

UNIVERSITY OF COIMBRA

DOCTORAL PROGRAM IN INFORMATION SCIENCE AND TECHNOLOGY

REAL TIME LEARNING IN INTELLIGENT SYSTEMS

---

## **Assignment #3 - Protein Classification**

---

JOAQUIM PEDRO BENTO GONÇALVES PRATAS LEITÃO - 2011150072

May 7, 2017

**Contents**

1 Introduction . . . . . 2

    1.1 Objectives . . . . . 2

    1.2 Methodology . . . . . 2

    1.3 Document Outline . . . . . 3

2 Dataset Description . . . . . 3

3 Data Representation . . . . . 4

4 Support Vector Machines . . . . . 4

5 LASVM. . . . . 7

6 Conclusions . . . . . 9

# 1 Introduction

The protein classification problem is among the most important and fundamental problems in computational biology. In short, this problem can be defined as the task of classifying proteins into functional and structural classes based on *homology* (evolutionary similarity) of protein sequence data.

Several approaches can be taken to solve the protein classification problem, ranging from methods that analyse the protein's coding sequence in the genetic code, to more complex approaches that study the 3D structure of the proteins in order to perform such classification.

In the current assignment, a sequence similarity-based approach to this problem will be adopted. The algorithms and methods to be applied fall in the first set of practices mentioned in the previous paragraph. As such, the current work will focus on analysing the genetic sequence responsible for coding a given protein. Browsing solutions for this problem proposed in the literature Support Vector Machines appear as interesting techniques, achieving promising results [1, 2, 3].

## 1.1 Objectives

One major high-level objective can be identified in the present assignment: For any given protein determine its functional class by analysing its coding sequence.

This work will feature data from the *SCOP Database*[4], more precisely the *SCOP40* dataset<sup>1</sup>. This dataset contains genetic sequences responsible for coding proteins of 55 different families. Therefore, a more low-level objective for this work is to develop classifiers capable of identifying protein sequences belonging in each of the 55 families.

The current assignment also featured a secondary objective, consisting in comparing incremental with non-incremental classifier approaches.

## 1.2 Methodology

In light of the description of the assignment at hand, and its objectives, the following steps will be considered in the work to be performed:

1. Initial dataset preprocessing
2. Data representation
3. Classifier training
4. Results assessment

In an initial stage, a preprocessing of the *SCOP40* data was conducted, aiming to construct a training and testing dataset for each one of the 55 families in the dataset. It is worth mentioning that even though the *SCOP Database* does not provide separate training and testing datasets for each family, it proposes a list of instances to be used for training and testing, for each family. Therefore, at this stage, the preprocessing step consisted in

---

<sup>1</sup><http://pongor.itk.ppke.hu/benchmark>

analysing the provided mapping and in creating individual train and test files for each family.

Probably the most important step in this assignment, data representation can be seen as an advanced preprocessing step to transform the data to be feed to a classifier. It strongly conditions the final performance of the classifiers as an inappropriate representation does not allow for a good separation of the data, therefore leading to poor classification performance. In this work the *segmentation* was the representation technique implemented. Section 3 covers this technique in detail.

Following the representation classifiers needed to be properly trained and tested. In this work *incremental* and *non-incremental* classifiers were intended to be compared. In this sense two different but somewhat related classifiers were selected: *LASVM*, as the incremental classifier; and *Support Vector Machines (SVM)*, as the non-incremental classifier.

As each protein sequence under analysis must be assigned to one of 55 possible families, a multi-class classification problem is being considered. In this sense one classifier for each family must be develop. Considering that two different classifiers are intended to be compared, two classifiers were trained for each family (one *LASVM* and one *SVM*).

Finally, once the classifiers were properly created and trained, their performance was assessed with the testing dataset, following an analysis of the test results in order to determine which approach registered better results, if any. Considering the fact that the *SCOP40* is an highly unbalanced dataset (indeed, a fact that is very common in problems of this nature in computational biology) the different approaches were not compared with respect to their accuracy, but with respect to the *Area Under Curve* (AUC) metric.

Concerning the classifiers' development and all *assignment-related* tasks, the  $R^2$  software environment was selected as the implementation and working tool.

### 1.3 Document Outline

The remainder of this document is organised as follows: Section 2 introduces and describes the dataset used in the current work. Section 3 addresses the data representation technique adopted in this assignment. Sections 4 and 5 cover the experimental results obtained with the two techniques being compared in this work. Finally, section 6 presents a reflective analysis of the obtained experimental results and identifies directions to be explored in future work.

## 2 Dataset Description

The *SCOP40* dataset contains information concerning the genetic coding sequences of different proteins and their corresponding families, being organised in two main files, as follows:

- *SCOP40mini.fasta* - Text file listing the different genetic coding sequences for several proteins. A unique identifier is also presented for each protein sequence.

---

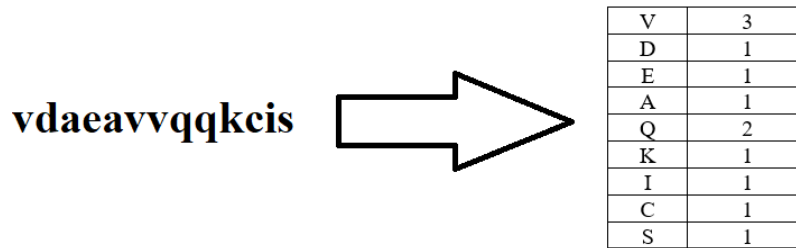
<sup>2</sup><https://www.r-project.org/>

- *SCOP40mini\_sequence\_minidatabase\_19.cast* - Text file containing a mapping between each family and the protein sequences of the *SCOP40mini.fasta* file to be used during classifier training or test.

### 3 Data Representation

Referenced in section 1.2, the representation technique implemented in the current work was the *segmentation* method. In short, this method consists in, for each protein sequence, count the number of occurrences of each individual nucleotide (that is, of each letter in the sequence).

For example, consider the following example protein sequence: "*vdaeavvqqkcis*". The segmentation method would output a total number of 3 occurrences for the "*v*" nucleotide, 1 occurrence for the "*d*" nucleotide, and so on. The only exception is for "*x*" nucleotides, which represent missing information about a nucleotide in the protein sequence, and therefore are discarded in this exercise. Figure 1 presents an example of the output of this method for a protein sequence.



V	3
D	1
E	1
A	1
Q	2
K	1
I	1
C	1
S	1

Figure 1: Example of the implemented segmentation representation for a protein sequence.

As it is not mandatory that each protein sequence contains all possible individual nucleotides, this method requires an initial passage for all the sequences in the dataset, in order to identify all individual nucleotides. The method's output will, therefore, present the number of occurrences of each of those nucleotides in the current protein sequence being analysed.

### 4 Support Vector Machines

*Support Vector Machines* (SVM) [5] are supervised machine learning algorithms applied in classification and regression tasks. During SVM training a model is built using a set of labelled samples (which can belong to one of two possible classes). Such model can then be used to classify new samples, that is, assign a new sample to a previously defined and learned class.

During training an hyperplane that assures the largest separation between instances of both classes is sought. The data points that lie closest to the hyperplane are called *support vectors* and determine the separation margin between the two classes. Because of their (usually) small distance to the separation hyperplane these are the most difficult data points to classify. Misclassification of some instances may or may not be allowed. Such behaviour is controlled by a *cost* parameter  $C$  that penalises misclassification.

Even though SVMs seek a linear hyperplane, and therefore perform a linear classification task, they have also been extensively applied in non-linear classification problems. When performing non-linear classification, SVMs need to map the samples into a higher dimensional space, where a linear separation hyperplane can be computed. Once such a hyperplane has been determined an inverse transformation can be performed, mapping the data back to the original space. Such mapping operation is defined as a *kernel*. For this reason, and since most *application* problems are non-linear, SVMs are considered *kernel methods*.

Several high-dimensional mappings, or *kernels*, have been proposed in the literature for SVMs, though typical kernels covered in SVM books include: *linear*, *polynomial*, *sigmoid* and *radial basis function* (RBF).

In the current work, SVMs featuring a RBF kernel are intended to be applied. RBF kernels are defined by the following mathematical expression:  $\exp(-\gamma * |x_i - x_j|^2)$ . Therefore, SVM training and classification performance will be influenced by the values defined for the cost and RBF parameters:  $C$  and  $\gamma$ , respectively.

Considering that no prior knowledge of adequate values for these parameters has been obtained, optimal values must be searched. As suggested by Hsu *et al.* [6], a good practice to tune these parameters is to perform a grid search with exponentially growing sequences of  $C$  and  $\gamma$  (for example  $C = 2^{-5}, 2^{-4}, \dots, 2^5$  and  $\gamma = 2^{-15}, 2^{-14}, \dots, 2^3$ ).

As mentioned in section 1.2, all algorithms used throughout the work were implemented in the *R* software environment. With respect to SVMs, the *e1071*<sup>3</sup> package was used, providing an interface in the R programming language for the popular SVM library, *LIBSVM* [7].

Supported by the suggestion of Hsu *et al.*, a grid search method was adopted to tune the SVM parameters in this task. As 55 different classifiers are intended to be trained (one for each family), the mentioned tuning process was repeated for each of the 55 trained classifiers. In this grid search exercise, the following ranges of values were defined:

- For the cost parameter,  $C$ , the defined ranged included values from  $10^{-3}$  up to  $10^3$  in a logarithmic scale:  $10^{-3}, 10^{-2}, \dots, 10^3$
- For the kernel parameter,  $\gamma$ , the defined range included values from  $10^{-4}$  up to  $10^4$ , also in a logarithmic scale:  $10^{-4}, 10^{-3}, \dots, 10^4$

For each combination of values  $(C, \gamma)$  - and for each family - a classifier was trained and its performance was registered in both the training and test dataset, using the *AUC* metric. The combination of parameters that produced the best results (higher AUC in the test dataset) for each family are presented in Table 1.

An average AUC of 0.74 in the test dataset was recorded. The best results in the test dataset were recorded in family "*SCOP40.b.29.1.b.29.1.2.*", with an AUC of 0.99 and an SVM with the following parameters:  $C = 256$  and  $\gamma = 3.9e - 03$ . It is also worth pointing out that, for this classifier a cost of 256 was defined. Even though much higher cost values can be found in Table 1, this still remains an high cost value, suggesting a *hard-limit* SVM.

Unfortunately, for some families worse results were registered: In the cases of families "*SCOP40.b.29.1.b.29.1.3.*", "*SCOP40.c.23.16.c.23.16.2.*", "*SCOP40.c.3.1.c.3.1.5.*", "*SCOP40.c.68.1.c.68.1.13.*" and "*SCOP40.d.81.1.d.81.1.3.*" the best AUC in both the

---

<sup>3</sup><https://CRAN.R-project.org/package=e1071>

train and test dataset was 0.5; while in the cases of families *"SCOP40\_c.26.2.\_c.26.2.1."* and *"SCOP40\_c.47.1.\_c.47.1.10."* clear overfitting scenarios can be identified, as to a train AUC of 1 corresponded a test AUC of about 0.5.

Family	Cost	Gamma	AUC Train	AUC Test
SCOP40_a.118.1._a.118.1.14.	8192	1.95e-03	0.90	0.82
SCOP40_a.3.1._a.3.1.1.	16384	4.8e-04	0.83	0.76
SCOP40_a.39.1._a.39.1.2.	32	7.8e-03	0.80	0.75
SCOP40_a.39.1._a.39.1.5.	32768	3.05e-05	0.69	0.83
SCOP40_a.4.1._a.4.1.1.	1	0.125	0.73	0.87
SCOP40_a.4.1._a.4.1.3.	1	1	0.97	0.90
SCOP40_a.4.1._a.4.1.9.	128	0.125	1	0.71
SCOP40_a.4.5._a.4.5.28.	16	0.125	0.96	0.91
SCOP40_b.1.1._b.1.1.2.	1	0.125	0.93	0.98
SCOP40_b.1.1._b.1.1.3.	16	0.25	1	0.92
SCOP40_b.1.1._b.1.1.4.	32	0.0625	0.98	0.82
SCOP40_b.1.18._b.1.18.2.	64	0.0625	0.97	0.74
SCOP40_b.29.1._b.29.1.11.	8	0.0156	0.72	0.90
SCOP40_b.29.1._b.29.1.2.	256	3.9e-03	0.77	0.99
SCOP40_b.29.1._b.29.1.3.	0.031	3.05e-05	0.5	0.5
SCOP40_b.29.1._b.29.1.4.	2	0.25	0.99	0.57
SCOP40_b.40.4._b.40.4.3.	512	0.031	0.99	0.63
SCOP40_b.40.4._b.40.4.4.	4	0.125	0.82	0.69
SCOP40_b.40.4._b.40.4.5.	1024	0.031	1	0.70
SCOP40_b.40.4._b.40.4.6.	4	0.125	0.86	0.70
SCOP40_b.47.1._b.47.1.1.	4	0.125	1	0.65
SCOP40_b.6.1._b.6.1.1.	1	0.125	0.72	0.55
SCOP40_b.6.1._b.6.1.3.	4096	9.8e-04	0.78	0.63
SCOP40_c.1.8._c.1.8.3.	8192	3.05e-05	0.88	0.88
SCOP40_c.1.8._c.1.8.5.	256	1.95e-03	0.94	0.76
SCOP40_c.10.2._c.10.2.5.	0.031	3.05e-05	0.5	0.5
SCOP40_c.2.1._c.2.1.2.	4096	9.8e-04	0.83	0.76
SCOP40_c.2.1._c.2.1.3.	32	0.0625	1.00	0.67
SCOP40_c.2.1._c.2.1.4.	16	0.0625	0.98	0.86
SCOP40_c.2.1._c.2.1.5.	8	0.125	0.99	0.80
SCOP40_c.2.1._c.2.1.6.	4	0.125	0.97	0.78
SCOP40_c.2.1._c.2.1.7.	16	0.031	0.91	0.81
SCOP40_c.23.16._c.23.16.2.	0.031	3.05e-05	0.5	0.5
SCOP40_c.26.2._c.26.2.1.	32	0.031	1	0.56
SCOP40_c.3.1._c.3.1.4.	128	0.016	0.82	0.58
SCOP40_c.3.1._c.3.1.5.	0.031	3.05e-05	0.5	0.5
SCOP40_c.37.1._c.37.1.10.	32768	9.8e-04	0.95	0.78
SCOP40_c.37.1._c.37.1.11.	8	0.031	0.92	0.88
SCOP40_c.37.1._c.37.1.12.	8	0.125	0.99	0.89
SCOP40_c.37.1._c.37.1.19.	1024	2.4e-04	0.77	0.82
SCOP40_c.37.1._c.37.1.20.	32768	1.9e-03	0.97	0.87
SCOP40_c.37.1._c.37.1.5.	2	0.0625	0.91	0.67
SCOP40_c.37.1._c.37.1.6.	128	1.9e-03	0.81	0.95

SCOP40_c.37.1._c.37.1.8.	64	0.0625	1	0.79
SCOP40_c.37.1._c.37.1.9.	1024	3.05e-05	0.67	0.83
SCOP40_c.47.1._c.47.1.1.	32	0.0625	0.90	0.71
SCOP40_c.47.1._c.47.1.10.	16	0.25	1	0.56
SCOP40_c.47.1._c.47.1.2.	512	0.0625	1	0.72
SCOP40_c.47.1._c.47.1.5.	32	0.0625	0.93	0.55
SCOP40_c.55.1._c.55.1.1.	128	0.031	1	0.67
SCOP40_c.67.1._c.67.1.3.	2	0.0625	1	0.87
SCOP40_c.67.1._c.67.1.4.	2	0.0312	0.97	0.96
SCOP40_c.68.1._c.68.1.13.	0.031	3.05e-05	0.5	0.5
SCOP40_d.81.1._d.81.1.3.	0.031	3.05e-05	0.5	0.5
SCOP40_e.8.1._e.8.1.1.	2	0.031	0.83	0.6

Table 1: SVM configurations that produced the best results in the training dataset.

## 5 LASVM

The application of Support Vector Machines (and other similar approaches) in real-life problems is strongly restricted by their dimensionality and scale. Indeed, when training examples are abundant and of high dimensionality the computational and time resources needed to train these approaches are significant.

In response to such limitations incremental approaches have been proposed in the literature which, while analysing each sample individually, do not give equal attention to all of the training data. In the field of SVMs incremental techniques repeatedly train a model with a smaller training subset, keeping only the support vectors between training iterations.

In the scope of this work, the incremental alternative to SVMs intended to be studied is the *LASVM*. In their proposal of the algorithm, Bordes *et al.* [8] provided two ways of defining this algorithm: On one hand LASVM can be defined as an online version of the SVM featuring a support vector removal step; another possible view of this algorithm is related with its reorganization of the SMO sequential direction searches. More detailed information concerning this algorithm and its properties can be found in the cited literature.

The authors of [8] also provided a complete implementation of their proposed algorithm<sup>4</sup>. Similarly to the *SVM* case, an *R* package providing an interface to the *LASVM* algorithm implementation was used: *lasvmR*<sup>5</sup>.

Similarly to the approach presented in the previous section, and followed in the development of the non-incremental SVM classifiers, a grid search method was adopted to tune the parameters of the LASVM classifiers. As the LASVM is an online version of the SVM it features the same parameters as the SVM, with the same meaning.

In this sense, the ranges defined in section 4 were also considered at this point. Additionally, the performance of the trained LASVM classifiers in both the train and test datasets was also registered, with the parameters' combinations that produced the best results (in terms of AUC in the test dataset) for each family are presented in Table 2.

<sup>4</sup><http://leon.bottou.org/projects/lasvm>

<sup>5</sup><https://CRAN.R-project.org/package=lasvmR>



Overall, classifications performed with the *LASVM* produce more accurate results, supported by higher test AUC values for the majority of the families. In some families where it was not possible to learn a classifier with the SVM method, the *LASVM* allows for more accurate results: this is the case for the families "*SCOP40\_b.29.1\_b.29.1.3.*", "*SCOP40\_c.23.16\_c.23.16.2.*", "*SCOP40\_c.3.1\_c.3.1.5.*" and "*SCOP40\_c.68.1\_c.68.1.13.*".

An average AUC of 0.81 in the test dataset was recorded using the *LASVM*. The best results in the test dataset were recorded in families "*SCOP40\_b.29.1\_b.29.1.11.*", "*SCOP40\_b.29.1\_b.29.1.2.*" and "*SCOP40\_c.67.1\_c.67.1.4.*" where an AUC of 0.99 was registered. The parameters of the *LASVM* classifiers in these cases were:  $C = 32$  and  $\gamma = 1.22e - 04$  for the first family;  $C = 4$  and  $\gamma = 9.7e - 04$  for the second family; and  $C = 512$  and  $\gamma = 2.44e - 04$  for the third family.

With the exception of the third family, it can be seen that the cost parameter is clearly smaller in the *LASVM* case, yielding a *soft-limit* classifier (or at least less *hard-limit* than the SVM alternative).

Like in the previous exercise, in some cases it was not possible to learn a classifier, resulting in an AUC of around 0.5 in both the train and test datasets. This was the case for families "*SCOP40\_c.10.2\_c.10.2.5.*" and "*SCOP40\_d.81.1\_d.81.1.3.*". Unlike with the SVM classifiers, the *LASVM* does not appear to be suffering of overfitting in any situation: indeed, whenever a high AUC in the training was registered an also higher AUC was registered in the test dataset.

Family	Cost	Gamma	AUC Train	AUC Test
SCOP40_a.118.1_a.118.1.14.	256	2.44e-04	0.97	0.91
SCOP40_a.3.1_a.3.1.1.	8192	2.44e-04	0.93	0.87
SCOP40_a.39.1_a.39.1.2.	512	6.10e-05	0.87	0.81
SCOP40_a.39.1_a.39.1.5.	1024	3.05e-05	0.76	0.90
SCOP40_a.4.1_a.4.1.1.	16	9.7e-04	0.88	0.95
SCOP40_a.4.1_a.4.1.3.	0.25	3.9e-03	0.76	0.90
SCOP40_a.4.1_a.4.1.9.	8192	4.8e-04	0.98	0.86
SCOP40_a.4.5_a.4.5.28.	64	2.4e-04	0.77	0.92
SCOP40_b.1.1_b.1.1.2.	4	9.8e-04	0.92	0.98
SCOP40_b.1.1_b.1.1.3.	32	3.9e-03	1	0.92
SCOP40_b.1.1_b.1.1.4.	32768	1.2e-04	0.94	0.86
SCOP40_b.1.18_b.1.18.2.	4096	9.8e-04	0.99	0.72
SCOP40_b.29.1_b.29.1.11.	32	1.22e-04	0.74	0.99
SCOP40_b.29.1_b.29.1.2.	4	9.7e-04	0.78	0.99
SCOP40_b.29.1_b.29.1.3.	4096	1.22e-04	0.80	0.69
SCOP40_b.29.1_b.29.1.4.	8192	1.22e-04	0.89	0.81
SCOP40_b.40.4_b.40.4.3.	32768	1.22e-04	0.81	0.73
SCOP40_b.40.4_b.40.4.4.	32768	4.88e-04	0.89	0.89
SCOP40_b.40.4_b.40.4.5.	8192	4.88e-04	0.99	0.82
SCOP40_b.40.4_b.40.4.6.	8192	9.76e-04	0.99	0.73
SCOP40_b.47.1_b.47.1.1.	8192	6.10e-05	0.95	0.73
SCOP40_b.6.1_b.6.1.1.	8192	6.10e-05	0.70	0.73
SCOP40_b.6.1_b.6.1.3.	128	4.88e-04	0.94	0.64
SCOP40_c.1.8_c.1.8.3.	4096	6.10e-05	0.96	0.93
SCOP40_c.1.8_c.1.8.5.	8192	1.22e-04	0.99	0.84

SCOP40_c.10.2._c.10.2.5.	0.03125	3.05e-05	0.5	0.5
SCOP40_c.2.1._c.2.1.2.	4096	1.22e-04	0.96	0.73
SCOP40_c.2.1._c.2.1.3.	2048	1.22e-04	0.89	0.76
SCOP40_c.2.1._c.2.1.4.	128	2.44e-04	0.89	0.91
SCOP40_c.2.1._c.2.1.5.	0.5	2.44e-04	0.82	0.86
SCOP40_c.2.1._c.2.1.6.	2	9.76e-04	0.84	0.79
SCOP40_c.2.1._c.2.1.7.	1024	6.10e-05	0.87	0.90
SCOP40_c.23.16._c.23.16.2.	16384	1.22e-04	0.96	0.70
SCOP40_c.26.2._c.26.2.1.	512	6.10e-05	0.95	0.56
SCOP40_c.3.1._c.3.1.4.	4096	4.88e-04	0.98	0.96
SCOP40_c.3.1._c.3.1.5.	8192	6.10e-05	0.69	0.54
SCOP40_c.37.1._c.37.1.10.	4096	3.05e-05	0.84	0.79
SCOP40_c.37.1._c.37.1.11.	4	2.44e-04	0.83	0.89
SCOP40_c.37.1._c.37.1.12.	1	2.44e-04	0.80	0.88
SCOP40_c.37.1._c.37.1.19.	1024	6.10e-05	0.92	0.84
SCOP40_c.37.1._c.37.1.20.	16	3.05e-05	0.70	0.86
SCOP40_c.37.1._c.37.1.5.	0.5	2.44e-04	0.80	0.81
SCOP40_c.37.1._c.37.1.6.	1	4.88e-04	0.84	0.95
SCOP40_c.37.1._c.37.1.8.	4096	1.22e-04	0.87	0.84
SCOP40_c.37.1._c.37.1.9.	4	2.44e-04	0.85	0.81
SCOP40_c.47.1._c.47.1.1.	1024	1.22e-04	0.87	0.83
SCOP40_c.47.1._c.47.1.10.	32768	2.44e-04	0.71	0.67
SCOP40_c.47.1._c.47.1.2.	8192	2.44e-04	0.82	0.82
SCOP40_c.47.1._c.47.1.5.	16384	2.44e-04	0.73	0.70
SCOP40_c.55.1._c.55.1.1.	1024	2.44e-04	0.99	0.73
SCOP40_c.67.1._c.67.1.3.	64	2.44e-04	1	0.96
SCOP40_c.67.1._c.67.1.4.	512	2.44e-04	0.99	0.99
SCOP40_c.68.1._c.68.1.13.	4096	6.10e-05	0.74	0.74
SCOP40_d.81.1._d.81.1.3.	32768	3.05e-05	0.53	0.52
SCOP40_e.8.1._e.8.1.1.	256	3.05e-05	0.87	0.80

Table 2: LASVM configurations that produced the best results in the training dataset.

## 6 Conclusions

The documented assignment addresses the protein classification problem, focusing on the comparison of two types of machine learning approaches to tackle such problem: Incremental - represented by the *LASVM* algorithm; and Non-incremental algorithms - represented by the traditional *SVM* algorithm.

This work proposed the adoption of proper machine learning techniques in order to analyse a given protein sequence and determine its most likely function, by assigning the sample to one of 55 different families of proteins. In this sense 55 classifiers are intended to be developed using each of the mentioned algorithms, and their classification results assessed and compared.

During training of both algorithms the same range of parameter values was tested, of which the sets of parameters that produced the best results for each algorithm were recorded. In

addition, the same gaussian kernel function was adopted in both the SVM and LASVM.

On average, classifiers developed with the LASVM algorithm produced better results than classifiers developed with the SVM algorithm: an average *AUC* of 0.81 was recorded for LASVM, while the SVM approach could only achieve 0.74. Another important difference between the two algorithms is related with their tendency to overfit: while in some families it was not possible to avoid obtaining an overfitted SVM model, LASVM algorithms do not appear to be suffering from this problem. Such a result can be explained by LASVM's incremental training mechanisms.

Another interesting finding has to do with the fact that the best registered results in the test dataset do not correspond to high performances in the training dataset: In some cases an *AUC* of 1.0 (or very close to this value) can be achieved in the training dataset, to which corresponds an *AUC* of about 0.9 in the test dataset; on the other hand, the best results in the test dataset (with an *AUC* of about 0.99) registered significantly smaller performances in the train dataset: *AUC* ranging between 0.7 and 0.8.

Comparing the obtained results with the benchmark available in the website where the SCOP40 dataset was published<sup>6</sup>, one can determine that the available results using SVM techniques are considerably higher (and therefore better) than the results presented in this report.

The most probable cause for the inferior results in this approach is the selected representation technique. Indeed, the results of the mentioned benchmark were obtained using more advanced and powerful<sup>7</sup> representation techniques such as *DaliLite structural alignment* (DALI).

By adopting the segmentation as the representation technique important information is being discarded from each protein sequence, namely the sequence of nucleotides which is critical to determine the amino acids that compose the protein being synthesized, and ultimately, the protein's function. Furthermore, the fact that a strongly unbalanced dataset is being used also poses serious challenges when using machine learning techniques to tackle the protein classification problem.

In a final note, the work and results presented in this document encourage the extension of this study in different directions, which can be the main research topic of further iterations of this work:

On one hand more expressive representation algorithms could be employed, as already mentioned, in an attempt to take advantage of sequential amino acid information in order to improve the identification of the proteins' families. Furthermore, other machine learning techniques for classification problems could also be explored, such as neural networks or random forests.

Finally, a more intensive tuning of the classifiers' parameters can and should be performed. Indeed, in the scope of this work an initial logarithmic search was performed. This can give us a hint towards the order of magnitude of the parameters; however, a more detailed and exhaustive coverage of the solution space could yield better results on the test dataset.

---

<sup>6</sup><http://pongor.itk.ppke.hu/benchmark>

<sup>7</sup>In this context the term "powerful" is employed in the sense of "more expressive".

## References

- [1] Christina Leslie, Eleazar Eskin, Jason Weston, and William Stafford Noble. Mismatch string kernels for svm protein classification. In *NIPS*, volume 15, pages 1441–1448, 2002.
- [2] Nela Zavaljevski, Fred J Stevens, and Jaques Reifman. Support vector machines with selective kernel scaling for protein classification and identification of key amino acid positions. *Bioinformatics*, 18(5):689–696, 2002.
- [3] CZ Cai, LY Han, Zhi Liang Ji, X Chen, and Yu Zong Chen. Svm-prot: web-based support vector machine software for functional classification of a protein from its primary sequence. *Nucleic acids research*, 31(13):3692–3697, 2003.
- [4] Alexey G Murzin, Steven E Brenner, Tim Hubbard, and Cyrus Chothia. Scop: a structural classification of proteins database for the investigation of sequences and structures. *Journal of molecular biology*, 247(4):536–540, 1995.
- [5] Corinna Cortes and Vladimir Vapnik. Support-vector networks. *Machine learning*, 20(3):273–297, 1995.
- [6] Chih-Wei Hsu, Chih-Chung Chang, Chih-Jen Lin, et al. A practical guide to support vector classification. 2003.
- [7] Chih-Chung Chang and Chih-Jen Lin. LIBSVM: A library for support vector machines. *ACM Transactions on Intelligent Systems and Technology*, 2:27:1–27:27, 2011. Software available at <http://www.csie.ntu.edu.tw/~cjlin/libsvm>.
- [8] Antoine Bordes, Seyda Ertekin, Jason Weston, and Léon Bottou. Fast kernel classifiers with online and active learning. *Journal of Machine Learning Research*, 6:1579–1619, September 2005. URL <http://leon.bottou.org/papers/bordes-ertekin-weston-bottou-2005>.