

# Complexity: Problems and Classes

Fall 2020

- Kleinberg, Tardos. [Algorithm Design](#), Pearson Education, 2006.
- Cormen, Leisserson, Rivest and Stein. [Introduction to algorithms](#). Second edition, MIT Press and McGraw Hill 2001.
- Easley, Kleinberg. [Networks, Crowds, and Markets: Reasoning About a Highly Connected World](#), Cambridge University Press, 2010

# Computational Complexity: Basic references

- Sipser [Introduction to the Theory of Computation](#) 2013.
- Papadimitriou [Computational Complexity](#) 1994.
- Garey and Johnson [Computers and Intractability: A Guide to the Theory of NP-Completeness](#) 1979

# Growth of functions: Asymptotic notations

We consider only functions defined on the natural numbers.

$$f, g : \mathbb{N} \rightarrow \mathbb{N}$$

## O-notation

For a given function  $g(n)$

$$O(g(n)) = \{f(n) \mid \text{there exist a positive constant } c \text{ and } n_0 \geq 0 \\ \text{such that } 0 \leq f(n) \leq cg(n) \text{ for all } n \geq n_0\}$$

$$5n^3 + 2n^2 = O(2^n)$$

$$5n^3 + 2n^2 = O(n^4)$$

$$5n^3 + 2n^2 = O(n^3)$$

$$5n^3 + 2n^2 = \Theta(n^3)$$

$$2^n = O(2^{2n})$$

$$2^n = O(2^{n \log n})$$

It is used for asymptotic upper bound.

Although  $O(g(n))$  is a set we write  $f(n) = O(g(n))$  to indicate that  $f(n)$  is a member of  $O(g(n))$

## $\Theta$ -notation

For a given function  $g(n)$

$\Theta(g(n)) = \{f(n) \mid \text{there are positive constants } c_1, c_2, \text{ and } n_0 \geq 0 \}$   
such that  $0 \leq c_1g(n) \leq f(n) \leq c_2g(n)$  for all  $n \geq n_0\}$

$$5n^3 + 2n^2 = \Theta(n^3)$$

$$5n^3 + 2n^2 \notin \Theta(n^2)$$

It is used for asymptotic equivalence

## $\Omega$ -notation

For a given function  $g(n)$

$$\Omega(g(n)) = \{f(n) \mid \text{there exist positive constants } c \text{ and } n_0 \text{ such that} \\ 0 \leq cg(n) \leq f(n) \text{ for all } n \geq n_0\}$$

$$5n^3 + 2n^2 = \Theta(n^3)$$

$$5n^3 + 2n^2 = \Omega(n^3)$$

$$5n^3 + 2n^2 = \Omega(n^2)$$

$$2^n = \Omega(2^{n/2})$$

It is used for asymptotic lower bound.

## ***o*-notation**

For a given function  $g(n)$

$$o(g(n)) = \{f(n) \mid \text{for any positive constant } c \\ \text{there is a positive constant } n_0 \text{ such that} \\ 0 \leq f(n) < cg(n), \text{ for all } n \geq n_0\}$$

Note that  $f(n) = O(n)$  implies  $f(n) \leq cg(n)$  asymptotically for some  $c$

but  $f(n) = o(n)$  implies  $f(n) < cg(n)$  asymptotically for any  $c$  and when  $f(n) = o(g(n))$  it holds that  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$

It is used for **asymptotic upper bounds that are not asymptotically tight**.



$\omega$ -notation

$f(n) \in \omega(g(n))$  iff  $g(n) \in o(f(n))$

# Algorithm's analysis

- Time
- Space

Algorithm  $\mathcal{A}$  on input  $x$  takes time  $t(x)$ .  
 $|x|$  denotes the size of input  $x$ .

## Definition

The **cost function** of algorithm  $\mathcal{A}$  is a function from  $\mathbb{N}$  to  $\mathbb{N}$  defined as

$$C_{\mathcal{A}}(n) = \max_{|x|=n} t(x)$$

# Fundamental growth functions

- Polynomial time
- Exponential time

# Fundamental growth functions

- Polynomial time  
 $\mathcal{C}_{\mathcal{A}}(n) = O(n^c)$ , for some constant  $c$ .
- Exponential time

# Fundamental growth functions

- Polynomial time  
 $\mathcal{C}_{\mathcal{A}}(n) = O(n^c)$ , for some constant  $c$ .
- Exponential time  
 $\mathcal{C}_{\mathcal{A}}(n) = 2^{O(n^c)}$ , for some constant  $c$ .

# Fundamental growth functions

- Polynomial time  
 $\mathcal{C}_{\mathcal{A}}(n) = O(n^c)$ , for some constant  $c$ .
- Exponential time  
 $\mathcal{C}_{\mathcal{A}}(n) = 2^{O(n^c)}$ , for some constant  $c$ .
- Quasi-polynomial time
- Pseudo polynomial time

# Fundamental growth functions

- Polynomial time

$\mathcal{C}_{\mathcal{A}}(n) = O(n^c)$ , for some constant  $c$ .

- Exponential time

$\mathcal{C}_{\mathcal{A}}(n) = 2^{O(n^c)}$ , for some constant  $c$ .

- Quasi-polynomial time

$\mathcal{C}_{\mathcal{A}}(n) = 2^{O(\log^c n)}$ , for some constant  $c$ .

- Pseudo-polynomial time

$\mathcal{C}_{\mathcal{A}}(n) = O((mW)^c)$ , for some constant  $c$ , but input size is  $O(m + \log W)$

# Fundamental growth functions

- Polynomial time  
 $\mathcal{C}_{\mathcal{A}}(n) = O(n^c)$ , for some constant  $c$ .
- Exponential time  
 $\mathcal{C}_{\mathcal{A}}(n) = 2^{O(n^c)}$ , for some constant  $c$ .
- Quasi-polynomial time  
 $\mathcal{C}_{\mathcal{A}}(n) = 2^{O(\log^c n)}$ , for some constant  $c$ .
- Pseudo-polynomial time  
 $\mathcal{C}_{\mathcal{A}}(n) = O((mW)^c)$ , for some constant  $c$ , but input size is  $O(m + \log W)$
- Similar definitions replacing **time** by **space**  
Most used **PSPACE** polynomial space



# Problem types

- **Decision**

*Input  $x$*

*Property  $P(x)$*

**Example:** Given a graph and two vertices, is there a path joining them?

- **Function**

*Input  $x$*

*Compute  $y$  such that  $Q(x, y)$*

**Example:** Given a graph and two vertices, compute the minimum distance between them.

# Problem types

- Decision

*Input*  $x$

*Property*  $P(x)$

# Problem types

- Decision

*Input*  $x$

*Property*  $P(x)$

Coding inputs on alphabet  $\Sigma$  a problem is a set

# Problem types

- Decision

*Input*  $x$

*Property*  $P(x)$

Coding inputs on alphabet  $\Sigma$  a problem is a set  
 $\{x \mid P(x)\} \in \mathcal{P}(\Sigma^*)$

# Problem types

- **Decision**

*Input*  $x$

*Property*  $P(x)$

Coding inputs on alphabet  $\Sigma$  a problem is a set  
 $\{x \mid P(x)\} \in \mathcal{P}(\Sigma^*)$

- **Function**

*Input*  $x$

*Compute*  $y$  such that  $Q(x, y)$

# Problem types

- **Decision**

*Input*  $x$

*Property*  $P(x)$

Coding inputs on alphabet  $\Sigma$  a problem is a set  
 $\{x \mid P(x)\} \in \mathcal{P}(\Sigma^*)$

- **Function**

*Input*  $x$

*Compute*  $y$  such that  $Q(x, y)$

Coding inputs/outputs on alphabet  $\Sigma$  a deterministic algorithm solving a problem determines a function

# Problem types

- Decision

*Input*  $x$

*Property*  $P(x)$

Coding inputs on alphabet  $\Sigma$  a problem is a set  
 $\{x \mid P(x)\} \in \mathcal{P}(\Sigma^*)$

- Function

*Input*  $x$

*Compute*  $y$  such that  $Q(x, y)$

Coding inputs/outputs on alphabet  $\Sigma$  a deterministic algorithm solving a problem determines a function  
 $f : \Sigma^* \rightarrow \Sigma^*$  s.t., for any  $x$ ,  $Q(x, f(x))$  is true.

# Decision problem classes

- Undecidable

No algorithm can solve the problem.

- Decidable

There is an algorithm solving them.

- P:

There is an algorithm solving it with polynomial cost.

- EXP

There is an algorithm solving it with exponential cost.

- PSPACE

There is an algorithm solving it within polynomial space.



# NP: non-deterministic polynomial time

It is possible to define a **certificate**  $y$  and a **property**  $P(x, y)$  such that

- If  $x$  is an input with answer **yes**, there is  $y$  such that  $P(x, y)$  is true,
- $P(x, y)$  can be decided in polynomial time, given  $x$  and  $y$ .
- $y$  has polynomial size with respect to  $|x|$ .

Problems with a polynomial time verifier

# NP: non-deterministic polynomial time

It is possible to define a **certificate**  $y$  and a **property**  $P(x, y)$  such that

- If  $x$  is an input with answer **yes**, there is  $y$  such that  $P(x, y)$  is true,
- $P(x, y)$  can be decided in polynomial time, given  $x$  and  $y$ .
- $y$  has polynomial size with respect to  $|x|$ .

Problems with a polynomial time verifier

$$\{x \mid \exists y P(x, y)\}$$

# Some decision problems

## Bipartiteness (BIP)

Given a graph determine whether it is bipartite.

## Perfect matching (PMATCH)

Given a graph determine whether it has a perfect matching.

## Hamiltonian Circuit (HC)

Given a graph determine whether it has a Hamiltonian circuit.

In which classes?

- It is an open question whether  $P = NP$  or  $NP = EXP$ . Most believed is that  $P \neq NP$
- $\Pi$  is NP-hard means that a polynomial time algorithm for  $\Pi$  can be reused to solve in polynomial time any problem in  $P$ .
- Decision problem  $A$  is **NP-complete** iff  $A \in NP$  and  $A$  is NP-hard.  
Look at Garey and Johnson's book for a big list of NP-hard/complete problems.

- It is an open question whether  $P = NP$  or  $NP = EXP$ . Most believed is that  $P \neq NP$
- $\Pi$  is NP-hard means that a polynomial time algorithm for  $\Pi$  can be reused to solve in polynomial time any problem in  $P$ .
- Decision problem  $A$  is **NP-complete** iff  $A \in NP$  and  $A$  is NP-hard.  
Look at Garey and Johnson's book for a big list of NP-hard/complete problems.
- The NP-hardness of a problem is assessed through reductions.

# Reductions

- Let  $A$  and  $B$  be decision problems
- A function  $f : \mathbb{N} \rightarrow \mathbb{N}$  is a **reduction from  $A$  to  $B$**  if  
 $x \in A$  iff  $f(x) \in B$

# Reductions

- Let  $A$  and  $B$  be decision problems
- A function  $f : \mathbb{N} \rightarrow \mathbb{N}$  is a **reduction from  $A$  to  $B$**  if  $x \in A$  iff  $f(x) \in B$
- $f$  is a **polynomial time reduction** if in addition  $f$  can be computed in polynomial time.

# Reductions

- Let  $A$  and  $B$  be decision problems
- A function  $f : \mathbb{N} \rightarrow \mathbb{N}$  is a **reduction from  $A$  to  $B$**  if  $x \in A$  iff  $f(x) \in B$
- $f$  is a **polynomial time reduction** if in addition  $f$  can be computed in polynomial time.
- **$A$  is reducible to  $B$  ( $A \leq B$ )** if there is a polynomial time reduction from  $A$  to  $B$ .



- Let  $A$  and  $B$  be decision problems
- A function  $f : \mathbb{N} \rightarrow \mathbb{N}$  is a **reduction from  $A$  to  $B$**  if  $x \in A$  iff  $f(x) \in B$
- $f$  is a **polynomial time reduction** if in addition  $f$  can be computed in polynomial time.
- **$A$  is reducible to  $B$  ( $A \leq B$ )** if there is a polynomial time reduction from  $A$  to  $B$ .

## Theorem

*If  $A \leq B$  and  $B \in P$  then  $A \in P$*