



Wild Capture Ratings Methodology

(Version 2 - June 2018)

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1. Introduction

This document provides in depth discussion of how MCS assesses the sustainability of wild capture fisheries. It is aimed at internal assessors and staff, consultants, seafood businesses and other professionals requiring a thorough understanding of MCS wild capture methodology and ratings.

Please click:

- Here to see an Introduction to MCS Seafood Ratings; and
- <u>Here</u> to see the MCS Farmed Seafood Ratings Methodology.

If you have any questions or specific queries about MCS seafood ratings or you would like to comment on or contribute to information in the Good Fish Guide please contact MCS at:

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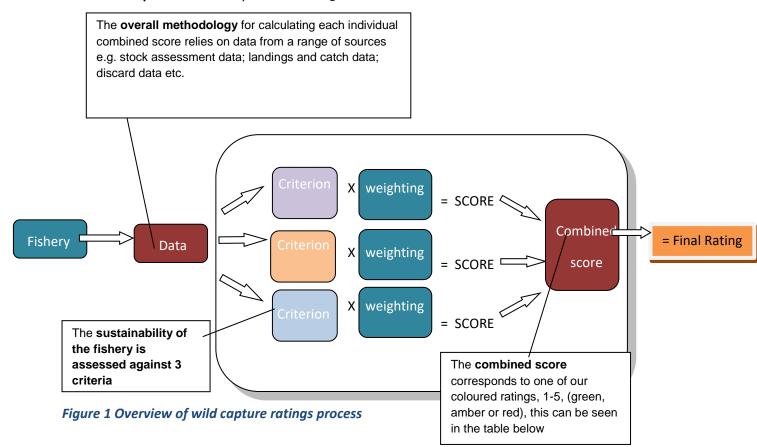
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2. Overview of ratings process

Unit of assessment

The Unit of Assessment (UoA) for MCS wild capture assessments is the fishery which is typically a specific species (the focus of the assessment), from a specific geographic stock, being fished with a capture method and being managed in the same way. Where there is a justification, such as the availability of credible information and MCS capacity, finer scale assessments can be undertaken.

An over-view of the ratings process for **each fishery or combination of species and specific area and method of capture we rate** is presented in Figure 1 below.



The criteria against which we measure sustainability are:

- Stock or Species status the state of the stock i.e. stock size (the total weight of mature or breeding adults) and fishing pressure measured against recommended safe levels or reference points.
- Management an assessment of the measures, monitoring, surveillance and enforcement in place to ensure the stock is well maintained and the impacts of the fishery mitigated appropriately. Our assessment of management also includes consideration of whether the fishery is already certified as being sustainable by other bodies (such as the Marine Stewardship Council) and whether the fishery is in a Fisheries Improvement Project (FIP).

• Capture method and ecological effects – an assessment of the impacts of the capture method on non-target species (bycatch), and wider ecosystem, and measures implemented to mitigate them. This includes whether the fishery is operating within a marine protected area (MPA), and is compatible or not with the Conservation Objectives, and legal requirements of the site (See Appendix V for a map of UK Marine Protected Areas).

The relationship between the combined criteria score and the overall rating is presented in Table 1 Relationship between combined score and overall rating below.

Table 1 Relationship between combined score and overall rating

Combined criteria score	Overall Rating		
Less than 2.5	Dark Green		
2033 (Hall 2.5)	(Best Choice)	Best Choice	
Between 2.5 and less than 5	Light Green	Best choice	
Detween 2.5 and less than 5	(Good Choice)		
From 5 to 7.5	Yellow (OK)		
	Orange	Think	
Between 7.5 and less than 10	(Fishery requires improvement)		
10 and more, up to max. of 15	Red (Avoid)	Fish to Avoid	

In addition, each criterion is 'weighted' (See Table 2) in a ranking system, placing more emphasis (and therefore numerical value) on the criterion that are in our opinion has the most significance for sustainability. We consider that stock status is the strongest current measure of sustainability and therefore this criterion has the heaviest weighting. The weighting multipliers are also designed to ensure a minimum total or combined criterion score of 0, and a maximum of 15.

Table 2 Weighting of sustainability criteria

Sustainability Criterion	Weighting multiplier	
Stock or species status	X value by 6	
Management	X value by 5	
Capture method and ecological effects	X value by 4	

The complexity of the methodology lies in the allocation of a score to each category within each sustainability criterion.

A score of either 0, 0.25, 0.5, 0.75 or 1 is allocated for each category description. This score is then multiplied by the weighting (see page 8) given to each criterion to obtain the criterion score, the higher the score, up to a maximum of 15, the less-sustainable the fishery.

To allow for precise allocation of scores within each criterion, and to help the assessor determine the most appropriate score for the fishery under assessment, each category description is supported by detailed descriptors. This is an essential component of the methodology in order that each rating is robust and transparent. It also helps to reduce individual interpretation and therefore ambiguity.

2.1. Summary of default or critical fail ratings

We also use default or critical fail ratings, summarised in Table 3 below, for the three criteria in the following situations:

Table 3 Summary of default ratings

Criterion	When	Default rating
Stock or species status	 ICES or equivalent scientific advice is for zero catch or no direct i.e. targeted fishery and this advice is not followed Biomass (B) is at or below B_{lim} (see Glossary) and no precautionary Recovery Plan is in place for the stock A species is listed as Endangered or Critically Endangered by IUCN or equivalent for the sea area e.g. FAO 27 North East Atlantic in which the fishery is taking place, and the assessment report is still considered relevant (i.e. current and best assessment of species status available) With respect to Low Trophic Level (LTL) species if there is evidence that the status of it is significantly reducing the state of other species (through links in the food chain) 	5
Management	 There is no appropriate or relevant management system or regulatory framework in place including No measures to address critical issues e.g. intrinsic and widespread IUU fishing, for example 	5
Capture method and ecological effects	 The fishing method is: Causing substantial or long-lasting damage e.g. unmanaged deep-sea trawling Damaging protected features of MPAs Illegal e.g. dynamite fishing Bottom trawling below 600m (deep sea fishing) without robust regulation in place 	5

3. Criteria category descriptors and values

3.1. Criterion 1: Stock or species status

Introduction

Stock is the term given to a group of individuals in a species occupying a well-defined spatial range independent of other stocks of the same species. A stock will form the basis of a distinct fishery defined in terms of season and area. It is the population from which catches are taken in a fishery. Fisheries directly affect fish stocks through catches. In order that the stock or population is maintained at a sustainable level i.e. at a level where fishing can continue indefinitely, fishing must be controlled. This is achieved by identifying target or limit reference values for biomass and fishing pressure, below or above which, respectively, levels must not fall or rise.

Biomass (B) is the total weight of a resource or stock, usually the weight of mature breeding fish in a stock. The fishing mortality (F) is a measure for fishing pressure, and is the proportion of fish in a specific year class[es] or cohort[s] (i.e. all fish born in the same year), that is taken by a fishery each year. Regular scientific assessment of a stock is required to determine stock status in terms of biomass and fishing pressure and to provide advice to fisheries managers on sustainable fishing levels.

Depending on available information and the type¹ of stock assessment for the target species in question either Route 1. or 2. is followed.

Route 1. is typically used for stocks for which an analytical or survey-based assessment relative to BOTH indicators, Biomass (B) and Fishing Pressure (F), is available.

Route 2. is used for stocks where data is limited or unknown for Biomass and/or Fishing Pressure i.e. reference points are not defined for one or both indicators. Also used in situations where an assessment, if available, is no longer considered relevant i.e. out of date.

In situations where - ICES or equivalent scientific advice is for zero catch or for no direct fishery i.e. where the species is being targeted by the fishery, and it should be closed, and this advice is *not* followed, or biomass (B) is at or below the biomass limit (B_{lim}) or where the target species is listed as Endangered or Critically Endangered by IUCN or equivalent, the fishery is **rated a 5** by default (see summary Table 3 of default ratings).

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¹ Please see Appendix VII for definitions for types of ICES stock assessments

3.1.1. Route 1. Stock status

The criterion score for stock status using Route 1. is obtained by completing the matrix table Table 8) with the scores obtained separately from the tables for biomass (Table 6) and fishing pressure (Table 7), this value or score is then multiplied by the weighting multiplier to obtain the criterion score. Category description and weighting for Route 1. for each of the scores is summarised in Table 4 below and supported by more detailed descriptors as outlined in Table 6 and Table 7.

Table 4 Category descriptors and weighting for Stock Status Route 1. (biomass and fishing mortality)

Category description	Score	Weighting Multiplier	Criterion Score	Sustainability levels
Stock under fished and harvested within sustainable limits	0	X 6	0	High
Stock fully fished and harvested within sustainable limits	0.25	X 6	1.5	
Stock level below B_{MSY} (see Glossary) but harvested within sustainable limits	0.5	X 6	3	
Concern for stock and fishing level or stock at increased risk	0.75	X 6	4.5	
Stock outside biological and/or safe fishing limits, has reduced reproductive capacity or is depleted and/or harvested unsustainably	1	X 6	6	Low

3.1.2. Route 2: Data limited stock status

The criterion score for stock status using Route 2. is obtained by completing the matrix below (Table 5). This value or score is then multiplied by the weighting multiplier to obtain the criterion score.

Table 5 Data limit	ed descriptors	s, resilience and	scoring matrix
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	No concern for F, no concern for B	0.25	0.25	0.5	0.5
Data limited indicators	No concern for F, concern for B	0.5	0.5	0.75	1
for Biomass, B,	Concern for F, no concern for B	0.5	0.75	0.75	1
and fishing pressure, F,	Concern for F, Concern for B	0.75	1	1	1
scenarios	Species resilience	High	Medium	Low	Very low
	Species vulnerability	0-0.24	0.25 - 0.49	0.50 - 0.74	0.75 - 1.0

- No concern for F: Fishing mortality is unknown in relation to reference points but catch
 index is below long-term average or stable or increasing but at a low level or fishing level is
 not believed to be of concern.
- **Concern for F:** Fishing mortality is unknown in relation to reference points but catch index is stable or increasing but at a high level or increasing above long-term average or there is concern for the fishing level or information is conflicting or no information available.²
- **No concern for B**: Biomass is unknown in relation to reference points, declining but at high level, or stable at or above long-term average or biomass level is not believed to be of concern.
- Concern for B: Biomass is unknown in relation to reference points, is stable at low levels or is declining below long-term average or there is concern for the biomass level or information is conflicting or no information available.³

In table 5 above, **'Species resilience'** (or vulnerability) information is obtained from Fishbase⁴, or Sealifebase⁵ for invertebrates, or Cephbase⁶ for cephalopods. In the absence of information from these resources, simple species life-history characteristics are used. Species are considered to have **very low resilience** if two or more of the following apply or if no resilience information is available:

- Age at first maturity is 6 or more years
- Longevity is 20 years or greater
- Growth rate or parameter, k is ≤ 0.15

² In this context no information refers to situations where there is no assessment and where information on exploitation or abundance is lacking and where there is no scientific advice or interpretation of available data in relation to fishing mortality or biomass.

³ See above footnote.

⁴ www.fishbase.org

⁵ www.sealifebase.org

⁶ http://cephbase.eol.org/

If less than two of the criteria apply, the species is considered to have **medium resilience**.

3.1.3. Stock status detailed descriptors

Route 1. Stock Status - Biomass and fishing mortality

The descriptors for stock status – biomass and fishing mortality - are detailed further in the below Table 6 and Table 7 to allow for more precise allocation of a score to the situation for biomass and fishing pressure for the stock being assessed.

Table 6 Category descriptor detail for Stock Status – Biomass (B)

Category	Score	Associated descriptors
description		
Sustainably fished		 Biomass is above B_{MSY} or other appropriate reference point or surrogate with similar intent, this corresponds to approximately 1.4 B_{MSYtrigger} (= B high) or above Includes ICES assessed stocks i.e. those for which a full assessment and catch options are provided i.e. Data-rich stocks and where Biomass is at or fluctuating around or above B_{MSY} (not trigger) reference point Includes outcomes from stock assessments which indicate that with high probability the stock is not in an overfished state Biomass is at or below B_{MSY} but above B_{MSYtrigger} or other appropriate reference point or surrogate with similar intent
		 Includes ICES assessed stocks i.e. those for which a full assessment and catch options are provided i.e. Data-rich stocks and where Biomass is at or fluctuating around or above B_{MSYtrigger} reference point Biomass is fluctuating around B_{MSY} or above B_{MSY} but trending downwards/approaching B_{MSY} and no precautionary reference point or B_{MSYtrigger} in place. Includes anadromous species such as salmon from rivers assessed as <i>Not at Risk</i> Includes outcomes from stock assessments which suggest
		stock is not in an overfished state, but there is considerable uncertainty
Stock Level below B _{MSY} or full assessment not available		 Biomass is below B_{MSYtrigger} but within precautionary limits or other appropriate reference point or surrogate with similar intent, this corresponds to B >1/2(B_{MSYtrigger} + B_{lim}) ≤ B_{MSYtrigger} (Where B_{trigger} or precautionary limits aren't defined, B_{MSY} is used as the trigger value) Includes ICES assessed stocks, i.e. those for which a full assessment and catch options are provided i.e. Data-rich
		stocks where Biomass is below B _{MSYtrigger} but above or at Precautionary Limits (B _{PA}) (i.e. full reproductive capacity) • Includes anadromous species such as salmon from rivers assessed as <i>Probably not at risk</i>

Concern for Stock Level Or At increased risk	 Biomass is considerably below B_{MSYtrigger} but above B_{lim} or other appropriate reference point or surrogate with similar intent, this corresponds to B>B_{lim}≤ ½ (B_{MSYtrigger} + B_{lim}) (Where B_{trigger} or precautionary limits aren't defined, B_{MSY} is used as the trigger value) Includes ICES assessed stocks, i.e. those for which a full assessment and catch options are provided i.e. Data-rich stocks where Biomass is below B_{MSY} and B_{PA} but above B_{lim} i.e. the stock is At increased risk of suffering reduced reproductive capacity. Includes anadromous species such as salmon from rivers assessed as <i>Probably at risk</i>
Stock Outside Safe Biological Limits Or Reduced Reproductive Capacity Or Depleted	 Biomass is likely below B_{lim} or surrogate with similar intent and recruitment may be impaired or equal to 20% of unfished state or 0.5 B_{MSY} Includes ICES assessed stocks i.e. those for which a full assessment and catch options are provided i.e. Data-rich stocks where Biomass is likely at or below (in vicinity of) B_{lim} i.e. the stock has or is suffering Reduced Reproductive Capacity Includes anadromous species such as salmon from rivers assessed as At risk

Table 7 Category descriptor detail for Stock Status – Fishing mortality (pressure) (F)

Category description	Score	Associated descriptors
Harvested sustainably		 Fishing mortality is below F_{MSY} or other appropriate reference point or surrogate with similar intent, i.e. F< F_{MSY} Includes ICES assessed stocks i.e. those for which a full assessment and catch options are provided i.e. Data-rich stocks where fishing mortality is below or at or fluctuating around F_{MSY} reference point
		 Fishing mortality is around or equal to F_{MSY} or other appropriate reference point or surrogate with similar intent, i.e. F_{MSY} ≤ F <1.1 Includes outcomes from assessments or evaluations which indicate that overfishing is not believed to be occurring, but there is considerable uncertainty Includes outcomes where F is fluctuating around F_{MSY}, or if F has been consistently below F_{MSY} and has just recently (in the latest assessment) increased above F_{MSY} (potentially due to management error or a new stock assessment and the consequence of adjustment to reference points or estimates)
Fishing above MSY		 Fishing mortality is somewhat above F_{MSY} but within precautionary limits or other appropriate reference point or surrogate with similar intent i.e. 1.1 F_{MSY} ≤ F<1.25 F_{MSY} Includes ICES assessed stocks i.e. those for which a full assessment and catch options are provided i.e. Data-rich stocks where Fishing mortality is above F_{MSY} but below or at Precautionary Limits (F_{PA}) i.e. Harvested sustainably

Concern for fishing level Or At increased risk	 Fishing mortality is considerably above F_{MSY} but below F_{lim} or other appropriate reference point or surrogate with similar intent, i.e. 1.25 F_{MSY} ≤ F<1.40 F_{MSY} Includes ICES assessed stocks, i.e. those for which a full assessment and catch options are provided i.e. Data-rich stocks where Fishing mortality is above F_{MSY} and F_{PA} but below F_{lim} i.e. At increased risk of being harvested unsustainably
Outside Safe Fishing Limits Or Harvested unsustainably	 Incudes stocks that are likely being heavily overfished and where F is above or at F_{lim} or other appropriate reference point or surrogate with similar intent, i.e. F ≥1.40 F_{MSY} Includes ICES assessed stocks, i.e. those for which a full assessment and catch options are provided i.e. Data-rich stocks where Fishing mortality is above or at or in the vicinity of F_{lim} i.e. Harvested unsustainably

The matrix (Table 8) is then used with values obtained separately for biomass and fishing pressure from Table 6 and Table 7 to determine the final score which is then multiplied by the weighting multiplier (Table 2) to obtain the criterion score using Route 1.

Table 8 Matrix for determining Stock Status score using values for Biomass (B) (Table 6) and Fishing Mortality (F) (Table 7)

	Biomass	Under Fished	Fully Fished	Stock level below B _{MSY}	Concern for Stock Level	Stock depleted
Mortality						
Harvested sustainably		0	0	0.25	0.5	0.75
Harvested sustainably		0	0.25	0.5	0.75	0.75
Fishing level above F _{MSY}		0.25	0.5	0.75	0.75	1
Concern for fishing level		0.5	0.75	1	1	1
Outside safe fishing limits		0.75	1	1	1	1

General References: Stock assessment

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MSC, 2010. Fisheries assessment methodology and guidance to certification bodies including default assessment tree and risk-based framework. 105pp. Available at:

https://www.msc.org/documents/scheme-documents/msc-scheme-requirements/methodologies/Fisheries Assessment Methodology.pdf [Accessed on 1/10/2016].

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http://www.seafoodwatch.org/-

/m/sfw/pdf/criteria/mba_seafood%20watch_fisheries%20standard_version%20f3.1.pdf?la=en [Accessed on 1/4/17].

3.2. Criterion 2: Management

Introduction

This criterion considers the management framework and objectives for the fishery. If there is no appropriate and proportionate management system in place including measures to address critical issues e.g. intrinsic and widespread IUU fishing, the fishery is **rated a 5** by default (see Table 3 Summary of default ratings).

Although assessment of management is typically made for the stock area, this area, and thus the management measures appropriate to the management of the fishery will vary considerably. For example, the regulatory framework for the management of a crab fishery in Orkney compared to the regulatory framework for the management of Indian Ocean yellowfin tuna will differ enormously. However, the basis or the minimum requirements for management of any fishery are:

- proportionate measures to assess and protect the stock;
- adequate monitoring, surveillance or control and enforcement and;
- compliance with scientific advice, including adaptation of management measures depending on the outcome of the advice, to ensure the long-term sustainability of the stock.

Measures MCS considers appropriate to achieve these objectives and for well managed fisheries are outlined in Table 11.

The criterion score for management is obtained by consideration of the measures in place for the management of the fishery under assessment. A score associated with the relevant category description is multiplied by the weighting multiplier to obtain the criterion score. Category description and weighting for management is summarised in Table 9 below and supported by more detailed descriptors as outlined in Table 10.

Fisheries management and issues relating to traceability are generally improved with certification (See Appendix III for a list of wild-capture certification programmes recognised by MCS) and/or through participation in a Fishery Improvement Project (FIP).

Table 9 Category Descriptors and weighting for Management

Category Description		Weighting Criterion Multiplier Score		nability vels
Well-managed with all relevant measures in place or the fishery is certified ⁷	О	X 5	0	High
Management requires some improvement as some relevant measures are not in place or fishery is certified but with conditions	0.25	X 5	1.25	
Partly effective management or there is a precautionary recovery plan in place	0.5	X 5	2.5	
Poorly managed and requires considerable improvement or specific management measures implemented	0.75	X 5	3.75	
No relevant or effective management measures in place	1	X 5	5	Low

3.2.1. Fisheries Improvement Project (FIP) recognition

Definition of a Fishery Improvement Project

According to the US Conservation Alliance for Sustainable Seafood (CASS) a 'fishery improvement project is a multi-stakeholder effort to improve a fishery. These projects are unique because they utilise the power of the private sector to incentivise positive changes toward sustainability in the fishery. Participants may vary depending on the nature of the fishery and the improvement project, and may include stakeholders such as producers, non-governmental organisations, fishery managers, government and members of the fishery's supply chain'. The Sustainable Fisheries Partnership (SFP) note that whilst each FIP is unique, the common thread is that the supply chain plays a critical role in helping a fishery in the journey towards sustainability.

There are a range of ways to improve fisheries towards sustainability and MCS is very supportive of FIPs, particularly for high risk fisheries, such as those that are red or amber rated by MCS.

For a FIP to be considered as 'credible' the following general criteria should apply:

- An independent observer/facilitator (e.g. NGO)
- Relevant stakeholder participation
- Identification and addressing of key environmental issues in fishery
- Adherence to SMART objectives
- Public accountability

http://cmsdevelopment.sustainablefish.org.s3.amazonaws.com/2013/08/01/Conservation%20Alliance%20FIP %20Guidelines-b75860fc.pdf [Accessed 1/09/16]

⁷ See Appendix III for a list of certified programmes recognised by MCS

⁸ CASS. FIP Guidelines, available at:

⁹ SFP. Seafood industry guide to FIPs, available at:

http://cmsdevelopment.sustainablefish.org.s3.amazonaws.com/2014/04/28/SFP%20FIPS%20Guide%202014-46b3eb10.pdf [Accessed 5/05/17].

In addition to the above, for a FIP to be considered by MCS in its ratings assessments, it should be at a stage where it is making progress according to the indicators and timelines in its work plan and achieving improvements in the way the fishery is managed or operated so as to address the key issues of environmental concern. This would correspond to **Stage 4** or more of the Conservation Alliance for Seafood Solutions (CASS) Fisheries Improvement Guidelines¹⁰ or equivalent.

In cases where a FIP has been publicly launched and a programme of work agreed, but is not yet at the stage of achieving improvements in management or practices (ie. CASS **Stage 3**), MCS may recognise the initiative through an alternative sourcing recommendation provided the FIP remains within its agreed schedule.

This will be depicted (see Figure 2) by the addition of a left facing arrow over the normal 5 rating, indicating that although participation in the FIP would not be sufficient to influence the rating assessment, it would serve to recognise that credible improvement work is underway. In such instances, MCS would not advise against sourcing from the fishery, thus providing, we hope, an incentive for businesses to support credible improvement projects.



Figure 2 Example of rating graphic for red rated fisheries in a recognised FIP

¹⁰ CASS FIP Guidelines version 3.7.15, available at:

https://www.google.co.uk/url?sa=t&rct=j&q=&esrc=s&source=web&cd=1&cad=rja&uact=8&ved=0ahUKEwj0mv3vsYHUAhULCcAKHapfAVgQFggnMAA&url=http%3A%2F%2Fwww.solutionsforseafood.org%2Fwp-content%2Fuploads%2F2015%2F03%2FAlliance-FIP-Guidelines-

3.2.2. Management detailed descriptors

The category descriptors for management are detailed further in Table 10 below to allow for more precise allocation of a score to the situation for management for the stock under assessment.

Table 10 Category descriptor detail for Management

Category Description	Score	Associated Descriptors
Adequate or well-managed or the fishery is Certified? Management plan and/or measures in place, enforced and having a measurable effect	0	 All appropriate management measures (Table 11) are in place, enforced and fully effective. And, if applicable Management Plan agreed and in place and evaluated by ICES as precautionary or where No formal management plan in place, practice is precautionary or consistent with MSY approach and, if applicable, Fmp and Bmp are below and above targets respectively Or Fishery is certified to a recognised standard (See Appendix III)¹¹
Management requires some improvement Some measures and/or Plan in place, enforced and having a measurable effect	0.25	 Some appropriate management measures (Table 11) are in place, enforced and largely effective. And, if applicable Where Management Plan in place Fmp and Bmp are below and above targets respectively And If Recovery Plan in place, stock is recovering as expected and within agreed timeframe
Partly effective management Some measures and/or Plan in place, enforced but having little effect	0.5	 Some but not all appropriate relevant management measures (Table 11) are in place, enforced but appear to be having little effect or are insufficient or inadequate or have not been in place long enough for their effect to have been assessed. And, if applicable If Management Plan in place, Fmp and/or Bmp at or within target or defined range or if plan not in place a Management Plan is under development Or If Recovery Plan in place stock is recovering as expected but not necessarily within agreed timeframe

¹¹ In the majority of cases where a fishery is certified, a score of 0 will apply, however in situations where, for example, certain conditions are attached to the certification, a lower score may be allocated.

Poorly managed and requires considerable improvement or specific management measures implemented Few measures in place, enforced but having no effect	0.75	 Few appropriate management measures (Table 11) are in place, enforced but appear to have no effect or enforcement is poor e.g. high IUU fishing taking place And, if applicable No specific management objectives known or if Management Plan in place, but F and B are respectively above and below Fmp and Bmp targets Or Stock considered overfished and insufficient measures in place to recover stock Or Scientific advice is not being followed
No appropriate or effective management measures in place	1	 No appropriate management measures (Table 11) in place And, if applicable No Management Plan Or Stock depleted, i.e. stock at or below or in the vicinity of B_{lim} and no Recovery Plan in place.

Table 11 User guidance on management measures appropriate to a well-managed fishery

1. Management measures to protect t	he species or stock under assessment	Yes or No or N/A, where not relevant
Catch or harvest control rule?	Agreed management response to various stock status	
Catch controls or limits?	e.g. TACs, quotas, harvest limits, Maximum breakage rates etc.	
Effort or access limitations or controls?	Effective effort control e.g. days at sea; spatial and time closures; limited entry e.g. restrictive licensing or permit; transferable access rights etc.	
Regular & robust stock assessment or appropriate assessment of data-limited stocks? Have appropriate reference points been identified? Where stock is DLS, is there precautionary management to mitigate uncertainty?	Regular monitoring e.g. CPUE, size and age structure etc., good knowledge of species biology. Reliable stock assessment conducted on a regular basis (should reflect needs of the fishery and not be more than 5 years old)	
Are there measures in place to address discarding?	Is there a landing obligation or other measures in place specifically to reduce discarding and waste? E.g. selectivity and avoidance measures; limit on discard rates.	
Adequate measures to protect juveniles and spawning fish?	E.g. byelaws to protect spawning sites or berried crustaceans i.e. illegal to land berried lobsters; target spawning adults; seasonal or spatial and time closures?	
Adequate measures in place to protect vulnerable species?	e.g. Closed areas to reduce susceptibility to fishing	
Research and Development monitoring?	Fisheries-Science partnership; data collection; observers at sea?	
Voluntary Code of Conduct or Practice?	Code or practice available publically?	

2. Adequate monitoring, surveillance	e or control and enforcement?	Yes or No or N/A, where not relevant
Monitoring, control and surveillance	Regular patrols and enforcement? Fisheries inspectorate? Port Controls? Fines? VMS?	
	Observer &/or CCTV coverage	
	Ban on discarding target species?	
Enforcement		
Measures to avoid or reduce IUU fishing?	Logbooks; observers at sea; fines; fully documented fishery?	
	Registration of buyers and sellers or equivalent?	
How much illegal activity is there? Is IUU suspected to be a significant problem? How effective is the penalties regime? What is level of awareness of the consequences of non-compliance?	How frequent are patrols (on shore and at sea)?	If IUU suspected to be significant, intrinsic and widespread, default 1 for the fishery

3. Compliance with scientific advice where applicable?	and management or recovery plan in place	Yes or No or N/A, where not relevant
Compliance with best available	TAC or quota in line with	
scientific advice	recommendations from latest stock assessment	
Compliance with International	TAC or quota in line with any binding	
Management Plans or Agreements	agreement e.g. UNCLOS or CFP	
Compliance with management	Landings or catches adhere to	
	management or recovery plans	
Precautionary Recovery plan	Has the recovery plan been assessed as	
	precautionary?	
Fishery Improvement Project?	Is it a credible FIP and achieving	
	improvements to management or fishing	
	practices according to its work plan? (see	
	fisheryprogress.org for FIP status)	
Any Industry led initiatives?	e.g. pot limitation or seasonal closures	

General References: Management

Fishery Progress, 2017. Fishery improvement project progress tracking database and tools. Available at: https://fisheryprogress.org/ [Accessed 11/6/17].

Melnychuk, M. C., Peterson, E., Elliot, M. Hilborn, R., 2016. Available at: https://mikemelnychuk.files.wordpress.com/2016/12/melnychuk-2016-pnas-fisheries-management-and-stock-status-with-si.pdf [Accessed on 1/04/17].

SFP, 2017. FIP toolkit and resources. Available at https://www.sustainablefish.org/Programs/Professional-Guidance/FIP-Toolkit-Resources [Accessed 25/7/17].

3.3. Criterion 3: Capture method and ecological effects

Introduction

The criterion for capture method and ecological effects considers the impacts associated with the capture or fishing method on habitat (including vulnerable marine ecosystems (VMEs) such as seamounts or cold-water corals and biogenic reefs or seagrass etc.); target and non-target species in terms of the amount of discards (unwanted catch) and bycatch (wanted or unwanted catch) including vulnerable and Endangered, Threatened or Protected (ETP) species; and fishing in Marine Protected Areas (MPA).

Depending on the fishing gear in use there can be varying impacts to the marine environment. Active gear types, such as trawls, in general have more direct environmental impacts than passive gear types, but these impacts are heavily dependent on fishing intensity, site vulnerability and target species. Towed gears including beam trawls, otter trawls, dredges and seine and purse seine methods can also generate significant levels of by-catch from a number of different species including other commercial fish species, non-commercial species including ETP species and cetaceans and many species of invertebrate. However, for many of these gear types, modifications can be put in place to reduce discards and bycatch. Dive caught, pole and line, hand-line, pot or creel, trap and set (gill) net fishing methods tend to have a low impact on the marine environment, as they are not towed along the sea bed and so have a limited range of impact. Similarly, while long-lining may have significant shark or bird by-catch, it has little or no contact with the sea bed and accordingly does not have a significant benthic impact.

Where the fishing method is associated with significant species or habitat impacts or illegal or fishing is damaging or degrading designated features of an MPA¹² (see Appendix V page 44) or bottom trawling below 600m (deep sea fishing) is taking place without robust mitigation measures in place, the fishery is rated a 5 by default (see summary Table 3 of default or critical fail ratings).

Category description and weighting for capture method and ecological effect is summarised in Table 12 below. Impacts generally associated with the various fishing gears are presented in Table 13.

Any measures deployed to reduce gear impacts for the capture method and fishery in question is taken into consideration when determining the individual impact scores. These measures are outlined in Table 14.

The criterion score for capture method and ecological effects is obtained by consideration of the impacts of the fishing method (gear type) in use on:

- habitat (Table 15);
- non-target species (bycatch), retained or discarded, and their removal (Table 16); and
- vulnerable and Endangered, Threatened and Protected species, retained or discarded, and their removal (Table 17).

¹² Many bottom trawling gears will need to be assessed for potential damage to seabed features where Special Areas of Conservation, Scottish nature conservation MPAs and English Marine Conservation Zones are designated to protect the integrity of seabed features

The highest score obtained from the evaluation of these three impacts is the one used. This score is multiplied by the weighting multiplier to obtain the criterion score.

Table 12 Fishing method impacts, associated descriptors and scores

Impact	Associated descriptors (for 3 (15, 16 and 17) tables)	Score	Weighting Multiplier	Criterion Score
Very low impact	Fishing method associated with negligible bycatch (includes juveniles, overfished and/or vulnerable or ETP species); and habitat impacts	0	X 4	0
Low impact	Bycatch is low or unlikely and unlikely causing their populations to decline; and/or method is unlikely to cause habitat impacts	0.25	X 4	1
Some or moderate impact	Bycatch is moderate , and likely causing their populations to decline; and/or method is likely to cause some or moderate habitat impacts	0.5	X 4	2
High impact	Bycatch is high, causing their populations to decline ; and/or method is causing moderate or likely causing high or significant damage.	0.75	X 4	3
Very high impact or illegal or unknown	Bycatch is significant , causing their populations to fall to critical status; and/or method is causing significant damage; or bycatch rates and/or habitat impacts are largely unknown	1	X 4	4

Table 13 Examples of fishing gears associated with the impact descriptors

Category description	Score	Weighting Multiplier	Criterion Score	Sustainability Levels
Very low impact, e.g. hand-gathered, pole and line, handline, inkwell-pot	0	X 4	0	High
Low impact, e.g. Danish seine, pelagic trawl	0.25	X 4	1	
Moderate impact e.g. demersal or otter trawl, longline, gill or fixed net	0.5	X 4	2	
High impact e.g. beam trawl >24m, tickler chains, chain mats, small-scale scallop dredging, large-scale longlining with known impact on top predators	0.75	X 4	3	
Very high impact e.g. explosives, cyanide, deep-sea bottom trawling, high-seas drift nets, high discard rate, gear towed over reefs, large-scale scallop dredging, electrical fishing or method illegal or where detailed impacts are unknown (particularly in MPAs)	1	X 4	4	Low

Table 14 Examples of measures to reduce gear impacts

Applicable	Mitigation measures or selective devices available to:
fishing method	masing inventing and values discords (Tables 16 and 17)
Demersal	species, juveniles and reduce discards (Tables 16 and 17) Square Mesh Panels (SMPs); Sorting grids; Coverless trawls; Cod-end
(single, pair or	
multi rig) trawl;	configuration e.g. Square mesh in codend; Separator panel; Larger meshes;
beam trawl	Mesh shape
Mechanical	Limit on number of dredges per side of vessel
dredge	Limit on number of dredges per side of vesser
Dredge	Gear restrictions – solids pump dredge; spacing of grid bars, no. and width of
(Hydraulic or	dredges; rigid or flexible delivery pipe
suction)	January San Caracter Market San Caracter San
Any applicable	Monitoring of catches Camera documentation or Fully Documented Fisheries (FDFs) or observers at sea?
Any applicable	Minimum Conservation Reference Size (MCRS) (See
	https://www.gov.uk/government/publications/minimum-conservation-
	reference-sizes-mcrs/minimum-conservation-reference-sizes-mcrs-in-uk-
	waters) for a guide to minimum landing sizes. Also
	http://www.anglingtrust.net/page.asp?section=163 as a guide for actual size-at-
	maturity and corresponsing legal minimum landing sizes for UK species.
Any applicable	Maximum Landing or Marketing or Conservation Reference Size where
Any applicable	appropriate e.g. lobster
	appropriate e.g. lossier
Applicable	Mitigation measures or selective devices available to:
fishing methods	
2 Reduce bycoto	
2. Reduce Dycate.	h and protect non-target species (Tables 16 and 17)
Any applicable	Use and monitoring of bycatch reduction devices: BRDs are regulated for and
Any applicable	Use and monitoring of bycatch reduction devices: BRDs are regulated for and effectively monitored, including use of observers where necessary and feasible. Use of reference fleets and electronic remote monitoring to assist.
	Use and monitoring of bycatch reduction devices: BRDs are regulated for and effectively monitored, including use of observers where necessary and feasible. Use of reference fleets and electronic remote monitoring to assist. Bycatch quotas: Full catch recording for both target and non-target species.
Any applicable	Use and monitoring of bycatch reduction devices: BRDs are regulated for and effectively monitored, including use of observers where necessary and feasible. Use of reference fleets and electronic remote monitoring to assist. Bycatch quotas: Full catch recording for both target and non-target species. Fisheries implement best practice avoidance and ETP handling measures as
Any applicable Any applicable	Use and monitoring of bycatch reduction devices: BRDs are regulated for and effectively monitored, including use of observers where necessary and feasible. Use of reference fleets and electronic remote monitoring to assist. Bycatch quotas: Full catch recording for both target and non-target species. Fisheries implement best practice avoidance and ETP handling measures as recommended or directed by the RFMO.
Any applicable	Use and monitoring of bycatch reduction devices: BRDs are regulated for and effectively monitored, including use of observers where necessary and feasible. Use of reference fleets and electronic remote monitoring to assist. Bycatch quotas: Full catch recording for both target and non-target species. Fisheries implement best practice avoidance and ETP handling measures as recommended or directed by the RFMO. Restrictions or ban in place on damaging practices? Closures or avoidance of
Any applicable Any applicable Any applicable	Use and monitoring of bycatch reduction devices: BRDs are regulated for and effectively monitored, including use of observers where necessary and feasible. Use of reference fleets and electronic remote monitoring to assist. Bycatch quotas: Full catch recording for both target and non-target species. Fisheries implement best practice avoidance and ETP handling measures as recommended or directed by the RFMO. Restrictions or ban in place on damaging practices? Closures or avoidance of bycatch hotspots?
Any applicable Any applicable Any applicable Trawl,	Use and monitoring of bycatch reduction devices: BRDs are regulated for and effectively monitored, including use of observers where necessary and feasible. Use of reference fleets and electronic remote monitoring to assist. Bycatch quotas: Full catch recording for both target and non-target species. Fisheries implement best practice avoidance and ETP handling measures as recommended or directed by the RFMO. Restrictions or ban in place on damaging practices? Closures or avoidance of
Any applicable Any applicable Any applicable Trawl, Demersal	Use and monitoring of bycatch reduction devices: BRDs are regulated for and effectively monitored, including use of observers where necessary and feasible. Use of reference fleets and electronic remote monitoring to assist. Bycatch quotas: Full catch recording for both target and non-target species. Fisheries implement best practice avoidance and ETP handling measures as recommended or directed by the RFMO. Restrictions or ban in place on damaging practices? Closures or avoidance of bycatch hotspots? Turtle Excluding Devices (TEDs); BRD;
Any applicable Any applicable Any applicable Trawl, Demersal Mid-water or	Use and monitoring of bycatch reduction devices: BRDs are regulated for and effectively monitored, including use of observers where necessary and feasible. Use of reference fleets and electronic remote monitoring to assist. Bycatch quotas: Full catch recording for both target and non-target species. Fisheries implement best practice avoidance and ETP handling measures as recommended or directed by the RFMO. Restrictions or ban in place on damaging practices? Closures or avoidance of bycatch hotspots?
Any applicable Any applicable Any applicable Trawl, Demersal Mid-water or pelagic trawl	Use and monitoring of bycatch reduction devices: BRDs are regulated for and effectively monitored, including use of observers where necessary and feasible. Use of reference fleets and electronic remote monitoring to assist. Bycatch quotas: Full catch recording for both target and non-target species. Fisheries implement best practice avoidance and ETP handling measures as recommended or directed by the RFMO. Restrictions or ban in place on damaging practices? Closures or avoidance of bycatch hotspots? Turtle Excluding Devices (TEDs); BRD;
Any applicable Any applicable Any applicable Trawl, Demersal Mid-water or	Use and monitoring of bycatch reduction devices: BRDs are regulated for and effectively monitored, including use of observers where necessary and feasible. Use of reference fleets and electronic remote monitoring to assist. Bycatch quotas: Full catch recording for both target and non-target species. Fisheries implement best practice avoidance and ETP handling measures as recommended or directed by the RFMO. Restrictions or ban in place on damaging practices? Closures or avoidance of bycatch hotspots? Turtle Excluding Devices (TEDs); BRD;
Any applicable Any applicable Any applicable Trawl, Demersal Mid-water or pelagic trawl (single and	Use and monitoring of bycatch reduction devices: BRDs are regulated for and effectively monitored, including use of observers where necessary and feasible. Use of reference fleets and electronic remote monitoring to assist. Bycatch quotas: Full catch recording for both target and non-target species. Fisheries implement best practice avoidance and ETP handling measures as recommended or directed by the RFMO. Restrictions or ban in place on damaging practices? Closures or avoidance of bycatch hotspots? Turtle Excluding Devices (TEDs); BRD;
Any applicable Any applicable Any applicable Trawl, Demersal Mid-water or pelagic trawl (single and pair)	Use and monitoring of bycatch reduction devices: BRDs are regulated for and effectively monitored, including use of observers where necessary and feasible. Use of reference fleets and electronic remote monitoring to assist. Bycatch quotas: Full catch recording for both target and non-target species. Fisheries implement best practice avoidance and ETP handling measures as recommended or directed by the RFMO. Restrictions or ban in place on damaging practices? Closures or avoidance of bycatch hotspots? Turtle Excluding Devices (TEDs); BRD; Sorting grids e.g. Nordmøre grid; TED (Turtle Excluder Devices)
Any applicable Any applicable Any applicable Trawl, Demersal Mid-water or pelagic trawl (single and pair) Seine net	Use and monitoring of bycatch reduction devices: BRDs are regulated for and effectively monitored, including use of observers where necessary and feasible. Use of reference fleets and electronic remote monitoring to assist. Bycatch quotas: Full catch recording for both target and non-target species. Fisheries implement best practice avoidance and ETP handling measures as recommended or directed by the RFMO. Restrictions or ban in place on damaging practices? Closures or avoidance of bycatch hotspots? Turtle Excluding Devices (TEDs); BRD; Sorting grids e.g. Nordmøre grid; TED (Turtle Excluder Devices)
Any applicable Any applicable Any applicable Trawl, Demersal Mid-water or pelagic trawl (single and pair) Seine net Troll	Use and monitoring of bycatch reduction devices: BRDs are regulated for and effectively monitored, including use of observers where necessary and feasible. Use of reference fleets and electronic remote monitoring to assist. Bycatch quotas: Full catch recording for both target and non-target species. Fisheries implement best practice avoidance and ETP handling measures as recommended or directed by the RFMO. Restrictions or ban in place on damaging practices? Closures or avoidance of bycatch hotspots? Turtle Excluding Devices (TEDs); BRD; Sorting grids e.g. Nordmøre grid; TED (Turtle Excluder Devices) Selective panels Visible hooks; Set lines below reach of seabirds
Any applicable Any applicable Any applicable Trawl, Demersal Mid-water or pelagic trawl (single and pair) Seine net Troll	Use and monitoring of bycatch reduction devices: BRDs are regulated for and effectively monitored, including use of observers where necessary and feasible. Use of reference fleets and electronic remote monitoring to assist. Bycatch quotas: Full catch recording for both target and non-target species. Fisheries implement best practice avoidance and ETP handling measures as recommended or directed by the RFMO. Restrictions or ban in place on damaging practices? Closures or avoidance of bycatch hotspots? Turtle Excluding Devices (TEDs); BRD; Sorting grids e.g. Nordmøre grid; TED (Turtle Excluder Devices) Selective panels Visible hooks; Set lines below reach of seabirds Dolphin-friendly methods applied where applicable, e.g. medina panel and
Any applicable Any applicable Any applicable Trawl, Demersal Mid-water or pelagic trawl (single and pair) Seine net Troll Purse seine	Use and monitoring of bycatch reduction devices: BRDs are regulated for and effectively monitored, including use of observers where necessary and feasible. Use of reference fleets and electronic remote monitoring to assist. Bycatch quotas: Full catch recording for both target and non-target species. Fisheries implement best practice avoidance and ETP handling measures as recommended or directed by the RFMO. Restrictions or ban in place on damaging practices? Closures or avoidance of bycatch hotspots? Turtle Excluding Devices (TEDs); BRD; Sorting grids e.g. Nordmøre grid; TED (Turtle Excluder Devices) Selective panels Visible hooks; Set lines below reach of seabirds Dolphin-friendly methods applied where applicable, e.g. medina panel and backdown principle or manoeuvre, restrictions for setting around whale sharks or cetaceans
Any applicable Any applicable Trawl, Demersal Mid-water or pelagic trawl (single and pair) Seine net Troll Purse seine	Use and monitoring of bycatch reduction devices: BRDs are regulated for and effectively monitored, including use of observers where necessary and feasible. Use of reference fleets and electronic remote monitoring to assist. Bycatch quotas: Full catch recording for both target and non-target species. Fisheries implement best practice avoidance and ETP handling measures as recommended or directed by the RFMO. Restrictions or ban in place on damaging practices? Closures or avoidance of bycatch hotspots? Turtle Excluding Devices (TEDs); BRD; Sorting grids e.g. Nordmøre grid; TED (Turtle Excluder Devices) Selective panels Visible hooks; Set lines below reach of seabirds Dolphin-friendly methods applied where applicable, e.g. medina panel and backdown principle or manoeuvre, restrictions for setting around whale sharks or cetaceans Control number and density of FADS; Restrictions on setting on FADs, logs or
Any applicable Any applicable Any applicable Trawl, Demersal Mid-water or pelagic trawl (single and pair) Seine net Troll Purse seine	Use and monitoring of bycatch reduction devices: BRDs are regulated for and effectively monitored, including use of observers where necessary and feasible. Use of reference fleets and electronic remote monitoring to assist. Bycatch quotas: Full catch recording for both target and non-target species. Fisheries implement best practice avoidance and ETP handling measures as recommended or directed by the RFMO. Restrictions or ban in place on damaging practices? Closures or avoidance of bycatch hotspots? Turtle Excluding Devices (TEDs); BRD; Sorting grids e.g. Nordmøre grid; TED (Turtle Excluder Devices) Selective panels Visible hooks; Set lines below reach of seabirds Dolphin-friendly methods applied where applicable, e.g. medina panel and backdown principle or manoeuvre, restrictions for setting around whale sharks or cetaceans

Longline	Measures to reduce incidental catch or by-catch & impact on non-target and
(pelagic);	ETP species: bird streamers/scarers; replacement of hooks with O-hooks; bait
longline	change for turtles; Fishing at night only; Increasing weight on branch lines –
(demersal or	ensures they sink quickly and baited hooks out of reach of seabirds; Set lines
bottom)	underwater; Offal management e.g. disposal away from lines; Dyed bait so it is
	less visible to seabirds; Streamers used as a scaring device; Magnets to reduce
	shark bycatch; Restrictions on: number of hooks; length of line; soak time; type
	of bait etc.
Fixed or gill or	Attachment of acoustic deterrent devices; Dyes to make nets more visible;
drift net	Restrictions on number and length of nets; Exit panels; Scaring devices e.g.
(trammel;	pyrotechnics; Coloured e.g. white -mesh visible to seabirds; Mesh size
wreck; tangle)	restrictions; Soak time restrictions
Pot or creel or	Restrictions on type of pot or trap in use; Escape gaps
trap	
Applicable	Mitigation measures or selective devices available to:
fishing methods	
8	
3. Protect habitat	? (Table 15)
3. Protect habitat	? (Table 15)
3. Protect habitat	t? (Table 15) Lighter ground gear – rollers instead of hoppers, suspended and semi-
3. Protect habitate Demersal (single, pair or	
3. Protect habitat	Lighter ground gear – rollers instead of hoppers, suspended and semi-
3. Protect habitate Demersal (single, pair or	Lighter ground gear – rollers instead of hoppers, suspended and semi-
3. Protect habitate Demersal (single, pair or multi rig) trawl Beam trawl Dredge	Lighter ground gear – rollers instead of hoppers, suspended and semi- suspended trawl doors
Demersal (single, pair or multi rig) trawl Beam trawl	Lighter ground gear – rollers instead of hoppers, suspended and semi- suspended trawl doors Replacement of skids with wheels; sumwing
3. Protect habitate Demersal (single, pair or multi rig) trawl Beam trawl Dredge	Lighter ground gear – rollers instead of hoppers, suspended and semi- suspended trawl doors Replacement of skids with wheels; sumwing Gear restrictions or design:
Demersal (single, pair or multi rig) trawl Beam trawl Dredge (Mechanical)	Lighter ground gear – rollers instead of hoppers, suspended and semi-suspended trawl doors Replacement of skids with wheels; sumwing Gear restrictions or design: Limit on number of dredges etc.

3.3.1. Capture method and ecological effects detailed descriptors

Habitat impacts

Impact on habitat (Table 15) considers the collateral impact of the fishing gear on the seabed and/or other habitat such as coral, rich sandbanks, seamounts etc.

The fishing gears listed below, although not an exhaustive list, are indicative of the main gear types prevalent in commercial UK and European fisheries. Specific gear types not listed are assessed on their own merit.

Table 15 Gear vs Habitat

Impact				On Hab	itat	
Gear/	Fine	Mud	Bedrock,	Biogenic	MPAs	Deep sea, sea-
habitat type	mobile	and/or	boulder	reef,	designated	mounts
	sand	gravel	and/or	seagrass,	for benthic	
			cobble ¹³	maerl, or	features ¹⁴	
				corals		
Manual						
Dive caught						N/A
Handgathering or rake						N/A
Pole & line, jig, troll						N/A
Hook and line, handline (if fished on sea floor, otherwise NA)						N/A
Spear or harpoon						N/A
Static						
Bottom longline						
Pelagic longline						N/A
Coastal drift net						N/A
Fixed or gill net						Restricted below 600m in EU
Pot, creel or trap						N/A
Suction dredge						N/A
Towed Pelagic						
Mid water or pelagic trawl						N/A
Pair trawl						N/A
Towed Bottom						
Beam trawl					see footnote 12	N/A
Demersal otter trawl					see footnote 12	
Dredge					see footnote 12	N/A
Encircling						
Purse seine						N/A
Benthic seine net					see footnote 12	N/A
Explosives, chemical or illegal e.g.						
high seas drift net and electrical						
fishing						
Score		1	0.75	0.5	0.25	0

 $^{^{\}rm 13}$ Seafloor rock particles greater than 64mm across.

¹⁴ Where MPA features are present that are both vulnerable to bottom towed fishing gears and dredgers, and where there is the opportunity within the MPA for biological features to recover on the seabed were bottom trawling to be restricted i.e. in areas of the MPA where benthic recovery could occur. Conversely, if the feature is ephemeral (such as mussel beds), and is proven (e.g. through a Habitat Risk Assessment) not to support other biodiversity in high current areas, it can be harvested to an extent that doesn't compromise other natural predators (e.g. seabirds).

For guidance on habitat impact we refer to the risk ratings of how likely different fisheries gear types and activities will damage protected features of European Marine Sites (initially Special Areas of Conservation) produced by the Marine Management Organisation (MMO) June 2014.¹⁵

This table is also currently being utilised by English regulators to actively manage different fishing impacts within Marine Conservation Zones and Special Areas of Conservation.

To determine the sediment type found in various waters, habitat maps can be used, or research which indicates preferred substrate types for difference species at different stages of life-history.¹⁶

Impact on Marine Protected Areas (MPAs)

MPAs have been designated for various reasons, but primarily because of their importance and role in restoring marine biodiversity and maintaining seafloor integrity (basically to improve the health of our seas!). Management is needed to ensure that damaging activities and activities that continue to modify and simplify the seabed are no longer permitted to occur within them, which in many cases continue to operate unabated contrary to existing regulations.

The scale of most assessments is based on the wider stock area and is therefore normally an extensive or large geographical area. At such a scale, it is difficult to identify if fleets/fisheries are occurring in an MPA or not and if they are, to what extent. Where available information clearly indicates a high likelihood that a fishery/fleet at the scale of the assessment is occurring in an MPA, the MPA tab in the above matrix is applied to score habitat impacts. In other cases, MCS encourages the supply chain to identify if their specific sources are being caught in MPAs.

As a result, MCS will be recognising such components of fisheries operating within MPAs that have not demonstrated that their operation does not have a detrimental effect on the site as red rated, and therefore Fish to Avoid. This does not mean that ratings profiles for these fisheries components will be developed, but it does mean that MCS will be advising commercial buyers to look more closely at exactly where their fish has been caught, and whether or not it has come from a high impact fishery ¹⁷ operating within an MPA, and to request evidence (such as an Environmental Impact Assessment (EIA) or appropriate risk assessment e.g. the risk based approach adopted for European Marine Sites ¹⁸) from the fishery to demonstrate that it is not damaging designated features within the MPA. On a broad scale, we are particularly aware of the current lack of

¹⁵ This guidance is summarised and available at https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/310811/matrix.xls [Last accessed on 01/03/2017].

¹⁶ E.g. Seitz, R.D., Wennhage, H., Bergström, U., Lipcius, R.N., Ysebaert, T., 2014. Ecological value of coastal habitats for commercially and ecologically important species. ICES Journal of Marine Science, Volume 71, Issue 3, 1 April 2014, Pages 648–665. Available at https://academic.oup.com/icesjms/article/71/3/648/634683/Ecological-value-of-coastal-habitats-for

[[]Accessed on 1/06/2017].

¹⁷ 'High Impact' fishing activities are those that have a significant impact over and above natural range state of habitats and species commonly associated with the MPA. Impact can also be measured in terms of impact to the favourable population status of sites' habitat or species, and the ability of entire site to function naturally. ¹⁸ DEFRA, 2013. Revised approach to the management of commercial fisheries in European marine sites - overarching policy and delivery document. Available at:

https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/345970/REVISED_APPROAC H_Policy_and_Delivery.pdf [Accessed on 1/06/2017]. For more information on MPAs globally, visit http://www.mpatlas.org/map/mpas/.

management and closures of fisheries using bottom towed fishing gears within offshore UK MPAs in the North Sea and western English Channel.

MCS is aware that because the relevant information required is not routinely made available to the buyer it is difficult for them to currently know precisely where fish have been caught. As monitoring practices and technologies continue to improve, and as supply chain information and traceability systems become more electronic, we anticipate that it will become easier to integrate such information into seafood buying decisions. Likewise, as MPA management and monitoring improves, it will become easier for the industry to demonstrate to buyers that the fish they are buying has not been caught using fishing methods damaging to the features of an MPA.

Impact on deep sea

The ICES definition of a deep-sea species requires that "deep-sea fish species occur in deep-sea waters and are characterised by one or a combination of the following factors: slow growth, low natural mortality, high longevity, no annual continuous recruitment or spawning season".¹⁹

ICES do not specify a depth definition for deep sea fisheries due to the lack of an agreed world- wide definition of deep water or of deep-water species. They consider the setting of a "depth limit above and under which species would be considered demersal or deep-water dwellers" arbitrary However, due to the geography of Atlantic EU waters and the existence of the shelf break occurring at around 200m, ICES considers deep-sea waters as all waters below 200 m depth.

MCS defines deep sea fishing as targeting species classed as 'deep sea' species by ICES and/or where fishing primarily occurs below 600m, to encompass the global nature of deep sea fishing. Clarke *et al* (2015) identified an increase in biodiversity between 400m-1000m depth and indicated concerns that the deeper the trawl fisheries occur, the greater the potential impact on this biodiversity. Their study suggested that collateral ecological impacts increase significantly between the depths of 600 to 800m. As a result, MCS suggests that due to these wider ecological impacts, bottom trawling below 600m should be considered deep sea fishing.

The uncertainty and risks around deep-sea fishing has resulted in MCS considering trawling below 600m and/or for deep sea species (as detailed above) to be automatically rated red (i.e. Fish to Avoid). However, where robust regulation, such as the EU Deep Sea Access Regime (DSAR) or other appropriate mitigation measures or precautionary regulations to protect the deep-sea species and/or habitats exist (e.g. protection for vulnerable marine ecosystems (VME's), stock assessments and quotas for deep sea species and specific protections for vulnerable deep-sea habitats) case by case assessments will be made to rate these fisheries.

Bycatch impacts

This impact considers the impact of the fishing gear on non-target species – often referred to as bycatch – which may be retained or discarded. These species may be other fish species or non-fish

¹⁹ ICES, 2015. EU request to ICES on the assessment of the deep-sea status of certain fish species. Published 29 September 2015. Available at:

https://www.ices.dk/sites/pub/Publication%20Reports/Advice/2015/Special Requests/EU deep waters stoc k.pdf [Accessed on 1/06/2017].

species such sponges, etc. The extent of the impact on them depends on several factors such as the target fish species and the area in which and time at which the fishing activity is taking place.

When assessing the likelihood of impacts on non-target species the status of the most vulnerable and most commonly associated non-target species will be considered. If a bycatch species is commonly discarded, the discard rates of the fishery²⁰ will also be considered.

Note: If the species that is being assessed is generally recognised as a bycatch species itself (e.g. yellow or tub gurnard) and has scored yellow (0.5) or better under 'Stock status' criteria, Table 16 is not completed.

If the species under assessment is retained as part of a mixed fishery (see Glossary, Appendix IV), all tables are completed with the impact of the fishery on a minimum of 6 key species (e.g cod, haddock, whiting, coley, hake and plaice) also considered.

Table 16 Non-target species impact (bycatch)

Impact	Impact on no	etained or non-r	on-retained)		
Score	0	0.25	0.5	0.75	1
Descriptor	Fishery associated with low or negligible impact	Fishery unlikely over-exploiting other species	Fishery has the potential or is possibly over- exploiting other species	It's likely the fishery is over-exploiting other species and causing them to decline	The fishery is causing other species to fall to critical levels (e.g. stock biomass below B _{lim})
Score					

²⁰ Rates for low, medium and high discarding are defined in: EC, 2011. Impact Assessment of discard reducing policies: EU discard annex. Available at:

https://ec.europa.eu/fisheries/sites/fisheries/files/docs/body/annex en.pdf [Accessed 1/06/2017].

Vulnerable or ETP species impacts

The fishery may also impact on vulnerable and/or Endangered, Threatened or Protected (ETP) species. ETP species are species that are generally in danger of extinction throughout all or a significant portion of their range or likely to become an endangered species within the foreseeable future throughout all or a significant portion of their range.

Table 17 Impact to Vulnerable or ETP species

Impact	Impact on vulnerable or ETP species (retained or non-retained) ²¹						
Score	0	0.25	0.5	0.75	1		
Descriptor	Fishery associated with negligible bycatch of vulnerable and/or ETP	Bycatch levels unlikely causing populations to decline	Bycatch level is possibly contributing to population decline and/or preventing their recovery and/or fishing method	Bycatch level is likely causing populations to decline and/or is preventing their recovery	Bycatch level is very likely causing populations to decline and/or is preventing their recovery		
	species		is causing significant by catch issues				
Score							

²¹ MCS recognises that it is often difficult to prove that accidental catch is impacting populations and that in some fisheries where there is a significant bycatch issue, it may not necessarily be having population effects. MCS believes however that continuing efforts are needed to reduce incidental capture of ETP species, especially where there is an attendant welfare issue, to as close to zero as possible.

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Appendices

Appendix I External review process

Following the release of the latest scientific advice and as part of MCS scheduled ratings updates in the Summer and Winter each year (see Appendix II), MCS consults externally on proposed changes to seafood ratings.

Interested parties with technical insight, relevant industry or scientific expertise or those with information that could contribute to the comprehensiveness and quality of the assessments, are particularly invited to input.

To receive notifications about ratings updates and consultations, please email us (ratings@mcsuk.org) and request to be added to our interested parties email distribution list, and follow the Good Fish Guide on Twitter @GoodFishGuideUK.

Details of ratings consultations will also be made available online at: https://www.mcsuk.org/responsible-seafood/about-our-ratings

Appendix II Good fish guide update schedule

Antique	Timesele	
Action	Timescale	
ICES Summer Advice	June-Mid September	
	Approx. 3.5 months	
New ICES (and other) advice accessed	June/July	
Advice processed (Post Acom Industry Briefing Meeting 1st week July)	July	
Internal discussion of any ratings changes	Last Week July	
Ratings agreed internally	Last week July	
Proposed changes to Good Fish Guide ratings published online at https://www.mcsuk.org/responsible-seafood/about-our-ratings	Early August	
External comments reviewed	Early September	
Rating changes (if any) made and agreed internally	Mid-September	
Confirmation of final ratings returned to those consultees providing comment including those with relevant interest inviting final comment before publication	Mid -September	
Rating changes sent to Interested parties	Mid September	
Database changes (GFG Master) sent to IT for data cleansing	Mid September	
Database uploaded to website and App and ratings checked	Mid/late September	
ICES Autumn Advice	October/November to end Feb	
	Approx. 4 months	
New ICES (or other) advice received	August to December	
Advice processed	Ongoing from August	
Internal discussion of any ratings changes	Ongoing as they arise	
Ratings agreed internally	Ongoing as they arise	
Proposed changes to Good Fish Guide ratings published online at https://www.mcsuk.org/responsible-seafood/about-our-ratings and comments invited	Mid-December	
External comments reviewed	From third week in January	
Rating changes (if any) agreed internally	End Jan	
Fish Lists/layout compiled/finalised for Pocket Good Fish Guide and sent to printers	End Jan	
Confirmation of final ratings returned to those consultees providing comment including those with relevant interest inviting final comment before publication	Early Feb	
Rating changes sent to Interested parties	Mid/late Feb	
Database changes (GFG Master) sent to IT for data cleansing	1 st week in March	
Database uploaded to website and App and ratings checked	Mid-March	
Delivery of new PGFG (published annualy)	Mid-March	

Appendix III Wild capture certification programmes recognised by MCS

The Global Seafood Sustainability Initiative (GSSI)²² is used to inform consideration of certification standards for recognition within the wild capture methodology. Presently MCS recognises the following standards:

- Alaskan Responsible Fisheries Management (RFM) Program Alaska Seafood Marketing Institute (ASMI)
- <u>Icelandic Responsible Fisheries Management (RFM) Program</u>
- Marine Stewardship Council (MSC)

²² Global Seafood Sustainability Initiative. Available at http://www.ourgssi.org/benchmarking/recognized-schemes/ [Accessed on 1/07/2017]. See http://www.ourgssi.org/ for information on GSSI benchmarking of certification programmes.

Appendix IV Glossary

Term Description

Artisanal Term used to describe small-scale, traditional fisheries.

Benthic Living on or near the seabed.

Biodiversity Term used to express the variability amongst living organisms.

Biogenic reef Biogenic reefs are those that are created by the animals themselves. In the UK these

include coral reefs, made by cold-water corals such as Lophelia

pertusa and Madrepora oculata. Mussels such as the edible mussel Mytilus edulis and the horse mussel Modiolus modiolus can also create biogenic reef

structures.

Biomass The total weight of living organisms or total weight of a resource or stock.

B_{lim} Limit reference point for spawning stock biomass (SSB). A stock with biomass below

 B_{lim} is at greater risk of suffering impaired recruitment.

 B_{MSY} SSB that results from fishing at F_{MSY} for a long time. B_{MSY} is the biomass that

enables a fish stock to deliver the maximum sustainable yield (MSY). In theory, B_{MSY} is the population size at the point of maximum growth rate. The surplus biomass that is produced by the population at B_{MSY} is the maximum sustainable

yield that can be harvested without reducing the population.

Boulder Particles greater than 64mm across.

B_{PA} Precautionary reference point for spawning stock biomass (SSB).

Btrigger Value of biomass (normally SSB), below which, a specific management action is

triggered (eg reduction in fishing pressure) in order to recover the biomass above

Btrigger.

Bycatch Bycatch refers to incidentally caught species which generally has little or no

commercial value and often thrown back; this can include various finfish,

invertebrate species such as starfish and sponges, and other species not regularly consumed and/or Endangered, Threatened or Protected (ETP) species such as

sharks, marine turtles, birds or mammals.

CFP EU Common Fisheries Policy.

Cobble Seafloor rock particles greater than 64mm across.

Cohort A group of fish born in the same year within a population or stock.

Data deficient Fisheries for which data are insufficient to evaluate reference points.

Deep sea fishing MCS defines deep sea fishing as targeting species classed as 'deep sea'

species by ICES and/or where fishing primarily occurs below 600m.

Deep sea species Species that occur in deep-sea waters and are characterised by one or a

combination of the following factors: slow growth, low natural mortality, high longevity, no annual continuous recruitment or spawning season.

riight longevity, no annual continuous recruitment of spawning season.

Are those components of a fish stock thrown back after capture e.g. because they are below the Minimum Landing Size (MLS) or because quota have been exhausted

for that species. Most of the discarded fish will not survive.

ETP Endangered, Threatened or Protected The US Endangered Species Act defines an

endangered species as "any species which is in danger of extinction throughout all or a significant portion of its range." A threatened species is "any species which is likely to become an endangered species within the foreseeable future throughout all or a significant portion of its range." Protected species are those identified as

Endangered or Threatened.

Fishery A fishery, defined in terms of species, area of capture, stock or sub area and

capture method, is the sum of all fishing activities on a given resource e.g. shrimp fishery or activity of catching fish from one or more stocks e.g. North Sea cod fishery

or it may also refer to a single type or style of fishing e.g. trawl fishery.

Fishing effort The amount of fishing gear of a specific type used over a given unit of time e.g.

hours trawled per day; the overall amount of fishing expressed in units of time e.g.

number of hauls per boat per day.

Fishing mortality (F) Mortality due to fishing; death or removal of fish from a population due to fishing,

usually expressed as the annual mortality, the percentage of fish dying in one year, or the instantaneous rate F, and which can range from 0 for no fishing to very high values such as 1.5 or 2, meaning that 1.5 or 2 times as many fish have been caught as were present at the beginning of the fishing season (this is possible with short-

lived, fast growing species such as anchovies).

F_{lim} The upper reference point for fishing mortality.

FMSY Is the maximum rate of fishing mortality (the proportion of a fish stock caught and

removed by fishing) resulting eventually, usually in a very long-time frame, in a

population size of B_{MSY} .

F_{PA} The precautionary reference point for fishing mortality (F).

Fully fished Fishery where catches are close to or at MSY.

Landing obligation Or 'discard ban'. Introduced 1 January 2015 for pelagic species; for certain demersal

species from 1 January 2016, starting with key species such as haddock, sole and plaice, depending on which sea area a vessel is fishing in and what type of fishing gear is used. By 2019 the obligation to land all catches of quota species is due to be fully implemented. The general rule is that species that are subject to catch limits cannot be returned to the sea once caught, although several exemptions apply. For further guidance see https://www.gov.uk/government/collections/fisheries-

management-landing-obligation.

time in the same fishing gear. Most fisheries around the UK are mixed fisheries eg.

Discards

see DEFRA consultation on establishing a mixed fishery multiannual management plan in the North Sea (NSMAP) https://consult.defra.gov.uk/fisheries/north-seamap/.

MSY Maximum Sustainable Yield is the largest long-term average catch or yield

that can be taken from a stock or stock complex under prevailing ecological

and environmental conditions.

Overfished Refers to fish stock when its biomass is below its capacity to produce MSY on a

continuing basis.

Overfishing Occurs when a stock is subjected to a level of fishing mortality that jeopardises its

ability to produce MSY on a continuing basis.

Productivity The inherent biological characteristics of a species that determine its rate of

population generation.

Reef A rocky marine habitat or biological concretions that rises from the seabed. They

are generally subtidal but may extend as an unbroken transition into the intertidal zone, where they are exposed to the air at low tide. They are very variable in form

and in the communities that they support. Two main types of reef can be

recognised: those where animal and plant communities develop on rock or stable

boulders and cobbles, and those where structure is created by the animals

themselves (biogenic reefs).

Resilience See Productivity.

Seamount Is defined as an undersea mountain, with a crest that rises more than 1,000m above

the surrounding sea floor. They are volcanic in origin, and are often associated with seafloor 'hot-spots' (thinner areas of the earth's crust where magma can escape). The enhanced currents that occur around them provide ideal conditions for

suspension feeders. Concentrations of commercially important fish species, such as orange roughy, aggregate around seamounts and live in close association with the benthic communities. Seamounts are a distinct and different environment from

much of the deep sea.

SSB Spawning Stock Biomass. Total weight of all sexually mature fish in the stock.

Stock status State or condition of the stock eg. Whether it is overfished or being subject to

overfishing.

Stock Term given to a group of individuals in a species occupying a well-defined spatial

range independent of other stocks of the same species. A stock will form the basis

of a distinct fishery defined in terms of season and area.

Total Allowable Catch TAC. Maximum tonnage, set each year, that may be taken of a fish species within an

area .

Underfished A stock which has a biomass above (normally considerably) the B_{MSY} level.

Underutilised Species with a quota allocation that is not fully taken up as a consequence of either

market demand or having landing restrictions in placed in a mixed fishery or species for which a substantial part of their catch is not landed (i.e. it is discarded). Species

MCS Wild Capture Ratings Methodology (Version 2. June 2018)

 $for which \ resources \ are \ available \ but \ not \ yet \ exploited, \ i.e. \ species \ that \ potentially$

offer new fisheries.

VMEs Vulnerable Marine Ecosystems e.g. seamounts, cold-water corals, are

generally defined as assemblages of marine benthic organisms which are

susceptible to anthropogenic disturbance, especially that arising from the impact of

fishing gear used in bottom fishing.

Vulnerability A combination of a species biological, physical and behavioural characteristics

(productivity and susceptibility) which influence its risk of being caught and

overfished.

Appendix V Marine protected areas around the UK



Marine Protected Areas of the UK

May 2017

Key Special Areas of Conservation (105 sites) Special Protection Areas (102 sites) Marine Conservation Zone (Wales) (1 site) Marine Conservation Zones (Northern Ireland) (5 sites) Scotland MPAs Status Designated (30 sites) Proposed (4 sites)

Marine Conservation Zones (England)

Status

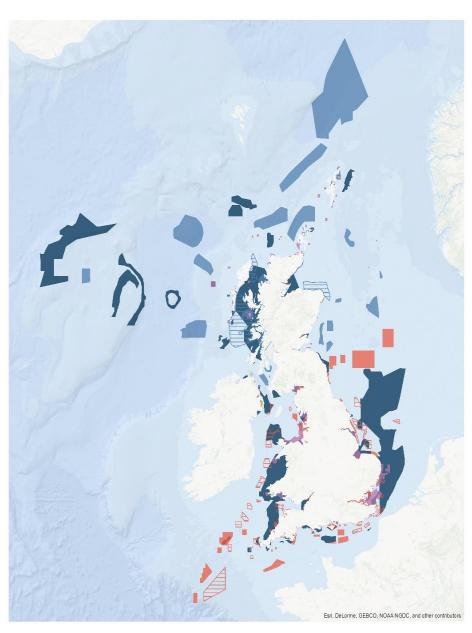
Designated (50 sites)

Proposed (58 sites)

Not to be used for Navigation.

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For updates and more information about MPAs in the UK, visit:

https://www.mcsuk.org/mpa/map

Appendix VI Scoring template

Criterion 1. Route 1 Stock Status	Category Descripto	or	Tick 🗸	Score	Weighting	Criterion Score	Total
Fishing mortality	Stock status summ	ary:				,	
Fished Fully	Criterion 1. Route	1 S	tock Status				
Harvested sustainably	Fishing mortality		Fished	Fished	below B _{MSY}	stock level or at increased risk	biological and/or safe fishing limits, stock has reduced reproductive capacity or is depleted
Concern for Fig. Concern for Fig. Concern for Fig.		•					
Concern for fishing level		ly		0.25			0.75
Concern for F, no concern for B	F _{MSY}			0.5	0.75	0.75	T
Imits Criterion 1. Route 2 Data Limited Stock Status No concern for F, no concern for B No concern for F, concern for F, no concern for B Concern for B Concern for F, no concern for B Concern for F, no concern for B Concern for B Concern for B Concern for B Species resilience High Medium Low Very low Species vulnerability 0-0.24 0.25 - 0.49 0.50 - 0.74 0.75 - 1.0 Stock Status score and rating X 6 Management summary: Criterion 2: Management Adequate or well-managed or is the fishery certified? Management requires some improvement? Partly effective management or a precautionary recovery	level			0.75	1	1	1
No concern for F, no concern for F, concern for B No concern for F, concern for B Concern for B Concern for B Concern for B Concern for F, no concern for B Concern for B Concern for F, concern for B Concern f	_		0.75	1	1	1	1
No concern for B No concern for F, concern for F, concern for B Concern for F, no concern for B Concern for F, Concern for B Concern for F, Concern for B Concern for							
No concern for F, concern for B Concern for B Concern for F, no concern for B Concern for F, Concern for B Concern for F, Concern for B Concern for B Concern for B Species resilience High Medium Low Very low Species vulnerability 0-0.24 0.25 - 0.49 0.50 - 0.74 0.75 - 1.0 Stock Status score and rating X 6 Management summary: Criterion 2: Management Adequate or well-managed or is the fishery certified? Management requires some improvement? Partly effective management or a precautionary recovery		0.25	;	0.25	0.5	0.5	
Concern for B Concern for F, no concern for B Concern for F Concern for F Concern for B Nedium Low Very low Species vulnerability 0-0.24 0.25 - 0.49 0.50 - 0.74 0.75 - 1.0 Stock Status score and rating X 6 Management summary: Criterion 2: Management Adequate or well- managed or is the fishery certified? Management requires some improvement? Partly effective management or a precautionary recovery		0.5		0.5	0.75	0.75	
no concern for B Concern for F, Concern for B O.75 1 1 1 Species resilience High Medium Low Very low Species vulnerability 0-0.24 O.25 - 0.49 Management summary: Criterion 2: Management Adequate or well- managed or is the fishery certified? Management requires some improvement? Partly effective management or a precautionary recovery		0.5		0.5	0.73	0.73	
Concern for F, Concern for B O.75 I I Species resilience Species vulnerability O-0.24 O.25 - 0.49 Management summary: Criterion 2: Management Adequate or well-managed or is the fishery certified? Management requires some improvement? Partly effective management or a precautionary recovery		0.5		0.75	0.75	1	
Species vulnerability 0-0.24 0.25 – 0.49 0.50 – 0.74 0.75 – 1.0 Stock Status score and rating X 6 Management summary: Criterion 2: Management Adequate or well- managed or is the fishery certified? Management requires some improvement? Partly effective management or a precautionary recovery	Concern for F,	0.75	;	1	1	1	
Vulnerability 0-0.24 0.25 - 0.49 0.50 - 0.74 0.75 - 1.0 Stock Status score and rating X 6 The color of the state of the	Species resilience	Hig	h	Medium	Low	Vei	y low
Stock Status score and rating Management summary: Criterion 2: Management Adequate or well- managed or is the fishery certified? Management requires some improvement? Partly effective management or a precautionary recovery	-						
Management summary: Criterion 2: Management Adequate or well- managed or is the fishery certified ? Management requires some improvement? Partly effective management or a precautionary recovery				0.25 - 0.49		0.7	5 – 1.0
Adequate or well- managed or is the fishery certified? Management requires some improvement? Partly effective management or a precautionary recovery	Stock Status score	and i	rating		A 6		
Adequate or well- managed or is the fishery certified? Management requires some improvement? Partly effective management or a precautionary recovery	Management summary:						
managed or is the fishery certified? Management requires some improvement? Partly effective management or a precautionary recovery 0.25 0.5	Criterion 2: Management						
fishery certified? Management requires some improvement? Partly effective management or a precautionary recovery					O		
Management requires some improvement? Partly effective management or a precautionary recovery							
some improvement? Partly effective management or a precautionary recovery 0.5					0.25		
Partly effective 0.5 management or a precautionary recovery					0.25		
management or a precautionary recovery		•			0.5		
precautionary recovery	1				0.5		
		ery					
plan in place?	plan in place?	-					

The state of the s	, methodology (T	2131011 2134110 20	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
Poorly managed and requires considerable improvement or specific management measures implemented No effective management measures in place Management score and Ecological effects summ Criterion 3: Fishing measures	nary:	cal effects	0.75		
			T ==	T === -	
Impact	V Low Negligible Bycatch; and habitat impacts	Low Bycatch is low or unlikely and unlikely causing their populations to decline; and/or method is unlikely to cause habitat impacts	Some or moderate Bycatch is moderate, and likely causing their populations to decline; and/or method is likely to cause some or moderate habitat impacts	High Bycatch is high, causing their populations to decline; and/or method is causing moderate or likely causing high or significant damage.	V High Bycatch is significant, causing their populations to fall to critical status; and/or method is causing significant damage; or bycatch rates and/or habitat impacts are largely unknown
1. Habitat, MPA and seabed impact?					

X 4

rating

2.Impact on nontarget species – retained and

discarded - bycatch?
3. Impact on non-target species — bycatch of vulnerable and ETP species

Ecological effects score (highest) and

Total Score and Rating

Appendix VII ICES stocks category definitions

ICES	Definitions ²³
Category	
1	For data-rich stocks (quantitative assessments) with forecasts and are based on production models. Stocks are not considered to be data-limited and have full analytical assessments.
2	Stocks with analytical assessments and forecasts that are treated qualitatively. Quantitative assessments and forecasts exist but only indicate trends in fishing mortality, recruitment, and biomass rather than as an analytical assessment with a forecast.
3	Survey-based assessments from reliable indices e.g. CPUE are available and indicate reliable trends in stock metrics such as mortality, recruitment, and biomass. However, no quantitative, analytic assessment is available.
4	Where only catch or landings data are available but may not be consistent. Reliable timeseries of catch data are available and used to approximate MSY.
5	Data-poor stocks where only landings data are available and no relevant fishery information is available from similar stocks or stocks in the ecoregion.
6	Landings data are negligible. Usually used for stocks that are caught in minor amounts as bycatch or where landings are negligible compared to discards, or where abundance is low are therefore the species has received limited study.

²³ICES, 2012. ICES Implementation of advice for data limited stocks in 2012 in its 2012 Advice. ICES CM 2012/ ACOM 68. Available at:

Appendix VIII Worked examples

EXAMPLE 1:

Monkfish, North East Atlantic (FAO 27), Western Stock, Demersal trawl - White anglerfish (Lophius piscatorius) in divisions 7.b–k, 8.a–b, and 8.d (southern Celtic Seas, Bay of Biscay) (2016)

Category Descriptor	Tick 🗸	Score	w	eighting	Criterio Score	on	Total
Stock status summary: ICES stock data category 3. Survey trends-based assessment. Commercial landings and 3 survey indices. From the latest evaluation conducted in 2016, using data until 2014, it is concluded that fishing mortality (up to 2014) is below the F _{MSY} proxy and stock biomass (up to 2015) is above the B _{MSYtrigger} proxy. In addition, the precautionary buffer has never been applied to this stock because of a steady decrease in fishing effort since the early 1990s. Stock bench marked in 2012. Reference: ICES, 2016. Available at: http://www.ices.dk/sites/pub/Publication%20Reports/Advice/2016/2016/anp-78ab.pdf Criterion 1. Route 1 Stock Status							
Biomass Fishing mortality	Under Fished	Fully Fished		tock level elow B _{MSY}	Concern stock le or ar increase risk	evel t sed	Outside biological and/or safe fishing limits, stock has reduced reproductive capacity or is depleted
Harvested sustainably	0	0 🗸		0.25	0.5		0.75
Harvested sustainably	0	0.25		0.5	0.75		0.75
Fishing level above F _{MSY}	0.25	0.5		0.75	0.75		1
Concern for fishing level	0.5	0.75		1	1		1
Outside safe fishing limits	0.75	1		1	1		1
Criterion 1. Route 2	Data Limite	d Stock Status					
No concern for F, no concern for B	0.25	0.25		0.5		0.5	
concern for B	0.5	0.5		0.75		1	
no concern for B	0.5	0.75		0.75	1		
Concern for F, Concern for B				1		1	
Species resilience High		Medium		Low	Very lo		y low
	0-0.24	0.25 - 0.49		0.50 – 0.74 0.75 –		- 1.0	
Stock Status score a	Stock Status score and rating		$ \mathbf{x} $	X 6 0			

Management summary:

There is no management plan for anglerfish (L. piscatorius) in this area. Management of the 2 anglerfish species under a combined TAC prevents effective control of the single-species exploitation rates and could lead to overexploitation of either species. Landings of Lophius generally in line with predicted catch/landings corresponding to advice (both Lophius species). Total catch unknown. Advice refers to landings. No MLS and therefore landings and discards of immature fish.

Criterion 2: Manageme	Criterion 2: Management				
Adequate or well-			0		
managed or is the fishery certified?					
Management requires some improvement?			0.25		
Partly effective			0.5		
management or a precautionary recovery plan in place?					
Poorly managed and requires considerable improvement or specific management measures implemented	✓		0.75		
No effective management measures in place			1		
Management score and rating		0.75	X 5		

Ecological effects summary:

Discarding is known to take place, but ICES cannot quantify the corresponding catch. It's unknown but not negligible (>5%). Landings 80% otter and Nephrops trawl. 11% beam trawl; 10% gillnet. Anglerfish is taken in a mixed white demersal fishery. Generally stock status for species (cod, haddock, whiting, Coley, hake and plaice) in this area is unknown.

Criterion 3: Fishing method and ecological effects

Impact	V Low	Low	Some or	High	V High
	Negligible	Bycatch is	moderate	Bycatch is	Bycatch is
	Bycatch; and	low or	Bycatch is	high,	significant,
	habitat impacts	unlikely and	moderate,	causing	causing their
		unlikely	and likely	their	populations
		causing their	causing their	populations	to fall to
		populations	populations	to decline ;	critical
		to decline;	to decline;	and/or	status;
		and/or	and/or	method is	and/or
		method is	method is	causing	method is
		unlikely to	likely to	moderate	causing
		cause habitat	cause some	or likely	significant
		impacts	or moderate	causing	damage; or
			habitat	high or	bycatch
			impacts	significant	rates and/or
				damage.	habitat
					impacts are
					largely
					unknown
1. Habitat, MPA and					
seabed impact?					

2.Impact on non- target species – retained and discarded - bycatch?		Whiting, hake, haddock, above trigger. Cod just above B lim in 2016.	•		
3. Impact on non- target species – bycatch of vulnerable and ETP species	No specific information, but unlikely fishery is having an impact on ETP species.				
Ecological effects score rating	0.5	X 4			
Total Score and Rating				5.75	3

EXAMPLE 2:

Horse mackerel, North East Atlantic, North Sea, Pelagic trawl (2016)

Horse mackerel (Trachurus trachurus) in divisions 3.a, 4.b—c, and 7.d (Skagerrak and Kattegat, southern and central North Sea, eastern English Channel)

Category Descriptor	Tick ✓			Criterion	
		Score	Weighting	Score	Total

Stock status summary:

ICES stock data category 5. Reference points not defined. *The stock appears to be overall stable at a low level*. The survey indices show contrasting trends: The French Channel Groundfish Survey (CGFS) Q4 abundance index from Division 7.d, where most of the catches have been taken during the last years, indicates an increase in the fishable biomass of North Sea horse mackerel (+20 cm). This is due to stronger-than-average recruitment (< 20 cm) in 2013 and, particularly, in 2014. However, the International Bottom Trawl Survey (IBTS) in Q3 does not show such a clear increase; here the index is at the level of 2012 and 2013. Catches have been declining since 2009. Fishing pressure unknown. Fishbase scores: Resilience: Medium; Vulnerability: High 59/100.

Concern for F, unknown. No concern for B, stable at low level. Conflicting evidence regarding increase in biomass

Reference: ICES, 2016. Available at:

http://ices.dk/sites/pub/Publication%20Reports/Advice/2016/2016/hom-nsea.pdf

Criterion 1. Route 1. - Stock Status

Biomass	Under Fished		Stock level below B _{MSY}	Concern for stock level	Outside biological and/or safe
Fishing mortality		Fully Fished		or at increased risk	fishing limits, stock has reduced reproductive capacity or is depleted
Harvested sustainably	0	0	0.25	0.5	0.75
Harvested sustainably	0	0.25	0.5	0.75	0.75
Fishing level above F _{MSY}	0.25	0.5	0.75	0.75	1
Concern for fishing level	0.5	0.75	1	1	1
Outside safe fishing limits	0.75	1	1	1	1

Criterion 1. Route 2.- Data Limited Stock Status

No concern for F, no concern for B	0.25	0.25	0.5	0.5
No concern for F, concern for B	0.5	0.5	0.75	1
Concern for F, no concern for B	0.5	0.75 🗸	0.75	1
Concern for F, Concern for B	0.75	1	1	1
Species resilience	High	Medium	Low	Very low
Species vulnerability	0-0.24	0.25 - 0.49	0.50 - 0.74	0.75 - 1.0

Stock Status score and rating			
	0.75	X 6	4.5

Management summary:

There is no management plan for horse mackerel in this area. ICES evaluated a proposed harvest control rule for a multi-annual plan for horse mackerel in the North Sea (ICES, 2014). None of the options were considered as being in accordance with the precautionary approach. Historically agreed TACs in excess of advice; TAC in line with advice since 2015. MLS 15 cms. Length of first maturity 16-25cms, max. length 40 cms. Traditionally, horse mackerel has been discarded in the human consumption fisheries because of its low value. With the ascent of the small-mesh industrial fisheries, it also has been targeted for reduction purposes. Fishing pressure unknown. Discard rate 17% with majority from non-directed demersal fisheries. The main focus of the fisheries in this area in 2015 has been on the smaller fish; this is expected to continue in 2016 and 2017. With this pattern of exploitation, mostly immature individuals are caught, which might hinder the recovery of the stock by removing an important portion of the recent year classes before they can enter the spawning stock. Mostly immature individuals are caught suggesting spatial/seasonal closures required.

https://www.ices.dk/explore-us/projects/EU-

RFP/EU%20Repository/ICES%20FIshMap/ICES%20FishMap%20species%20factsheet-horsemackerel.pdf

Criterion 2: Manageme	nt				
Adequate or well-			0		
managed or is the					
fishery certified?					
Management requires			0.25		
some improvement?					
Partly effective	✓		0.5		
management or a					
precautionary recovery					
plan in place?					
Poorly managed and			0.75		
requires considerable					
improvement or					
specific management					
measures implemented					
No effective			1		
management measures					
in place					
Management score and	rating				
		0.5	X 5	2.5	

Ecological effects summary:

Discarding in the target pelagic fisheries is considered negligible.

Criterion 3: Fishing method and ecological effects

Impact	V Low	Low	Some or	High	V High
_	Negligible	Bycatch is	moderate	Bycatch is	Bycatch is
	Bycatch; and	low or	Bycatch is	high,	significant,
	habitat impacts	unlikely and	moderate,	causing	causing their
	•	unlikely	and likely	their	populations
		causing their	causing their	populations	to fall to
		populations	populations	to decline ;	critical
		to decline;	to decline;	and/or	status;
		and/or	and/or	method is	and/or
		method is	method is	causing	method is
		unlikely to	likely to	moderate	causing
			cause some	or likely	significant

		cause habitat impacts	or moderate habitat impacts	causing high or significant damage.	damage; or bycatch rates and/or habitat impacts are largely unknown
1. Habitat, MPA and seabed impact?	V				
2.Impact on non- target species – retained and discarded - bycatch?	•				
3. Impact on non- target species – bycatch of vulnerable and ETP species	•				
Ecological effects score rating	(highest) and	0	X 4	0	
7	7	3			

EXAMPLE 3:

Dab, North East Atlantic, North Sea, Beam trawl (2017)

Dab (Limanda limanda) in Subarea IV and Division IIIa (North Sea, Skagerrak and Kattegat)

Category Descriptor Tick ✓ Criterion									
F	_	Tien	Score	Weighting	Score		Total		
Stock status summary: ICES stock data category 3. Dab is mainly a bycatch species in the demersal fisheries for North Sea plaice and sole. Proxies available for B _{MSY} and F _{MSY} , biomass and fishing pressure above and below proxies respectively. ICES advise that when the precautionary approach is applied, catches should be no more than 64 452 tonnes in each of the years 2018 and 2019. If discard rates do not change from the average of the last three years (2014–2016), this implies annual landings of no more than 6116 tonnes. Reference: ICES, 2017. Available at: http://ices.dk/sites/pub/Publication%20Reports/Advice/2017/2017/dab.27.3a4.pdf Criterion 1. Route 1 Stock Status									
Fully Fished increased risk limits, stock has reduced reproductive capacity or							biological and/or safe		
Harvested sustainab	_	0	0	0.25	0.5		0.75		
Harvested sustainab	ly	0 🗸	0.25	0.5	0.75		0.75		
Fishing level above F _{MSY}		0.25	0.5	0.75	0.75		1		
Concern for fishing level		0.5	0.75	1	1		1		
Outside safe fishing limits		0.75	1	1	1		1		
Criterion 1. Route	2 D	Pata Limited Sto	ock Status						
No concern for F, no concern for B	0.25	j	0.25	0.5		0.5			
No concern for F, concern for B	0.5		0.5	0.75		1			
Concern for F, no concern for B	0.5		0.75	0.75	0.75		1		
Concern for F, Concern for B	0.75	j	1	1	1				
Species resilience	Hig	h	Medium	Low		Very low			
Species vulnerability			- 1.0						
Stock Status score	Stock Status score and rating								

Management summary:

Management of dab and flounder under a combined species TAC prevents effective control of the single-species exploitation rates and could lead to overexploitation of either species (TAC now removed. There are no catch

0

X 6

0

limits for dab (and flounder) previously managed through a combined TAC). Discarding rate is 90% of the total catch. There is no minimum landing size specified for dab in EU waters, therefore there is potential for the landing of immature fish. Dab mature at a range of sizes between 13-29 cm but the average size of a mature dab is 26 cm.

Criterion 2: Management						
Adequate or well-			О			
managed or is the						
fishery certified?						
Management requires			0.25			
some improvement?						
Partly effective	~		0.5			
management or a						
precautionary recovery						
plan in place?						
Poorly managed and			0.75			
requires considerable						
improvement or						
specific management						
measures implemented						
No effective			1			
management measures						
in place						
Management score and	rating	0.5	X 5	2.5		

Ecological effects summary:

Dab is mainly a bycatch species in the demersal fisheries for North Sea plaice and sole.

Criterion 3: Fishing method and ecological effects

Impact	V Low Negligible Bycatch; and habitat impacts	Low Bycatch is low or unlikely and unlikely causing their populations to decline; and/or method is unlikely to cause habitat impacts	Some or moderate Bycatch is moderate, and likely causing their populations to decline; and/or method is likely to cause some or moderate habitat impacts	High Bycatch is high, causing their populations to decline; and/or method is causing moderate or likely causing high or significant damage.	V High Bycatch is significant, causing their populations to fall to critical status; and/or method is causing significant damage; or bycatch rates and/or habitat impacts are largely unknown
1. Habitat, MPA and seabed impact?				>	
2.Impact on non- target species – retained and discarded - bycatch?	v				
3. Impact on non-	✓				

target species –				
bycatch of vulnerable				
and ETP species				
Ecological effects score (highest) and	0.75	X 4	3	
rating				
	5.5	3		
Total Score and I				



If you have any questions or specific queries about MCS seafood ratings or you would like to comment on or contribute to information in the Good Fish Guide please contact MCS at:



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