

Appendix A: Example Generative AI–Trustworthy Characteristic Crosswalk

A.1: Trustworthy Characteristic to Generative AI Risk Crosswalk

Table A.1: Trustworthy Characteristic to Generative AI Risk Crosswalk.

Accountable and Transparent	Explainable and Interpretable	Fair with Harmful Bias Managed	Privacy Enhanced
Data Privacy Environmental Human-AI Configuration Information Integrity Intellectual Property Value Chain and Component Integration	Human-AI Configuration Value Chain and Component Integration	Confabulation Environmental Human-AI Configuration Intellectual Property Obscene, Degrading, and/or Abusive Content Toxicity, Bias, and Homogenization Value Chain and Component Integration	Data Privacy Human-AI Configuration Information Security Intellectual Property Value Chain and Component Integration

Safe	Secure and Resilient	Valid and Reliable
CBRN Information Confabulation Dangerous or Violent Recommendations Data Privacy Environmental Human-AI Configuration Information Integrity Information Security Obscene, Degrading, and/or Abusive Content Value Chain and Component Integration	Dangerous or Violent Recommendations Data Privacy Human-AI Configuration Information Security Value Chain and Component Integration	Confabulation Human-AI Configuration Information Integrity Information Security Toxicity, Bias, and Homogenization Value Chain and Component Integration

Usage Note: Table A.1 provides an example of mapping GAI risks onto AI RMF trustworthy characteristics. Mapping GAI risks to AI RMF trustworthy characteristics can be particularly useful when existing policies, processes, or controls can be applied to manage GAI risks, but have been previously implemented in alignment with the AI RMF trustworthy characteristics. Many mappings are possible. Mappings that differ from the example may be more appropriate to meet a particular organization’s risk management goals.

A.2: Generative AI Risk to Trustworthy Characteristic Crosswalk

Table A.2: Generative AI Risk to Trustworthy Characteristic Crosswalk.

CBRN Information	Confabulation	Dangerous or Violent Recommendations	Data Privacy
Safe	Fair with Harmful Bias Managed Safe Valid and Reliable	Safe Secure and Resilient	Accountable and Transparent Privacy Enhanced Safe Secure and Resilient

Environmental	Human-AI Configuration	Information Integrity	Information Security
Accountable and Transparent Fair with Harmful Bias Managed Safe	Accountable and Transparent Explainable and Interpretable Fair with Harmful Bias Managed Privacy Enhanced Safe Secure and Resilient Valid and Reliable	Accountable and Transparent Safe Valid and Reliable	Privacy Enhanced Safe Secure and Resilient Valid and Reliable

Intellectual Property	Obscene, Degrading, and/or Abusive Content	Toxicity, Bias, and Homogenization	Value Chain and Component Integration
Accountable and Transparent Fair with Harmful Bias Managed Privacy Enhanced	Fair with Harmful Bias Managed Safe	Fair with Harmful Bias Managed Valid and Reliable	Accountable and Transparent Explainable and Interpretable Fair with Harmful Bias Managed Privacy Enhanced Safe Secure and Resilient Valid and Reliable

Usage Note: Table A.2 provides an example of mapping AI RMF trustworthy characteristics onto GAI risks. Mapping AI RMF trustworthy characteristics to GAI risks can assist organizations in aligning GAI guidance to existing AI/ML policies, processes, or controls or to extend GAI guidance to address additional AI/ML technologies. Many mappings are possible. Mappings that differ from the example may be more appropriate to meet a particular organization’s risk management goals.

A.3: Traditional Banking Risks, Generative AI Risks and Trustworthy Characteristics Crosswalk

Table A.3: Traditional Banking Risks, Generative AI Risks and Trustworthy Characteristics Crosswalk.

Compliance Risk	Information Security Risk	Legal Risk	Model Risk
Data Privacy Information Security Toxicity, Bias, and Homogenization Value Chain and Component Integration	Data Privacy Information Security Value Chain and Component Integration	Intellectual Property Obscene, Degrading, and/or Abusive Content Value Chain and Component Integration	Confabulation Dangerous or Violent Recommendations Information Integrity Obscene, Degrading, and/or Abusive Content Toxicity, Bias, and Homogenization
Accountable and Transparent Fair with Harmful Bias Managed Privacy Enhanced Secure and Resilient	Privacy Enhanced Secure and Resilient	Accountable and Transparent Safe	Valid and Reliable
Operational Risk	Reputational Risk	Strategic Risk	Third Party Risk
Confabulation Human-AI Configuration Information Security Value Chain and Component Integration	Confabulation Dangerous or Violent Recommendations Environmental Human-AI Configuration Information Integrity Obscene, Degrading, and/or Abusive Content Toxicity, Bias, and Homogenization	Environmental Information Integrity Information Security Value Chain and Component Integration	Information Integrity Value Chain and Component Integration
Safe Secure and Resilient Valid and Reliable	Accountable and Transparent Fair with Harmful Bias Managed Valid and Reliable	Accountable and Transparent Secure and Resilient Valid and Reliable	Accountable and Transparent Explainable and Interpretable

Usage Note: Table A.3 provides an example of mapping GAI risks and AI RMF trustworthy characteristics. This type of mapping can enable incorporation of new AI guidance into existing policies, processes, or controls or the application of existing policies, processes, or controls to newer AI risks.