

# Algorithms

## Insertion Sort 2

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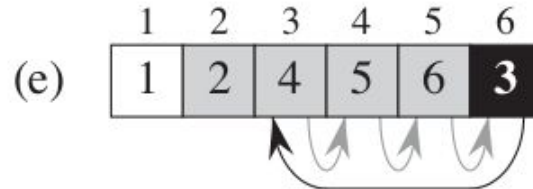
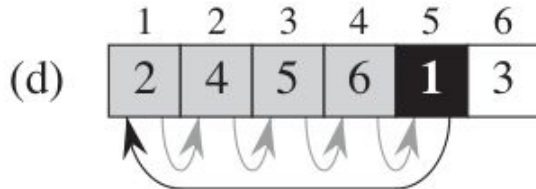
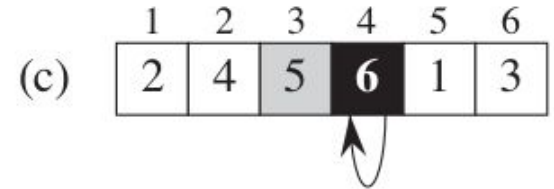
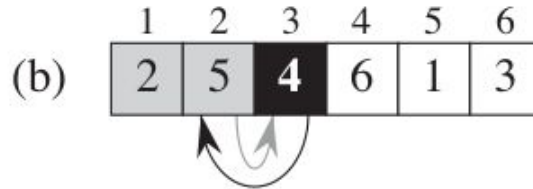
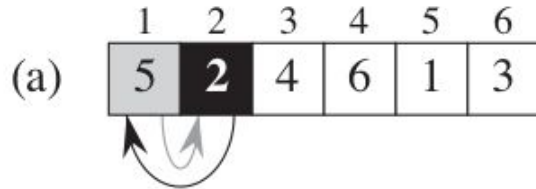
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# How to code?

- How to insert the number in its right place?
  - Find the right place
  - Shift the right-sub array one step right then insert the current element
  - We can do these 2 steps together!



Code:  $O(n^2)$  time and  $O(1)$  space

```
5 void insertion_sort(vector<int> &nums) {  
6     // For each number: add it in the previous sorted subarray  
7     for (int i = 1; i < (int) nums.size(); i++) {  
8         int key = nums[i];  
9         int j = i - 1;  
10        // Shift and add in the right location  
11        while (j >= 0 && nums[j] > key) {  
12            nums[j + 1] = nums[j]; // shift right  
13            j--;  
14        }  
15        nums[j + 1] = key; // The first right element  
16    }  
17 }
```

# Testing

- It is very critical to test your code
- Think in a systematic way about possible cases
- Here are general thoughts that may help in different cases for arrays
- Length: 1, 2, 3, and the max N
  - Boundaries can be tested with the smallest N and the largest N
- Values:
  - Odd and even
  - Duplicate arrays or unique values
  - Sorted, Almost sorted, not ordered (partially, completely)
- In this problem what matters:
  - Length: 1 and N. Test a random array. Test some arrays with duplicate values

# Some observations

- Assume the array is (almost) sorted: e.g. 1, 2, 3, 4, 5, 6, 7
  - What is the time complexity?
- Clearly, the 2nd nested loop will never work. So we are actually  $O(n)$ 
  - This is called the **Best-case** performance (behavior under optimal conditions)
- What if the array is already ordered from large to small? E.g. 7, 6, 5, 4, 3, 2, 1
- Clearly, the 2nd nested loop is applied to the last index. This is  $O(n^2)$ .
  - This is called the **Worst-case** performance
- The average case is  $O(n^2)$ 
  - The second loop is applied (partially or fully) most of the time

# Worst vs Best analysis

- Many algorithms with bad worst-case performance have good average-case performance
  - If this is the case, most of the time your code will be pretty fast **except for a few cases!**
- Some data structures like **hash tables** have *very poor* worst-case behaviors, but a **well written** hash table of **sufficient size** will never give the worst case
  - That is: A good implementation + proper usage.
- Take-home message: Don't be systematic when computing/using such types of analysis. In practice, we *might* need to think deeper about what the **typical inputs** are and the **effect** of that on the problem of interest

# Correctness

- We must prove the correctness of our approach too
- Many books go very formal for a few pages explaining the proof.
  - Reading lengthy proofs can be exhausting to many people unless you have a good mathematical background
  - Understanding proofs is still a very added value. It teaches you to make sure your logic covers the possible scenarios
  - You need to read formal proofs to be able to write one, if you are interested
- One such book is Introduction to Algorithms (CLRS) by Cormen et al.
  - I learned from it. It focuses on the theory and is very mathematical but a **great book**
- There are other books which focus more on the practical side, such as Algorithms Design Manual *by Steven Skiena*

# Correctness

- I want to tell you a few things here
- I suggest a flow that will make your progress **faster** and much more **productive**
  - First, focus a lot on the **intuition** behind the approach
    - Strong algorithmist can find solutions for very hard problems *in a few minutes*
  - Understand the **code**. Think in test cases. Build **informal thoughts** about correctness
  - **Solve** several exercises about the algorithm
  - Optional: Check out the **proof**.
    - But, at the very least, please find and read the proofs for a few of them



# Correctness

- Insertion sort: Informal proof
  - I hope you can trivially see why it is a correct algorithm
  - At  $n = 1$ , the initial sub-array of  $A[0]$  is sorted
  - Then from  $n = 2$ , covering **all** the elements. We search linearly to find the correct location
    - Then we shift its right subarray, where all the shifted values are  $>$  current key
    - This means: after the  $i$ th step, the extended subarray is sorted

# Insertion Algorithm Properties

- Sorting algorithms have interesting properties to understand
- **Adaptive**, i.e., efficient for data sets that are already substantially sorted
  - The **time complexity** is  $O(kn)$  when each element in the input is no more than  $k$  places away from its sorted position. If the whole list is already sorted, then  $k = 1$
- **Stable**; i.e., does not change the relative order of elements with equal keys
  - Assume input [1, 2, 5A, 5B, 3, 5C]. [A,B,C just tags]
  - When we sort it: [1, 2, 3, 5A, 5B, 5C]. Equal keys have the SAME old order
- **In-place**; i.e., only requires a constant amount  $O(1)$  of additional memory space.
  - As you see, we were updating the given vector itself
- **Online**; i.e., can sort a list as it receives it
  - Imagine an **online service** that keeps receiving numbers and sort all what we have **so far**

# Comparison based algorithms

- As you notice, this algorithm, and many others, **compares** numbers together to find out the right output
  - We will meet other efficient comparison-based algorithms
    - E.g. Merge sort is only  $O(n \log n)$
- We will also learn other types of algorithms that are **not** based on comparisons (e.g. Count sort)
- An interesting fact to know: any **comparison-based** sorting algorithm must make at least  $(n \log_2 n)$  comparisons *on average* to sort the input array
  - So never try to find something better :)
  - That is: Sometimes we compute the **lower-bound** complexity for an algorithm
- *Sorting is the most thoroughly studied problem in computer science.*

*“Acquire knowledge and impart it to the people.”*

*“Seek knowledge from the Cradle to the Grave.”*