible.

alliance credibility (Poast, 2013).

Last, alliance context and costs shift public attitudes. Trade ties and serious common threat encouraged support for alliance maintenance. Relative to the lowest annual military spending cost, annual costs of \$10 billion or more decreased support for upholding an alliance. Respondents also viewed alliances in Europe or the Americas more favorably than commitments to African states.

The above results assume that individuals respond in the same way to different cues and alliance characteristics. But individual concerns, especially the confluence of partisanship and foreign dispositions, structure alliance attitudes. Examining these factors shows who elites lead.

## **4.1 Partisanship, Hawkishness, Isolationism, and Alliance Attitudes**

In this section, I estimate support for alliances across respondents with different partisan affiliations and foreign policy dispositions. This analysis helps establish who leads in public opinion towards alliances. If elite cues exert little impact or only push respondents in ways that match individual predispositions, they are more likely to follow public opinion. On the other hand, if elites cues increase alliance support among likely alliance skeptics and decrease support among likely backers, elites lead.

In the following, I plot the marginal means of support for alliance participation for distinct foreign policy dispositions within the two major parties under key conjoint treatments. I start with the marginal means of support given different elite cues. I then examine how respondents with different partisan affiliations and foreign policy dispositions view key alliance characteristics.

### **4.1.1 Elite Cues**

Figure 3 and Figure 4 show the marginal means of support for alliance formation and maintenance across partisan and foreign policy disposition subgroups.<sup>12</sup> Each panel plots the marginal mean of support for each alliance attribute within every categorical combination of militant as-

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<sup>12</sup>See the appendix for details on the distribution of foreign policy dispositions across party identification.

sertiveness, internationalism, and partisanship. In every panel, a solid vertical line marks a marginal mean of .5 and a dashed line summarizes the average alliance choice across all attributes and levels. Both figures show how individuals in each group respond to elite cues.

Alliance attitudes and responses to elite cues reflect a complex combination of foreign policy dispositions and partisanship. The same foreign policy dispositions have distinct implications for alliance attitudes among Republicans and Democrats, so partisanship matters. At the same time, foreign policy dispositions produce substantial differences in alliance attitudes within parties.

Among Democrats and Republicans, hawkishness increases support for alliance participation. There are partisan differences in this relationship, however, as hawkish Democrats express higher support for alliance participation than hawkish Republicans. Hawkish and isolationist Democrats are the strongest supporters of alliance participation. Hawkishness also increases support for alliance participation among isolationists.

Isolationism alone does not reduce support for alliance participation. Rather, alliance opposition comes from skeptics of international engagement and using force. Isolationist and dovish individuals are the greatest skeptics of alliance formation and maintenance. The combination of isolationism and limited support for military force is at the heart of alliance opposition. Although Republican doves are rare, they are integral to alliance skepticism in the GOP, especially because they disregard elite cues. Dovish Democrats are also more likely to oppose alliances.

In addition to shifting baseline alliance attitudes, foreign policy dispositions change individual responses to elite cues. Isolationists are less likely to heed elite cues. Internationalist Democrats respond strongly to support from Democratic Senators, and also look to cues from the Secretary of State and Joint Chiefs of Staff. Hawkish and isolationist Democrats express consistent support for forming and maintaining alliances, albeit with some attention to military elite cues. The strongest alliance supporters in the Democratic party hold rigid alliance attitudes.

Among Republicans, hawks are most receptive to elite cues. Regardless of their view of international engagement, there are clear differences in alliance support for hawkish Republicans

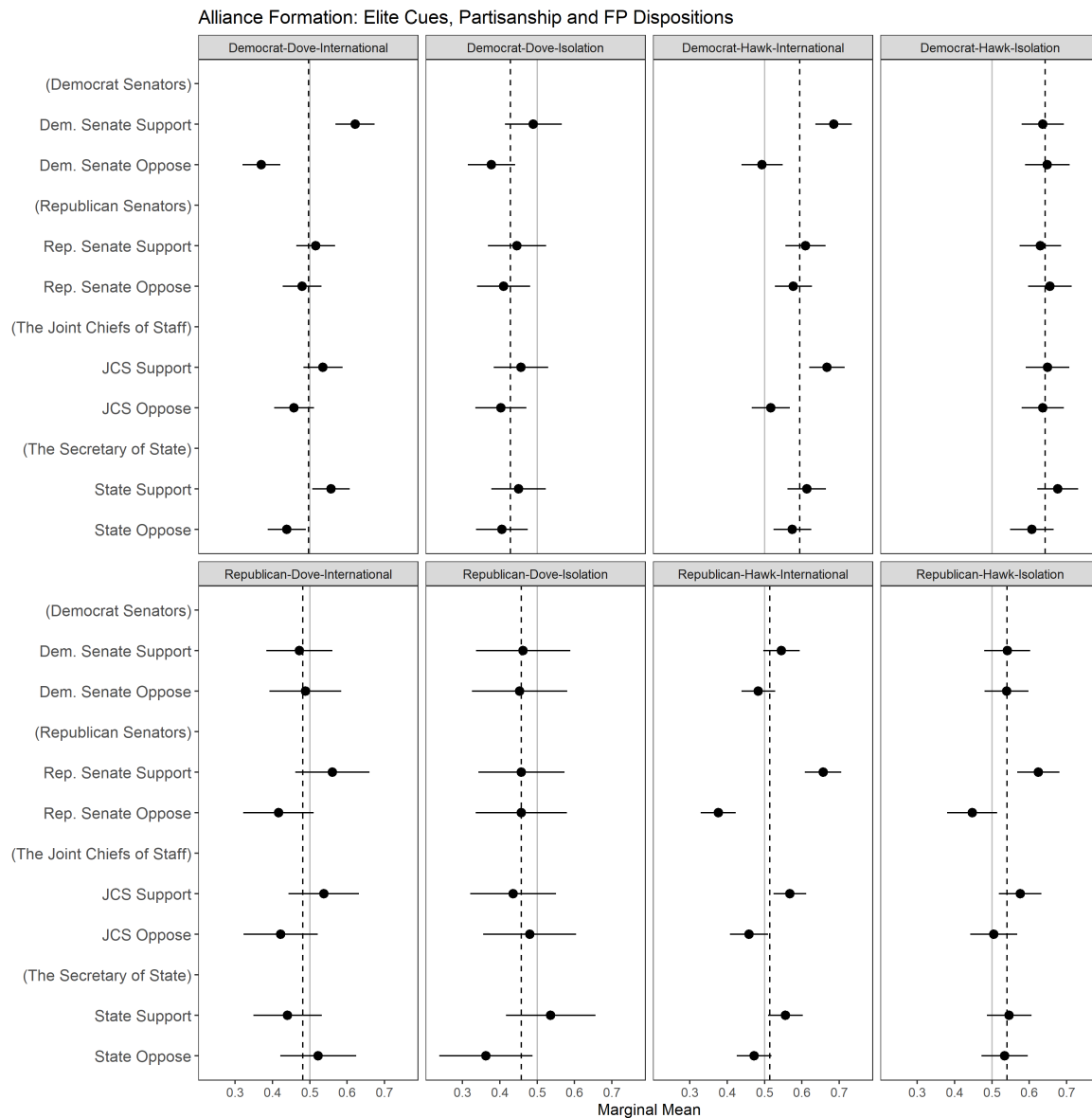


Figure 3: Marginal means of support for forming hypothetical alliances across party identification and foreign policy dispositions under different elite cues. For each group, the estimates mark the marginal mean of support for alliance participation under different alliance treatments. The solid vertical line marks a marginal mean of .5, while the dashed line marks the average choice across all levels. Components marked with abbreviated labels to make the plot more legible. Independents omitted.



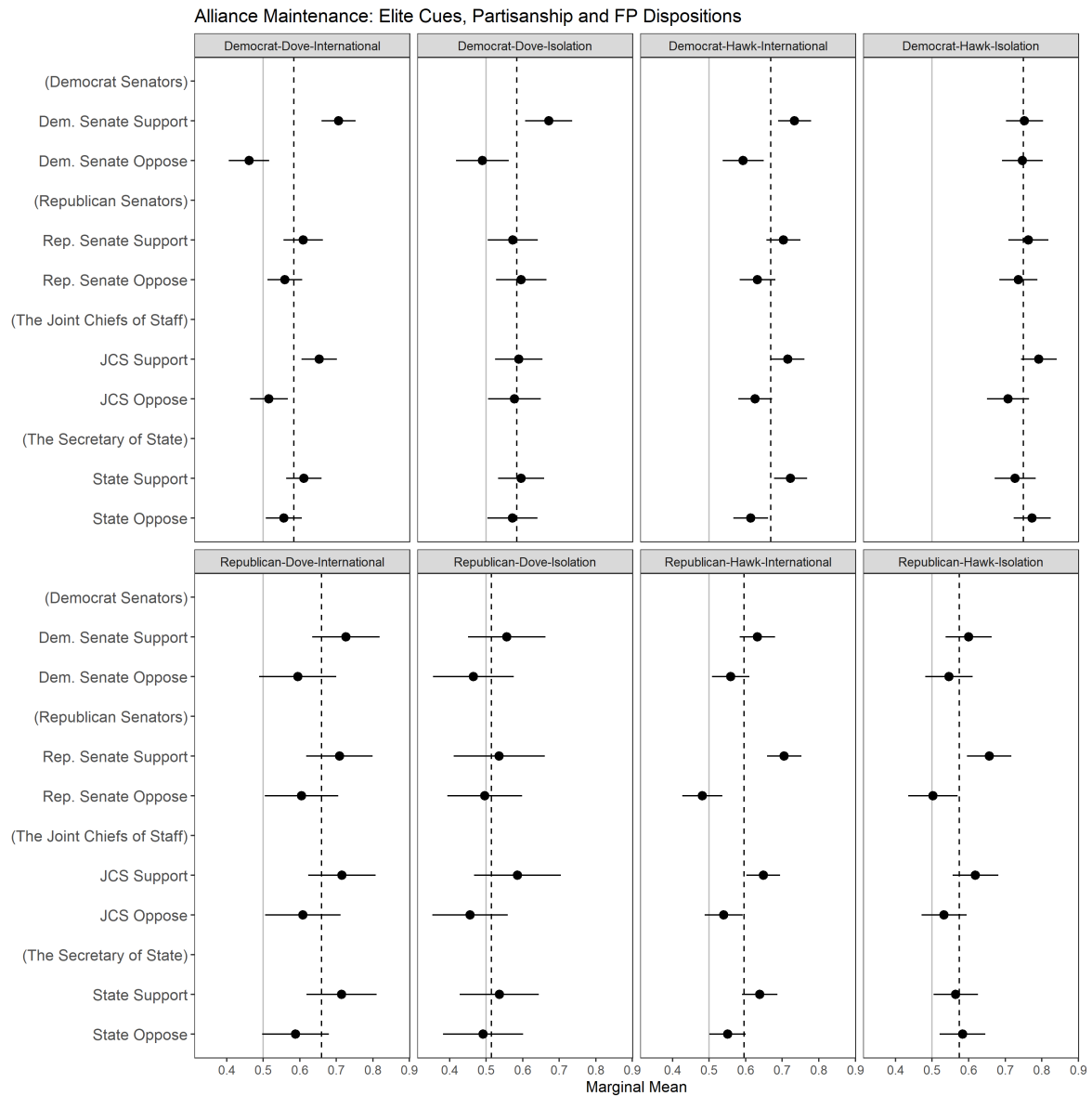


Figure 4: Marginal means of support for maintaining hypothetical alliances across party identification and foreign policy dispositions under different elite cues. For each group, the estimates mark the marginal mean of support for alliance participation under different alliance treatments. The solid vertical line marks a marginal mean of .5, while the dashed line marks the average choice across all levels. Components marked with abbreviated labels to make the plot more legible. Independents omitted.

based on Republican Senate support or opposition. Hawkish Republicans also follow cues from military elites, and internationalist hawks in the GOP pay further attention to diplomatic elites. As a result, Republican elites can lead alliance attitudes among individuals who are disposed to support forceful international engagement and thereby constrain alliance support among the most likely alliance backers in their party. The gap in hawkish Republican attitudes from differences in Republican elite support is especially pronounced in the alliance formation experiment. In the reverse of the Democratic party, the most likely alliance supporters in the Republican party hold more plastic alliance attitudes.

#### **4.1.2 Alliance Characteristics**

In addition to elite cues, foreign policy dispositions and partisanship mold individual responses to alliance characteristics. Figure 5 and Figure 6 summarize partisan and dispositional differences in alliance characteristics treatments from the formation and maintenance experiments. Both figures present the marginal mean of alliance support for Republicans and Democrats with different foreign policy dispositions under some of the alliance characteristics treatments, as well as lines summarizing the overall mean choice in each group.

Allied democracy is a point of bipartisan agreement among individuals with different foreign policy dispositions. When allied democracy changes in the alliance formation experiment, Republicans and Democrats with identical foreign policy dispositions shift their attitudes in similar ways. Internationalists in both major parties value allied democracy.

Democrats' support for democratic partners is pronounced in the alliance maintenance experiment. Dovish Democrats are skeptical of alliances with non-democracies, relative to other treaties. Republicans express more mixed views of allied political regimes. While internationalist Republicans hold similar views to Democrats, isolationist Republicans place less weight on allied democracy, especially in alliance maintenance.

Support for international engagement also produces some partisan overlap in alliance attitudes.

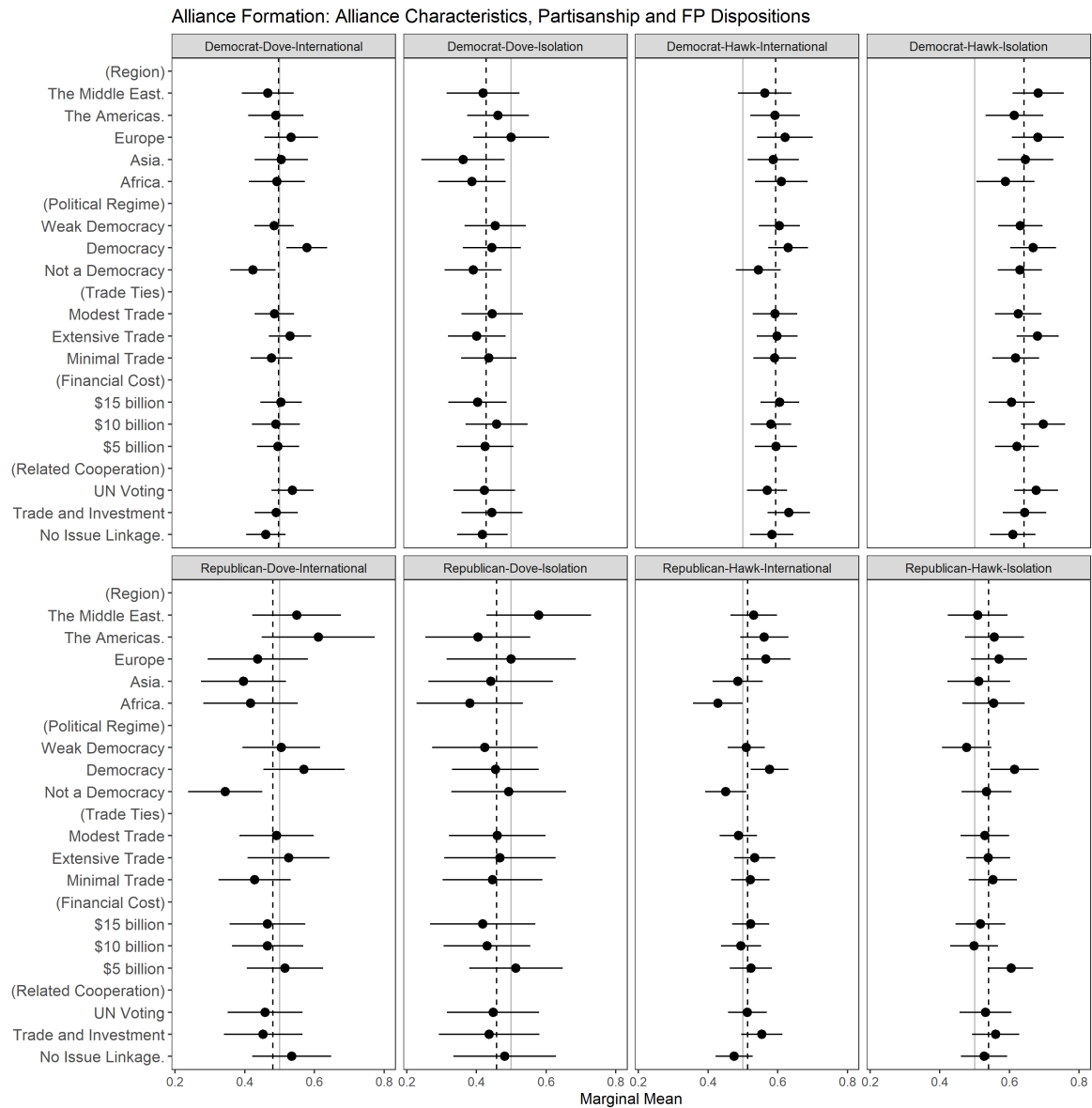


Figure 5: Marginal means of support for forming hypothetical alliances across party identification and foreign policy dispositions under different alliance characteristics. For each group, the estimates mark the marginal mean of support for alliance participation under different alliance treatments. The solid vertical line marks a marginal mean of .5, while the dashed line marks the average choice across all levels. Components marked with abbreviated labels and some attributes omitted to make the plot more legible. Independents omitted.

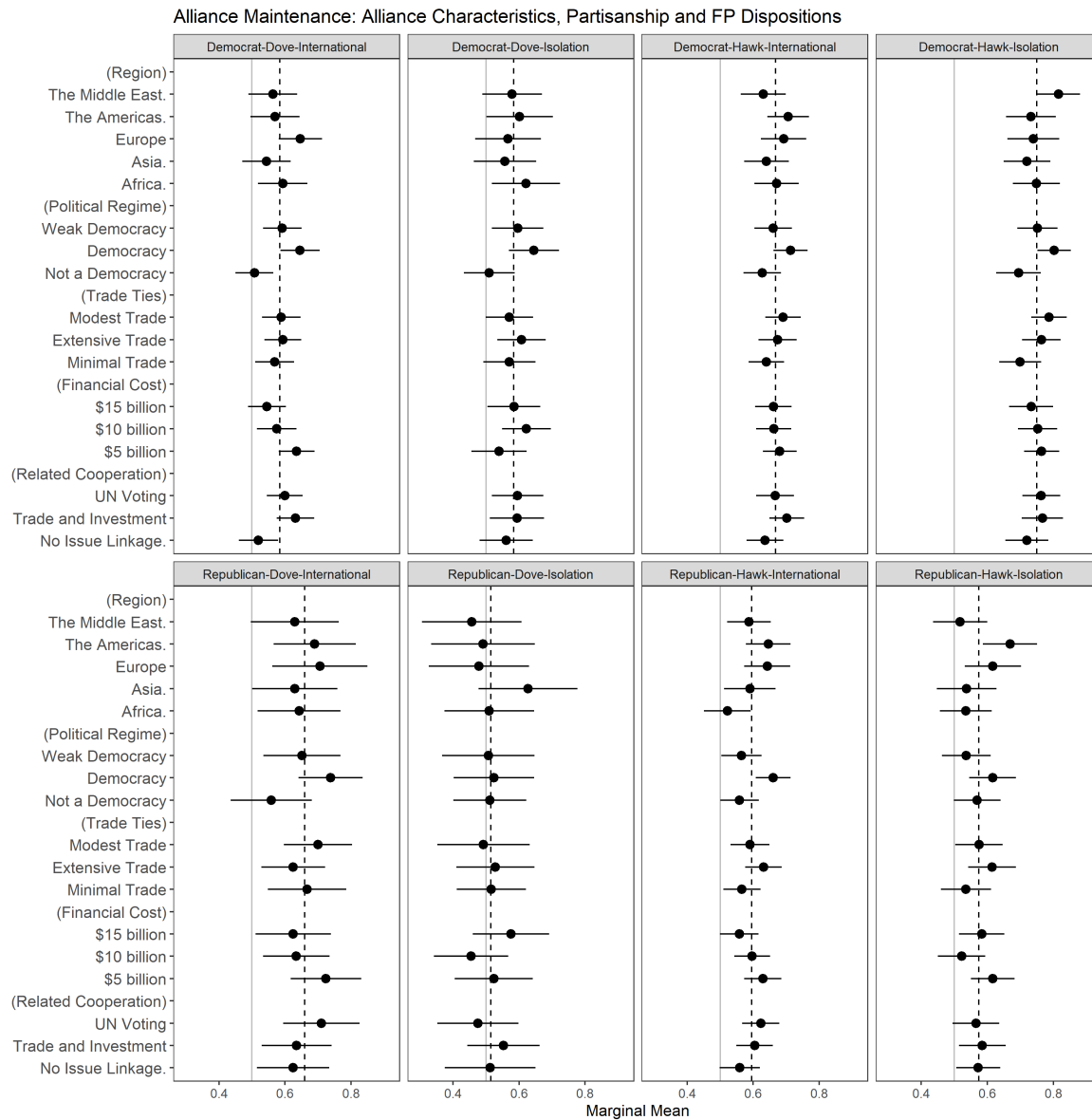


Figure 6: Marginal means of support for maintaining hypothetical alliances across party identification and foreign policy dispositions under different alliance characteristics. For each group, the estimates mark the marginal mean of support for alliance participation under different alliance treatments. The solid vertical line marks a marginal mean of .5, while the dashed line marks the average choice across all levels. Components marked with abbreviated labels and some attributes omitted to make the plot more legible. Independents omitted.

Internationalists are more responsive to trade and foreign policy issue linkages than isolationists. In the alliance maintenance experiment, dovish and internationalist individuals are more likely to reduce their support as alliance costs increase.

Both experiments uncover partisan differences in attitudes towards alliances in different regions. Hawkish Republicans oppose alliances with African countries and prefer alliances in Europe or the Americas. Democrats with similar foreign policy dispositions express no regional preferences.

All of the above results reveal that forming new alliances has lower baseline support than maintaining existing treaties, so elite cues are crucial for new alliances. Only hawkish Democrats express clear support for alliance formation— other respondents are divided or oppose new treaties. Dovish isolationists dislike new alliances, though elites can persuade Democrats with this disposition. Whether elites support or oppose an alliance determines whether it has majority or minority support within each party.

Alliance maintenance commands more robust support. Regardless of alliance characteristics or elite cues, the over all average and marginal means of support for alliance maintenance are almost all above .5. Even dovish isolationists offer split verdicts on alliance maintenance.

These results suggest that elite cues, partisanship and foreign policy dispositions interact to shape alliance attitudes. Partisanship and foreign policy dispositions set the base from which elite cues lead most Americans. There is an important partisan asymmetry in alliance attitudes as well. Democrat leaders can lead alliance skeptics and have less influence over the most committed alliance supporters. Republican elites lead alliance supporters, but cannot persuade committed alliance skeptics. As a result, elite cues exert substantial influence on the majority of both parties, but their impact depends on foreign policy dispositions.

An online appendix provides further details supporting these results. In the appendix, I examine marginal means by partisanship and foreign policy dispositions, analyze responses to an open-ended question, and compare results with the continuous rating measure of alliances to inferences

from the choice question. All these checks are consistent with these findings.

## 5 Discussion and Conclusion

I find that elites often lead public alliance attitudes, though some individuals hold rigid attitudes. Individuals follow cues from co-partisan elites, but their exact response depends on hawkishness and isolationism. Alliance democracy, issue linkages, shared threat, financial cost and trade have noticeable impacts as well.

Elites have substantial latitude to lead public opinion on alliances, but foreign policy dispositions constrain their influence. The most committed alliance supporters —hawkish isolationist Democrats— pay little attention to elite cues. Similarly, elite cues have no impact on the most committed alliance skeptics — dovish and isolationist Republicans. The result is a partisan asymmetry in elite leadership of alliance attitudes. Republicans can lead copartisan alliance supporters, while Democrats can lead copartisan alliance skeptics.

These findings have four implications for understanding public attitudes towards alliances like NATO. First, the Republican and Democratic parties have cores of committed alliance skeptics and supporters, respectively. Outside these groups and independents, most Americans follow elite cues in forming alliance attitudes. This makes how much elites follow fixed alliance attitudes in their party a critical issue, because it will move the two parties in competing directions.

Second, my findings support the view that cycles in public opinion could make democratic commitments less reliable (Gartzke and Gleditsch, 2004). Although the results suggest that many members of the public hold considered opinions (Page and Shapiro, 1992), they also show that elites have substantial influence. Although elite opposition rarely pushes alliance attitudes into majority opposition to existing treaties, one set of elite cues can push aggregate support from a solid majority to an even split. Public opposition from military or diplomatic elites would also bolster the impact of skeptical politicians and cut public support.

Third, allied democracy will bolster continued public support for alliances. Individuals in both parties prefer alliances with democracies. Democratic backsliding in U.S. allies could therefore undermine public support for alliances, especially by giving skeptical elites a powerful angle for criticism.

Finally, these results help us understand public opinion towards alliances like NATO during the Trump administration. Although Trump often criticized U.S. allies, alliance commitments usually commanded majority support throughout his administration (Fagan and Poushter, 2020). Some of this reflects Democrats' aversion to Trump, but it also reflects allied democracy, support from other elites and high baseline support for alliances, even in the Republican party. For many Republicans, hawkishness offsets isolationism in alliance attitudes. Although Trump likely increased Republican skepticism of alliances, concern that he would inspire resurgent isolationism in the Republican party may have been overstated. Isolationist and dovish Republicans did not change their alliance attitudes in response to Trump's rhetoric, as his rhetoric matched their views.

These findings have some limitations. For one, while the sheer variety of alliances means that the above profiles are plausible, generalizing from survey experiments is challenging. The artificial nature of a survey experiment provides essential control to disentangle public attitudes, but no hypothetical alliance can fully reflect real world commitments.

While this paper provides new insight into the question of who leads and who follows, it does not give a comprehensive account of the issue. How much and when elites decide to follow fixed alliance attitudes in their party falls outside the scope of this paper. Having identified who elites can lead, understanding the long-run dynamics of leading and following is a crucial subject for future research.

This study also focuses on the United States, which has an unusual alliance network. Though public opinion towards alliances in the United States is important, attitudes in other countries matter as well. Future research should examine the sources of alliance attitudes in other countries.

These results provide a foundation for further inquiry into the domestic politics of military

alliances. Two questions are especially interesting in this respect. First, how much feedback takes place between public opinion and elite cues? When do politicians follow rigid alliance attitudes or lead the rest of their party in a competing direction? Politicians might view marginal opinion shifts due to threat or allied democracy changes as an opportunity to encourage or arrest further changes in public support. Second, would leaders face significant public disapproval if they withdrew from an alliance? This study focused on generic support, but future research could build on Tomz and Weeks (2021) and examine specific alliance policy changes.

These questions address how elites form and maintain domestic coalitions around international engagement. In the 75 years since the end of World War II, shifting elite cues, partisanship, generational experiences and allied characteristics may mean different groups back alliances today than in 1950. Tracking changes in the domestic coalitions backing alliances is another worthwhile task for future research.

In conclusion, public opinion towards alliances is largely a function of elite cues, but elites do not lead the whole electorate. Subsets of both parties hold rigid alliance attitudes. Alliances attitudes are therefore a complex mixture of elite cues and individual considerations.



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