

# Metric Thickenings of Euclidean Submanifolds

GSTGC 2018

---

Joshua Mirth (joint with Henry Adams)

Colorado State University



# Introduction

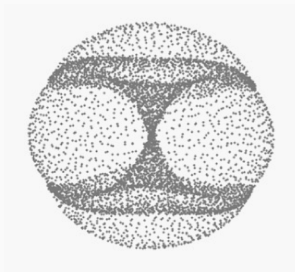
---

Data has topological structure:

# Topological Data Analysis

Data has topological structure:

Energy landscape of cyclo-octane:

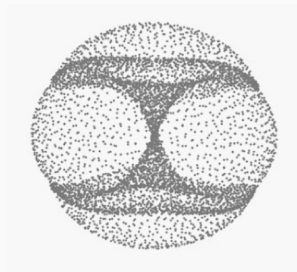


- Martin, Thompson, Coutsiaris, Watson '10

# Topological Data Analysis

Data has topological structure:

Energy landscape of cyclo-octane:

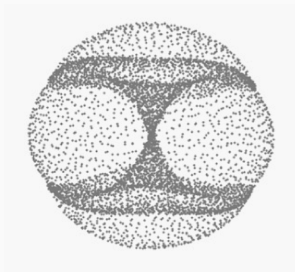


- Martin, Thompson, Coutsiias, Watson '10
- “A reducible algebraic variety, composed of the union of a sphere and a Klein bottle, intersecting in two rings.”

# Topological Data Analysis

Data has topological structure:

Energy landscape of cyclo-octane:



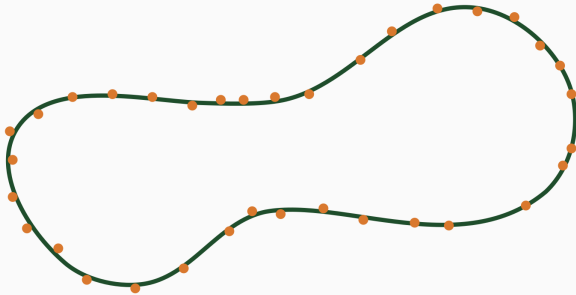
- Martin, Thompson, Coutsiias, Watson '10
- “A reducible algebraic variety, composed of the union of a sphere and a Klein bottle, intersecting in two rings.”

Given a data set, can we describe the underlying space?

# Reconstructing a Manifold



# Reconstructing a Manifold

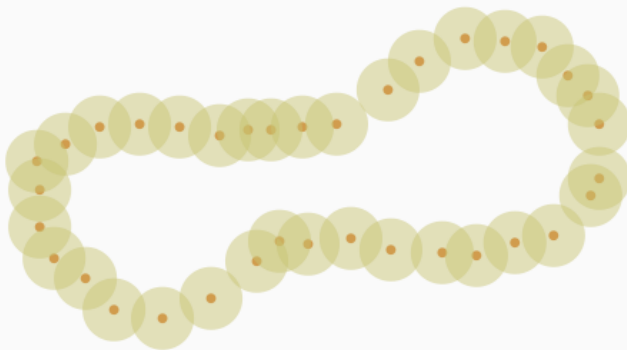




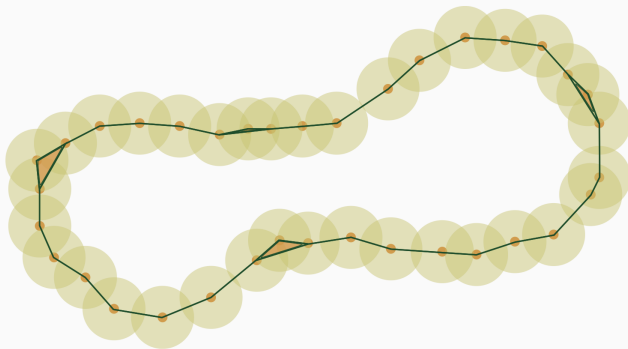
# Reconstructing a Manifold



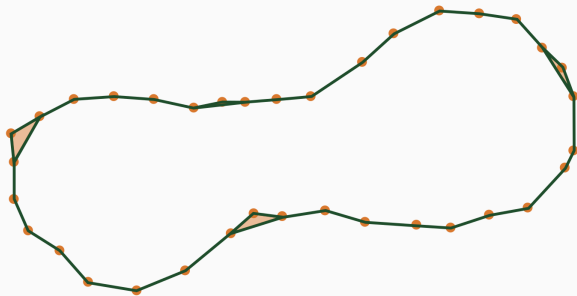
# Reconstructing a Manifold



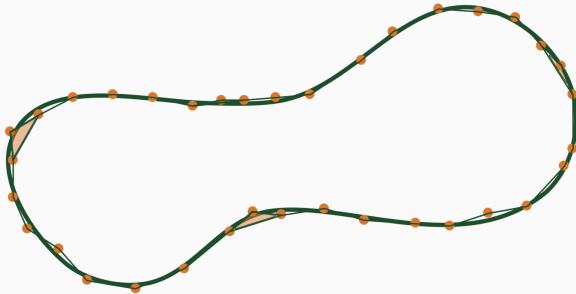
# Reconstructing a Manifold



# Reconstructing a Manifold



# Reconstructing a Manifold



# Underlying Questions:

- Persistent Homology:
  - ▷ What information is contained at different scale parameters?

# Underlying Questions:

- Persistent Homology:
  - ▷ What information is contained at different scale parameters?
- Manifold Reconstruction:
  - ▷ Does any scale parameter give a simplicial complex with the “correct” homology (or homotopy type)?

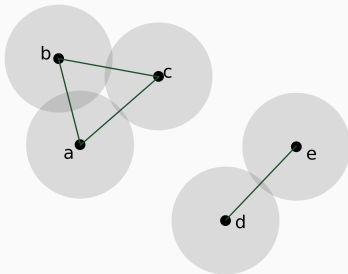
# Underlying Questions:

- Persistent Homology:
  - ▷ What information is contained at different scale parameters?
- Manifold Reconstruction:
  - ▷ Does any scale parameter give a simplicial complex with the “correct” homology (or homotopy type)?
  - ▷ Does the simplicial complex have predictable structure at “bad” scale parameters?

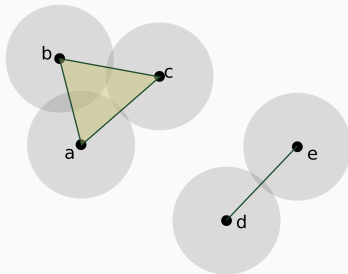


# Technical Aside #1

(Čech complex)



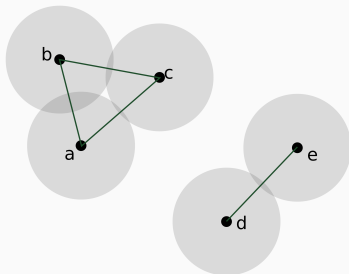
(Vietoris–Rips complex)



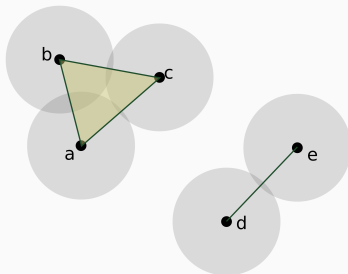
- Čech complex,  $\check{C}(X; r)$ , contains an  $n$ -simplex for every  $(n + 1)$ -fold intersection of balls of radius  $r$ .

# Technical Aside #1

(Čech complex)



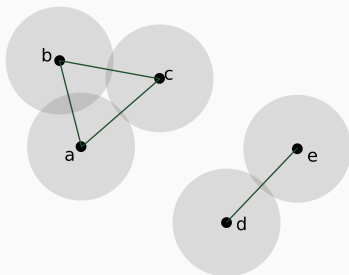
(Vietoris–Rips complex)



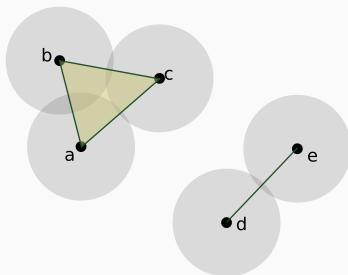
- Čech complex,  $\check{C}(X; r)$ , contains an  $n$ -simplex for every  $(n + 1)$ -fold intersection of balls of radius  $r$ .
- Vietoris–Rips complex,  $VR(X; r)$ , contains an  $n$ -simplex for every set of  $n + 1$  points with diameter  $< r$ .

# Technical Aside #1

(Čech complex)



(Vietoris–Rips complex)



- Čech complex,  $\check{C}(X; r)$ , contains an  $n$ -simplex for every  $(n + 1)$ -fold intersection of balls of radius  $r$ .
- Vietoris–Rips complex,  $\text{VR}(X; r)$ , contains an  $n$ -simplex for every set of  $n + 1$  points with diameter  $< r$ .
- Write  $K(X; r)$  when the distinction is unimportant.

## Theorem (Hausmann '95)

*Let  $M$  be a compact Riemannian manifold and  $r > 0$  be sufficiently small (depending on curvature of  $M$ ). Then  $\text{VR}(M; r) \simeq M$ .*

## Theorem (Hausmann '95)

*Let  $M$  be a compact Riemannian manifold and  $r > 0$  be sufficiently small (depending on curvature of  $M$ ). Then  $\text{VR}(M; r) \simeq M$ .*

## Theorem (Latschev '01)

*Let  $M$  be a compact Riemannian manifold and  $r > 0$  be sufficiently small. Then there exists a  $\delta > 0$  such that for any metric space with  $d_{\text{GH}}(Y, M) < \delta$ ,  $\text{VR}(Y; r) \simeq M$ .*

# Model Theorems

## Theorem (Hausmann '95)

*Let  $M$  be a compact Riemannian manifold and  $r > 0$  be sufficiently small (depending on curvature of  $M$ ). Then  $\text{VR}(M; r) \simeq M$ .*

## Theorem (Latschev '01)

*Let  $M$  be a compact Riemannian manifold and  $r > 0$  be sufficiently small. Then there exists a  $\delta > 0$  such that for any metric space with  $d_{\text{GH}}(Y, M) < \delta$ ,  $\text{VR}(Y; r) \simeq M$ .*

## Theorem (Niyogi, Smale, Weinberger '05)

*Let  $Y$  be a sufficiently dense sampling (possibly with noise) of a Euclidean submanifold  $M$ , and  $r > 0$  sufficiently small. Then  $\check{C}(Y; r) \simeq M$ .*

# Metric Thickenings

---

# Metric Thickenings

Let  $X$  be a metric space,  $r \geq 0$ , and  $K(X; r)$  either a Vietoris–Rips or Čech complex.

## Definition

The **Metric thickening**  $K^m(X; r)$  is the set

$$\left\{ \sum_{i=0}^k \lambda_i x_i \mid k \in \mathbb{N}, \lambda_i \geq 0, \sum_i \lambda_i = 1, [x_0, \dots, x_k] \text{ a simplex in } K(X; r) \right\},$$

equipped with the 1-Wasserstein metric.



# Metric Thickenings

Let  $X$  be a metric space,  $r \geq 0$ , and  $K(X; r)$  either a Vietoris–Rips or Čech complex.

## Definition

The **Metric thickening**  $K^m(X; r)$  is the set

$$\left\{ \sum_{i=0}^k \lambda_i x_i \mid k \in \mathbb{N}, \lambda_i \geq 0, \sum_i \lambda_i = 1, [x_0, \dots, x_k] \text{ a simplex in } K(X; r) \right\},$$

equipped with the 1-Wasserstein metric.

## Definition

The **1-Wasserstein metric** on  $VR^m(X; r)$  is the distance defined by

$$d_W(x, x') = \inf \{ \text{cost}(p) \mid p \text{ is a matching between } x \text{ and } x' \}.$$

## Theorem (Adamaszek, Adams, Frick '17)

- $\text{VR}^m(X; r) \cong \text{VR}(X; r)$  if and only if  $\text{VR}(X; r)$  is locally finite.
- $\text{VR}^m(X; r)$  is an  $r$ -thickening of  $X$ : The metric of  $X$  extends to that of  $\text{VR}^m(X; r)$  and  $d(x, \text{VR}^m(X; r)) < r$  for all  $x \in X$ .
- Hausmann's theorem holds: if  $X$  is a Riemannian manifold, then for  $r$  sufficiently small  $\text{VR}^m(X; r) \simeq X$ .

# Results

---

# Main Theorem

## Theorem (Adams, M. '17)

*Let  $X \subseteq \mathbb{R}^n$  and suppose the reach,  $\tau$ , of  $X$  is positive. Then for all  $r < \tau$ , the metric Vietoris–Rips thickening  $\text{VR}^m(X; r)$  is homotopy equivalent to  $X$ .*

*For all  $r < 2\tau$ , the metric Čech thickening  $\check{C}^m(X; r)$  is homotopy equivalent to  $X$ .*

## Technical Aside #2

The **medial axis** of  $X \subseteq \mathbb{R}^n$  is the closure,  $\overline{Y}$ , of

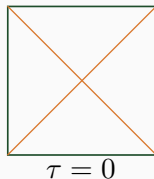
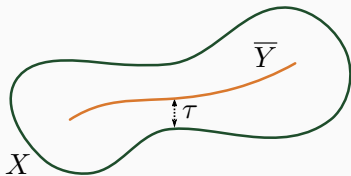
$$Y = \{y \in \mathbb{R}^n \mid \exists x_1 \neq x_2 \in M \text{ with } d(y, x_1) = d(y, x_2) = d(y, X)\}.$$

## Technical Aside #2

The **medial axis** of  $X \subseteq \mathbb{R}^n$  is the closure,  $\overline{Y}$ , of

$$Y = \{y \in \mathbb{R}^n \mid \exists x_1 \neq x_2 \in M \text{ with } d(y, x_1) = d(y, x_2) = d(y, X)\}.$$

The **reach**,  $\tau$ , of  $X$  is the minimal distance  $\tau = d(X, \overline{Y})$  between  $X$  and its medial axis.

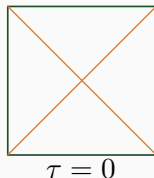
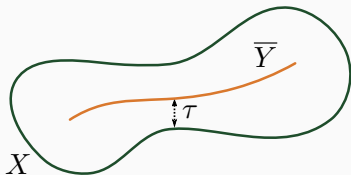


## Technical Aside #2

The **medial axis** of  $X \subseteq \mathbb{R}^n$  is the closure,  $\overline{Y}$ , of

$$Y = \{y \in \mathbb{R}^n \mid \exists x_1 \neq x_2 \in M \text{ with } d(y, x_1) = d(y, x_2) = d(y, X)\}.$$

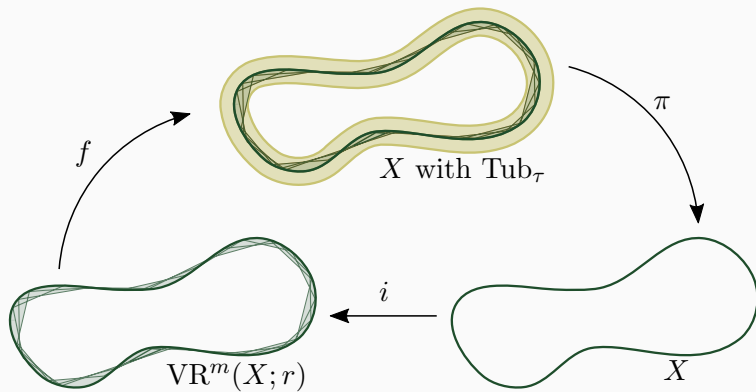
The **reach**,  $\tau$ , of  $X$  is the minimal distance  $\tau = d(X, \overline{Y})$  between  $X$  and its medial axis.



Smooth manifolds (embedded in  $\mathbb{R}^n$ ) have positive reach. Sets with corners have zero reach.

**Proof.**

$\pi \circ f$  and  $i$  are homotopy inverses:



□



- Use these methods to compute homotopy types of  $\mathrm{VR}^m(X; r)$  at larger scale parameters for particular classes of  $X$ .

## Future Work

- Use these methods to compute homotopy types of  $\mathrm{VR}^m(X; r)$  at larger scale parameters for particular classes of  $X$ .
- Understand the structure of  $\mathrm{VR}^m(X; r)$  at larger  $r$ . (In particular, the higher homotopy groups.)

## Future Work

- Use these methods to compute homotopy types of  $\mathrm{VR}^m(X; r)$  at larger scale parameters for particular classes of  $X$ .
- Understand the structure of  $\mathrm{VR}^m(X; r)$  at larger  $r$ . (In particular, the higher homotopy groups.)
- Similar results for (infinite) dense samplings.

## Future Work

- Use these methods to compute homotopy types of  $\mathrm{VR}^m(X; r)$  at larger scale parameters for particular classes of  $X$ .
- Understand the structure of  $\mathrm{VR}^m(X; r)$  at larger  $r$ . (In particular, the higher homotopy groups.)
- Similar results for (infinite) dense samplings.
- Show stability with regard to persistence.

# References

- [1] M. ADAMASZEK, H. ADAMS, AND F. FRICK, *Metric reconstruction via optimal transport*, arXiv preprint arXiv:1706.04876, (2017).
- [2] H. ADAMS AND J. MIRTH, *Metric thickenings of Euclidean submanifolds*, arXiv:1709.02492 [math], (2017).
- [3] J.-C. HAUSMANN, *On the Vietoris–Rips Complexes and a Cohomology Theory for Metric Spaces*, in Prospects in Topology, no. 138 in Annals of Mathematics Studies, 1995, pp. 175–187.
- [4] J. LATSCHEV, *Vietoris–Rips complexes of metric spaces near a closed Riemannian manifold*, Archiv der Mathematik, 77 (2001), pp. 522–528.
- [5] S. MARTIN, A. THOMPSON, E. A. COUTSIAS, AND J.-P. WATSON, *Topology of cyclo-octane energy landscape*, The Journal of Chemical Physics, 132 (2010), p. 234115.
- [6] P. NIYOGI, S. SMALE, AND S. WEINBERGER, *Finding the Homology of Submanifolds with High Confidence from Random Samples*, Discrete & Computational Geometry, 39 (2008), pp. 419–441.

Slides available at <http://www.math.colostate.edu/~mirth/talks.html>