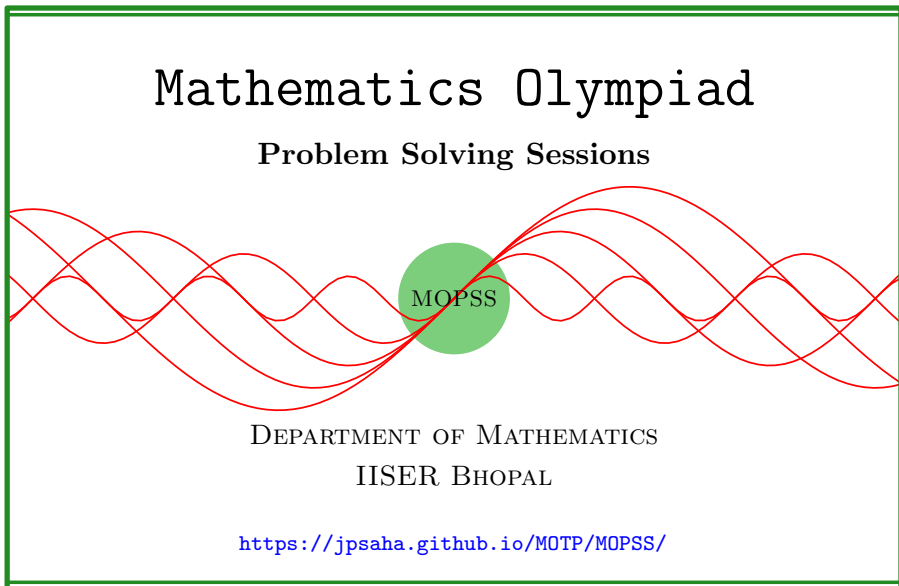


# Inclusion-exclusion principle

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## Suggested readings

- Evan Chen's
  - advice *On reading solutions*, available at <https://blog.evanchen.cc/2017/03/06/on-reading-solutions/>.
  - *Advice for writing proofs/Remarks on English*, available at <https://web.evanchen.cc/handouts/english/english.pdf>.
- Evan Chen discusses why *math olympiads* are a valuable experience for high schoolers in the post on *Lessons from math olympiads*, available at <https://blog.evanchen.cc/2018/01/05/lessons-from-math-olympiads/>.

## List of problems and examples

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## §1 Inclusion-exclusion principle

**Example 1.1.** How many positive integers not exceeding 1000 are divisible by 7 or 11?

**Solution 1.** Let  $A$  (resp.  $B$ ) denote the set of integers not exceeding 1000 that are divisible by 7 (resp. 11). Then the size of  $A \cup B$  is equal to

$$\#A + \#B - \#A \cap B = \left\lfloor \frac{1000}{7} \right\rfloor + \left\lfloor \frac{1000}{11} \right\rfloor - \left\lfloor \frac{1000}{77} \right\rfloor = 142 + 90 - 12 = 220.$$

■

**Example 1.2** (India RMO 2015f P6). From the list of natural numbers  $1, 2, 3, \dots$ , suppose we remove all multiples of 7, 11 and 13.

- At which position in the resulting list does the number 1002 appear?
- What number occurs in the position 3600?

**Solution 2.** Let  $S$  denote the set of all positive integers none of which is divisible by 7, 11 or 13. Note that the sum of  $\#\{x \in S \mid x \leq 1002\}$  and the number of positive integers  $\leq 1002$  divisible by 7, 11 or 13 is equal to 1002. Moreover, by the inclusion-exclusion principle, the number of positive integers  $\leq 1002$  divisible by 7, 11 or 13 is equal to

$$\left\lfloor \frac{1002}{7} \right\rfloor + \left\lfloor \frac{1002}{11} \right\rfloor + \left\lfloor \frac{1002}{13} \right\rfloor - \left\lfloor \frac{1002}{7 \cdot 11} \right\rfloor - \left\lfloor \frac{1002}{11 \cdot 13} \right\rfloor - \left\lfloor \frac{1002}{13 \cdot 7} \right\rfloor + \left\lfloor \frac{1002}{7 \cdot 11 \cdot 13} \right\rfloor \\ = 143 + 91 + 77 - 13 - 7 - 11 + 1 = 281.$$

Note that 1002 belongs to  $S$  and hence it appears at the  $1002 - 281 = 721$ st position.

Suppose  $n$  occurs at the 3600th position. So the number of positive integers  $\leq n$  divisible by 7, 11 or 13 is equal to  $n - 3600$ . Using the inclusion-exclusion principle, we obtain

$$n - 3600 = \left\lfloor \frac{n}{7} \right\rfloor + \left\lfloor \frac{n}{11} \right\rfloor + \left\lfloor \frac{n}{13} \right\rfloor - \left\lfloor \frac{n}{7 \cdot 11} \right\rfloor - \left\lfloor \frac{n}{11 \cdot 13} \right\rfloor - \left\lfloor \frac{n}{13 \cdot 7} \right\rfloor + \left\lfloor \frac{n}{7 \cdot 11 \cdot 13} \right\rfloor. \quad (1)$$

This gives

$$\begin{aligned} & \frac{n}{7} - 1 + \frac{n}{11} - 1 + \frac{n}{13} - 1 - \frac{n}{7 \cdot 11} - \frac{n}{11 \cdot 13} - \frac{n}{13 \cdot 7} + \frac{n}{7 \cdot 11 \cdot 13} - 1 \\ & \leq n - 3600 \\ & \leq \frac{n}{7} + \frac{n}{11} + \frac{n}{13} - \frac{n}{7 \cdot 11} + 1 - \frac{n}{11 \cdot 13} + 1 - \frac{n}{13 \cdot 7} + 1 + \frac{n}{7 \cdot 11 \cdot 13}, \end{aligned}$$

which is equivalent to

$$\begin{aligned} & -4 \\ & \leq n \left( 1 - \frac{1}{7} - \frac{1}{11} - \frac{1}{13} + \frac{1}{7 \cdot 11} + \frac{1}{11 \cdot 13} + \frac{1}{13 \cdot 7} - \frac{1}{7 \cdot 11 \cdot 13} \right) - 3600 \\ & \leq 3. \end{aligned}$$

Noting that

$$\left( 1 - \frac{1}{7} - \frac{1}{11} - \frac{1}{13} + \frac{1}{7 \cdot 11} + \frac{1}{11 \cdot 13} + \frac{1}{13 \cdot 7} - \frac{1}{7 \cdot 11 \cdot 13} \right) = \frac{6 \cdot 10 \cdot 12}{7 \cdot 11 \cdot 13},$$

we obtain

$$-4 \times \frac{7 \cdot 11 \cdot 13}{6 \cdot 10 \cdot 12} \leq n - 5 \cdot 7 \cdot 11 \cdot 13 \leq 3 \times \frac{7 \cdot 11 \cdot 13}{6 \cdot 10 \cdot 12},$$

which yields

$$-\frac{7 \cdot 11 \cdot 13}{180} \leq n - 5 \cdot 7 \cdot 11 \cdot 13 \leq \frac{7 \cdot 11 \cdot 13}{240},$$

and consequently, any solution of the Eq. (1) satisfies

$$-5 \leq n - 5 \cdot 7 \cdot 11 \cdot 13 \leq 4.$$

Note that  $n = 5 \cdot 7 \cdot 11 \cdot 13$  is the unique solution to

$$n \left( 1 - \frac{1}{7} - \frac{1}{11} - \frac{1}{13} + \frac{1}{7 \cdot 11} + \frac{1}{11 \cdot 13} + \frac{1}{13 \cdot 7} - \frac{1}{7 \cdot 11 \cdot 13} \right) - 3600 = 0,$$

and it is a multiple of the pairwise coprime integers 7, 11, 13. Hence, it is a solution to Eq. (1). Also note that  $5 \cdot 7 \cdot 11 \cdot 13 - 1$  is also a solution to Eq. (1). Moreover, no integer lying in  $[-6 + 5 \cdot 7 \cdot 11 \cdot 13, 4 + 5 \cdot 7 \cdot 11 \cdot 13]$ , other than  $5 \cdot 7 \cdot 11 \cdot 13 - 1$  and  $5 \cdot 7 \cdot 11 \cdot 13$  is a solution to Eq. (1). Observe that  $5 \cdot 7 \cdot 11 \cdot 13 - 1$  lies in  $S$ .

We conclude that the number that occurs in the 3600th position is  $5 \cdot 7 \cdot 11 \cdot 13 - 1 = 5004$ . ■

**Example 1.3 (India RMO 2019b P5).** There is a pack of 27 distinct cards, and each card has three values on it. The first value is a shape from  $\{\Delta, \square, \odot\}$ ; the second value is a letter from  $\{A, B, C\}$ ; and the third value is a number from  $\{1, 2, 3\}$ . In how many ways can we choose an unordered set of 3 cards from the pack, so that no two of the chosen cards have two matching values. For example we can chose  $\{\Delta A1, \Delta B2, \odot C3\}$ . But we cannot choose  $\{\Delta A1, \square B2, \Delta C1\}$ .

**Solution 3.** Let  $\mathcal{A}$  denote the set of ordered tuples  $(u, v, w)$  with  $u, v, w \in (\mathbb{Z}/3\mathbb{Z})^3$  such that no two among  $u, v, w$  have two equal coordinates. For  $u \in (\mathbb{Z}/3\mathbb{Z})^3$ , let  $\mathcal{A}_u$  denote the set of ordered tuples lying in  $\mathcal{A}$  with the first coordinate equal to  $u$ . Note that  $(u, v, w) \mapsto (u, v, w) - (u, u, u)$  defines a bijection between  $\mathcal{A}_u$  and  $\mathcal{A}_0$ . Hence, the number of ways of choosing an unordered set of 3 cards satisfying the given conditions is  $\frac{1}{3!} \cdot 27 \cdot |\mathcal{A}_0|$ . Note that

$$\begin{aligned}\mathcal{A}_0 = \{ & (0, v, w) \mid v \notin \langle e_1 \rangle \cup \langle e_2 \rangle \cup \langle e_3 \rangle, w \notin \langle e_1 \rangle \cup \langle e_2 \rangle \cup \langle e_3 \rangle, \\ & w \notin (v + \langle e_1 \rangle) \cup (v + \langle e_2 \rangle) \cup (v + \langle e_3 \rangle)\},\end{aligned}$$

and hence

$$\begin{aligned}|\mathcal{A}_0| &= \sum_{v \notin \langle e_1 \rangle \cup \langle e_2 \rangle \cup \langle e_3 \rangle} \left| (\langle e_1 \rangle \cup \langle e_2 \rangle \cup \langle e_3 \rangle)^c \cap ((v + \langle e_1 \rangle) \cup (v + \langle e_2 \rangle) \cup (v + \langle e_3 \rangle))^c \right|.\end{aligned}$$

For any subset  $S$  of  $(\mathbb{Z}/3\mathbb{Z})^3$  and an element  $v \in (\mathbb{Z}/3\mathbb{Z})^3$ , we have

$$\begin{aligned}|S^c \cap (v + S)^c| &= 27 - |(S^c \cap (v + S)^c)^c| \\ &= 27 - |S \cup (v + S)| \\ &= 27 - |S| - |v + S| + |S \cap (v + S)| \\ &= 27 - 7 - 7 + |S \cap (v + S)| \\ &= 13 + |S \cap (v + S)|.\end{aligned}$$

Henceforth,  $S$  denotes the subset  $\langle e_1 \rangle \cup \langle e_2 \rangle \cup \langle e_3 \rangle$  of  $(\mathbb{Z}/3\mathbb{Z})^3$ , and  $v$  denotes an element of  $(\mathbb{Z}/3\mathbb{Z})^3$  lying outside  $S$ .

First, let us consider the case when  $v$  has exactly two nonzero coordinates. It follows that  $v$  lies in exactly one of  $\langle e_1 \rangle - \langle e_2 \rangle$ ,  $\langle e_2 \rangle - \langle e_3 \rangle$ ,  $\langle e_3 \rangle - \langle e_1 \rangle$ . Observe that the set  $S \cap (v + S)$  is equal to the union of  $\langle e_i \rangle \cap (v + \langle e_j \rangle)$  for  $1 \leq i, j \leq n$ . It follows that  $S \cap (v + S)$  has size two.

Now, let us consider the case when all coordinates of  $v$  are nonzero. Note that  $\langle e_i \rangle \cap (v + \langle e_j \rangle)$  is empty for any  $1 \leq i, j \leq n$ . Hence, so is the set  $S \cap (v + S)$ .

Note that the number of elements of  $(\mathbb{Z}/3\mathbb{Z})^3$  having exactly two nonzero coordinates is  $3 \cdot 2 \cdot 2 = 12$ , and the number of elements of  $(\mathbb{Z}/3\mathbb{Z})^3$  having all coordinates nonzero is  $2^3 = 8$ . It follows that

$$|\mathcal{A}_0| = 12 \cdot (13 + 2) + 8 \cdot (13 + 0) = 284.$$

Hence, the number of ways of choosing an unordered set of 3 cards satisfying the given conditions is  $\frac{1}{3!} \cdot 27 \cdot 284 = 1278$ . ■