



Chapter SEVEN

Collections

Exam Objectives

Create and use `ArrayList`, `TreeSet`, `TreeMap`, and `ArrayDeque` objects.

Collections Overview

A *collection* is a generic term that refers to a container of objects.

The *Java Collections Framework* is a library of classes and interfaces in the `java.util` package that provides collections with different characteristics.

The most important interfaces are:

- `Collection`
This is the base interface of the collection hierarchy and it contains methods like `add()`, `remove()`, `clear()`, and `size()`.
- `Iterable`
Implementing this interface allows an object to be "iterable" with a for-each loop, through an `Iterator`, and with the new `forEach()` method.
- `List`
Interface for collections which, one, store a group of elements that can be accessed using an index, and two, accept duplicates.
- `Set`
Interface for collections which do not allow duplicate elements.
- `Queue`
Interface for collections which store a group of elements in a particular order, commonly in a first-in, first-out order.
- `Map`
Interface for collections whose elements are stored as key/value pairs.

Of the last four, `Map` is the only one that implements neither the `Collection` nor the `Iterable` interface, but still, it's considered a collection, because it contains a group elements.

List

`List` is the most common collection. You use when you want to allow (or do not care if there are) duplicate elements. You can even insert `null` elements (not all collections allow it).

Elements have an insertion order, but you can add elements at any position, since this position is based on a zero-based index, like an array.

In fact, the most used implementation, `ArrayList`, is actually implemented as an `Object` array under the hood.

The difference with using an array is that a `List` can grow automatically when elements are added. However, since it does that by copying the elements to a bigger array, adding (and removing) is slower.

Here are some basic `List` operations:

```
// Creating an ArrayList with an initial capacity of 10
List<String> list = new ArrayList<>(10);

System.out.println(list.isEmpty()); // true
list.add("a");
System.out.println(list.get(0)); // a
list.add(0, "b"); // Inserting b at index 0
list.add("c");
list.add(null);
System.out.println(list); // [b, a, c, null]
list.set(2, "a"); // Replacing element at index 2 with a
System.out.println(list.contains("d")); // false
// Returning the index of the first match, -1 if not found
System.out.println(list.indexOf("a")); // 1
// Returning the index of the last match, -1 if not found
System.out.println(list.lastIndexOf("a")); // 2

list.remove(1); // Removing by index
list.remove(null); // Removing null
list.remove("a") // Removing the first matching element

System.out.println(list.size()); // 1
```

Another popular implementation is `LinkedList`, a doubly-linked list that also implements the `Deque` interface (more about this interface later).

An easy way to create a `List` is using the `java.util.Arrays.asList` method:

```
String[] arr = {"a", "b", "c", "d"};
List<String> list = Arrays.asList(arr);
```

Or simply:

```
List<String> list =
    Arrays.asList("a", "b", "c", "d");
```

It returns an implementation of `List` backed by the specified array (but it's not an `ArrayList` and it doesn't implement all methods of `List`) that has fixed size, which means that you can't add elements to it. Also, modifications to the `List` are reflected in the original array.

Set

The main feature of a `Set` is that it doesn't allow duplicates.

The two most used implementations are `HashSet` and `TreeSet`. The difference between them is that `TreeSet` sorts the elements, while `HashSet` doesn't guarantee the order or that the order will remain constant over time.

`HashSet` stores the elements in a hash table (using a `HashMap` instance). Because of that, elements are not kept in order, but adding and looking up elements is fast.

To retrieve objects and avoid duplicates, the elements have to implement the `hashCode()` and `equals()` methods.

Here's an example of `HashSet` :

```
// Creating a HashSet with an initial capacity of 10
Set<String> set = new HashSet<>(10);
// add() returns true if the element is not already in the set
System.out.println(set.add("b")); // true
System.out.println(set.add("x")); // true
System.out.println(set.add("h")); // true
System.out.println(set.add("b")); // false
System.out.println(set.add(null)); // true
System.out.println(set.add(null)); // false
System.out.println(set); // [null, b, x, h]
```

As you can see, `HashSet` accepts `null` values.

`TreeSet` stores the elements in a red-black tree data structure. That's why it keeps the elements sorted and guarantees $\log(n)$ time cost for adding, removing, looking up an element, and getting the size of the set.

To avoid duplicates, the elements have to implement the `equals()` method. For sorting, elements have to either implement the `Comparable` interface (the implementation of `compareTo()` has to be consistent with the implementation of the `equals()` method) or pass an implementation of `Comparator` in the constructor. Otherwise, an exception will be thrown.

Here's an example similar to the previous one implemented with `TreeSet` :

```
Set<String> set = new TreeSet<>();
System.out.println(set.add("b")); // true
System.out.println(set.add("x")); // true
System.out.println(set.add("h")); // true
System.out.println(set.add("b")); // false
System.out.println(set); // [b, h, x]
```

Since `String` implements `Comparable`, and its `compareTo()` method implements lexicographic ordering, a `Set` is ordered that way.

Notice that this example doesn't add `null` values. That's because `TreeSet` doesn't accept them. If you try to add `null` to a `TreeSet`, a `NullPointerException` will be thrown.

This is because when an element is added, it's compared (as a `Comparable` or with a `Comparator`) against other values to insert it in the correct order, but it can't do that with a `null` value.

Queue

In a `Queue`, elements are typically added and removed in a FIFO (first-in-first-out) way.

The most used implementation is `ArrayDeque`, which is backed by an array, that has the functionality of adding and removing elements from both the front (as a stack) and back (as a queue) of the queue, and not in any position like in an `ArrayList`. This class doesn't allow inserting of `null` values.

Besides having the methods of `Collection`, `ArrayDeque` has other methods that are unique to queues. We can classify these methods into two groups:

Methods that throw an exception if something goes wrong:

- `boolean add(E e)`
Adds an element to the end of the queue and returns `true` if successful or throws an exception otherwise.
- `E remove()`
Removes and returns the first element of the queue or throws an exception if it's empty.
- `E element()`
Returns the next element of the queue or throws an exception if it's empty.

Methods that return `null` if something goes wrong:

- `boolean offer(E e)`
Adds an element to the end of the queue and returns `true` if successful or `false` otherwise.
- `E poll()`
Removes and returns the first element of the queue or `null` if it's empty.
- `E peek()`
Returns the next element of the queue or `null` if it's empty.

For each operation, there's a version that throws an exception and another that returns `false` or `null`. For example, when the queue is empty, the `remove()` method throws an exception, while the `poll()` method returns `null`.

```
Queue<String> queue = new ArrayDeque<>();
System.out.println(queue.offer("a")); // true [a]
System.out.println(queue.offer("b")); // true [a, b]
System.out.println(queue.peek()); // a [a, b]
System.out.println(queue.poll()); // a [b]
System.out.println(queue.peek()); // b [b]
System.out.println(queue.poll()); // b []
System.out.println(queue.peek()); // null
```

You can also use this class as a stack, a data structure that order the elements in a LIFO (last-in-first-out), when you use the following methods:

```
// Adds elements to the front of the queue
void push(E e)

// Removes and returns the next element
// or throws an exception if the queue is empty
E pop()
```

Notice that these methods are not in the `Queue` interface:

```
ArrayDeque<String> stack = new ArrayDeque<>();
stack.push("a"); // [a]
stack.push("b"); // [b, a]
System.out.println(stack.peek()); // b [b, a]
System.out.println(stack.pop()); // b [a]
System.out.println(stack.peek()); // a [a]
System.out.println(stack.pop()); // a []
System.out.println(stack.peek()); // null
```

Map

While a `List` uses an index for accessing its elements, a `Map` uses a key that can be of any type (usually a `String`) to obtain a value.

Therefore, a map cannot contain duplicate keys, and a key is associated with one value (which can be any object, even another map, or `null`).

The two most used implementations are `HashMap` and `TreeMap`. The difference between them is that `TreeMap` sorts the keys, but adds and retrieves keys in $\log(n)$ time while `HashMap` doesn't guarantee the order but adds and retrieves keys faster.

It is important that the objects used as keys have the methods `equals()` and `hashCode()` implemented.

Since `Map` doesn't implement `Collection`, its methods are different. Here's an example that shows the most important ones:

```
Map<String, Integer> map = new HashMap<>();

// Adding a key/value pair
System.out.println( map.put("oranges", 7) ); // null
System.out.println( map.put("apples", 5) ); // null
System.out.println( map.put("lemons", 2) ); // null
System.out.println( map.put("bananas", 7) ); // null

// Replacing the value of an existing key. Returns the old one
System.out.println( map.put("apples", 4) ); // 5
System.out.println( map.size() ); // 4

// {oranges=7, bananas=7, apples=4, lemons=2}
System.out.println(map);

// Getting a value
System.out.println( map.get("oranges") ); // 7

// Testing if the map contains a key
System.out.println( map.containsKey("apples") ); // true
// Testing if the map contains a value
System.out.println( map.containsValue(5) ); // false

// Removing the key/value pair and returning the value
System.out.println( map.remove("lemons") ); // 2
// Returns null if it can't find the key
System.out.println( map.remove("lemons") ); // null

// Getting the keys as a Set
// (changes are reflected on the map and vice-versa)
Set<String> keys = map.keySet(); // [oranges, bananas, apples]

// Getting the values as a Collection
// (changes are reflected on the map and vice-versa)
Collection<Integer> values = map.values(); // [7, 7, 4]

// Removing all key/value pairs
map.clear();

System.out.println( map.isEmpty() ); // true
```

If we change the implementation to `TreeMap`, the map will be stored in a red-black tree structure and sorted just like a `TreeSet`, either by a `Comparator` or `Comparable`, with the natural order of its key by default:

```
Map<String, Integer> map = new TreeMap<>();

System.out.println( map.put("oranges", 7) ); // null
System.out.println( map.put("apples", 5) ); // null
```

```
System.out.println( map.put("lemons", 2) ); // null
System.out.println( map.put("bananas", 7) ); // null

// {apples=5 , bananas=7, lemons=2, oranges=7}
System.out.println(map);

// [apples, bananas, lemons, oranges]
Set<String> keys = map.keySet();
Collection<Integer> values = map.values(); // [5, 7, 2, 7]
```

Notice that because of the way the sort is done (again, just like `TreeSet`); a `TreeMap` cannot have a `null` value as a key:

```
Map<String, Integer> map = new TreeMap<>();
map.put(null, 1); // throws NullPointerException!
```

However, a `HashMap` can:

```
Map<String, Integer> map = new HashMap<>();
map.put(null, 1); // OK
```

Key Points

- **Collection**
This is the base interface of the collection hierarchy and it contains methods like `add()` , `remove()` , `clear()` , and `size()` .
- **Iterable**
Implementing this interface allows an object to be "iterable" with a for-each loop, through an `Iterator` , and with the new `forEach()` method.
- **List**
Interface for collections which, one, store a group of elements that can be accessed using an index, and two, accept duplicates.
- **Set**
Interface for collections which do not allow duplicate elements.
- **Queue**
Interface for collections which store a group of elements in a particular order, commonly in a first-in, first-out order.
- **Map**
Interface for collections whose elements are stored as key/value pairs.

The following table compares the collections reviewed in this chapter:

Collection	Interface	Implements Collection?	Allows duplicates?	Allows null values?	Ordered?
<code>ArrayList</code>	<code>List</code>	Yes	Yes	Yes	Yes (Insertion Order)
<code>HashSet</code>	<code>List</code>	Yes	No	Yes	No
<code>TreeSet</code>	<code>List</code>	Yes	No	No	Yes (Natural order or by Comparator)
<code>ArrayDeque</code>	<code>Queue Deque</code>	Yes	Yes	No	Yes (FIFO or LIFO)
<code>HashMap</code>	<code>Map</code>	No	Just for values	Yes	No

TreeMap	Map	No	Just for values	No	Yes (Natural order or by Comparator)
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Self Test

1. Given:

```
public class Question_7_1 {
    public static void main(String[] args) {
        ArrayDeque<Integer> deque =
            new ArrayDeque<Integer>();
        deque.push(1);
        deque.push(2);
        deque.push(3);
        deque.poll();
        System.out.println(deque);
    }
}
```

What is the result?

- A. [1, 2, 3]
- B. [1, 2]
- C. [2, 1]
- D. An exception occurs at runtime

2. Which of the following options can throw a `NullPointerException` ?

A.

```
TreeSet<String> s = new TreeSet<>();
s.add(null);
```

B.

```
HashMap<String> m = new HashMap<>();
m.put(null, null);
```

C.

```
ArrayList<String> arr = new ArrayList<>();
arr.add(null);
```

D.

```
HashSet<String> s = new HashSet<String>();
s.add(null);
```

3. Given:

```
public class Question_7_3 {
    public static void main(String[] args) {
        List<Integer> list = new ArrayList<>();
        list.add(1);
        list.add(2);
        list.add(3);
        list.remove(1);
        System.out.println(list);
    }
}
```

What is the result?

- A. [2, 3]
- B. [1, 3]
- C. [1, 2, 3]
- D. An exception occurs at runtime

4. Which of the following statements is true?

- A. `HashSet` is an implementation of `Map`.
- B. Objects used as values of a `TreeMap` are required to implement `Comparable`.
- C. Objects used as values of a `TreeMap` are required to implement the `hashCode()` method.
- D. Objects used as keys of a `TreeMap` are required to implement the `hashCode()` method.

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