

ADJOINT MODALITIES IN MTT

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ABSTRACT. We record several results about the behavior of adjoint modalities in MTT. In particular, we show that internal adjunctions can be used to recover stronger rules, similar to Birkedal et al. [Bir+20].

We explore the relationship between dependent right adjoints and a weak dependent right adjoint whose left adjoint also internalizes as a modality. We argue that these *internal right adjoints* exhibit many of the nice properties of dependent right adjoints. Together with recent results of Gratzer et al. [Gra+22], we argue that restricting to weak dependent right adjoints poses little issue in practice.

1. INTERNAL ADJOINTS

Let us consider the mode theory \mathcal{M} which contains two modalities $\mu : n \rightarrow m$ and $\nu : m \rightarrow n$ together with 2-cells witnessing $\nu \dashv \mu$. Explicitly, there are 2-cells $\eta : \text{id}_m \rightarrow \mu \circ \nu$ and $\epsilon : \nu \circ \mu \rightarrow \text{id}_n$ satisfying the triangle equations:

$$\begin{array}{c}
 (1) \quad \begin{array}{ccc} \mu & \xrightarrow{\eta \star \text{id}_\mu} & \mu \circ \nu \circ \mu \\ & \searrow \text{id}_\mu & \downarrow \text{id}_\mu \star \epsilon \\ & & \mu \end{array} \\
 (2) \quad \begin{array}{ccc} \nu & \xrightarrow{\text{id}_\nu \star \eta} & \nu \circ \mu \circ \nu \\ & \searrow \text{id}_\nu & \downarrow \epsilon \star \text{id}_\nu \\ & & \nu \end{array}
 \end{array}$$

Mode theories of this shape were considered to some extent in Gratzer et al. [Gra+20] and they have shown that ν behaves like a left adjoint internal to MTT and that e.g. it preserves certain colimits.

We consider the behavior of the right adjoint μ . We first observe that the action of μ on context can be encoded to through ν :

Theorem 1. *For any context $\Gamma \text{ cx}$, modality $\xi : o \rightarrow m$, and $\Gamma.\{\xi\} \vdash A$:*

$$\Gamma.(\xi \mid A).\{\mu\} \cong \Gamma.\{\mu\}.(\nu \circ \xi \mid A^{\eta \star \xi})$$

Proof. First, we observe that because $-\cdot\{-\}$ is a 2-functor, it preserves adjoints. Therefore, the $-\cdot\{\nu\} \dashv -\cdot\{\mu\}$ as functors on categories of contexts.

We will first argue that $\Gamma.(\xi \mid A).\{\mu\}$ and $\Gamma.\{\mu\}.(\nu \circ \xi \mid A^{\eta \star \xi})$ are isomorphic as they represent the same functor. To this end, we make use of universal property of context extension in **MTT**: a substitution $\Delta_0 \rightarrow \Delta_1.(\xi \mid A)$ is determined by (1) a substitution $\delta : \Delta_0 \rightarrow \Delta_1$ and (2) a term $\Delta_0 \vdash M : A[\delta.\{\xi\}]$ [Gra+20].

Fix a context Δ in mode n . Using the above universal property along with transposition, a substitution $\Delta \rightarrow \Gamma.\{\mu\}.(\nu \circ \xi \mid A^{\eta \star \xi})$ is determined by (1) a substitution $\gamma : \Delta.\{\nu\} \rightarrow \Gamma$ and (2) a term $\Delta.\{\nu \circ \xi\} \vdash M : A^{\eta \star \xi}[\gamma.\{\nu \circ \xi\}]$ naturally Δ . Unfolding the definition of transposition, $A^{\eta \star \xi}[\gamma.\{\nu \circ \xi\}]$ is simply $A[\gamma.\{\xi\}]$.

Next, a substitution $\Delta \rightarrow \Gamma.(\xi \mid A).\{\mu\}$ is determined by (1) a substitution $\gamma : \Delta.\{\nu\} \rightarrow \Gamma$ and (2) a term $\Delta.\{\nu \circ \xi\} \vdash M : A[\gamma.\{\xi\}]$ naturally in Δ .

The two contexts are therefore isomorphic by the Yoneda lemma. \square

Theorem 2. *Given any context $\Gamma \text{ cx}$ and $\Gamma.\{\mu\} \vdash A$ when $\nu \dashv \mu$, there is a pair of substitutions*

$$\begin{aligned} \gamma^{\rightarrow} &: \Gamma.(\mu \mid A) \rightarrow \Gamma.(\text{id}_m \mid \langle \mu \mid A \rangle) \\ \gamma^{\leftarrow} &: \Gamma.(\text{id}_m \mid \langle \mu \mid A \rangle) \rightarrow \Gamma.(\mu \mid A) \end{aligned}$$

Moreover, $\gamma^{\leftarrow} \circ \gamma^{\rightarrow} = \text{id}$ and, if one assumes extensional equality, $\gamma^{\rightarrow} \circ \gamma^{\leftarrow} = \text{id}$.

Proof. One direction of this isomorphism holds regardless of the precise properties of μ :

$$(3) \quad \gamma^{\rightarrow} \triangleq \uparrow.\text{mod}_{\mu}(\mathbf{v}_0) : \Gamma.(\mu \mid A) \rightarrow \Gamma.(\text{id}_m \mid \langle \mu \mid A \rangle)$$

The inverse direction is more subtle:

$$(4) \quad \gamma^{\leftarrow} \triangleq \uparrow.M : \Gamma.(\text{id}_m \mid \langle \mu \mid A \rangle) \rightarrow \Gamma.(\mu \mid A)$$

Here, M must be a term of the following type:

$$\Gamma.(\text{id}_m \mid \langle \mu \mid A \rangle).\{\mu\} \vdash M : A[\uparrow.\{\mu\}]$$

In order to define this, consider the following term:

$$\frac{\begin{array}{l} \Gamma.(\text{id}_n \mid \langle \mu \mid A \rangle).\{\mu \circ \nu\} \vdash \mathbf{v}_0^{\eta} : \langle \mu \mid A[\{\eta \star \text{id}_{\mu}\}] \rangle \\ \Gamma.(\text{id}_m \mid \langle \mu \mid A \rangle).\{\mu\}.(\nu \circ \mu \mid A) \vdash \mathbf{v}_0^{\epsilon} : A[\uparrow.\{\nu\}] \end{array}}{\Gamma.(\text{id}_m \mid \langle \mu \mid A \rangle).\{\mu\} \vdash M \triangleq \text{let}_{\nu} \text{mod}_{\mu}(_) \leftarrow \mathbf{v}_0^{\eta} \text{ in } \mathbf{v}_0^{\epsilon} : A[\uparrow.\{\mu\}]}$$

By computation, we immediately have $\gamma^{\leftarrow} \circ \gamma^{\rightarrow} = \text{id}$. In the reverse direction, we must show that the following terms are definitionally equivalent

$$(5) \quad \Gamma.(\text{id}_m \mid \langle \mu \mid A \rangle) \vdash \mathbf{v}_0 = \text{mod}_{\mu}(\text{let}_{\nu} \text{mod}_{\mu}(_) \leftarrow \mathbf{v}_0^{\eta} \text{ in } \mathbf{v}_0^{\epsilon}) : \langle \mu \mid A[\uparrow.\{\mu\}] \rangle$$

This equation is true *propositionally*, by performing induction on \mathbf{v}_0 . Therefore, in the presence of extensional equality this holds definitionally as well. \square

With this result to hand, we define $\text{unmod}_{\mu}(M)$ as follows:

$$\frac{\Gamma \vdash M : \langle \mu \mid A \rangle}{\Gamma.\{\mu\} \vdash \text{unmod}_{\mu}(M) = \mathbf{v}[\gamma^{\leftarrow}.\{\mu\} \circ \text{id}.M.\{\mu\}] : A}$$

Remark 1. We could alternatively formulate $\text{unmod}_{\mu}(-)$ with the following rule:

$$\frac{\Gamma.\{\nu\} \vdash M : \langle \mu \mid A \rangle}{\Gamma \vdash \text{unmod}_{\mu}(M) : A[\{\epsilon\}]}$$

The two formulations are inter-derivable. The one we gave above is more commonly found in the literature [GSB19; Bir+20], but this alternative can be taken as primitive without disrupting substitution.

Lemma 3. *If $\Gamma.\{\mu\} \vdash M : A$ then $\text{unmod}_\mu(\text{mod}_\mu(M)) = M$*

Proof. We must show the following:

$$\mathbf{v}[(\gamma^\leftarrow \circ \text{id}.\text{mod}_\mu(M)).\{\mu\}] = M$$

To this end, let us first rewrite $\text{id}.\text{mod}_\mu(M)$ as $\uparrow.\mathbf{v} \circ \text{id}.M$. We then observe that this is precisely $\gamma^\rightarrow \circ \text{id}.M$ whence we have the following:

$$\begin{aligned} & \mathbf{v}[(\gamma^\leftarrow \circ \text{id}.\text{mod}_\mu(M)).\{\mu\}] \\ &= \mathbf{v}[(\gamma^\leftarrow \circ \gamma^\rightarrow \circ \text{id}.M).\{\mu\}] \\ &= M \end{aligned} \quad \square$$

Lemma 4. *There is a propositional equality:*

$$(x : \langle \mu \mid A \rangle) \rightarrow \text{Id}_{\langle \mu \mid A \rangle}(\text{mod}_\mu(\text{unmod}_\mu(x)), x)$$

Proof. Modal induction on x reduces this to Lemma 3. \square

Remark 2. Note that Theorem 2 and Lemmas 3 and 4 only requires a fraction of the full elimination rule MTT provides. In particular, it is only necessary to use id or ν as a framing modality.

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