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AES-GCM and AES-CCM Authenticated Encryption in Secure RTP (SRTP)
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Abstract

This document defines how AES-GCM, AES-CCM, and other Authenticated Encryption with Associated Data (AEAD) algorithms, can be used to provide confidentiality and data authentication mechanisms in the SRTP protocol.

Status of this Memo

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1. Introduction

The Secure Real-time Transport Protocol (SRTP) is a profile of the Real-time Transport Protocol (RTP), which can provide confidentiality, message authentication, and replay protection to the RTP traffic and to the control traffic for RTP, the Real-time Transport Control Protocol (RTCP).

SRTP/SRTCP assumes that both the sender and recipient have a shared secret master key and a shared secret master salt. As described in sections 4.3.1 and 4.3.3 of [RFC3711], a Key Derivation Function is applied to these secret values to obtain separate encryption keys, authentication keys and salting keys for SRTP and for SRTCP. (Note: As will be explained below, AEAD SRTP/SRTCP does not make use of these authentication keys.)

Authenticated encryption [BN00] is a form of encryption that, in addition to providing confidentiality for the plaintext that is encrypted, provides a way to check its integrity and authenticity.

Authenticated Encryption with Associated Data, or AEAD [R02], adds the ability to check the integrity and authenticity of some Associated Data (AD), also called "additional authenticated data", that is not encrypted. This specification makes use of the interface to a generic AEAD algorithm as defined in [RFC5116].

The Advanced Encryption Standard (AES) is a block cipher that provides a high level of security, and can accept different key sizes. Two families of AEAD algorithm families, AES Galois/Counter Mode (AES-GCM) and AES Cipher Block Chaining/Counter Mode (AES/CCM), are based upon AES. This specification makes use of the AES versions that use 128-bit and 256-bit keys, which we call AES-128 and AES-256, respectively.

The Galois/Counter Mode (GCM) of operation and the Counter with CBC MAC (CCM) mode are AEAD modes of operation for block ciphers. Both use counter mode to encrypt the data, an operation that can be efficiently pipelined. Further, GCM authentication uses operations that are particularly well suited to efficient implementation in hardware, making it especially appealing for high-speed implementations, or for implementations in an efficient and compact circuit. CCM is well suited for use in compact software implementations. This specification uses GCM and CCM with both AES-128 and AES-256.

In summary, this document defines how to use AEAD algorithms, particularly AES-GCM and AES-CCM, to provide confidentiality and message authentication within SRTP and SRTCP packets.

1.1. Conventions Used In This Document

The following terms have very specific meanings in the context of this RFC:

Crypto Context
: For the purposes of this document a crypto context is the outcome of any process which results in authentication of each participant in the SRTP session and in their possession of a shared secret master key and a shared master salt. Details of how the maser key and master salt are established are outside the scope of this document. The master key MUST be at least as large as the encryption key. The SRTP/SRTCP Key Derivation Function (KDF) defined in [RFC3711] is applied to the master key and master SALT to derive the SRTP_encr_key, SRTCP_encr_key, SRTP_SALT, and SRTCP_SALT. Authentication keys are not used in AEAD.

Instantiation
: Once keys have been established, an instance of the AEAD algorithm is created using the

	appropriate key and salt. In a point-to-point scenario, each participant in the SRTP/SRTCP session will need four instantiations of the AEAD algorithm; one for inbound SRTP traffic, one for outbound SRTP traffic source, one for inbound SRTCP traffic, and one for outbound SRTCP traffic source.
Invocation	SRTP/SRTCP data streams are broken into packets. Each packet is processed by a single invocation of the appropriate instantiation of the AEAD algorithm.

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119].

1.2. AEAD processing for SRTP

We first define how to use a generic AEAD algorithm in SRTP, then we describe the specific use of the AES-128-GCM and AES-256-GCM algorithms.

The use of an AEAD algorithm is defined by expressing the AEAD encryption algorithm inputs in terms of SRTP fields and data structures. The AEAD encryption inputs are as follows:

Key	This input is the SRTP encryption key (SRTP_encr_key) produced from the shared secret master key using the key derivation process. (Note that the SRTP_auth_key is not used).
Associated Data	This is data that is to be authenticated but not encrypted. In SRTP, the associated data consists of the entire RTP header, including the list of CSRC identifiers (if present) and the RTP header extension (if present), as shown in Figure 2.
Plaintext	Data that is to be both encrypted and authenticated. In SRTP this consists of the RTP payload, the RTP padding and the RTP pad count fields (if the latter two fields are present) as shown in Figure 2. The padding service provided by RTP is not needed by the AEAD encryption algorithm, so the RTP padding and RTP pad count fields SHOULD be omitted.
Initialization Vector	Each SRTP/SRTCP packet has its own 12-octet

initialization vector (IV). Construction of this IV is covered in more detail below.

The AEAD encryption algorithm accepts these four inputs and returns a Ciphertext field.

1.2.1. AEAD Authentication versus SRTP Authentication

The reader is reminded that in addition to providing confidentiality for the plaintext that is encrypted, an AEAD algorithm also provides a way to check the data integrity and authenticity of the plaintext and associated data. The AEAD integrity check is incorporated into the Ciphertext field by RFC 5116, thus AEAD does not make use of the optional SRTP Authentication Tag field. (Note that this means that the cipher text will be longer than the plain text by precisely the length of the AEAD authentication tag.)

The AEAD message authentication mechanism MUST be the primary message authentication mechanism for AEAD SRTP. Additional SRTP authentication mechanisms SHOULD NOT be used with any AEAD algorithm and the optional SRTP Authentication Tag SHOULD NOT be present.

> Rationale. Some applications use the Authentication Tag as a means of conveying additional information, notably [RFC4771]. This document retains the Authentication Tag field primarily to preserve compatibility with these applications.

1.2.2. Values used to form the Initialization Vector (IV)

The initialization vector for an SRTP packet is formed from the:

SSRC	The 4-octet Synchronization Source identifier (SSRC), found in the RTP header.
Packet Counter	Each AEAD instantiation MUST maintain a 6 octet zero-based packet counter which is incremented after a given instantiation has been invoked to process a packet of data. The packet counter is closely related to the invocation field discussed in NIST Special Publication 800 38-D [GCM], "Recommendation for Block Cipher Modes of Operation: Galois/Counter Mode (GCM) and GMAC". As we shall see below, the packet counter is used to insure each packet gets a unique initialization vector.
Sequence Number	The 2-octet RTP Sequence Number (SEQ), found in the RTP header. SEQ is just the two least significant bytes of the packet counter.

Rollover Counter	A 4-octet Rollover Counter (ROC), maintained by both sides of the link. The ROC is just the 4 most significant octets of the packet counter.
SALT	A 12-octet SRTP session encryption salt produced by the SRTP Key Derivation Function (KDF).

1.2.3. SRTP IV formation for AES-GCM and AES-CCM

AES-GCM and AES-CCM SRTP use a 12 byte inititialition vector which is formed as follows. A 12-octet string is formed by concatenating a 2-octets of zeroes, the 4-octet SSRC, and the the 6-byte invocation counter. The resulting string is bitwise exclusive-ored with the 12-octet salt to form the 12-octet IV

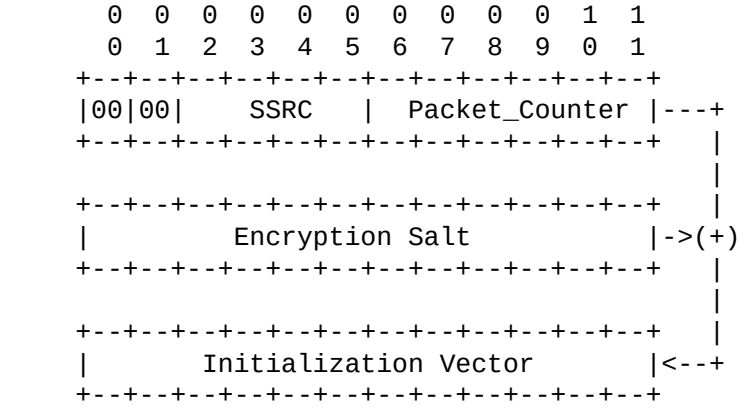


Figure 1: AES-GCM and AES-CCM SRTP
Initialization Vector formation.

Using the terminology of section 8.2.1. of [GCM], the first six octets of the IV are the fixed field and the last six bytes are the invocation field.

1.2.4. SRTCP IV formation for AES-GCM and AES-CCM

The initialization vector for an SRTCP packet is formed from the 4-octet Synchronization Source identifier (SSRC), 31-bit SRTCP Index (packed zero-filled, right justified into a 4-octet field), and a 12-octet SRTP session encryption salt produced by the SRTP Key Derivation Function (KDF) as described in [RFC3711]. (The 31-bit SRTCP index serves as the invocation counter.) First a 12-octet string is formed by concatenating in order 2-octets of zeroes, the 4-octet SSRC, 2 more zero octets, and the 4-octet SRTCP index. The resulting 12-octet string is bitwise exclusive-ored into salt; the output of that process is the IV. The process is illustrated in Figure 3. The IV is always exactly 12 octets in length.

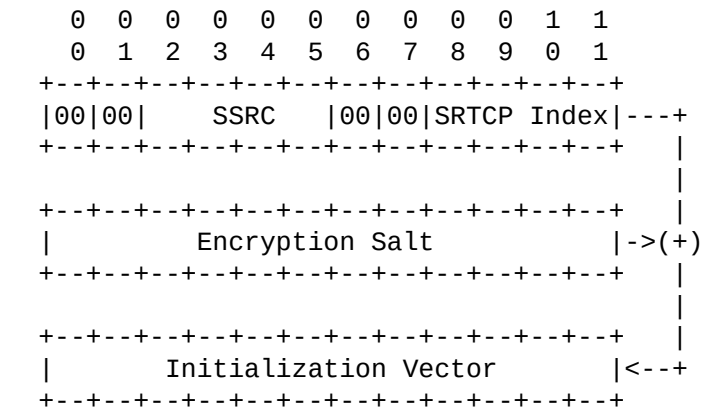
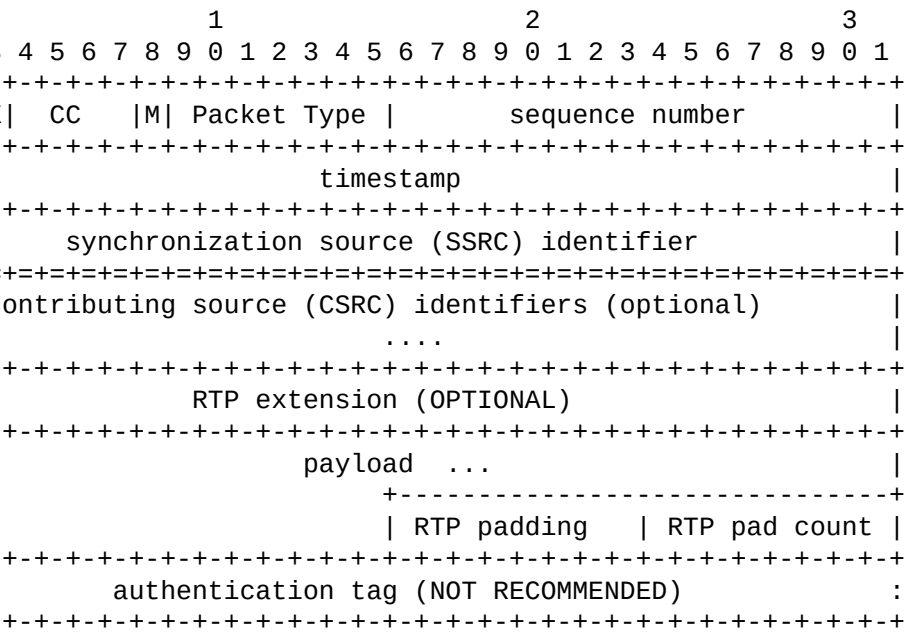


Figure 2: SRTCP Initialization Vector formation.

Using the terminology of section 8.2.1. of [GCM], the first eight octets of the IV are the fixed field and the last four bytes are the invocation field.

1.2.5. AEAD Processing of SRTP Packets

All SRTP packets MUST be authenticated and encrypted. Figure 3 below shows which fields of AEAD SRTP packet are to be treated as plaintext, which are to be treated as additional authenticated data.



P = Plaintext (to be encrypted and authenticated)
A = Associated Data (to be authenticated only)
X = neither encrypted nor authenticated

Note: The RTP padding and RP padding count fields are optional and are not recommended

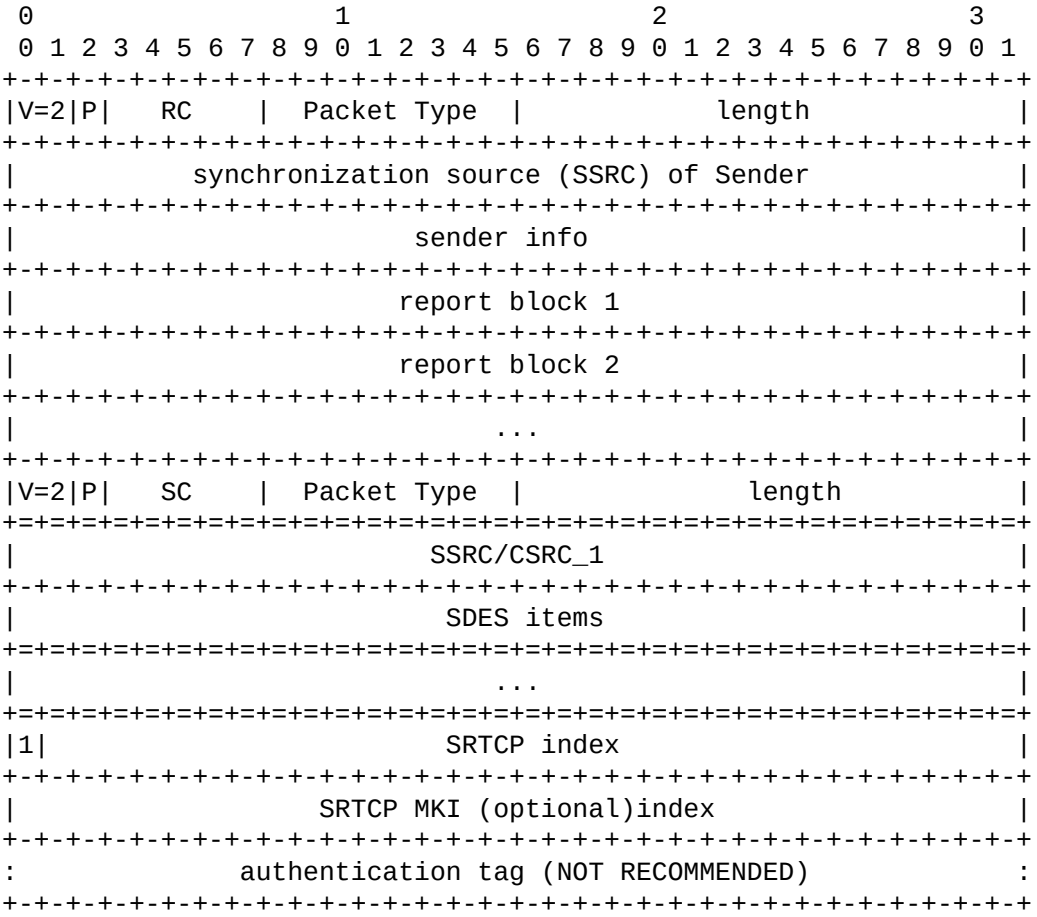
Figure 3: AEAD inputs from an SRTP packet.

1.2.6. AEAD Processing of SRTCP Packets

All SRTCP packets MUST be authenticated, but unlike SRTP, SRTCP packet encryption is optional. A sender can select which packets to encrypt, and indicates this choice with a 1-bit encryption flag (located in the leftmost bit of the 32-bit word that contains the SRTCP index)

1.2.6.1. Encrypted SRTCP packets

When the encryption flag is set to 1, the first 8-octets, the encryption flag and SRTCP index are treated as AAD and eight octets and the encryption flag are treated as plaintext. Figure 4 below shows how fields of an RTCP packet are to be treated when the encryption flag is set to 1.

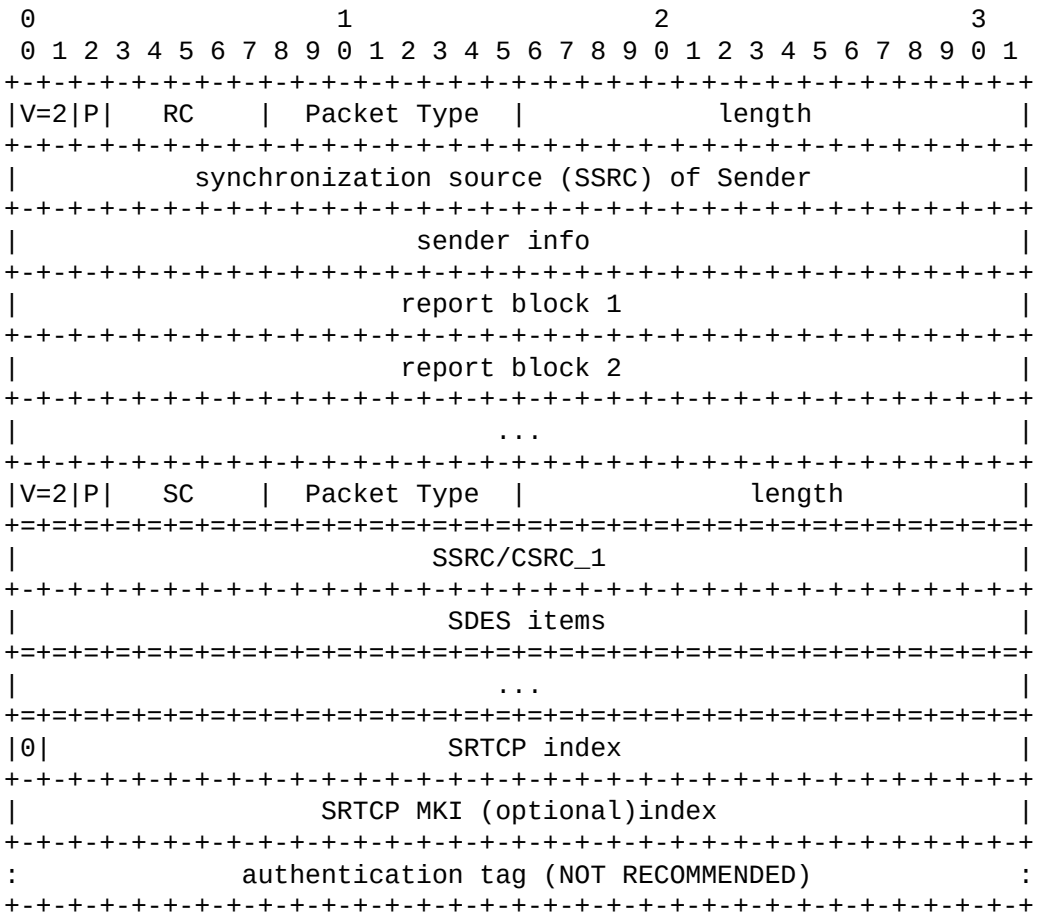


P = Plaintext (to be encrypted and authenticated)
A = Associated Data (to be authenticated only)
X = neither encrypted nor authenticated

Figure 4: AEAD SRTCP inputs when encryption flag = 1.

1.2.6.2. Unencrypted SRTCP packets

When the encryption flag is set to 0, all of the data up to and including the SRTCP index is treated as AAD. Figure 5 shows how the fields of an RTCP packet are to be treated when the encryption flag is set to 0.



A = Associated Data (to be authenticated only)
X = neither encrypted nor authenticated

Figure 5: AEAD SRTCP inputs when encryption flag = 0.

2. AEAD parameters for SRTP and SRTCP

In general, any AEAD algorithm can accept inputs with varying lengths, but each algorithm can accept only a limited range of lengths for a specific parameter. In this section, we describe the constraints on the parameter lengths that any AEAD algorithm must support to be used in AEAD-SRTP. Additionally we specify a complete parameter set for two specific AEAD algorithms, namely AES-GCM and AES-CCM.

2.1. Generic AEAD Parameter Constraints

All AEAD algorithms used with SRTP/SRTCP MUST satisfy the three constraints listed below:

PARAMETER	Meaning	Value
A_MAX	maximum additional authenticated data length	MUST be at least 12 octets
N_MIN	minimum nonce (IV) length	MUST be no more than 12 octets
N_MAX	maximum nonce (IV) length	MUST be at least 12 octets
C_MAX	maximum ciphertext length per invocation	MUST be at most 2^16-40 octets SHOULD be at least 2232

The upper bound on C_MAX is obtained by subtracting away a 20-octet IP header, an 8-octet UDP header, and a 12-octet RTP header out of the largest possible IP packet, the total length of which is 2^16 octets.

Similarly the lower bound on C_MAX is based on the maximum transmission unit (MTU) of 2272 octets in IEEE 802.11. Because many RTP applications use very short payloads (for example, the G.729 codec used in VoIP can be as short as 20 octets), implementations that only support a maximum ciphertext length smaller than 2232 octets are permitted under this RFC. However, in the interest of maximizing interoperability between various AEAD implementations, the use of C_MAX values less than 2232 is discouraged.

For sake of clarity we specify two additional parameters:

Authentication Tag Length	MUST be either 8, 12, or 16 octets
Maximum number of invocations for a given instantiation	MUST be at most 2^48 for SRTP MUST be at most 2^31 for SRTCP

The reader is reminded that the plaintext is shorter than the ciphertext by exactly the length of the AEAD authentication tag.

2.2. AES-GCM for SRTP/SRTCP

AES-GCM is a family of AEAD algorithms built around the AES block cipher algorithm. AES-GCM uses AES counter mode for encryption and Galois Message Authentication Code (GMAC) for authentication. A detailed description of the AES-GCM family can be found in [RFC5116]. The following members of the AES-GCM family may be used with SRTP/SRTCP:

Table 1: AES-GCM algorithms for SRTP/SRTCP

Name	Key Size	Auth. Tag Size	Reference
AEAD_AES_128_GCM	16 octets	16 octets	[RFC5116]
AEAD_AES_256_GCM	32 octets	16 octets	[RFC5116]
AEAD_AES_128_GCM_8	16 octets	8 octets	[RFC5282]
AEAD_AES_256_GCM_8	32 octets	8 octets	[RFC5282]
AEAD_AES_128_GCM_12	16 octets	12 octets	[RFC5282]
AEAD_AES_256_GCM_12	32 octets	12 octets	[RFC5282]

Any implementation of AES-GCM SRTP MUST support both AEAD-AES-128-GCM-8 and AEAD-AES-256-GCM-8, ant it MAY support the four other variants shown in the table.

In addition to the invocation counter used in the formation of IVs, each instantiation of AES-GCM has a block counter which is incremented each time AES is called to produce a 16-octet output block. The block counter is reset to "1" each time AES-GCM is invoked.

2.3. AES-CCM for SRTP/SRTCP

AES-CCM is another family of AEAD algorithms built around the AES block cipher algorithm. AES-GCM uses AES counter mode for encryption and AES Cipher Block Chaining Message Authentication Code (CBC MAC) for authentication. A detailed description of the AES-CCM family can be found in [RFC5116]. The following members of the AES-CCM family may be used with SRTP/SRTCP:

Table 2: AES-CCM algorithms for SRTP/SRTCP

Name	Key Size	Auth. Tag Size	Reference
AEAD_AES_128_CCM	16 octets	16 octets	[RFC5116]
AEAD_AES_256_CCM	32 octets	16 octets	[RFC5116]

Any implementation of AES-CCM SRTP/SRTCP MUST support both AEAD-AES-128-CCM and AEAD-AES-256-CCM.

In addition to the invocation counter used in the formation of IVs, each instantiation of AES-CCM has a block counter which is incremented each time AES is called to produce a 16-octet output block. The block counter is reset to "0" each time AES-CCM is invoked.

AES-CCM uses a flag octet that conveys information about the length of the authentication tag, length of the block counter, and presence of additional authenticated data. For AES-CCM in SRTP/SRTCP, the flag octet has the hex value 5A if an 8-octet authentication tag is used, 6A if a 12-octet authentication tag is used, and 7A if a 16-octet authentication tag is used. The flag octet is one of the inputs to AES during the counter mode encryption of the plaintext.

3. Security Considerations

We require that the AEAD authentication tag must be at least 8 octets, significantly reducing the probability of an adversary successfully introducing fraudulent data. The goal of an authentication tag is to minimize the probability of a successful forgery occurring anywhere in the network we are attempting to defend. There are three relevant factors: how low we wish the probability of successful forgery to be (prob_success), how many attempts the adversary can make (N_tries) and the size of the authentication tag in bits (N_tag_bits). Then

```
prob_success < expected number of successes
             = N_tries * 2^-N_tag_bits.
```

The table below summarizes the relationship between the authentication tag size, the probability of success, and the maximum numbers of forgery attempts that can be permitted on our network.

Authentication Tag Size (octets)	Probability any Successful Forgeries		
	2^-10	2^-20	2^-30
4	2^22 tries	2^12 tries	2^2 tries
8	2^54 tries	2^44 tries	2^34 tries
12	2^86 tries	2^76 tries	2^66 tries
16	2^118 tries	2^108 tries	2^98 tries

Table 1: Maximum allowable number of forgery attempts for a given tag size and probability of success.

4. IANA Considerations

RFC 4568 defines SRTP "crypto suites"; a crypto suite corresponds to a particular AEAD algorithm in SRTP. In order to allow SDP to signal the use of the algorithms defined in this document, IANA will register the following crypto suites into the subregistry for SRTP crypto suites under the SRTP transport of the SDP Security Descriptions:

```
srtp-crypto-suite-ext = "AEAD_AES_128_GCM"    /
                        "AEAD_AES_256_GCM"    /
                        "AEAD_AES_128_GCM_8"  /
                        "AEAD_AES_256_GCM_8"  /
                        "AEAD_AES_128_GCM_12" /
                        "AEAD_AES_256_GCM_12" /
                        "AEAD_AES_128_CCM"    /
                        "AEAD_AES_256_CCM"    /
                        srtp-crypto-suite-ext
```

DTLS-SRTP [RFC5764] defines a DTLS-SRTP "SRTP Protection Profile", which corresponds to the use of an AEAD algorithm in SRTP. In order to allow the use of the algorithms defined in this document in DTLS-SRTP, IANA will also register the following SRTP Protection Profiles:

```
SRTP_AEAD_AES_128_GCM
SRTP_AEAD_AES_256_GCM
SRTP_AEAD_AES_128_GCM_8
SRTP_AEAD_AES_256_GCM_8
SRTP_AEAD_AES_128_GCM_12
SRTP_AEAD_AES_256_GCM_12
SRTP_AEAD_AES_128_CCM
SRTP_AEAD_AES_256_CCM
```

5. Acknowledgements

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6. References

6.1. Normative References

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