

Oscillation around non-stationary equilibria

Joshua N. Pritikin

Department of Psychology
University of Virginia

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Introduction

Major components of the autonomic nervous system include

- ▶ Sympathetic nervous system (SNS) – responding to stress
 - ▶ increases cardiac output
 - ▶ increases oxygen flow
 - ▶ increases blood glucose levels
- ▶ Parasympathetic nervous system (PNS)
 - ▶ restorative
 - ▶ homeostatic functions



Introduction

Frequency analysis of heart rate variability (HRV)

- ▶ .15-.40 Hz = high frequency band (HF), associated with PNS
- ▶ .04-.15 Hz = low frequency band (LF)
 - ▶ Associated with SNS, but
 - ▶ Contains some influence from PNS, hard to disentangle



Participants

121 mothers and their 17- to 19-month-old children

- ▶ Socioeconomically diverse
- ▶ Mothers were between ages 18 and 42 ($M = 24$)
- ▶ Median family income \$40,000 a year
- ▶ $\frac{1}{3}$ reported earning less than \$23,000 a year



Participants

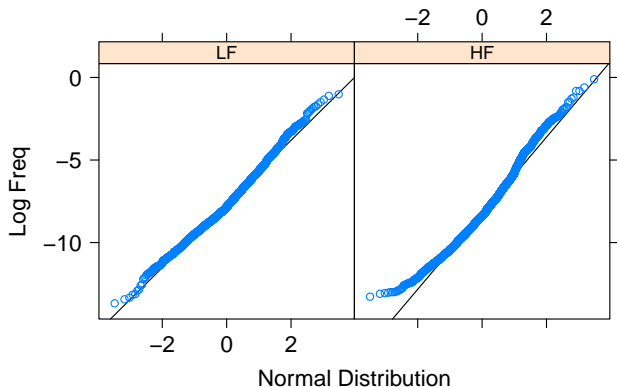
Table: Ethnic breakdown of participants

Ethnic Identity	Mothers	Children
European-American	55%	49%
African-American/Black	26%	25%
Latino	11%	12%
Biracial	5%	14%
Asian	< 1%	0%
Native American/Alaskan	< 1%	0%



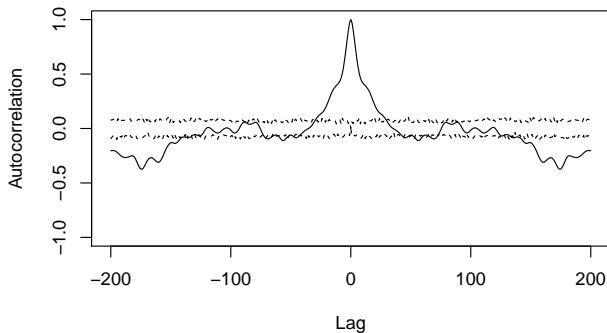
Results

A log transformation was applied to HF and LF heart rate data to compensate for positive skew.



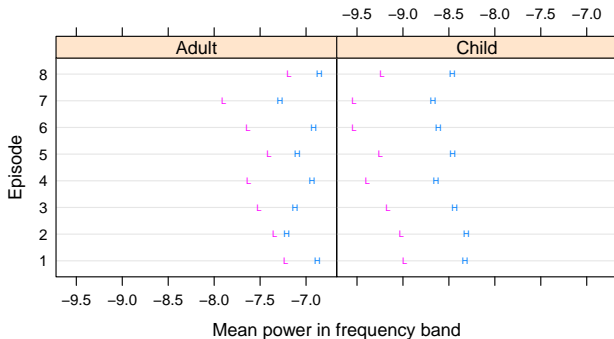
Results

The autocorrelation function was used to check for time dependence (Adult 2460, LF band).



Results

Mean power varied by episode.

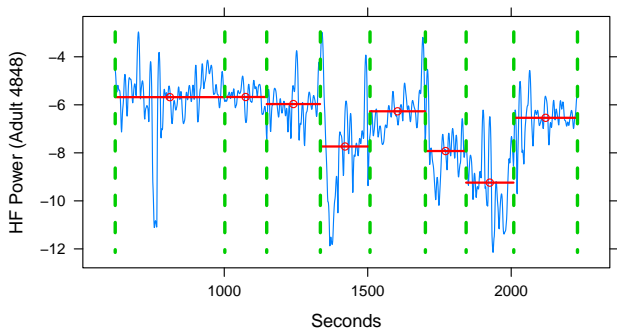


Note: Episode 1 was acclimation to the observation room.



Results

Mean power varied by episode. An example.

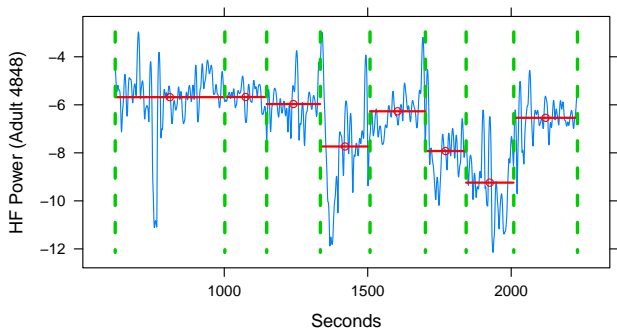


An oscillation of 32 s period around a non-stationary equilibrium?



Results

Mean power varied by episode. An example.



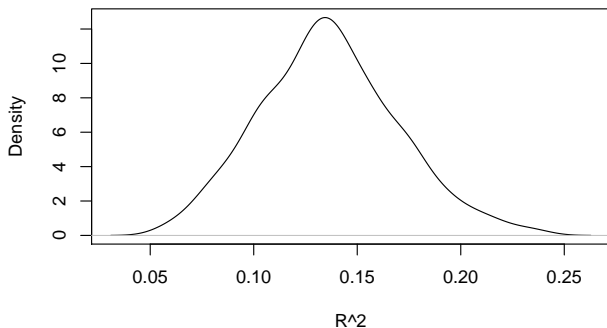
An oscillation of 32 s period around a non-stationary equilibrium?



Results

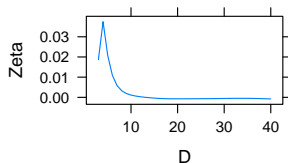
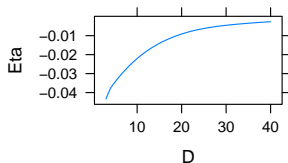
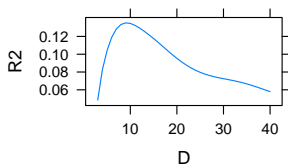
Generalized Orthogonal Linear Derivatives (GOLD) permit the estimation of derivatives from discrete measurements.

Maximum 2nd degree GOLD R^2 for embedding dimensions between 3 and 40 with equilibrium centered at the mean of the first episode



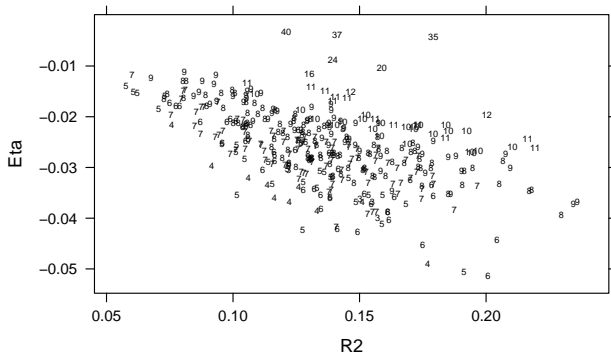
Results

Typical 2nd degree GOLD fit



Results

Eta vs R^2 vs Embedding dimension

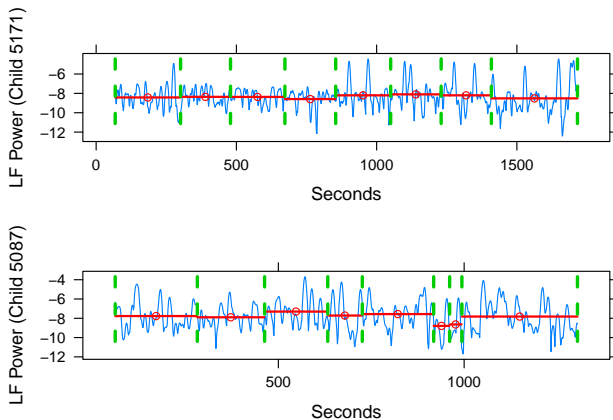


Eta is the first derivative estimate.



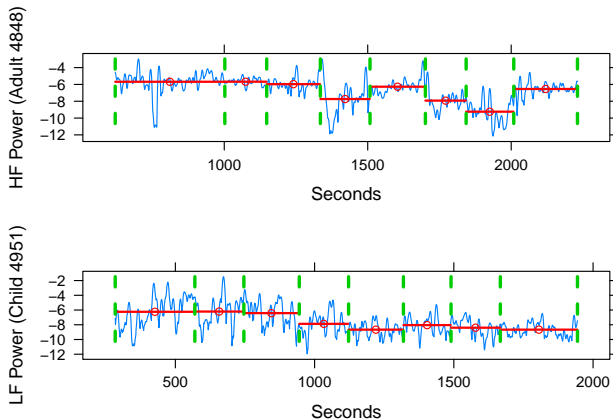
Results

Participants with best fit for 2nd degree GOLD embedding



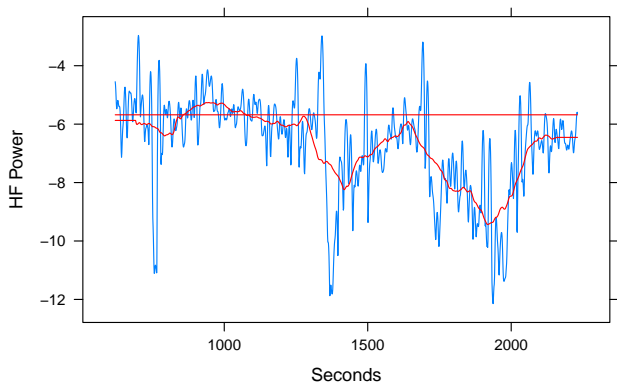
Results

Participants with worst fit for 2nd degree GOLD embedding



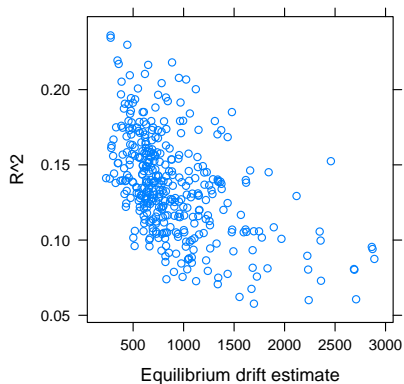
Results

How to measure equilibrium drift? How about the sum of the absolute value of the 144 s moving average minus the mean of episode 1?



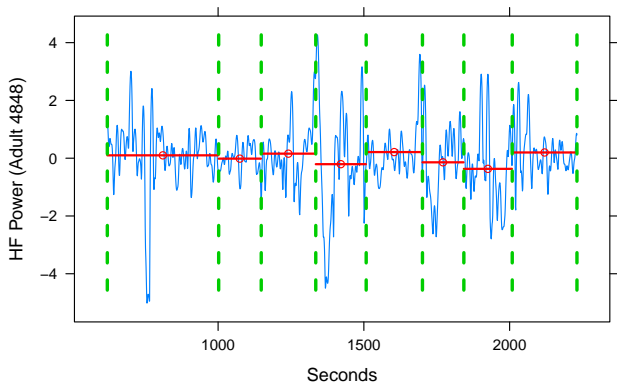
Results

R^2 and equilibrium drift were strongly correlated, $r(377) = -.49$,
 $p < .001$



Results

What does a time series look like after subtracting the moving average?

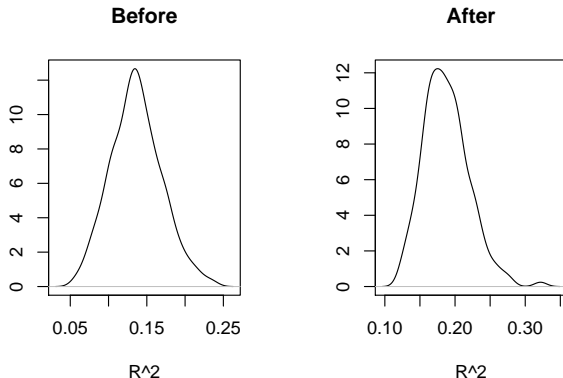


Note: This consumes 1 df (?)



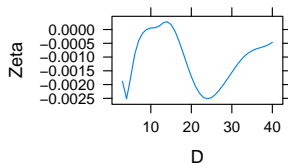
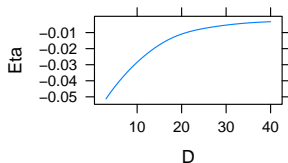
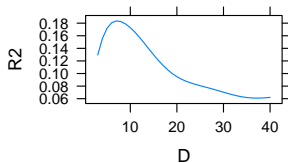
Results

Maximum 2nd degree GOLD R^2 for embedding dimensions between 3 and 40 with equilibrium centered using a moving average



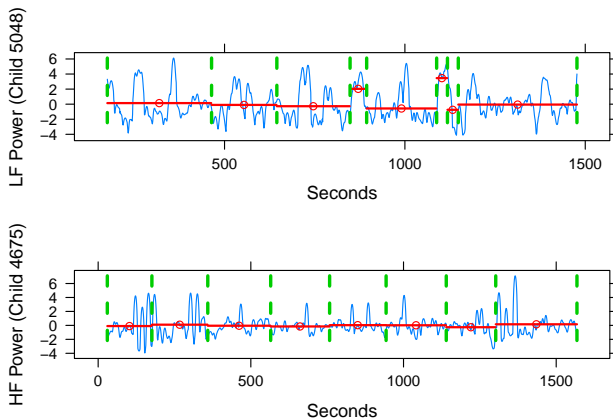
Results

Typical 2nd degree GOLD fit



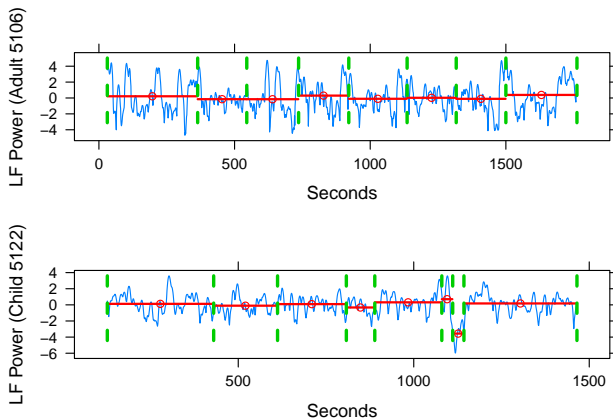
Results

Participants with best fit for 2nd degree GOLD embedding after subtracting the moving average



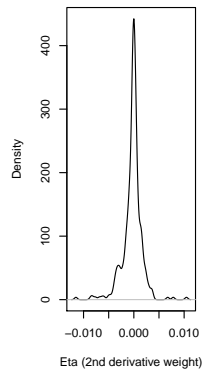
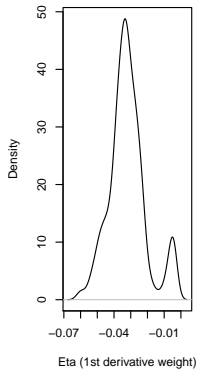
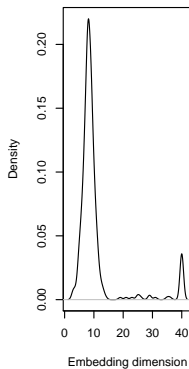
Results

Participants with worst fit for 2nd degree GOLD embedding after subtracting the moving average



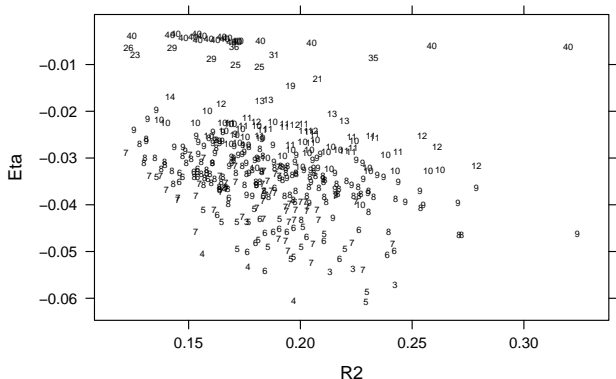
Results

Median embedding dimension = 8. Median η = $-.033$. Median $\zeta \approx 0$.



Results

Eta vs R^2 vs Embedding dimension



Conclusions

- ▶ Ignoring dynamics and averaging band power over arbitrary time-windows result in unreliable estimates.
- ▶ Nonstationary equilibria wreck havoc on derivative estimates.
- ▶ **Caution:** Compensating for a nonstationary equilibrium will affect derivative estimates.



Future Directions

- ▶ Consider other filters (FFT, midpoints between peaks, many other variations of moving average, etc)
- ▶ Multivariate models, cross correlation, etc
- ▶ Theory must guide analysis!



Acknowledgments

- ▶ Steven Boker, Dan Martin, Jeffrey Spies, Angela Staples (University of Virginia)
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