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NOTA  
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## Value Functions and Bellman Equations

NOTA DO ENVIO MAIS RECENTE  
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1. A function which maps \_\_\_ to \_\_\_ is a value function. [Select all that apply]

1 / 1 ponto

☐ Values to actions.

☒ State-action pairs to expected returns.

✓ **Correto**

Correct! A function that takes a state-action pair and outputs an expected return is a value function.

☐ Values to states.

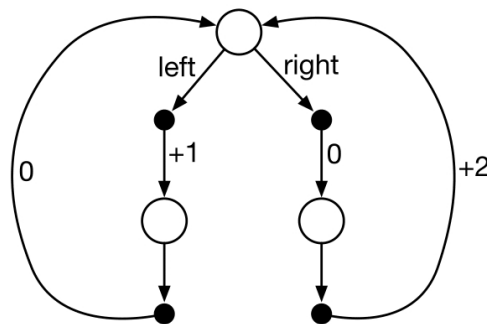
☒ States to expected returns.

✓ **Correto**

Correct! A function that takes a state and outputs an expected return is a value function.

2. Consider the continuing Markov decision process shown below. The only decision to be made is in the top state, where two actions are available, left and right. The numbers show the rewards that are received deterministically after each action. There are exactly two deterministic policies,  $\pi_{\text{left}}$  and  $\pi_{\text{right}}$ . Indicate the optimal policies if  $\gamma = 0$ ? If  $\gamma = 0.9$ ? If  $\gamma = 0.5$ ? [Select all that apply]

1 / 1 ponto



☒ For  $\gamma = 0$ ,  $\pi_{\text{left}}$

✓ **Correto**

Correct! Since both policies return to the top state every two time steps, to determine the optimal policy, it suffices to consider the reward accumulated over the first two time steps. For the policy left, this is equal to 1; for the policy right, this is equal to 0.

☐ For  $\gamma = 0.9$ ,  $\pi_{\text{left}}$

☒ For  $\gamma = 0.5$ ,  $\pi_{\text{left}}$

✓ **Correto**

Correct! Since both policies return to the start state every two time steps, to determine the optimal policy, it suffices to consider the reward accumulated over the first two time steps. For the policy left, this is equal to 1; for the policy right, this is equal to 1.

☒ For  $\gamma = 0.9$ ,  $\pi_{\text{right}}$

✓ **Correto**

Correct! Since both policies return to the top state every two time steps, to determine the optimal policy, it suffices to consider the reward accumulated over the first two time steps. For the policy left, this is equal to 1; for the policy right, this is equal to 1.8.

☐ For  $\gamma = 0$ ,  $\pi_{\text{right}}$

☒ For  $\gamma = 0.5$ ,  $\pi_{\text{right}}$

✓ **Correto**

Correct! Since both policies return to the start state every two time steps, to determine the optimal policy, it suffices to consider the reward accumulated over the first two time steps. For the policy left, this is equal to 1; for the policy right, this is equal to 1.

3. Every finite Markov decision process has \_\_\_. [Select all that apply]

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☐ A unique optimal policy

☒ A deterministic optimal policy

✓ Correto

Correct! Let's say there is a policy  $\pi_1$  which does well in some states, while policy  $\pi_2$  does well in others. We could combine these policies into a third policy  $\pi_3$ , which always chooses actions according to whichever of policy  $\pi_1$  and  $\pi_2$  has the highest value in the current state.  $\pi_3$  will necessarily have a value greater than or equal to both  $\pi_1$  and  $\pi_2$  in every state! So we will never have a situation where doing well in one state requires sacrificing value in another. Because of this, there always exists some policy which is best in every state. This is of course only an informal argument, but there is in fact a rigorous proof showing that there must always exist at least one optimal deterministic policy.

☒ A unique optimal value function

✓ Correto

Correct! The Bellman optimality equation is actually a system of equations, one for each state, so if there are  $N$  states, then there are  $N$  equations in  $N$  unknowns. If the dynamics of the environment are known, then in principle one can solve this system of equations for the optimal value function using any one of a variety of methods for solving systems of nonlinear equations. All optimal policies share the same optimal state-value function.

☐ A stochastic optimal policy

4. The \_\_\_ of the reward for each state-action pair, the dynamics function  $p$ , and the policy  $\pi$  is \_\_\_ to characterize the value function  $v_\pi$ . (Remember that the value of a policy  $\pi$  at state  $s$  is  $v_\pi(s) = \sum_a \pi(a|s) \sum_{s',r} p(s',r|s,a)[r + \gamma v_\pi(s')]$ .)

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- ☐ Distribution; necessary
- ☒ Mean; sufficient

✓ Correto

Correct! If we have the expected reward for each state-action pair, we can compute the expected return under any policy.

5. The Bellman equation for a given a policy  $\pi$ : [Select all that apply]

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☒ Expresses state values  $v(s)$  in terms of state values of successor states.

✓ Correto

Correct!

- ☐ Holds only when the policy is greedy with respect to the value function.
- ☐ Expresses the improved policy in terms of the existing policy.

6. An optimal policy:

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- ☐ Is unique in every Markov decision process.
- ☒ Is not guaranteed to be unique, even in finite Markov decision processes.
- ☐ Is unique in every finite Markov decision process.

✓ Correto

Correct! For example, imagine a Markov decision process with one state and two actions. If both actions receive the same reward, then any policy is an optimal policy.

7. The Bellman optimality equation for  $v_*$ : [Select all that apply]

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- ☐ Expresses the improved policy in terms of the existing policy.
- ☒ Expresses state values  $v_*(s)$  in terms of state values of successor states.

✓ Correto

Correct!

☒ Holds for the optimal state value function.

✓ Correto

Correct!

- ☐ Holds when  $v_* = v_\pi$  for a given policy  $\pi$ .
- ☐ Holds when the policy is greedy with respect to the value function.

8. Give an equation for  $v_\pi$  in terms of  $q_\pi$  and  $\pi$ .

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- ☐  $v_\pi(s) = \max_a \gamma \pi(a|s) q_\pi(s, a)$
- ☒  $v_\pi(s) = \sum_a \pi(a|s) q_\pi(s, a)$
- ☐  $v_\pi(s) = \max_a \pi(a|s) q_\pi(s, a)$
- ☐  $v_\pi(s) = \sum_a \gamma \pi(a|s) q_\pi(s, a)$

✓ Correto

Correct!

9. Give an equation for  $q_\pi$  in terms of  $v_\pi$  and the four-argument  $p$ .

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- ☒  $q_\pi(s, a) = \sum_{s',r} p(s',r|s,a)[r + \gamma v_\pi(s')]$

- ☐  $q_{\pi}(s, a) = \sum_{s', r} p(s', r | s, a) \gamma [r + v_{\pi}(s')]$   
☐  $q_{\pi}(s, a) = \max_{s', r} p(s', r | s, a) \gamma [r + v_{\pi}(s')]$   
☐  $q_{\pi}(s, a) = \sum_{s', r} p(s', r | s, a) [r + v_{\pi}(s')]$   
☐  $q_{\pi}(s, a) = \max_{s', r} p(s', r | s, a) [r + v_{\pi}(s')]$   
☐  $q_{\pi}(s, a) = \max_{s', r} p(s', r | s, a) [r + \gamma v_{\pi}(s')]$

✓ Correto  
Correct!

10. Let  $r(s, a)$  be the expected reward for taking action  $a$  in state  $s$ , as defined in equation 3.5 of the textbook. Which of the following are valid ways to re-express the Bellman equations, using this expected reward function? [Select all that apply]

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- ✓  $q_{\pi}(s, a) = r(s, a) + \gamma \sum_{s', a'} p(s' | s, a) \pi(a' | s') q_{\pi}(s', a')$

✓ Correto  
Correct!

- ✓  $v_{\pi}(s) = \sum_a \pi(a | s) [r(s, a) + \gamma \sum_{s'} p(s' | s, a) v_{\pi}(s')]$

✓ Correto  
Correct!

- ✓  $v_{\pi}(s) = \max_a [r(s, a) + \gamma \sum_{s'} p(s' | s, a) v_{\pi}(s')]$

✓ Correto  
Correct!

- ✓  $q_{\pi}(s, a) = r(s, a) + \gamma \sum_{s'} p(s' | s, a) \max_{a'} q_{\pi}(s', a')$

✓ Correto  
Correct!

11. Consider an episodic MDP with one state and two actions (left and right). The left action has stochastic reward 1 with probability  $p$  and 3 with probability  $1 - p$ . The right action has stochastic reward 0 with probability  $q$  and 10 with probability  $1 - q$ . What relationship between  $p$  and  $q$  makes the actions equally optimal?

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- ☐  $13 + 3p = -10q$   
☐  $7 + 2p = -10q$   
☐  $13 + 3p = 10q$   
☐  $7 + 3p = 10q$   
☒  $7 + 2p = 10q$   
☐  $13 + 2p = -10q$   
☐  $7 + 3p = -10q$   
☐  $13 + 2p = 10q$

✓ Correto  
Correct!