B-SPLINE CURVES

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Improving over Bézier curves

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Bézier curves have some drawbacks:

- Degree is proportional to number of control points
- Does not offer true global control (at most "pseudo-local")
- ullet C^2 continuity is not so easy to obtain for composite curves

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To overcome this: **B-splines**

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To overcome this: **B-splines**

- Developed by Riesenfeld and others in 1970s
- B-splines = Basis splines
- Several flavors: uniform, non-uniform, rational non-uniform (NURBs)...

Deriving the formula for the quadratic B-splines

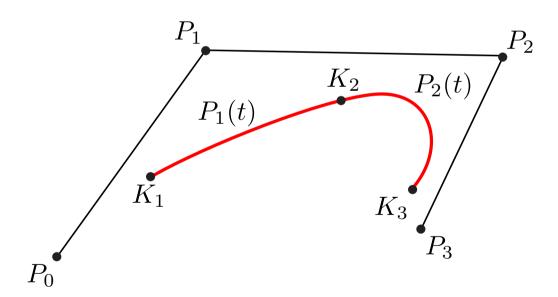
Setting:

- Input: n+1 control points P_0, \ldots, P_n
- Output: **spline curve** where each segment $P_i(t)$ is a **quadratic** parametric polynomial based on P_{i-1}, P_i and P_{i+1}

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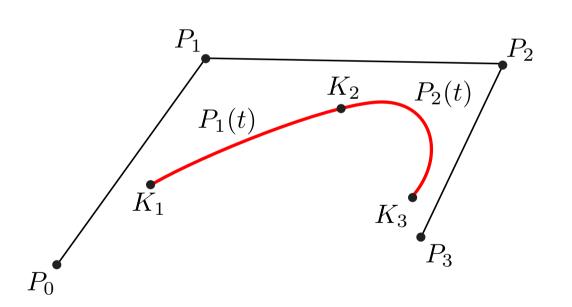


sketch of setting for n = 3 (not accurate!)

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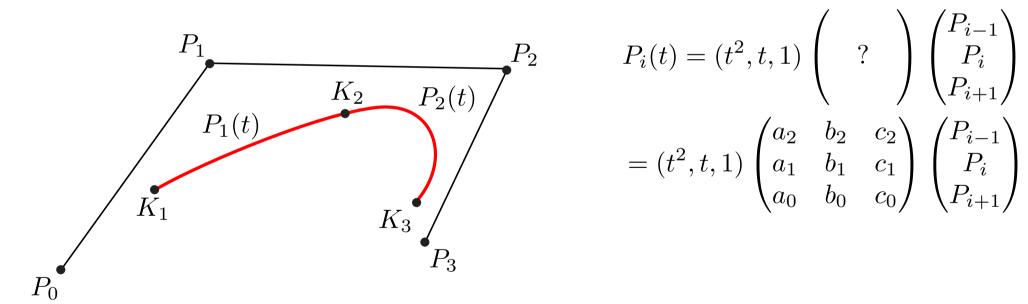
$$P_i(t) = (t^2, t, 1) \begin{pmatrix} ? \\ ? \end{pmatrix} \begin{pmatrix} P_{i-1} \\ P_i \\ P_{i+1} \end{pmatrix}$$

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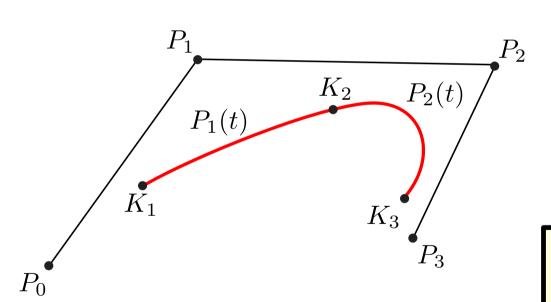


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$$P_i(t) = (t^2, t, 1) \begin{pmatrix} P_{i-1} \\ P_i \\ P_{i+1} \end{pmatrix}$$

$$= (t^{2}, t, 1) \begin{pmatrix} a_{2} & b_{2} & c_{2} \\ a_{1} & b_{1} & c_{1} \\ a_{0} & b_{0} & c_{0} \end{pmatrix} \begin{pmatrix} P_{i-1} \\ P_{i} \\ P_{i+1} \end{pmatrix}$$

Requirements:

- 1. $P_1(t)$ and $P_2(t)$ meet smoothly at common point
- 2. Affine combination of control points

Question: what is the matrix?

Deriving the formula for the quadratic B-splines

$$P_{i}(t) = \frac{1}{2}(t^{2}, t, 1) \begin{pmatrix} 1 & -2 & 1 \\ -2 & 2 & 0 \\ 1 & 1 & 0 \end{pmatrix} \begin{pmatrix} P_{i-1} \\ P_{i} \\ P_{i+1} \end{pmatrix}, i = 1, 2$$

$$= \frac{1}{2}(t^{2} - 2t + 1)P_{i-1} + \frac{1}{2}(-2t^{2} + 2t + 1)P_{i} + \frac{t^{2}}{2}P_{i+1}$$

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Since $K_i = P_i(0)$ and $K_{i+1} = P_i(1)$, we have:

$$K_i = P_i(0) = \frac{1}{2}(P_{i-1} + P_i)$$

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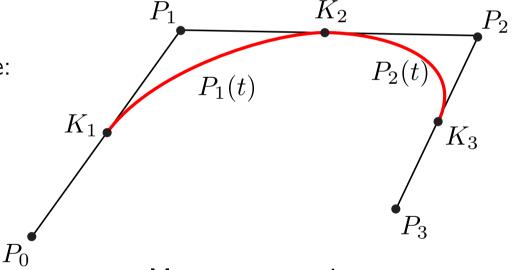
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More accurate picture

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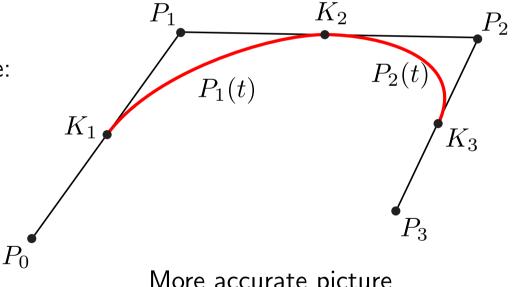
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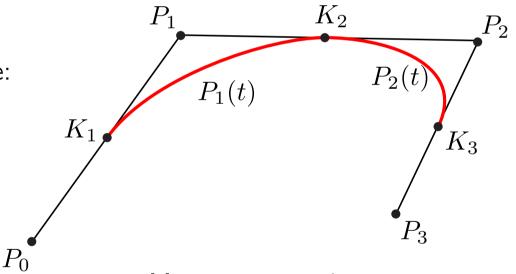
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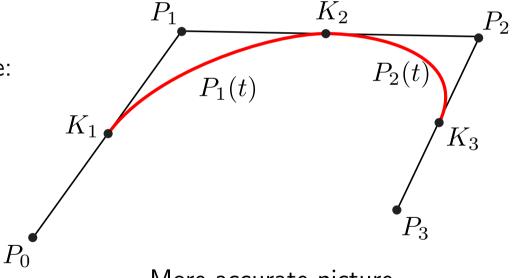
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Example: use control points $\{(1,0),(1,1),(2,1),(2,0)\}$

Deriving the formula for the cubic B-splines

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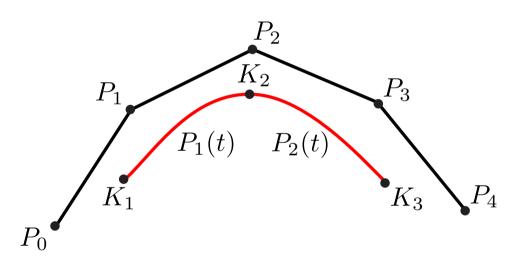
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- Input: n+1 control points P_0, \ldots, P_n
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sketch of setting for n = 4 (not accurate!)

Deriving the formula for the cubic B-splines

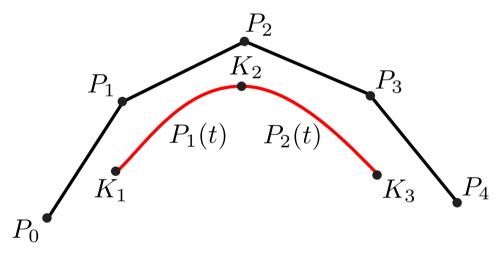
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$$P_{i}(t) = (t^{3}, t^{2}, t, 1)M \begin{pmatrix} P_{i-1} \\ P_{i} \\ P_{i+1} \\ P_{i+2} \end{pmatrix}$$

Requirements:

- 1. Consecutive segments meet with C^2 continuity
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Equations for affine combination

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4 equations

16 of them are independent ⇒ unique solution

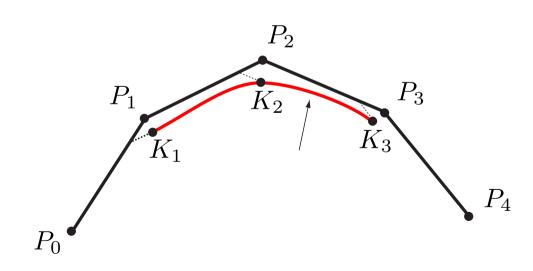
$$P_{i}(t) = \frac{1}{6}(t^{3}, t^{2}, t, 1) \begin{pmatrix} -1 & 3 & -3 & 1 \\ 3 & -6 & 3 & 0 \\ -3 & 0 & 3 & 0 \\ 1 & 4 & 1 & 0 \end{pmatrix} \begin{pmatrix} P_{i-1} \\ P_{i} \\ P_{i+1} \\ P_{i+2} \end{pmatrix}$$

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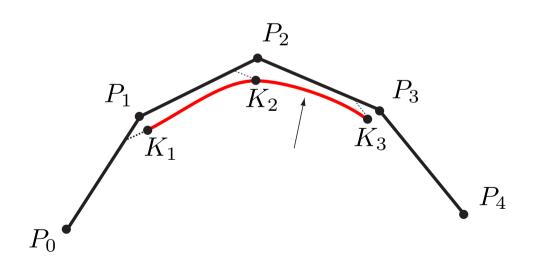


Formula for the cubic B-splines

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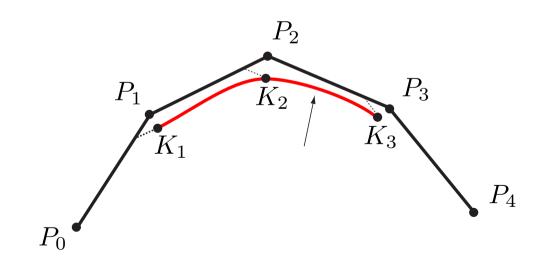
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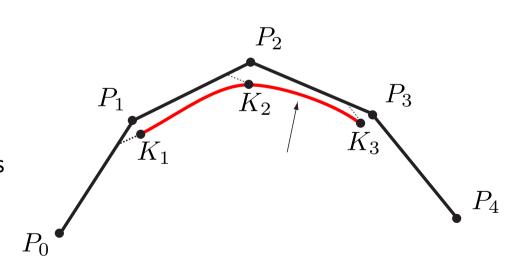
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Geometrically, it makes more sense to rewrite as

$$K_{i} = \left(\frac{1}{6}P_{i-1} + \frac{5}{6}P_{i}\right) + \frac{1}{6}\left(P_{i+1} - P_{i}\right)$$

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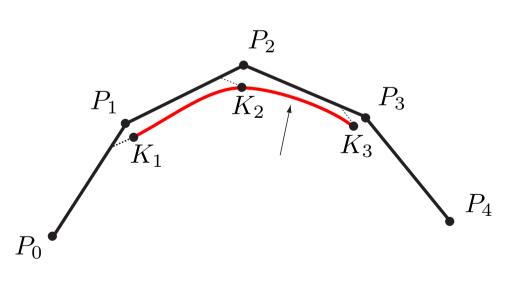
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Other geometric interpretations exist (e.g., $\frac{2}{3}$ rule)



Making the curve go from P_0 to P_n

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Answer: add dummy control points P_{-1} and P_{n+1}

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Question: what are P_{-1} and P_{n+1} points exactly?

Making the curve go from P_0 to P_n

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Making the curve go from P_0 to P_n

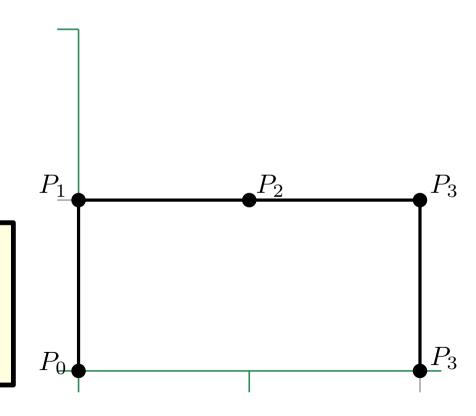
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Example: use control points $\{(0,0),(0,1),(1,1),(2,1),(2,0)\}.$ (i) Draw the first segment. (ii) Add P_{-1} to fix beginning of curve at P_0 .



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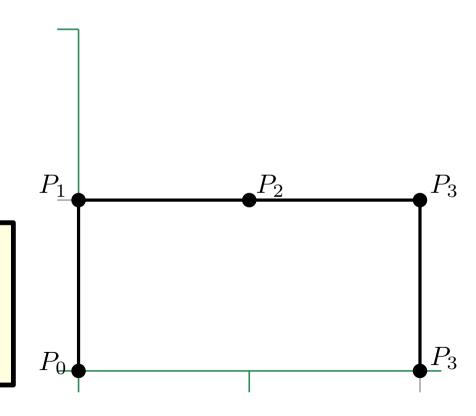
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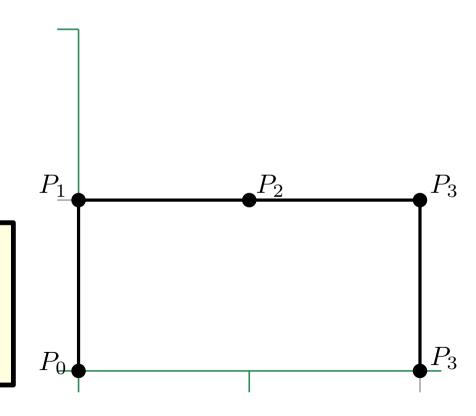
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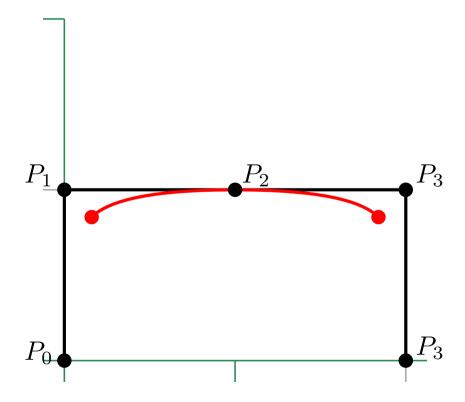
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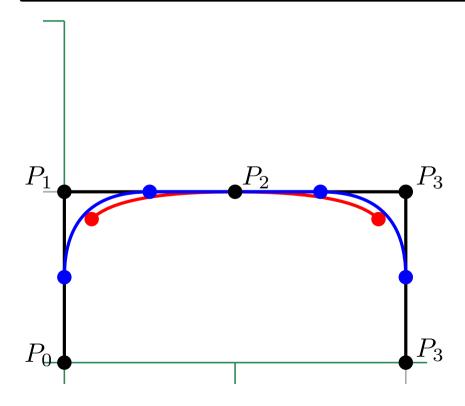
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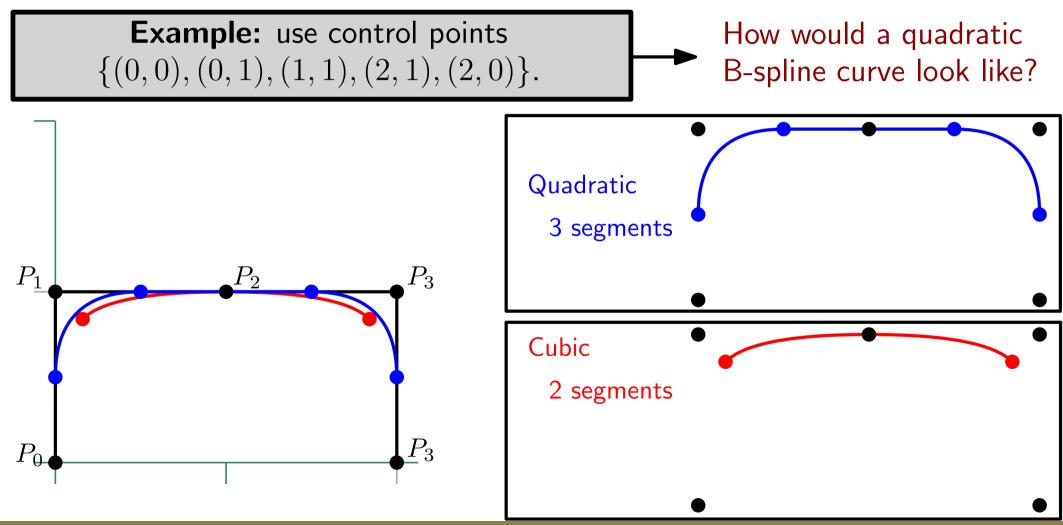
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INCREASING THE ORDER

Higher order, better fit

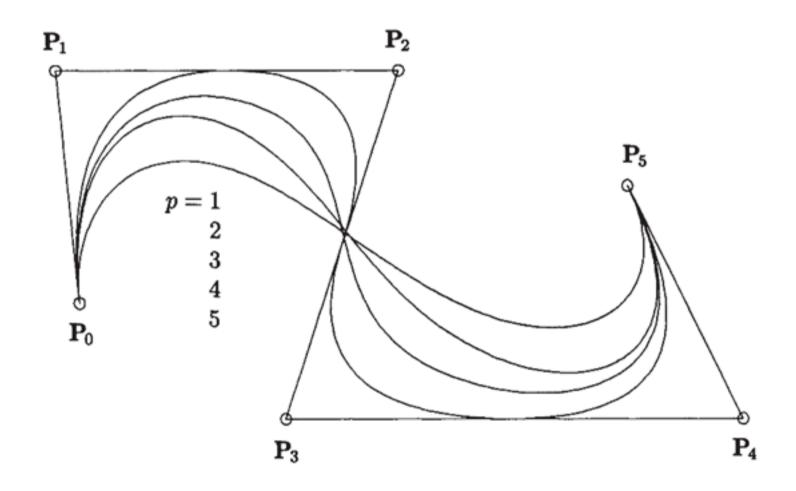


Figure 3.9. B-spline curves of different degree, using the same control polygon.

Where p is the degree of curve (i.e., p = k - 1)

Figure from [Piegl and Tiller]

From a cubic B-Spline to a cubic Bézier curve

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Matrix formulation of cubic Bézier

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Matrix formulation of cubic B-spline

Question: what is the solution to the problem?

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$$P(t) = C(t)$$
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Matrix formulation of cubic Bézier

Unknowns

Result:

$$Q_0 = \frac{1}{6}(P_0 + 4P_1 + P_2),$$

$$Q_1 = \frac{1}{6}(4P_1 + 2P_2),$$

$$Q_2 = \frac{1}{6}(2P_1 + 4P_2),$$

$$Q_3 = \frac{1}{6}(P_1 + 4P_2 + P_3)$$

B-splines of order higher than four

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$$P(t) = (t^n, \dots, t^2, t, 1) M_n \begin{pmatrix} P_{i-1} \\ P_i \\ P_{i+1} \\ \vdots \\ P_{i+n-1} \end{pmatrix} \qquad \text{Matrix formulation of degree-} n$$
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$$\text{Matrix for B-spline s} \qquad M_{4} = \frac{1}{24} \begin{pmatrix} -1 & -4 & 6 & -4 & 1 \\ -4 & 12 & -12 & 4 & 0 \\ 6 & -6 & -6 & 6 & 0 \\ -4 & -12 & 12 & 4 & 0 \\ 1 & 11 & 11 & 1 & 0 \end{pmatrix}$$

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$$M_{5} = \frac{1}{120} \begin{pmatrix} -1 & 5 & -10 & 10 & -5 & 1 \\ 5 & -20 & 30 & -20 & 5 & 0 \\ -10 & 20 & 0 & -20 & 10 & 0 \\ 10 & 20 & -60 & 20 & 10 & 0 \\ -5 & -50 & 0 & 50 & 5 & 0 \\ 1 & 26 & 66 & 26 & 1 & 0 \end{pmatrix}$$

How to build an interpolating cubic B-spline curve

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 = T_1 two more equations $(T_1 \text{ and } P_n'(1) = \frac{1}{2}(P_{n+1} - P_{n-1})$ = T_n T₂ given by user)

Curve and Surface Design, Facultat d'Informàtica de Barcelona, UPC

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$$P_i(1) = \frac{1}{6}(P_i + 4P_{i+1} + P_{i+2}) = K_{i+1}$$
 we require this — this gives one equation for each K_j ($n+1$ in total)

We need n+3 equations, so we need two more: we fix tangent vectors T_1 and T_n at ends

$$P_0'(0) = \frac{1}{2}(P_1 - P_{-1})$$
 = T_1 two more equations $(T_1 \text{ and } P_n'(1) = \frac{1}{2}(P_{n+1} - P_{n-1})$ = T_n T₂ given by user)

System with n+3 unknowns and n+3 equations that (one can check) is nonsingular

An different way to look at B-splines

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- ullet In this approach we assume each cubic segment is defined for one interval of one unit [u,u+1]
- \bullet Each integer value u is called a *knot* (the sequence of knot values is called *knot vector*)
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in our case, since there are two segments, the parameter for the first one lives in $\left[0,1\right]$ and for the other in $\left[1,2\right]$

The cubic B-spline basis functions

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Each function should:

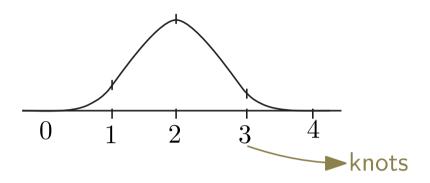
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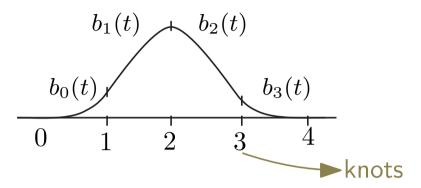
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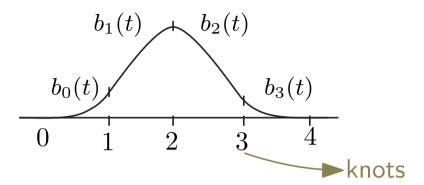
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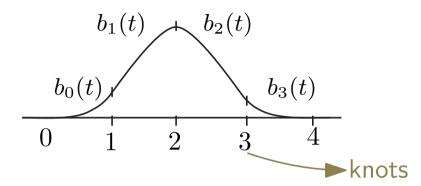
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Conditions sought for the $b_i(t)$ functions:

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- C^2 -continuous at three joints
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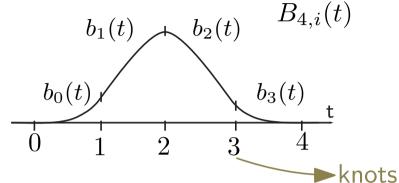
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How many equations on the coefficients of the $b_i(t)$ functions do we obtain from these conditions?





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The cubic B-spline basis functions

Solution to the equations:

$$b_0(t) = \frac{1}{6}t^3$$

$$b_1(t) = \frac{1}{6}(1 + 3t + 3t^2 - 3t^3)$$

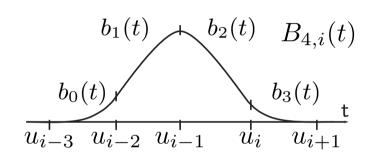
$$b_2(t) = \frac{1}{6}(4 - 6t^2 + 3t^3)$$

$$b_3(t) = \frac{1}{6}(1 - 3t + 3t^2 - t^3)$$

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Solution to the equations:

$$N_{i,4}(t) = \begin{bmatrix} b_0(t) = \frac{1}{6}t^3 & u_{i-3} \le t \le u_{i-2} \\ b_1(t) = \frac{1}{6}(1+3t+3t^2-3t^3) & u_{i-2} \le t \le u_{i-1} \\ b_2(t) = \frac{1}{6}(4-6t^2+3t^3) & u_{i-1} \le t \le u_i \\ b_3(t) = \frac{1}{6}(1-3t+3t^2-t^3) & u_i \le t \le u_{i+1} \end{bmatrix}$$

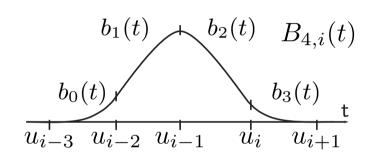


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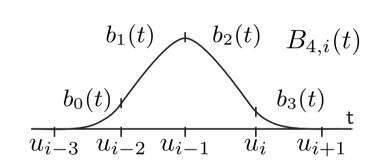


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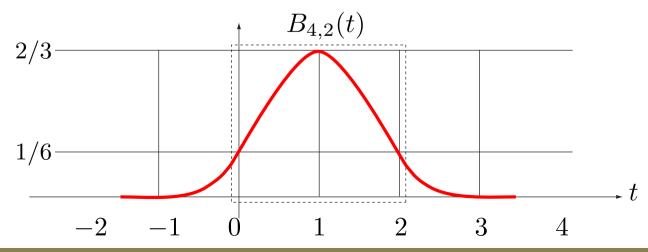
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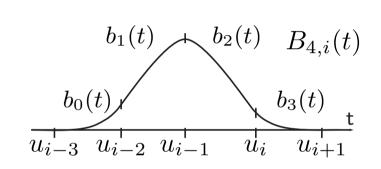
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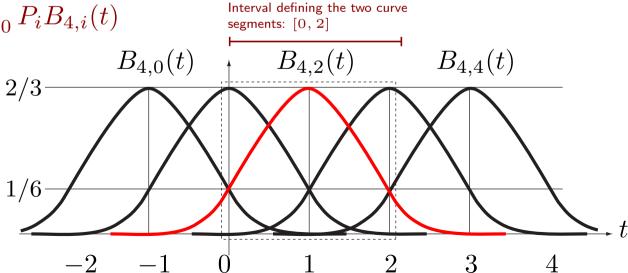
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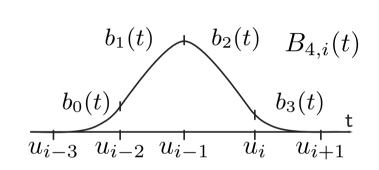
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2

3

4

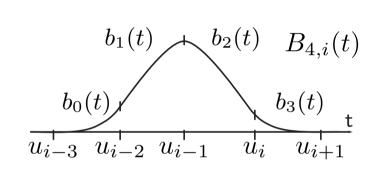
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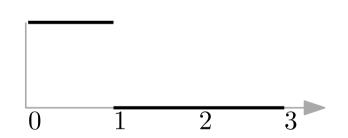
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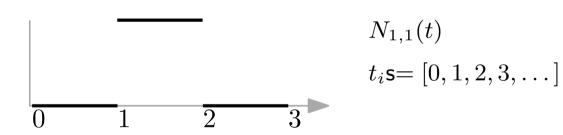
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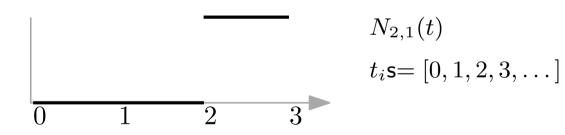
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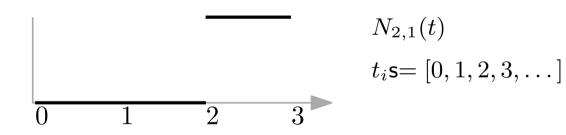
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(taking 0/0 as 0)

Examples of B-spline basis functions

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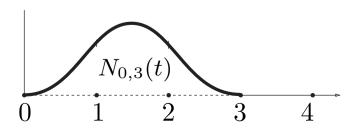
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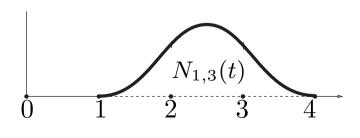
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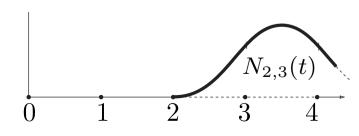
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Some important properties

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- 1) Shifted basis $N_{i,k}(t) = N_{0,k}(t-i)$
- 2) Local support $N_{i,k}(t) \neq 0$ only for $t \in [t_i, t_{i+k})$
- 3) Non-zero bases for fixed tIn any interval $[t_j, t_{j+1})$, at most k of the $N_{i,k}$ are non-zero: $N_{(j-k+1),k}, \ldots, N_{j,k}$
- 4) Non-negativity $N_{i,k}(t) \geq 0$ for all i, k, t
- 5) Affine invariance

For any
$$t \in [t_j, t_{j+1})$$
, $\sum_{i=0}^{n} N_{i,k}(t) = 1$

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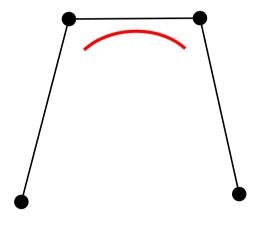
For any $t \in [t_j, t_{j+1})$, $\sum_{i=0}^n N_{i,k}(t) = 1$

6) Continuity

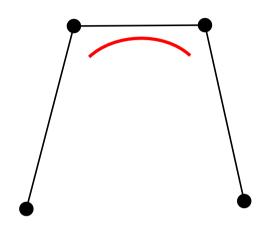
For uniform knots, the curve and its k-1 derivatives are continuous (Non-uniform B-Splines can have discontinuities at knot values!)

Open (or clampled) uniform B-Splines

Open (or clampled) uniform B-Splines

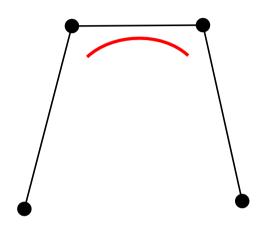


Open (or clampled) uniform B-Splines



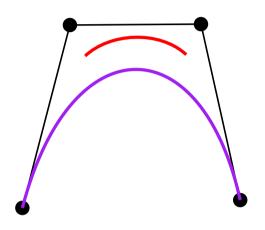
```
n=3 \mbox{ (4 control points)} k=4 \mbox{ (cubic B-spline)} uniform knot vector: (0,1/7,2/7,3/7,4/7,5/7,6/7,1)
```

Open (or clampled) uniform B-Splines



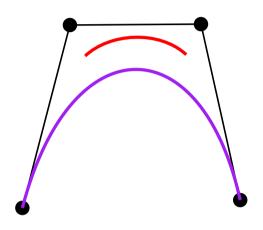
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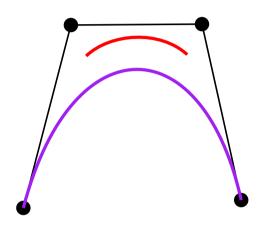
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Open (or clampled) uniform B-Splines

Uniform knot vector except at ends: at the beginning and end knot values are repeated k times

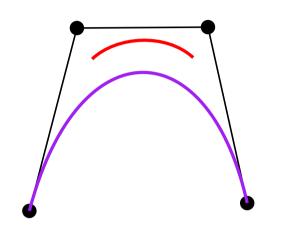


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Example: compute open basis functions for n=2 and k=3 (quadratic) B-splines

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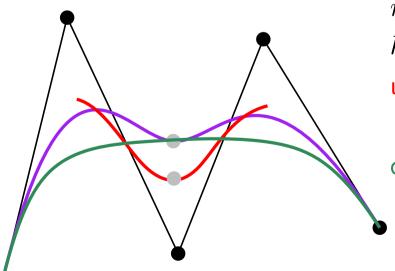
```
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$$k = 4$$
 (cubic B-spline)

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$$(0,0,0,0,1,1,1,1)$$

Cubic Bézier curve! \longrightarrow Always the case when k = n + 1



$$n=4$$
 (5 control points)

$$k = 4$$
 (cubic B-spline)

uniform knot vector:
$$(0, 1/8, 2/8, 3/8, 4/8, 5/8, 6/8, 7/8, 1)$$

"open" knot vector:
$$(0,0,0,0,0.5,1,1,1,1)$$

degree-4 Bézier—knot vector:
$$(0, 0, 0, 0, 0, 1, 1, 1, 1, 1)$$

Open uniform B-spline curves always start at P_0 and end at P_n . Tangents are also like in Bézier curves

Example for quadratic open B-Splines

Example: compute basis functions for 5 control points (n = 4) and k = 3 (i.e., quadratic open B-splines)

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Recall:

- knot vector: (0,0,0,1,2,3,3,3)
- t goes from $t_{k-1} = t_2 = 0$ to $t_{n+1} = t_5 = 3$
- need to compute 5 bases: $N_{0,3}(t)$ to $N_{4,3}(t)$

$$N_{i,1} = \begin{cases} 1, & \text{if } t \in [t_i, t_{i+1}) \\ 0, & \text{otherwise} \end{cases} \qquad N_{i,k}(t) = \frac{t - t_i}{t_{i+k-1} - t_i} N_{i,k-1}(t) + \frac{t_{i+k} - t}{t_{i+k} - t_{i+1}} N_{i+1,k-1}(t)$$

More examples

Bézier vs open B-Spline of order 3 where n=9 and k=3

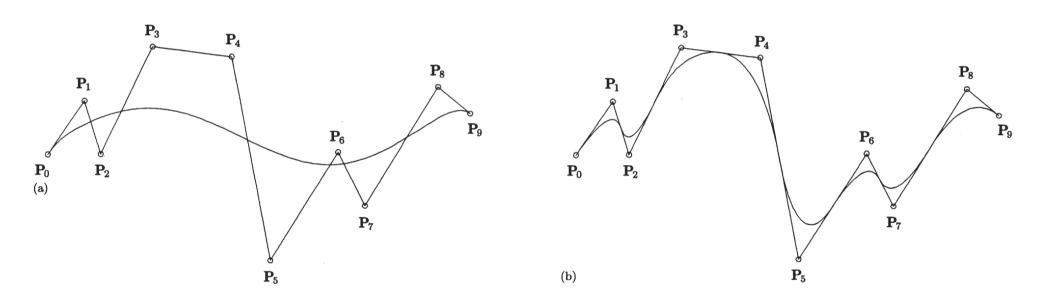


Figure from [Piegl and Tiller]

When knots are not equally spaced

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 \longrightarrow ignoring the first and last k knots, in case of open b-splines

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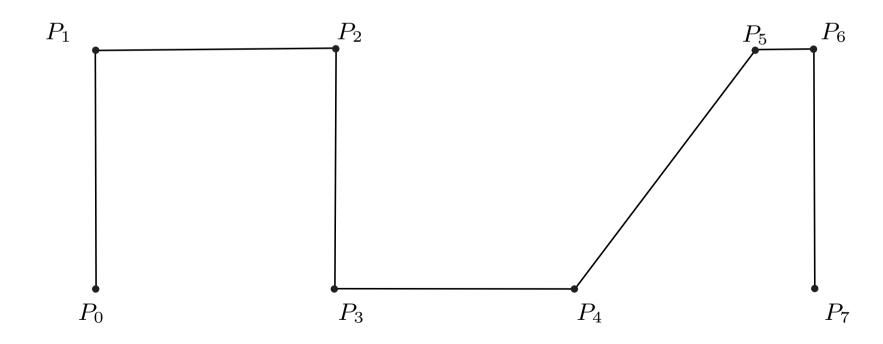
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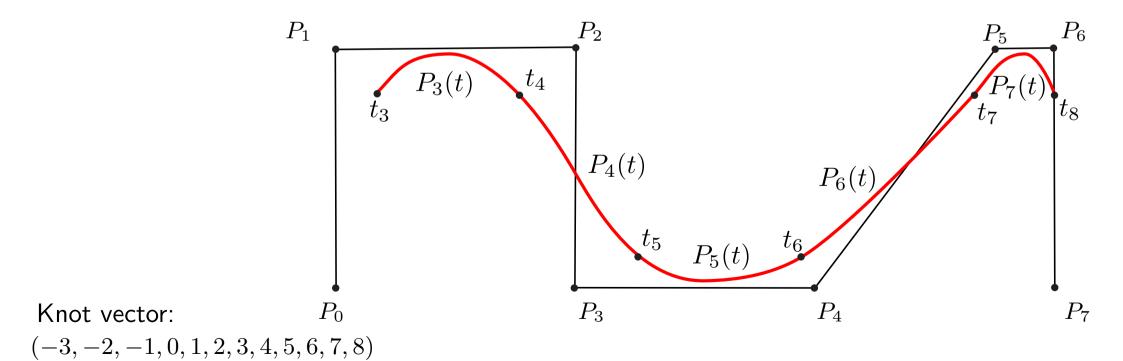
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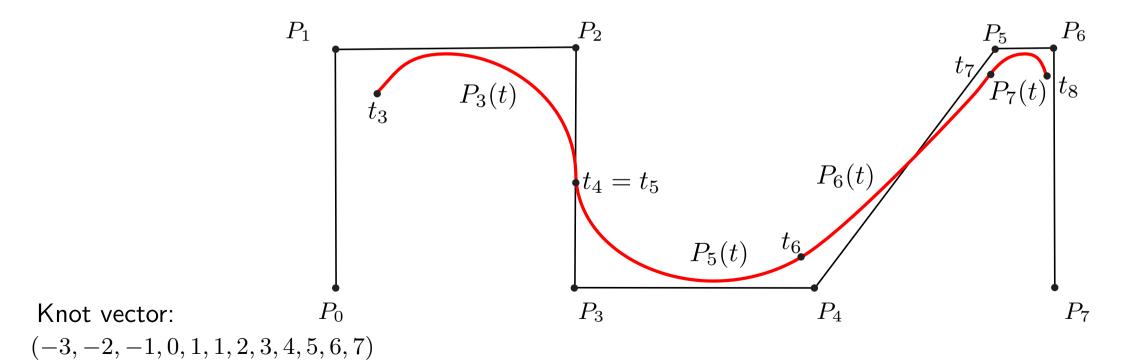
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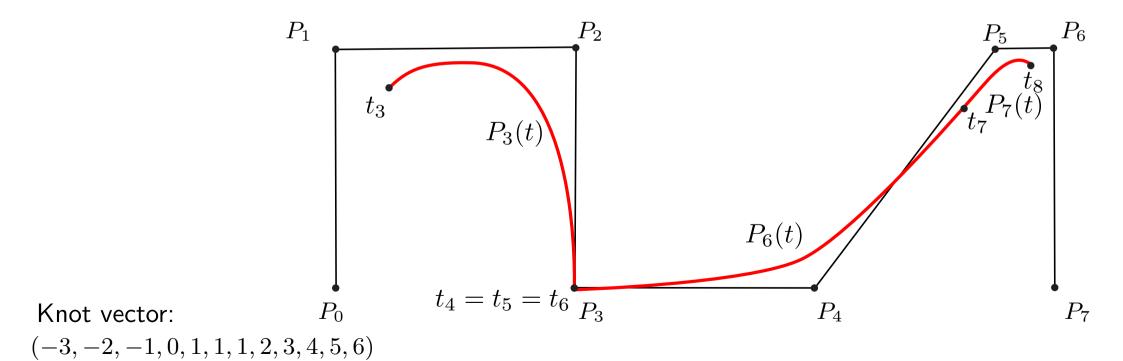
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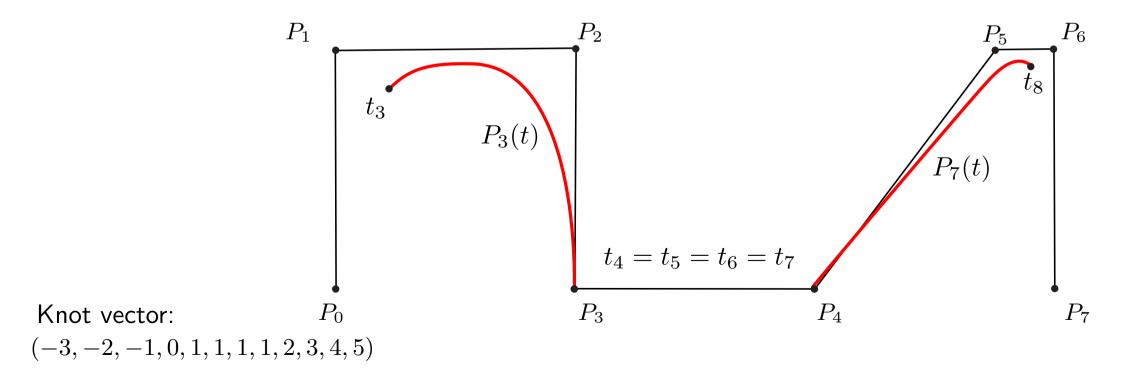
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Understanding knot vectors

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Open uniform B-splines interpolate the first and last control points due to the knot multiplicity

In general: continuity at the knots depends on multiplicity

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- If a knot appears twice, the cubic B-spline will be only C^1 -continuous there
- If a knot appears three times, the cubic B-spline will be only C^0 -continuous there

See example is http://geometrie.foretnik.net/files/NURBS-en.swf

Matrix form

Matrix-based expressions to compute non-uniform B-splines also exist

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Linear case (k=2)

$$N_{i2} = \frac{u - u_i}{u_{i+1} - u_i} N_{i1}(u) + \frac{u_{i+2} - u}{u_{i+2} - u_{i+2}} N_{i+1,1}(u)$$

$$= \begin{cases} \frac{u - u_i}{u_{i+1} - u_i} & \text{for } u \in [u_i, u_{i+1}), \\ \frac{u_{i+2} - u}{u_{i+1}} & \text{for } u \in [u_{i+1}, u_{i+2}), \\ 0 & \text{otherwise.} \end{cases}$$

For i = 0, this becomes

$$N_{02} = \begin{cases} \frac{u - u_0}{u_1 - u_0} & \text{for } u \in [u_0, u_1), \\ \frac{u_2 - u}{u_2 - u_1} & \text{for } u \in [u_1, u_2), \\ 0 & \text{otherwise.} \end{cases}$$

$$\Delta = u_2 - u_1$$

$$t = \frac{u - u_1}{\Delta} = \frac{u - u_1}{u_2 - u_1}.$$

$$\mathbf{P}(t) = (t, 1) \begin{pmatrix} -1 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} \mathbf{P}_0 \\ \mathbf{P}_1 \end{pmatrix}$$

 $N_{12}(u)$ is obtained by incrementing all the indices

Matrix form

Matrix-based expressions to compute non-uniform B-splines also exist

Quadratic case
$$(k=3)$$

$$N_{13}(u) = \begin{cases} \frac{u - u_0}{u_2 - u_0} \cdot \frac{u - u_0}{u_1 - u_0} \\ \frac{u - u_0}{u_2 - u_0} \cdot \frac{u_2 - u}{u_1 - u_0} \\ \frac{u - u_0}{u_2 - u_0} \cdot \frac{u_2 - u}{u_2 - u_1} + \frac{u_3 - u}{u_3 - u_1} \cdot \frac{u - u_1}{u_2 - u_1} \end{cases} \quad \text{for } u \in [u_1, u_2), \\ N_{13}(u) = \frac{u_3 - u}{u_3 - u_1} \cdot \frac{u_3 - u}{u_3 - u_1} \cdot \frac{u_3 - u}{u_3 - u_2}, \\ N_{13}(u) = \frac{u - u_1}{u_3 - u_1} \cdot \frac{u_3 - u}{u_3 - u_2} + \frac{u_4 - u}{u_4 - u_2} \cdot \frac{u - u_2}{u_3 - u_2}, \\ \text{for } u \in [u_2, u_3), \\ 0 \quad \text{otherwise.} \end{cases}$$

over subinterval
$$[u_2, u_3)$$

$$N_{03}(u) = \frac{u_3 - u}{u_3 - u_1} \cdot \frac{u_3 - u}{u_3 - u_2},$$

$$N_{13}(u) = \frac{u - u_1}{u_3 - u_1} \cdot \frac{u_3 - u}{u_3 - u_2} + \frac{u_4 - u}{u_4 - u_2} \cdot \frac{u - u_2}{u_3 - u_2},$$

$$N_{23}(u) = \frac{u - u_2}{u_4 - u_2} \cdot \frac{u - u_2}{u_3 - u_2}.$$

Need notation for difference between consecutive knots:

$$\Delta_1 = u_2 - u_1, \quad \Delta_2 = u_3 - u_2, \quad \Delta_3 = u_4 - u_3.$$

We also define $t = (u - u_2)/\Delta_2$, which implies

$$u - u_1 = t\Delta_2 + \Delta_1,$$

 $u - u_2 = t\Delta_2,$
 $u - u_3 = (t - 1)\Delta_2,$
 $u - u_4 = t\Delta_2 - (\Delta_2 + \Delta_3).$

$$\mathbf{P}(t) = (t^2, t, 1) \begin{pmatrix} a & -a - b & b \\ -2a & 2a & 0 \\ a & 1 - a & 0 \end{pmatrix} \begin{pmatrix} \mathbf{P}_0 \\ \mathbf{P}_1 \\ \mathbf{P}_2 \end{pmatrix}$$
$$a = \frac{\Delta_2}{\Delta_1 + \Delta_2}, \qquad b = \frac{\Delta_2}{\Delta_2 + \Delta_3},$$

 $t \in [0, 1]$

Matrix form

Matrix-based expressions to compute non-uniform B-splines also exist

Cubic case (k=4)

$$N_{04}(u) = \begin{cases} \frac{u - u_0}{u_3 - u_0} \cdot \frac{u - u_0}{u_2 - u_0} \cdot \frac{u - u_0}{u_1 - u_0} & \text{for } u \in [u_0, u_1), \\ \frac{u - u_0}{u_3 - u_0} \cdot \frac{u - u_0}{u_2 - u_0} \cdot \frac{u - u_0}{u_2 - u_1} & \text{for } u \in [u_0, u_1), \\ \frac{u - u_0}{u_3 - u_0} \cdot \frac{u_3 - u}{u_2 - u_0} \cdot \frac{u_2 - u_1}{u_2 - u_1} & \text{for } u \in [u_1, u_2], \\ \frac{u - u_0}{u_3 - u_0} \cdot \frac{u_3 - u}{u_3 - u_1} \cdot \frac{u_2 - u_1}{u_2 - u_1} & \text{for } u \in [u_1, u_2], \\ \frac{u - u_0}{u_3 - u_1} \cdot \frac{u_3 - u}{u_3 - u_1} \cdot \frac{u_2 - u_1}{u_3 - u_1} & \text{for } u \in [u_1, u_2], \\ \frac{u - u_0}{u_3 - u_1} \cdot \frac{u_3 - u}{u_3 - u_1} \cdot \frac{u_3 - u}{u_3 - u_2} & \text{for } u \in [u_1, u_2], \\ \frac{u_4 - u}{u_4 - u_1} \cdot \frac{u_4 - u}{u_3 - u_1} \cdot \frac{u_3 - u}{u_3 - u_2} & \text{for } u \in [u_2, u_3), \\ \frac{u_4 - u}{u_4 - u_1} \cdot \frac{u_4 - u}{u_4 - u_2} \cdot \frac{u_4 - u}{u_4 - u_3} & \text{for } u \in [u_3, u_4], \\ 0 & \text{otherwise.} \end{cases}$$

 $N_{14}(u), N_{24}(u)$ and $N_{34}(u)$ are obtained by incrementing all the indices

Matrix form

Matrix-based expressions to compute non-uniform B-splines also exist

Cubic case (k=4)

We obtain:

$$\mathbf{P}(t) = (t^{3}, t^{2}, t, 1) \begin{pmatrix} -a & a+b+c & -b-c-d & d \\ 3a & -3a-3b & 3b & 0 \\ -3a & 3a-3e & 3e & 0 \\ a & 1-a-f & f & 0 \end{pmatrix} \begin{pmatrix} \mathbf{P}_{0} \\ \mathbf{P}_{1} \\ \mathbf{P}_{2} \\ \mathbf{P}_{3} \end{pmatrix},$$

$$a = \frac{\Delta_3^2}{(\Delta_1 + \Delta_2 + \Delta_3)(\Delta_2 + \Delta_3)}, \qquad d = \frac{\Delta_3^2}{(\Delta_3 + \Delta_4 + \Delta_5)(\Delta_3 + \Delta_4)},$$

$$b = \frac{\Delta_3^2}{(\Delta_2 + \Delta_3 + \Delta_4)(\Delta_2 + \Delta_3)}, \qquad e = \frac{\Delta_2 \Delta_3}{(\Delta_2 + \Delta_3 + \Delta_4)(\Delta_2 + \Delta_3)},$$

$$c = \frac{\Delta_3^2}{(\Delta_2 + \Delta_3 + \Delta_4)(\Delta_3 + \Delta_4)}, \qquad f = \frac{\Delta_2^2}{(\Delta_2 + \Delta_3 + \Delta_4)(\Delta_2 + \Delta_3)}.$$

otherwise.

 $N_{14}(u), N_{24}(u)$ and $N_{34}(u)$ are obtained by incrementing all the indices

The most general parametric curve

Same idea as for rational Bézier: each control point P_i has a weight, $w_i \ge 0$. This gives even more flexibility to shape the curve

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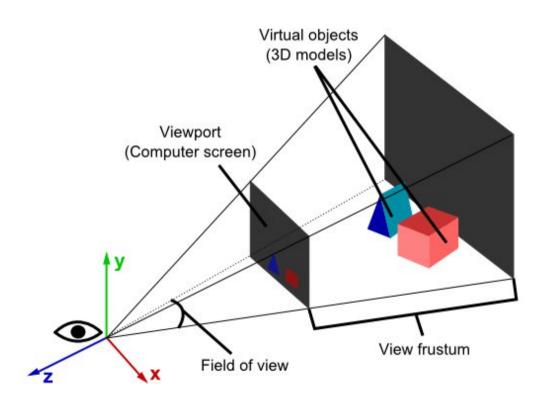


Figure from real3dtutorials.com

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- Invariant under projections
- It can represent conic curves exactly (e.g., segments of circles, ellipses, hyperbolas and parabolas)
- It is more general, so it includes as particular cases all other B-splines and Bézier curves

Recall: homogeneous coordinates

Any 2D point (x,y) is equivalent to a 3D point: (wx, wy, w)

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Suppose your control points Q_i have one extra dimension $P_i \in \mathbb{R}^2 o Q_i \in \mathbb{R}^3$

lacksquare e.g., each point $P_i=(x_i,y_i)$, becomes $Q_i=(w_ix_i,w_iy_i,w_i)$, for some $w_i\geq 0$

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$$P_{\mathsf{r}}(t) = \frac{\sum_{i=0}^{n} w_{i} P_{i} N_{i,k}(t)}{\sum_{j=0}^{n} w_{j} N_{j,k}(t)} = \sum_{i=0}^{n} P_{i} \left(\frac{w_{i} N_{i,k}(t)}{\sum_{j=0}^{n} w_{j} N_{j,k}(t)} \right) = \sum_{i=0}^{n} P_{i} R_{i,k}(t)$$

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Now, we can project any point on the 3D curve $P_{nr}(t)$ to 2D:

 \blacktriangleright we need to isolate the coefficients w_i multiplying each point and divide by them

$$P_{\mathsf{r}}(t) = \frac{\sum_{i=0}^n w_i P_i N_{i,k}(t)}{\sum_{j=0}^n w_j N_{j,k}(t)} = \sum_{i=0}^n P_i \left[\frac{w_i N_{i,k}(t)}{\sum_{j=0}^n w_j N_{j,k}(t)} \right] = \sum_{i=0}^n P_i R_{i,k}(t)$$
rational blending functions

Rational curves as curves in projective space

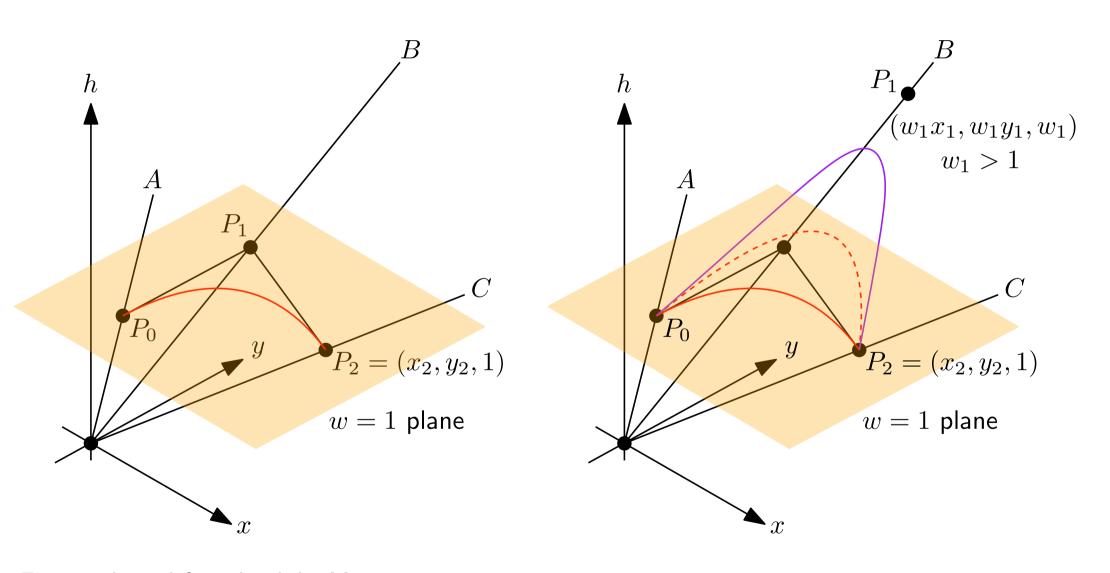


Figure adapted from book by Mortenson

Properties of rational basis functions and NURBS

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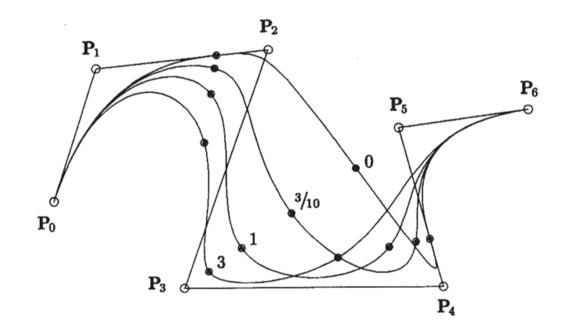


Figure 4.2. Rational cubic B-spline curves, with w_3 varying.

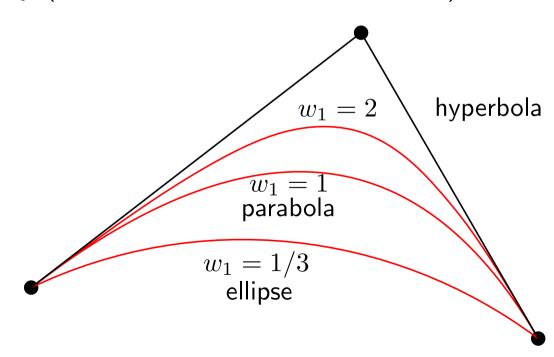
Figure from [Piegl and Tiller]

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