

CHROMATIN ARCHITECTURE ABERRATIONS IN PROSTATE CANCER AND LEUKEMIA

by

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# Chapter 1

## Introduction

Cancer is one of the largest causes of death worldwide, ranking in the top ten most frequent causes in over 150 countries and most frequent in over 40 [1]. Disease treatment is complicated by the fact that cancers are a myriad of diseases with unique origins, symptoms, and treatment options, often related to the cell of origin [2]. However, numerous hallmarks of cancers have emerged over the last 50 years to provide understanding about what biological aberrations cause tumours to initiate, how they develop over time, and how they respond to therapeutic interventions [3–6] (Figure 1.1).

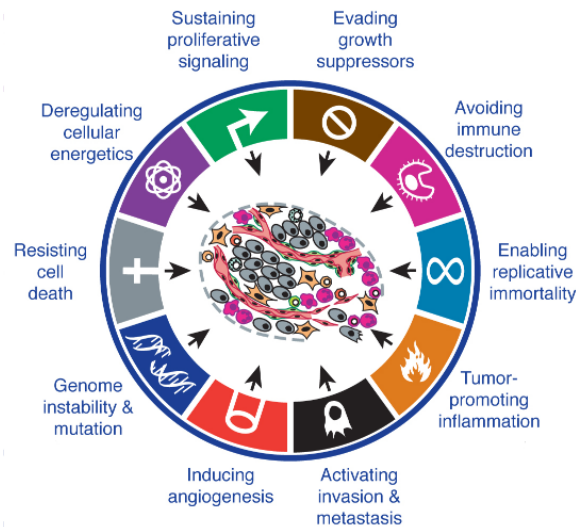


Figure 1.1: **The hallmarks of cancer.** Adapted from [REF 4].

Many of these hallmarks of cancer can be achieved through aberrations to the genome and the molecular machinery that enables cells to function normally [7]. For example, genome instability can be achieved by inhibiting deoxyribonucleic acid (DNA) repair machinery, as is observed with

abnormalities in *MLH1* and *MSH2* repair genes in colorectal cancers [8] or mutations to *BRCA1*, *BRCA2*, and *ATM* genes in prostate cancer (PCa) [9]. Similarly, replicative immortality can be achieved through telomere elongation by over-expression of the *TERT* gene [10]. Mutations to the *TERT* promoter, resulting in its over-expression, were first identified in melanomas [11, 12], but have since been further identified in bladder, thyroid, and brain cancers [10, 13, 14]. But while cancer has long been viewed as a disease of the genome [3, 7], there are many avenues cells can take to arrive these hallmarks resulting from aberrations of how genes are expressed inside the cell nucleus.

## 1.1 Normal chromatin architecture in mammalian cells

Genes, encoded as DNA, are expressed by being transcribed into ribonucleic acid (RNA) and subsequently translated into proteins in the process known as the Central Dogma of molecular biology [15] (Figure 1.2a). The transcription of genes into messenger RNA (mRNA) requires RNA polymerase to bind at transcription start sites (TSSs) within DNA elements found at the beginning of genes, termed promoters [16]. Promoters are one example of a class of DNA elements, termed *cis*-regulatory elements (CREs) because of their roles in regulating the expression of genes on the same strand of DNA. The recruitment of RNA polymerase is aided by a special class of proteins, termed transcription factors (TFs), that can bind at DNA sequences either close to a gene's promoter, or far from it at other CREs such as enhancers and insulators [17–22] (Figure 1.2b). Together, the binding of TFs to the DNA at specific CREs is fundamental for to initiating transcription and expressing genes.

### 1.1.1 DNA elements and features regulating transcription

The ability of TFs to bind at specific CREs is dependent on multiple features of the DNA. Many TFs bind to DNA at specific sequences, termed motifs [18, 23]. The structural protein CCCTC-binding factor (CTCF) has a well-defined motif and binds to this sequence at thousands of locations across the human genome [24, 25]. Mutations to the sequence motif can alter CTCF's binding affinity for DNA, as is the case with many TFs [26–28]. Relying on more than just the genetic sequence, CTCF is also an example of a TF that is sensitive epigenetic features such as DNA methylation (DNAm), the addition of a methyl group to DNA nucleotides [29–33], as are DNA methyltransferases DNMT1, DNMT3A, and DNMT3B [34, 35]. TF binding to DNA can also be affected by the presence of other proteins at binding sites. TFs can bind in a combinatorial manner at

the same location [18, 19, 23] or be blocked from binding altogether by the presence of nucleosomes, protein complexes that DNA winds around to make it compact in three-dimensional space [36, 37]. The collection of DNA, nucleosomes, DNA-bound transcription factors, and chemical modifications is defined as the chromatin, and the presence and density of nucleosomes, as well as DNA coiling, make certain segments of the chromatin more or less accessible for TF binding (euchromatin and heterochromatin, respectively). This can affect normal cellular behaviour such as cell-type-specific gene expression [38, 39] and DNA damage repair in inaccessible regions [40]. Thus, both genetic and epigenetic chromatin features affect how TFs can bind and regulate transcription.

In addition to TF binding, transcription regulation depends on the ability of CREs to localize together in three-dimensional space across large genomic distances [41–43] (Figure 1.2c). Localization of CREs tens to thousands of basepairs (bps) apart from focal interactions is aided by the formation of topologically associated domains (TADs), domains of chromatin whose boundaries are linked by structural proteins, including CTCF and cohesin [22, 44–46]. In addition to TADs which can range in size from  $10^4 - 10^6$  bp, chromatin is also organized into active or inactive compartments (A and B compartments, respectively) that range in size from  $10^5 - 10^6$  bp [22, 47–49]. These two modes of chromatin organization facilitate the proper localization of CREs and TFs at the right time. While TADs and compartments are largely conserved across cell types [25, 50, 51], focal chromatin interactions can differ up to 45 % between cell types, providing a further mechanism to change chromatin state [48]. Different chromatin states enable cells with the same DNA sequence to express genes differently [17, 19, 44, 52–54], and thus identifying the repertoire of CREs, chromatin interactions, TADs, and compartments are vital in determining the regulation of genes in various cell types.

### 1.1.2 Methods for identifying DNA elements and chromatin interactions

High throughput sequencing protocols have enabled the characterization of functional elements from across the genome and rely on a similar concept to do so. This concept is to take a molecular feature of interest, be it an RNA transcript or nucleosome position, associate it with a short fragment of DNA, sequence these DNA fragments, and map it to the reference genome to identify where the original molecules came from (Figure 1.3). RNA sequencing (RNA-seq) methods reverse transcribed RNA into DNA that map back to individual genes, with the abundance of fragments indicating how much the gene is expressed [55]. Protein binding sites and histone post-translational modifications can be identified by fragmenting DNA around antibodies that bind to these proteins with techniques like chromatin immunoprecipitation sequencing (ChIP-seq) and cleavage under targets and release

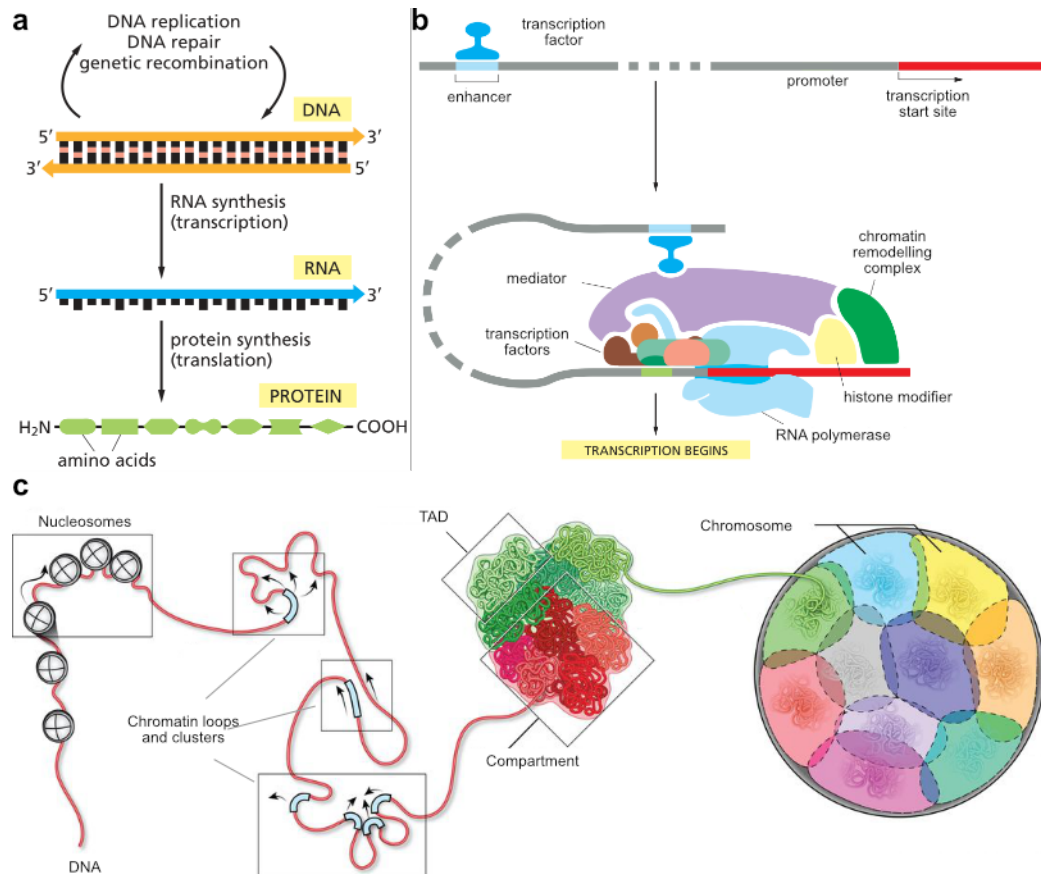


Figure 1.2: **The basics of gene expression inside the nucleus.** **a.** The central dogma of molecular biology. Adapted from [REF 15]. **b.** Schematic of the transcription machinery to initiate transcription. Adapted from [REF 15]. **c.** The scale of chromatin interactions across length scales. Adapted from [REF 46].

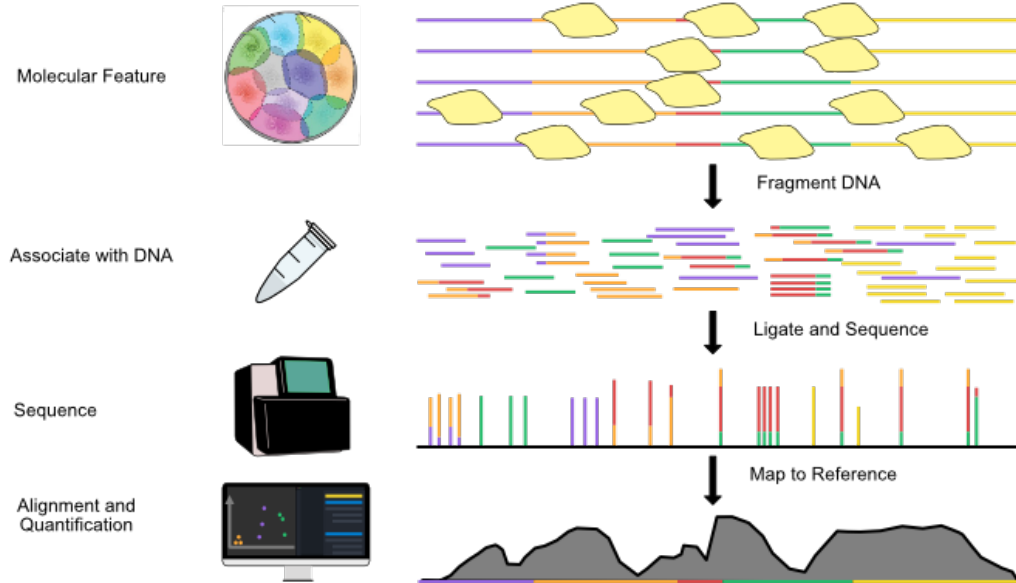


Figure 1.3: **Characterizing functional DNA elements with high throughput sequencing.**

using nuclease (CUT&RUN) [56–58]. Accessible and inaccessible chromatin can be assessed by the chromatin’s propensity to be cut by enzymes like DNase I, Tn5 transposase, and micrococcal nuclease in DNase I hypersensitive sequencing (DNase-seq), assay for transposase-accessible chromatin sequencing (ATAC-seq), and micrococcal nuclease sequencing (MNase-seq) protocols, respectively [59–63]. DNase can be measured with bisulfite-sequencing assays [64], and distal chromatin interactions can be identified with chromatin conformation capture (3C) and 3C-based methods such as Hi-C [25, 47, 48, 65, 66]. Yet while these measurements help in identifying candidate CREs and important regions of the genome, determining their function and which target genes they regulate is a further complicating problem.

Varying chromatin states across cell types means that multiple measurements across multiple cell types are necessary to understand the breadth of functions a single CRE may have. In 2007, the ENCODE Project aimed to catalogue all biochemically functional elements in the human genome to better understand all the ways genes are expressed and how they are regulated in different cell types [67, 68]. Using these genome-wide sequencing techniques across a variety of human cell lines and tissues, the ENCODE Project has since catalogued nearly  $10^6$  candidate CREs, comprising nearly 8 % of the human genome [68]. Interpreting this data requires computational methods to correlate and interpret measurements across samples. Genome segmentation methods such as ChromHMM [69] and Segway [70, 71] classify genomic regions according to their predicted function which can be validated with *in vitro* or *in vivo* experiments. Many techniques for experimental validation,

including clustered regularly interspaced short palindromic repeat (CRISPR)-Cas9, small interfering RNA (siRNA), and small hairpin RNA (shRNA), can interfere with candidate CREs by deleting them from the genome, preventing TFs from binding to the chromatin, or preventing translation of mRNA transcripts into proteins [72, 73]. These same techniques can also be used to screen for candidate CREs themselves, through massively-parallel reporter assays (MRPAs) and CRISPR screens [73], necessitating their own suite of statistical and software tools for analyzing observations. Altogether, a collection of experimental and computational techniques enable the cataloguing and interpretation of thousands of CREs and chromatin interactions across many cell types. These catalogues facilitate understanding how genes are expressed within the complex chromatin architecture in normal cells and, importantly, how aberrations to this architecture can result in disease.

## 1.2 Aberrations to chromatin architecture in cancer

### 1.2.1 Genetic aberrations in cancer

Discovery of genetic mutations of oncogenes in tumours nearly 50 years ago spurred the widespread characterization of genetic aberrations in cancers [74–77]. These mutations occur within genic regions that code for proteins, but more than 98 % of somatic mutations acquired in tumours are found in non-coding regions [78]. Single nucleotide variants (SNVs), copy number variants (CNVs), and structural variants (SVs) are found throughout the genome, and interpreting the impact of these mutations on cancer is an active area of research [68, 77, 79, 80]. One way to estimate the importance of a given mutation can be inferred from its recurrence across tumours. Identification of recurrent somatic mutations in tumours led to the identification of *TP53* as a tumour suppressor gene [81], the recurrent rearrangements of the proto-oncogene *MYC* [82], and the clinically-actionable *BCR-ABL* fusion in chronic myeloid leukemia (CML) [83, 84]. The impact of a mutation can also be predicted by identify overlapping regulatory elements or TF binding sites [27, 85, 86]. Grouping CREs by their putative target genes led to the identification of the *ESR1* gene as having its gene regulatory network recurrently mutated in ~10 % breast cancers, resulting in its over-expression, despite the gene itself being mutated in ~1 % of breast cancers [87]. Similarly, the binding sites of TFs *FOXA1*, *HOXB13*, *AR*, and *SOX9* are enriched with mutations affecting their binding affinities [88] and recurrent amplifications of enhancers near the *AR* and *FOXA1* genes are associated with increased rates of metastasis [89, 90]. While genetic aberrations are common, integrating the genetic information with other components of the chromatin architecture can help identify driver events that



promote oncogenesis or aggressive disease.

### 1.2.2 Non-genetic aberrations in cancer

DNA methylation aberrations

Histone modifications

Chromatin accessibility and nucleosome positioning

Enhancer hijacking and chromatin organization aberrations

## 1.3 Interactions between chromatin architecture components in prostate cancer and leukemia

## 1.4 Dissertation structure

I begin with ?? by exploring the *cis*-regulatory landscape of PCa and delineating the CREs of the prostate oncogene *FOXA1*. I demonstrate the essentiality of *FOXA1* for prostate tumours, identify putative CREs based on integration of multiomic datasets in PCa cell lines, and assess the functional impact of recurrent PCa SNVs on *FOXA1* expression and TF binding.

With the *cis*-regulatory network of *FOXA1* established in PCa, I attempt to construct the *cis*-regulatory landscape genome-wide in PCa with 3C mapping in ?. Using Hi-C, I characterize the three-dimensional chromatin organization of PCa and investigate changes to this structure over oncogenesis, and explore the relationship between chromatin organization, SVs, and CRE hijacking.

In assessing the impact of SVs on chromatin organization, I uncovered a statistical problem stemming from the lack of recurrent SVs across PCa patients, leading to unbalanced experimental comparisons. To address this problem, I developed a statistical method for reducing error in gene expression fold-change estimates from unbalanced experimental designs in ? and characterize the method.

Given the shared importance of mutations to TFs and epigenetic enzymes in prostate cancer and leukemias, in ? I explore the epigenetic landscape of B-cell acute lymphoblastic leukemia (B-ALL) and its relapse after treatment. I characterize molecular changes to B-ALL tumours over the course of disease relapse and identify important changes to DNAm that indicate the reversion to a stem-like phenotype, often present in a subpopulation of cells at diagnosis.

Together, this dissertation investigates the multiple layers of the chromatin architecture that contribute to oncogenesis and cancer progression. I demonstrate that aberrations to the genome, epigenome, and three-dimensional organization of chromatin play important roles individually, and together, in the orchestration of the disease.

# Glossary

**3C** chromatin conformation capture

**AML** acute myeloid leukemia

**ANOVA** Analysis of Variance

**AR** androgen receptor

**ATAC-seq** assay for transposase-accessible chromatin sequencing

**B-ALL** B-cell acute lymphoblastic leukemia

**bp** basepair

**cDNA** complementary DNA

**ChIP-seq** chromatin immunoprecipitation sequencing

**CLL** chronic lymphocytic leukemia

**CML** chronic myeloid leukemia

**CMP** common myeloid progenitor

**CNV** copy number variant

**CPC-GENE** Canadian Prostate Cancer Genome Network

**CpG** CG dinucleotide

**crRNA** CRISPR RNA

**CRE** *cis*-regulatory element

**CRISPR** clustered regularly interspaced short palindromic repeat

**CTCF** CCCTC-binding factor

**CUT&RUN** cleavage under targets and release using nuclease

**DEPMAP** Cancer Dependency Map

**DHS** DNase I hypersensitive sites

**DMR** differentially methylated region

**DNA** deoxyribonucleic acid

**DNAme** DNA methylation

**DNase-seq** DNase I hypersensitive sequencing

**dRI** disease relapse-initiating

**Dx** diagnosis

**EarlyProB** early progenitor B cell

**FDR** false discovery rate

**FN** false negative

**FP** false positive

**FOX** forkhead box

**GLM** generalized linear model

**GMP** granulocyte-macrophage progenitor

**GO** gene ontology

**gRNA** guide RNA

**HSC** hematopoietic stem cell

**HSPC** hematopoietic stem and progenitor cell

**IID** independent and identically distributed

**JS** James-Stein

**kbp** kilobase

**KO** knockout

**LDA** limiting dilution assay

**LMPP** lymphoid-primed multi-potent progenitor

**MeCapSeq** DNA methylation capture sequencing

**MEP** megakaryocyte-erythrocyte progenitor

**MNase-seq** micrococcal nuclease sequencing

**MSE** mean square error

**mCRPC** metastatic castration-resistant prostate cancer

**MDS** myelodysplastic syndrome

**MLP** monocyte-lymphoid progenitor

**MPP** multi-potent progenitor

**MRPA** massively-parallel reporter assay

**NSG** NOD scid gamma

**OLS** ordinary least squares

**mRNA** messenger RNA

**PCa** prostate cancer

**PDX** patient-derived xenograft

**PreProB** pre-progenitor B cell

**ProB** progenitor B cell

**Rel** relapse

**RNA** ribonucleic acid

**RNAi** RNA interference

**RNA-seq** RNA sequencing

**shRNA** small hairpin RNA

**siRNA** small interfering RNA

**SNV** single nucleotide variant

**SRA** Sequence Read Archive

**SNF** similarity network fusion

**SV** structural variant

**TAD** topologically associated domain

**TCGA** The Cancer Genome Atlas

**TSS** transcription start site

**TN** true negative

**TP** true positive

**TF** transcription factor

**tracrRNA** trans-activating CRISPR RNA

**UTR** untranslated region

**WES** whole exome sequencing

**WGS** whole genome sequencing

**WT** wild-type

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