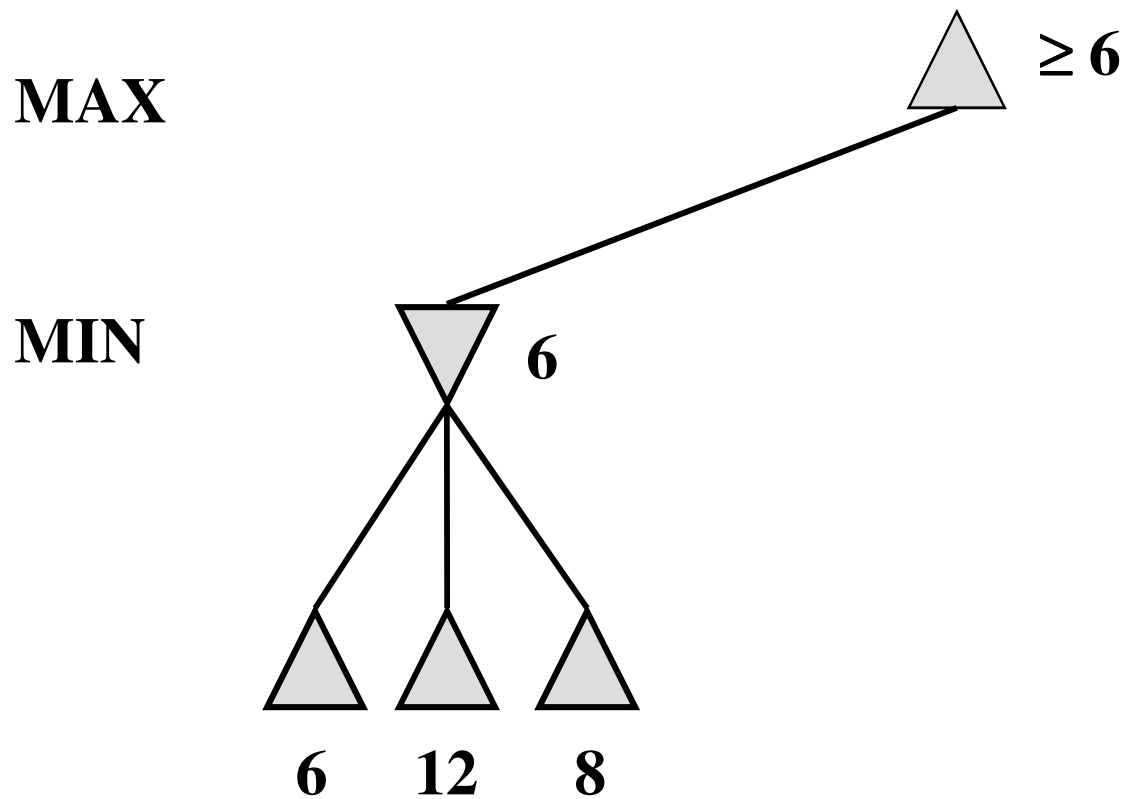


## 2. $\alpha$ - $\beta$ pruning: search cutoff

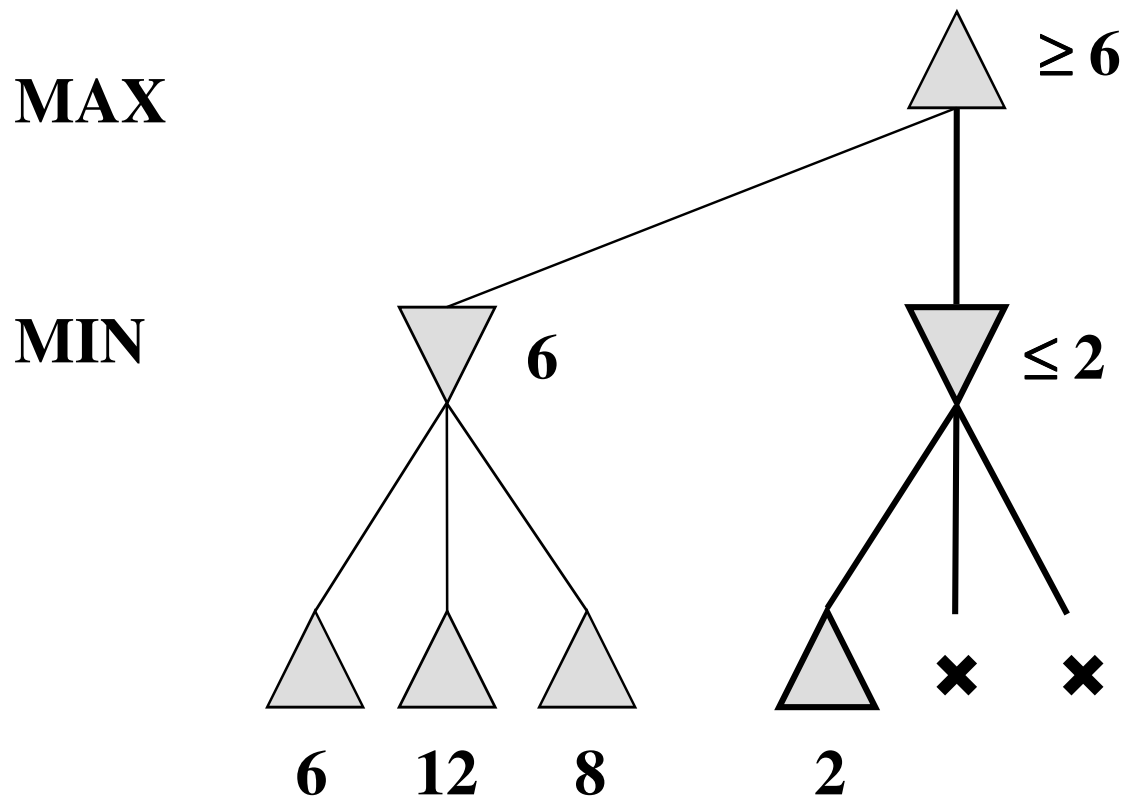


- **Pruning:** eliminating a branch of the search tree from consideration without exhaustive examination of each node
- **$\alpha$ - $\beta$  pruning:** the basic idea is to prune portions of the search tree that cannot improve the utility value of the max or min node, by just considering the values of nodes seen so far.
- Does it work? Yes, it roughly cuts the branching factor from  $b$  to  $\sqrt{b}$  resulting in double as far look-ahead than pure minimax

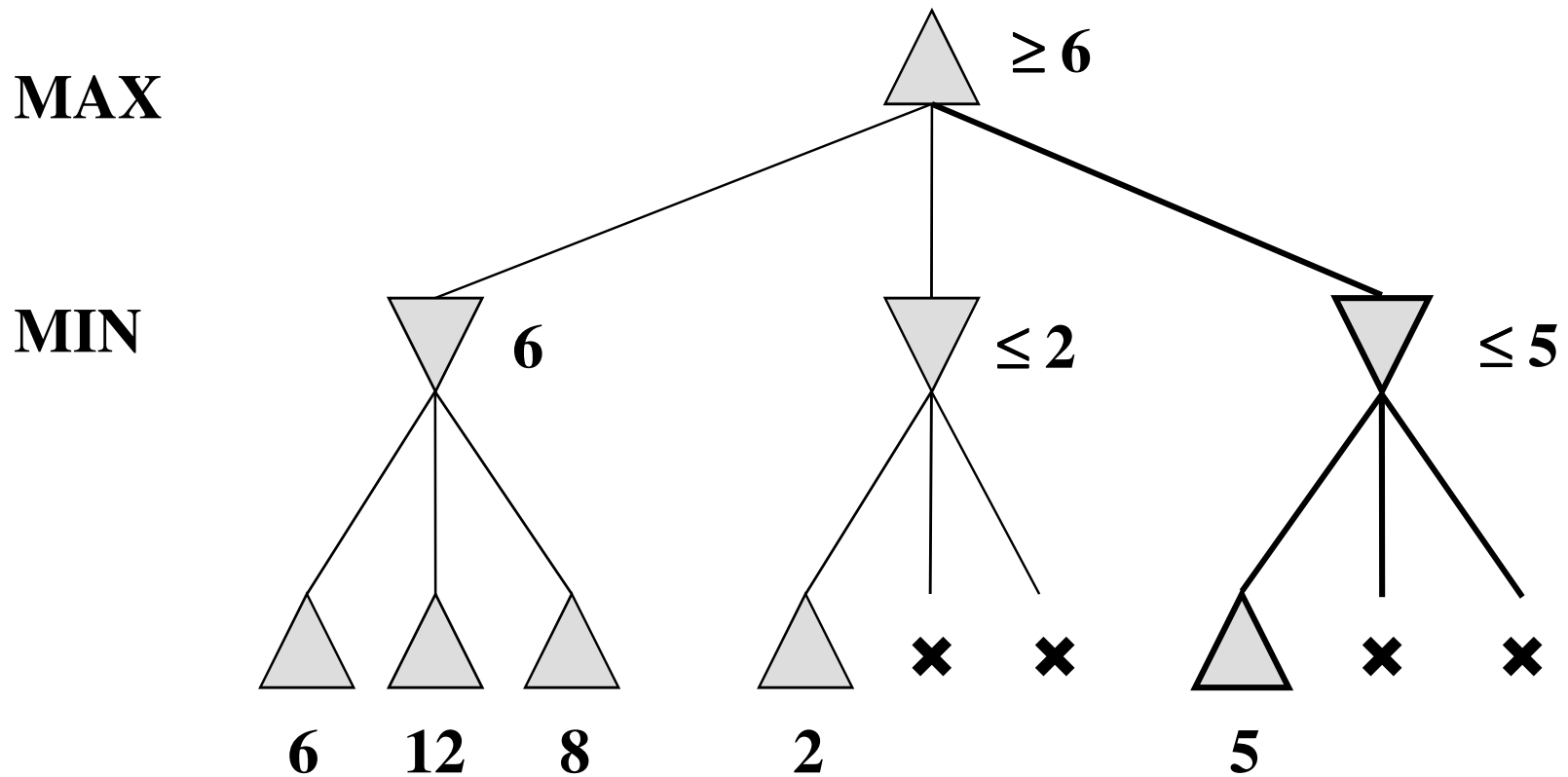
## $\alpha$ - $\beta$ pruning: example



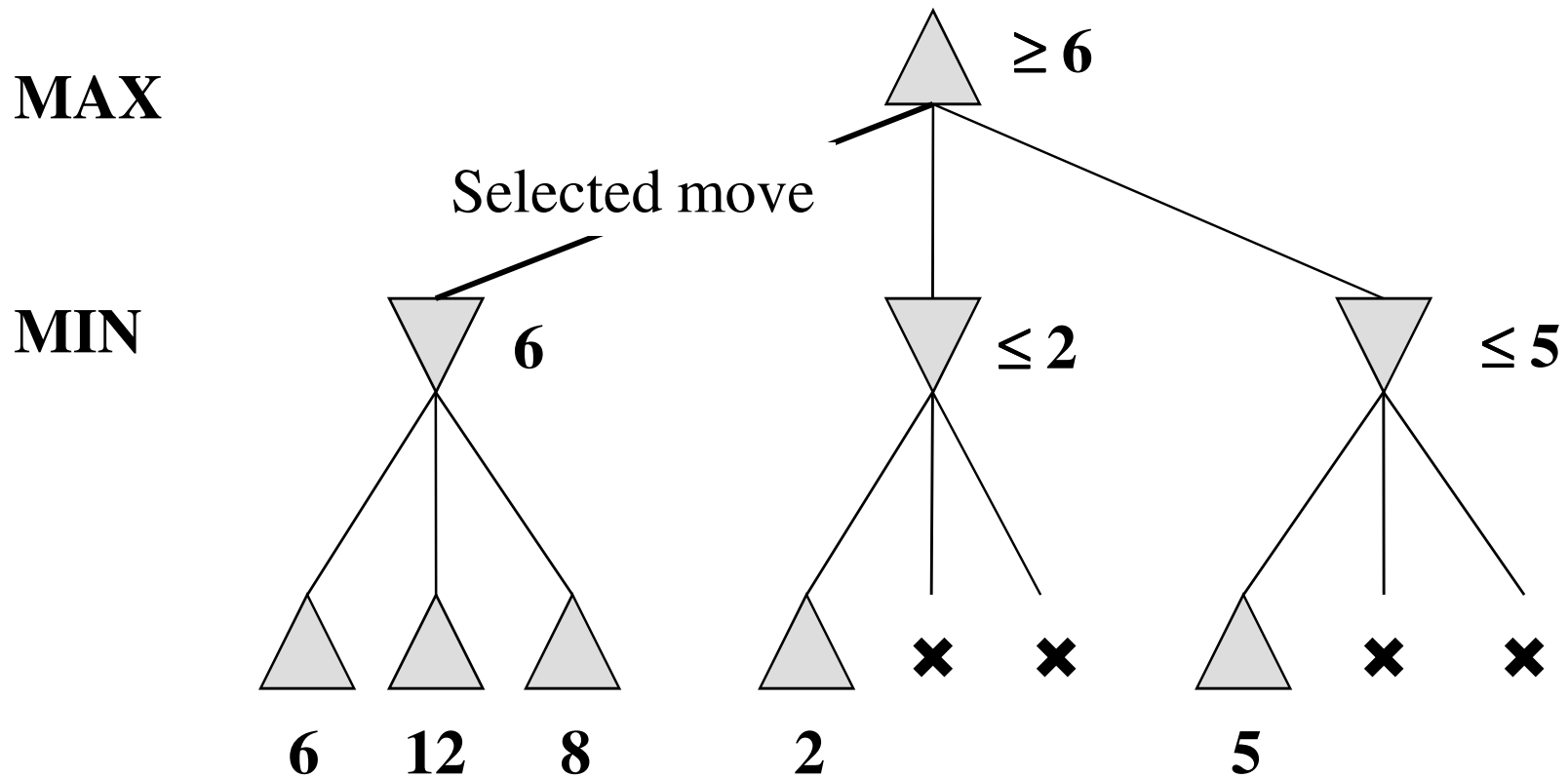
## $\alpha$ - $\beta$ pruning: example



## $\alpha$ - $\beta$ pruning: example



## $\alpha$ - $\beta$ pruning: example



## Properties of $\alpha$ - $\beta$



Pruning *does not* affect final result

Good move ordering improves effectiveness of pruning

With “perfect ordering,” time complexity =  $O(b^{m/2})$

⇒ *doubles* depth of search

⇒ can easily reach depth 8 and play good chess

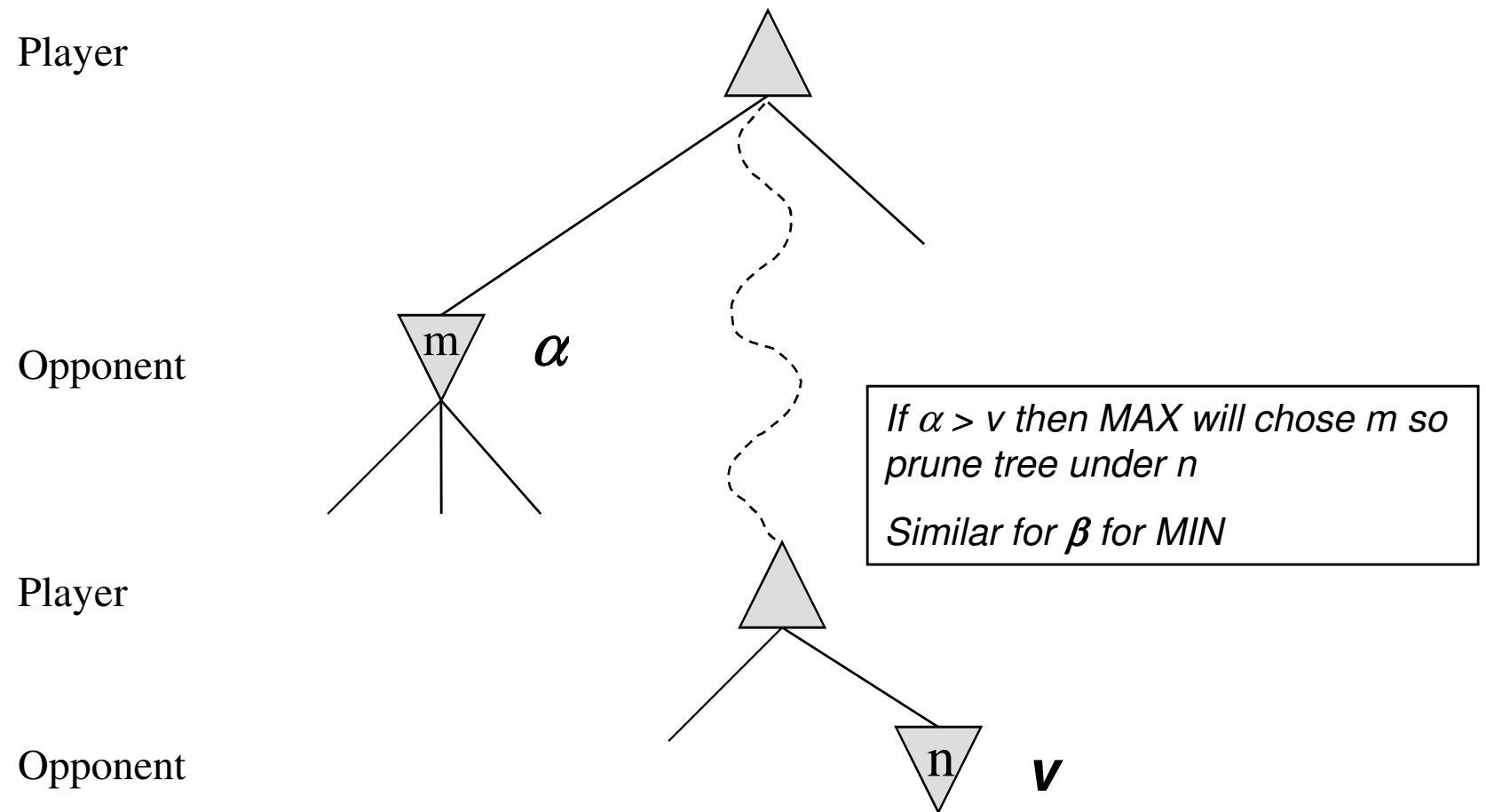
A simple example of the value of reasoning about which computations are relevant (a form of *metareasoning*)

## More on the $\alpha$ - $\beta$ algorithm



- Same basic idea as minimax, but prune (cut away) branches of the tree that we know will not contain the solution.
- Because minimax is depth-first, let's consider nodes along a given path in the tree. Then, as we go along this path, we keep track of:
  - $\alpha$  : Best choice so far for MAX
  - $\beta$  : Best choice so far for MIN

# $\alpha$ - $\beta$ pruning: general principle





## The $\alpha$ - $\beta$ algorithm:

Basically MINIMAX + keep track of  $\alpha$ ,  $\beta$  + prune

**function** MAX-VALUE(*state*, *game*,  $\alpha$ ,  $\beta$ ) **returns** the minimax value of *state*

**inputs:** *state*, current state in game

*game*, game description

$\alpha$ , the best score for MAX along the path to *state*

$\beta$ , the best score for MIN along the path to *state*

**if** CUTOFF-TEST(*state*) **then return** EVAL(*state*)

**for each** *s* **in** SUCCESSORS(*state*) **do**

$\alpha \leftarrow \text{MAX}(\alpha, \text{MIN-VALUE}(s, \text{game}, \alpha, \beta))$

**if**  $\alpha \geq \beta$  **then return**  $\beta$

**end**

**return**  $\alpha$

---

**function** MIN-VALUE(*state*, *game*,  $\alpha$ ,  $\beta$ ) **returns** the minimax value of *state*

**if** CUTOFF-TEST(*state*) **then return** EVAL(*state*)

**for each** *s* **in** SUCCESSORS(*state*) **do**

$\beta \leftarrow \text{MIN}(\beta, \text{MAX-VALUE}(s, \text{game}, \alpha, \beta))$

**if**  $\beta \leq \alpha$  **then return**  $\alpha$

**end**

**return**  $\beta$

# More on the $\alpha$ - $\beta$ algorithm: start from Minimax

Basically MINIMAX + ~~keep track of  $\alpha$ ,  $\beta$~~  + ~~prune~~

**function** MAX-VALUE(*state*, *game*,  $\alpha$ ,  $\beta$ ) **returns** the minimax value of *state*

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**for each** *s* **in** SUCCESSORS(*state*) **do**

$\alpha \leftarrow \text{MAX}(\alpha, \text{MIN-VALUE}(s, \text{game}, \alpha, \beta))$

~~**if**  $\alpha \geq \beta$  **then return**  $\beta$~~

**end**

**return**  $\alpha$

---

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**end**

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# More on the $\alpha$ - $\beta$ algorithm: start from Minimax

Basically MINIMAX + keep track of  $\alpha$ ,  $\beta$  + prune

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**for each** *s* **in** SUCCESSORS(*state*) **do**

$\alpha \leftarrow \text{MAX}(\alpha, \text{MIN-VALUE}(s, \text{game}, \alpha, \beta))$

**if**  $\alpha \geq \beta$  **then return**  $\beta$

**end**

**return**  $\alpha$

Note: These are both Local variables. At the Start of the algorithm, We initialize them to  $\alpha = -\infty$  and  $\beta = +\infty$

---

**function** MIN-VALUE(*state*, *game*,  $\alpha$ ,  $\beta$ ) **returns** the minimax value of *state*

**if** CUTOFF-TEST(*state*) **then return** EVAL(*state*)

**for each** *s* **in** SUCCESSORS(*state*) **do**

$\beta \leftarrow \text{MIN}(\beta, \text{MAX-VALUE}(s, \text{game}, \alpha, \beta))$

**if**  $\beta \leq \alpha$  **then return**  $\alpha$

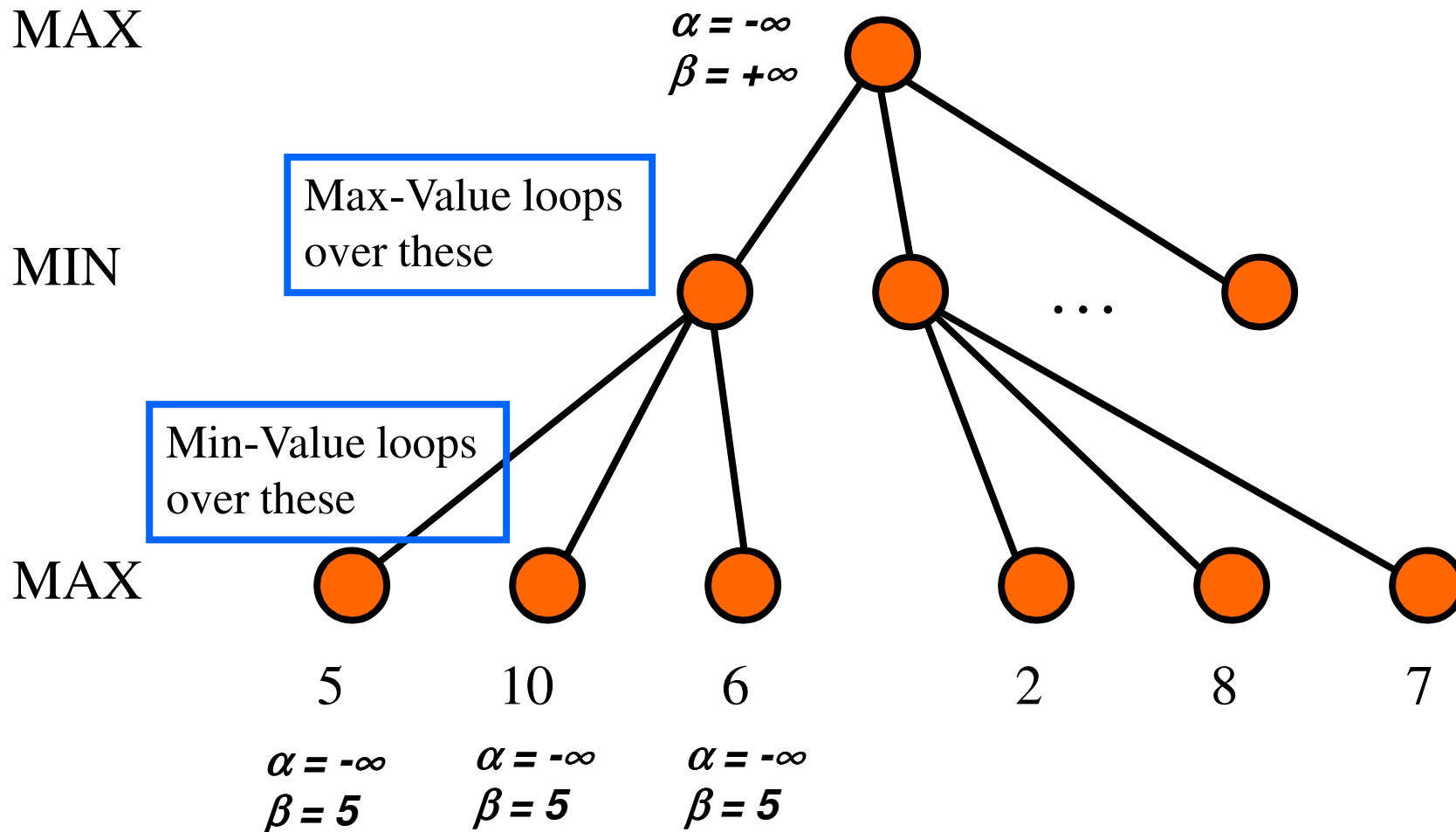
**end**

**return**  $\beta$

## More on the $\alpha$ - $\beta$ algorithm

In Min-Value:

```
for each  $s$  in SUCCESSORS( $state$ ) do
   $\beta \leftarrow \text{MIN}(\beta, \text{MAX-VALUE}(s, game, \alpha, \beta))$ 
  if  $\beta \leq \alpha$  then return  $\alpha$ 
end
return  $\beta$ 
```



## More on the $\alpha$ - $\beta$ algorithm

In Max-Value:

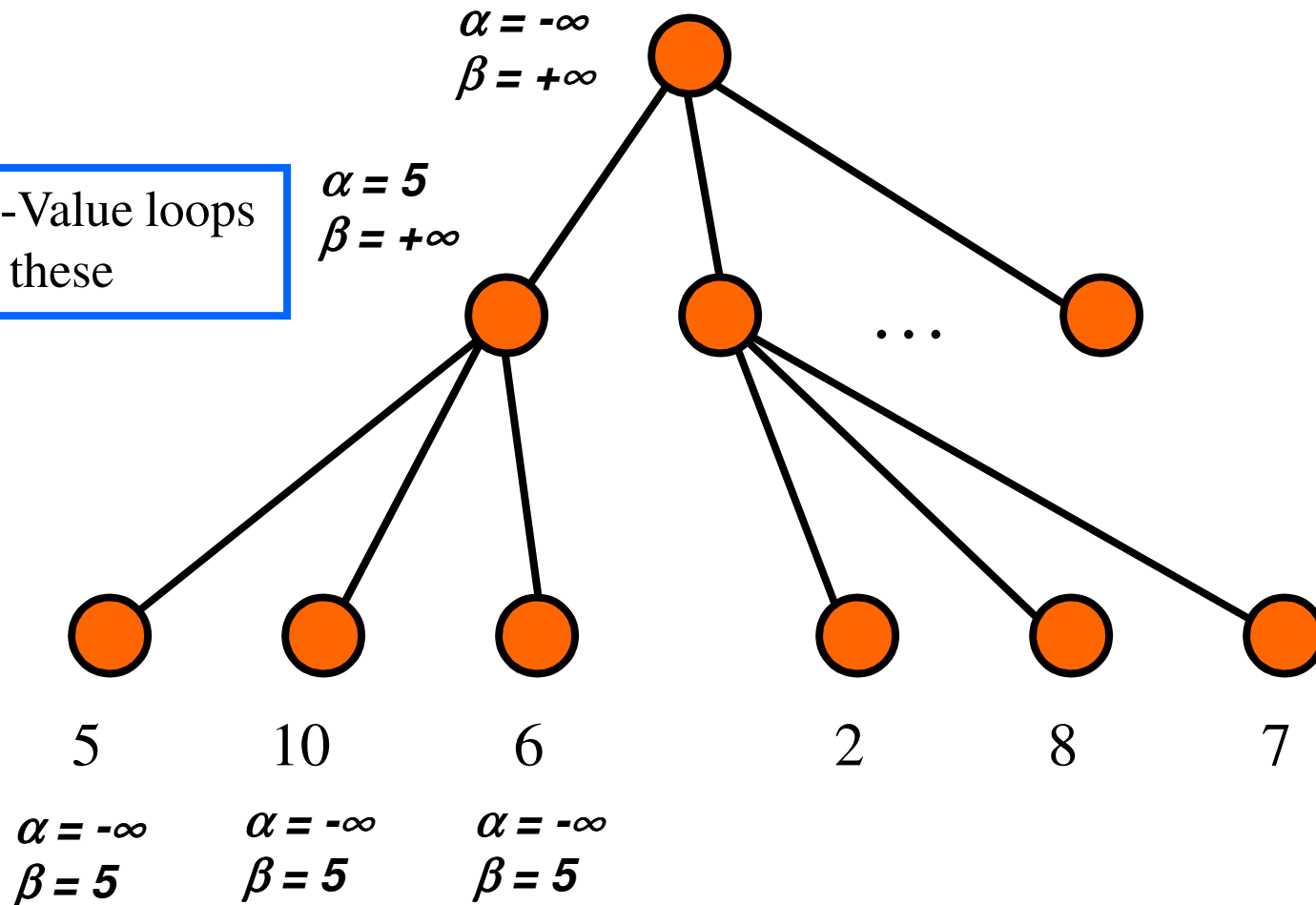
```
for each  $s$  in  $\text{SUCCESSORS}(state)$  do  
   $\alpha \leftarrow \text{MAX}(\alpha, \text{MIN-VALUE}(s, game, \alpha, \beta))$   
  if  $\alpha \geq \beta$  then return  $\beta$   
end  
return  $\alpha$ 
```

MAX

MIN

Max-Value loops  
over these

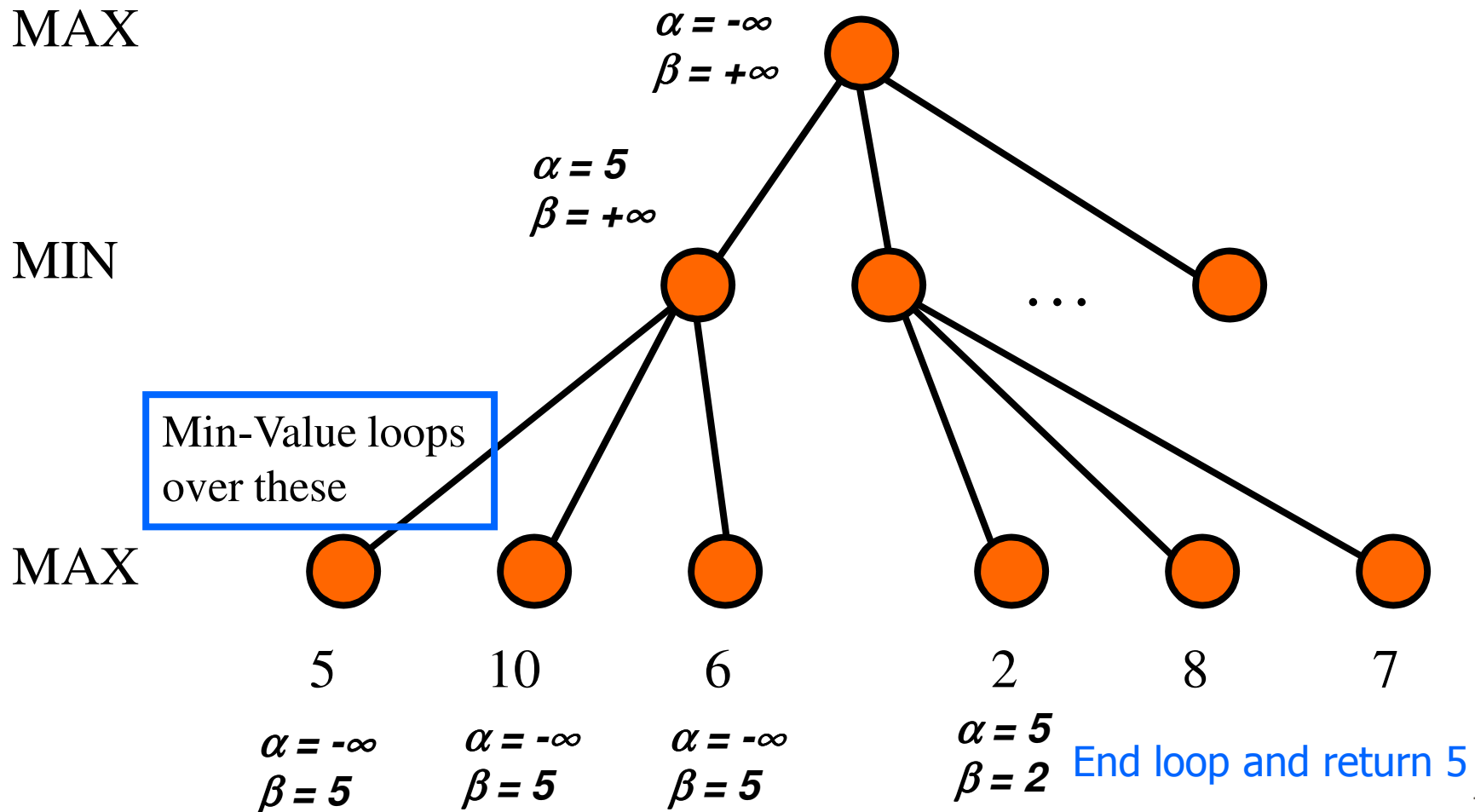
MAX



## More on the $\alpha$ - $\beta$ algorithm

In Min-Value:

```
for each  $s$  in SUCCESSORS( $state$ ) do
   $\beta \leftarrow \text{MIN}(\beta, \text{MAX-VALUE}(s, game, \alpha, \beta))$ 
  if  $\beta \leq \alpha$  then return  $\alpha$ 
end
return  $\beta$ 
```



## More on the $\alpha$ - $\beta$ algorithm

In Max-Value:

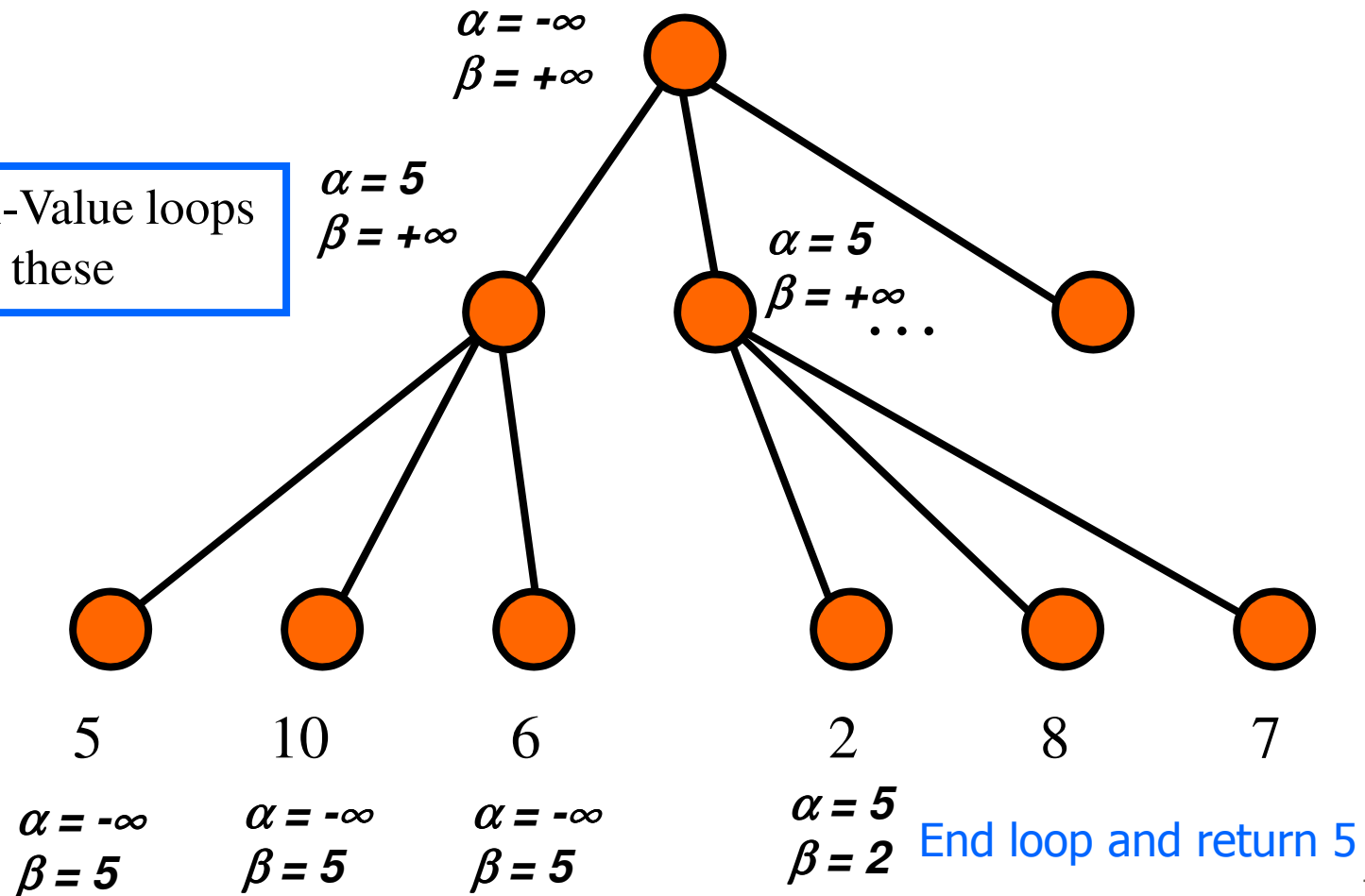
```
for each  $s$  in SUCCESSORS( $state$ ) do
   $\alpha \leftarrow \text{MAX}(\alpha, \text{MIN-VALUE}(s, game, \alpha, \beta))$ 
  if  $\alpha \geq \beta$  then return  $\beta$ 
end
return  $\alpha$ 
```

MAX

MIN

Max-Value loops over these

MAX



## Another way to understand the algorithm



- From:

<http://www.cs.swarthmore.edu/~meeden/Minimax/TypicalCase.html>

<http://www.cs.berkeley.edu/~milch/cs188/alpha-beta.html>

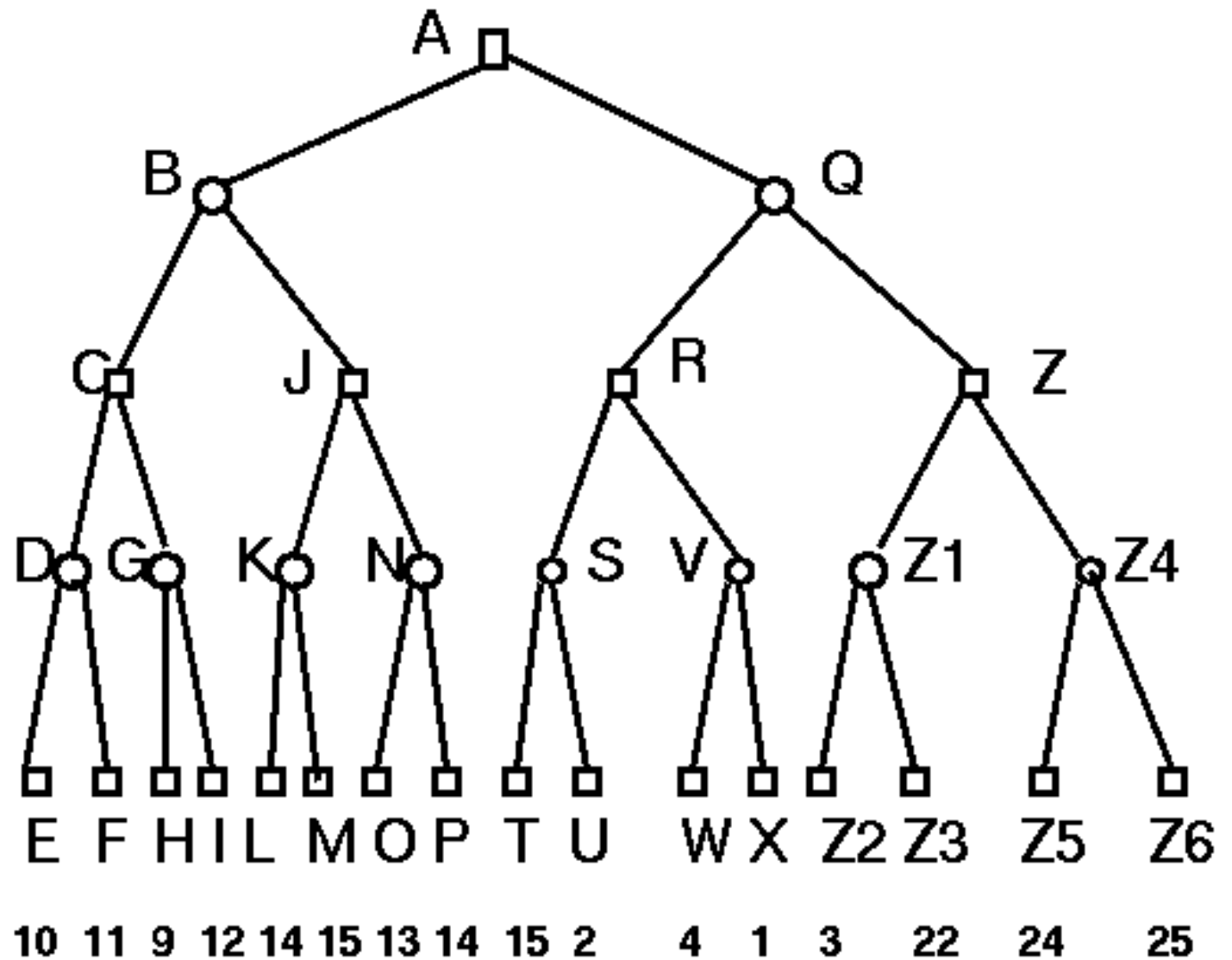
- For a given node N,

$\alpha$  is the value of N to MAX

$\beta$  is the value of N to MIN



## Example



□ ARE MAX NODES

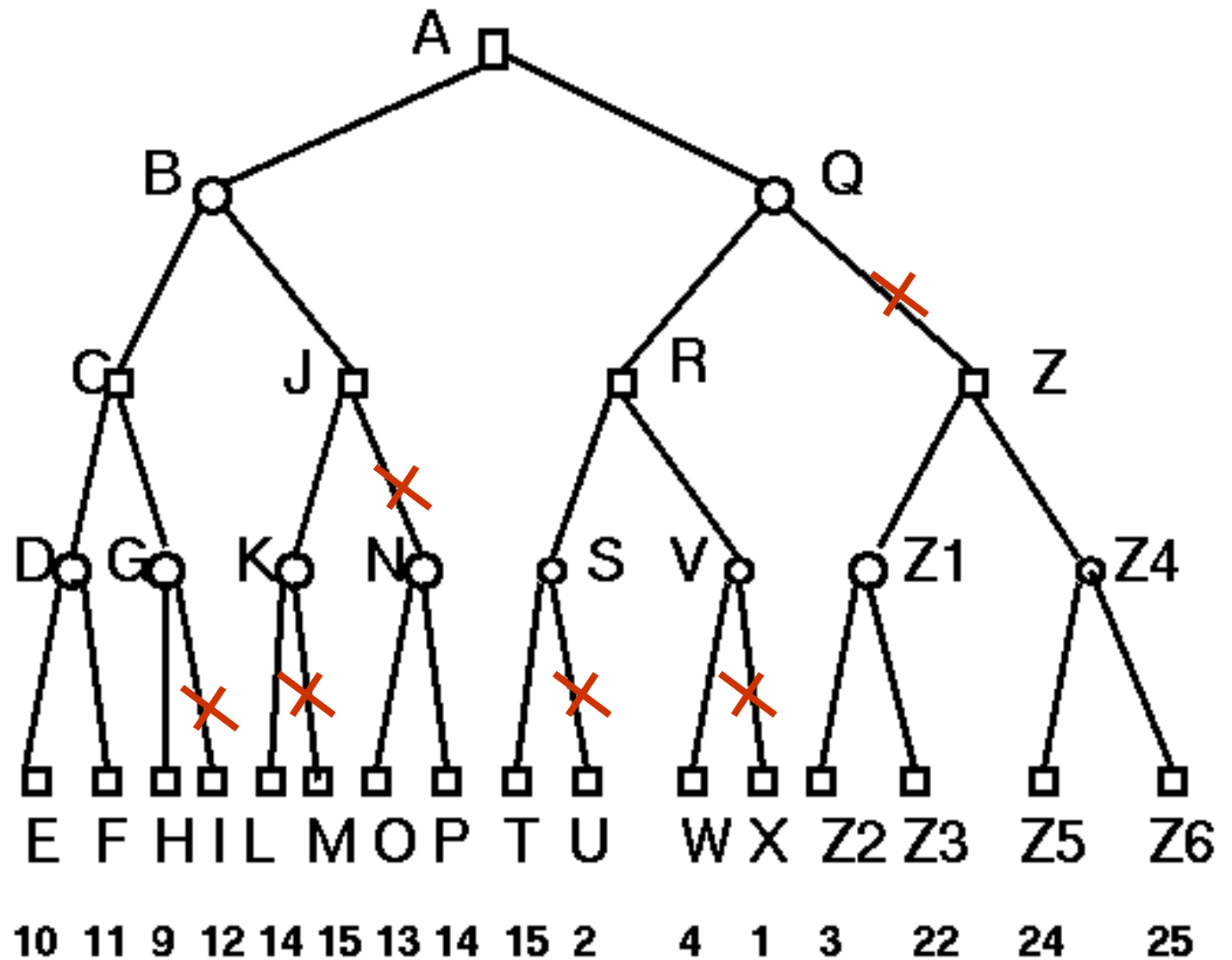
○ ARE MIN NODES

**MiniMax  
+  
Alpha-Beta**

# Solution

NODE	TYPE	ALPHA	BETA	SCORE		NODE	TYPE	ALPHA	BETA	SCORE
A	Max	-1	+1			...				
B	Min	-1	+1			J	Max	10	10	10
C	Max	-1	+1			B	Min	-1	10	10
D	Min	-1	+1			A	Max	10	+1	
E	Max	10	10	10		Q	Min	10	+1	
D	Min	-1	10			R	Max	10	+1	
F	Max	11	11	11		S	Min	10	+1	
D	Min	-1	10	10		T	Max	15	15	15
C	Max	10	+1			S	Min	10	15	15
G	Min	10	+1			R	Max	10	+1	
H	Max	9	9	9		V	Min	10	+1	
G	Min	10	9	9		W	Max	4	4	4
C	Max	10	+1	10		V	Min	10	4	4
B	Min	-1	10			R	Max	10	+1	10
J	Max	-1	10			Q	Min	10	10	10
K	Min	-1	10			A	Max	10	10	10
L	Max	14	14	14						
K	Min	-1	10	10						
...										

Pruned  
nodes of  
the tree...



□ ARE MAX NODES

○ ARE MIN NODES

**MiniMax  
+  
Alpha-Beta**

## State-of-the-art for deterministic games

Checkers: Chinook ended 40-year-reign of human world champion Marion Tinsley in 1994. Used an endgame database defining perfect play for all positions involving 8 or fewer pieces on the board, a total of 443,748,401,247 positions.

Chess: Deep Blue defeated human world champion Gary Kasparov in a six-game match in 1997. Deep Blue searches 200 million positions per second, uses very sophisticated evaluation, and undisclosed methods for extending some lines of search up to 40 ply.

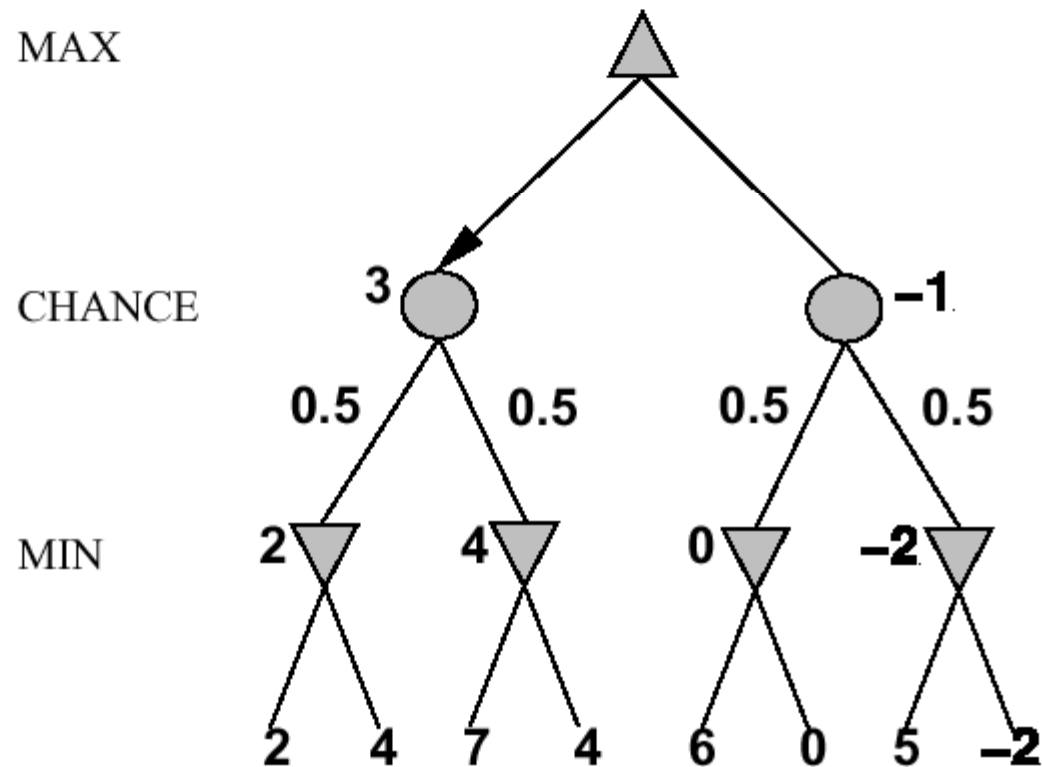
Othello: human champions refuse to compete against computers, who are too good.

Go: human champions refuse to compete against computers, who are too bad. In go,  $b > 300$ , so most programs use pattern knowledge bases to suggest plausible moves.

# Nondeterministic games

E..g, in backgammon, the dice rolls determine the legal moves

Simplified example with coin-flipping instead of dice-rolling:



# Algorithm for nondeterministic games

EXPECTIMINIMAX gives perfect play

Just like MINIMAX, except we must also handle chance nodes:

...

**if** *state* is a chance node **then**

**return** average of EXPECTIMINIMAX-VALUE of SUCCESSORS(*state*)

...

A version of  $\alpha$ - $\beta$  pruning is possible

but only if the leaf values are bounded. Why??

Because value of chance nodes is based on averages; and  
you need the bounds for averages without looking at nodes

## Remember: Minimax algorithm

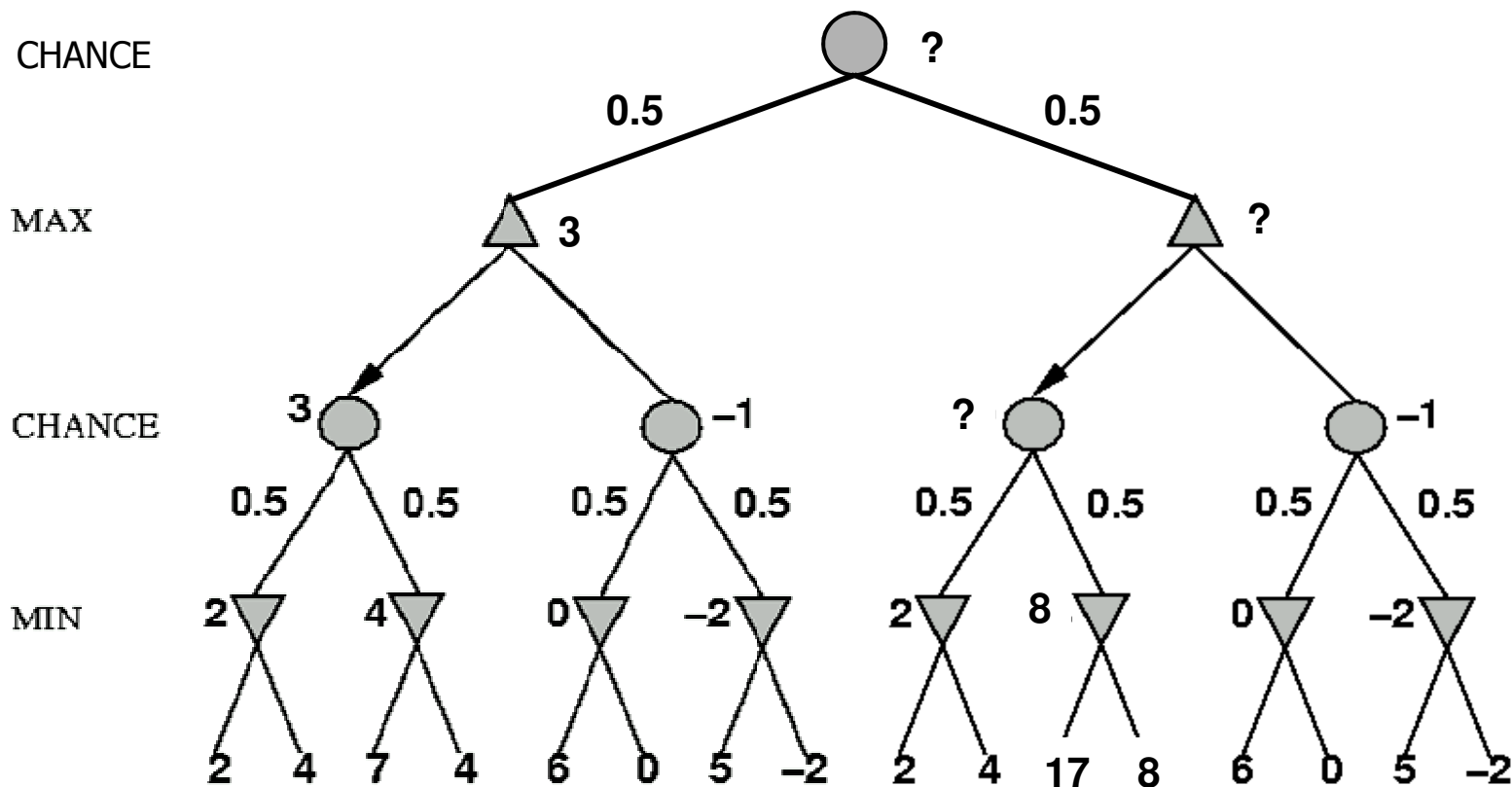
```
function MINIMAX-DECISION(game) returns an operator
  for each op in OPERATORS[game] do
    VALUE[op] ← MINIMAX-VALUE(APPLY(op, game), game)
  end
  return the op with the highest VALUE[op]
```

---

```
function MINIMAX-VALUE(state, game) returns a utility value
  if TERMINAL-TEST[game](state) then
    return UTILITY[game](state)
  else if MAX is to move in state then
    return the highest MINIMAX-VALUE of SUCCESSORS(state)
  else
    return the lowest MINIMAX-VALUE of SUCCESSORS(state)
```

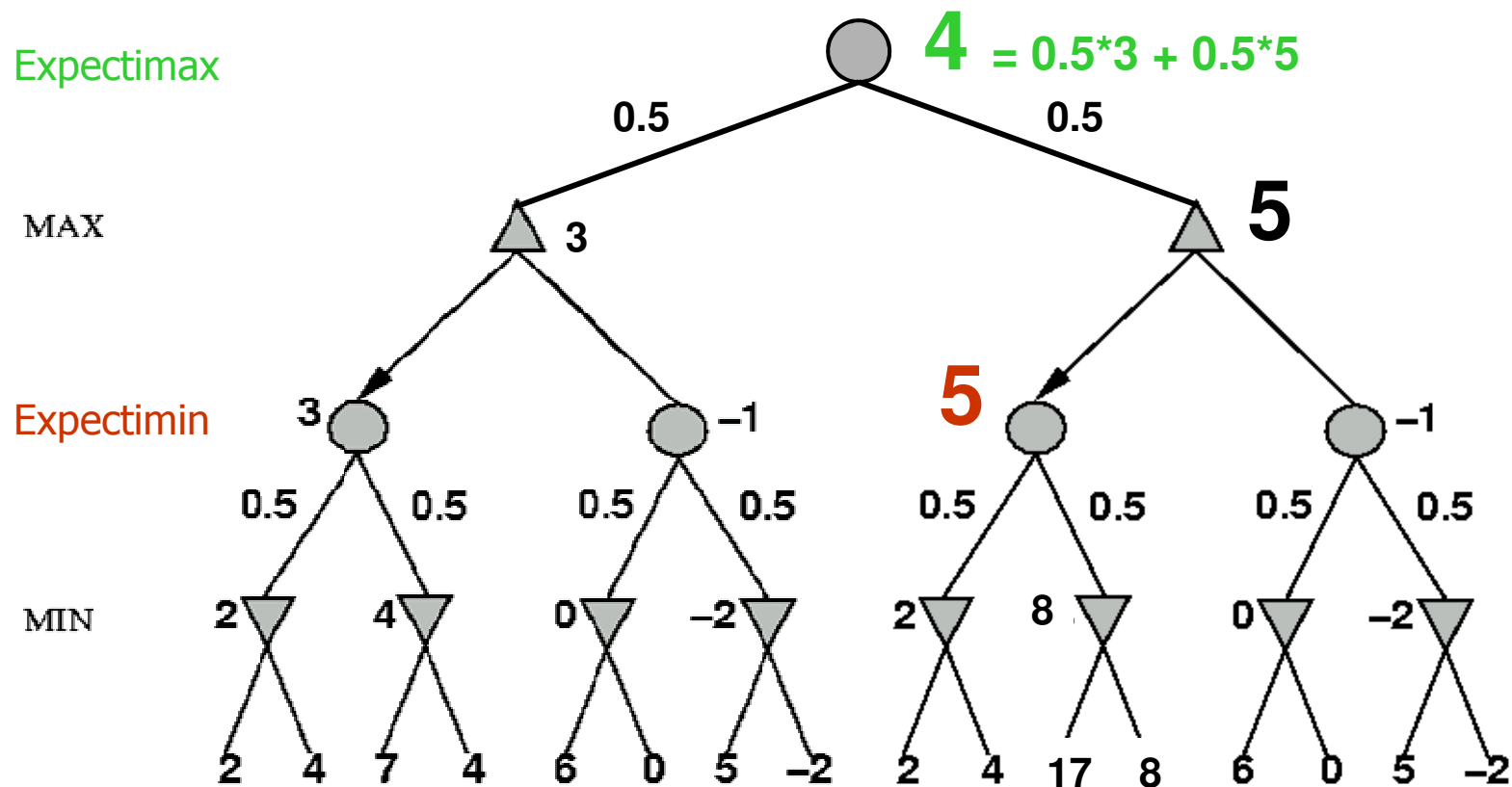
# Nondeterministic games: the element of chance

**expectimax** and **expectimin**, expected values over all possible outcomes



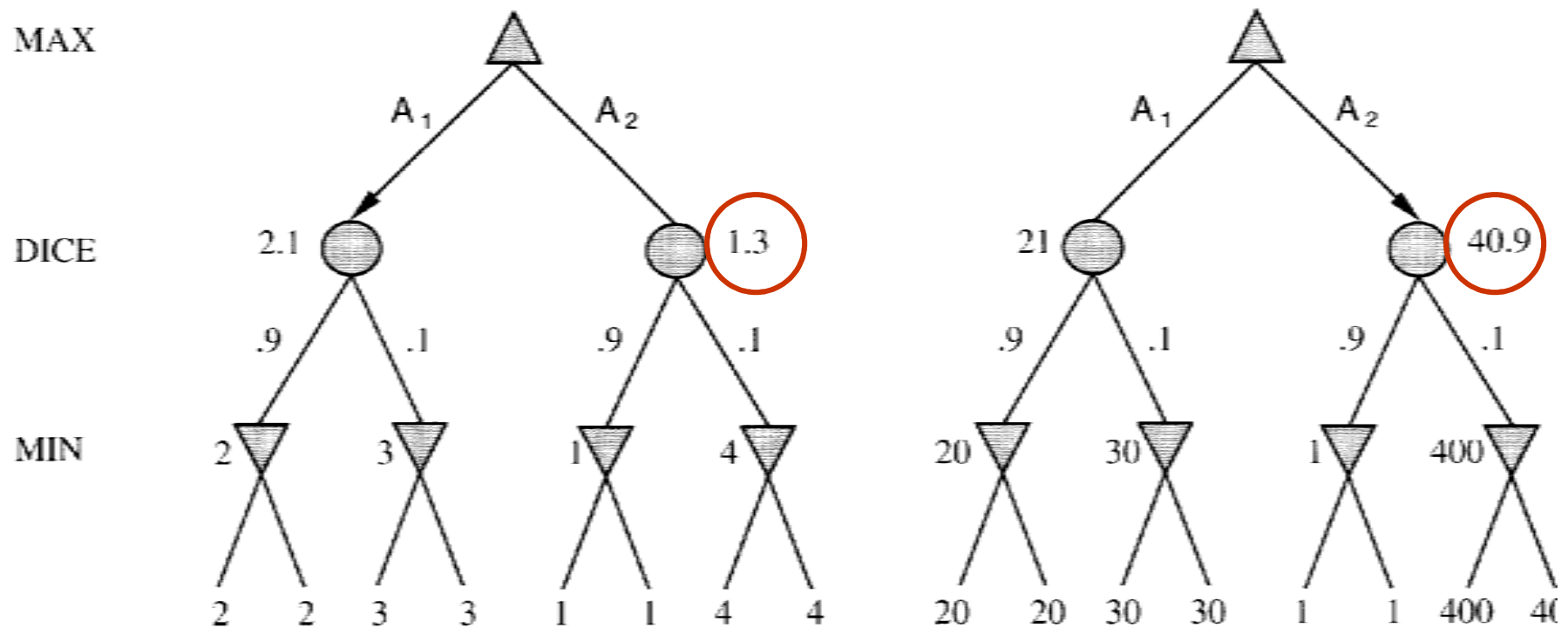


# Nondeterministic games: the element of chance



# Evaluation functions: Exact values DO matter once you have chance nodes!

Order-preserving transformation do not necessarily behave the same!



## State-of-the-art for nondeterministic games



Dice rolls increase  $b$ : 21 possible rolls with 2 dice

Backgammon  $\approx 20$  legal moves (can be 6,000 with 1-1 roll)

$$\text{depth } 4 = 20 \times (21 \times 20)^3 \approx 1.2 \times 10^9$$

As depth increases, probability of reaching a given node shrinks

$\Rightarrow$  value of lookahead is diminished

$\alpha$ - $\beta$  pruning is much less effective

# Summary



Games are fun to work on! (and dangerous)

They illustrate several important points about AI

- ◇ perfection is unattainable  $\Rightarrow$  must approximate
- ◇ good idea to think about what to think about
- ◇ uncertainty constrains the assignment of values to states

Games are to AI as grand prix racing is to automobile design

## Exercise: Game Playing

Consider the following game tree in which the evaluation function values are shown below each leaf node. Assume that the root node corresponds to the maximizing player. Assume the search always visits children left-to-right.

- (a) Compute the backed-up values computed by the minimax algorithm. Show your answer by writing values at the appropriate nodes in the above tree.
- (b) Compute the backed-up values computed by the alpha-beta algorithm. What nodes will not be examined by the alpha-beta pruning algorithm?
- (c) What move should Max choose once the values have been backed-up all the way?

