

CSC165

Mathematical Expression and Reasoning for Computer Science

Module 12

Proof by Induction

Mathematical Induction

- It is a method of mathematical proof used to establish **a given statement is true** for all (or subset of) natural numbers
- It is a form of direct proof, and it is done in two steps
- The first step, known as the **basis** (or base) step/case:
 - **Prove the given statement for the first natural number**
- The second step, known as the **inductive** step:
 - **Prove that the given statement for any natural number (is true) implies the statement is true for the next natural number**
- We infer that the given statement is established for all natural numbers

Mathematical Induction

- Mathematical induction can be illustrated by the sequential effect of falling dominoes
- **Imagine an infinite collection of dominos positioned one behind the other**
- **If one domino falls backward, it makes the domino after it falls backward as well**
- **If the first domino falls, all dominos fall**

Proof by Induction

- Consider the statement “ $P(n)$ is true for all natural numbers $\geq a$ ”
- $\forall n \in \mathbb{N}: [(n \geq a) \rightarrow P(n)]$
- To prove this statement by induction:
 - Basis step: show that $P(a)$ is true
 - Inductive step: show that for all natural numbers $k \geq a$, if $P(k)$ is true, then $P(k + 1)$ is true
- This is equivalent to proving
 $P(a) \wedge (\forall k \in \mathbb{N}: [(k \geq a) \rightarrow (P(k) \rightarrow P(k + 1))])$

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Proof Structure

- Prove $\forall n \in \mathbb{N}: [(n \geq a) \rightarrow P(n)]$
- Generic Proof:

Basis step: Prove $P(a)$

\vdots

Then $P(a)$.

Inductive step: Prove $\forall k \in \mathbb{N}: [k \geq a \rightarrow (P(k) \rightarrow P(k + 1))]$

Let $k \in \mathbb{N}$.

Assume $k \geq a$.

Assume $P(k)$.

\vdots

Then $P(k + 1)$.

Then $P(k) \rightarrow P(k + 1)$.

Then $\forall k \in \mathbb{N}: [(k \geq a) \rightarrow (P(k) \rightarrow P(k + 1))]$.

Therefore, $\forall n \in \mathbb{N}: [(n \geq a) \rightarrow P(n)]$.

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Example

- Use mathematical induction to prove “ $\sum_{i=1}^n i = \frac{n(n+1)}{2}$ for all natural numbers $n \geq 1$ ”
- Remember $\sum_{i=1}^n i = 1 + 2 + 3 + \dots + n$
- Using the generic form $\forall n \in \mathbb{N}: [n \geq a \rightarrow P(n)]$:
 - $a = 1$
 - $P(n): \sum_{i=1}^n i = \frac{n(n+1)}{2}$
- Prove $\forall n \in \mathbb{N}: \left[(n \geq 1) \rightarrow \left(\sum_{i=1}^n i = \frac{n(n+1)}{2} \right) \right]$
- Basis step: **prove $P(1)$**
 - $\sum_{i=1}^1 i = 1$
 - $\frac{1(1+1)}{2} = 1$
 - $P(1)$ is true

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Prove: $\sum_{i=1}^n i = \frac{n(n+1)}{2}$

- Inductive step: **prove $\forall k \in \mathbb{N}: [(k \geq 1) \rightarrow (P(k) \rightarrow P(k+1))]$**
 - $P(k): \sum_{i=1}^k i = \frac{k(k+1)}{2}$
 - $P(k+1): \sum_{i=1}^{k+1} i = \frac{(k+1)(k+1+1)}{2}$
 - Prove that for $k \geq 1$, if $P(k)$ is true, then $P(k+1)$ is true
 - Assume $\sum_{i=1}^k i = \frac{k(k+1)}{2}$
 - Then $\sum_{i=1}^{k+1} i = 1 + \dots + k + (k+1) = \sum_{i=1}^k i + (k+1) = \frac{k(k+1)}{2} + (k+1)$
 - Then $\sum_{i=1}^{k+1} i = \frac{k(k+1)}{2} + \frac{2(k+1)}{2} = \frac{k^2+3k+2}{2} = \frac{(k+1)(k+2)}{2}$
 - Then $P(k+1)$ is true

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Proof: $\sum_{i=1}^n i = \frac{n(n+1)}{2}$

Basis step: Prove $P(1)$

$$\sum_{i=1}^1 i = 1 = \frac{1(1+1)}{2} = 1.$$

Then $P(1)$.

Inductive step: Prove $\forall k \in \mathbb{N}: [(k \geq 1) \rightarrow (P(k) \rightarrow P(k+1))]$

Let $k \in \mathbb{N}$.

Assume $k \geq 1$.

Assume $P(k)$.

$$\text{Then } \sum_{i=1}^k i = \frac{k(k+1)}{2}.$$

$$\text{Then } \sum_{i=1}^{k+1} i = 1 + \dots + k + (k+1) = \sum_{i=1}^k i + (k+1)$$

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Proof: $\sum_{i=1}^n i = \frac{n(n+1)}{2}$

...

$$= \frac{k(k+1)}{2} + (k+1)$$

$$= \frac{k(k+1)}{2} + \frac{2(k+1)}{2}$$

$$= \frac{k^2 + 3k + 2}{2}$$

$$= \frac{(k+1)(k+2)}{2}.$$

Then $P(k+1)$.

Then $P(k) \rightarrow P(k+1)$.

Then $\forall k \in \mathbb{N}: [(k \geq 1) \rightarrow (P(k) \rightarrow P(k+1))]$.

Therefore, $\forall n \in \mathbb{N}: \left[(n \geq 1) \rightarrow \left(\sum_{i=1}^n i = \frac{n(n+1)}{2} \right) \right]$.

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Example

- Prove $\forall n \in \mathbb{N}: \sum_{i=0}^n r^i = \frac{r^{n+1}-1}{r-1}$ for all real numbers r (where $r \neq 1$)
- $\sum_{i=0}^n r^i = r^0 + r^1 + \dots + r^n$
- $P(n) = \sum_{i=0}^n r^i = \frac{r^{n+1}-1}{r-1}$
- Basis step: prove $P(0)$
 - $\sum_{i=0}^0 r^i = r^0 = 1$
 - $\frac{r^{0+1}-1}{r-1} = \frac{r^1-1}{r-1} = 1$
 - $P(0)$ is true

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Prove: $\sum_{i=0}^n r^i = \frac{r^{n+1}-1}{r-1}$

- Inductive step: prove $\forall k \in \mathbb{N}: [(k \geq 0) \rightarrow (P(k) \rightarrow P(k+1))]$
 - $P(k): \sum_{i=0}^k r^i = \frac{r^{k+1}-1}{r-1}$
 - $P(k+1): \sum_{i=0}^{k+1} r^i = \frac{r^{k+2}-1}{r-1}$
 - Prove $\forall k \in \mathbb{N}: [P(k) \rightarrow P(k+1)]$
 - Assume $P(k)$ is true
 - Then $\sum_{i=0}^k r^i = \frac{r^{k+1}-1}{r-1}$ is true
 - Then $\sum_{i=0}^{k+1} r^i = \sum_{i=0}^k r^i + r^{k+1} = \frac{r^{k+1}-1}{r-1} + r^{k+1}$
 - Then $\sum_{i=0}^{k+1} r^i = \frac{r^{k+1}-1}{r-1} + \frac{r^{k+1}(r-1)}{r-1} = \frac{r^{k+1}-1+r^{k+1}(r-1)}{r-1}$
 - Then $\sum_{i=0}^{k+1} r^i = \frac{r^{k+1}-1+r^{k+2}-r^{k+1}}{r-1} = \frac{r^{k+2}-1}{r-1}$
 - Then $P(k+1)$ is true

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Proof: $\sum_{i=0}^n r^i = \frac{r^{n+1}-1}{r-1}$

Basis step: Prove $P(0)$

$$\sum_{i=0}^0 r^i = r^0 = 1 = \frac{r^{0+1}-1}{r-1} = \frac{r^1-1}{r-1}.$$

Then $P(0)$.

Inductive step: Prove $\forall k \in \mathbb{N}: [P(k) \rightarrow P(k+1)]$

Let $k \in \mathbb{N}$.

Assume $P(k)$.

$$\text{Then } \sum_{i=0}^k r^i = \frac{r^{k+1}-1}{r-1}.$$

$$\begin{aligned} \text{Then } \sum_{i=0}^{k+1} r^i &= \sum_{i=0}^k r^i + r^{k+1} \\ &= \frac{r^{k+1}-1}{r-1} + r^{k+1} \\ &\dots \end{aligned}$$

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Proof: $\sum_{i=0}^n r^i = \frac{r^{n+1}-1}{r-1}$

$$\begin{aligned} &\dots \\ &= \frac{r^{k+1}-1}{r-1} + \frac{r^{k+1}(r-1)}{r-1} \\ &= \frac{(r^{k+1}-1) + r^{k+1}(r-1)}{r-1} \\ &= \frac{r^{k+1}-1 + r^{k+2}-r^{k+1}}{r-1} \\ &= \frac{r^{k+2}-1}{r-1}. \end{aligned}$$

Then $P(k+1)$.

Then $P(k) \rightarrow P(k+1)$.

Then $\forall k \in \mathbb{N}: [P(k) \rightarrow P(k+1)]$.

Therefore, $\forall n \in \mathbb{N}: \left[\sum_{i=0}^n r^i = \frac{r^{n+1}-1}{r-1} \right]$.

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Example

- Prove $\forall n \in \mathbb{N}: 2^{2n} - 1$ is divisible by 3
- $P(n)$: $2^{2n} - 1$ is divisible by 3
- $2^{2n} - 1$ divisible by 3 $\leftrightarrow \exists j \in \mathbb{N}: 2^{2n} - 1 = 3j$
- Basis step: **prove $P(0)$**
 - $2^{2(0)} - 1 = 1 - 1 = 0$
 - 0 is divisible by 3 (i.e., $\exists j \in \mathbb{N}: 0 = 3j$)
 - **$P(0)$ is true**

Prove: $2^{2n} - 1$ is divisible by 3

- Inductive step: **prove $\forall k \in \mathbb{N}: [P(k) \rightarrow P(k + 1)]$**
 - $P(k)$: $2^{2k} - 1$ is divisible by 3
 - $P(k + 1)$: $2^{2(k+1)} - 1$ is divisible by 3
 - **Assume $P(k)$ is true**
 - Then $2^{2k} - 1$ is divisible by 3 is true
 - Then $\exists j \in \mathbb{N}: 2^{2k} - 1 = 3j$
 - Let $j_0 \in \mathbb{N}$ such that $2^{2k} - 1 = 3j_0$
 - Then $2^{2(k+1)} - 1 = 2^{2k+2} - 1 = 2^{2k}(2^2) - 1$
 - Then $2^{2(k+1)} - 1 = 2^{2k}(4) - 1 = 2^{2k}(3 + 1) - 1$
 - Then $2^{2(k+1)} - 1 = 2^{2k}(3) + (2^{2k} - 1) = 2^{2k}(3) + 3j_0$
 - Then $2^{2(k+1)} - 1 = 3(2^{2k} + j_0)$
 - Then $2^{2(k+1)} - 1$ is divisible by 3
 - **Then $P(k + 1)$ is true**

Proof: $2^{2n} - 1$ is divisible by 3

Basis step: Prove $P(0)$

$$2^{2(0)} - 1 = 1 - 1 = 0.$$

Then $\exists j \in \mathbb{N}: 0 = 3j$.

Then $P(0)$.

Inductive step: Prove $\forall k \in \mathbb{N}: [P(k) \rightarrow P(k + 1)]$

Let $k \in \mathbb{N}$.

Assume $P(k)$.

Then $2^{2k} - 1$ is divisible by 3.

Then $\exists j \in \mathbb{N}: 2^{2k} - 1 = 3j$.

Let $j_0 \in \mathbb{N}$ such that $2^{2k} - 1 = 3j_0$.

$$\begin{aligned} \text{Then } 2^{2(k+1)} - 1 &= 2^{2k+2} - 1 \\ &= 2^{2k} (2^2) - 1 \\ &= 2^{2k} (4) - 1 \\ &\dots \end{aligned}$$

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Proof: $2^{2n} - 1$ is divisible by 3

$$\begin{aligned} &\dots \\ &= 2^{2k} (3 + 1) - 1 \\ &= 2^{2k} (3) + (2^{2k} - 1) \\ &= 2^{2k} (3) + 3j_0 \\ &= 3(2^{2k} + j_0). \end{aligned}$$

Let $j_1 = 2^{2k} + j_0$.

Then $j_1 \in \mathbb{N}$.

Then $2^{2(k+1)} - 1 = 3j_1$.

Then $\exists j \in \mathbb{N}: 2^{2(k+1)} - 1 = 3j$.

Then $2^{2(k+1)} - 1$ is divisible by 3.

Then $P(k + 1)$.

Then $P(k) \rightarrow P(k + 1)$.

Then $\forall k \in \mathbb{N}: [P(k) \rightarrow P(k + 1)]$.

Therefore, $\forall n \in \mathbb{N}: 2^{2n} - 1$ is divisible by 3.

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Example

- Prove $\forall n \in \mathbb{N}: [(n \geq 3) \rightarrow (2n + 1 < 2^n)]$
- $P(n): 2n + 1 < 2^n$
- Basis step: prove $P(3)$
 - $2(3) + 1 = 7 < 2^3 = 8$
 - $P(3)$ is true
- Inductive step: prove $\forall k \in \mathbb{N}: [(k \geq 3) \rightarrow (P(k) \rightarrow P(k + 1))]$
 - $P(k): 2k + 1 < 2^k$
 - $P(k + 1): 2(k + 1) + 1 < 2^{k+1}$
 - Assume $P(k)$ is true
 - Then $2k + 1 < 2^k$ is true
 - Then $2(k + 1) + 1 = 2k + 3 = (2k + 1) + 2$
 - Then $2(k + 1) + 1 < 2^k + 2 < 2^k(2)$
 - Then $2(k + 1) + 1 < 2^k 2^1 = 2^{k+1}$
 - Then $P(k + 1)$ is true

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Proof: $\forall n \in \mathbb{N}: [(n \geq 3) \rightarrow (2n + 1 < 2^n)]$

Basis step: Prove $P(3)$

$$2(3) + 1 = 7 < 2^3 = 8.$$

Then $P(3)$.

Inductive step: Prove $\forall k \in \mathbb{N}: [(k \geq 3) \rightarrow (P(k) \rightarrow P(k + 1))]$

Let $k \in \mathbb{N}$.

Assume $k \geq 3$.

Assume $P(k)$.

$$\text{Then } 2k + 1 < 2^k.$$

$$\text{Then } 2(k + 1) + 1 = 2k + 3$$

$$= (2k + 1) + 2.$$

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Proof: $\forall n \in \mathbb{N}: [(n \geq 3) \rightarrow (2n + 1 < 2^n)]$

....

$$\begin{aligned} \text{Then } 2(k+1) + 1 &< 2^k + 2 \\ &< 2^k(2) \\ &= 2^k 2^1 \\ &= 2^{k+1}. \end{aligned}$$

since $2^k \geq 8$, $2^k + 2 < 2 \cdot 2^k$

$2(k+1) + 1 < 2^{k+1}$

Then $P(k+1)$.

Then $P(k) \rightarrow P(k+1)$.

Then $\forall k \in \mathbb{N}: [(k \geq 3) \rightarrow (P(k) \rightarrow P(k+1))]$.

Therefore, $\forall n \in \mathbb{N}: [(n \geq 3) \rightarrow (2n + 1 < 2^n)]$.

Paradox

- An example of a wrong proof
- Prove “All sheep have the same color”
- **Basis step:**
 - If there is only one sheep, there is only one color
- **Inductive step:**
 - Assume that within any set of k sheep, there is only one color
 - Consider any set of $k+1$ sheep. Number them as: $1, 2, 3, \dots, k, k+1$
 - Consider the sets $\{1, 2, 3, \dots, k\}$ and $\{2, 3, 4, \dots, k+1\}$
 - Each is a set of only k sheep, therefore within each set there is only one color (as assumed)
 - The two sets overlap, so there must be only one color among all $k+1$ sheep
- Therefore, in any group of sheep, all sheep must have the same color!
- What do you think? What is wrong with this proof?

Strong Induction

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Strong Induction

- The Principle of Mathematical Induction asserts that the conjunction of "the base case $P(a)$ " being true and " $P(k)$ implies $P(k + 1)$ " is true for all k , implies $P(n)$ is true for all n
- However, sometimes we need to "look" further back than 1 step to obtain $P(k + 1)$
- That is where the Strong Form of Mathematical Induction comes in useful
- **Principle of Strong Mathematical Induction:**
 - Let $P(n)$ be a predicate defined over integers n
 - Let a and b be fixed integers with $a \leq b$
 - Suppose the following two statements are true:
 - $P(a), P(a + 1), \dots, P(b)$ are all true (Basis step)
 - For any integer $k \geq b$, if $P(i)$ is true for all integers i with $a \leq i \leq k$, then $P(k + 1)$ is true (Inductive step)
 - Then the statement $P(n)$ is true for all integers $n \geq a$

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Proof Structure

- Prove $\forall n \in \mathbb{N}: [(n \geq a) \rightarrow P(n)]$

- Generic Proof:

Basis step: Prove $P(a), P(a+1) \dots P(b)$

\vdots

Then $P(a)$.

\vdots

Then $P(b)$.

Inductive step: Prove $\forall k \in \mathbb{N}: [k \geq b \rightarrow ((\forall i \in \{a, a+1, \dots, k\}: P(i)) \rightarrow P(k+1))]$

Let $k \in \mathbb{N}$. Assume $k \geq b$.

Assume $\forall i \in \{a, a+1, \dots, k\}: P(i)$.

\vdots

Then $P(k+1)$.

Then $(\forall i \in \{a, a+1, \dots, k\}: P(i)) \rightarrow P(k+1)$.

Then $\forall k \in \mathbb{N}: [k \geq b \rightarrow ((\forall i \in \{a, a+1, \dots, k\}: P(i)) \rightarrow P(k+1))]$.

Therefore, $\forall n \in \mathbb{N}: [(n \geq a) \rightarrow P(n)]$.

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Example

- Define a sequence s_0, s_1, s_2, \dots as:

$s_0 = 0, s_1 = 4$, and $s_k = 6s_{k-1} - 5s_{k-2}$ for all integers $k \geq 2$

- Prove that $\forall n \in \mathbb{N}: s_n = 5^n - 1$

- Thoughts:

- $s_0 = 0, s_1 = 4$
- $s_2 = 6s_1 - 5s_0 = 24 = 5^2 - 1$
- $s_3 = 6s_2 - 5s_1 = 144 - 20 = 124 = 5^3 - 1$

- Base case:

- Show $P(0)$ and $P(1)$
- $s_0 = 0 = 5^0 - 1$
- $s_1 = 4 = 5^1 - 1$

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Example

- Inductive case:
 - For $k \geq b = 1$: if $P(i)$ is true for $a = 0 \leq i \leq k$, show that $P(k + 1)$ is true
 - Let $k \geq 1$
 - Assume $s_i = 5^i - 1$ for all integers i such that $0 \leq i \leq k$
 - Show $s_{k+1} = 5^{k+1} - 1$
 - $s_{k+1} = 6s_k - 5s_{k-1} = 6(5^k - 1) - 5(5^{k-1} - 1)$
 - $s_{k+1} = (6)5^k - 6 - 5^k + 5 = (6 - 1)5^k - 1$
 - $s_{k+1} = (5)5^k - 1 = 5^{k+1} - 1$

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Proof: $\forall n \in \mathbb{N}: s_n = 5^n - 1$

Basis step: Prove $P(0), P(1)$

$$s_0 = 0 = 5^0 - 1.$$

Then $P(0)$.

$$s_1 = 4 = 5^1 - 1.$$

Then $P(1)$ Inductive step: Prove $\forall k \in \mathbb{N}: [k \geq 1 \rightarrow ((\forall i \in \{0, \dots, k\}: P(i)) \rightarrow P(k + 1))]$ Let $k \in \mathbb{N}$.Assume $k \geq 1$.Assume $\forall i \in \{0, \dots, k\}: P(i)$.# $P(i): s_i = 5^i - 1$ Then $k - 1 \geq 0$.

$$\begin{aligned} \text{Then } s_{k+1} &= 6s_k - 5s_{k-1} = 6(5^k - 1) - 5(5^{k-1} - 1) \\ &= (6)5^k - 6 - 5^k + 5 = (6 - 1)5^k - 1 = (5)5^k - 1 = 5^{k+1} - 1. \end{aligned}$$

Then $P(k + 1)$.Then $(\forall i \in \{0, \dots, k\}: P(i)) \rightarrow P(k + 1)$.Then $k \geq 1 \rightarrow ((\forall i \in \{0, \dots, k\}: P(i)) \rightarrow P(k + 1))$.Then $\forall k \in \mathbb{N}: [k \geq 1 \rightarrow ((\forall i \in \{0, \dots, k\}: P(i)) \rightarrow P(k + 1))]$.Therefore, $\forall n \in \mathbb{N}: s_n = 5^n - 1$.

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