

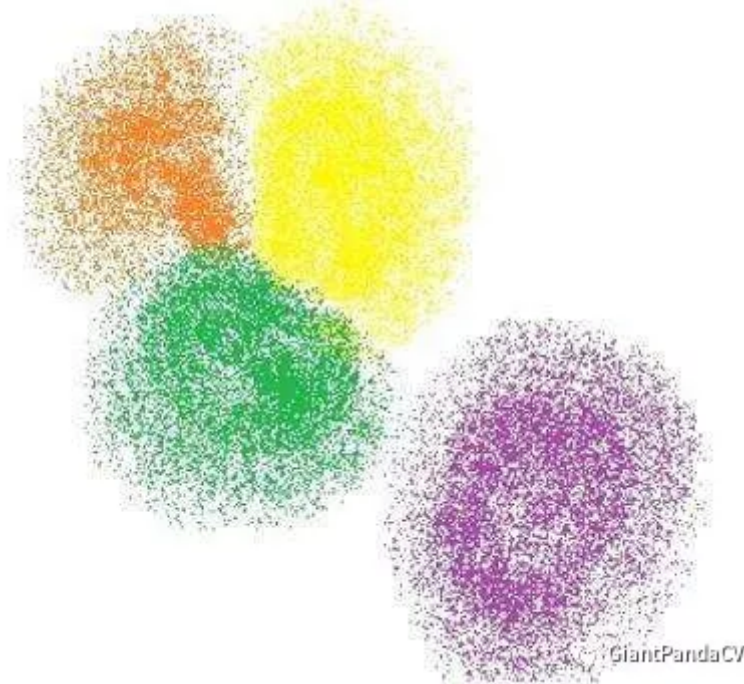
# 机器学习算法之KMeans聚类算法

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## 算法原理

聚类指的是把集合，分组成多个类，每个类中的对象都是彼此相似的。K-means是聚类中最常用的方法之一，它是基于点与点距离的相似度来计算最佳类别归属。

在使用该方法前，要注意（1）对数据异常值的处理；（2）对数据标准化处理（ $(x - \min(x)) / (\max(x) - \min(x))$ ）；（3）每一个类别的数量要大体均等；（4）不同类别间的特质值应该差异较大。下图展示了一个聚类算法的结果：



## 算法流程

- （1）选择k个初始聚类中心
- （2）计算每个对象与这k个中心各自的距离，按照最小距离原则分配到最邻近聚类
- （3）使用每个聚类中的样本均值作为新的聚类中心
- （4）重复步骤（2）和（3）直到聚类中心不再变化
- （5）结束，得到k个聚类

## 算法的作用

聚类算法可以将数据中相似度比较大的数据聚集在一起，并且此算法是无监督算法，没有任何标注成本。且以KMean聚类算法为基础，衍生了很多其他种类的聚类算法如密度聚类，谱聚类等。在商业上，聚类可以帮助市场分析人员从消费者数据库中区分出不同的消费群体来，并且概括出每一类消费者的消费模式或者说习惯。同时聚类算法在数据挖掘对于数据预处理上也发挥着重要作用。这里只是简单介绍和实现了KMean聚类算法，详细了解推荐《周志华机器学习》书籍。

## 代码实现

```
1  #coding=utf-8
2  from collections import Counter
3  from copy import deepcopy
4  from time import time
5  from random import randint, seed, random
6
7  # 统计程序运行时间函数
8  # fn代表运行的函数
9  def run_time(fn):
10     def fun():
11         start = time()
12         fn()
13         ret = time() - start
14         if ret < 1e-6:
15             unit = "ns"
16             ret *= 1e9
17         elif ret < 1e-3:
18             unit = "us"
19             ret *= 1e6
20         elif ret < 1:
21             unit = "ms"
22             ret *= 1e3
23         else:
24             unit = "s"
25         print("Total run time is %.1f %s\n" % (ret, unit))
26     return fun()
27
28 def load_data():
29     f = open("boston/breast_cancer.csv")
30     x = []
31     y = []
32     for line in f:
33         line = line[:-1].split(',')
34         xi = [float(s) for s in line[:-1]]
35         yi = line[-1]
36         if '.' in yi:
37             yi = float(yi)
```

```
38         else:
39             yi = int(yi)
40             X.append(xi)
41             y.append(yi)
42     f.close()
43     return X, y
44
45 # 将数据归一化到[0, 1] 范围
46 def min_max_scale(X):
47     m = len(X[0])
48     x_max = [-float('inf') for _ in range(m)]
49     x_min = [float('inf') for _ in range(m)]
50     for row in X:
51         x_max = [max(a, b) for a, b in zip(x_max, row)]
52         x_min = [min(a, b) for a, b in zip(x_min, row)]
53
54     ret = []
55     for row in X:
56         tmp = [(x - b) / (a - b) for a, b, x in zip(x_max, x_min, row)]
57         ret.append(tmp)
58     return ret
59
60 def get_euclidean_distance(arr1, arr2):
61     return sum((x1 - x2) ** 2 for x1, x2 in zip(arr1, arr2)) ** 0.5
62
63 def get_cosine_distance(arr1, arr2):
64     numerator = sum(x1 * x2 for x1, x2 in zip(arr1, arr2))
65     denominator = (sum(x1 ** 2 for x1 in arr1) *
66                    sum(x2 ** 2 for x2 in arr2)) ** 0.5
67     return numerator / denominator
68
69
70 class KMeans(object):
71     # k 簇的个数
72     # n_features 特征的个数
73     # clister_centers 聚类中心
74     # distance_fn 距离计算函数
75     # cluster_samples_cnt 每个簇里面的样本数
76     def __init__(self):
77         self.k = None
```

```

78         self.n_features = None
79         self.cluster_centers = None
80         self.distance_fn = None
81         self.cluster_samples_cnt = None
82
83         # 二分，查找有序列表里面大于目标值的第一个值
84         def bin_search(self, target, nums):
85             low = 0
86             high = len(nums) - 1
87             assert nums[low] <= target < nums[high], "Cannot find target!"
88             while 1:
89                 mid = (low + high) // 2
90                 if mid == 0 or target >= nums[mid]:
91                     low = mid + 1
92                 elif target < nums[mid - 1]:
93                     high = mid - 1
94                 else:
95                     break
96             return mid
97
98         # 比较两个向量是否为同一向量
99         def cmp_arr(self, arr1, arr2, eps=1e-8):
100             return len(arr1) == len(arr2) and \
101                 all(abs(a - b) < eps for a, b in zip(arr1, arr2))
102
103         # 初始化聚类中心
104         def init_cluster_centers(self, X, k, n_features, distance_fn):
105             n = len(X)
106             centers = [X[randint(0, n-1)]]
107             for _ in range(k-1):
108                 center_pre = centers[-1]
109                 idxs_dists = ([i, distance_fn(Xi, center_pre)] for i, Xi in enumerate(X))
110                 # 对距离进行排序
111                 idxs_dists = sorted(idxs_dists, key=lambda x: x[1])
112                 dists = [x[1] for x in idxs_dists]
113                 tot = sum(dists)
114                 for i in range(1, n):
115                     dists[i] /= tot
116                 for i in range(1, n):
117                     dists[i] += dists[i-1]

```

```

118         # 随机选择一个聚类中心
119         while 1:
120             num = random()
121             # 查找>=num的距离
122             dist_idx = self.bin_search(num, dists)
123             row_idx = idxs_dists[dist_idx][0]
124             center_cur = X[row_idx]
125             if not any(self.cmp_arr(center_cur, center) for center in centers):
126                 break
127             centers.append(center_cur)
128         return centers
129
130
131     # 寻找距离Xi最近的聚类中心
132     def get_nearest_center(self, Xi, centers, distance_fn):
133         return min(((i, distance_fn(Xi, center)) for
134                     i, center in enumerate(centers)), key=lambda x: x[1])[0]
135
136     # 寻找X最近的聚类中心
137     def get_nearest_centers(self, X, distance_fn, centers):
138         return [self.get_nearest_center(Xi, centers, distance_fn) for Xi in X]
139
140     # 获取空的簇
141     def get_empty_cluster_idxes(self, cluster_samples_cnt, k):
142         clusters = ((i, cluster_samples_cnt[i]) for i in range(k))
143         empty_clusters = filter(lambda x: x[1] == 0, clusters)
144         return [empty_clusters[0] for empty_cluster in empty_clusters]
145     # 在X中找到到所有非空簇中心的最远样本
146     def get_furthest_row(self, X, distance_fn, centers, empty_cluster_idxes):
147         def f(Xi, centers):
148             return sum(distance_fn(Xi, center) for center in centers)
149
150         non_empty_centers = map(lambda x: x[1], filter(
151             lambda x: x[0] not in empty_cluster_idxes, enumerate(centers)))
152         return max(map(lambda x: [x, f(x, non_empty_centers)], X), key=lambda x: x[1])
153
154     # 处理空的簇
155     def process_empty_clusters(self, X, distance_fn, n_features, centers, empty_cluster_idxes):
156         for i in empty_cluster_idxes:
157             center_cur = self.get_furthest_row(X, distance_fn, centers, empty_cluster_idxes)

```

```

158         while any(self._cmp_arr(center_cur, center) for center in center
159             center_cur = self.get_furthest_row(X, distance_fn, centers,
160                 empty_cluster_idx)
161         centers[i] = center_cur
162     return centers
163
164     # 重新获取聚类中心
165     def get_cluster_centers(self, X, k, n_features, y, cluster_samples_cnt):
166         ret = [[0 for _ in range(n_features)] for _ in range(k)]
167         for Xi, cetner_num in zip(X, y):
168             for j in range(n_features):
169                 ret[cetner_num][j] += Xi[j] / cluster_samples_cnt[cetner_num]
170         return ret
171
172     # 训练
173     def fit(self, X, k, fn=None, n_iter=100):
174         n_features = len(X[0])
175         if fn is None:
176             distance_fn = get_euclidean_distance
177         else:
178             error_msg = "Parameter distance_fn must be eu or cos!"
179             assert fn in ("eu", "cos"), error_msg
180             if fn == "eu":
181                 distance_fn = get_euclidean_distance
182             if fn == "cos":
183                 distance_fn = get_cosine_distance
184
185         centers = self.init_cluster_centers(X, k, n_features, distance_fn)
186         for i in range(n_iter):
187             while 1:
188                 # 寻找X的最近聚类中心
189                 y = self.get_nearest_centers(X, distance_fn, centers)
190                 # 统计每个簇的样本个数
191                 cluster_samples_cnt = Counter(y)
192                 # 获取空的簇
193                 empty_cluster_idx = self.get_empty_cluster_idx(cluster_samples_cnt)
194                 # 如果有空的簇
195                 if empty_cluster_idx:
196                     centers = self.process_empty_clusters(centers, empty_cluster_idx)
197                 else:

```

```

198             break
199         centers_new = self.get_cluster_centers(X, k, n_features, y, cluster_samples_cnt)
200         centers = deepcopy(centers_new)
201         print("Iteration: %d" % i)
202         self.k = k
203         self.n_features = n_features
204         self.distance_fn = distance_fn
205         self.cluster_centers = centers
206         self.cluster_samples_cnt = cluster_samples_cnt
207
208     def _predict(self, Xi):
209         return self.get_nearest_center(Xi, self.cluster_centers, self.distance_fn)
210
211     def predict(self, X):
212         return [self._predict(Xi) for Xi in X]
213
214
215 @run_time
216 def main():
217     print("Testing the performance of Kmeans...")
218     # Load data
219     X, y = load_data()
220     X = min_max_scale(X)
221     # Train model
222     est = KMeans()
223     k = 2
224     est.fit(X, k)
225     print()
226     # Model performance
227     prob_pos = sum(y) / len(y)
228     print("Positive probability of X is: %.1f%%.\n" % (prob_pos * 100))
229     y_hat = est.predict(X)
230     cluster_pos_tot_cnt = {i: [0, 0] for i in range(k)}
231     for yi_hat, yi in zip(y_hat, y):
232         cluster_pos_tot_cnt[yi_hat][0] += yi
233         cluster_pos_tot_cnt[yi_hat][1] += 1
234     cluster_prob_pos = {k: v[0] / v[1] for k, v in cluster_pos_tot_cnt.items()}
235     for i in range(k):
236         tot_cnt = cluster_pos_tot_cnt[i][1]
237         prob_pos = cluster_prob_pos[i]

```

```
238         print("Count of elements in cluster %d is:%d." %  
239               (i, tot_cnt))  
240         print("Positive probability of cluster %d is:%.1f%%.\n" % (i, probab_
```

## 对肺癌数据集聚类100轮结果

```
Iteration: 84  
Iteration: 85  
Iteration: 86  
Iteration: 87  
Iteration: 88  
Iteration: 89  
Iteration: 90  
Iteration: 91  
Iteration: 92  
Iteration: 93  
Iteration: 94  
Iteration: 95  
Iteration: 96  
Iteration: 97  
Iteration: 98  
Iteration: 99  
  
Positive probability of X is:62.7%.  
  
Count of elements in cluster 0 is:189.  
Positive probability of cluster 0 is:4.8%.  
  
Count of elements in cluster 1 is:380.  
Positive probability of cluster 1 is:91.6%.  
  
Total run time is 2.5 s    https://blog.csdn.net/GiantPandaCV
```

可以看到经过100次聚类后，正负样本被大量聚集在了一起，证明了聚类算法的有效性。

## 源码和数据集获取

<https://github.com/BBuf/machine-learning>

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