

# CS 180 Discussion 1A/E

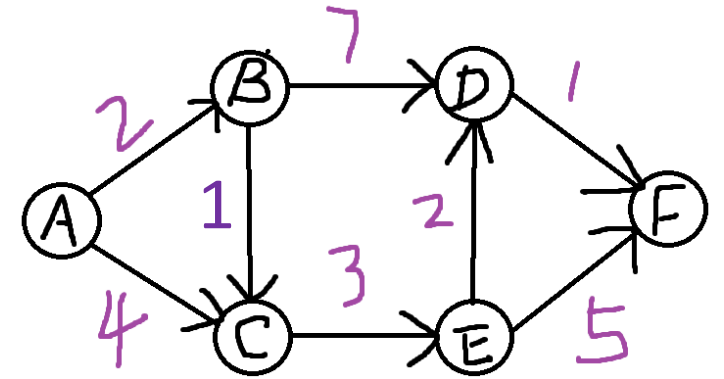
Week 4

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10/27/2023

# Shortest path

- Problem:
  - Directed/Undirected graph, with non-neg edges
  - Given node S, find shortest paths from S to all other nodes
- Algorithm: Dijkstra's algorithm
  - Initialization:
    - $X: \{s\}$
    - $d[u] = \begin{cases} l(s, u), & \text{if } (s, u) \in E \\ \infty, & \text{otherwise} \end{cases}$
  - For  $i=1, \dots, n-1$ 
    - Select  $u$  s.t.  $d[u]$  is the min among  $V-X$
    - $X = X + \{u\}$
    - For each  $v$  s.t.  $(u, v) \in E$ :
      - If  $d[u] + l(u, v) < d[v]$ :
        - $d[v] = d[u] + l(u, v)$
        - $\text{pre}[v] = u$

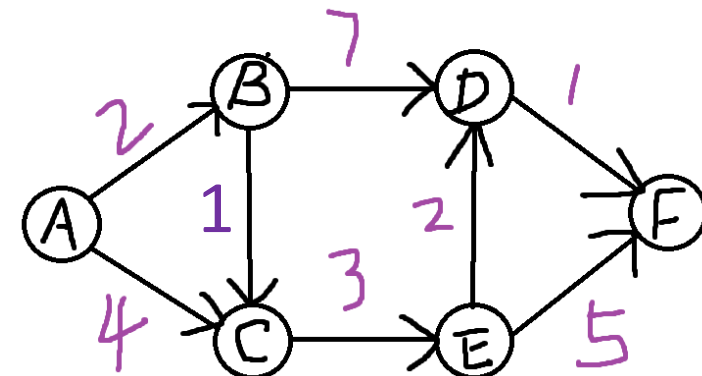


- Great instruction:
  - <https://www.youtube.com/watch?v=CerlT7tTZfY>

# Shortest path

- Initialization:
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    - If  $d[u] + l(u, v) < d[v]$ :
      - $d[v] = d[u] + l(u, v)$
      - $pre[v] = u$
- Time complexity using Heap?
  - $O((m+n)\log n) \rightarrow O(m\log n)$

Order $i$		$X = \{A\}$	$X = \{A, B\}$	$X = \{A, B, C\}$	$X = \{A, B, C, E\}$	$X = \{A, B, C, E, D\}$	$X = \{A, B, C, E, D, F\}$
0	$d[A]$	0					
1	$d[B]$	2					
2	$d[C]$	4	3				
4	$d[D]$	$\infty$	9	9	8		
3	$d[E]$	$\infty$	$\infty$	6			
5	$d[F]$	$\infty$	$\infty$	$\infty$	11	9	

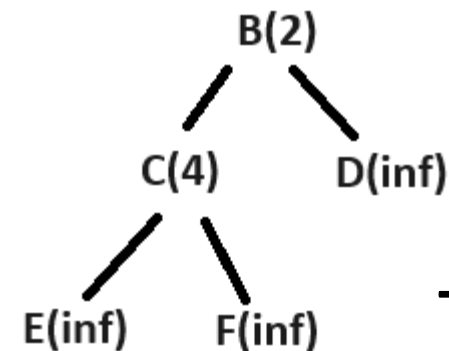


# Shortest path

- Initialization:
  - $X: \{s\}$
  - $d[u] = \begin{cases} l(s, u), & \text{if } (s, u) \in E \\ \infty, & \text{otherwise} \end{cases}$
- For  $i=1, \dots, n-1$ 
  - Select  $u$  s.t.  $d[u]$  is the min among  $V-X$
  - $X = X + \{u\}$
  - For each  $v$  s.t.  $(u, v) \in E$ :
    - If  $d[u] + l(u, v) < d[v]$ :
      - $d[v] = d[u] + l(u, v)$
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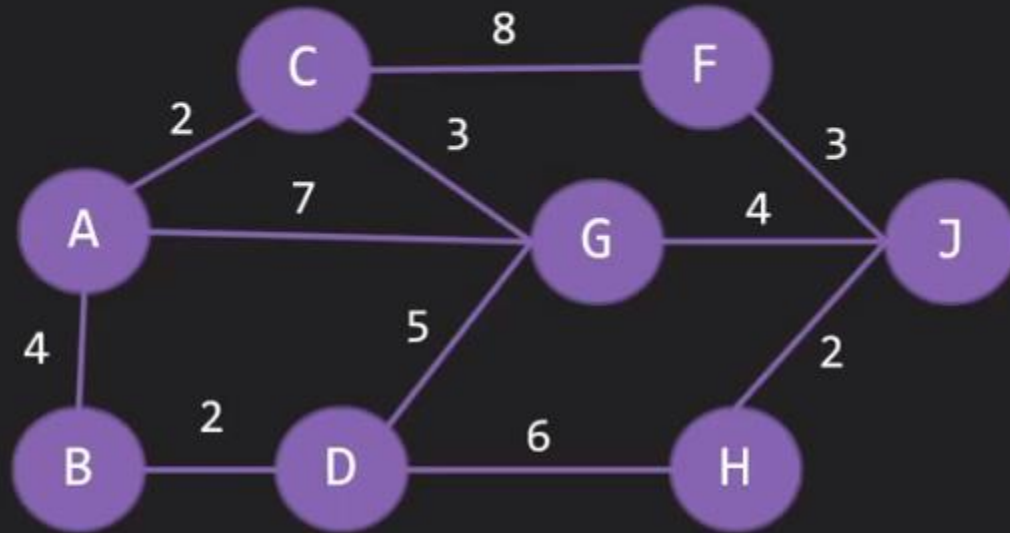
Order $i$	$X = \{A\}$	$X = \{A, B\}$	$X = \{A, B, C\}$	$X = \{A, B, C, E\}$	$X = \{A, B, C, E, D\}$	$X = \{A, B, C, E, D, F\}$
0   $d[A]$	0					
1   $d[B]$	2					
2   $d[C]$	4	3				
4   $d[D]$	$\infty$	9	9	8		
3   $d[E]$	$\infty$	$\infty$	6			
5   $d[F]$	$\infty$	$\infty$	$\infty$	11	9	

Initialization:



**Then: White Board**

# Initial State



Priority Queue

From

To

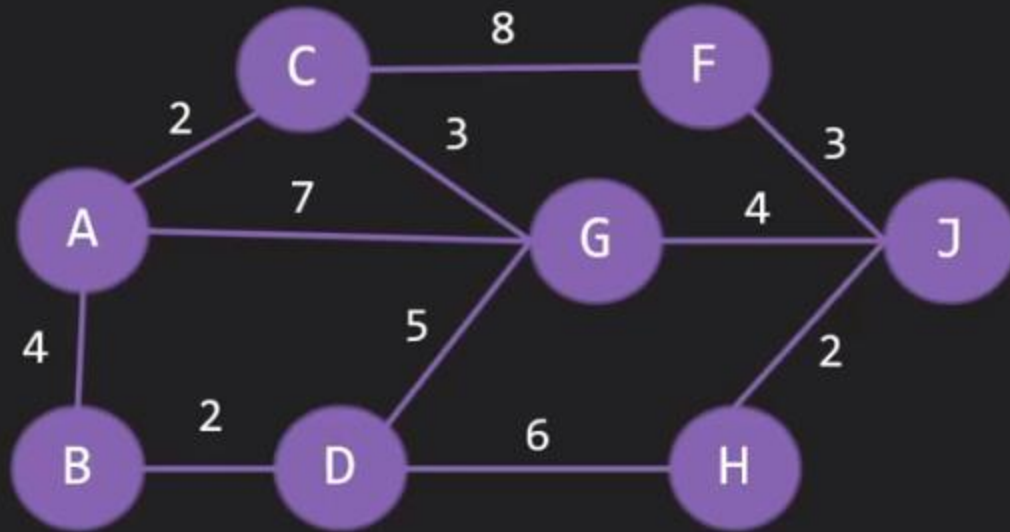
Cost

Path and Cost Arrays

A	-	-	✓
B			
C			
D			
F			
G			
H			
J			



# Check Loop Conditions



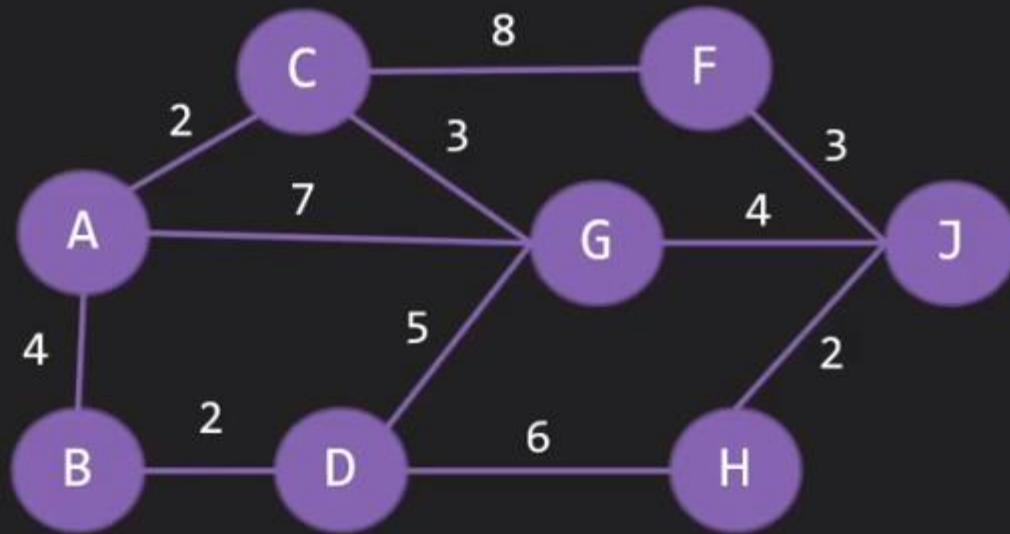
Priority Queue

From	A	A	A
To	C	B	G
Cost	2	4	7

Path and Cost Arrays

A	-	-	✓
B			
C			
D			
F			
G			
H			
J			

# Handle Min Item from Priority Queue



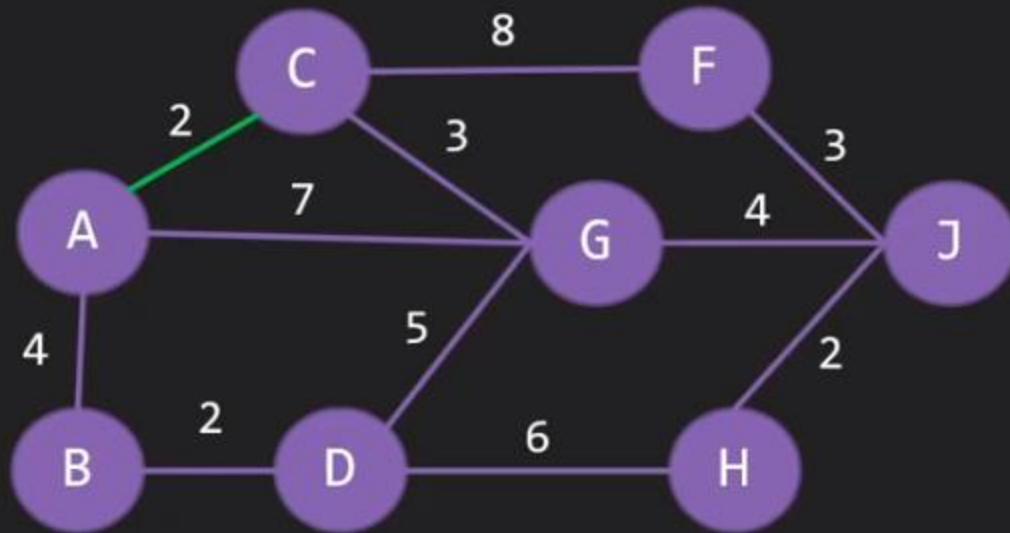
Priority Queue

From	A	A	A
To	C	B	G
Cost	2	4	7

Path and Cost Arrays

A	-	-	✓
B			
C	A	2	✓
D			
F			
G			
H			
J			

# Handle Edge from C to A—No Action



Priority Queue

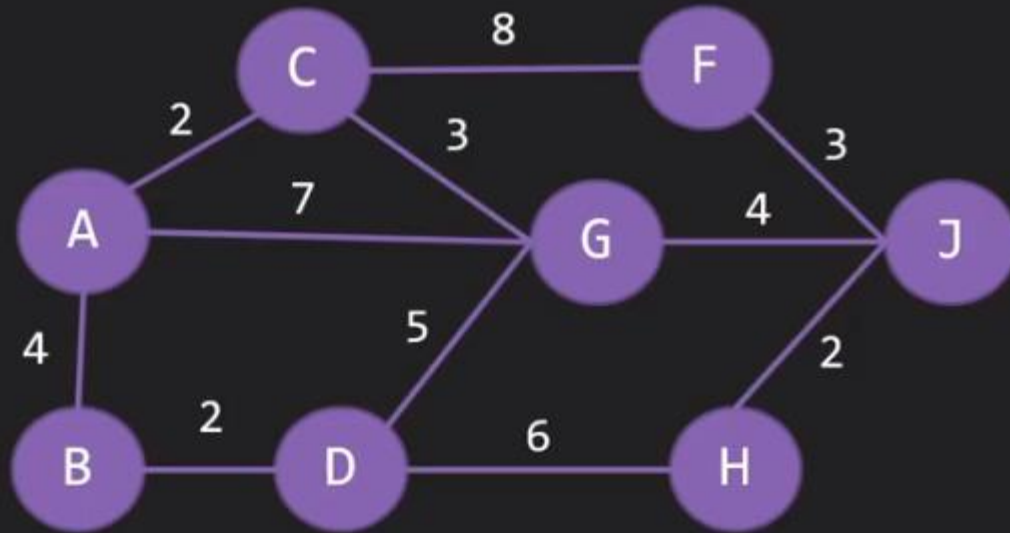
From	A	A	
To	B	G	
Cost	4	7	

Path and Cost Arrays

A	-	-	✓
B			
C	A	2	✓
D			
F			
G			
H			
J			



# Check Loop Conditions



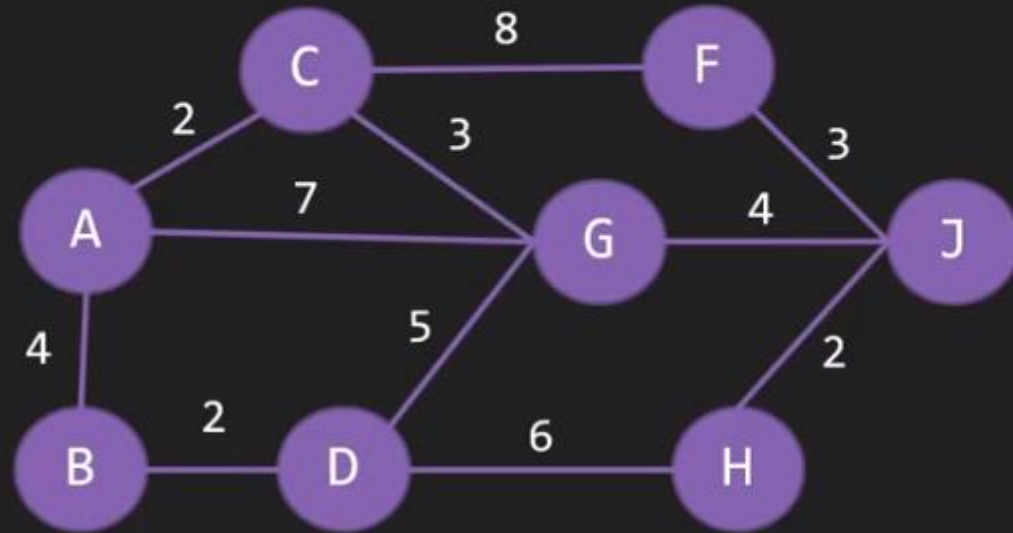
Priority Queue

From	A	C	A	C
To	B	G	G	F
Cost	4	5	7	10

Path and Cost Arrays

A	-	-	✓
B			
C	A	2	✓
D			
F			
G			
H			
J			

# Handle Min Item from Priority Queue



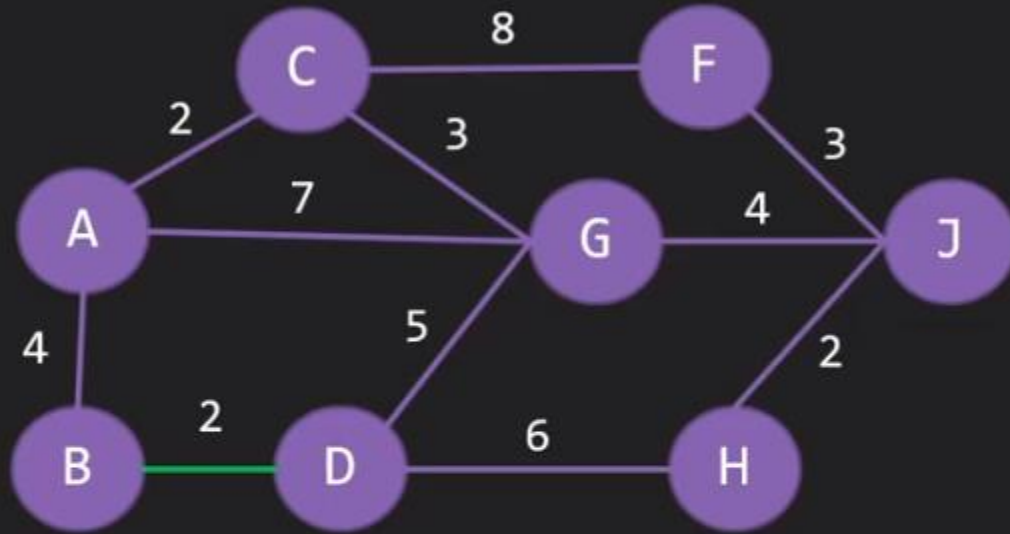
Priority Queue

From	A	C	A	C
To	B	G	G	F
Cost	4	5	7	10

Path and Cost Arrays

A	-	-	✓
B	A	4	✓
C	A	2	✓
D			
F			
G			
H			
J			

# Handle Edge from B to D



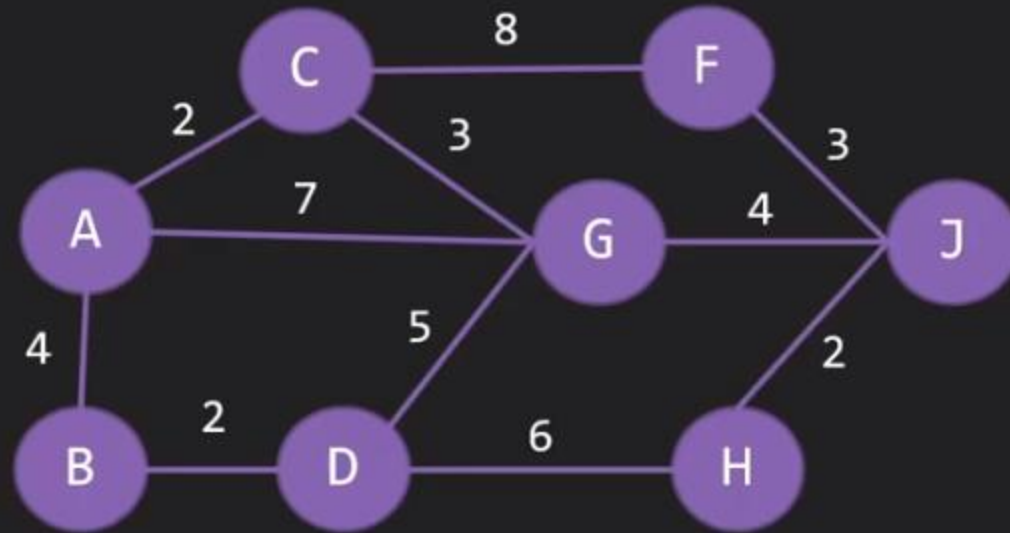
Priority Queue

From	C	B	A	C
To	G	D	G	F
Cost	5	6	7	10

Path and Cost Arrays

A	-	-	✓
B	A	4	✓
C	A	2	✓
D			
F			
G			
H			
J			

# Handle Min Item from Priority Queue



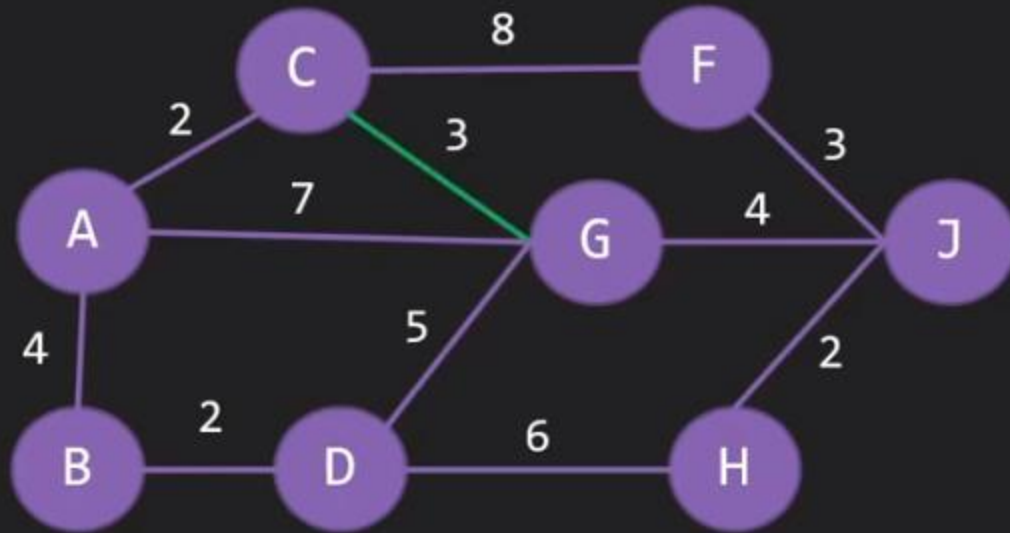
Priority Queue

From	C	B	A	C
To	G	D	G	F
Cost	5	6	7	10

Path and Cost Arrays

A	-	-	✓
B	A	4	✓
C	A	2	✓
D			
F			
G	C	5	✓
H			
J			

# Handle Edge from G to C—No Action



Priority Queue

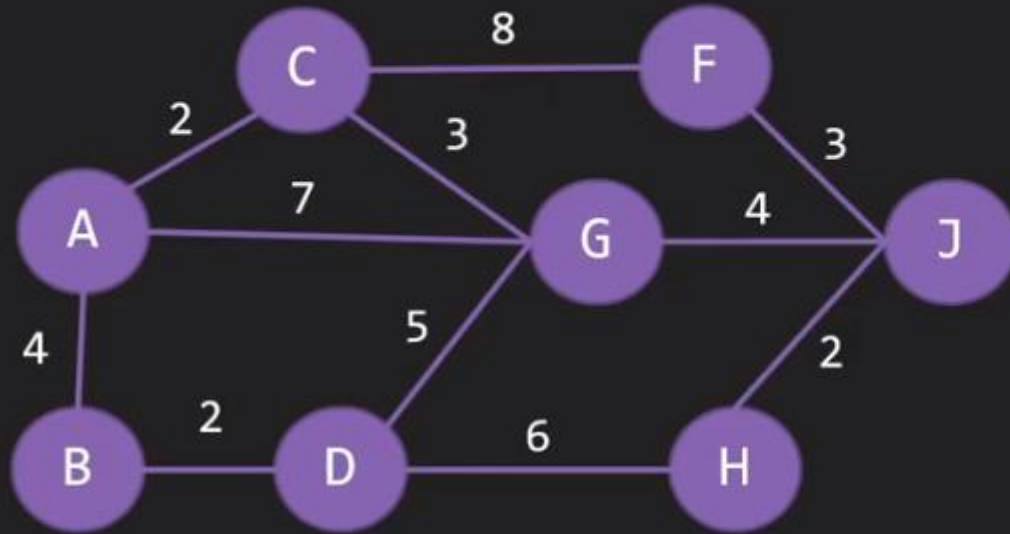
From	B	A	C
To	D	G	F
Cost	6	7	10

Path and Cost Arrays

A	-	-	✓
B	A	4	✓
C	A	2	✓
D			
F			
G	C	5	✓
H			
J			



# Check Loop Conditions



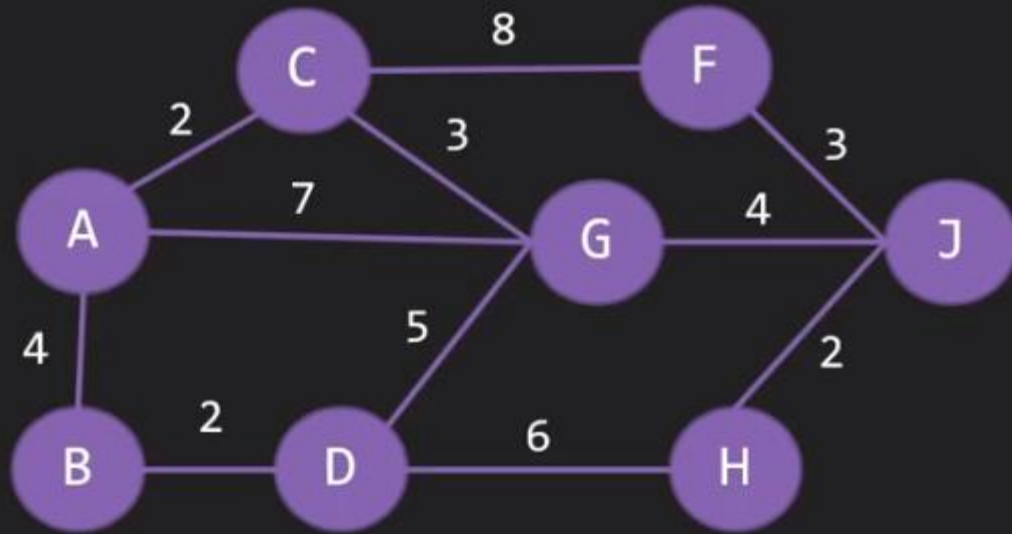
Priority Queue

From	B	A	G	C	G
To	D	G	J	F	D
Cost	6	7	9	10	10

Path and Cost Arrays

A	-	-	✓
B	A	4	✓
C	A	2	✓
D			
F			
G	C	5	✓
H			
J			

# Handle Min from Priority Queue



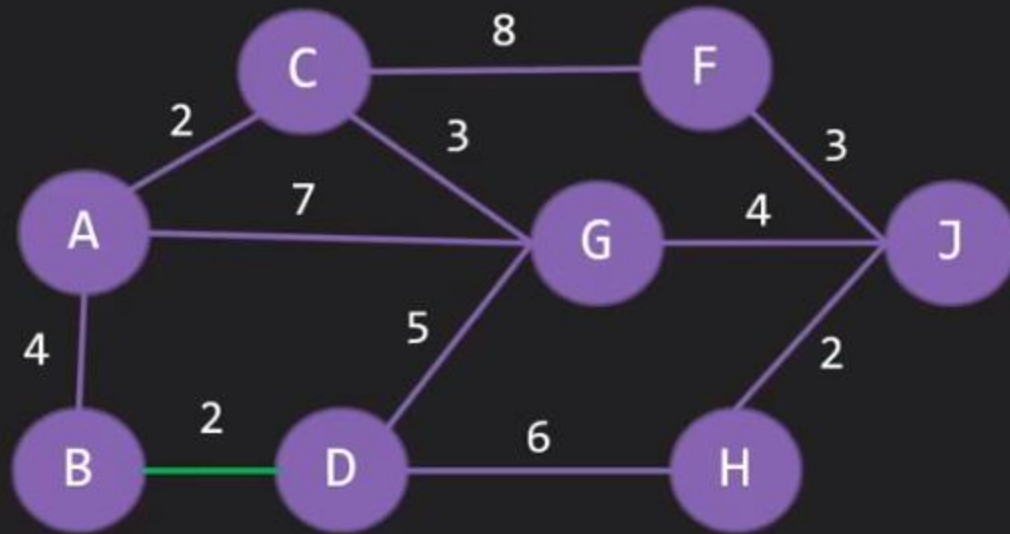
Priority Queue

From	B	A	G	C	G
To	D	G	J	F	D
Cost	6	7	9	10	10

Path and Cost Arrays

A	-	-	✓
B	A	4	✓
C	A	2	✓
D	B	6	✓
F			
G	C	5	✓
H			
J			

# Handle Edge from D to B—No Action



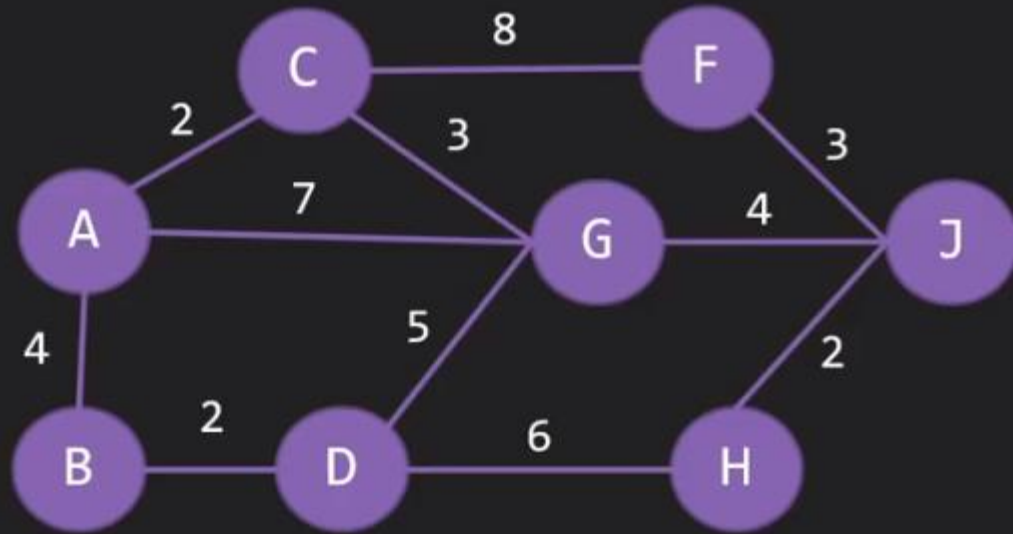
Priority Queue

From	A	G	C	G
To	G	J	F	D
Cost	7	9	10	10

Path and Cost Arrays

A	-	-	✓
B	A	4	✓
C	A	2	✓
D	B	6	✓
F			
G	C	5	✓
H			
J			

# Check Loop Conditions



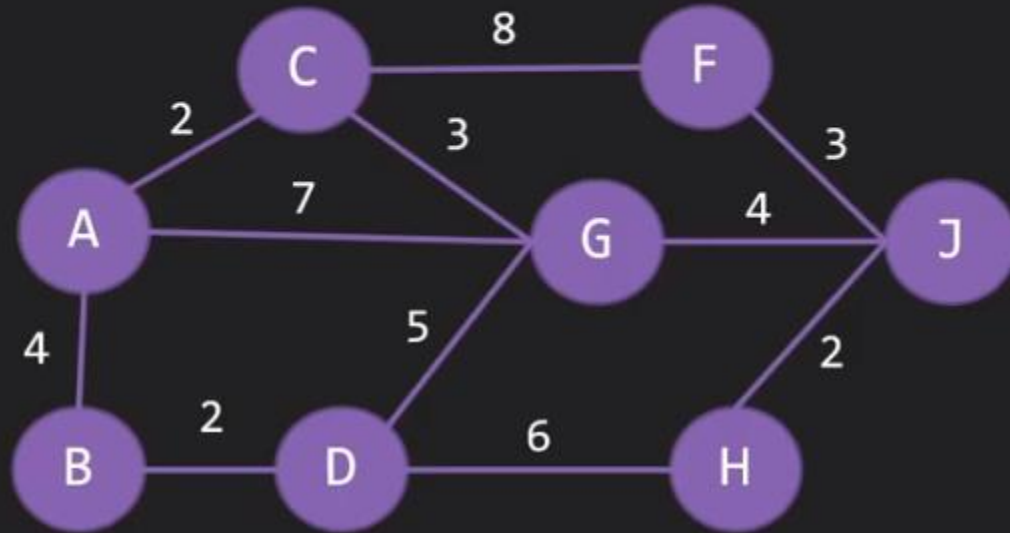
Priority Queue

From	A	G	C	G	D
To	G	J	F	D	H
Cost	7	9	10	10	12

Path and Cost Arrays

A	-	-	✓
B	A	4	✓
C	A	2	✓
D	B	6	✓
F			
G	C	5	✓
H			
J			

# Handle Min from Priority Queue—No Action



Priority Queue

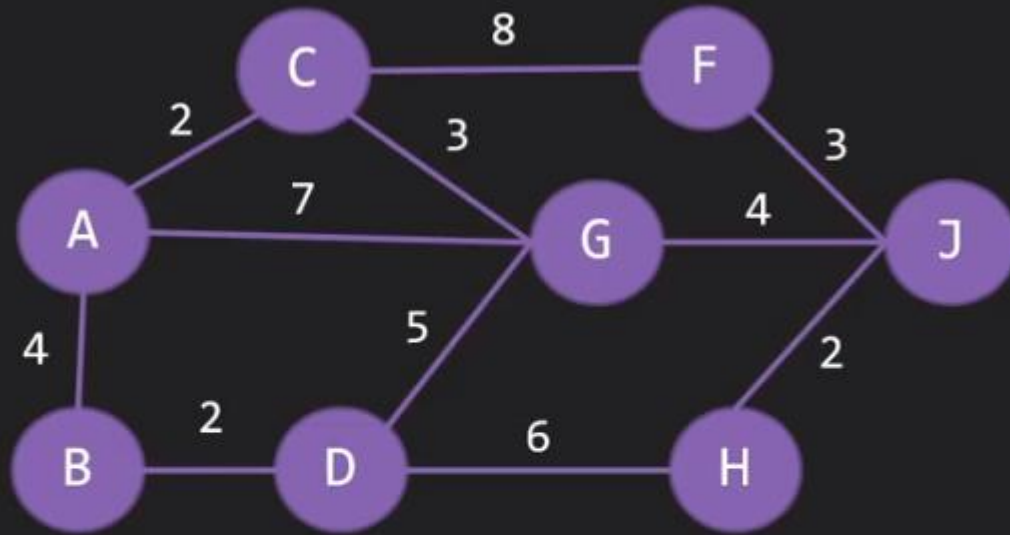
From	A	G	C	G	D
To	G	J	F	D	H
Cost	7	9	10	10	12

Path and Cost Arrays

A	-	-	✓
B	A	4	✓
C	A	2	✓
D	B	6	✓
F			
G	C	5	✓
H			
J			



# Handle Min from Priority Queue



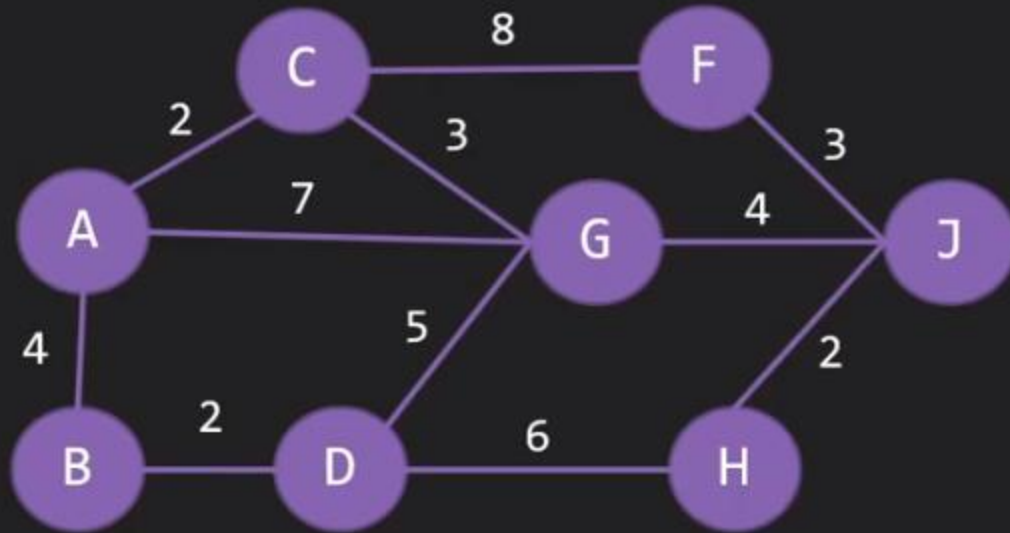
Priority Queue

From	G	C	G	D
To	J	F	D	H
Cost	9	10	10	12

Path and Cost Arrays

A	-	-	✓
B	A	4	✓
C	A	2	✓
D	B	6	✓
F			
G	C	5	✓
H			
J	G	9	✓

# Handle Min from Priority Queue



Priority Queue

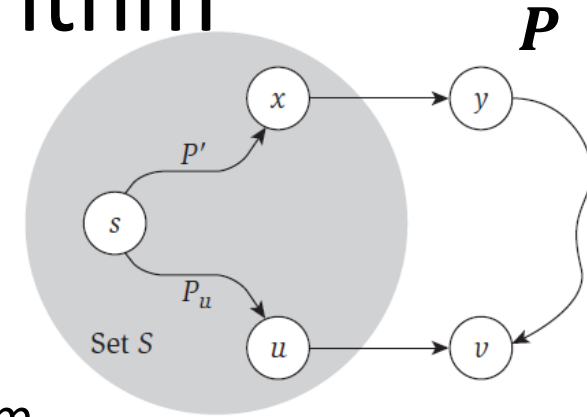
From	J	D	J
To	H	H	F
Cost	11	12	12

Path and Cost Arrays

A	-	-	✓
B	A	4	✓
C	A	2	✓
D	B	6	✓
F	C	10	✓
G	C	5	✓
H	J	11	✓
J	G	9	✓

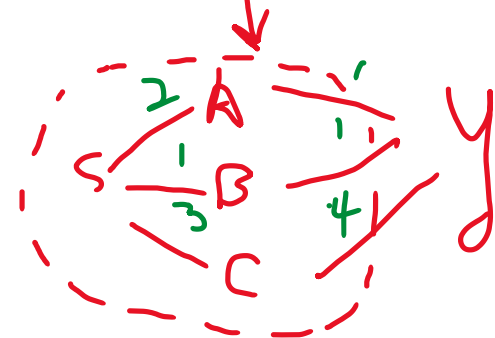
# Shortest path – Proof of the greedy algorithm

**(4.14)** Consider the set  $S$  at any point in the algorithm's execution. For each  $u \in S$ , the path  $P_u$  is a shortest  $s$ - $u$  path.



## • Proof: (Induction)

- $|S| = 1$  works, we assume  $|S| = k$  also works.
- Now we want to expand  $|S| \rightarrow k+1$  by adding  $v$  using the Dijkstra Algorithm.
- We assign  $(u, v)$  as the final edge on our shortest path from  $s$  to  $v$ , pointing out from  $S$  to  $V - S$
- Assume  $s \rightarrow v$  isn't the shortest path to  $v$ , then there has to be another path  $P$ .
- In the path  $P$ , we assign  $y$  as the first node pointed by edge from  $S$  to  $V - S$ , using edge  $(x, y)$
- We then have  $l(P) = l(s, x) + l(x, y) + l(y, v)$
- Define  $d(x)$ : the length of the shortest path  $P_x$  from  $s$  to node  $x$ . Therefore, we have  $l(s, x) \geq d(x)$
- Define  $d'(y) = \min_{e=(u,y):u \in S} d(u) + l_e$  for given  $y$ .
- $l(P) \geq l(s, x) + l(x, y) \geq d(x) + l(x, y) \geq \min_{e=(u,y):u \in S} d(u) + l_e = d'(y)$
- By Dijkstra algorithm,  $d'(v) = \min_{a \in V-S} (\min_{e=(u,a):u \in S} d(u) + l_e)$
- Therefore,  $d'(v) \leq d'(y)$  #  $\because$  both  $v$  &  $y$  are  $\in V - S$ ,  $v$  is the one we choose.
- Therefore,  $l(P) \geq d'(y) \geq d'(v)$ .  $d'(v)$  is the shortest path starts from  $s$ .



# Shortest path

- Algorithm: Dijkstra's algorithm
  - Initialization:
    - $X: \{s\}$
    - $d[u] = \begin{cases} l(s, u), & \text{if } (s, u) \in E \\ \infty, & \text{otherwise} \end{cases}$
  - For  $i=1, \dots, n-1$ 
    - Select  $u$  s.t.  $d[u]$  is the min among  $V-X$
    - $X = X + \{u\}$
    - For each  $v$  s.t.  $(u, v) \in E$ :
      - If  $d[u] + l(u, v) < d[v]$ :
        - $d[v] = d[u] + l(u, v)$
        - $\text{pre}[v] = u$

---

Dijkstra's Algorithm  $(G, \ell)$

Let  $S$  be the set of explored nodes

For each  $u \in S$ , we store a distance  $d(u)$

Initially  $S = \{s\}$  and  $d(s) = 0$

While  $S \neq V$

Select a node  $v \notin S$  with at least one edge from  $S$  for which

$d'(v) = \min_{e=(u,v): u \in S} d(u) + \ell_e$  is as small as possible

Add  $v$  to  $S$  and define  $d(v) = d'(v)$

EndWhile

---

# Tree

- Definitions:
  - An undirected graph that
    - 1) connected
    - 2) Don't have any cycles
- Properties:
  - 1) Adding an edge to the tree will create a cycle
  - 2) If the original tree has a cycle by adding an edge, then by removing any one of the edges in that cycle will result in another tree



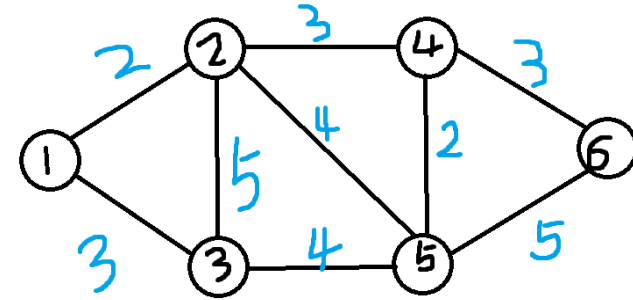
# Spanning Tree

- Definitions:
  - A *spanning tree* is a subset of graph that
    - 1) Spans to every vertex ('connected' in undirected G, 'reachable' in directed G)
    - 2) Don't have any cycles
- How to find a spanning tree?
  - Run BFS / DFS

# Minimum Spanning Tree (MST)

- Definitions:
  - A *minimum spanning tree* is the tree with minimum total weight among all trees given a positive weighted graph  $G$ .
- How to find a MST?
  - Run Prim's/Kruskal's algorithm

# Minimum Spanning Tree - Prim



• **Given:** A connected undirected graph,  $G=(V, E)$ , with edge length  $l(e)>0$

• **Goal:** Find a set of edges  $T^* \subseteq E, s. t.$

- 1)  $G' = (V, T^*)$  is connected
- 2) Minimize total cost  $\sum_{e \in T^*} l(e)$

• **Prim's algorithm:**

- Initialization:

-  $X = \{s\}$  # nodes connected to  $s$

-  $pre[u] = \begin{cases} s, & \text{if } (s, u) \in E \\ \infty, & \text{otherwise} \end{cases}$  # previous node of  $u$

-  $a[u] = \begin{cases} l(s, u), & \text{if } (s, u) \in E \\ \infty, & \text{otherwise} \end{cases}$  # cost of adding  $u$  to  $X$

- For  $i = 1, 2, \dots, n-1$ :

- Find  $u$ , which is the node in  $V-X$  with  $\min a[u]$

- Add  $u$  to  $X$

- For each  $v$  s.t.  $(u, v) \in E$ :

- If  $l(u, v) < a[v]$ :

-  $a[v] = l(u, v)$

-  $Pre[v] = u$

	$X=\{1\}$	$X=\{1,2\}$	$X=\{1,2,3\}$	$X=\{1,2,3,4\}$	$X=\{1,2,3,4,5\}$
$a[1]$					
$a[2]$	2				
$a[3]$	3	3			
$a[4]$	$\infty$	3	3		
$a[5]$	$\infty$	4	4	2	
$a[6]$	$\infty$	$\infty$	$\infty$	3	3

# Dijkstra & Prim Differences

- 1. Any Graph vs Undirected Graph
- 2. Find the shortest path vs Find the minimum spanning tree.
- 3. Calculate the **accumulated min** distance vs the **current min** weighted edge

- **Prim's algorithm:**

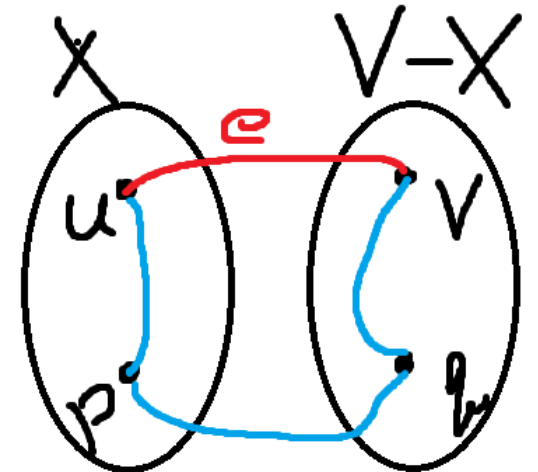
- Initialization:
  - ....
- For  $i = 1, 2, \dots, n-1$ :
  - Find  $u$ , which is the node in  $V-X$  with  $\min A[u]$
  - Add  $u$  to  $X$
  - For each  $v$  s.t.  $(u, v) \in E$ :
    - If  $l(u, v) < A[v]$ :
      - $A[v] = l(u, v)$
      - $\text{Pre}[v] = u$

- **Dijkstra's algorithm:**

- Initialization:
  - ....
- For  $i=1, \dots, n-1$ 
  - Select  $u$  s.t.  $d[u]$  is the min among  $V-X$
  - $X = X + \{u\}$
  - For each  $v$  s.t.  $(u, v) \in E$ :
    - If  $d[u] + l(u, v) < d[v]$ :
      - $d[v] = d[u] + l(u, v)$
      - $\text{Pre}[v] = u$

# Proof of correctness for the Prim's algorithm

- Define “cut” of two node sets  $\text{cut}(A, B)$ : All  $(u, v) \in E$  s.t.  $u \in A, v \in B$
- Then the Prim's algorithm adds the min-cost edge in  $\text{cut}(X, V-X)$  in each iteration
- **Cut Property:** if edge  $e$  is the min-cost edge in  $\text{cut}(X, V-X)$  for any node set  $X$ , then  $e$  must be in the MST.
- **Proof:** Proof by contradiction.
  - Assume MST  $T^*$ ,  $e=(u, v)$  is the min-cost edge of  $\text{cut}(X, V-X)$  but  $e \notin \text{MST } T^*$
  - In  $T^*$ ,  $u, v$  are connected by another path. Then we know  $u \rightarrow p \rightarrow q \rightarrow v$ .
  - $p$ : final node of  $X$ ,  $q$ : first node of  $V-X$  of this  $u \rightarrow v$  path
  - Define another tree  $T' = T^* - (p, q) + (u, v)$
  - $T'$  is still a connected graph since we have  $u \rightarrow p \rightarrow q \rightarrow v$
  - Since  $l(e) = l(u, v) < l(p, q)$ , we know  $l(T') < l(T^*)$ .
  - Contradictive to the statement  $T^*$  is a MST
  - Proved.





# Exercises

## 1448. Count Good Nodes in Binary Tree

Medium

👍 1693

💬 59

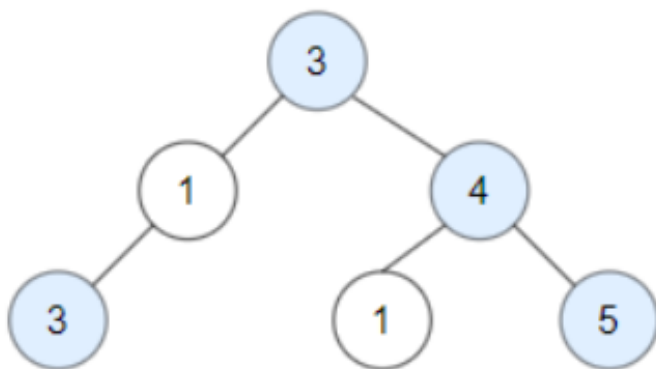
❤️ Add to List

🔗 Share

Given a binary tree `root`, a node  $X$  in the tree is named **good** if in the path from root to  $X$  there are no nodes with a value *greater than*  $X$ .

Return the number of **good** nodes in the binary tree.

Example 1:



**Input:** `root = [3,1,4,3,null,1,5]`

**Output:** 4

**Explanation:** Nodes in blue are **good**.

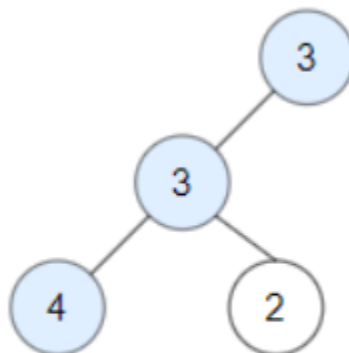
Root Node (3) is always a good node.

Node 4 -> (3,4) is the maximum value in the path starting from the root.

Node 5 -> (3,4,5) is the maximum value in the path

Node 3 -> (3,1,3) is the maximum value in the path.

Example 2:



**Input:** `root = [3,3,null,4,2]`

**Output:** 3

**Explanation:** Node 2 -> (3, 3, 2) is not good, because "3" is higher than it.

# Exercises - BFS

```
1 # Definition for a binary tree node.
2 # class TreeNode:
3 #     def __init__(self, val=0, left=None, right=None):
4 #         self.val = val
5 #         self.left = left
6 #         self.right = right
7
8 class Solution:
9     def goodNodes(self, root: TreeNode) -> int:
10         num_good_nodes = 0
11
12         # Use collections.deque for efficient popping
13         queue = deque([(root, float("-inf"))])
14         while queue:
15             node, max_so_far = queue.popleft()
16             if max_so_far <= node.val:
17                 num_good_nodes += 1
18             if node.right:
19                 queue.append((node.right, max(node.val, max_so_far)))
20             if node.left:
21                 queue.append((node.left, max(node.val, max_so_far)))
22
23         return num_good_nodes
```

