



KOREA UNIVERSITY
DATABASE LAB

PostgreSQL for OLAP

GROUPING SETS

- GROUPING SETS generates a result set equivalent to which generated by the UNION ALL of the multiple GROUP BY clauses

```
((GROUP BY expr1)  
  UNION ALL  
 (GROUP BY expr2)  
  UNION ALL  
 (GROUP BY expr3))
```



```
GROUPING SETS (expr1, expr2, expr3)
```

GROUPING SETS

```
SELECT customer_id, staff_id, sum(amount)
FROM payment GROUP BY customer_id, staff_id;
```

UNION ALL

```
SELECT customer_id, NULL, sum(amount)
FROM payment GROUP BY customer_id;
```

UNION ALL

```
SELECT NULL, staff_id, sum(amount)
FROM payment GROUP BY staff_id;
```

UNION ALL

```
SELECT NULL, NULL, sum(amount)
FROM payment;
```



```
SELECT customer_id, staff_id, sum(amount)
FROM payment
GROUP BY
GROUPING SETS (
    (customer_id, staff_id),
    (customer_id),
    (staff_id),
    ()
);
```

GROUPING SETS

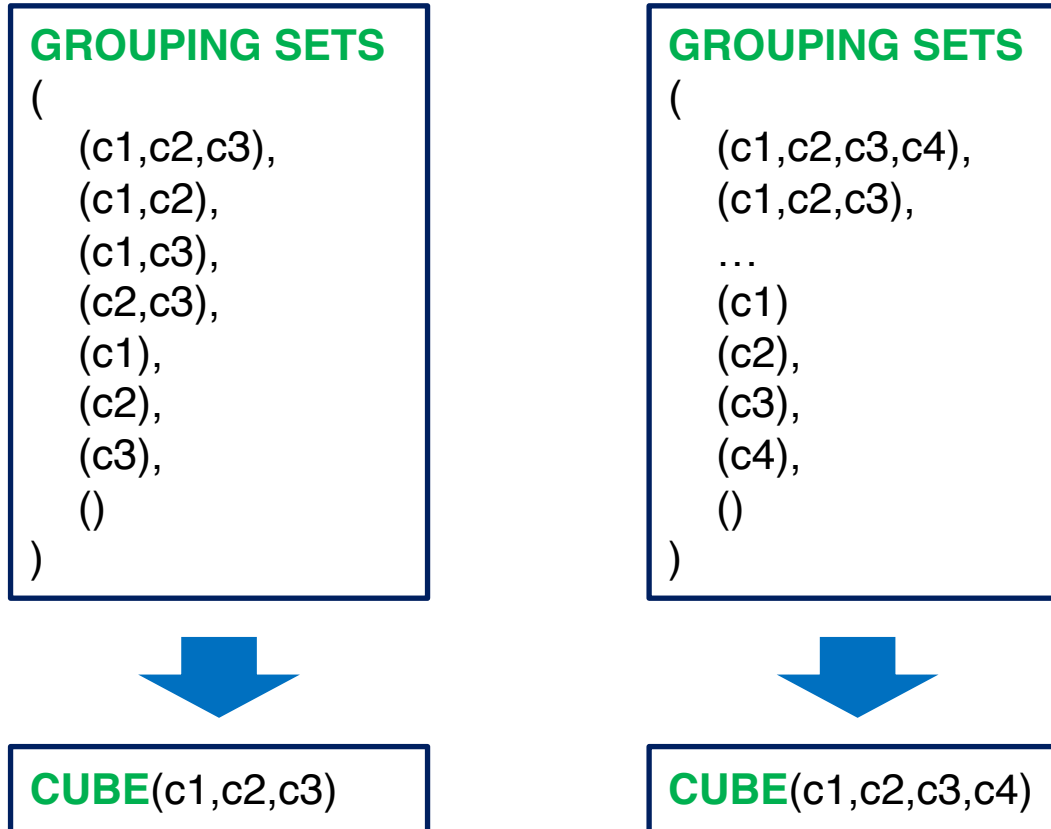
```

SELECT customer_id, staff_id,
sum(amount)
FROM payment
GROUP BY
  GROUPING SETS (
    (customer_id, staff_id),
    (customer_id),
    (staff_id),
    ()
  );
    
```

| customer_id smallint | staff_id smallint | sum numeric |
|-------------------------|----------------------|----------------|
| 448 | 2 | 76.83 |
| 459 | 1 | 108.78 |
| 460 | 1 | 46.90 |
| 236 | 2 | 94.80 |
| 282 | 2 | 52.87 |
| 110 | 1 | 56.87 |
| ⋮ | | |
| 449 | [null] | 80.83 |
| 64 | [null] | 91.70 |
| 520 | [null] | 127.69 |
| 55 | [null] | 84.81 |
| 148 | [null] | 211.55 |
| [null] | 1 | 30252.12 |
| [null] | 2 | 31059.92 |
| [null] | [null] | 61312.04 |

CUBE

- Analyze all possible subsets with more columns



CUBE

- PostgreSQL allows you to perform a partial cube to reduce the number of aggregates calculated

```
SELECT c1, c2, c3, aggregate (c4)
FROM table_name
GROUP BY c1, CUBE(c2, c3);
```



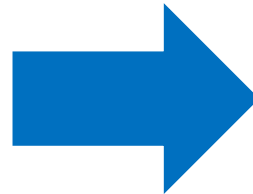
```
GROUPING SETS (
    (c1,c2,c3),
    (c1,c2),
    (c1,c3),
    (c1),
)
```

ROLLUP

- ROLLUP(c1,c2,c3) generates only four grouping sets, **assuming the hierarchy c1 > c2 > c3** as follows

GROUPING SETS (

```
(c1,c2,c3),  
(c1,c2),  
(c1,c3),  
(c2,c3),  
(c1),  
(c2),  
(c3),  
(  
)
```

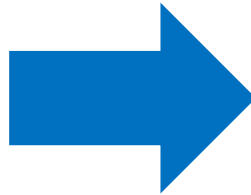


ROLLUP(c1,c2,c3)

ROLLUP

- A common use of ROLLUP is to calculate the aggregations of data by year, month, and date, considering the hierarchy year > month > date
- You can extract (year, month, day, hour, minute and second) from timestamp data type through EXTRACT

```
dvdrental=# select rental_date from rental;
rental_date
-----
2005-05-24 22:54:33
2005-05-24 23:03:39
2005-05-24 23:04:41
2005-05-24 23:05:21
2005-05-24 23:08:07
2005-05-24 23:11:53
2005-05-24 23:31:46
2005-05-25 00:00:40
2005-05-25 00:02:21
2005-05-25 00:09:02
2005-05-25 00:19:27
```



```
dvdrental=# SELECT
dvdrental=# EXTRACT(year from rental_date) Y,
dvdrental=# EXTRACT(month from rental_date) M,
dvdrental=# EXTRACT(day from rental_date) D,
dvdrental=# EXTRACT(hour from rental_date) h,
dvdrental=# EXTRACT(minute from rental_date) min,
dvdrental=# EXTRACT(second from rental_date) s
dvdrental=# FROM rental;
 y | m | d | h | min | s
---+---+---+---+---+---
2005 | 5 | 24 | 22 | 54 | 33
2005 | 5 | 24 | 23 | 3 | 39
2005 | 5 | 24 | 23 | 4 | 41
2005 | 5 | 24 | 23 | 5 | 21
2005 | 5 | 24 | 23 | 8 | 7
```


Window Functions





- A window function performs a calculation across a set of table rows that are somehow related to the current row
- This is comparable to the type of calculation that can be done with an aggregate function
- But unlike regular aggregate functions, use of a window function **does not cause rows to become grouped into a single output row** — the rows retain their separate identities

```
window_function(arg1, arg2,..) OVER (  
  [PARTITION BY partition_expression]  
  [ORDER BY sort_expression [ASC | DESC] [NULLS {FIRST | LAST }]  
)
```

- The PARTITION BY list within OVER specifies dividing the row into groups, or partitions, that share the same values of the PARTITION BY expression(s)

Example Relation

Instructor Table

| id [PK] character varying (5)  | name character varying (20)  | dept_name character varying (20)  | salary numeric (8,2)  |
|--|---|---|---|
| 10101 | Srinivasan | Comp. Sci. | 65000.00 |
| 12121 | Wu | Finance | 90000.00 |
| 15151 | Mozart | Music | 40000.00 |
| 22222 | Einstein | Physics | 95000.00 |
| 32343 | El Said | History | 60000.00 |
| 33456 | Gold | Physics | 87000.00 |
| 45565 | Katz | Comp. Sci. | 75000.00 |
| 58583 | Califieri | History | 62000.00 |
| 76543 | Singh | Finance | 80000.00 |
| 76766 | Crick | Biology | 72000.00 |
| 83821 | Brandt | Comp. Sci. | 92000.00 |
| 98345 | Kim | Elec. Eng. | 80000.00 |
| 32134 | Silver | Comp. Sci. | 75000.00 |

PARTITION BY

- For each row, the window function is computed across the rows that fall into the same partition as the current row

```
SELECT dept_name, id, name, salary,  
sum(salary) OVER (PARTITION BY dept_name)  
FROM instructor;
```

| dept_name character varying (20) | id [PK] character varying (5) | name character varying (20) | salary numeric (8,2) | sum numeric |
|-------------------------------------|----------------------------------|--------------------------------|-------------------------|----------------|
| Biology | 76766 | Crick | 72000.00 | 72000.00 |
| Comp. Sci. | 32134 | Silver | 75000.00 | 307000.00 |
| Comp. Sci. | 45565 | Katz | 75000.00 | 307000.00 |
| Comp. Sci. | 83821 | Brandt | 92000.00 | 307000.00 |
| Comp. Sci. | 10101 | Srinivasan | 65000.00 | 307000.00 |
| Elec. Eng. | 98345 | Kim | 80000.00 | 80000.00 |
| Finance | 76543 | Singh | 80000.00 | 170000.00 |
| Finance | 12121 | Wu | 90000.00 | 170000.00 |
| History | 32343 | El Said | 60000.00 | 122000.00 |
| History | 58583 | Califieri | 62000.00 | 122000.00 |
| Music | 15151 | Mozart | 40000.00 | 40000.00 |
| Physics | 22222 | Einstein | 95000.00 | 182000.00 |
| Physics | 33456 | Gold | 87000.00 | 182000.00 |

PARTITION BY

- The PARTITION BY clause is optional. If you skip the PARTITION BY clause, the window function will treat the whole result set as a single partition

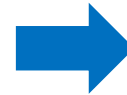
```
SELECT dept_name, id, name, salary,  
sum(salary) OVER ()  
FROM instructor;
```

| dept_name character varying (20) | id [PK] character varying (5) | name character varying (20) | salary numeric (8,2) | sum numeric |
|-------------------------------------|----------------------------------|--------------------------------|-------------------------|----------------|
| Comp. Sci. | 10101 | Srinivasan | 65000.00 | 973000.00 |
| Finance | 12121 | Wu | 90000.00 | 973000.00 |
| Music | 15151 | Mozart | 40000.00 | 973000.00 |
| Physics | 22222 | Einstein | 95000.00 | 973000.00 |
| History | 32343 | El Said | 60000.00 | 973000.00 |
| Physics | 33456 | Gold | 87000.00 | 973000.00 |
| Comp. Sci. | 45565 | Katz | 75000.00 | 973000.00 |
| History | 58583 | Califieri | 62000.00 | 973000.00 |
| Finance | 76543 | Singh | 80000.00 | 973000.00 |
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| Comp. Sci. | 83821 | Brandt | 92000.00 | 973000.00 |
| Elec. Eng. | 98345 | Kim | 80000.00 | 973000.00 |
| Comp. Sci. | 32134 | Silver | 75000.00 | 973000.00 |

Aggregation Functions vs Window Functions

Aggregation function

```
SELECT dept_name, sum(salary)
FROM instructor
GROUP BY dept_name
ORDER BY dept_name;
```



| dept_name character varying (20) | sum numeric |
|-------------------------------------|----------------|
| Biology | 72000.00 |
| Comp. Sci. | 307000.00 |
| Elec. Eng. | 80000.00 |
| Finance | 170000.00 |
| History | 122000.00 |
| Music | 40000.00 |
| Physics | 182000.00 |

Window function

```
SELECT dept_name,
sum(salary) OVER (PARTITION BY dept_name)
FROM instructor;
```



| dept_name character varying (20) | sum numeric |
|-------------------------------------|----------------|
| Biology | 72000.00 |
| Comp. Sci. | 307000.00 |
| Comp. Sci. | 307000.00 |
| Comp. Sci. | 307000.00 |
| Comp. Sci. | 307000.00 |
| Elec. Eng. | 80000.00 |
| Finance | 170000.00 |
| Finance | 170000.00 |
| History | 122000.00 |
| History | 122000.00 |
| Music | 40000.00 |
| Physics | 182000.00 |
| Physics | 182000.00 |

Why Window Functions?

- Window function is useful when you want to know the **individual value** and entire **group value** (sum, avg, ...) **at the same time**

```
SELECT dept_name, id, name, salary,  
       sum(salary) OVER (PARTITION BY dept_name)  
FROM instructor;
```

| dept_name character varying (20) | id [PK] character varying (5) | name character varying (20) | salary numeric (8,2) | sum numeric |
|-------------------------------------|----------------------------------|--------------------------------|-------------------------|----------------|
| Biology | 76766 | Crick | 72000.00 | 72000.00 |
| Comp. Sci. | 32134 | Silver | 75000.00 | 307000.00 |
| Comp. Sci. | 45565 | Katz | 75000.00 | 307000.00 |
| Comp. Sci. | 83821 | Brandt | 92000.00 | 307000.00 |
| Comp. Sci. | 10101 | Srinivasan | 65000.00 | 307000.00 |
| Elec. Eng. | 98345 | Kim | 80000.00 | 80000.00 |
| Finance | 76543 | Singh | 80000.00 | 170000.00 |
| Finance | 12121 | Wu | 90000.00 | 170000.00 |
| History | 32343 | El Said | 60000.00 | 122000.00 |
| History | 58583 | Califieri | 62000.00 | 122000.00 |
| Music | 15151 | Mozart | 40000.00 | 40000.00 |
| Physics | 22222 | Einstein | 95000.00 | 182000.00 |
| Physics | 33456 | Gold | 87000.00 | 182000.00 |

Multiple Window Functions

- You can use multiple window functions

```
SELECT  
  wf1() OVER(PARTITION BY c1 ORDER BY c2),  
  wf2() OVER(PARTITION BY c3 ORDER BY c4)  
FROM table_name;
```

Multiple Window Functions

```
SELECT dept_name, id, name, salary,
sum(salary) OVER (PARTITION BY dept_name),
avg(salary) OVER (PARTITION BY dept_name)
FROM instructor;
```

| dept_name character varying (20) | id [PK] character varying (5) | name character varying (20) | salary numeric (8,2) | sum numeric | avg numeric |
|-------------------------------------|----------------------------------|--------------------------------|-------------------------|----------------|--------------------|
| Biology | 76766 | Crick | 72000.00 | 72000.00 | 72000.000000000000 |
| Comp. Sci. | 32134 | Silver | 75000.00 | 307000.00 | 76750.000000000000 |
| Comp. Sci. | 45565 | Katz | 75000.00 | 307000.00 | 76750.000000000000 |
| Comp. Sci. | 83821 | Brandt | 92000.00 | 307000.00 | 76750.000000000000 |
| Comp. Sci. | 10101 | Srinivasan | 65000.00 | 307000.00 | 76750.000000000000 |
| Elec. Eng. | 98345 | Kim | 80000.00 | 80000.00 | 80000.000000000000 |
| Finance | 76543 | Singh | 80000.00 | 170000.00 | 85000.000000000000 |
| Finance | 12121 | Wu | 90000.00 | 170000.00 | 85000.000000000000 |
| History | 32343 | El Said | 60000.00 | 122000.00 | 61000.000000000000 |
| History | 58583 | Califieri | 62000.00 | 122000.00 | 61000.000000000000 |
| Music | 15151 | Mozart | 40000.00 | 40000.00 | 40000.000000000000 |
| Physics | 22222 | Einstein | 95000.00 | 182000.00 | 91000.000000000000 |
| Physics | 33456 | Gold | 87000.00 | 182000.00 | 91000.000000000000 |

ORDER BY

- You can also control the order in which rows are processed by window functions using ORDER BY within OVER

```
window_function(arg1, arg2,..) OVER (  
  [PARTITION BY partition_expression]  
  [ORDER BY sort_expression [ASC | DESC] [NULLS {FIRST | LAST }]  
)
```

Select intermediate result
(after having, before order by)

Row A,7
Row A,2
Row A,1
Row B,4
Row B,5
Row C,6
Row D,9
Row D,1
Row D,10
Row D,8



Partitioning

Row A,7
Row A,2
Row A,1

Row B,4
Row B,5

Row C,6

Row D,9
Row D,1
Row D,10
Row D,8



Ordering

Row A,1
Row A,2
Row A,7

Row B,4
Row B,5

Row C,6

Row D,1
Row D,8
Row D,9
Row D,10

ORDER BY

```
SELECT dept_name, id, name, salary,  
sum(salary) OVER (PARTITION BY dept_name ORDER BY salary)  
FROM instructor;
```

| dept_name character varying (20) | id [PK] character varying (5) | name character varying (20) | salary numeric (8,2) | sum numeric |
|-------------------------------------|----------------------------------|--------------------------------|-------------------------|----------------|
| Biology | 76766 | Crick | 72000.00 | 72000.00 |
| Comp. Sci. | 10101 | Srinivasan | 65000.00 | 65000.00 |
| Comp. Sci. | 32134 | Silver | 75000.00 | 215000.00 |
| Comp. Sci. | 45565 | Katz | 75000.00 | 215000.00 |
| Comp. Sci. | 83821 | Brandt | 92000.00 | 307000.00 |
| Elec. Eng. | 98345 | Kim | 80000.00 | 80000.00 |
| Finance | 76543 | Singh | 80000.00 | 80000.00 |
| Finance | 12121 | Wu | 90000.00 | 170000.00 |
| History | 32343 | El Said | 60000.00 | 60000.00 |
| History | 58583 | Califieri | 62000.00 | 122000.00 |
| Music | 15151 | Mozart | 40000.00 | 40000.00 |
| Physics | 33456 | Gold | 87000.00 | 87000.00 |
| Physics | 22222 | Einstein | 95000.00 | 182000.00 |

Window Frame

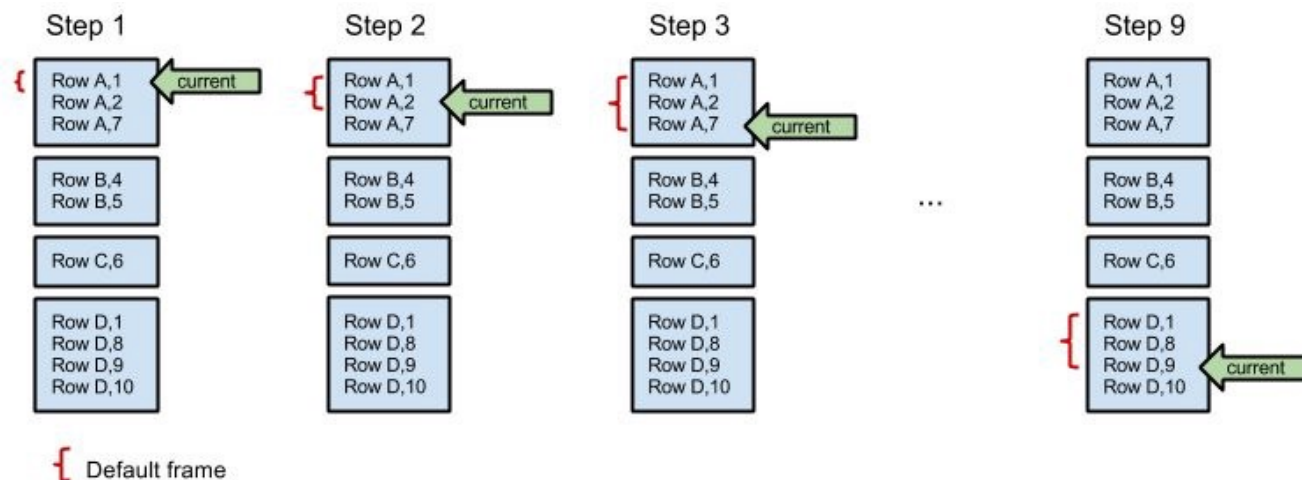
```
SELECT dept_name, id, name, salary,  
sum(salary) OVER (PARTITION BY dept_name ORDER BY salary)  
FROM instructor;
```

| dept_name character varying (20) | id [PK] character varying (5) | name character varying (20) | salary numeric (8,2) | sum numeric |
|-------------------------------------|----------------------------------|--------------------------------|-------------------------|----------------|
| Biology | 76766 | Crick | 72000.00 | 72000.00 |
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| Finance | 12121 | Wu | 90000.00 | 170000.00 |
| History | 32343 | El Said | 60000.00 | 60000.00 |
| History | 58583 | Califieri | 62000.00 | 122000.00 |
| Music | 15151 | Mozart | 40000.00 | 40000.00 |
| Physics | 33456 | Gold | 87000.00 | 87000.00 |
| Physics | 22222 | Einstein | 95000.00 | 182000.00 |

Why sum is different
in the same group?

Window Frame

- There is another important concept associated with window functions: for each row, there is a set of rows within its partition called its **window frame**
 - Many (but not all) window functions act only on the rows of the window frame, rather than of the whole partition
 - **avg(), min(), max(), sum(), count()** etc
- When ORDER BY is omitted, the default frame consists of **all rows in the partition**
- When ORDER BY is supplied, the frame consists of **all rows from the start of the partition up through the current row, plus any following rows that are equal to the current row** according to the ORDER BY clause



Window Frame without ORDER BY

```
SELECT dept_name, id, name, salary,  
sum(salary) OVER (PARTITION BY dept_name)  
FROM instructor;
```

| dept_name character varying (20) | id [PK] character varying (5) | name character varying (20) | salary numeric (8,2) | sum numeric |
|-------------------------------------|----------------------------------|--------------------------------|-------------------------|----------------|
| Biology | 76766 | Crick | 72000.00 | 72000.00 |
| Comp. Sci. | 32134 | Silver | 75000.00 | 307000.00 |
| Comp. Sci. | 45565 | Katz | 75000.00 | 307000.00 |
| Comp. Sci. | 83821 | Brandt | 92000.00 | 307000.00 |
| Comp. Sci. | 10101 | Srinivasan | 65000.00 | 307000.00 |
| Elec. Eng. | 98345 | Kim | 80000.00 | 80000.00 |
| Finance | 76543 | Singh | 80000.00 | 170000.00 |
| Finance | 12121 | Wu | 90000.00 | 170000.00 |
| History | 32343 | El Said | 60000.00 | 122000.00 |
| History | 58583 | Califieri | 62000.00 | 122000.00 |
| Music | 15151 | Mozart | 40000.00 | 40000.00 |
| Physics | 22222 | Einstein | 95000.00 | 182000.00 |
| Physics | 33456 | Gold | 87000.00 | 182000.00 |

Window
frame

$$\begin{aligned}\text{sum} &= 65000 + 2 \times 75000 + 92000 \\ &= 307000\end{aligned}$$

Window Frame without ORDER BY

```
SELECT dept_name, id, name, salary,  
sum(salary) OVER (PARTITION BY dept_name)  
FROM instructor;
```

| dept_name character varying (20) | id [PK] character varying (5) | name character varying (20) | salary numeric (8,2) | sum numeric |
|-------------------------------------|----------------------------------|--------------------------------|-------------------------|----------------|
| Biology | 76766 | Crick | 72000.00 | 72000.00 |
| Comp. Sci. | 32134 | Silver | 75000.00 | 307000.00 |
| Comp. Sci. | 45565 | Katz | 75000.00 | 307000.00 |
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| Comp. Sci. | 10101 | Srinivasan | 65000.00 | 307000.00 |
| Elec. Eng. | 98345 | Kim | 80000.00 | 80000.00 |
| Finance | 76543 | Singh | 80000.00 | 170000.00 |
| Finance | 12121 | Wu | 90000.00 | 170000.00 |
| History | 32343 | El Said | 60000.00 | 122000.00 |
| History | 58583 | Califieri | 62000.00 | 122000.00 |
| Music | 15151 | Mozart | 40000.00 | 40000.00 |
| Physics | 22222 | Einstein | 95000.00 | 182000.00 |
| Physics | 33456 | Gold | 87000.00 | 182000.00 |

Window
frame

$$\begin{aligned}\text{sum} &= 65000 + 2 \times 75000 + 92000 \\ &= 307000\end{aligned}$$

Window Frame without ORDER BY

```
SELECT dept_name, id, name, salary,
sum(salary) OVER (PARTITION BY dept_name)
FROM instructor;
```

| dept_name character varying (20) | id [PK] character varying (5) | name character varying (20) | salary numeric (8,2) | sum numeric |
|-------------------------------------|----------------------------------|--------------------------------|-------------------------|----------------|
| Biology | 76766 | Crick | 72000.00 | 72000.00 |
| Comp. Sci. | 32134 | Silver | 75000.00 | 307000.00 |
| Comp. Sci. | 45565 | Katz | 75000.00 | 307000.00 |
| Comp. Sci. | 83821 | Brandt | 92000.00 | 307000.00 |
| Comp. Sci. | 10101 | Srinivasan | 65000.00 | 307000.00 |
| Elec. Eng. | 98345 | Kim | 80000.00 | 80000.00 |
| Finance | 76543 | Singh | 80000.00 | 170000.00 |
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| History | 32343 | El Said | 60000.00 | 122000.00 |
| History | 58583 | Califieri | 62000.00 | 122000.00 |
| Music | 15151 | Mozart | 40000.00 | 40000.00 |
| Physics | 22222 | Einstein | 95000.00 | 182000.00 |
| Physics | 33456 | Gold | 87000.00 | 182000.00 |

Window
frame

$$\text{sum} = 65000 + 2 \times 75000 + 92000 \\ = 307000$$

current

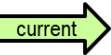
Window Frame without ORDER BY

```
SELECT dept_name, id, name, salary,  
sum(salary) OVER (PARTITION BY dept_name)  
FROM instructor;
```

| dept_name character varying (20) | id [PK] character varying (5) | name character varying (20) | salary numeric (8,2) | sum numeric |
|-------------------------------------|----------------------------------|--------------------------------|-------------------------|----------------|
| Biology | 76766 | Crick | 72000.00 | 72000.00 |
| Comp. Sci. | 32134 | Silver | 75000.00 | 307000.00 |
| Comp. Sci. | 45565 | Katz | 75000.00 | 307000.00 |
| Comp. Sci. | 83821 | Brandt | 92000.00 | 307000.00 |
| Comp. Sci. | 10101 | Srinivasan | 65000.00 | 307000.00 |
| Elec. Eng. | 98345 | Kim | 80000.00 | 80000.00 |
| Finance | 76543 | Singh | 80000.00 | 170000.00 |
| Finance | 12121 | Wu | 90000.00 | 170000.00 |
| History | 32343 | El Said | 60000.00 | 122000.00 |
| History | 58583 | Califieri | 62000.00 | 122000.00 |
| Music | 15151 | Mozart | 40000.00 | 40000.00 |
| Physics | 22222 | Einstein | 95000.00 | 182000.00 |
| Physics | 33456 | Gold | 87000.00 | 182000.00 |

Window
frame

$$\begin{aligned}\text{sum} &= 65000 + 2 \times 75000 + 92000 \\ &= 307000\end{aligned}$$



Window Frame with ORDER BY

```
SELECT dept_name, id, name, salary,
sum(salary) OVER (PARTITION BY dept_name ORDER BY salary)
FROM instructor;
```

| dept_name character varying (20) | id [PK] character varying (5) | name character varying (20) | salary numeric (8,2) | sum numeric |
|-------------------------------------|----------------------------------|--------------------------------|-------------------------|----------------|
| Biology | 76766 | Crick | 72000.00 | 72000.00 |
| Comp. Sci. | 10101 | Srinivasan | 65000.00 | 65000.00 |
| Comp. Sci. | 32134 | Silver | 75000.00 | 215000.00 |
| Comp. Sci. | 45565 | Katz | 75000.00 | 215000.00 |
| Comp. Sci. | 83821 | Brandt | 92000.00 | 307000.00 |
| Elec. Eng. | 98345 | Kim | 80000.00 | 80000.00 |
| Finance | 76543 | Singh | 80000.00 | 80000.00 |
| Finance | 12121 | Wu | 90000.00 | 170000.00 |
| History | 32343 | El Said | 60000.00 | 60000.00 |
| History | 58583 | Califieri | 62000.00 | 122000.00 |
| Music | 15151 | Mozart | 40000.00 | 40000.00 |
| Physics | 33456 | Gold | 87000.00 | 87000.00 |
| Physics | 22222 | Einstein | 95000.00 | 182000.00 |

current

Window
frame
sum = 65000

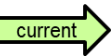
Window Frame with ORDER BY

```
SELECT dept_name, id, name, salary,
sum(salary) OVER (PARTITION BY dept_name ORDER BY salary)
FROM instructor;
```

| dept_name character varying (20) | id [PK] character varying (5) | name character varying (20) | salary numeric (8,2) | sum numeric |
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| Biology | 76766 | Crick | 72000.00 | 72000.00 |
| Comp. Sci. | 10101 | Srinivasan | 65000.00 | 65000.00 |
| Comp. Sci. | 32134 | Silver | 75000.00 | 215000.00 |
| Comp. Sci. | 45565 | Katz | 75000.00 | 215000.00 |
| Comp. Sci. | 83821 | Brandt | 92000.00 | 307000.00 |
| Elec. Eng. | 98345 | Kim | 80000.00 | 80000.00 |
| Finance | 76543 | Singh | 80000.00 | 80000.00 |
| Finance | 12121 | Wu | 90000.00 | 170000.00 |
| History | 32343 | El Said | 60000.00 | 60000.00 |
| History | 58583 | Califieri | 62000.00 | 122000.00 |
| Music | 15151 | Mozart | 40000.00 | 40000.00 |
| Physics | 33456 | Gold | 87000.00 | 87000.00 |
| Physics | 22222 | Einstein | 95000.00 | 182000.00 |

Window
frame

$$\begin{aligned} \text{sum} &= 65000 + 2 \times 75000 \\ &= 215000 \end{aligned}$$



Window Frame with ORDER BY

```
SELECT dept_name, id, name, salary,
sum(salary) OVER (PARTITION BY dept_name ORDER BY salary)
FROM instructor;
```

| dept_name character varying (20) | id [PK] character varying (5) | name character varying (20) | salary numeric (8,2) | sum numeric |
|-------------------------------------|----------------------------------|--------------------------------|-------------------------|----------------|
| Biology | 76766 | Crick | 72000.00 | 72000.00 |
| Comp. Sci. | 10101 | Srinivasan | 65000.00 | 65000.00 |
| Comp. Sci. | 32134 | Silver | 75000.00 | 215000.00 |
| Comp. Sci. | 45565 | Katz | 75000.00 | 215000.00 |
| Comp. Sci. | 83821 | Brandt | 92000.00 | 307000.00 |
| Elec. Eng. | 98345 | Kim | 80000.00 | 80000.00 |
| Finance | 76543 | Singh | 80000.00 | 80000.00 |
| Finance | 12121 | Wu | 90000.00 | 170000.00 |
| History | 32343 | El Said | 60000.00 | 60000.00 |
| History | 58583 | Califieri | 62000.00 | 122000.00 |
| Music | 15151 | Mozart | 40000.00 | 40000.00 |
| Physics | 33456 | Gold | 87000.00 | 87000.00 |
| Physics | 22222 | Einstein | 95000.00 | 182000.00 |

Window
frame

$$\begin{aligned} \text{sum} &= 65000 + 2 \times 75000 \\ &= 215000 \end{aligned}$$

current

Window Frame with ORDER BY

```
SELECT dept_name, id, name, salary,
sum(salary) OVER (PARTITION BY dept_name ORDER BY salary)
FROM instructor;
```

| dept_name character varying (20) | id [PK] character varying (5) | name character varying (20) | salary numeric (8,2) | sum numeric |
|-------------------------------------|----------------------------------|--------------------------------|-------------------------|----------------|
| Biology | 76766 | Crick | 72000.00 | 72000.00 |
| Comp. Sci. | 10101 | Srinivasan | 65000.00 | 65000.00 |
| Comp. Sci. | 32134 | Silver | 75000.00 | 215000.00 |
| Comp. Sci. | 45565 | Katz | 75000.00 | 215000.00 |
| Comp. Sci. | 83821 | Brandt | 92000.00 | 307000.00 |
| Elec. Eng. | 98345 | Kim | 80000.00 | 80000.00 |
| Finance | 76543 | Singh | 80000.00 | 80000.00 |
| Finance | 12121 | Wu | 90000.00 | 170000.00 |
| History | 32343 | El Said | 60000.00 | 60000.00 |
| History | 58583 | Califieri | 62000.00 | 122000.00 |
| Music | 15151 | Mozart | 40000.00 | 40000.00 |
| Physics | 33456 | Gold | 87000.00 | 87000.00 |
| Physics | 22222 | Einstein | 95000.00 | 182000.00 |

Window
frame

$$\text{sum} = 65000 + 2 \times 75000 + 92000 \\ = 307000$$

current

Window Frame

- You can also create your own window frame
- But out of our range...

current row →

| | | |
|---|------|------|
| 1 | 2015 | 7.00 |
| 2 | 2015 | 7.50 |
| 3 | 2015 | 8.00 |
| 4 | 2015 | 8.30 |
| 5 | 2015 | 8.50 |
| 6 | 2015 | 8.80 |
| 7 | 2015 | 9.00 |

current row →

| | | |
|---|------|------|
| 1 | 2015 | 7.00 |
| 2 | 2015 | 7.50 |
| 3 | 2015 | 8.00 |
| 4 | 2015 | 8.30 |
| 5 | 2015 | 8.50 |
| 6 | 2015 | 8.80 |
| 7 | 2015 | 9.00 |

current row →

| | | |
|---|------|------|
| 1 | 2015 | 7.00 |
| 2 | 2015 | 7.50 |
| 3 | 2015 | 8.00 |
| 4 | 2015 | 8.30 |
| 5 | 2015 | 8.50 |
| 6 | 2015 | 8.80 |
| 7 | 2015 | 9.00 |

← preceding row

← following row

Window Functions

- AVG(), MIN(), MAX(), SUM() and COUNT()
- ROW_NUMBER()
 - Number the current row within its partition starting from 1
- FIRST_VALUE()
 - Return a value evaluated against the first row within its partition
- LAST_VALUE()
 - Return a value evaluated against the last row within its partition
- NTH_VALUE()
 - Return a value evaluated against the nth row in an ordered partition
- RANK()
 - Rank the current row within its partition with gaps
- DENSE_RANK()
 - Rank the current row within its partition without gaps

Window Functions

- The ROW_NUMBER() function assigns a sequential number to each row in each partition

```
SELECT dept_name, id, name, salary,  
ROW_NUMBER() OVER (PARTITION BY dept_name ORDER BY salary)  
FROM instructor;
```

| dept_name character varying (20) | id [PK] character varying (5) | name character varying (20) | salary numeric (8,2) | row_number bigint |
|-------------------------------------|----------------------------------|--------------------------------|-------------------------|----------------------|
| Biology | 76766 | Crick | 72000.00 | 1 |
| Comp. Sci. | 10101 | Srinivasan | 65000.00 | 1 |
| Comp. Sci. | 32134 | Silver | 75000.00 | 2 |
| Comp. Sci. | 45565 | Katz | 75000.00 | 3 |
| Comp. Sci. | 83821 | Brandt | 92000.00 | 4 |
| Elec. Eng. | 98345 | Kim | 80000.00 | 1 |
| Finance | 76543 | Singh | 80000.00 | 1 |
| Finance | 12121 | Wu | 90000.00 | 2 |
| History | 32343 | El Said | 60000.00 | 1 |
| History | 58583 | Califieri | 62000.00 | 2 |
| Music | 15151 | Mozart | 40000.00 | 1 |
| Physics | 33456 | Gold | 87000.00 | 1 |
| Physics | 22222 | Einstein | 95000.00 | 2 |

Window Functions

```
SELECT dept_name, id, name, salary,
ROW_NUMBER() OVER (PARTITION BY dept_name)
FROM instructor;
```

| dept_name character varying (20) | id [PK] character varying (5) | name character varying (20) | salary numeric (8,2) | row_number bigint |
|-------------------------------------|----------------------------------|--------------------------------|-------------------------|----------------------|
| Biology | 76766 | Crick | 72000.00 | 1 |
| Comp. Sci. | 32134 | Silver | 75000.00 | 1 |
| Comp. Sci. | 45565 | Katz | 75000.00 | 2 |
| Comp. Sci. | 83821 | Brandt | 92000.00 | 3 |
| Comp. Sci. | 10101 | Srinivasan | 65000.00 | 4 |
| Elec. Eng. | 98345 | Kim | 80000.00 | 1 |
| Finance | 76543 | Singh | 80000.00 | 1 |
| Finance | 12121 | Wu | 90000.00 | 2 |
| History | 32343 | El Said | 60000.00 | 1 |
| History | 58583 | Califieri | 62000.00 | 2 |
| Music | 15151 | Mozart | 40000.00 | 1 |
| Physics | 22222 | Einstein | 95000.00 | 1 |
| Physics | 33456 | Gold | 87000.00 | 2 |

Window Functions

- FIRST_VALUE() function returns a value evaluated against the first row within its partition, whereas the LAST_VALUE() function returns a value evaluated against the last row in its partition
- The following statement uses the FIRST_VALUE() to return the lowest price for every product group

```
SELECT dept_name, id, name, salary,  
FIRST_VALUE(salary) OVER (PARTITION BY dept_name ORDER BY salary)  
FROM instructor;
```

| dept_name character varying (20) | id [PK] character varying (5) | name character varying (20) | salary numeric (8,2) | first_value numeric |
|-------------------------------------|----------------------------------|--------------------------------|-------------------------|------------------------|
| Biology | 76766 | Crick | 72000.00 | 72000.00 |
| Comp. Sci. | 10101 | Srinivasan | 65000.00 | 65000.00 |
| Comp. Sci. | 32134 | Silver | 75000.00 | 65000.00 |
| Comp. Sci. | 45565 | Katz | 75000.00 | 65000.00 |
| Comp. Sci. | 83821 | Brandt | 92000.00 | 65000.00 |
| Elec. Eng. | 98345 | Kim | 80000.00 | 80000.00 |
| Finance | 76543 | Singh | 80000.00 | 80000.00 |
| Finance | 12121 | Wu | 90000.00 | 80000.00 |
| History | 32343 | El Said | 60000.00 | 60000.00 |
| History | 58583 | Califieri | 62000.00 | 60000.00 |
| Music | 15151 | Mozart | 40000.00 | 40000.00 |
| Physics | 33456 | Gold | 87000.00 | 87000.00 |
| Physics | 22222 | Einstein | 95000.00 | 87000.00 |

Window Functions

```
SELECT dept_name, id, name, salary,
FIRST_VALUE(salary) OVER (PARTITION BY dept_name)
FROM instructor;
```

| dept_name character varying (20) | id [PK] character varying (5) | name character varying (20) | salary numeric (8,2) | first_value numeric |
|-------------------------------------|----------------------------------|--------------------------------|-------------------------|------------------------|
| Biology | 76766 | Crick | 72000.00 | 72000.00 |
| Comp. Sci. | 32134 | Silver | 75000.00 | 75000.00 |
| Comp. Sci. | 45565 | Katz | 75000.00 | 75000.00 |
| Comp. Sci. | 83821 | Brandt | 92000.00 | 75000.00 |
| Comp. Sci. | 10101 | Srinivasan | 65000.00 | 75000.00 |
| Elec. Eng. | 98345 | Kim | 80000.00 | 80000.00 |
| Finance | 76543 | Singh | 80000.00 | 80000.00 |
| Finance | 12121 | Wu | 90000.00 | 80000.00 |
| History | 32343 | El Said | 60000.00 | 60000.00 |
| History | 58583 | Califieri | 62000.00 | 60000.00 |
| Music | 15151 | Mozart | 40000.00 | 40000.00 |
| Physics | 22222 | Einstein | 95000.00 | 95000.00 |
| Physics | 33456 | Gold | 87000.00 | 95000.00 |

Window Functions

- RANK() function assigns a rank to each row within an ordered partition

```
SELECT dept_name, id, name, salary,  
RANK() OVER (PARTITION BY dept_name ORDER BY salary)  
FROM instructor;
```

| dept_name character varying (20) | id [PK] character varying (5) | name character varying (20) | salary numeric (8,2) | rank bigint |
|-------------------------------------|----------------------------------|--------------------------------|-------------------------|----------------|
| Biology | 76766 | Crick | 72000.00 | 1 |
| Comp. Sci. | 10101 | Srinivasan | 65000.00 | 1 |
| Comp. Sci. | 32134 | Silver | 75000.00 | 2 |
| Comp. Sci. | 45565 | Katz | 75000.00 | 2 |
| Comp. Sci. | 83821 | Brandt | 92000.00 | 4 |
| Elec. Eng. | 98345 | Kim | 80000.00 | 1 |
| Finance | 76543 | Singh | 80000.00 | 1 |
| Finance | 12121 | Wu | 90000.00 | 2 |
| History | 32343 | El Said | 60000.00 | 1 |
| History | 58583 | Califieri | 62000.00 | 2 |
| Music | 15151 | Mozart | 40000.00 | 1 |
| Physics | 33456 | Gold | 87000.00 | 1 |
| Physics | 22222 | Einstein | 95000.00 | 2 |

Window Functions

```
SELECT dept_name, id, name, salary,
RANK() OVER (PARTITION BY dept_name)
FROM instructor;
```

| dept_name character varying (20) | id [PK] character varying (5) | name character varying (20) | salary numeric (8,2) | rank bigint |
|-------------------------------------|----------------------------------|--------------------------------|-------------------------|----------------|
| Biology | 76766 | Crick | 72000.00 | 1 |
| Comp. Sci. | 32134 | Silver | 75000.00 | 1 |
| Comp. Sci. | 45565 | Katz | 75000.00 | 1 |
| Comp. Sci. | 83821 | Brandt | 92000.00 | 1 |
| Comp. Sci. | 10101 | Srinivasan | 65000.00 | 1 |
| Elec. Eng. | 98345 | Kim | 80000.00 | 1 |
| Finance | 76543 | Singh | 80000.00 | 1 |
| Finance | 12121 | Wu | 90000.00 | 1 |
| History | 32343 | El Said | 60000.00 | 1 |
| History | 58583 | Califieri | 62000.00 | 1 |
| Music | 15151 | Mozart | 40000.00 | 1 |
| Physics | 22222 | Einstein | 95000.00 | 1 |
| Physics | 33456 | Gold | 87000.00 | 1 |

Window Functions

- DENSE_RANK() function assigns a rank to each row within an ordered partition, but the ranks have no gap. In other words, the same ranks are assigned to multiple rows and no ranks are skipped

```
SELECT dept_name, id, name, salary,  
DENSE_RANK() OVER (PARTITION BY dept_name ORDER BY salary)  
FROM instructor;
```

| dept_name character varying (20) | id [PK] character varying (5) | name character varying (20) | salary numeric (8,2) | dense_rank bigint |
|-------------------------------------|----------------------------------|--------------------------------|-------------------------|----------------------|
| Biology | 76766 | Crick | 72000.00 | 1 |
| Comp. Sci. | 10101 | Srinivasan | 65000.00 | 1 |
| Comp. Sci. | 32134 | Silver | 75000.00 | 2 |
| Comp. Sci. | 45565 | Katz | 75000.00 | 2 |
| Comp. Sci. | 83821 | Brandt | 92000.00 | 3 |
| Elec. Eng. | 98345 | Kim | 80000.00 | 1 |
| Finance | 76543 | Singh | 80000.00 | 1 |
| Finance | 12121 | Wu | 90000.00 | 2 |
| History | 32343 | El Said | 60000.00 | 1 |
| History | 58583 | Califieri | 62000.00 | 2 |
| Music | 15151 | Mozart | 40000.00 | 1 |
| Physics | 33456 | Gold | 87000.00 | 1 |
| Physics | 22222 | Einstein | 95000.00 | 2 |

Other Window Functions

- <http://www.postgresqltutorial.com/postgresql-window-function/>

| Name | Description |
|-------------|---|
| CUME_DIST | Return the relative rank of the current row. |
| DENSE_RANK | Rank the current row within its partition without gaps. |
| FIRST_VALUE | Return a value evaluated against the first row within its partition. |
| LAG | Return a value evaluated at the row that is at a specified physical offset row before the current row within the partition. |
| LAST_VALUE | Return a value evaluated against the last row within its partition. |
| LEAD | Return a value evaluated at the row that is of set rows after the current row within the partition. |
| NTILE | Divide rows in a partition as equally as possible and assign each row an integer starting from 1 to the argument value. |
| NTH_VALUE | Return a value evaluated against the nth row in an ordered partition. |