

Unraveling Optic Nerve Shapes: A Topological Dive into IIH through Fundus Photo Analysis

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Idiopathic Intracranial

Hypertension:

Rare condition impacting optic nerve,
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Significance of Automated Diagnostics:

Urgency for automated methods due to drawbacks of manual analysis.

Background: IIH Diagnosis and Fundus Photography

Diagnostic Methods: Fundus photography; Frisen grade system (1 to 5) for severity assessment.



Grade 1

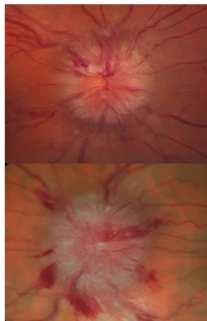
- Grayish C-shaped halo surrounding the disc*
- Sparing of the temporal disc margin
- Radial nerve fiber striation disruption

Grade 2

- Halo becomes circumferential*
- Nasal border elevation
- No major vessel obscuration

Grade 3

- Obscuration of at least one vessel *leaving the disc** (arrow)
- Elevation of all borders
- Circumferential halo



Grade 4

- Obscuration of a major vessel *on the disc**
- Complete elevation including the cup
- Circumferential halo

Grade 5

- Obscuration of all vessels on the disc *and* leaving the disc*
- All features of Grade 4

- Dataset from Mount Sinai Hospital originated from the IIH Treatment Trial, the largest cohort of untreated IIH patients.
- 165 IIH patients from several sites in the US and Canada over 3 years.
- Fundus photography used in trial, capturing 6,566 images labeled with Frisen grades (0-5); Grade 0: Control group from healthy eyes.

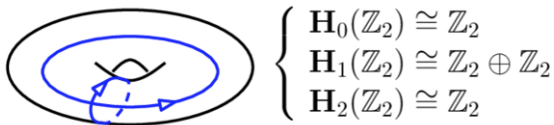
- Dataset exhibits significant class imbalance: Grade 5 accounts for less than two percent of the data, while grade 2 accounts for a third of the data.
- Preprocessing: Resized each fundus photo to 224x224 pixels and converted them to gray scale.

Topological Data Analysis (TDA)

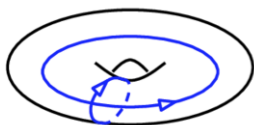
- TDA employs algebraic topology to analyze high-dimensional, incomplete, and noisy datasets.
- Provides methods to study data structure using low-dimensional topological invariants such as homology.

- **Homology:** Measures the presence of n -dimensional holes in a topological space.
- Connectedness (0-dimensional holes): Captured by H_0 .
- Loops (1-dimensional holes): Captured by H_1 .
- Voids (2-dimensional holes): Captured by H_2 .
- For computational efficiency, our Python program will compute homology vector spaces using coefficients in \mathbb{Z}_2 .

Persistent Homology and Homology

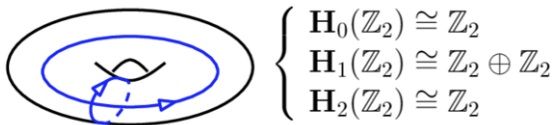


Persistent Homology and Homology


$$\left\{ \begin{array}{l} H_0(\mathbb{Z}_2) \cong \mathbb{Z}_2 \\ H_1(\mathbb{Z}_2) \cong \mathbb{Z}_2 \oplus \mathbb{Z}_2 \\ H_2(\mathbb{Z}_2) \cong \mathbb{Z}_2 \end{array} \right.$$

Question: How can we transform our data into a topological space?

Persistent Homology and Homology



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Answer: Simplicial Complexes!

Simplicial Complexes in TDA

- ① n -simplices are the generalization of triangles to arbitrary dimensions (vertices, edges, triangles, tetrahedra, etc).

Definition (Simplicial Complex)

A simplicial complex K is a set of n -simplices such that:

- ① Every face (sub-simplices) of a simplex in K is also in K .
 - ② The non-empty intersection of any two simplices $\sigma_1, \sigma_2 \in K$ is a face of both σ_1 and σ_2 .
- For n -dimensional data, simplices can have dimensions up to n , with a focus on capturing $(n - 1)$ -dimensional and lower features.
 - In our analysis of two-dimensional fundus photos, we focus on 0- and 1-dimensional features: (connected) components and loops.

Persistent Homology and Filtrations

- Each simplicial complex will correspond to a particular scale.
- The scale parameter of each complex depends on the data you're working with. For point cloud data (e.g., torus), this could be a distance threshold ϵ , and for $2D$ images this could be pixel intensity values as we will see shortly.

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- **Filtrations:** Collection of subcomplexes K_i of some complex K such that if $i \leq j$, then $K_i \subseteq K_j$. Here i and j represent different scales.

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- **Filtrations:** Collection of subcomplexes K_i of some complex K such that if $i \leq j$, then $K_i \subseteq K_j$. Here i and j represent different scales.
- **Persistent Homology:** Captures how topological features persist across different scales, i.e., how homology vector spaces change from one complex to another.

Topologizing Fundus Photos

Definition (Sublevel Set)

Let $c \in \mathbb{R}$ and $f : \mathbb{R}^n \rightarrow \mathbb{R}$, then

$$L_c(f) = \{(x_1, \dots, x_n) : f(x_1, \dots, x_n) \leq c\}$$

is the sublevel set of f .

One way of topologizing our data is by constructing simplicial complexes according to the sublevel sets of the following function

$$f : V \rightarrow [0, 255]$$

where V is the set of vertices/pixels of a given photo, and the codomain represents the range of possible pixel intensity values (gray scale).

Topologizing Fundus Photos cont'd

- Start with an image I and an empty complex K .

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- Assign pixels to vertices (0-simplices) in I and add them to K .

Topologizing Fundus Photos cont'd

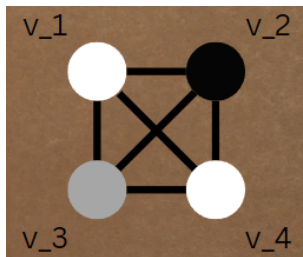
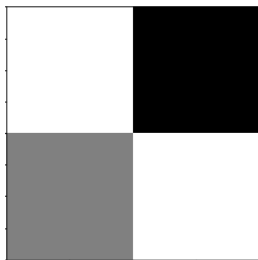
- Start with an image I and an empty complex K .
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- Add line segments (1-simplices) between adjacent vertices (include diagonally adjacent).

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Sublevel Set Filtration

Now we define subcomplexes and construct the sublevel set filtration on the pixel intensity function f . Let K be the fully-connected complex containing all vertices in I . Then:

$$K_i = \{\sigma \in K : \forall v \in \sigma, f(v) \leq i\}.$$

Definition (Sublevel Set Filtration)

Let $a_0 = f_{\min} < a_1 < a_2 \cdots < a_n = f_{\max}$ be an increasing sequence of positive real numbers, then the sequence of subcomplexes:

$K_{f_{\min}=0} \subseteq K_{0+a_1} \subseteq K_{0+a_2} \subseteq \cdots \subseteq K_{f_{\max}=255} = K$ is a *filtration* of K called the sublevel set filtration.

Sublevel Set Filtration cont'd

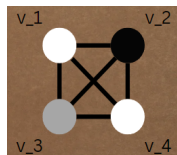
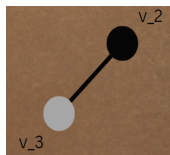
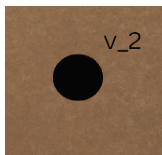


Figure: $K_0 = \{v_2\}$

Figure: $K_{127.5} = \{v_2, v_3\}$

Figure: $K_{255} = K$

Let $0 < 127.5 < 255$ be the increasing sequence , then:

$K_0 = \{\sigma \in K : \forall v \in \sigma, f(v) \leq 0\} = \{v_2\}$ only v_2 is dim enough.

$K_{127.5} = \{\sigma \in K : f(v) \leq 127.5\} = \{v_2, v_3\}$ v_2 and v_3 are dim enough.

$K_{255} = \{\sigma \in K : f(v) \leq 255\} = \{v_1, v_2, v_3, v_4\}$ all pixels are dim enough
and we get the fully-connected simplex K .

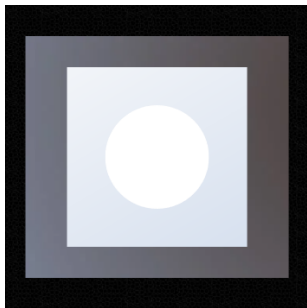
Birth and Death in Topological Features

- After constructing each complex for an intensity scale, homology vector spaces are computed.

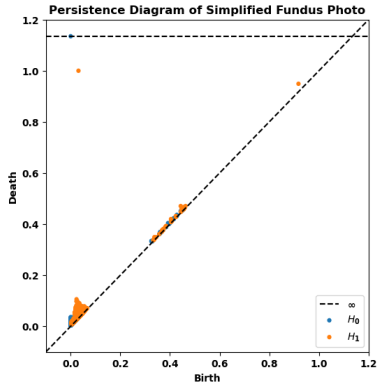
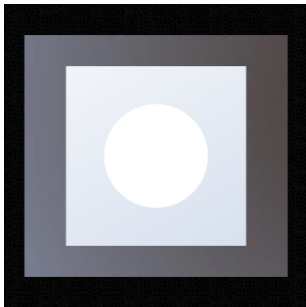
Birth and Death in Topological Features

- After constructing each complex for an intensity scale, homology vector spaces are computed.
- Changes in homology across all complexes are visually represented by Persistence Diagrams.

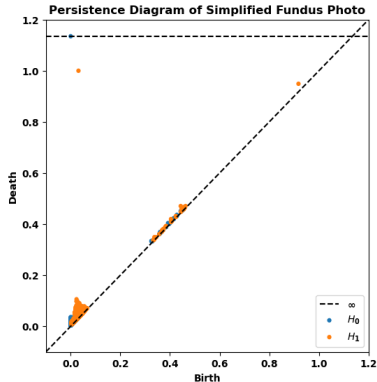
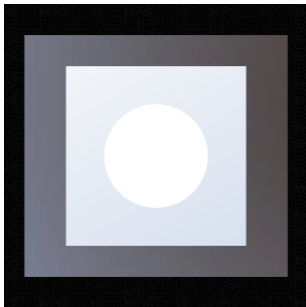
Simplified Fundus Photo and Persistence Diagram



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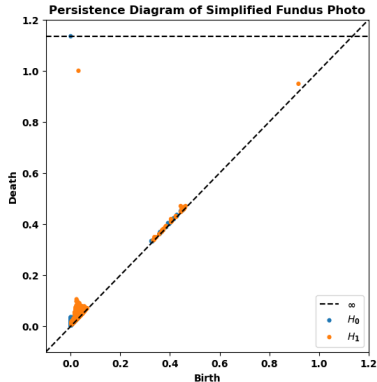
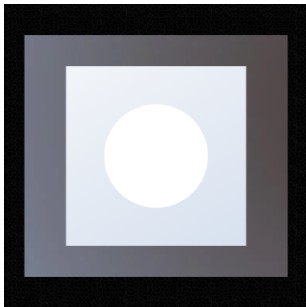


Simplified Fundus Photo and Persistence Diagram



- **Dimension 0:** One persistent point at infinity, representing a connected component (e.g., black square) that persists throughout.

Simplified Fundus Photo and Persistence Diagram



- **Dimension 0:** One persistent point at infinity, representing a connected component (e.g., black square) that persists throughout.
- **Dimension 1:** One persistent point with high *death* value, indicating the presence of a loop (e.g., boundary of the white circle) persisting across intensity levels.

Understanding Persistence Diagrams

- A persistence diagram is a 2D representation of feature's lifetimes.
- Each point is a (born, death) pair, with x as the birth scale and y as the death scale.
- Features (components or loops) are *born* when first captured at some scale and *die* when no longer present, i.e., scale when they disappear.
- Points with high y -values, well above the diagonal, signify persistent features associated with significant topological structures.
- Points near the diagonal represent features with short lifespans, indicating rapid changes or less influential variations.

Featurization with Persistence Images

- **Objective:**
 - Extract machine learning-friendly features from persistence diagrams.

Featurization with Persistence Images

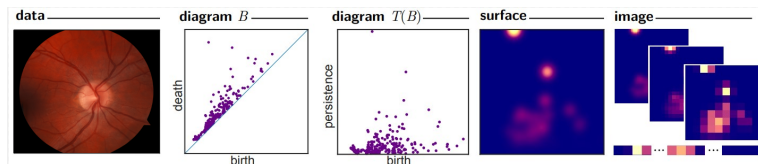
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- **Limitations of Raw Persistence Diagrams:**

- Not directly suitable for machine learning methods.
- Reason: Lack of inherent Euclidean structure, i.e., inner products.
- Need for a transformation process.

Persistence Image: A Brief Overview



• Featureization Steps:

- Birth-death coordinates to birth-persistence coordinates.
- Definition of a differentiable probability distribution.
- Introduction of a nonnegative weighting function.
- Derivation of the persistence surface.
- Definition of the persistence image.

- **Featurization Steps:**

- *Birth-death to Birth-persistence:*

- Let $D = \{(a_i, b_i)\}_{i \in I}$ be a persistence diagram.
 - Define $T : \mathbb{R}^2 \rightarrow \mathbb{R}^2$ as $T(a, b) = (a, b - a)$.
 - $T(D)$ is the transformed multiset in birth-persistence coordinates.

- *Probability Distribution:*

- Let $\phi_u : \mathbb{R}^2 \rightarrow \mathbb{R}$ be a differentiable probability distribution with mean $u = (u_a, u_b) \in \mathbb{R}^2$.
 - Choose $\phi_u = g_u$ with $g_u(a, b) = \frac{1}{2\pi\sigma^2} e^{-\frac{(a-u_a)^2 + (b-u_b)^2}{2\sigma^2}}$.

Transformation Details cont'd

- **Featurization Steps:**

- *Weighting Function:*

- Fix a nonnegative weighting function $f : \mathbb{R}^2 \rightarrow \mathbb{R}$ that's zero along the horizontal axis, continuous, and piecewise differentiable.
 - For example, $f_n(a, b) = b^n$ with $n \in \mathbb{Z}^+$ for assigning more weight to persistent features.

- *Persistence Surface:*

- Define the persistence surface $\rho_D : \mathbb{R}^2 \rightarrow \mathbb{R}$ as $\rho_D(z) = \sum_{u \in T(D)} f(u) \phi_u(z)$.

Definition

Let D be a persistence diagram, then its persistence image is defined as the collection of pixels $I(\rho_D)_p$ given by:

$$I(\rho_D)_p = \iint_p \rho_D \, db \, da.$$

Summary: Feature Extraction Pipeline

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- **Machine Learning Objective:**

- Build a classification model to predict disease severity grade, given an unlabeled fundus photo.

Random Forests: A Brief Overview

- **Random Forests:**

- Ensemble learning method that builds multiple decision trees during training.
- Each tree "votes" on the final classification, and the most popular choice is selected.

- **Key Features:**

- Randomly selects a subset of features for each tree, promoting diversity.
- Reduces overfitting and increases robustness by aggregating predictions.

- **Strengths:**

- Suitable for complex datasets with high dimensionality.
- Handles non-linear relationships and captures intricate patterns.

- **Application in Classification:**

- Well-suited for image classification tasks, leveraging the power of multiple trees.

Results: Machine Learning with Random Forests

- **Data Preprocessing:**

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- **Current Best Classifier:**

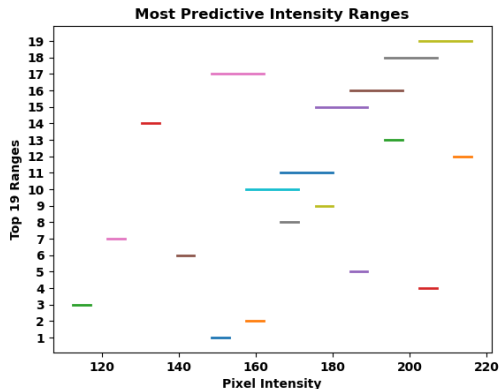
- Accuracy is a percentage representing correct predictions over the total number of samples.
- Achieved a 62% accuracy in classifying Frisen grades.

`rf4_hyperparams.png`

Results (Cont'd): Most Important Features

• Random Forests's Feature Importances Method:

- Identified most *predictive* components of persistence image vectors.
- Importance was quantified as a fraction representing the contribution to the model's final prediction.
- Each *predictive* feature corresponds to a specific range of pixel intensities in the original gray scale photos.



Most Important Features (Cont'd)

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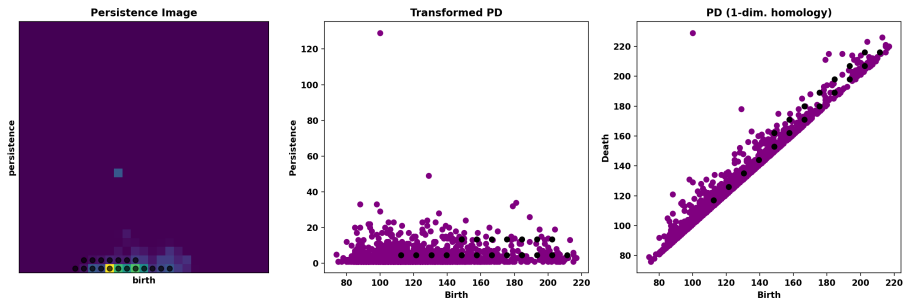


Figure: Selected Grade 4 Persistence Image with top 19 most predictive features overlaid.

Results (Cont'd): Visualizing Predictive Intensity Ranges

Original Image



Masked Image

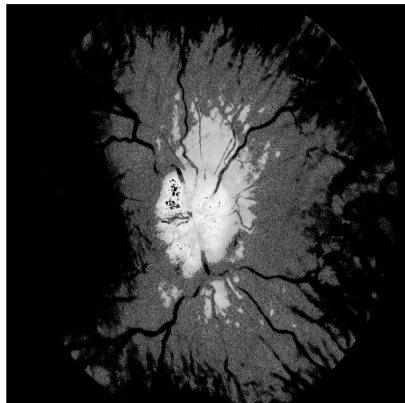
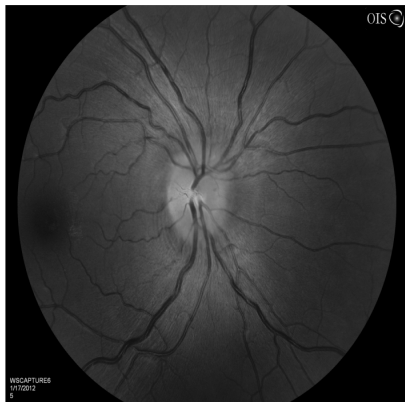


Figure: Pixels shown correspond to the top 19 intensity ranges in the previous persistence image.

Visualizing Predictive Intensity Ranges (Cont'd):

Original Image



Masked Image

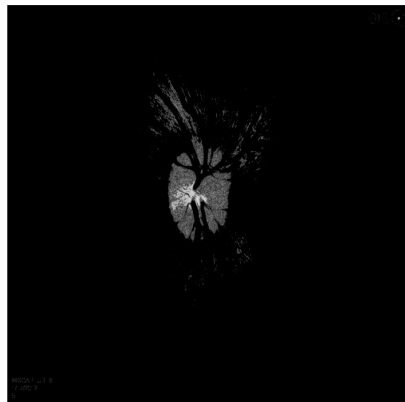


Figure: Pixels shown correspond to the top 19 intensity ranges for selected grade 2 image.

Moving Forward

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- Work with colored images by splitting them into three channels. Apply the analysis procedure independently to each channel to leverage color information.
- Consider different types of filtration.
- Explore multi-parameter persistence for a comprehensive topological analysis. Consider combining pixel intensity with additional parameters for enhanced insights.

Thank you!!
Questions?