

## CS180 Homework #4

**1. Exercise 11 on page 193**

Claim: Yes, there is a valid execution of Kruskal's Algorithm on  $G$  that produces a unique minimum spanning tree  $T$ , given that  $G$  contains edges of the same cost.

Proof:

- Suppose that running Kruskal's on  $G$  doesn't produce  $T$ .
- This means that we added an edge  $e'$  that doesn't create an MST.
- Another  $e$  exists such that  $\text{cost}(e) < \text{cost}(e')$  and doesn't produce a cycle.
- This means that we encountered  $e'$  before  $e$ , causing us to add  $e'$  to the MST. But this is a contradiction, since we iterate through all the edges in  $G$  in nondecreasing order of cost.  $><$
  
- If  $\text{cost}(e') = \text{cost}(e)$ , then both edges  $e$  and  $e'$  would be considered part of the minimum spanning tree, if adding both wouldn't create any cycle.
- If we wanted to obtain  $T$  that includes  $e'$  and not  $e$ , we can order  $e'$  first in the initial sorted list of edges.
  - This can be done by setting a specific ordering of the edges, whether that be subtracting from each edge to obtain edges with all distinct weights (while still retaining a valid ordering).
  - The ordering of edges with the same cost wouldn't matter in terms of creating a valid MST, but it does matter if we want to create a unique MST out of it.

**2. Exercise 17 on page 197**

Algorithm:

- Pick an arbitrary job interval,  $J$ .
- Delete all intervals that overlap with  $J$ , such that we split the time at some point in  $J$ , say  $t$ .
- Consider the remaining job intervals that run between  $t$  and  $t + 24$  hours.
  - Sort the job intervals by end time.
  - Greedily select the job interval with the earliest end time, and delete all overlapping job intervals out of the remaining ones.
  - After we finish picking all the job intervals, add  $J$  back to the solution. The result will be the optimal job schedule if we explicitly include  $J$  and start counting time from  $t$ .
- Repeat this process for all job intervals.
- Select the job schedule with the maximum # of jobs.

Proof of Correctness:

- Assume that there exists an optimal algorithm  $S^*$  that chooses more jobs than our algorithm  $S$ .
- Base case: 1st accepted job request.
  - $S$  goes through all possible job intervals, and ends up selecting 1.
  - $S^*$  also selects 1 job interval. Whatever  $S^*$  chooses,  $S$  will have considered before.
  - Both  $S$  and  $S^*$  pick 1 job.
- Inductive hypothesis:  $k$ th accepted job request.
  - Assume that our algorithm  $S$  picks at least as many jobs as  $S^*$  given  $k-1$  job requests.
  - On the  $k$ th job request,  $S$  selects the job request with the earliest end time that doesn't overlap with previous selected job requests.
  - Therefore,  $S$  will always remain ahead of  $S^*$  because we are selecting the job that makes for maximum remaining time to choose other job intervals.
  - We repeat the process for all job requests given, so we're considering all possible optimal job schedules in  $S$ .
- $S$  will choose the most job intervals within a certain 24-hour frame.

#### Time Complexity Analysis:

- Choosing an arbitrary job  $J$  and deleting all overlapping intervals with  $J$  takes  $O(1)$  time.
- Sorting the job requests by end time takes  $O(N \log N)$  time.
- Greedily picking the job requests to form a valid schedule takes  $O(N)$  time.
- We repeat the process of choosing an arbitrary job and sorting by end time for all  $N$  jobs.
- Total:  $O(N^2)$  time.

### **3. Exercise 3 on Page 246**

#### Algorithm:

- Divide and conquer: break the set of cards into subsets. build up to a solution by checking whether each subset meets the requirement of having more than half of the cards being equivalent.
- Order the set of  $n$  cards arbitrarily. Recursively call this function on the left and right halves of the  $n$  cards until we reach 1 card on both sides.
- After reaching the base case (1 card on each half), do the steps below on the 2 halves to determine whether there are  $>c/2$  equivalent cards in the current set of  $c$  cards.
  - Base case:
    - Plug the pair of cards into the machine.

- If the machine returns different, return NO.
  - If the machine returns the same, return YES.
- If 1 half is NO and the other half is NO:
  - there is no possibility for the combined halves to have more than half the cards equivalent to each other
  - ie. if card  $A \neq B$ ,  $C \neq D$ , then at most only 2 cards out of ABCD will be equivalent ( $A = D$ ,  $B = C$ ).
  - returns NO.
- If 1 half is YES and the other half is NO:
  - pick a card from the set of equivalent cards in the YES half
  - test this card with all the cards in the NO half
  - if the equivalent cards in the YES half + cards in the NO half that return true  $> c/2$ , return YES.
  - otherwise, return NO.
- If 1 half is YES and the other half is YES:
  - each half of  $c$  cards has  $> c/2$  equivalent cards
  - plug 1 card from the equivalent cards on each side into the machine.
  - return NO if different, YES if same.
- If the function returns YES on the last merge, where both halves have  $n/2$  cards, then there are  $> n/2$  equivalent cards in the set of  $n$  cards.

#### Proof of Correctness:

- Base case: 2 cards.
  - If they're the same,  $2/2 > 1/2$  of them are equivalent, satisfying the condition. If they're different, then 1 out of 2 cards are equivalent but  $1/2$  isn't  $> 1/2$ , meaning that the condition isn't satisfied.
  - Our algorithm correctly returns true if the 2 cards are the same, false if they're different.
- Inductive hypothesis:  $> 2$  cards.
  - Assume that our algorithm produces the correct result for  $n-1$  cards. That is, out of  $n-1$  cards, if  $> (n-1)/2$  cards are equivalent, our algorithm returns YES and otherwise NO.
  - For  $n$  cards, we say that they produce a majority of equivalent cards if we merge the left and right halves of the  $n$  cards and return YES.
  - Our algorithm returns NO if the 2 halves both don't have a majority of equivalent cards, or if 1 half contains a majority and the other doesn't but combined they don't produce a majority.

- Therefore, our algorithm correctly returns NO if there isn't a majority in the  $n$  cards.
  - Our algorithm returns YES if the 2 halves both have a majority, or if the 2 halves combined produce a majority.
    - Suppose we have  $A$  cards in the left half, and  $A/2 + 1$  cards are the same. We have  $A$  cards in the right half, and  $A/2 + 1$  cards are the same.
    - Combined, we have  $2A$  cards and  $A+2$  cards that are the same.  $A+2 > A$ , so we still maintain a majority. Therefore, 2 halves that return YES will return YES when combined.
    - Our algorithm correctly returns YES if there is a majority in the  $n$  cards.
- Therefore, our algorithm produces the correct result.

#### Time Complexity Analysis:

- Recursively calling the function on both halves of the  $n$  cards takes  $O(\log N)$  time.
- For each of the 2 halves produced, we must merge them to get a solution.
  - The comparison operations are all  $O(1)$ .
  - Worst case, we would have to compare a card in 1 half with all cards in the other half which takes  $O(N)$  time.
- Total =  $O(N \log N)$  time.

#### **4. Exercise 5 on page 248**

##### Idea:

- Use divide and conquer to get the # of visible lines in a subset of  $n$  lines, then merge these sub-solutions to get the actual # of visible lines in the total set of  $n$  lines.
- To find the # of visible lines in a subset, we can observe the following:
  - Given a subset of 3 lines:
    - The 2 lines with the min and max slope will always be visible at some point  $x$ .
    - The remaining line will be visible if its intersection point w/ the min-slope line is to the *left* of that w/ the max-slope line.
- To merge the of 2 subsets (find the visible lines):
  - We look at the visible lines in the 2 subsets, and ignore those that are marked invisible already (they will never be visible, because we are only adding more lines to the solution at this point).
  - Again, we know that the visible lines with min/max slopes will still be visible, respectfully.

- Consider each intersection point between 2 visible lines in the combined set.
  - Check for lines that lie between the 2 visible lines that are hidden by them.
  - Eliminate these lines from the set.

#### Algorithm:

- Order the lines in increasing slope,  $\{L_1, L_2, \dots, L_N\}$
- Recursively call our function on the first  $N/2$  lines and last  $N/2$  lines.
- When we reach a base case of 3 lines  $\{L_i, L_{i+1}, L_{i+2}\}$ :
  - $L_i$  and  $L_{i+2}$  will always be visible at some point  $x$ .
  - $L_{i+1}$  will be visible if it intersects with  $L_i$  to the left of where it intersects with  $L_{i+2}$ .
  - Otherwise, eliminate  $L_{i+1}$  from the final set of visible lines.
  - Add the 2 or 3 visible lines to the “visible” subset.
- Merge function:
  - For 2 subsets of visible lines, the 1st and last line of the combined set will always be visible at some point  $x$ .
  - The remaining lines will be visible if its intersection point with the min-slope line is to the left of that with the max-slope line.

#### Proof of Correctness:

- Suppose we don't find the the total # of visible lines by the end of our algorithm.
- This would mean that at some point, we didn't correctly count the # of visible lines in a subset, such that when we merged it with another subset, the # of visible lines wasn't correct.
- In the base case, we established that a line will be marked invisible if it is “squeezed” in between 2 lines.
- Thus, we will get the correct # of visible lines for the base case.
- When we merge the 2 subsets of base cases, we are checking whether there are any lines that become hidden when they were previously marked visible within their own subset.
- Thus, we are always getting the correct # of visible lines for each set of lines broken down from the  $N$  lines.
- Our algorithm correctly calculates the total # of lines by induction.

#### Time Complexity Analysis:

- Recursively calling the function takes  $O(\log N)$  time, since we're halving the problem each time.

- Merging the function (determining how many visible lines result from combining the 2 subsets of visible lines) takes  $O(N)$  time.
- We are doing the merge for each pair of halves in our set of  $N$  lines.
- Total time:  $O(N \log N)$  time.

**5. Suppose you are given an array of sorted integers that has been circularly shifted  $k$  positions to the right. For example taking ( 1 3 4 5 7 ) and circularly shifting it 2 positions to the right you get ( 5 7 1 3 4 ). Design an efficient algorithm for finding  $K$ .**

Algorithm:

- Let the first element of the original array be  $A$ . To get  $k$ , we just need to find the index of  $A$  in the circularly shifted array.
- Perform a binary search on the circularly shifted array.
- Create a “low” and “high” pointer for the first and last element of the shifted array, respectively.
- While the low and high pointer don’t overlap:
  - Select the element in the middle of low and high,  $M$ .
  - If  $M < A$ :
    - $M$  is  $A$ . Return  $M$ ’s index.
  - If  $M \geq A$ :
    - This means that the entire left half of the shifted array is in sorted order. So  $A$  must lie in the right half of the array because  $A$  breaks the sorted order.
    - Set low = element right after  $M$  to check the right half.
  - Otherwise, if  $M < A$ :
    - Since  $M$  is not  $A$ ,  $A$  must lie somewhere before  $M$  in the left half of the array. This is because  $A$  is the minimum element of the shifted array.
    - Set high = element right before  $M$  to check the left half.
- If we haven’t returned by now, the array isn’t shifted ( $k = 0$ ).

Proof of Correctness:

- Our algorithm claims that  $k = \text{index of min. element in the shifted array}$ .
- If the min. element  $M$  isn’t the first element, then it’s the only element in the array whose previous element is greater than it.
- Elements in the subarray to the left of  $M$  are all smaller than elements in the subarray to the right of  $M$ .
- Using this logic, we can deduce that:
  - If element  $A > \text{element } B$ , then  $A$  and  $B$  must be in the same subarray (either left or right).

- If element  $A < \text{element } B$ , then  $A$  could be either to the right of  $B$  ( $A$  is part of  $M$ 's right subarray and  $B$  is in  $M$ 's left subarray) or left of  $B$  ( $A$  and  $B$  are both in the left subarray).
- Let element  $A = \text{the middle element}$  and element  $B = \text{the low element}$ :
  - If  $\text{mid} > \text{low}$ , then they both must be in the left subarray of  $M$  because  $\text{low} \neq M$ . Therefore,  $M$  lies to the right of  $\text{mid}$ .
  - If  $\text{mid} < \text{low}$ , then  $\text{mid}$  must be in the right subarray and  $\text{low}$  in the left subarray, because if they were in the same subarray then  $\text{low} = M$ . However, we already established that  $\text{low} \neq M$  in the initial check. Therefore,  $M$  would lie to the left of  $\text{mid}$ .
- By updating  $\text{low}$  recursively such that the array is halved each time, we narrow down  $M$  to be either on the right or left half of the array and eventually figure out its location.

#### Time Complexity Analysis:

- Each time we are comparing  $M$ , we narrow down  $M$ 's position to be either in the right or left half of the subarray by updating the  $\text{low/high}$  pointers.
  - This binary search for  $M$  takes  $O(\log N)$  time.
- All comparisons take constant  $O(1)$  time since we're just doing array accesses.
- Therefore, the total time is  $O(\log N)$ .

**6. Given two sorted arrays of size  $m$  and  $n$  respectively, you are tasked with finding the element that would be at the  $k$ -th position of the final sorted array. Note that a linear time algorithm is trivial (and therefore we are not interested in).**

**Input : Array 1 - 2 3 6 7 9**

**Array 2 - 1 4 8 10**

**$k = 5$**

**Output : 6**

#### Idea:

- Given that the arrays are sorted, we can just do a binary search for a point  $P$  in the smaller array.
- All elements up to  $P$  in the smaller array + all elements up to  $k-P$  in the larger array = the  $k$  smallest elements in the final sorted array.
- The max of the last elements in the 2 subarrays is our answer ( $k$ -th element).
- A valid partition of  $k$  elements in the 2 arrays is as follows:
  - The last element in the 1st subarray must be less than the element right after the last element of the 2nd subarray.

- The last element in the 2nd subarray must be less than the element right after the last element of the 1st subarray.
- This is how we know that these are truly the k smallest elements in the 2 arrays.

#### Algorithm:

- Maintain a low and high pointer for the smaller array.
  - These serve as the range (upper/lower bound) for the location of P, the index we decide to partition the smaller array.
- Set the low pointer to  $\max(0, k - \text{length of larger array})$ .
  - If  $k > \text{length of the larger array}$ , then P must be at least k-length positions into the smaller array (all elements in the larger array are smaller than those in the smaller array).
  - If  $k < \text{length of larger array}$ , P could very well be the first element of the smaller array, at index 0 (all k elements are in the larger array).
- Set the high pointer to  $\min(\text{length of smaller array}, k)$ .
  - If  $k < \text{length of smaller array}$ , then obviously P can't be past k.
  - Otherwise all elements of the smaller array are in the partition.
- While low < high:
  - Let mid = the position halfway low and high. We consider whether mid can be the position P.
  - Let mid2 =  $k - \text{mid} - 2$ , or the position of the corresponding partition in the larger array.
  - If  $\text{smaller\_arr}[\text{mid}] \leq \text{larger\_arr}[\text{mid2} + 1]$  and  $\text{larger\_arr}[\text{mid2}] \leq \text{smaller\_arr}[\text{mid} + 1]$ :
    - We've found a valid partitioning.
    - $P = \text{mid}$ , but the actual kth element is the maximum between  $\text{smaller\_arr}[P]$  and  $\text{larger\_arr}[k-P-2]$ .
  - If  $\text{smaller\_arr}[\text{mid}] > \text{larger\_arr}[\text{mid2} + 1]$ :
    - P must lie somewhere to the left of mid.
    - Update high to be mid - 1.
  - If  $\text{larger\_arr}[\text{mid2}] > \text{smaller\_arr}[\text{mid} + 1]$ :
    - P must lie somewhere to the right of mid.
    - Update low to be mid + 1.
- The k-th element is the last element of the larger array.

#### Proof of Correctness:

- Assume that we don't find the k-th element of the final sorted array.
- This means that the element we found > the actual k-th element.
- There are 2 cases in which this could happen:



- Element found = last element in the larger array's partition, and it was bigger than values not included in the smaller array's partition.
  - We check that  $\text{larger\_arr}[\text{mid2}] \leq \text{smaller\_arr}[\text{mid} + 1]$  before finalizing  $\text{larger\_arr}[\text{mid2}]$  as the last element in the larger array's partition.
  - $><$
- Element found = last element in the smaller array's partition, and it was bigger than values not included in the larger array's partition.
  - However, we check that  $\text{larger\_arr}[\text{mid2}] \leq \text{smaller\_arr}[\text{mid} + 1]$  before finalizing  $\text{larger\_arr}[\text{mid2}]$  as the last element in the larger array's partition.
  - $><$
- Therefore, our algorithm finds the k-th element of the sorted array.

#### Time Complexity Analysis:

- Trying to find a valid partitioning using binary search on the smaller array costs  $O(\log N)$  time, where  $N$  = size of the smaller array.
- Comparing the elements of the array takes constant  $O(1)$  time.
- Maintaining and updating the low / high pointers takes constant  $O(1)$  time.
- Total:  $O(\log N)$  time.