## Radomized Algorithms Solution to Hw4\*.

- [22.-] This was not (\*) but a couple of people asked me for a solution to (b)
- (a) For  $i=1,2,\ldots,10^6$ , let  $X_i$  be the i.r.v. such that  $X_i=1$  iff the ith. ballot was not misrecorded, then  $X=\sum_{i=1}^{10^6}X_i$ . Then if we denote  $N=10^6$ ,  $X_i\in B(N,p)$ , with p=0.02. Moreover the  $X_i$  are independent. We want to bound  $\mathbf{Pr}\left[\sum_{i=1}^N(4/100)N\right]$ . As  $\mu=Np=0.02N$  and  $\delta=1$ , using Chernoff:  $\mathbf{Pr}\left[\sum_{i=1}^N0.04N\right]\leq\mathbf{Pr}\left[X\geq(1+\delta)\mu\right]\leq e^{-\frac{0.02N}{3}}\sim10^{-2895.30}$ .
- (b) Let X be the number of votes for candidate A that are misrecorded and let Y be the number of votes for candidate B that are misrecorded. Then, candidate B wins iff 510000-X+Y<490000+X-Y, i.e. 10000+Y< X. As  $0 \le X \le 510000$  and  $O \le Y \le 490000$ , for any  $0 \le l \le 490000$ , the following holds

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\begin{aligned} &\mathbf{Pr}\left[10000 + Y < X\right] = \mathbf{Pr}\left[(10000 + Y < X) \cap (0 \le Y \le 490000)\right] \\ &= \mathbf{Pr}\left[(10000 + Y < X) \cap ((0 \le Y \le l) \cup (l < Y \le 490000))\right] \\ &= \mathbf{Pr}\left[((10000 + Y < X) \cap (0 \le Y \le l)) \cup ((10000 + Y < X) \cap (l < Y \le 490000))\right] \\ &= \mathbf{Pr}\left[((10000 + Y < X) \cap (0 \le Y \le l))\right] \cup \mathbf{Pr}\left[((10000 + Y < X) \cap (l < Y \le 490000))\right] \\ &= \mathbf{Pr}\left[((10000 + Y < X) \cap (0 \le Y \le l))\right] \cup \mathbf{Pr}\left[((10000 + Y < X) \cap (l < Y \le 490000))\right] \\ &\leq \mathbf{Pr}\left[0 \le Y \le l\right] + \mathbf{Pr}\left[10000 + l < X\right] \le \mathbf{Pr}\left[Y \le l\right] + \mathbf{Pr}\left[10000 + l \le X\right] \end{aligned} For i = 1, \cdots, 510000 and j = 1, \cdots, 490000 let X_i and Y_j be the indicator random variables defined as X_i = 1 if the ith ballot for candidate A was misrecorded, and Y_i = 1 if the ith ballot for candidate A was misrecorded. Then, X = \sum_{i=1}^{510000} X_i \ Y = \sum_{j=1}^{490000} Y_j and the X_i and Y_j are independent. Let \mu_X = \sum_{1}^{510000} p_i = 0.02 \times 510000 = 10200, \mu_Y \sum_{1}^{490000} p_j = 0.02 \times 490000 = 9800 and \delta = 0.48. Using Chernoff, \mathbf{Pr}\left[X \ge 10000 + 5096\right] = \mathbf{Pr}\left[X \ge (1 + 0.48) \cdot 10200\right] = \mathbf{Pr}\left[X \ge (1 + \delta)\mu_X\right] \le \exp(\mu_X \delta^2/3) \sim 10^{-340.2089} \mathbf{Pr}\left[Y \le 5096\right] = \mathbf{Pr}\left[Y \le (1 - 0.48) \cdot 9800\right] = \mathbf{Pr}\left[Y \le (1 - \delta)\mu_Y\right] \le \exp(-\mu_Y \delta^2/2) \sim 10^{-490.3011}
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If we take l=5096, we can give an UB to the probability that B wins the election owing to misrecorded ballots:

 $\mathbf{Pr} \left[ 10000 + Y < X \right] \le \mathbf{Pr} \left[ Y \le 5096 \right] + \mathbf{Pr} \left[ 10000 + 5096 \le X \right] \sim 10^{-340.2089} + 10^{-490.3011}$ 

[23.-]

1. First, we process  $\phi$  so that every variable appears at most once in each clause (eliminate repeated occurrences of a literal, and delete a clause if both a literal and its negation occur). Let n denote the number of variables, and  $c_i$  the number of variables in clause  $C_i$ .

- (a) size( $S_i$ ): return  $2^{n-c_i}$ . The variables in clause i must be fixed to values that satisfy the clause, and the remaining variables may be assigned any value, ex..: if we have 5 variables and  $(\bar{x}_1 \wedge x_2 \wedge \bar{x}_3)$  then  $c_i = 3$  and we must have fixed  $A(x_1) = 0 = A(x_3)$  and  $A(x_2) = 1$ , the other 2 variables can take all combination of 0,1, so we have  $2^2 = 4$  values.
- (b) select( $S_i$ ): fix the variables in clause  $C_i$  to values that satisfy the clause; choose the values of the remaining variables independently and u.a.r.
- (c) lowest(x): for i = 1, 2, ... test if x satisfies  $C_i$  (this test is easy); return the index of the first clause that x satisfies (undefined if it does not satisfies no clauses).
- 2. The problem is that S may occupy only a tiny fraction of all possible assignments in U. Thus the number of samples t would need to be huge in order to get a good estimate of q. A concrete example to make this precise. Consider  $\phi = x_1 \wedge x_2 \wedge \cdots \wedge x_n$ . Then |S| = 1 (the only satisfying assignment is when all n variables are 1). The given algorithm will output zero unless it happens to choose this assignment in one of its t samples, i.e., it outputs zero with probability  $(1/2^n)^t \to 0$  for any t that is only polynomial in t. Thus the relative error of the algorithm will be arbitrarily large with probability arbitrarily close to 1.
- 3. Note that the first two lines of the algorithm select each pair  $(x, S_i), x \in S_i$  with probability  $\frac{|S_i|}{\sum_{j=1}^m |S_j|} \cdot \frac{1}{|S_i|} = \frac{1}{\sum_{j=1}^m |S_j|}$ . In other words, the first 2 lines pick an element u.a.r. from the disjoint union of the sets  $S_i$ . (We really want to pick an element u.a.r. from  $\bigcup_i S_i$ ). Let  $\Gamma = \{(S_i, x) | \text{lowest}(x) = i\}$ . (For instance in the above example,  $\Gamma = \{((1011), S_2), ((0001), S_1), \ldots\})$ ). Therefore the algorithm outputs 1 with probability  $\sum_{(S_i, x) \in \Gamma} \frac{1}{\sum_{j=1}^m |S_j|} = \frac{|\Gamma|}{\sum_{j=1}^m |S_j|}$ . To see that  $|\Gamma| = |S|$ , simply observe that every element  $x \in S$  corresponds to exactly one lowest  $S_i$ , or equivalently  $\Gamma = \{(x, S_{\text{lowest}(x)}) | x \in S\}$ . It follows that the algorithm outputs 1 with probability  $p = \frac{|S|}{\sum_{j=1}^m |S_j|}$ .
- 4. For i = 1, 2, ..., m we have  $|S_i| \le |S|$ , so that  $\sum_{i=1}^m |S_j| \le m|S|$ , so that  $p = \frac{|S|}{\sum_{j=1}^m |S_j|} \ge 1/m$ .
- 5. Note that  $X_1, \ldots, X_t$  are independent 0-1 r.v.'s with mean p, so  $\mathbf{E}[X] = pt$  and by Chernoff we get  $\mathbf{Pr}[|X pt| \ge \epsilon pt] \le 2e^{\epsilon^2 pt/3}$ . The quantity on the right is bounded above by  $\delta$  provided we take  $t = \lceil \frac{3}{\epsilon^2 p} \ln(2/\delta) \rceil \le \lceil \frac{3m}{\epsilon^2 p} \ln(2/\delta) \rceil$  using the fact from part (d) that  $p \ge m$ . Hence it suffices to take  $t = O(\frac{m}{\epsilon^2} \ln \frac{1}{\delta})$ .
- 6. Each iteration of the algorithm in (3) requires O(1) operations, so the final algorithm takes  $O(t) = O(\frac{m}{\epsilon^2} \ln \frac{1}{\delta})$  time. By definition we have |S| =

 $\frac{\sum_{j=1}^m |S_j|}{t} \cdot tp \text{ and } Y = \frac{\sum_{j=1}^m |S_j|}{t} \cdot X. \text{ This implies } Y \in [(1-\epsilon)|S|, (1-\epsilon)|S|)]$  iff  $X \in [(1-\epsilon)tp, (1-\epsilon)tp)].$  Therefore,  $\Pr\left[Y \in [(1-\epsilon)|S|, (1-\epsilon)|S|]\right] = \Pr\left[X \in [(1-\epsilon)tp, (1-\epsilon)tp]\right]$ 

It follows by part (5) that  $\mathbf{Pr}[Y \in [(1-\epsilon)|S|, (1-\epsilon)|S|]] \ge 1-\delta$ .