

NORTHERN  
ARIZONA  
UNIVERSITY®



# INF 638

## Cryptography & Cryptosystems

### Section 5: Advanced Encryption System

**Dr. Bertrand Cambou**

Professor of Practice NAU, Cybersecurity  
School of Informatics, Computing, and Cyber-Systems

[Bertrand.cambou@nau.edu](mailto:Bertrand.cambou@nau.edu)

# INF 638: Cryptography & Cryptosystems

- ❖ 1- Motivation & Definitions
- ❖ 2- Elements of Number theory
- ❖ 3- Early Cryptographic methods
- ❖ 4- Symmetrical Cryptography: DES
- ❖ → 5- Symmetrical Cryptography: AES
- ❖ 6- Quantum Cryptography: Key distribution
- ❖ 7- Elements of Asymmetrical Cryptography
- ❖ 8- Asymmetrical Cryptography: RSA
- ❖ 9- ECC Key Distribution
- ❖ 10- PKI & Digital Signatures
- ❖ 11- Hash Functions
- ❖ 12- Smartcards

## 5-Advanced Encryption Standard

- ❖ 5-A Finite (Galois) fields
- ❖ 5-B Advanced Encryption Standard
  - ➔ ❖ AES architecture
  - ❖ AES Key generation
  - ❖ Summary

# AES: Advanced Encryption Standard

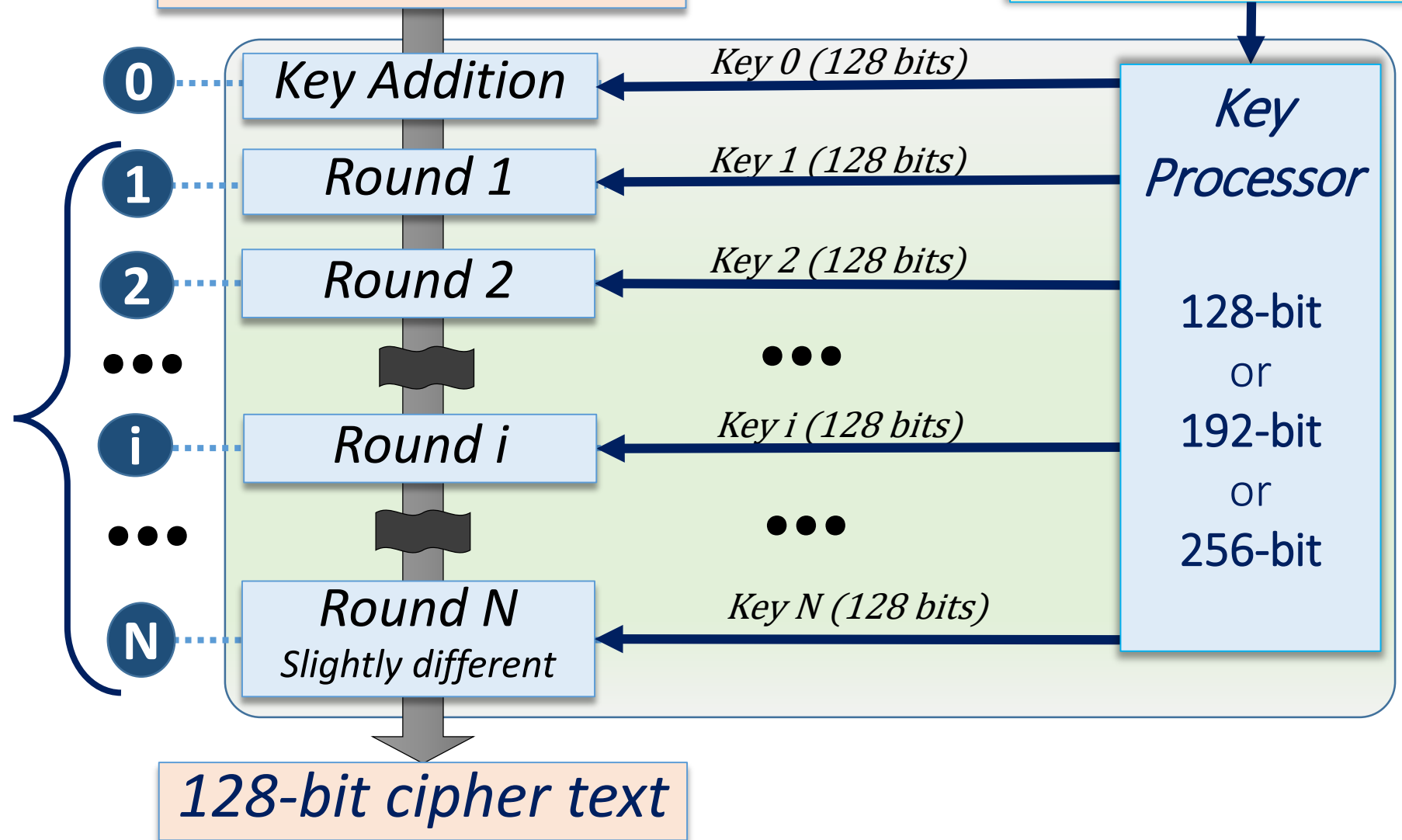
- Open call for AES by NIST in **1997** – submission of 15 algorithms
- Winner: Rijndael algorithm in **2000**  
(by Vincent Rijmen & Joan Daemen from Louvain/Belgium)
- Adopted in **2001** for commercial use, and by NSA for classified data
- AES operate on block of **128-bits** with a **128, 192, 256**-bit key
- Data manipulation include:
  - Diffusion, permutation, shift, mixing (use extended Galois Fields)
  - Logic functions: XOR, AND, OR
  - Repeat 10, 12, 14 times
- **AES is currently the most important symmetric algorithm with 70% acceptance**

# Summary AES

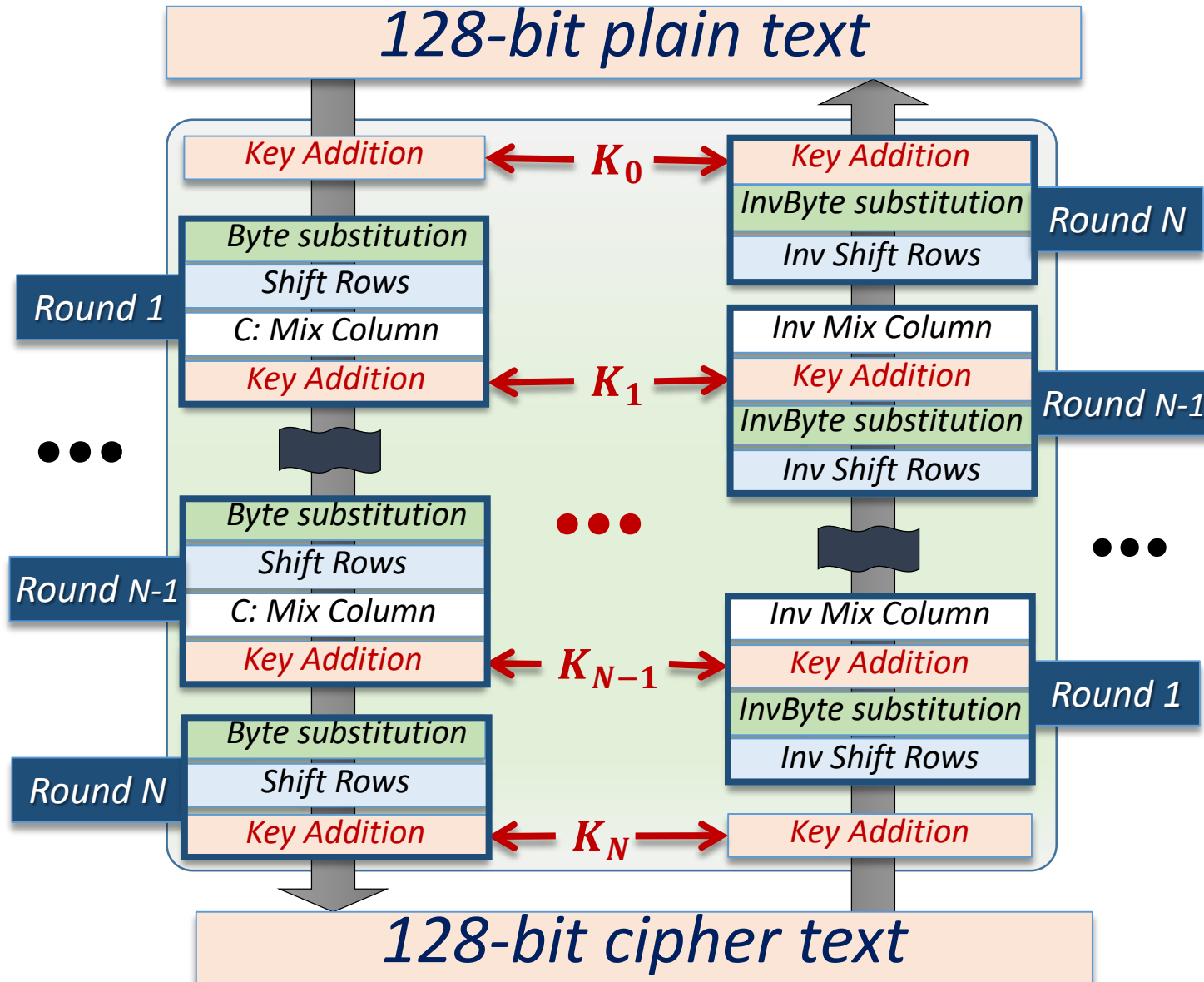
*128-bit plain text*

*Key (128/192/256 bits)*

Key size	Number of rounds N
128	10
192	12
256	14



# AES: Encryption versus Decryption



Use extended Galois Field arithmetic

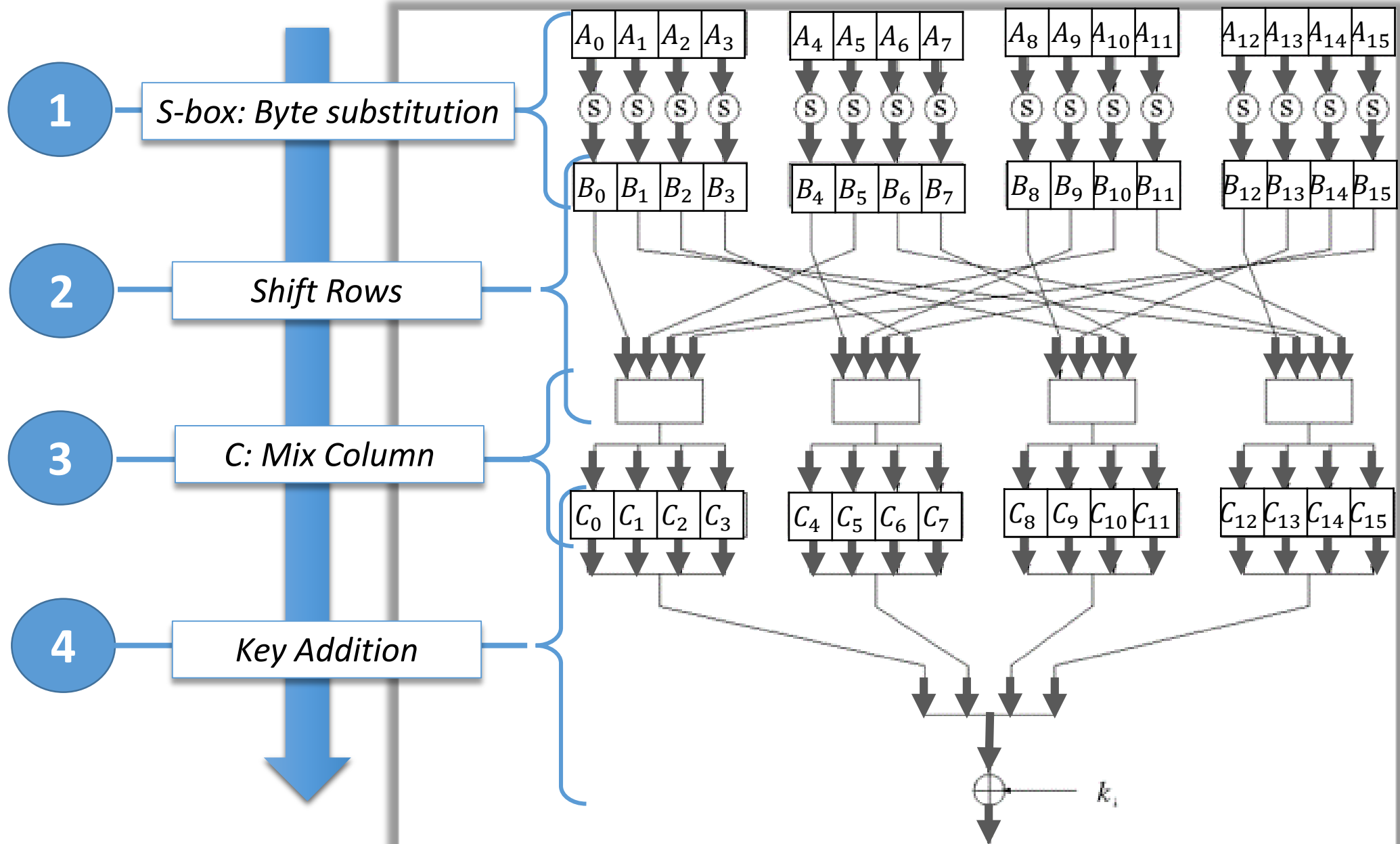
## 4 steps per round (not the last one)

1. Substitution by byte (core of the encryption)
2. Shift rows (Transposition: re-arrange)
3. Mix Columns (Substitution & Transposition)
4. Add round key (XOR)

## 3 steps for the last round

1. Substitution by byte (core of the encryption)
2. Shift rows (Transposition: re-arrange)
3. Mix Columns (Substitution & Transposition)
4. Add round key (XOR)

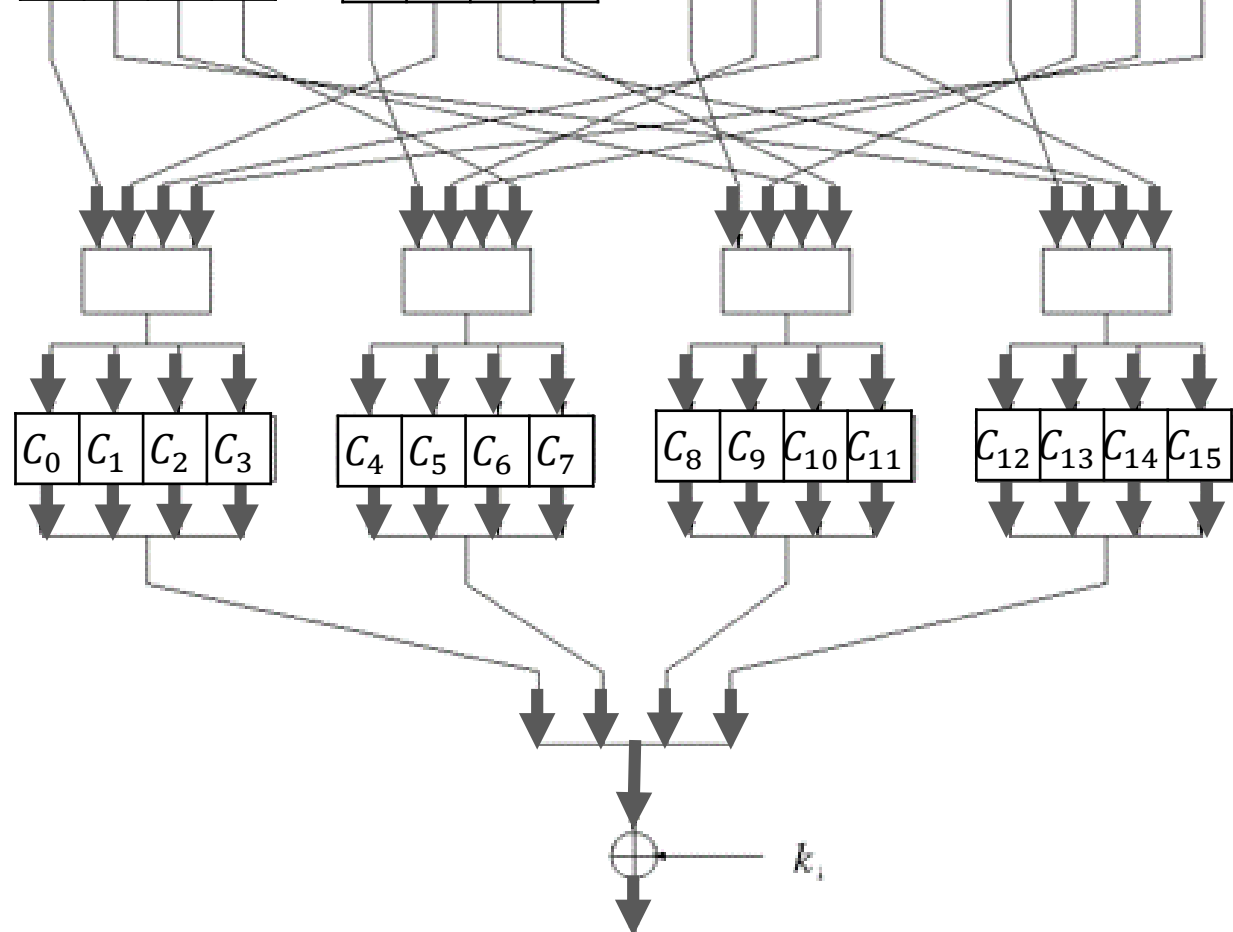
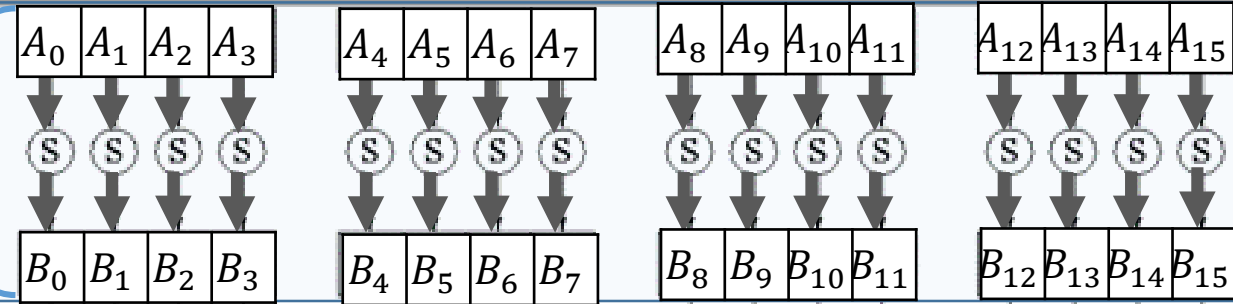
# AES: description of each round



# AES: step-1: Byte substitution; the s-boxes

1

$A_i \rightarrow B_i = S(A_i)$   
All 16 S-boxes are identical





# Description of byte substitution S-box – base 16

$$A_i = X y$$

$$B_i = S(A_i)$$

$$A_i = C 2$$

	0	1	2	3	4	5	6	7	8	9	A	B	C	D	E	F
0	63	7C	77	7B	F2	6B	6F	C5	30	01	67	2B	FE	D7	AB	76
1	CA	82	C9	7D	FA	59	47	F0	AD	D4	A2	AF	9C	A4	72	C0
2	B7	FD	93	26	36	3F	F7	CC	34	A5	E5	F1	71	D8	31	15
3	04	C7	23	C3	18	96	05	9A	07	12	80	E2	EB	27	B2	75
4	09	83	2C	1A	1B	6E	5A	A0	52	3B	D6	B3	29	E3	2F	84
5	53	D1	00	ED	20	FC	B1	5B	6A	CB	BE	39	4A	4C	58	CF
6	D0	EF	AA	FB	43	4D	33	85	45	F9	02	7F	50	3C	9F	A8
7	51	A3	40	8F	92	9D	38	F5	BC	B6	DA	21	10	FF	F3	D2
8	CD	0C	13	EC	5F	97	44	17	C4	A7	7E	3D	64	5D	19	73
9	60	81	4F	DC	22	2A	90	88	46	EE	B8	14	DE	5E	0B	DB
A	E0	32	3A	0A	49	06	24	5C	C2	D3	AC	62	91	95	E4	79
B	E7	C8	37	6D	8D	D5	4E	A9	6C	56	F4	EA	65	7A	AE	08
C	BA	78	25	2E	1C	A6	B4	C6	E8	DD	74	1F	4B	BD	8B	8A
D	70	3E	B5	66	48	03	F6	0E	61	35	57	B9	86	C1	1D	9E
E	E1	F8	98	11	69	D9	8E	94	9B	1E	87	E9	CE	55	28	DF
F	8C	A1	89	0D	BF	E6	42	68	41	99	2D	0F	B0	54	BB	16

0000	0
0001	1
0010	2
0011	3
0100	4
0101	5
0110	6
0111	7
1000	8
1001	9
1010	a
1011	b
1100	c
1101	d
1110	e
1111	f

$$B_i = 2 5$$

Example:  $[A_i = 1100\ 0010 = C\ 2] \Rightarrow [B_i = 2\ 5 = 0010\ 0101]$

# Construction of the byte substitution S-box

## 1- Conversion to Galois field vector:

(Input bit vector is transformed into a polynomial vector)

$$A_i = \{a_{7i}; a_{6i}; a_{5i}; a_{4i}; a_{3i}; a_{2i}; a_{1i}; a_{0i}\}$$

$$\rightarrow A_i(X) = a_{7i} X^7 + a_{6i} X^6 + a_{5i} X^5 + a_{4i} X^4 + a_{3i} X^3 + a_{2i} X^2 + a_{1i} X + a_{0i}$$

for  $n \in \{0, 7\}$ :  $a_{ni} \in \{0, 1\}$

## 2- Find the Galois field inverse of $A_i(X)$ : $B_i'(X)$

$$B_i'(X) = A_i(X)^{-1} \text{ see table}$$

$A_i(X) \times B_i'(X) \equiv 1 \pmod{P(X)}$  ;  $P(X)$  is the  $GF2^8$  irreducible polynomial:

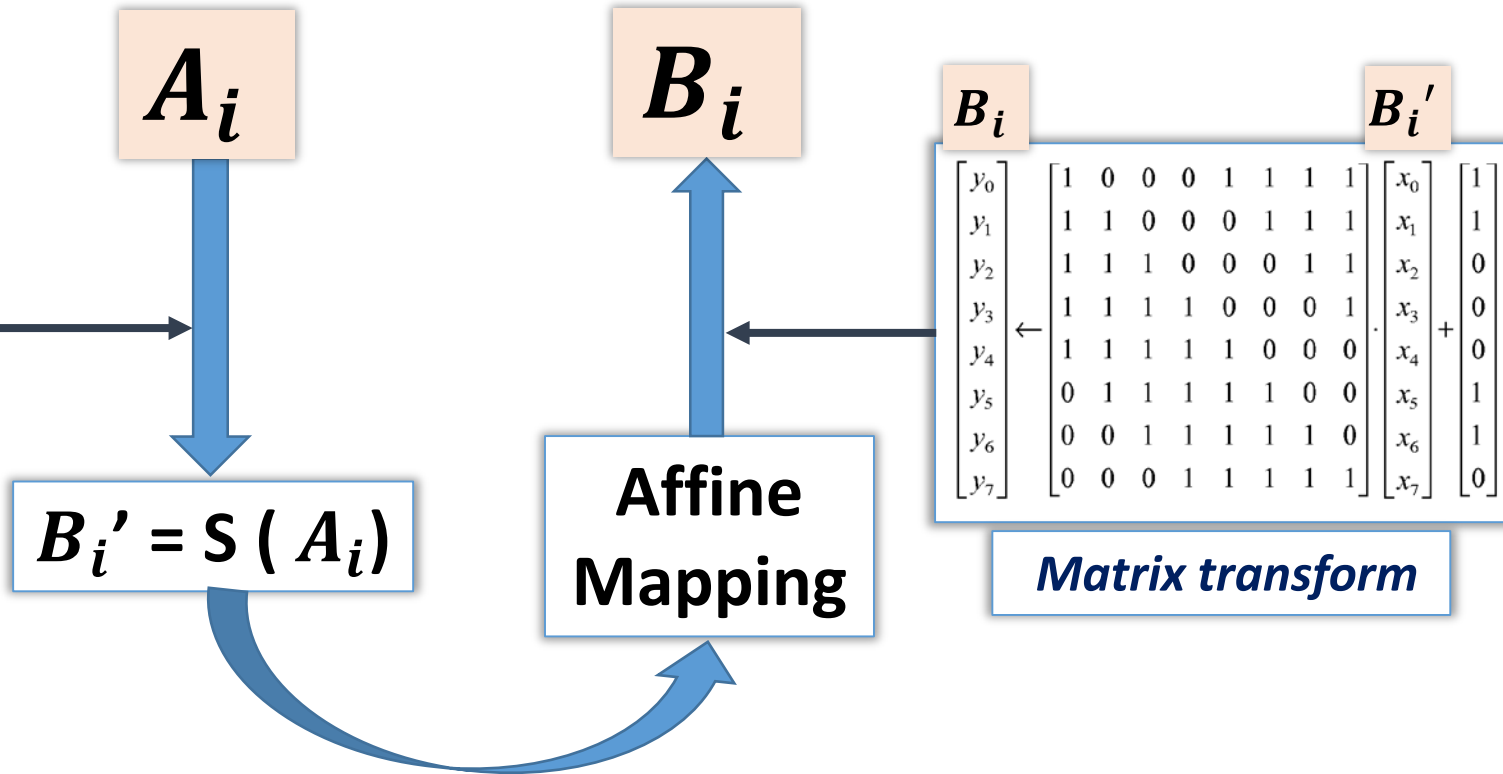
$$P(x) = x^8 + x^4 + x^3 + x + 1$$

## 3- Extract $B_i$ from $B_i'$ from the “Affine Mapping” see table

# Example: Construction of the byte substitution S-box

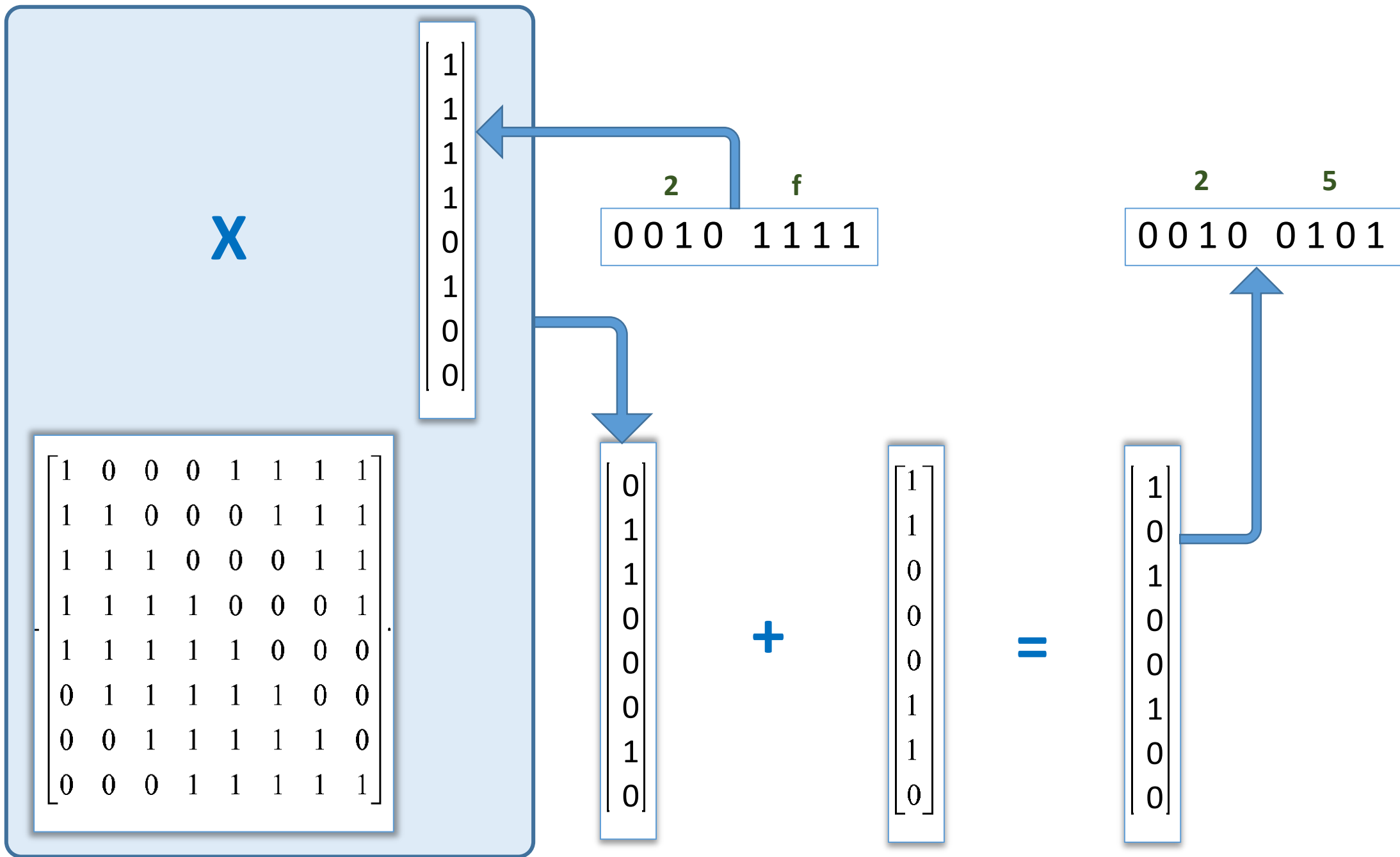
$x \backslash y$	0	1	2	3	4	5	6	7	8	9	a	b	c	d	e	f
0	00	01	8d	f6	cb	52	7b	d1	e8	4f	29	c0	b0	e1	e5	c7
1	74	b4	aa	4b	99	2b	60	5f	58	3f	fd	cc	ff	40	ee	b2
2	3a	6e	5a	f1	55	4d	a8	c9	c1	0a	98	15	30	44	a2	c2
3	2c	45	92	6c	f3	39	66	42	f2	35	20	6f	77	bb	59	19
4	1d	fe	37	67	2d	31	f5	69	a7	64	ab	13	54	25	e9	09
5	ed	5c	05	ca	4c	24	87	bf	18	3e	22	f0	51	ec	61	17
6	16	5e	af	d3	49	a6	36	43	f4	47	91	df	33	93	21	3b
7	79	b7	97	85	10	b5	ba	3c	b6	70	d0	06	a1	fa	81	82
8	83	7e	7f	80	96	73	be	56	9b	9e	95	d9	f7	02	b9	a4
9	de	6a	32	6d	d8	8a	84	72	2a	14	9f	88	f9	dc	89	9a
a	fb	7c	2e	c3	8f	b8	65	48	26	c8	12	4a	ce	e7	d2	62
b	0c	e0	1f	ef	11	75	78	71	a5	8e	76	3d	bd	bc	86	57
c	0b	28	2f	a3	da	d4	e4	0f	a9	27	53	04	1b	fc	ac	e6
d	7a	07	ae	63	c5	db	e2	ea	94	8b	c4	d5	9d	f8	90	6b
e	b1	0d	d6	eb	c6	0e	cf	ad	08	4e	d7	e3	5d	50	1e	b3
f	5b	23	38	34	68	46	03	8c	dd	9c	7d	a0	cd	1a	41	1c

*Find the Inverse*



Example:

$$\begin{array}{llll} \text{c} & 2 & 2 & \text{f} \\ A_i & = 1100\ 0010 \Rightarrow B_i' & = 0010\ 1111 \Rightarrow B_i & = 0010\ 0101 \\ A_i(X) & = X^7 + X^6 + X \Rightarrow B_i'(X) & = X^5 + X^3 + X^2 + X + 1 \Rightarrow B_i(X) & = X^5 + X^2 + 1 \end{array}$$

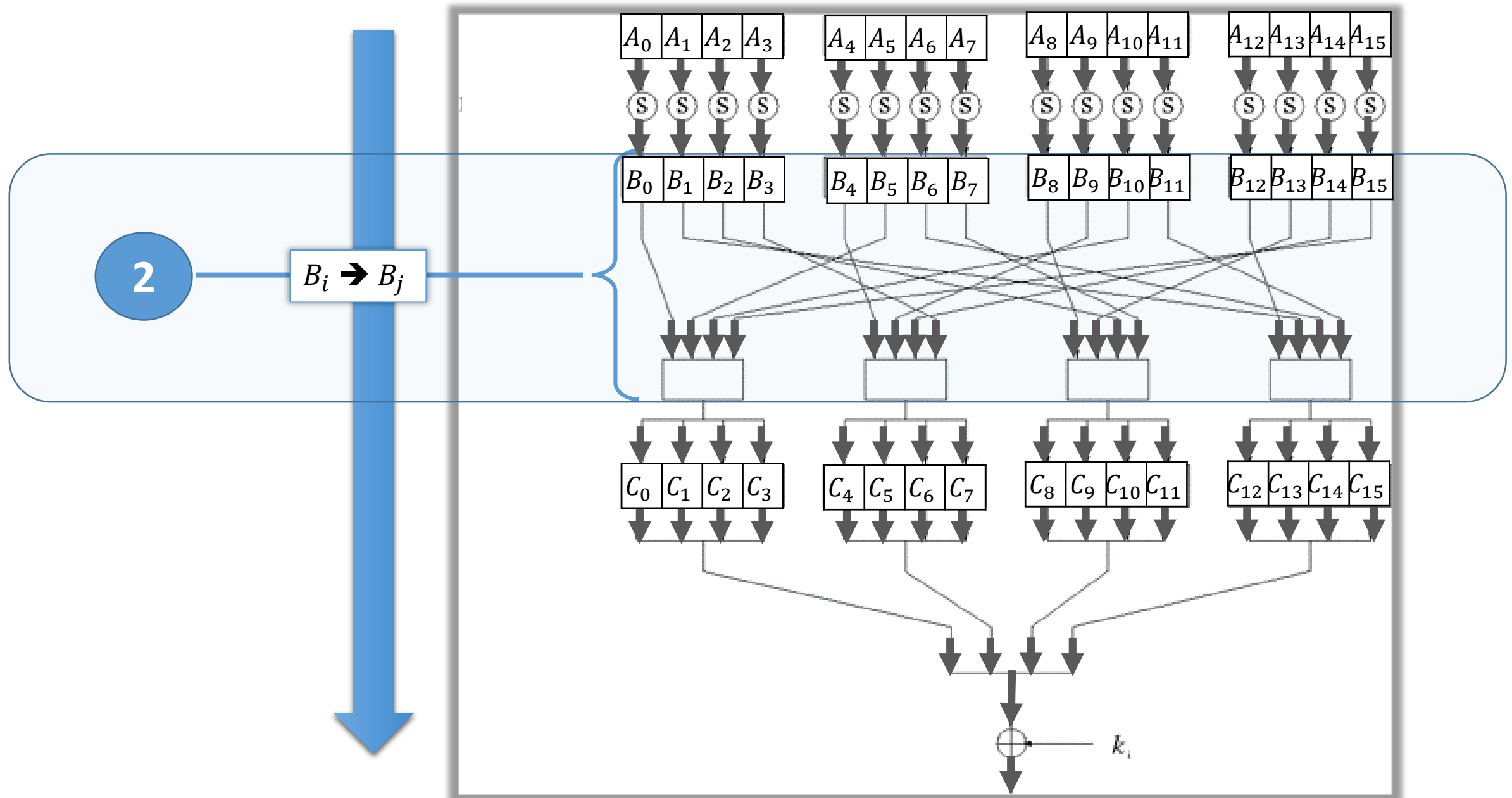


## Homework 4-A

Find  $B'_i$ , the inverse of  $A_i$  with GF, and  $B_i$  after affine mapping

$A_i$	$B'_i$	$B_i$
0101 1000		
1101 0001		
1011 1010		
1110 0011		
0110 1000		

## AES step -2: Shift Rows



## AES step -2: Shift Rows

$B_0$	$B_4$	$B_8$	$B_{12}$
$B_1$	$B_5$	$B_9$	$B_{13}$
$B_2$	$B_6$	$B_{10}$	$B_{14}$
$B_3$	$B_7$	$B_{11}$	$B_{15}$

*Input matrix*

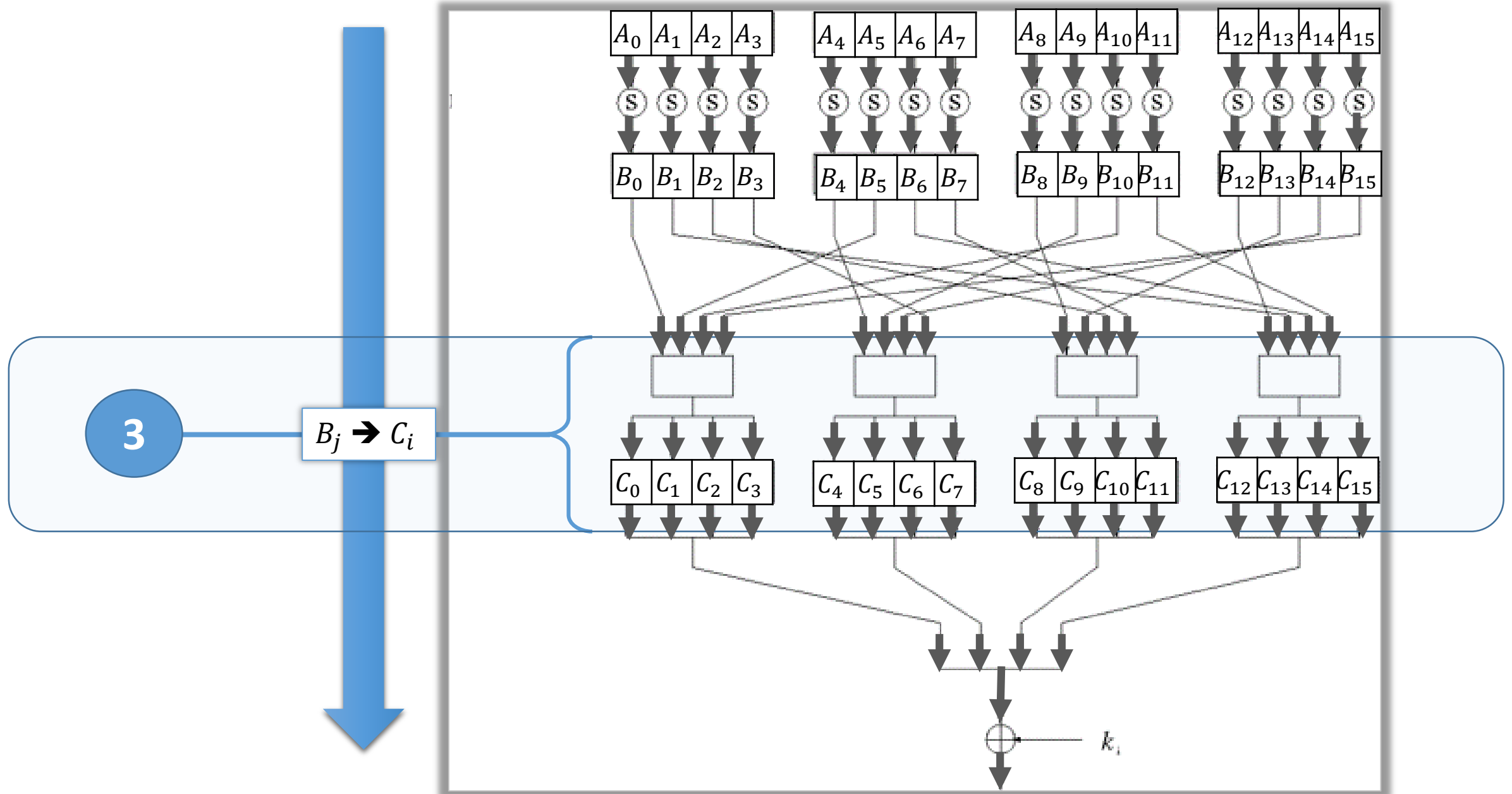


$B_0$	$B_4$	$B_8$	$B_{12}$
$B_5$	$B_9$	$B_{13}$	$B_1$
$B_{10}$	$B_{14}$	$B_2$	$B_6$
$B_{15}$	$B_3$	$B_7$	$B_{11}$

*output matrix*

*No shift*  
*one left*  
*two left*  
*Three left*

## AES step-3: Mix Column





# AES step-3: Mix columns transformation

$B_0$	$B_5$	$B_{10}$	$B_{15}$
MIX			
$C_0$	$C_1$	$C_2$	$C_3$

$B_4$	$B_9$	$B_{14}$	$B_3$
MIX			
$C_4$	$C_5$	$C_6$	$C_7$

$B_8$	$B_{13}$	$B_2$	$B_7$
MIX			
$C_8$	$C_9$	$C_{10}$	$C_{11}$

$B_{12}$	$B_1$	$B_6$	$B_{11}$
MIX			
$C_{12}$	$C_{13}$	$C_{14}$	$C_{15}$

$$\begin{pmatrix} C_0 \\ C_1 \\ C_2 \\ C_3 \end{pmatrix} = \begin{bmatrix} 02 & 03 & 01 & 01 \\ 01 & 02 & 03 & 01 \\ 01 & 01 & 02 & 03 \\ 03 & 01 & 01 & 02 \end{bmatrix} \begin{pmatrix} B_0 \\ B_5 \\ B_{10} \\ B_{15} \end{pmatrix}$$

$$\begin{pmatrix} C_4 \\ C_5 \\ C_6 \\ C_7 \end{pmatrix} = \begin{bmatrix} 02 & 03 & 01 & 01 \\ 01 & 02 & 03 & 01 \\ 01 & 01 & 02 & 03 \\ 03 & 01 & 01 & 02 \end{bmatrix} \begin{pmatrix} B_4 \\ B_9 \\ B_{14} \\ B_3 \end{pmatrix}$$

$$\begin{pmatrix} C_8 \\ C_9 \\ C_{10} \\ C_{11} \end{pmatrix} = \begin{bmatrix} 02 & 03 & 01 & 01 \\ 01 & 02 & 03 & 01 \\ 01 & 01 & 02 & 03 \\ 03 & 01 & 01 & 02 \end{bmatrix} \begin{pmatrix} B_8 \\ B_{13} \\ B_2 \\ B_7 \end{pmatrix}$$

$$\begin{pmatrix} C_{12} \\ C_{13} \\ C_{14} \\ C_{15} \end{pmatrix} = \begin{bmatrix} 02 & 03 & 01 & 01 \\ 01 & 02 & 03 & 01 \\ 01 & 01 & 02 & 03 \\ 03 & 01 & 01 & 02 \end{bmatrix} \begin{pmatrix} B_{12} \\ B_1 \\ B_6 \\ B_{11} \end{pmatrix}$$

## Galois Fields matrix transform – Example:

$$C_0 = [[02] \cdot B_0] \oplus [[03] \cdot B_5] \oplus [[01] \cdot B_{10}] \oplus [[01] \cdot B_{15}]$$

$$C_1 = [[01] \cdot B_0] \oplus [[02] \cdot B_5] \oplus [[03] \cdot B_{10}] \oplus [[01] \cdot B_{15}]$$

$$C_2 = [[01] \cdot B_0] \oplus [[01] \cdot B_5] \oplus [[02] \cdot B_{10}] \oplus [[03] \cdot B_{15}]$$

$$C_3 = [[03] \cdot B_0] \oplus [[01] \cdot B_5] \oplus [[01] \cdot B_{10}] \oplus [[02] \cdot B_{15}]$$

## Maths: Extended Galois field

- 01 → (0000 0001) → 1
- 02 → (0000 0010) →  $x$
- 03 → (0000 0011) →  $x + 1$

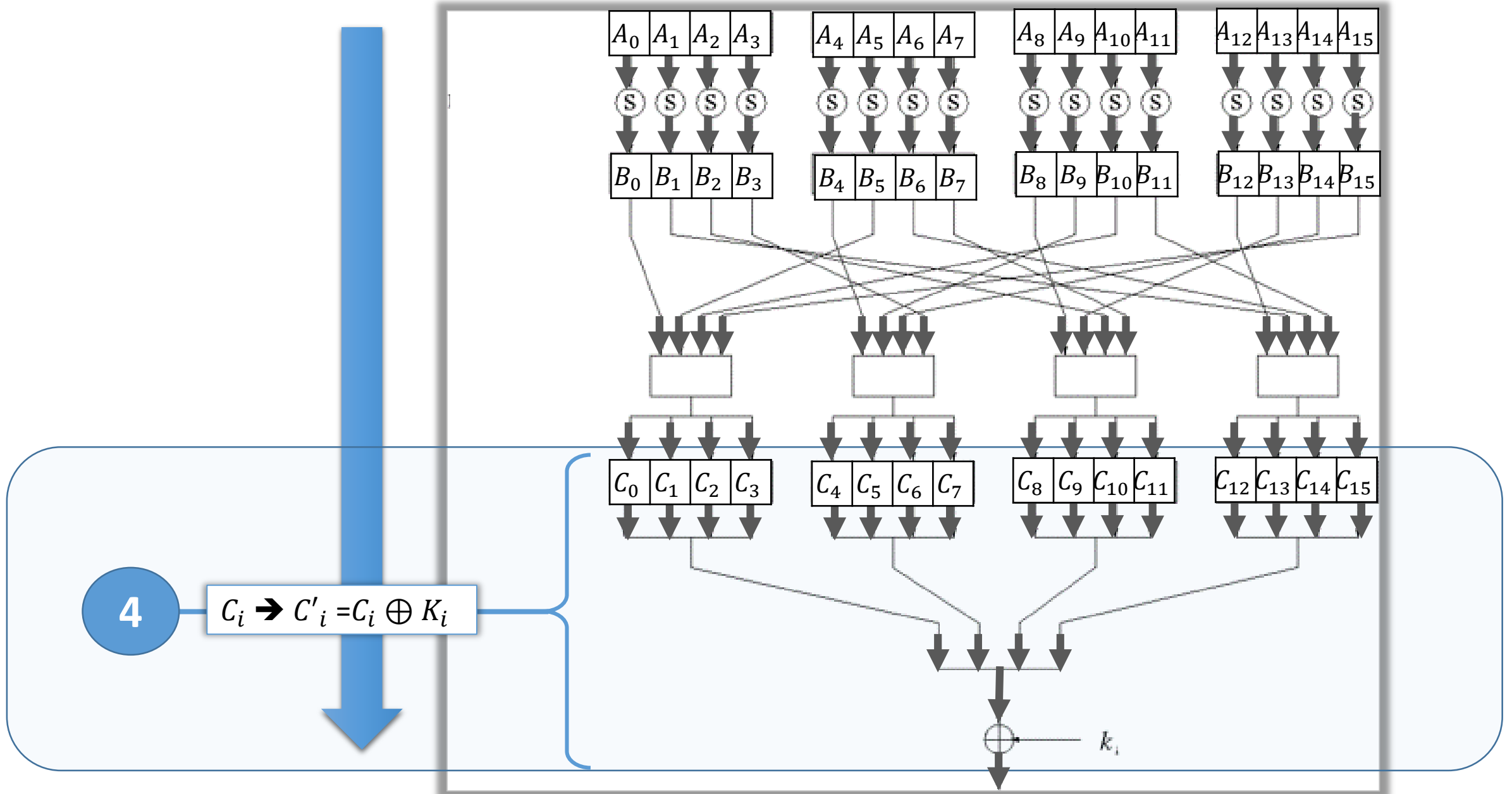
$$P(x) = x^8 + x^4 + x^3 + x + 1$$

## Homework 4-B

Find  $C_0, C_1, C_2, C_3$  from  $B_0, B_5, B_{10}, B_{15}$  with mix column transform

$B_0$	$B_5$	$B_{10}$	$B_{15}$
0101 1000	1101 0001	1011 1010	1110 0011
$C_0$	$C_1$	$C_2$	$C_3$
?	?	?	?

## AES step-4: Key addition (XOR)



## AES step-4: Key addition - Add Round key

- Operation done before the first round, then at every round
- At round  $i$  the key generated by the key processor is different

- The key  $K_i$  is segmented by byte:

$$K_i = \{K_{1i}; K_{2i}; \dots; K_{ji}; \dots; K_{16i}\}$$

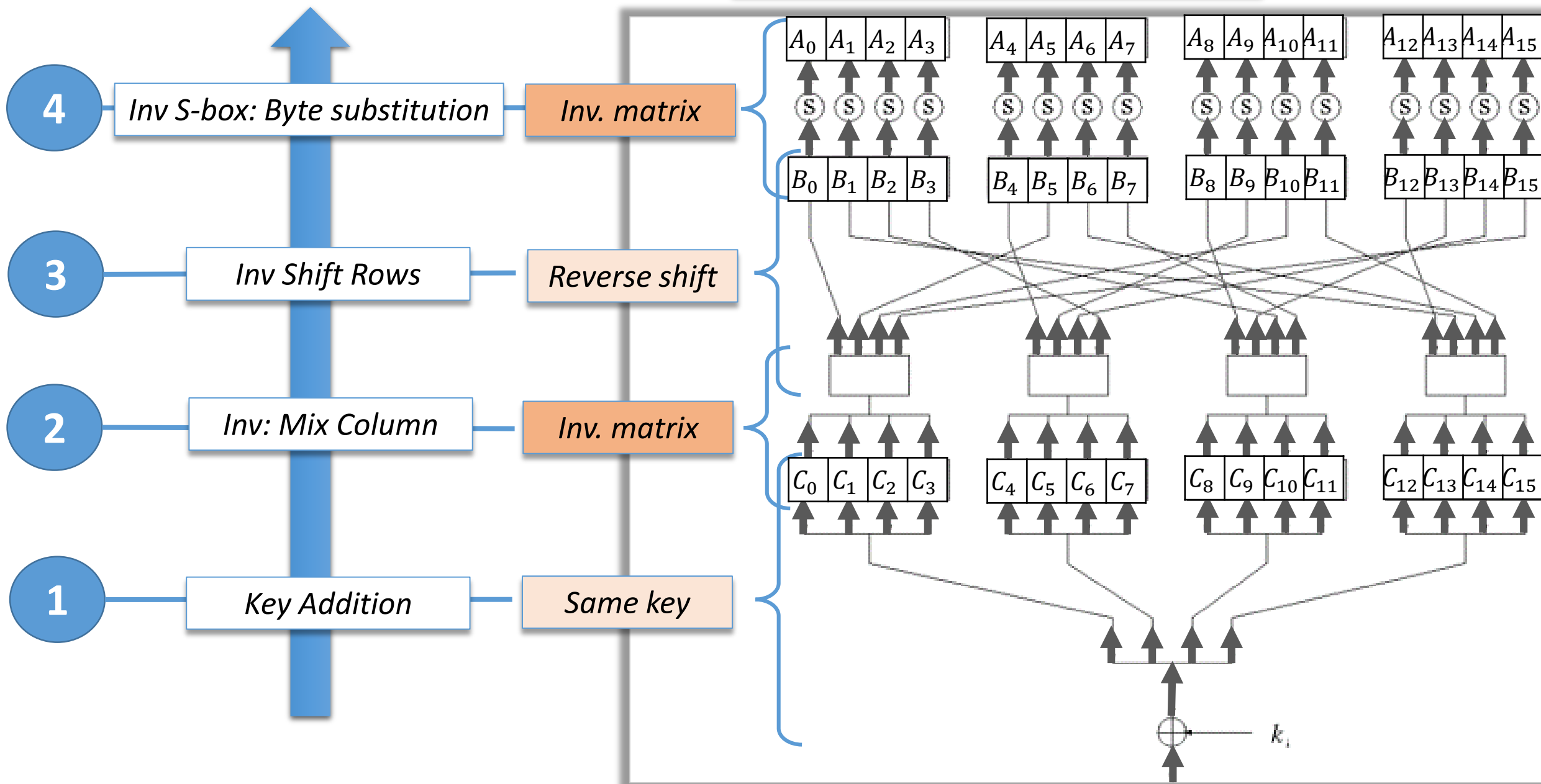
- Assuming that the plain text is  $P_i$ :

$$P_i = \{P_{1i}; P_{2i}; \dots; P_{ji}; \dots; P_{16i}\}$$

- The encrypted text has also 16 bytes with  $P'_{ji}$  given by:

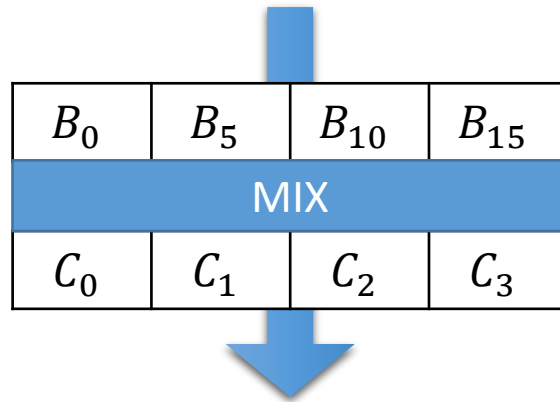
$$P'_{ji} = \{ P_{ji} \oplus K_{ji} \}$$

# AES Inverse: decryption



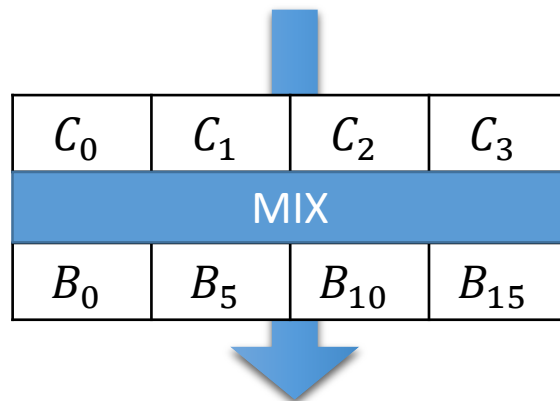
# Inverse Mix columns transformation

Encrypt



$$\begin{pmatrix} C_0 \\ C_1 \\ C_2 \\ C_3 \end{pmatrix} = \begin{bmatrix} 02 & 03 & 01 & 01 \\ 01 & 02 & 03 & 01 \\ 01 & 01 & 02 & 03 \\ 03 & 01 & 01 & 02 \end{bmatrix} \begin{pmatrix} B_0 \\ B_5 \\ B_{10} \\ B_{15} \end{pmatrix}$$

Decrypt



$$\begin{pmatrix} B_0 \\ B_5 \\ B_{10} \\ B_{15} \end{pmatrix} = \begin{bmatrix} 0E & 0B & 0D & 09 \\ 09 & 0E & 0B & 0D \\ 0D & 09 & 0E & 0B \\ 0B & 0D & 09 & 0E \end{bmatrix} \begin{pmatrix} C_0 \\ C_1 \\ C_2 \\ C_3 \end{pmatrix}$$

# Inverse S-Box

$$B_i = X y$$

$$A_i = S(A_i)$$

$$B_i = C 2$$

	y															
	0	1	2	3	4	5	6	7	8	9	a	b	c	d	e	f
0	52	09	6a	d5	30	36	a5	38	bf	40	a3	9e	81	f3	d7	fb
1	7c	e3	39	82	9b	2f	ff	87	34	8e	43	44	c4	de	e9	cb
2	54	7b	94	32	a6	c2	23	3d	ee	4c	95	0b	42	fa	c3	4e
3	08	2e	a1	66	28	d9	24	b2	76	5b	a2	49	6d	8b	d1	25
4	72	f8	f6	64	86	68	98	16	d4	a4	5c	cc	5d	65	b6	92
5	6c	70	48	50	fd	ed	b9	da	5e	15	46	57	a7	8d	9d	84
6	90	d8	ab	00	8c	bc	d3	0a	f7	e4	58	05	b8	b3	45	06
7	d0	2c	1e	8f	ca	3f	0f	02	c1	af	bd	03	01	13	8a	6b
8	3a	91	11	41	4f	67	dc	ea	97	f2	cf	ce	f0	b4	e6	73
9	96	ac	74	22	e7	ad	35	85	e2	f9	37	e8	1c	75	df	6e
a	47	f1	1a	71	1d	29	c5	89	6f	b7	62	0e	aa	18	be	1b
b	fc	56	3e	4b	c6	d2	79	20	9a	db	c0	fe	78	cd	5a	f4
c	1f	dd	a8	33	88	07	c7	31	b1	12	10	59	27	80	ec	5f
d	60	51	7f	a9	19	b5	4a	0d	2d	e5	7a	9f	93	c9	9c	ef
e	a0	e0	3b	4d	ae	2a	f5	b0	c8	eb	bb	3c	83	53	99	61
f	17	2b	04	7e	ba	77	d6	26	e1	69	14	63	55	21	0c	7d

0000	0
0001	1
0010	2
0011	3
0100	4
0101	5
0110	6
0111	7
1000	8
1001	9
1010	a
1011	b
1100	c
1101	d
1110	e
1111	f

$$A_i = A 8$$

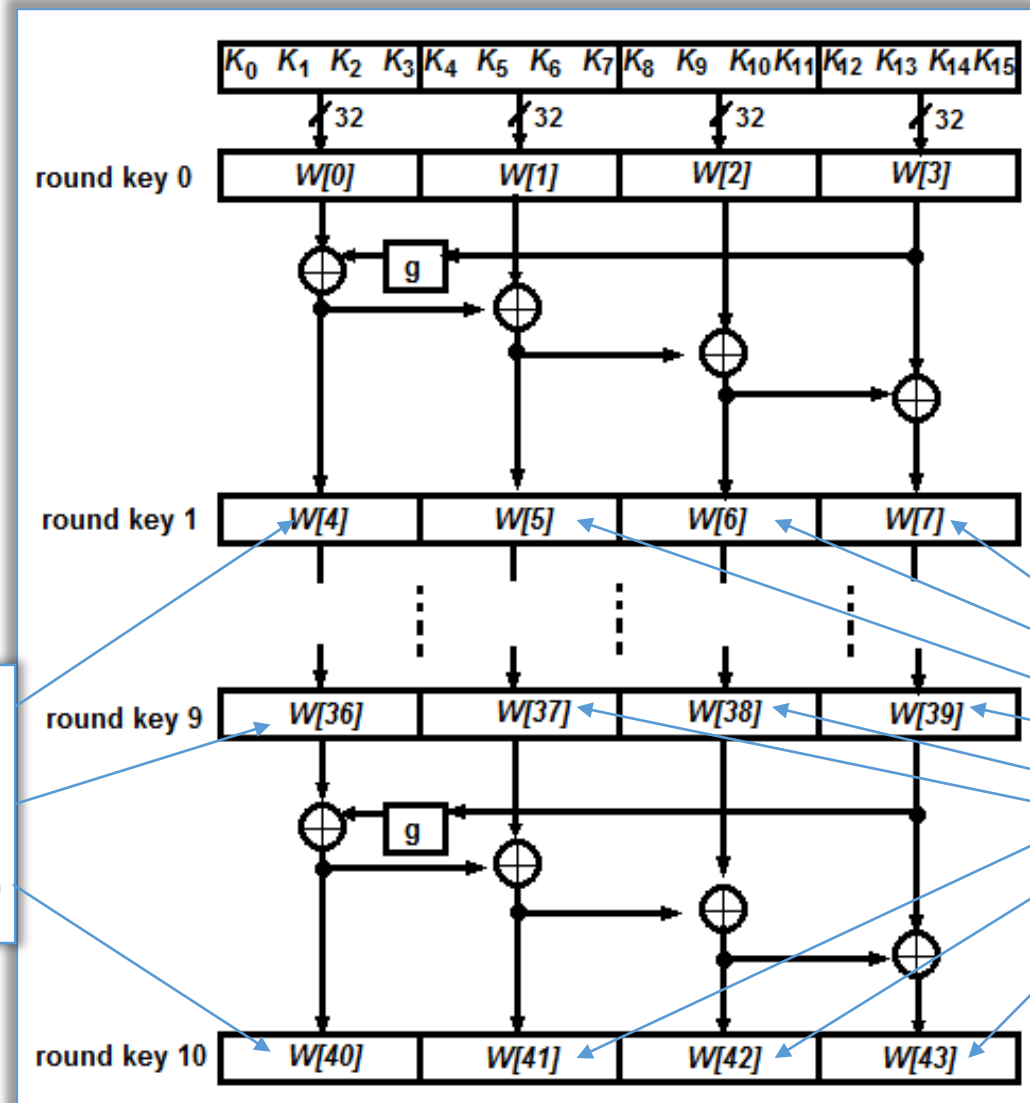
Example:  $[B_i = 1100\ 0010 = C\ 2] \Rightarrow [A_i = A\ 8 = 1010\ 1000]$

# Advanced Encryption Standard

- ❖ 1- Finite fields
- ❖ 2- Extended Galois fields
- ❖ 3- AES architecture
- ❖ → 4- AES Key generation
- ❖ 5- Summary



# AES: Key schedule for each round for 128-bit size



For the left-most word  
of sub-key

$i \in \{1 \text{ to } 10\}$

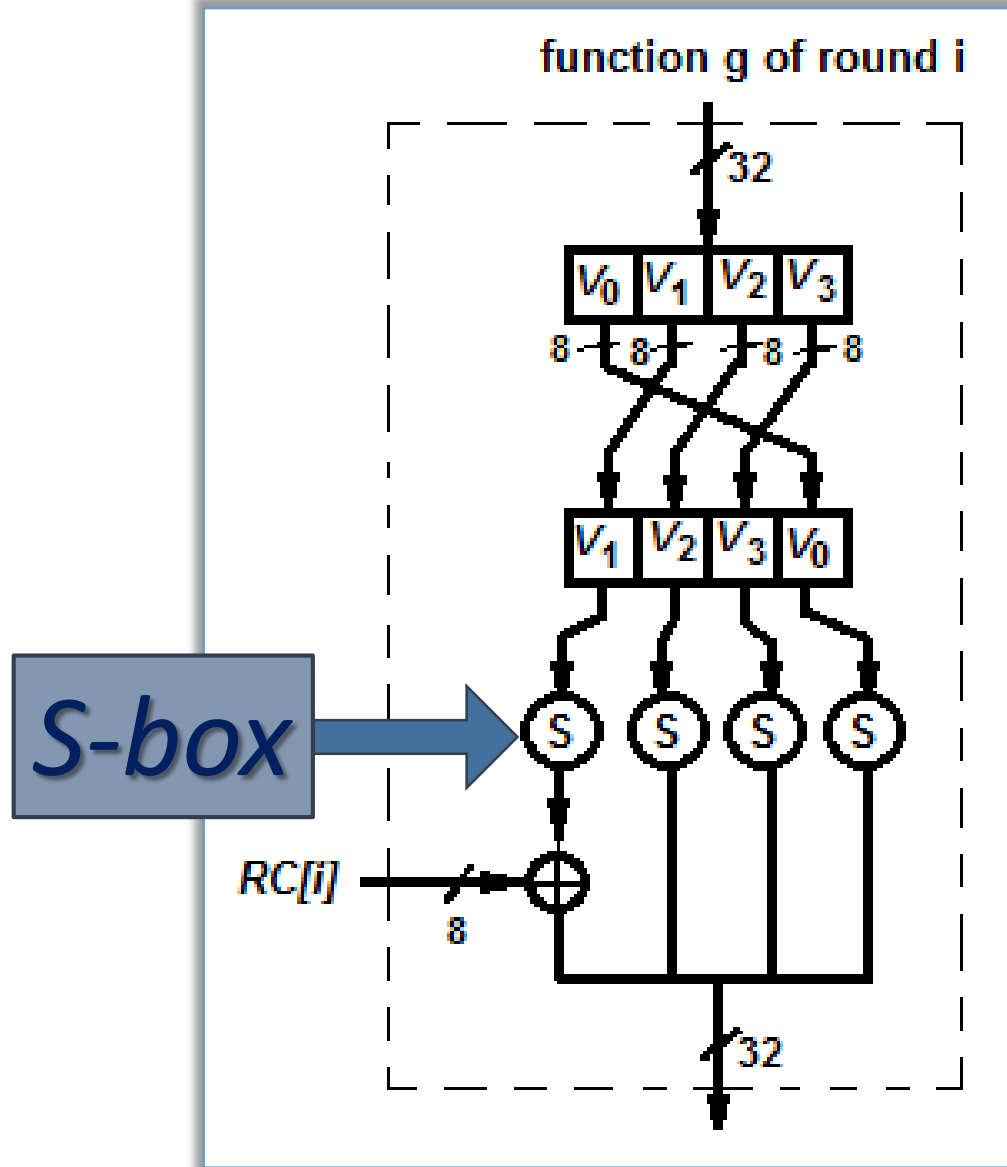
$$W(4i) = W(4(i-1)) \oplus g(W(4i-1))$$

For the other 3 words  
of sub-key

$i; j = 1, 2, 3$

$$W(4i+j) = W(4(i-1)+j) \oplus (W(4i+j-1))$$

# AES: Function g of round i



$$RC [1] = x^0 = (0000 \ 0001)$$

$$RC [2] = x^1 = (0000 \ 0010)$$

$$RC [3] = x^2 = (0000 \ 0100)$$

$$RC [4] = x^3 = (0000 \ 1000)$$

$$RC [5] = x^4 = (0001 \ 0000)$$

$$RC [6] = x^5 = (0010 \ 0000)$$

$$RC [7] = x^6 = (0100 \ 0000)$$

$$RC [8] = x^7 = (1000 \ 0000)$$

$$RC [9] = x^8 = (0001 \ 1011)$$

$$RC[10] = x^9 = (0011 \ 0110)$$

$$x^8 = P(x) + RC[9] = (x^8 + x^4 + x^3 + x + 1) + (x^4 + x^3 + x^1 + 1)$$

$$x^9 = xP(x) + RC[10] = x(x^8 + x^4 + x^3 + x + 1) + (x^5 + x^4 + x^2 + x)$$

# Advanced Encryption Standard

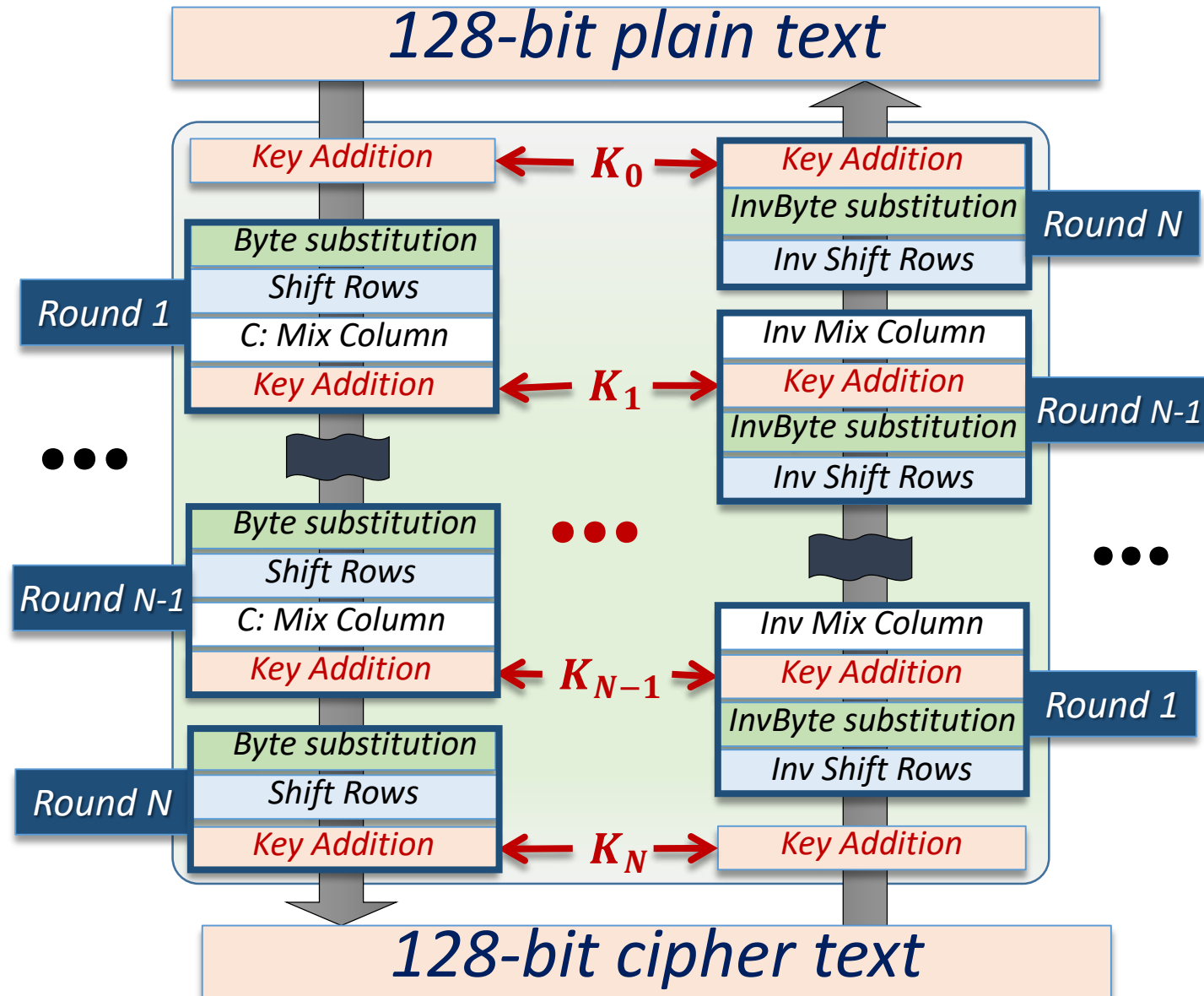
- ❖ 1- Finite fields
- ❖ 2- Extended Galois fields
- ❖ 3- AES architecture
- ❖ 4- AES Key generation
- ❖ 5- Summary

# Summary: AES

- Add round key – XOR (128 bits)
- 10-12-14 rounds of encryption (128bits)
  - Substitute Bytes S-boxes (Galois field)
  - Shift Row
  - Mixed Columns – not in last round
  - Add round key – XOR
- Key processor:

N	size
10	128
12	192
14	256

  - Key size 128 – 192 – 256
  - Create 32-bit words from four bytes
  - Each round key: XOR with a g-function
- Reverse order for decryption



# Effect of fault injection on AES → not easy

- ❑ Plaintext: 32 43 f6 a8 88 5a 30 8d 31 31 98 a2 e0 37 07 34  
128-bit key: 2b 7e 15 16 28 ae d2 a6 ab f7 15 88 09 cf 4f 3c  
Ciphertext: 39 25 84 1d 02 dc 09 fb dc 11 85 97 19 6a 0b 32
- ❑ One fault in the plaintext: 3**0** 43 f6 a8 88 5a 30 8d 31 31 98 a2 e0 37 07 34  
Results in the ciphertext: **c0 06 27 d1 8b d9 e1 19 d5 17 6d bc ba 73 37 c1**
- ❑ One fault in the key: 2**a** 7e 15 16 28 ae d2 a6 ab f7 15 88 09 cf 4f 3c  
Results in the ciphertext: **c4 61 97 9e e4 4d e9 7a ba 52 34 8b 39 9d 7f 84**

**A single-bit error results in a totally scrambled output**

# Comparing symmetrical methods

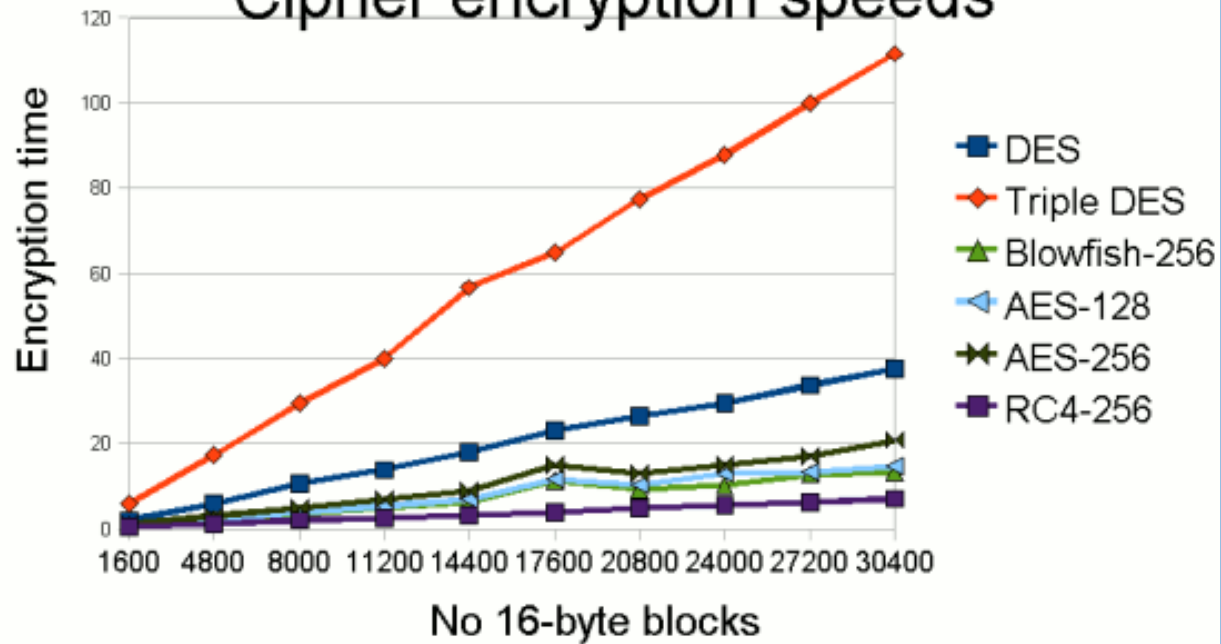
## DES vs AES

	DES	AES
Date	1976	1999
Block size	64	128
Key length	56	128, 192, 256
Number of rounds	16	10, 12, 14
Encryption primitives	Substitution, permutation	Substitution, shift, bit mixing
Cryptographic primitives	Confusion, diffusion	Confusion, diffusion
Design	Open	Open
Design rationale	Closed	Open
Selection process	Secret	Secret, but accept open public comment
Source	IBM, enhanced by NSA	Independent cryptographers

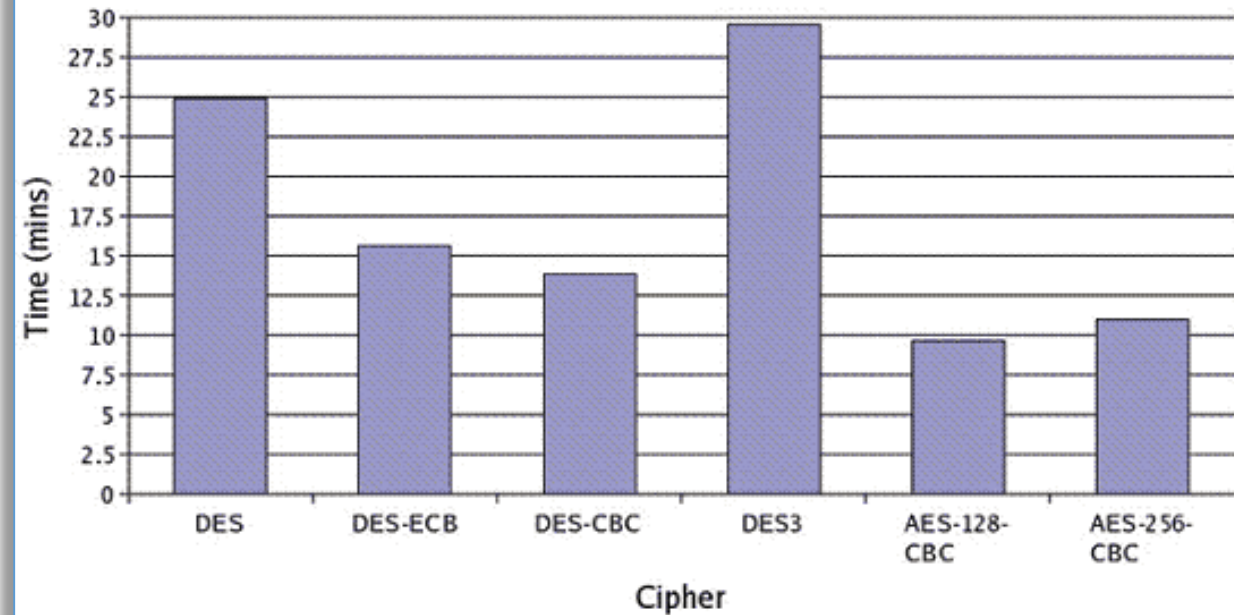
Form	Properties	Strength
DES	One 56-bit key	Weak
Double DES	Two 56-bit keys	2 X as strong as DES
Two-Key Triple DES	Two 56-bit keys	16 million times as strong as DES
Three-Key Triple DES	Three 56-bit keys	$10^{17}$ as strong as DES
AES	128-bit key	$4 \cdot 10^{21}$ as strong as DES

# Comparing cryptographic methods

## Cipher encryption speeds



## Encryption Performance



## Issues with AES

- Almost 20 year old
- Sensitive to frequency analysis
  - Plain text is encrypted 128 bit at the time with the same key
  - Very long plain text give an opportunity to crypto-analyst
- Collisions were reported on the Keys:
  - Key size of 128 bits → 64 bits **Not safe**
  - Key size of 256 bits → 128 bits **Questionable**
- Alternate encryption methods based on chaos, and random elements



NORTHERN  
ARIZONA  
UNIVERSITY®



# QUESTIONS ?

**Dr. Bertrand Cambou**

Professor of Practice NAU, Cybersecurity  
School of Informatics, Computing, and Cyber-Systems

[Bertrand.cambou@nau.edu](mailto:Bertrand.cambou@nau.edu)