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Highlights

- New computational approach for predicting succinylation sites.
- Remarkable transformation of the position specific scoring matrix into bigram.
- Evolutionary information collected in bigram probabilities for accurate prediction.



PSSM-Suc: Accurately predicting succinylation using position specific scoring matrix into bigram for feature extraction

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Abstract

Post-Transcriptional Modification (PTM) is a process which contributes to diversify the proteome. Despite many recently reported PTMs with essential roles in the cellular functioning, lysine succinylation has emerged as a subject of particular interest. The

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experimental identification of succinylated lysines remains a costly and time-consuming process. As a result, computational predictors have been proposed recently for tackling this important issue. However, the performance of predictors is still very limited. In this paper, we propose a new predictor called PSSM-Suc which employs evolutionary information of amino acids for predicting succinylated lysine residues. Here we described each lysine residue through its profile bigrams which are extracted from position specific scoring matrices. We compare the performance of PSSM-Suc with other existing predictors using a widely used benchmark from PTM databases. PSSM-Suc showed a significant improvement in performance over previous predictors. Its sensitivity, accuracy and Matthews correlation coefficient were 0.8159, 0.8199 and 0.6396, respectively.

Keywords: protein sequences, amino acids, succinylation prediction.

Introduction

The chemical modification of proteins after being translated in the ribosome constitutes a relevant biological mechanism in the cell (Walsh et al., 2005; Xu and Chou, 2016). This modification implies the covalent addition of functional groups to the amino acids of proteins. A long list of post-translational modifications (PTM), which extends from methylation (Qiu et al., 2014) and ubiquitination (Qiu et al., 2015) to acetylation (Hou et al., 2014), has been unraveled. These PTMs have active roles in cellular activity making post-translational networks more complex entities. Recently, the scientific community has focused its attention on another PTM, which was first detected by mass spectrometry (Jensen, 2004) and protein sequence alignment, called succinylation (Weinert et al., 2013; Zhang et al., 2011). Succinylation contributes to important changes in the structure and function of proteins (Zhang et al., 2011). Succinylated enzymes have been reported to be involved in mitochondria and fatty acid metabolism (Park et al., 2013) whereas succinylated histones have shown possible roles in chromatin function (Xie et al., 2012).

The detection of succinylation sites has become a challenging task in order to better understand the succinylation mechanism. However, experimental detection has proved

to be extremely costly, inefficient and consume a considerable amount of time. Therefore, computational tools able to accurately identify succinylation sites have been thought as an absolute necessity. Some of the predictors proposed so far use the composition of amino acids of proteins. iSuc-PseAAC used a support vector machine as a classifier and the peptide position-specific propensity along the pseudo amino acid composition (Xu et al., 2015b) as features. Likewise, iSuc-PseOpt (Jia et al., 2016b) and pSuc-Lys (Jia et al., 2016a) incorporated sequence-coupling effects into the amino acid composition. They further employed treatments such as the k-nearest neighbors cleaning and inserted hypothetical training samples for class imbalance. The former predictor (iSuc-PseOpt) used a random forest algorithm (Jia et al., 2016b) as a classifier, whereas the later predictor (pSuc-Lys) employed an ensemble of random forest classifiers (Jia et al., 2016a). SucPred designed a learning algorithm with only positive and unlabeled samples (Zhao et al., 2015), whereas SuccinSite provided a random forest classifier with three encoding schemes: k-spaced amino acid pairs, binary scoring and amino acid index properties for prediction (Hasan et al., 2016). SuccFind, on the other hand, used the information of evolution-derived sequences and an improved feature strategy for optimization purposes (Xu et al., 2015a). A previous study identified homologous succinylated proteins in several species and conserved orthologs for some proteins, highlighting the possible importance of evolutionary features (Zhen et al., 2016).

Despite the availability of several predictors, performance in detecting succinylated lysine residues remains a serious issue. Therefore, a better approach capable of using relevant characteristics of amino acids useful for discrimination information are still required. From the aforementioned predictors, only SuccFind incorporated evolutionary information, but poor sensitivity was achieved. In this paper, we propose a new predictor named as PSSM-Suc (Position Specific Scoring Matrix into bigram for Succinylation prediction), which efficiently utilizes evolutionary features for predicting succinylated lysines. In PSSM-Suc, the position-specific scoring matrix (PSSM) was computed for each protein. A segment comprising 15 amino acids upstream and downstream corresponding to each lysine residue was considered for feature extraction.

Thereafter, profile bigram (Sharma et al., 2013) on this segment was computed which is used to describe the features of lysine residue. Because there is no information available from the strict knowledge of primary sequences, PSSM-Suc was aimed at obtaining such information by analyzing each protein sequence. Hereafter, the concept of information related to succinylation sites refers to the analysis of sequences by the proposed predictor.

For experimentation, we used a benchmark dataset consisting of 670 proteins (<u>Liu et al., 2011</u>; <u>Liu et al., 2014</u>). This dataset has very large number of non-succinylated lysine residues compared to succinylated lysine residues. In order to reduce this imbalance, we used the k-nearest neighbors cleaning treatment (<u>Jia et al., 2016b</u>). Furthermore, a pruned decision tree was built for succinylation prediction. When compared with other existing predictors (<u>Hasan et al., 2016</u>; <u>Jia et al., 2016a</u>; <u>Jia et al., 2016b</u>), PSSM-Suc showed a significantly enhanced performance. It was able to successfully predict succinylation residues with 0.8159 sensitivity, 0.8199 accuracy and 0.6396 Matthews correlation coefficient. A result that to the best of our knowledge has not been achieved by any available predictor in the literature.

Materials and Methods

This study describes a novel predictor named PSSM-Suc which considers the PSSM of a protein along with the profile bigram (Sharma et al., 2013) of amino acids around lysines for predicting succinylated and non-succinylated lysine residues. The subsequent sections detail the benchmark that is used in this study and how the profile bigram (Sharma et al., 2013) is computed from PSSM for a segment of amino acids corresponding to lysine residue. Moreover, the design of decision tree for succinylation prediction is discussed.

Benchmark Dataset

The benchmark dataset used in this study was downloaded from two PTM databases (<u>Liu et al., 2011</u>; <u>Liu et al., 2014</u>). It consists of 670 protein sequences with annotated

(succinylated and non-succinylated) lysine residues. We used all the protein sequences for computing the sequence identity of the dataset. To do this, we made use of the cdhit program (<u>Li and Godzik, 2006</u>), which reported a maximum sequence identity of 48%. Moreover, 99% of the succinylation sites were located in proteins <40% similar. We further analyzed each sequence and retrieved its succinylated and non-succinylated lysines. As a result, 1,782 succinylation sites (positive set) and 18,344 non-succinylation sites (negative set) were obtained.

Evolutionary Information via Position Specific Scoring Matrix

PSSM provides information about the substitution probability of a given amino acid. based on its specific position along the protein sequence, with all 20 amino acids of the genetic code. To calculate these probabilities, PSIBLAST aligns a given protein with similar proteins in the protein data bank (Berman et al., 2000). We used all the protein sequences in our benchmark dataset to compute their respective PSSM, which was calculated as the output of PSIBLAST (Altschul et al., 1997). For each protein, PSSM produces two $L \times 20$ matrices where L represents the protein length and the columns indicate the 20 amino acids of the genetic code. One matrix is the log-odds whereas the other is the linear probability of amino acids. The substitution probability for a given amino acid was computed by normalizing the log-odds of its substitution scores across all the 20 amino acids of the genetic code. A linear model was used for converting the log-odds of the substitution scores to substitution probabilities (Bhagwat and Aravind, 2007). To produce PSSM, we run PSI-BLAST (version 2.3.0+) on non-redundant protein data bank (as of April 2016) (Berman et al., 2000) with a cut-off value (E) of 0.001 in three iterations. As a substitution matrix for generating the PSSM we used BLOSUM62. PSSM has been shown as an important feature in a wide range of problems, with promising results when compared to other protein features. Indeed, it has been the best feature to produce relevant evolutionary information (Dehzangi et al., 2014; Faraggi et al., 2012; Heffernan et al., 2015; McGuffin et al., 2000; Taherzadeh et al., 2016a; Taherzadeh et al., 2016b). Out of the two previous matrices we used the linear probabilities of amino acids.

Feature extraction method

The PSSM forms the basis to characterize its succinylation and non-succinylation sites of proteins. Each lysine residue K was described based on its surrounding 15 downstream and 15 upstream amino acids (Fig. 1A). If one lysine residue was located near the N- or C-terminus of a protein and does not contain enough amino acids (either left or right), we considered the mirror effect for dealing with absent amino acids (Jia et al., 2016b) (Fig. 1B). This approach has been studied and used in previous works (Jia et al., 2016a; Jia et al., 2016b). These studies considered different numbers of amino acids around lysines and concluded that the most promising residue window consists of 15 residues upstream and downstream of the lysine. We further performed a similar analysis by regarding distinct windows and drew the same conclusions (Supplementary material 1). Although the use of mirror images for lysines might not be the correct way to tackle this problem, so far it is the best alternative to tackle this problem. For example, let us consider the sequence segment *S* which describes a lysine residue as

$$S = \{R_{-15}, R_{-14}, \dots, R_{-2}, R_{-1}, K, R_1, R_2, \dots, R_{14}, R_{15}\}$$
 (1)

and consists of 15 amino acids left and 15 amino acids right to the lysine K. Each R_{-i} ($1 \le i \le 15$) indicates an amino acid upstream of the lysine and R_i ($1 \le i \le 15$) represents an amino acid downstream of it. As Eq. (1) shows there are 31 amino acids (including the lysine K) which represent one lysine residue. Thus, the complete sequence segment S describing a lysine belongs to either of two classes ($c = \{0,1\}$). If the represented lysine is succinylated then c = 1, but if the lysine is not succinylated then c = 0. Each segment S was represented by a vector of values from the PSSM. The PSSM was transformed to a frequency vector with bigram (Sharma et al., 2013) (or PSSM + bigram) for representing each segment. The transformation PSSM + bigram results in a 20×20 matrix and every segment S of 31 amino acids was thus described by $400 \ (20 \times 20 \ \text{matrix})$ evolutionary features. This feature vector was used to capture the evolutionary information related to each lysine residue and its neighboring amino acids in the segment S.

The method of computing the PSSM using bigram and how PSSM + bigram was used to represent each lysine residue is explained below. The PSSM of each protein sequence is a matrix M of size $L \times 20$, where L is the length of protein and the columns represent the 20 different amino acids of the genetic code. Each element m_{ij} of matrix M represents the transitional probability of j-th amino acid at i-th location within the protein sequence. The sequence segment S (from Eq. (1)) is then described as a 31×20 feature matrix. For each amino acid in the protein sequence PSSM produces the substitution probabilities of the 20 amino acids. Thus, matrix M is processed as a profile bigram (Sharma et al., 2013) by

$$B_{p,q} = \sum_{k=1}^{30} m_{k,p} m_{k+1,q}$$
 where $1 \le p \le 20$ and $1 \le q \le 20$ (2)

and returns 400 frequencies of $B_{p,q}$ (p=1,2,...,20 and q=1,2,...,20) for 400 bigram transitions. Let us define a bigram occurrence matrix B which will contain all the frequencies $B_{p,q}$. We used profile bigram because of its promising results in protein analysis problems (<u>Dehzangi et al., 2015a; Dehzangi et al., 2015b; Paliwal et al., 2014; Sharma et al., 2013; Sharma et al., 2015</u>). The bigram of PSSM (PSSM + bigram) matrix B was finally converted into a feature vector F of 400 elements as

$$F = [B_{11}, \dots, B_{ij}, \dots, B_{20,20}]^T$$
 for $i = 1, 2, \dots, 20$ and $j = 1, 2, \dots, 20$ (3)

where transpose is denoted by superscript T.

Each lysine residue (segment S) was defined by a 400-dimensional vector of evolutionary features. This information was computed for each lysine residue in our benchmark dataset and one matrix of 1,782 (c=1) and 18,344 (c=0) samples was created. This matrix was further processed for class imbalance (see section "Reducing the imbalance between classes") and used for training a pruned decision tree. One advantage of bigram feature extraction technique is that it is a window-size independent method. In other words, it extracts 400-dimensional feature vector

regardless of the window size adopted around a given amino acid. It was shown that using large window size can potentially provide us with more local discriminatory information for a given amino acid with respect to its neighboring residues (<u>Jia et al.</u>, <u>2016a</u>; <u>Jia et al.</u>, <u>2016b</u>). Therefore, using bigram enables us to increase the window size around a given amino acid without increasing the number of features. That is why we can increase the window size to 15 without increasing the number of features instead of using smaller window sizes.

Pruned Decision Tree

Decision trees are non-parametric methods that create logical diagrams in a similar way to rule-based prediction algorithms. They can predict the class of an object by learning specific rules. Despite disadvantages such as the creation of biased models, they require little data and efficiently handle numerical and categorical information. This study uses the C4.5 algorithm which can deal with continuous and incomplete data while minimize overfitting through pruning (Quinlan, 1992). C4.5 algorithm uses gain ratios to build the decision tree. For instance, the gain of the training set *Q* after splitting on feature *F* is computed by

$$G(Q,F) = E(Q) - \sum_{i=1}^{n} P(F_i) E(Q_{F_i})$$
(4)

where n is the number of all the different values of feature F, $P(F_i)$ is the frequency of samples with F_i value and $E(Q_{F_i})$ represents the subset of samples with F_i value. E(Q) represents the information entropy of Q, which is calculated as follows

$$E(Q) = \sum_{i=1}^{2} -P(C_i) * \log_2 P(C_i)$$
 (5)

where $P(C_j)$ indicates the frequency of class C_j .

We made use of the WEKA toolbox for creating a pruned decision tree (<u>Hall et al.</u>, 2009). Pruning is an important technique aimed at reducing the size of decision trees. It

eliminates those parts of the tree which do not significantly contribute to the classification of objects. By using pruning, we were able to reduce the complexity of our decision tree and improve the prediction accuracy by minimizing overfitting. It is worth mentioning that complexity here refers to the behavior of the pruned decision tree when entropy information becomes negative. The confidence cut-off for pruning was set at 0.25 and at least two instances per leaf were considered. Only binary splits were allowed and the MDL correction for information gain on numeric attributes was not enabled.

Statistical measures

One of the most important measures is sensitivity which assesses the proportion of succinylated lysine residues correctly classified by the predictor. A high sensitivity indicates that the predictor can accurately detect those positive instances (succinylated residues) in the dataset. In other words, a sensitivity equals to 1 reflects an accurate predictor whereas a value of 0 points to an inaccurate one. This metric is defined as

$$Sensitivity = \frac{SL_{+}}{SL_{+} + SL_{-}}$$
 (6)

where SL_+ represents the number of correctly predicted succinylated lysines and SL_- indicates the number of succinylated lysines incorrectly classified by the predictor.

Specificity, on the other hand, evaluates the proportion of correctly classified nonsuccinylated lysine residues. Likewise, a numeric value equals to 0 indicates a predictor unable to classify the negative instances (non-succinylated residues) while a specificity of 1 shows an accurate predictor capable of predicting such negative samples in the dataset. Specificity is calculated as

$$Specificity = \frac{NSL_{+}}{NSL_{+} + NSL_{-}}$$
 (7)

where NSL_{+} is the number of non-succinylated lysines correctly predicted as such and NSL_{-} represents the number of incorrectly predicted non-succinylated lysine residues.

The ability of any predictor to differentiate succinylated lysines from non-succinylated ones is also assessed by the accuracy measure. One predictor with zero accuracy is totally inaccurate whereas any accurate predictor would show an accuracy of 1. This measure is defined as

$$Accuracy = \frac{SL_{+} + NSL_{+}}{SL + NSL}$$
 (8)

where *SL* and *NSL* are the total numbers of succinylated and non-succinylated lysine residues, respectively.

The last statistical measure is the Matthews correlation coefficient (MCC). MCC is often used for binary classification and can be used when both classes have different sizes. It results in a correlation coefficient between predicted and observed instances. A predictor with MCC equals to 1 shows perfect correlation between prediction and observation whereas one with -1 MCC does not show any agreement whatsoever. This metric is defined by

$$MCC = \frac{(NSL_{+} \times SL_{+}) - (NSL_{-} \times SL_{-})}{\sqrt{(SL_{+} + SL_{-})(SL_{+} + NSL_{-})(NSL_{+} + SL_{-})(NSL_{+} + NSL_{-})}}$$
(9)

The best predictor should be able to achieve the highest performance in the four statistical measures. However, its performance in at least some of the measures should be higher than that of available predictors. A predictor with low sensitivity is unable to accurately predict succinylation sites and therefore cannot be used for succinylation prediction.

Validation scheme

Any new predictor also needs a validation method in order to assess its effectiveness. In the literature, there are several validation techniques available but the two most common ones are the *n*-fold cross-validation scheme and the jackknife (Alpaydin, 2014; Chou and Shen, 2008). In these cases, an independent test set is always used for assessment. Jackknife resampling model is less arbitrary than cross-validation and provides unique outcomes for a dataset (Hajisharifi et al., 2014). By following the same validation scheme of similar studies (Jia et al., 2016b; Xu et al., 2015b) we use the *n*-fold cross-validation technique in this study.

The *n*-fold cross-validation scheme was conducted as follows,

- 1. Split data samples into complementary segments (*n* subsets of approximately equal size).
- 2. Use n-1 subsets for training and the remaining subset for validation.
- 3. Adjust the predictor parameters with the n-1 subsets.
- 4. Compute the statistical measures on the validation subset.
- 5. Repeat steps 1 to 4 n times and compute the average of each statistical measure.

In this study, we conducted three (6-, 8- and 10-fold) cross-validation schemes for assessing our predictor PSSM-Suc.

Results and Discussion

Each proposed predictor requires to be rigorously assessed in order to gauge how well it performs. For this purpose, we used four statistical metrics: sensitivity, specificity, accuracy and Matthews correlation coefficient (Chen et al., 2015; Dehzangi et al., 2015a; Ding et al., 2014; Liu et al., 2015a; Liu et al., 2015b; Xiao et al., 2015), which have been widely used in the literature. The subsequent sections describe the treatment of the imbalance between classes and the classification results of the pruned decision tree. The overall performance of PSSM-Suc in predicting succinylation residues is also discussed for the above four metrics.

Reducing the imbalance between classes

We analyzed the protein sequences of our benchmark dataset, and ended up with a number of succinvlation sites (positive set) much smaller than that of non-succinvlation sites (negative set). This difference brings forward a high imbalance between classes, which could lead to completely biased classification results. Reducing the imbalance between classes is a crucial step in machine learning studies. It allows us to remove those redundant instances before reaching the classification stage. For dealing with such imbalance we employed the k-nearest neighbor technique which is very popular in pattern recognition and was reintroduced for protein attribute prediction by Chou (2011). In order to balance both classes, we removed redundant negative samples by using the k-nearest neighbors cleaning treatment (Jia et al., 2016b). We first computed the Euclidean distance between all the samples in the benchmark dataset. An initial cut-off was calculated by dividing the number of negative instances and positive instances. For example, the negative set consisted of 18,344 samples and the positive set comprised 1,782 samples which results in a ratio of 10.29. Thus, k = 10 was initially used for reducing class imbalance. In other words, we eliminated a negative sample as long as one of its 10 nearest neighbors (based on the Euclidean distance between the negative sample and others in the entire dataset) is a positive sample. After this first filtering, the imbalance between classes remained so that we further computed new thresholds by multiplying the initial cut-off (k = 10) with different integers and repeatedly used the resulting cut-offs until both sets were almost similar in size. The above procedure reduced the initial 18,344 negative samples to 1,643 with a threshold of 80 (negative samples were removed when at least one positive sample was part of its 80 nearest neighbors). It is worth noting that the positive instances, which could affect the predictor sensitivity, are not affected and remained as 1,782. Both sets were then employed to carry out *n*-fold cross-validation and assess the predictor performance.

PSSM-Suc versus available predictors

Our predictor PSSM-Suc was compared with three recently proposed predictors: iSuc-PseOpt (Jia et al., 2016b), SuccinSite (Hasan et al., 2016) and pSuc-Lys (Jia et al., 2016a). These three methods provided trained web servers which can be easily used for predicting unknown succinylation sites. For comparison purposes, we manually pasted our protein sequences on each of these web servers. We then analyzed the prediction results to evaluate each predictor performance with the same dataset used for training our decision tree. It is worth noting that the web servers were previously trained with some of the same protein sequences utilized for performance assessment. In addition, due to the fact that the web servers were already trained we could just calculate performance on the validation set (only those test sets produced by the cross-validation scheme). Consequently, the area under the curve (AUC) could not be computed for iSuc-PseOpt (Jia et al., 2016b), SuccinSite (Hasan et al., 2016) and pSuc-Lys (Jia et al., 2016a) predictors. On the contrary, we calculated the AUC of PSSM-Suc for each of the three cross-validation trials (6-, 8- and 10-fold).

Table 1 shows the comparison of predictors iSuc-PseOpt (<u>Jia et al., 2016b</u>), SuccinSite (<u>Hasan et al., 2016</u>) and pSuc-Lys (<u>Jia et al., 2016a</u>) with PSSM-Suc. It is clearly noticeable that an improvement in performance for PSSM-Suc over iSuc-PseOpt (<u>Jia et al., 2016b</u>), SuccinSite (<u>Hasan et al., 2016</u>) and pSuc-Lys (<u>Jia et al., 2016a</u>) on sensitivity, accuracy and MCC. These three metrics (sensitivity, accuracy and MCC) significantly improved by 32.7%, 13.64% and 36.0%, respectively. This is a substantial improvement over three benchmark predictors. Though SuccinSite (<u>Hasan et al., 2016</u>) achieved high specificity (0.9057), its ability to correctly predict succinylated lysines dropped to 0.3019 sensitivity. In other words, about 70% of succinylation residues are not detected.

Table 1: Performance of three benchmark predictors and PSSM-Suc. The highest values in each metric are highlighted in bold.

| Predictor | Sensitivity | Specificity | Accuracy | MCC |
|--|-------------|-------------|----------|--------|
| iSuc-PseOpt (<u>Jia et al., 2016b</u>) | 0.615 | 0.7888 | 0.6984 | 0.4086 |
| SuccinSite (Hasan et al., 2016) | 0.3019 | 0.9057 | 0.5915 | 0.2581 |
| pSuc-Lys (<u>Jia et al., 2016a</u>) | 0.587 | 0.8673 | 0.7215 | 0.4703 |
| PSSM-Suc (6-fold cross-validation) | 0.8036 | 0.8058 | 0.8047 | 0.609 |
| PSSM-Suc (8-fold cross-validation) | 0.8086 | 0.804 | 0.8064 | 0.6124 |
| PSSM-Suc (10-fold cross-validation) | 0.8159 | 0.8241 | 0.8199 | 0.6396 |

The AUC of PSSM-Suc for 6-, 8- and 10-fold cross-validations was recorded at 0.784, 0.794 and 0.817, respectively.

We further checked whether the mirroring approach also proves true for this study. To do this, we created an independent training set in which the mirroring of lysines was not regarded and trained the decision tree. As a result, the performance of the PSSM-Suc predictor did not improve for any of the assessed metrics (Supplementary material 2). This further indicates that the use of a mirror image might somehow help to keep information necessary for classification.

These promising results clearly illustrate the ability of PSSM-Suc to correctly predict succinylated and non-succinylated lysine residues. This is because PSSM-Suc is effectively using important evolutionary information hidden in protein sequences. Such information is stored in the PSSM of each amino acid segment around lysines, which when converted to one matrix of bigram occurrences seems to be an essential characteristic for detecting modified lysines. Additionally, pruned decision trees and its efficient use of data also contributes to improved outcomes. In summary, the combination of PSSM + bigram somehow appears to reveal important evolutionary information around lysine residues, which could be used to predict which lysines are succinylated and which are not.

Our decision tree script and feature matrix used for training can be accessed at https://github.com/YosvanyLopez/SucEvol. Further information can be provided upon request.

Conclusions

This paper describes a new predictor coined PSSM-Suc, which uses an efficient combination of PSSM + bigram for succinylation prediction. The evolutionary information hidden in PSSMs and transformed to bigram occurrences proves to be an important feature to be taken into consideration. The k-nearest neighbors cleaning treatment can be used to further remove redundant samples and balance the dataset. One fairly balanced dataset along with a pruned decision tree appeared to significantly improve PSSM-Suc performance over available benchmark predictors. In the future, we intend to further explore the use of a 31-residue window for describing lysine residues.

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FIGURES

Fig. 1. Illustration of how the amino acids surrounding each lysine were taken into consideration. (A) lysine residue with 15 upstream and downstream amino acids. (B) lysine residue with missing downstream amino acids.

