



# Hibernate Concurrency

CS544: Enterprise Architecture



# Transactions

- A Transaction is a unit of work that is:
  - **ATOMIC**: The transaction is considered a single unit, either the entire transaction completes, or the entire transaction fails.
  - **CONSISTENT**: A transaction transforms the database from one consistent state to another consistent state
  - **ISOLATED**: Data inside a transaction can not be changed by another concurrent processes until the transaction has been committed
  - **DURABLE**: Once committed, the changes made by a transaction are persistent





Hibernate Concurrency:

# ISOLATION LEVELS



# Isolation Levels

- Proper full isolation is expensive to produce in a multi user environment
  - Isolation is often relaxed to increase db speed
  - ANSI SQL defines four isolation levels

Read Uncommitted, Read Committed, Repeatable Read, and Serializable

—————>  
Weaker, faster to Stronger, slower

- Most dbs default to **Read Committed** isolation
  - Only Serializable fully isolates a transaction from concurrency issues



# Isolation Levels

- Although lower isolation levels can be problematic, they are significantly faster than higher isolation levels
- Because of this most database queries default to read-committed, but transactions can request higher isolation levels if required



# Changing the Default Level

- You can raise the default isolation to a higher level to avoid **some** of these problems
  - Everything will be **slower, less scalable**
  - Even for transactions that don't need it
  - Recommend using optimistic concurrency instead



```
<hibernate-configuration>
  <session-factory>
    <!-- HSQL DB running on localhost -->
    <property name="connection.url">jdbc:hsqldb:hsql://localhost/trainingdb</property>
    <property name="connection.driver_class">org.hsqldb.jdbcDriver</property>
    <property name="connection.username">sa</property>
    <property name="connection.password"></property>
    <property name="dialect">org.hibernate.dialect.HSQLDialect</property>
    <property name="connection.isolation">8</property>

    ...
  </session-factory>
</hibernate-configuration>
```

Specify the default isolation

1 – Read Uncommitted  
2 – Read Committed  
4 – Repeatable Read  
8 – Serializable Isolation



Hibernate Concurrency:

# **OPTIMISTIC CONCURRENCY**



# Optimistic Concurrency

- Optimistic concurrency assumes that lost update conflicts generally don't occur
  - But keeps versions# so that it knows when they do
  - Uses read committed transaction level
  - Guarantees best performance and scalability
  - The default way to deal with concurrency
- **First commit wins** instead of **last commit wins**
  - An exception is thrown if a conflict would occur

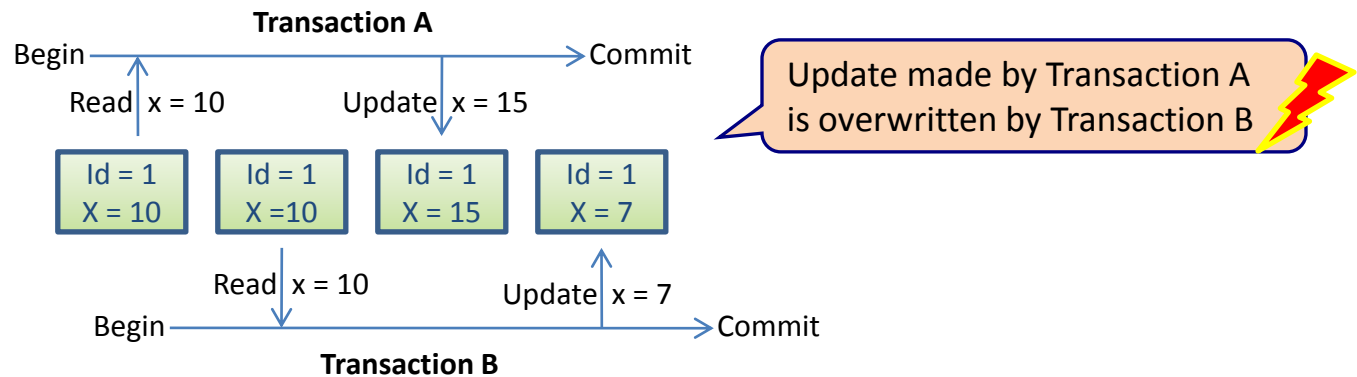






# Last Commit Wins (Lost Update)

- Normally the Read Committed level allows:



- Transactions A and B read Id = 1, X = 10
- Transaction A first increments X by 5 setting X = 15
- Transaction B next decrements X by 3 and sets X = 7

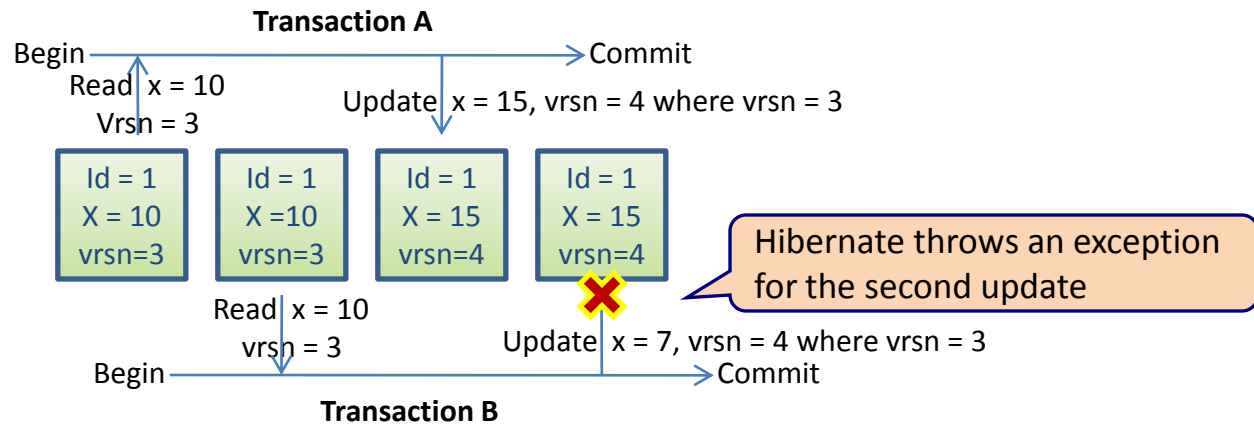
believing X  
is still 10

- The update made by A is permanently lost, and neither A nor B is aware that it happened



# First Commit Wins – Versioning

- Optimistic concurrency uses an additional version column to track updates



- Update Fails due to the version check
  - UPDATE table SET  $x = 15$ ,  $vrsn = 4$  WHERE  $id = 1$  AND  $vrsn = 3$
  - If the version has changed, the update is not executed
  - Hibernate throws an exception when the update fails



# StaleObjectStateException

- When a version conflict occurs Hibernate throws a StaleObjectStateException
  - Catching this exception allows you to notify the user about the conflict
  - The user can then reload the data and apply their updates against the latest data

```
org.hibernate.StaleObjectStateException: Row was updated  
or deleted by another transaction (or unsaved-value  
mapping was incorrect): [optimistic.nocolumn.Customer#1]  
...
```



# Merging Conflicts

- Or you can create a conflict merging page
  - Showing the updates the other transaction made
  - Showing the updates the user wanted to make
  - Allowing the user to easily merge any differences
- This is the ideal solution for the user
  - They may not remember the details of their changes, or may get upset about re-entering them
  - Requires significantly **more programming** effort
  - May not be necessary for the occasional conflict





# Version Column

- The best way to enable versioning for a class is by using an additional version column
  - Should have no semantic value in the table
  - Should be updated by all applications using the db

```
@Entity
public class Customer {
    @Id
    @GeneratedValue
    private int id;
    private String firstname;
    private String lastname;

    @Version
    private int version;

    ...
}
```

Use the @Version annotation to specify the version column

```
<hibernate-mapping package="optimistic.version">
  <class name="Customer">
    <id name="id">
      <generator class="native" />
    </id>

    <version name="version" access="field" />

    <property name="firstname" />
    <property name="lastname" />
  </class>
</hibernate-mapping>
```

Field access so that we don't need getter / setter

With XML the <version> tag should be placed after <id> and before any <property> tags



# Timestamp Column

- Alternately a timestamp column can be used
  - Timestamps are considered less secure
  - Timestamps may fit the business logic better
  - The class may already have a timestamp column

```
@Entity
public class Customer {
    @Id
    @GeneratedValue
    private int id;
    private String firstname;
    private String lastname;

    @Version
    private Date timestamp;

    ...
}
```

@Version on a Date or Calendar object creates a versioning timestamp

```
<hibernate-mapping package="optimistic.timestamp">
  <class name="Customer">
    <id name="id">
      <generator class="native" />
    </id>

    <timestamp name="timestamp" access="field" />

    <property name="firstname" />
    <property name="lastname" />
  </class>
</hibernate-mapping>
```

XML uses <timestamp> instead of <version>



# Without a Column

- Lastly Hibernate can also attempt to detect version conflicts without an additional column
  - Checks if all the object attributes are still the same as when the row was initially retrieved
  - Does not work for detached objects

```
@Entity
@org.hibernate.annotations.Entity(
    optimisticLock=OptimisticLockType.ALL,
    dynamicUpdate=true
)
public class Customer {
    @Id
    @GeneratedValue
    private int id;
    private String firstname;
    private String lastname;
    ...
}
```

Requires Hibernate extension to specify OptimisticLockType.ALL and dynamicUpdate=true

```
<hibernate-mapping package="optimistic.nocolumn">
  <class name="Customer"
    optimistic-lock="all" dynamic-update="true">

    <id name="id">
      <generator class="native" />
    </id>
    <property name="firstname" />
    <property name="lastname" />
  </class>
</hibernate-mapping>
```

XML uses optimistic-lock and dynamic-update attributes on the <class> tag



Hibernate Concurrency:

# **APPLICATION TRANSACTIONS**





# Application Transactions

- Application Transactions are longer running conversations, and can be seen as
  - A **Unit of Work** from the User Perspective
  - Spanning **two or more screens**
- The user expects these units of work to be
  - **A**tomic, **C**onsistent, **I**solated, and **D**urable
  - Submitting data after each screen would not allow us to roll back the entire unit of work (not Atomic)
  - Nor should you use a single database transaction across multiple screens
    - Keeping locks open during user think time





# Detached Objects

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- The easiest way to manage application transactions is by using detached objects
  - in combination with optimistic concurrency
- Changes are kept in the detached objects
  - These are not re-attached until the last operation
  - The objects are re-attached and all changes are committed within a single db transaction
  - Optimistic concurrency throws an exception if isolation failed while the objects were detached



# Checkout Example

- Persistent Shopping Cart:

- Order and order items are saved in the session
- Changes to the order are immediately saved to the db
  - Thereby also updating the order's version column



- Checkout has the following screens:

1. Confirm the items you are checking out → order becomes detached
2. Add payment details to order → detached, does not save to db
3. Specify shipping details → detached, does not save to db
4. Confirm entire order including shipping → reattach, save/update all

- When the order is confirmed:

- Reconnect and commit entire order object tree
- Checkout fails if order has been changed in db while detached
- Checkout fails if payment does not process



Hibernate Concurrency:

# **PESSIMISTIC LOCKING**



# Pessimistic Locking

- For certain operations optimistic concurrency might not be enough
  - Stricter isolation might be required to prevent the unrepeatable reads problem
  - Hibernate can request explicit database level locks to provide increased isolation
  - These locks will be released on commit

```
Customer cust = (Customer)session.get(Customer.class, 1);  
session.lock(cust, LockMode.UPGRADE);
```

```
Customer cust = (Customer)session.get(Customer.class, 1, LockMode.UPGRADE);
```

Session.get() also supports a LockMode argument, allowing you to combine loading and locking in one



# Hibernate Lock Modes

Lock Mode	Description
NONE	Default Mode - Only access the db if the object isn't in cache
READ	Performs a version check for the object against the db
FORCE	Force a version increment of the object in the database
UPGRADE	Version checks the object, and if possible perform a database level lock using SELECT ... FOR UPDATE
UPGRADE_NOWAIT	Same as UPGRADE, but using SELECT ... FOR UPDATE NOWAIT - If the underlying database supports it
WRITE	Used internally by Hibernate when writing to the db. Not for use in applications

- On databases that don't support SQL locking UPGRADE and UPGRADE\_NOWAIT fall back to READ



Hibernate Concurrency:

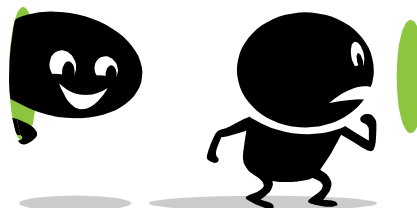
# TRANSACTION REQUIREMENT



# Transaction Requirement

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- Many developers believe transactions are an optional part of database interactions
- In reality, there is no such thing as a database interaction without a transaction
- Most databases default to auto-commit mode
  - Wraps a transaction around each SQL statement
  - Effectively hiding the transaction from view







# Auto Commit Mode

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- Auto Commit Mode is good for SQL Console work, but bad for applications

## *Console:*

- Work is often ad-hoc update / retrieval (no tx needed)
- Having to add begin / commit would be more work

## *Application:*

- More transactions means more overhead
  - Reduced isolation without transaction boundaries
- 
- Hibernate disables auto commit by default
    - Thereby **requiring you to specify** when to commit



# No Transaction?

New versions of Hibernate throw an exception

- If you don't specify a Hibernate transaction
  - A transaction will still be opened at the JDBC level
  - And Hibernate runs without auto-commit

```
Session session = sessionFactory.openSession();  
session.save(new Customer("Frank", "Brown"));  
session.close();
```

A connection is opened for session.save() which opens a JDBC transaction

- The TX is still open when the session is closed, which also closes the connection
  - Some dbs roll back on disconnect (HSQL, MS-SQL)
  - Other dbs commit on disconnect (MySQL, Oracle)

Omitting transaction code creates different results on different databases!





# Active Learning

- 
- How does optimistic concurrency work?
  - What are application transactions?



# Module Summary

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- A transaction is a unit of work that is Atomic, Consistent, Isolated, and Durable (ACID)
- Full Isolation is expensive, most databases use lower isolation levels to increase performance
- Optimistic concurrency uses version checking to avoid data loss with concurrent updates
- Pessimistic locking can additionally be used to temporarily increase isolation
- Transactions are never optional, auto commit mode is not useful for applications



# Main Point

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- Optimistic concurrency uses version checking to avoid data loss while keeping the database in a lower isolation level (keeping things faster). Pessimistic locking can additionally be used to temporarily increase isolation or update the version number.
- *Science of Consciousness*: Purification leads to progress (add versions or extra locks), similar to how our mind purifies itself when we add Transcendental Consciousness.