

支持多值带权重、稀疏、共享embedding权重的DSSM召回实现 (tensorflow2)

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前序

关于DSSM模型原理及实现，网上已经有很多质量不错的参考文章了，比如王多鱼的实践DSSM召回（如果对dssm模型原理不熟，建议先阅读这篇文章，再看本文实践部分，本文主要讲实现），总结的非常不错，王多鱼这篇文章DSSM实践是基于浅梦大佬开源deepmatch包实现的，但是在推荐系统实践中如果直接调用别人的模型包会遇到诸多不便，需要在自己业务场景中做finetune；实际生产中，模型所用到的特征往往都是稀疏的，多值变长的，对有些特征我们还想让它们共享embedding，说到这里，我要非常感谢石塔西的这篇文章用TensorFlow实现支持多值、稀疏、共享权重的DeepFM，从这篇文章中，我得到很多启发；本文下面介绍的主要是自己从各位大佬那学习到的知识总结，并无什么创新点，希望对一些刚入坑的童鞋们有所帮助。好了，那我们开始，Talk is cheap, Show me the code.

虽然本文不讲模型原理，但是有两Tricks，还是值得提下，亲测有效，这两tricks在下文实现均有体现。

1 对user及item embedding向量 L2标准化

$$u(x, \theta) \leftarrow u(x, \theta) / \|u(x, \theta)\|_2, \quad v(x, \theta) \leftarrow v(x, \theta) / \|v(x, \theta)\|_2$$

Embedding标准化可以加速模型训练和提升检索效果。

2 增强Softmax效果

通过引入超参数 τ 来增强softmax每个逻辑值的输出：

$$s(x, y) = \langle u(x, \theta), v(y, \theta) \rangle / \tau$$

微调超参数 τ 可以最大化召回率或精确率。

1

数据预处理

为了逼近真实推荐系统场景的数据处理，这里人为构造部分实际生产数据样例作为演示；

data.head()

	act	client_id	post_id	client_type	follow_topic_id	all_topic_fav_7	topic_id	read_post_id
0	1	28401	39647119	0	572,92,62,37,35,34,33,32,31,30,29,68,67,65,24,...	502:0.3443,278:0.0868,177:0.0719,1:0.497	135	39588887,39599018,39576294,39553374,39630091
1	1	28401	39645671	0	572,92,62,37,35,34,33,32,31,30,29,68,67,65,24,...	502:0.3443,278:0.0868,177:0.0719,1:0.497	1	39588887,39599018,39576294,39553374,39630091
2	0	28401	39643183	0	572,92,62,37,35,34,33,32,31,30,29,68,67,65,24,...	502:0.3443,278:0.0868,177:0.0719,1:0.497	3	39588887,39599018,39576294,39553374,39630091
3	0	28401	39629847	0	572,92,62,37,35,34,33,32,31,30,29,68,67,65,24,...	502:0.3443,278:0.0868,177:0.0719,1:0.497	4	39588887,39599018,39576294,39553374,39630091
4	1	28401	39613538	0	572,92,62,37,35,34,33,32,31,30,29,68,67,65,24,...	502:0.3443,278:0.0868,177:0.0719,1:0.497	278	39588887,39599018,39576294,39553374,39630091

样本

字段介绍：

- act**: 为label数据 1:正样本，0： 负样本
- client_id**: 用户id
- post_id**: 物料item id 这里称为post_id
- client_type**:用户客户端类型
- follow_topic_id**: 用户关注话题分类id
- all_topic_fav_7**: 用户画像特征，用户最近7天对话题偏爱度刻画，kv键值对形式
- topic_id**: 物料所属的话题
- read_post_id**:用户最近阅读的物料id

预训练item embedding 向量

ITEM_EMBEDDING

```
<tf.Tensor: shape=(112396, 768), dtype=float32, numpy=
array([[ 0.          ,  0.          ,  0.          , ...,  0.          ,  0.          ,
         0.          ],
       [ 0.999357,  0.999952,  0.997325, ..., -0.997149, -0.999041,
        -0.149874],
       [ 0.999701,  0.999996,  0.475305, ..., -0.996938, -0.999869,
         0.479093],
       ...,
       [ 0.999113,  0.999849,  0.961474, ..., -0.997135, -0.997072,
         0.113319],
       [ 0.999691,  0.999915,  0.978405, ..., -0.999732, -0.996863,
         0.813737],
       [ 0.999831,  0.999961,  0.999492, ..., -0.99991 , -0.999621,
        -0.260133]], dtype=float32)>
```

这里会有为每个item预训练生成一个embedding向量，存到embedding矩阵中，idx=0行，为一个默认值，当一个item因某些原因未生成其embedding向量，则用默认值0替代。

定义参数类型

我们将参数归三种类型单值离散型SparseFeat，如topic_id字段；稠密数值类型DenseFeat，如用户访问时间及用户embedding向量等；多值变长离散特征VarLenSparseFeat，如follow_topic_id或者带权重形式all_topic_fav_7；这里延用deepMatch开源包里定义输入变量方式，需要注意的是，SparseFeat与VarLenSparseFeat类型的特征，如果想共享embedding权重向量，需要指定其与哪个category离散变量特征embedding参数共享，如这里我们想follow_topic_id与all_topic_fav_7里的id embedding与item的topic_id embedding权重共享一套，设置share_embed='topic_id'即可。

```

1 from collections import namedtuple, OrderedDict
2 import tensorflow as tf
3
4 SparseFeat = namedtuple('SparseFeat', ['name', 'voc_size', 'share_embed', 'emb_dim'])
5 DenseFeat = namedtuple('DenseFeat', ['name', 'pre_embed', 'reduce_type', 'dim'])
6 VarLenSparseFeat = namedtuple('VarLenSparseFeat', ['name', 'voc_size', 'share_embed', 'emb_dim'])
7 import tensorflow as tf
8
9 SparseFeat = namedtuple('SparseFeat', ['name', 'voc_size', 'share_embed', 'emb_dim'])
10 DenseFeat = namedtuple('DenseFeat', ['name', 'pre_embed', 'reduce_type', 'dim'])
11 VarLenSparseFeat = namedtuple('VarLenSparseFeat', ['name', 'voc_size', 'share_embed', 'emb_dim'])

```

定义DSSM输入变量参数

除了常见的特征，这里使用用户最近浏览的物料embedding向量的平均作为用户的一个特征即client_embed；我们将follow_topic_id，all_topic_fav7用到的topic_id embedding向量与item的topic_id对应的embedding向量共享，在实际应用中，相近语义的embedding权重共享是很有必要的，大大减少网络训练参数，防止过拟合。

```

1 feature_columns = [SparseFeat(name="topic_id", voc_size=700, share_embed=None, emb_dim=700),
2                     SparseFeat(name='client_type', voc_size=2, share_embed=None, emb_dim=2),
3                     VarLenSparseFeat(name="follow_topic_id", voc_size=700, share_embed="topic_id", emb_dim=700),
4                     VarLenSparseFeat(name="all_topic_fav_7", voc_size=700, share_embed="topic_id", emb_dim=700),
5                     DenseFeat(name='item_embed', pre_embed='post_id', reduce_type='sum', dim=700),
6                     DenseFeat(name='client_embed', pre_embed='read_post_id', reduce_type='sum', dim=700),
7                     ]
8

```

```

9
10 # 用户特征及帖子特征
11 user_feature_columns_name = ["follow_topic_id", 'all_topic_fav_7', 'client_type',]
12 item_feature_columns_name = ["topic_id", 'post_type', 'item_embed',]
13 user_feature_columns = [col for col in feature_columns if col.name in user_feature_columns_name]
14 item_feature_columns = [col for col in feature_columns if col.name in item_feature_columns_name]

```

构造训练tf.dataset数据

首先加载预训练 item embedding向量及离散特征vocabulary

```

1 def get_item_embed(file_names):
2     item_bert_embed = []
3     item_id = []
4     for file in file_names:
5         with open(file, 'r') as f:
6             for line in f:
7                 feature_json = json.loads(line)
8                 item_bert_embed.append(feature_json['post_id'])
9                 item_id.append(feature_json['values'])
10
11     item_id2idx = tf.lookup.StaticHashTable(
12         tf.lookup.KeyValueTensorInitializer(
13             keys=item_id,
14             values=range(1, len(item_id)+1),
15             key_dtype=tf.string,
16             value_dtype=tf.int32),
17         default_value=0)
18     item_bert_embed = [[0.0]*768] + item_bert_embed
19     item_embedding = tf.constant(item_bert_embed, dtype=tf.float32)
20     return item_id2idx, item_embedding
21 # 获取item embedding及其查找关系
22 ITEM_ID2IDX, ITEM_EMBEDDING = get_item_embed(file_names)
23
24 # 定义离散特征集合，离散特征vocabulary
25 DICT_CATEGORICAL = {"topic_id": [str(i) for i in range(0, 700)],
26                     "client_type": [0,1]
27 }

```

然后, tf.dataset构造

```

1  DEFAULT_VALUES = [[0],['],['],[0.0], ['], ['], ['],[']]
2  COL_NAME = ['act', 'client_id', 'post_id', 'client_type', 'follow_topic_id',
3
4  def _parse_function(example_proto):
5
6      item_feats = tf.io.decode_csv(example_proto, record_defaults=DEFAULT_VALUES)
7      parsed = dict(zip(COL_NAME, item_feats))
8
9      feature_dict = {}
10     for feat_col in feature_columns:
11         if isinstance(feat_col, VarLenSparseFeat):
12             if feat_col.weight_name is not None:
13                 kvpairs = tf.strings.split([parsed[feat_col.name]], ',').values
14                 kvpairs = tf.strings.split(kvpairs, ':')
15                 kvpairs = kvpairs.to_tensor()
16                 feat_ids, feat_vals = tf.split(kvpairs, num_or_size_splits=2)
17                 feat_vals = tf.strings.to_number(feat_vals, out_type=tf.float32)
18                 feature_dict[feat_col.name] = feat_ids
19                 feature_dict[feat_col.weight_name] = feat_vals
20             else:
21                 feat_ids = tf.strings.split([parsed[feat_col.name]], ',').values
22                 feat_ids = tf.reshape(feat_ids, shape=[-1])
23                 feature_dict[feat_col.name] = feat_ids
24
25         elif isinstance(feat_col, SparseFeat):
26             feature_dict[feat_col.name] = parsed[feat_col.name]
27
28         elif isinstance(feat_col, DenseFeat):
29             if feat_col.pre_embed is None:
30                 feature_dict[feat_col.name] = parsed[feat_col.name]
31             elif feat_col.reduce_type is not None:
32                 keys = tf.strings.split(parsed[feat_col.pre_embed], ',')
33                 emb = tf.nn.embedding_lookup(params=ITEM_EMBEDDING, ids=ITEM_EMBEDDING)
34                 emb = tf.reduce_mean(emb, axis=0) if feat_col.reduce_type == 'mean' else emb
35                 feature_dict[feat_col.name] = emb
36             else:
37                 emb = tf.nn.embedding_lookup(params=ITEM_EMBEDDING, ids=ITEM_EMBEDDING)
38                 feature_dict[feat_col.name] = emb

```

```
39         else:
40             raise "unknown feature_columns...."
41
42
43     label = parsed['act']
44
45
46     return feature_dict, label
47
48
49 pad_shapes = {}
50 pad_values = {}
51
52 for feat_col in feature_columns:
53     if isinstance(feat_col, VarLenSparseFeat):
54         max_tokens = feat_col.maxlen
55         pad_shapes[feat_col.name] = tf.TensorShape([max_tokens])
56         pad_values[feat_col.name] = ''
57         if feat_col.weight_name is not None:
58             pad_shapes[feat_col.weight_name] = tf.TensorShape([max_tokens])
59             pad_values[feat_col.weight_name] = tf.constant(-1, dtype=tf.float32)
60
61 # no need to pad labels
62 elif isinstance(feat_col, SparseFeat):
63     if feat_col.dtype == 'string':
64         pad_shapes[feat_col.name] = tf.TensorShape([])
65         pad_values[feat_col.name] = '9999'
66     else:
67         pad_shapes[feat_col.name] = tf.TensorShape([])
68         pad_values[feat_col.name] = 0.0
69 elif isinstance(feat_col, DenseFeat):
70     if feat_col.pre_embed is None:
71         pad_shapes[feat_col.name] = tf.TensorShape([])
72         pad_values[feat_col.name] = 0.0
73     else:
74         pad_shapes[feat_col.name] = tf.TensorShape([feat_col.dim])
75         pad_values[feat_col.name] = 0.0
76
77
78 pad_shapes = (pad_shapes, (tf.TensorShape([])))
```



```

79 pad_values = (pad_values, (tf.constant(0, dtype=tf.int32)))
80
81
82 filenames= tf.data.Dataset.list_files([
83     '/recall_user_item_act.csv'
84 ])
85 dataset = filenames.flat_map(
86     lambda filepath: tf.data.TextLineDataset(filepath).skip(1))
87
88 batch_size = 1024
89 dataset = dataset.map(_parse_function, num_parallel_calls=60)
90 dataset = dataset.repeat()
91 dataset = dataset.shuffle(buffer_size = batch_size*2) # 在缓冲区中随机打乱数据
92 dataset = dataset.padded_batch(batch_size = batch_size,
93                                padded_shapes = pad_shapes,
94                                padding_values = pad_values) # 每1024条数据为一
95 dataset = dataset.prefetch(buffer_size=tf.data.experimental.AUTOTUNE)
96
97 # 验证集
98 filenames_val= tf.data.Dataset.list_files(['/recall_user_item_act_val.csv'])
99 dataset_val = filenames_val.flat_map(
100     lambda filepath: tf.data.TextLineDataset(filepath).skip(1))
101
102 val_batch_size = 1024
103 dataset_val = dataset_val.map(_parse_function, num_parallel_calls=60)
104 dataset_val = dataset_val.padded_batch(batch_size = val_batch_size,
105                                         padded_shapes = pad_shapes,
106                                         padding_values = pad_values) # 每1024条数据为一
107 dataset_val = dataset_val.prefetch(buffer_size=tf.data.experimental.AUTOTUNE)

```

经过上述逻辑代码预处理后，原始样本csv文件中数据格式已经转化为如下的形式（这里拿batch_size=1 举例），kv形式的特征被拆分为两个Input输入变量一个是category离散ID（如all_topic_fav_7），一个是其对应的weight（如all_topic_fav_7_weight），他们最终被输入到tf.nn.embedding_lookup_sparse(self.embedding,sp_ids=idx, sp_weights=val, combiner='sum')这个api 对应的sp_ids, sp_weights参数中去。

```

1 # next(iter(dataset))
2 ({'topic_id': <tf.Tensor: shape=(1,), dtype=string, numpy=array([b'278'], dtype=

```



```

3  'client_type': <tf.Tensor: shape=(1,), dtype=float32, numpy=array([0.], dt
4  'follow_topic_id': <tf.Tensor: shape=(1, 20), dtype=string, numpy=
5  array([[b'572', b'92', b'62', b'37', b'35', b'34', b'33', b'32', b'31',
6          b'30', b'29', b'68', b'67', b'65', b'24', b'20', b'16', b'15',
7          b'13', b'12']], dtype=object)>,
8  'all_topic_fav_7': <tf.Tensor: shape=(1, 5), dtype=string, numpy=array([[b'
9  'all_topic_fav_7_weight': <tf.Tensor: shape=(1, 5), dtype=float32, numpy=ar
10 'item_embed': <tf.Tensor: shape=(1, 768), dtype=float32, numpy=
11 array([[ 0.999586,  0.999861,  0.995566,  0.892292,  0.848516,  0.815888,
12          -0.860286, -0.871219,  0.982316, -0.999692,  0.999998,  0.999589,
13          .....
14          -0.943752,  0.999957, -0.990231,  0.999377, -0.997795,  0.999498,
15          -0.995729,  0.701236,  0.991473,  0.946505, -0.996337,  0.999991,
16          0.991516, -0.997269, -0.993377, -0.9964 , -0.99972 ,  0.880781]]),
17          dtype=float32)>,
18  'client_embed': <tf.Tensor: shape=(1, 768), dtype=float32, numpy=
19  array([[ 0.79698 ,  0.7999152 ,  0.78845704,  0.6598178 ,  0.59617054,
20          0.5318628 , -0.5754676 , -0.7469004 ,  0.78916025, -0.7958456 ,
21          .....
22          0.7989754 , -0.7971929 , -0.0165708 ,  0.7924882 ,  0.73336124,
23          -0.794997 ,  0.7999618 ,  0.7634414 , -0.792517 , -0.762231 ,
24          -0.7960204 , -0.7998554 ,  0.37363502]], dtype=float32)>},
25  <tf.Tensor: shape=(1,), dtype=int32, numpy=array([1], dtype=int32)>)

```

2

自定义模型层

```

1  # 离散多值查找表 转稀疏SparseTensor >> EncodeMultiEmbedding >>tf.nn.embedding_
2  class SparseVocabLayer(Layer):
3      def __init__(self, keys, **kwargs):
4          super(SparseVocabLayer, self).__init__(**kwargs)
5          vals = tf.range(1, len(keys) + 1)
6          vals = tf.constant(vals, dtype=tf.int32)

```

```

7         keys = tf.constant(keys)
8         self.table = tf.lookup.StaticHashTable(
9             tf.lookup.KeyValueTensorInitializer(keys, vals), 0)
10
11     def call(self, inputs):
12         input_idx = tf.where(tf.not_equal(inputs, ''))
13         input_sparse = tf.SparseTensor(input_idx, tf.gather_nd(inputs, input_idx),
14             tf.TensorShape([tf.shape(inputs)[0], tf.shape(inputs)[1]]))
15         return tf.SparseTensor(indices=input_sparse.indices,
16                                 values=self.table.lookup(input_sparse.values),
17                                 dense_shape=input_sparse.dense_shape)
18
19 # 自定义Embedding层, 初始化时, 需要传入预先定义好的embedding矩阵, 好处可以共享embedding权重
20 class EncodeMultiEmbedding(Layer):
21     def __init__(self, embedding, has_weight=False, **kwargs):
22         super(EncodeMultiEmbedding, self).__init__(**kwargs)
23         self.has_weight = has_weight
24         self.embedding = embedding
25
26     def build(self, input_shape):
27         super(EncodeMultiEmbedding, self).build(input_shape)
28
29     def call(self, inputs):
30         if self.has_weight:
31             idx, val = inputs
32             combiner_embed = tf.nn.embedding_lookup_sparse(self.embedding, idx, val, None)
33         else:
34             idx = inputs
35             combiner_embed = tf.nn.embedding_lookup_sparse(self.embedding, idx, None, None)
36         return tf.expand_dims(combiner_embed, 1)
37
38     def get_config(self):
39         config = super(EncodeMultiEmbedding, self).get_config()
40         config.update({'has_weight': self.has_weight})
41         return config
42
43 # 稠密权重转稀疏格式输入到tf.nn.embedding_lookup_sparse的sp_weights参数中
44 class Dense2SparseTensor(Layer):
45     def __init__(self):

```

```

47     super(Dense2SparseTensor, self).__init__()
48
49     def call(self, dense_tensor):
50         weight_idx = tf.where(tf.not_equal(dense_tensor, tf.constant(-1, dtype=tf.int32)))
51         weight_sparse = tf.SparseTensor(weight_idx, tf.gather_nd(dense_tensor, weight_idx), dtype=dense_tensor.dtype)
52         return weight_sparse
53
54     def get_config(self):
55         config = super(Dense2SparseTensor, self).get_config()
56         return config
57
58
59 # 自定义dense层含BN, dropout
60 class CustomDense(Layer):
61     def __init__(self, units=32, activation='tanh', dropout_rate =0, use_bn=False, seed=None, tag_name=''):
62         self.units = units
63         self.activation = activation
64         self.dropout_rate = dropout_rate
65         self.use_bn = use_bn
66         self.seed = seed
67         self.tag_name = tag_name
68
69         super(CustomDense, self).__init__(**kwargs)
70
71     #build方法一般定义Layer需要被训练的参数。
72     def build(self, input_shape):
73         self.weight = self.add_weight(shape=(input_shape[-1], self.units),
74                                       initializer='random_normal',
75                                       trainable=True,
76                                       name='kernel_' + self.tag_name)
77         self.bias = self.add_weight(shape=(self.units,),
78                                    initializer='random_normal',
79                                    trainable=True,
80                                    name='bias_' + self.tag_name)
81
82         if self.use_bn:
83             self.bn_layers = tf.keras.layers.BatchNormalization()
84
85         self.dropout_layers = tf.keras.layers.Dropout(self.dropout_rate)
86         self.activation_layers = tf.keras.layers.Activation(self.activation)

```

```

87
88     super(CustomDense, self).build(input_shape) # 相当于设置self.built = 1
89
90     #call方法一般定义正向传播运算逻辑, __call__方法调用了它。
91     def call(self, inputs, training = None, **kwargs):
92         fc = tf.matmul(inputs, self.weight) + self.bias
93         if self.use_bn:
94             fc = self.bn_layers(fc)
95         out_fc = self.activation_layers(fc)
96
97         return out_fc
98
99     #如果要让自定义的Layer通过Functional API 组合成模型时可以序列化, 需要自定义get_config
100    def get_config(self):
101        config = super(CustomDense, self).get_config()
102        config.update({'units': self.units, 'activation': self.activation,
103                      'dropout_rate': self.dropout_rate, 'seed': self.seed})
104        return config
105
106
107    # cos 相似度计算层
108    class Similarity(Layer):
109
110        def __init__(self, gamma=1, axis=-1, type_sim='cos', **kwargs):
111            self.gamma = gamma
112            self.axis = axis
113            self.type_sim = type_sim
114            super(Similarity, self).__init__(**kwargs)
115
116        def build(self, input_shape):
117            # Be sure to call this somewhere!
118            super(Similarity, self).build(input_shape)
119
120        def call(self, inputs, **kwargs):
121            query, candidate = inputs
122            if self.type_sim == "cos":
123                query_norm = tf.norm(query, axis=self.axis)
124                candidate_norm = tf.norm(candidate, axis=self.axis)
125                cosine_score = tf.reduce_sum(tf.multiply(query, candidate), -1)
126                cosine_score = tf.divide(cosine_score, query_norm * candidate_norm)

```

```

127         cosine_score = tf.clip_by_value(cosine_score, -1, 1.0) * self.gamma
128         return tf.expand_dims(cosine_score, 1)
129
130     def compute_output_shape(self, input_shape):
131         return (None, 1)
132
133     def get_config(self, ):
134         config = {'gamma': self.gamma, 'axis': self.axis, 'type': self.type_
135         base_config = super(Similarity, self).get_config()
136         return base_config.update(config)
137
138
139 # 自定损失函数，加权交叉熵损失
140 class WeightedBinaryCrossEntropy(tf.keras.losses.Loss):
141     """
142     Args:
143         pos_weight: Scalar to affect the positive labels of the loss function
144         weight: Scalar to affect the entirety of the loss function.
145         from_logits: Whether to compute loss from logits or the probability.
146         reduction: Type of tf.keras.losses.Reduction to apply to loss.
147         name: Name of the loss function.
148     """
149
150     def __init__(self, pos_weight=1.2, from_logits=False,
151                 reduction=tf.keras.losses.Reduction.AUTO,
152                 name='weighted_binary_crossentropy'):
153         super().__init__(reduction=reduction, name=name)
154         self.pos_weight = pos_weight
155         self.from_logits = from_logits
156
157     def call(self, y_true, y_pred):
158         y_true = tf.cast(y_true, tf.float32)
159         ce = tf.losses.binary_crossentropy(
160             y_true, y_pred, from_logits=self.from_logits)[: , None]
161         ce = ce * (1 - y_true) + self.pos_weight * ce * (y_true)
162         # ce =tf.nn.weighted_cross_entropy_with_logits(
163         #     y_true, y_pred, self.pos_weight, name=None
164         # )
165
166         return ce

```

```

167
168     def get_config(self, ):
169         config = {'pos_weight': self.pos_weight, 'from_logits': self.from_logits}
170         base_config = super(WeightedBinaryCrossEntropy, self).get_config()
171         return base_config.update(config)

```

3

定义输入及共享层帮助函数

```

1  # 定义model输入特征
2  def build_input_features(features_columns, prefix=''):
3      input_features = OrderedDict()
4      for feat_col in features_columns:
5          if isinstance(feat_col, DenseFeat):
6              if feat_col.pre_embed is None:
7                  input_features[feat_col.name] = Input([1], name=feat_col.name)
8              else:
9                  input_features[feat_col.name] = Input([feat_col.dim], name=feat_col.name)
10         elif isinstance(feat_col, SparseFeat):
11             if feat_col.dtype == 'string':
12                 input_features[feat_col.name] = Input([None], name=feat_col.name)
13             else:
14                 input_features[feat_col.name] = Input([1], name=feat_col.name)
15         elif isinstance(feat_col, VarLenSparseFeat):
16             input_features[feat_col.name] = Input([None], name=feat_col.name)
17             if feat_col.weight_name is not None:
18                 input_features[feat_col.weight_name] = Input([None], name=feat_col.weight_name)
19         else:
20             raise TypeError("Invalid feature column in build_input_features")
21
22     return input_features
23
24  # 构造自定义embedding层matrix

```

```

25 def build_embedding_matrix(features_columns):
26     embedding_matrix = {}
27     for feat_col in features_columns:
28         if isinstance(feat_col, SparseFeat) or isinstance(feat_col, VarLenSparseFeat):
29             if feat_col.dtype == 'string':
30                 vocab_name = feat_col.share_embedding if feat_col.share_embedding else feat_col.name
31                 vocab_size = feat_col.vocabulary_size
32                 embed_dim = feat_col.embedding_dim
33                 if vocab_name not in embedding_matrix:
34                     embedding_matrix[vocab_name] = tf.Variable(initial_value=tf.zeros([vocab_size, embed_dim]), dtype=tf.float32)
35
36     return embedding_matrix
37
38 # 构造自定义 embedding层
39 def build_embedding_dict(features_columns, embedding_matrix):
40     embedding_dict = {}
41     for feat_col in features_columns:
42         if isinstance(feat_col, SparseFeat):
43             if feat_col.dtype == 'string':
44                 vocab_name = feat_col.share_embedding if feat_col.share_embedding else feat_col.name
45                 embedding_dict[feat_col.name] = EmbeddingLookup(embedding_matrix[vocab_name], feat_col.embedding_dim)
46             elif isinstance(feat_col, VarLenSparseFeat):
47                 vocab_name = feat_col.share_embedding if feat_col.share_embedding else feat_col.name
48                 if feat_col.weight_name is not None:
49                     embedding_dict[feat_col.name] = EmbeddingLookup(embedding_matrix[vocab_name], feat_col.embedding_dim)
50                 else:
51                     embedding_dict[feat_col.name] = EmbeddingLookup(embedding_matrix[vocab_name], feat_col.embedding_dim)
52
53     return embedding_dict
54
55
56 # dense 与 embedding特征输入
57 def input_from_feature_columns(features, features_columns, embedding_dict):
58     sparse_embedding_list = []
59     dense_value_list = []
60
61     for feat_col in features_columns:
62         if isinstance(feat_col, SparseFeat) or isinstance(feat_col, VarLenSparseFeat):
63             if feat_col.dtype == 'string':
64                 vocab_name = feat_col.share_embedding if feat_col.share_embedding else feat_col.name

```



```

65         keys = DICT_CATEGORICAL[vocab_name]
66         _input_sparse = SparseVocabLayer(keys)(features[feat_col.name])
67
68     if isinstance(feat_col, SparseFeat):
69         if feat_col.dtype == 'string':
70             _embed = embedding_dict[feat_col.name](_input_sparse)
71         else:
72             _embed = Embedding(feat_col.voc_size+1, feat_col.embed_dim,
73                               embeddings_regularizer=tf.keras.regularizers
74                               sparse_embedding_list.append(_embed)
75 elif isinstance(feat_col, VarLenSparseFeat):
76     if feat_col.weight_name is not None:
77         _weight_sparse = Dense2SparseTensor()(features[feat_col.weight_name])
78         _embed = embedding_dict[feat_col.name]([_input_sparse, _weight_sparse])
79     else:
80         _embed = embedding_dict[feat_col.name](_input_sparse)
81         sparse_embedding_list.append(_embed)
82
83
84 elif isinstance(feat_col, DenseFeat):
85     dense_value_list.append(features[feat_col.name])
86
87 else:
88     raise TypeError("Invalid feature column in input_from_feature_columns")
89
90 return sparse_embedding_list, dense_value_list
91
92
93 def concat_func(inputs, axis=-1):
94     if len(inputs) == 1:
95         return inputs[0]
96     else:
97         return Concatenate(axis=axis)(inputs)
98
99 def combined_dnn_input(sparse_embedding_list, dense_value_list):
100     if len(sparse_embedding_list) > 0 and len(dense_value_list) > 0:
101         sparse_dnn_input = Flatten()(concat_func(sparse_embedding_list))
102         dense_dnn_input = Flatten()(concat_func(dense_value_list))
103         return concat_func([sparse_dnn_input, dense_dnn_input])
104     elif len(sparse_embedding_list) > 0:

```

```

105         return Flatten()(concat_func(sparse_embedding_list))
106     elif len(dense_value_list) > 0:
107         return Flatten()(concat_func(dense_value_list))
108     else:
109         raise "dnn_feature_columns can not be empty list"

```

4

搭建DSSM模型

```

1 def DSSM(
2     user_feature_columns,
3     item_feature_columns,
4     user_dnn_hidden_units=(256, 256, 128),
5     item_dnn_hidden_units=(256, 256, 128),
6     user_dnn_dropout=(0, 0, 0),
7     item_dnn_dropout=(0, 0, 0),
8     out_dnn_activation='tanh',
9     gamma=1.2,
10    dnn_use_bn=False,
11    seed=1024,
12    metric='cos'):
13
14    """
15    Instantiates the Deep Structured Semantic Model architecture.
16    Args:
17        user_feature_columns: A list containing user's features used by the model
18        item_feature_columns: A list containing item's features used by the model
19        user_dnn_hidden_units: tuple,tuple of positive integer , the layer number
20        item_dnn_hidden_units: tuple,tuple of positive integer, the layer number
21        out_dnn_activation: Activation function to use in deep net
22        dnn_use_bn: bool. Whether use BatchNormalization before activation or not
23        user_dnn_dropout: tuple of float in [0,1), the probability we will drop units
24        item_dnn_dropout: tuple of float in [0,1), the probability we will drop units

```

```

25     seed: integer ,to use as random seed.
26     gamma: A useful hyperparameter for Similarity layer
27     metric: str, "cos" for cosine
28 return: A TF Keras model instance.
29 """
30 features_columns = user_feature_columns + item_feature_columns
31 # 构建 embedding_dict
32 embedding_matrix = build_embedding_matrix(features_columns)
33 embedding_dict = build_embedding_dict(features_columns, embedding_matrix)
34
35 # user 特征 处理
36 user_features = build_input_features(user_feature_columns)
37 user_inputs_list = list(user_features.values())
38 user_sparse_embedding_list, user_dense_value_list = input_from_feature_columns(embedding_dict, user_inputs_list)
39
40 user_dnn_input = combined_dnn_input(user_sparse_embedding_list, user_dense_value_list)
41
42 # item 特征 处理
43 item_features = build_input_features(item_feature_columns)
44 item_inputs_list = list(item_features.values())
45 item_sparse_embedding_list, item_dense_value_list = input_from_feature_columns(embedding_dict, item_inputs_list)
46
47 item_dnn_input = combined_dnn_input(item_sparse_embedding_list, item_dense_value_list)
48
49
50 # user tower
51 for i in range(len(user_dnn_hidden_units)):
52     if i == len(user_dnn_hidden_units) - 1:
53         user_dnn_out = CustomDense(units=user_dnn_hidden_units[i],dropout_rate=dnn_dropout_rate,
54                                     use_bn=dnn_use_bn,activation=out_dnn_activation)
55         break
56     user_dnn_input = CustomDense(units=user_dnn_hidden_units[i],dropout_rate=dnn_dropout_rate,
57                                  use_bn=dnn_use_bn,activation='relu', name='user_dnn_'+str(i))
58
59
60 # item tower
61 for i in range(len(item_dnn_hidden_units)):
62     if i == len(item_dnn_hidden_units) - 1:
63         item_dnn_out = CustomDense(units=item_dnn_hidden_units[i],dropout_rate=dnn_dropout_rate,
64                                     use_bn=dnn_use_bn, activation=out_dnn_activation)

```

```

65         break
66         item_dnn_input = CustomDense(units=item_dnn_hidden_units[i], dropout_r
67                                     use_bn=dnn_use_bn, activation='relu', nam
68
69
70     score = Similarity(type_sim=metric, gamma=gamma)([user_dnn_out, item_dnn_o
71     output = tf.keras.layers.Activation("sigmoid", name="dssm_out")(score)
72     #     score = Multiply()(user_dnn_out, item_dnn_out)
73     #     output = Dense(1, activation="sigmoid", name="dssm_out")(score)
74
75     model = Model(inputs=user_inputs_list + item_inputs_list, outputs=output)
76     model.__setattr__("user_input", user_inputs_list)
77     model.__setattr__("item_input", item_inputs_list)
78     model.__setattr__("user_embedding", user_dnn_out)
79     model.__setattr__("item_embedding", item_dnn_out)
80
81     return model

```

5

训练及保存模型

训练模型

```

1  model= DSSM(
2      user_feature_columns,
3      item_feature_columns,
4      user_dnn_hidden_units=(256, 256, 128),
5      item_dnn_hidden_units=(256, 256, 128),
6      user_dnn_dropout=(0, 0, 0),
7      item_dnn_dropout=(0, 0, 0),
8      out_dnn_activation='tanh',
9      gamma=1,
10     dnn_use_bn=False,
11     seed=1024,

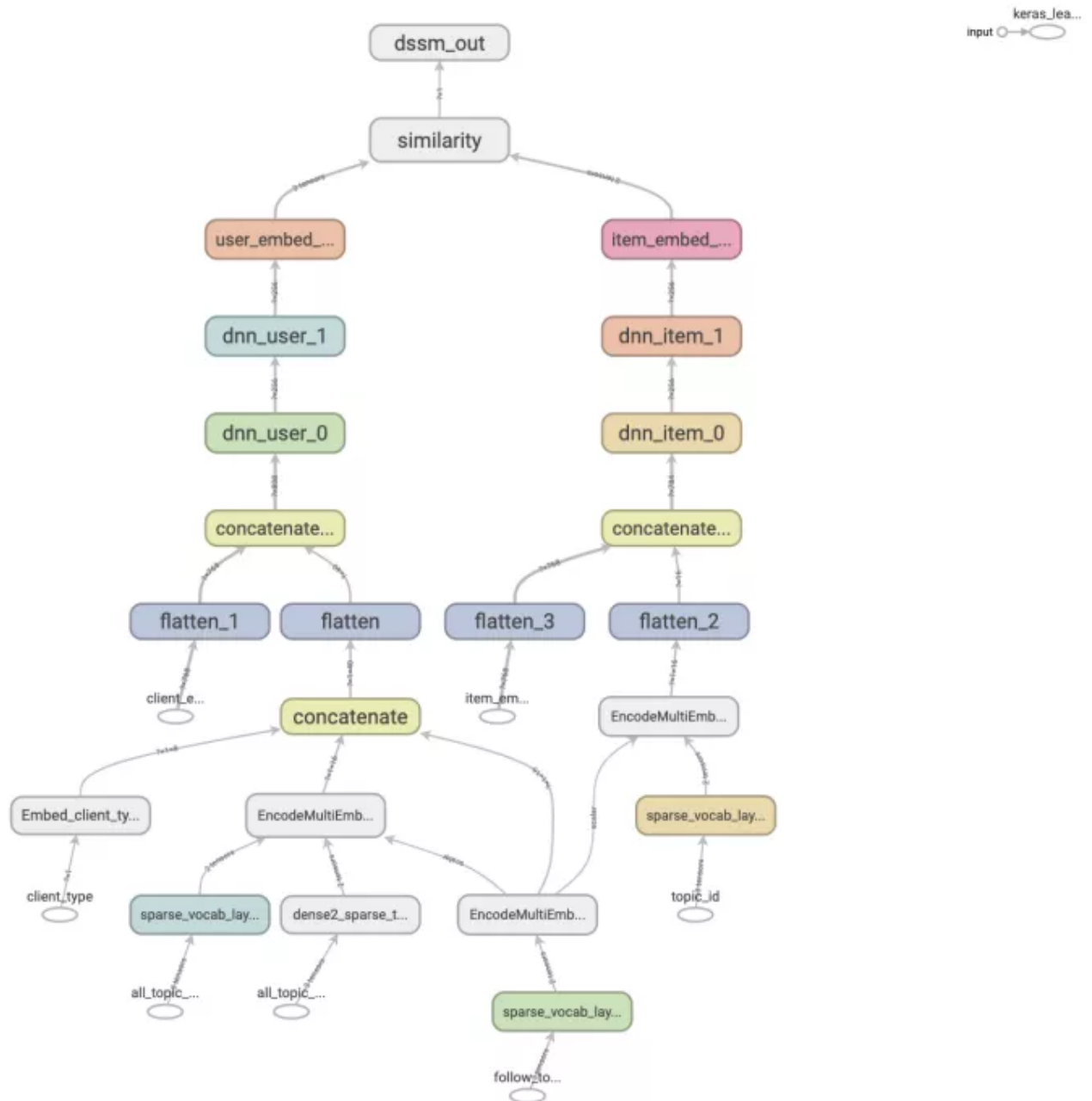
```

```

12     metric='cos')
13
14 model.compile(optimizer='adagrad',
15               loss={"dssm_out": WeightedBinaryCrossEntropy(),
16                     },
17               loss_weights=[1.0,],
18               metrics={"dssm_out": [tf.keras.metrics.AUC(name='auc')]}
19           )
20
21
22 log_dir = '/mywork/tensorboardshare/logs/' + datetime.datetime.now().strftime('%Y-%m-%d_%H-%M-%S')
23 tbCallBack = TensorBoard(log_dir=log_dir, # log 目录
24                           histogram_freq=0, # 按照何等频率 (epoch) 来计算直方图, 0为不计算
25                           write_graph=True, # 是否存储网络结构图
26                           write_images=True, # 是否可视化参数
27                           update_freq='epoch',
28                           embeddings_freq=0,
29                           embeddings_layer_names=None,
30                           embeddings_metadata=None,
31                           profile_batch = 40)
32
33 #
34 #
35 total_train_sample = 115930
36 total_test_sample = 1181
37 train_steps_per_epoch=np.floor(total_train_sample/batch_size).astype(np.int32)
38 test_steps_per_epoch = np.ceil(total_test_sample/val_batch_size).astype(np.int32)
39 history_loss = model.fit(dataset, epochs=1,
40                           steps_per_epoch=train_steps_per_epoch,
41                           validation_data=dataset_val, validation_steps=test_steps_per_epoch,
42                           verbose=1, callbacks=[tbCallBack])

```

模型结构summary



保存模型

```

1 # 用户塔 item塔定义
2 user_embedding_model = Model(inputs=model.user_input, outputs=model.user_embed
3 item_embedding_model = Model(inputs=model.item_input, outputs=model.item_embe
4 # 保存
5 tf.keras.models.save_model(user_embedding_model, "/Recall/DSSM/models/dssmUser,
6 tf.keras.models.save_model(item_embedding_model, "/Recall/DSSM/models/dssmItem,

```

获取user embedding 及item embedding

```

1 user_query = {'all_topic_fav_7': np.array([[ '294', '88', '60', '1' ]]),
2   'all_topic_fav_7_weight': np.array([[ 0.0897, 0.2464, 0.0928, 0.5711, ]]),
3   'follow_topic_id': np.array([[ '75', '73', '74', '92', '62', '37', '35', '34' ]]),
4   'client_type': np.array([0.]),
5   'client_embed': np.array([[ -9.936600e-02, 2.752400e-01, -4.314620e-01,
6     -5.263000e-02, -4.490300e-01, -3.641180e-01, -3.545410e-01,
7     -2.315470e-01, 4.641480e-01, 3.965120e-01, -1.670170e-01,
8     -5.480000e-03, -1.646790e-01, 2.522832e+00, -2.946590e-01,
9     .....
10    -1.151946e+00, -4.008270e-01, 1.521650e-01, -3.524520e-01,
11    4.836160e-01, -1.190920e-01, 5.792700e-02, -6.148070e-01,
12    -7.182930e-01, -1.351920e-01, 2.048980e-01, -1.259220e-01]]])
13
14 item_query = {
15   'topic_id': np.array([ '1' ]),
16   'item_embed': np.array([[ -9.936600e-02, 2.752400e-01, -4.314620e-01, 3.39
17     -5.263000e-02, -4.490300e-01, -3.641180e-01, -3.545410e-01,
18     -2.315470e-01, 4.641480e-01, 3.965120e-01, -1.670170e-01,
19     .....
20    -1.151946e+00, -4.008270e-01, 1.521650e-01, -3.524520e-01,
21    4.836160e-01, -1.190920e-01, 5.792700e-02, -6.148070e-01,
22    -7.182930e-01, -1.351920e-01, 2.048980e-01, -1.259220e-01]]]),
23 }
24
25 user_embs = user_embedding_model.predict(user_query)
26 item_embs = item_embedding_model.predict(item_query)
27
28 # 结果:
29 # user_embs:
30 # array([[ 0.80766946, 0.13907856, -0.37779272, 0.53268254, -0.3095821 ,
31 #          0.2213103 , -0.24618168, -0.7127088 , 0.4502724 , 0.4282374 ,
32 #          -0.36033005, 0.43310016, -0.29158285, 0.8743557 , 0.5113318 ,
33 #          0.26994514, -0.35604447, 0.33559784, -0.28052363, 0.38596702,
34 #          0.5038488 , -0.32811972, -0.5471834 , -0.07594685, 0.7006799 ,
35 #          -0.24201767, 0.31005877, -0.06173763, -0.28473467, 0.61975694,
36 #          .....
37 #          -0.714099 , -0.5384026 , 0.38787717, -0.4263588 , 0.30690318,
38 #          0.24047776, -0.01420124, 0.15475503, 0.77783686, -0.43002903,
39 #          0.52561694, 0.37806144, 0.18955356, -0.37184635, 0.5181224 ,
40 #          -0.18585253, 0.05573007, -0.38589332, -0.7673693 , -0.25266737,

```



```
41 #          0.51427466,  0.47647673,  0.47982445]], dtype=float32)
42 # item_embs:
43 # array([[ -6.9417924e-01,  -3.9942840e-01,   7.2445291e-01,  -5.8977932e-01,
44 #          -5.8792406e-01,   5.3883100e-01,  -7.8469634e-01,   6.8996024e-01,
45 #          -7.6087400e-02,  -4.4855604e-01,   8.4910756e-01,  -4.7288817e-01,
46 #          -9.0812451e-01,  -4.0452164e-01,   8.8695991e-01,  -7.9177713e-01,
47 #          .....
48 #          -9.7515762e-01,  -5.2411711e-01,   9.2708725e-01,  -1.3903661e-01,
49 #           7.8691095e-01,  -8.0726832e-01,  -7.3851186e-01,   2.7774110e-01,
50 #          -4.1870885e-02,   4.7335419e-01,   3.4424815e-01,  -5.8394599e-01]],
51 #          dtype=float32)
```

6

线上Serving

我们这里向量召回检索框架用的是Milvus，用户的UE是线上实时获取的，item的embedding是异步获取存到Milvus平台上。

参考文献▼

[王多鱼：实践DSSM召回模型](#)

[石塔西：用TensorFlow实现支持多值、稀疏、共享权重的DeepFM](#)

<https://github.com/shenweichen/>