

Speech intelligibility measurement

A latent variable approach

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Abstract

Contents

1. Introduction	4
2. Materials and Methods	4
2.1. Children	4
2.2. Stimuli	5
2.3. Experimental setup	5
2.4. Causal framework	5
2.5. Statistical analysis	6
3. Results	7
3.1. Model selection and results	7
3.2. Speech intelligibility scale	7
3.3. Posterior predictive	7
3.4. Outlying observations	7
4. Discussion	7
5. Author contributions	7
6. Financial support	7
7. Conflicts of interest	9
8. Research transparency and reproducibility	9
A. Supplementary	10
A.1. Children characteristics	10
A.2. Experiment details	10
A.2.1. Transcription task	10
A.2.2. Entropy calculation	11
A.3. About speech intelligibility	12
A.4. Causal framework details	12
A.5. Sampling bias	13
A.6. Model details	14
A.6.1. Definition	14
A.6.2. Priors	15
A.6.3. Estimation	16
A.6.4. Pre-processing	16
A.6.5. Simulation	16
A.6.6. Model selection	17
Bibliography	18

List of Figures

1.	DAG: causal diagram	6
2.	Posterior predictive: “true” entropy and intelligibility scales	7
3.	Posterior predictive: levels of variability	8
4.	Posterior predictive: entropy replicates	8
5.	Outlying observations	9
6.	Variability in a Beta-Proportional distribution	15
7.	Prior distribution implications	16

List of Tables

1.	Characteristics of selected children	10
2.	Alignment and entropy calculation	11

1. Introduction

Intelligible speech can be defined as the extent to which the elements in an speaker’s acoustic signal, e.g. phonemes or words, can be correctly recovered by a listener [42, 70, 67, 33]. Because intelligible spoken language requires all core components of speech perception, cognitive processing, linguistic knowledge, and articulation to be mastered [33], its attainment carries an important societal value, as it is a milestone in children’s language development, the ultimate checkpoint for the success of speech therapy, and has been qualified as the “gold standard” for assessing the benefit of cochlear implantation [14].

The literature suggest two perspectives from which *speech intelligibility* can be assessed: the message and listener’s perspective [5, 6]. The first, also known as acoustic studies, is focused on assessing separately particular characteristics of speech samples, e.g. their pitch, duration or stress (supra segmental characteristics), or the articulation of vowels and consonants (segmental characteristics) [61]. Whereas the second, also known as perceptual studies, is centered on making holistic assessments of the speech stimuli, e.g. measure their perceived quality [5, 6]. On both instances, the children’s utterances can be generated from reading at loud, contextualized utterances, or spontaneous speech tasks¹.

Moreover, perceptual studies can use multiple approaches to measure intelligibility, but they can be largely grouped into two: objective and subjective ratings [40]. In *objective rating* methods, listeners transcribe children’s utterances orthographically or phonetically, and use such information to construct a score. In that sense, in the transcription task, intelligibility can be inferred from the extent a set of transcribers can identify the words contained in an utterance [6]. In contrast, under *subjective rating* methods, listeners directly infer the intelligibility score by assessing the speech sample’s quality through specific procedures, e.g. absolute holistic, analytic, or comparative judgments, among others.

It is easy to infer that *objective rating* methods might produce more valid² and reliable³ scores than the *subjective rating* counterpart, and therefore as their name imply, are usually used as an objective measure of intelligibility [6, 26].

Considering the previous, this paper investigates the speech intelligibility levels of normal hearing (NH) versus hearing impaired children with cochlear implants (HI/CI). For this purpose, **we measured the entropy of representations coming from an spontaneous speech task, resulting from a transcription task.**

Moreover, the paper make three specific contributions to the measurement and analysis of speech intelligibility.

2. Materials and Methods

We set up an experiment where speech samples were transcribed by a group of listeners. The current section succinctly describes the participating children, the stimuli used, and the experimental setup, while also delve into the causal and statistical framework of analysis.

2.1. Children

Thirty two children were selected using a large corpus of *spontaneously spoken speech*, collected by the Computational Linguistics, Psycholinguistics and Sociolinguistics research center (CLiPS). The selection followed a two step procedure⁴. First, a sample of sixteen hearing-impaired children (ten boys, six girls) was selected based on the quality of their registered stimuli (utterances). Second, an additional matched sample of sixteen normal hearing children was also selected (six boys, ten girls), and served as a comparison group.

For the first group, all the hearing-impaired children with cochlear implants (HI/CI) were native speakers of Belgian Dutch, living in Flanders, the Dutch speaking area of Belgium. They were all raised orally using monolingual Dutch, with a limited support of signs. All of the children were screened as hearing-impaired by the Universal Neonatal Hearing Screening (UNHS), using automated auditory brainstem response hearing tests for newborns, and receive the cochlear implantation before the age of two. Their medical and audiological records did not ascertain any additional health or developmental issue.

¹ordered on increasing level of ecological validity [29, 24]

²the extent to which scores are appropriate for their intended interpretation and use [45, 65].

³the extend to which a measure would give us the same result over and over again [65], i.e. measure something, free from error, in a consistent way.

⁴similar to one outlined in Faes et al. [26]

Hence, no known additional comorbidities were though present. Finally, at the date of the measurement, they were all enrolled in the mainstream educational system.

For the second group, the sixteen normal hearing children (NH) were closely matched to the HI/CI group based on chronological age. All children were also native speakers of Belgian Dutch, and enrolled in the mainstream educational system. None reported hearing loss or additional disabilities, judged from the UNHS screening procedure and their respective parental report.

The characteristics of the selected children is detailed in Table 1, at the supplementary section A.1.

2.2. Stimuli

The stimuli consisted of the children’s utterances, i.e. sentences of similar length, recovered from previously mentioned CLiPS corpus. More specifically, we use a portion of the corpus that consisted of ten utterances recordings for each of the thirty two selected children, adding to a total of 320 stimuli.

The stimuli were documented when the child was telling a story cued by the picture book “Frog, where are you” [47] to a caregiver “who does not know the story”.

The recordings were orthographically transcribed with the CLAN editor in CHAT format [46]. The quality of the stimuli was ensured by selecting utterances with no syntactically ill-formed or incomplete sentences, any background noise, cross-talk, long hesitations, revisions or non-words [6]. The transcriptions were only used in the selection process of the stimuli for the experiment.

2.3. Experimental setup

The experiment was setup to perform a transcription task in the Qualtrics environment [73]. One hundred language students from the University of Antwerp participated. The participants were native speakers of Belgian Dutch without any particular experience with the speech of hearing-impaired children.

The participants and stimuli were divided into five groups, where each group of 20 students transcribed 64 stimuli on their series. The stimuli were presented to the listeners in a random order. As a result, the setup produced 20 transcriptions per utterance, adding to a total of 6400 transcriptions. The steps that comprised the task are detailed in section A.2.1.

The data resulting from the transcription task was then processed and converted into entropy measures (H), which served as our outcome variable.

The entropy of utterances (H) is a measure bounded in the continuum $[0,1]$, and it was used as a quantification of (dis)agreement between listeners’ transcriptions, where utterances yielding a high degree of agreement between transcribers were considered highly intelligible, and therefore registered a lower entropy ($H \rightarrow 0$). In contrast, utterances yielding a low degree of agreement were considered as exhibiting low intelligibility, and therefore registered a higher entropy ($H \rightarrow 1$) [6, 26]. The procedure followed to calculate the entropies is detailed in section A.2.2.

2.4. Causal framework

The analysis was informed by a preliminary work aimed at describing the causal and non-causal factors influencing speech intelligibility. More specifically, the current research uses a Directed Acyclic Graph (DAG) to describe all the relevant variables though to influence intelligibility. A DAG is a type of *structural causal model* that can be represented, among other ways, by a *causal diagram* [54, 18].

Figure 1 shows the *causal diagram* for our research hypothesis. In the figure, H_{bik}^O denote the *observed* entropy replicates nested within children and experimental blocks, where $k = 1, \dots, 10$ utterances, $i = 1, \dots, 32$ children, and $b = 1, \dots, 5$ blocks. Moreover, H_i^T and SI_i denotes the children’s “true” entropy and speech intelligibility scores, respectively. In addition, A_i denotes the children’s *hearing age*, E_i the etiology, HS_i the status group, and PTA_i the post-implant pure tone average.

Three main features can be emphasized from the figure. First, the children’s speech intelligibility and “true” entropy scores are drawn with open circles, indicating the scores are thought to be latent/unobservable variables [25] (see supplementary section A.3, on the appropriate interpretations of the scores). The figure also shows the scores are thought to be inferable from the (observed) entropy replicates, and that the intelligibility score is inversely/negatively related to the “true” entropy, i.e. the lower the intelligibility the higher the entropy and vice versa, as expected from our the theory.

Second, the figure reflects the expected hierarchy of variability in our data. This is particularly important as, by failing to account for the appropriate dependencies in the data, we could be “manufacturing”

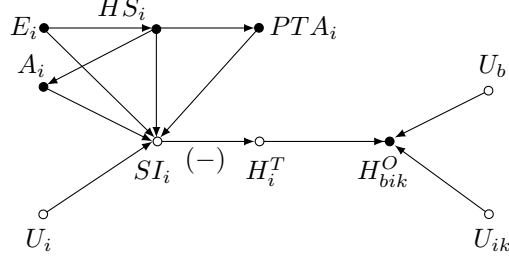


Figure 1: DAG: causal diagram describing the relationships among the analyzed variables

false confidence in the parameter’s estimates, leading us to incorrect inferences [48]. Based on the experimental setup described in section 2.3, we anticipated the ten utterances, originated from each of the thirty two children, were also observed within a group of transcribers (series) assigned to the observation. Therefore, we expect a hierarchy with children, replicates and block levels (U_i , U_{ik} and U_b , respectively).

We expect that if the experiment was set up right, the block random effects would explain a small amount of variability in the data, and its inclusion/exclusion in the model would not change the parameter estimates. Moreover, we expect a larger variability between children’s speech intelligibility, at least larger than the block random effects. Several evidence suggest this is particularly true among HI/CI children [76, 55, 49, 12, 74, 53, 33]. Finally, we did not had any comparable expectation for the variability in the replicates, as this feature has not been investigated before.

Third, the figure shows the assumed relationship among the relevant variables and how these influence the children’s intelligibility of speech. Furthermore, it also reveals that we assume the variables are independent, beyond the described relationships. Here follows a description of our causal hypothesis related to the relevant variables (see supplementary section A.4 for more details).

About **hearing age** and **hearing status**. For the former, we expect the variable to be responsible for the increase in the speech intelligibility of children. Several studies provide evidence that for NH children, intelligibility increases with chronological age [16, 17, 29, 30, 3, 10, 40], and similar evidence can be found for the HI/CI children. Moreover, recent literature seem to suggest the effects are independent of the children’s hearing status [6].

For the latter, we do not have a clear expectation about the intelligibility levels among the groups. Previous literature suggest that some HI/CI children can catch up with their NH counterparts [71, 37, 9, 34, 11, 20, 72]. However, additional studies also seem to indicate the HI/CI children never reach similar levels than their NH counterparts [51, 12, 15, 35, 33, 23, 36].

Additionally, we expect **pure tone average** to have a small or null effect on speech intelligibility, as the evidence seem to suggest [6]. PTA is the child’s subjective hearing sensitivity, aided or unaided, by their hearing apparatus.

Finally, we expect the **Etiology** of the disease, that led to the hearing impairment, to have a differential effect on speech intelligibility. However, since the severity of the etiology cannot be easily ascertain, we cannot foresee the direction of such effects, e.g. genetic factors not necessarily lead to worse levels of speech intelligibility than factors related to infections.

As expected, it is possible that other unobserved confounds are not accounted by our assumptions, and therefore, our causal diagram. This is true for any type of social, behavioral and educational research. However, we argue that the additional transparency of our approach, and its ability to derive statistical procedures from causal assumptions, is its main strength [48, 75, 60].

2.5. Statistical analysis

Using the DAG described in previous section, we produced a full structural causal model though the use of probabilistic programming [41], that we later used to validate our models of analysis and code.

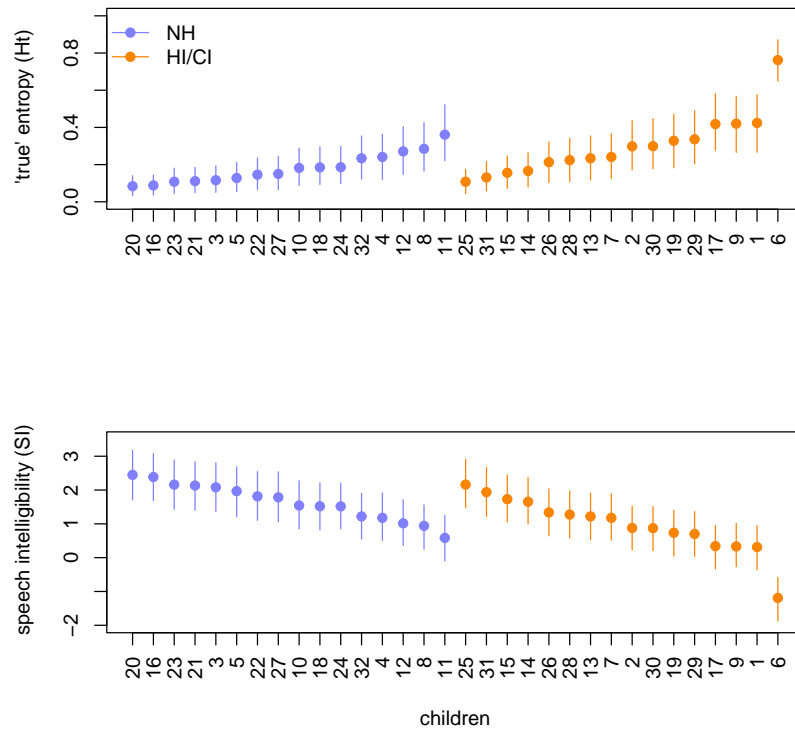


Figure 2: Posterior predictive: “true” entropy and intelligibility scales

3. Results

3.1. Model selection and results

3.2. Speech intelligibility scale

3.3. Posterior predictive

3.4. Outlying observations

4. Discussion

talk about decision statements or thinking-at-loud tasks.. the listener provide a decision statement on why the selected stimulus sounded more intelligible

We relied on the DAG presented in section 2.4 only to define the analyses described 2.5. A better planning could be done by designing the experimental design according to our hypothesis described by the causal framework. but

5. Author contributions

All authors contributed to the development of the causal hypothesis. Jose Rivera performed the statistical analysis, Sven de Maeyer supervised the production of the documents and statistical results, and Steven Gillis collected the data.

6. Financial support

What is the financial support of the project

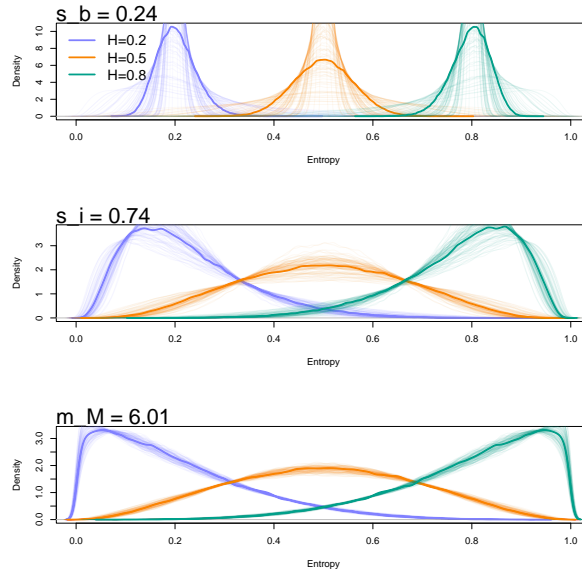


Figure 3: Posterior predictive: levels of variability

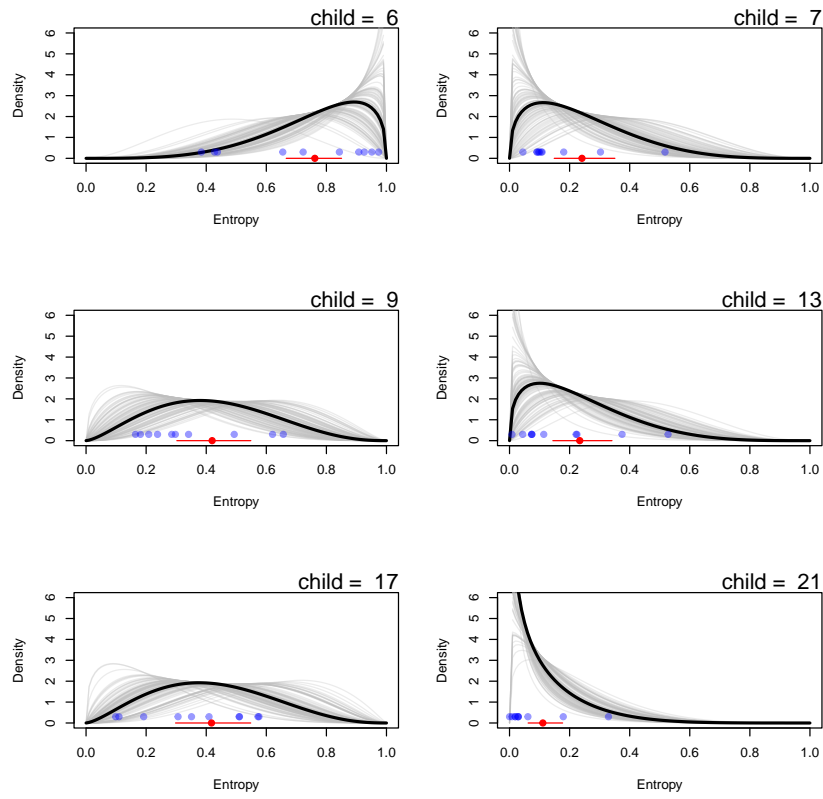


Figure 4: Posterior predictive: entropy replicates

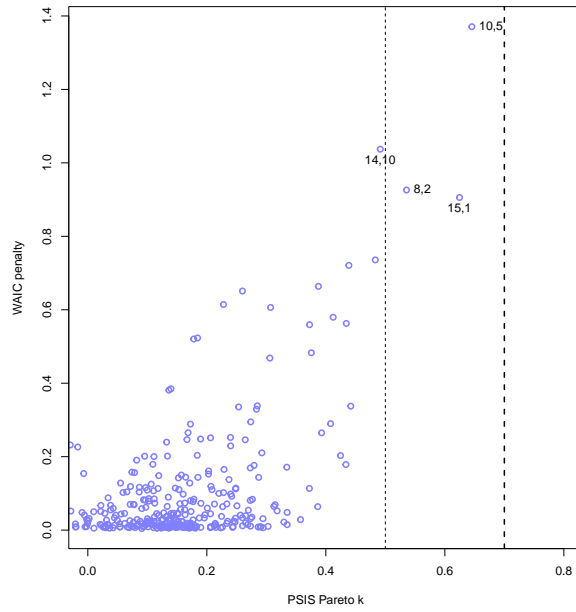


Figure 5: Outlying observations. Pairs (child, utterance) are reported for specific observations.

7. Conflicts of interest

The authors declare they have no conflict of interest.

8. Research transparency and reproducibility

The model's simulation procedures and testing that support the findings of this study are openly available at https://github.com/jriveraespejo/PhD-UA_paper1.

Due to the privacy and confidentiality of subjects, the data set in which the model was implemented cannot be put online.

A. Supplementary

A.1. Children characteristics

Table 1 shows the detailed information of the sampled children. The referred table includes the variable used for the matching procedure, i.e. chronological age, while also additional variables thought to be relevant for our hypothesis. No other variables are included as no known additional comorbidities, beside their hearing impairment, are suspected.

Child	Gender	Chronological	Device length	Hearing	Etiology	PTA (dB.)	
		age (y;m)	of use (y;m)	age (y;m)		unaided	aided
	HI/CI children						
1	female	05;07	05;00	05;00	Genetic	120	19
2	male	06;04	05;09	05;09	CMV	106	23
3	male	06;07	05;10	05;10	Genetic	114	35
4	female	06;10	06;00	06;00	Unknown	120	20
5	female	07;00	06;03	06;03	CMV	115	25
6	male	07;00	05;08	05;08	Genetic	93	32
7	female	07;00	06;08	06;08	Genetic	117	17
8	female	07;00	05;05	05;05	Unknown	112	42
9	male	07;00	05;05	05;05	CMV	120	15
10	female	07;01	05;11	05;11	Genetic	120	35
11	male	07;01	05;07	05;07	Genetic	113	42
12	male	07;02	06;05	06;05	Genetic	120	37
13	male	07;08	06;10	06;10	CMV	114	27
14	male	07;09	06;02	06;02	CMV	120	35
15	male	08;07	07;10	07;10	CMV	120	33
16	male	08;08	09;09	09;09	Genetic	95	27
	NH children						
17	female	06;05	n.a.	06;05	n.a.	n.a.	n.a.
18	female	06;06	n.a.	06;06	n.a.	n.a.	n.a.
19	female	06;07	n.a.	06;07	n.a.	n.a.	n.a.
20	female	06;09	n.a.	06;09	n.a.	n.a.	n.a.
21	female	06;09	n.a.	06;09	n.a.	n.a.	n.a.
22	male	06;09	n.a.	06;09	n.a.	n.a.	n.a.
23	male	06;09	n.a.	06;09	n.a.	n.a.	n.a.
24	male	06;10	n.a.	06;10	n.a.	n.a.	n.a.
25	female	07;01	n.a.	07;01	n.a.	n.a.	n.a.
26	male	07;01	n.a.	07;01	n.a.	n.a.	n.a.
27	male	07;04	n.a.	07;04	n.a.	n.a.	n.a.
28	female	07;08	n.a.	07;08	n.a.	n.a.	n.a.
29	male	07;08	n.a.	07;08	n.a.	n.a.	n.a.
30	female	07;09	n.a.	07;09	n.a.	n.a.	n.a.
31	female	08;00	n.a.	08;00	n.a.	n.a.	n.a.
32	female	08;01	n.a.	08;01	n.a.	n.a.	n.a.

(y;m) = (years;months)

n.a. = not applicable / not available

Table 1: Characteristics of selected children.

A.2. Experiment details

A.2.1. Transcription task

The setting for the transcription task comprised the following steps [5, 6]:

1. the listener took a seat in front of a computer screen, located at the campus' computer laboratory.

2. the listener opened Qualtrics [73] and select the transcription task.
3. the listener read two set of instructions presented on the computer screen about:
 - a) *how to perform the task*, e.g. the listeners were instructed to write one **X** to replace an unintelligible word, part of an utterance, or a complete utterance,
 - b) *the aspects not to consider for the task*.
4. the listener hear the stimuli through high quality headphones, set at a comfortable volume.
5. the listener wrote the orthographic transcriptions of the utterances, in a free text field in the environment.

A.2.2. Entropy calculation

The outcome from the transcription task was obtained following a two step procedure [6]. First, we aligned the participant’s orthographic transcriptions, at the utterance level, in a column-like grid structure similar to the one presented in Table 2. This step was repeated for every one of the 6400 transcriptions. Lastly, we computed the entropy measure of the aligned transcriptions as in Shannon [62]:

$$H = H(\mathbf{p}) = \frac{-\sum_{i=1}^n p_i \cdot \log_2(p_i)}{\log_2(N)} \quad (1)$$

where H is bounded in the continuum $[0, 1]$, n denotes the number of word occurrences within each utterance, p_i the probability of such word occurrence, and N the total number of aligned transcriptions per utterance.

Transcription number	Utterance				
	1	2	3	4	5
1	de	jongen	ziet	een	kikker
	the	boy	see	a	frog
2	de	jongen	ziet	de	[X]
	the	boy	sees	the	[X]
3	de	jongen	zag	[B]	kokkin
	the	boy	saw	[B]	cook
4	de	jongen	zag	geen	kikkers
	the	boy	saw	no	frogs
5	de	hond	zoekt	een	[X]
	the	dog	searches	a	[X]
Entropy	0	0.3109	0.6555	0.8277	1

[B] = blank space, [X] = unidentifiable word

Table 2: Alignment and entropy calculation. Extracted from Boonen et al. [6], and slightly modified with illustrative purposes.

Entropy was used as a quantification of (dis)agreement between listeners’ transcriptions, i.e. utterances yielding a high degree of agreement between transcribers were considered highly intelligible, and therefore registered a lower entropy ($H \rightarrow 0$). In contrast, utterances yielding a low degree of agreement were considered as exhibiting low intelligibility, and therefore registered a higher entropy ($H \rightarrow 1$) [6, 26].

To exemplify relevant scenarios for the procedure, we generate the entropy for utterances 2, 4 and 5 in Table 2. To make the example easy to calculate, we assume our data consisted only of five transcriptions in total ($N = 5$).

For the second utterance, we observe that four transcriptions identify it with the word *jongen*, while the last with the word *hond*. Therefore, we registered two word occurrences ($n = 2$), with probabilities

$\mathbf{p} = (p_1, p_2) = (4/5, 1/5)$, and entropy measure equal to:

$$\begin{aligned} H &= \frac{-\sum_{i=1}^2 p_i \cdot \log_2(p_i)}{\log_2(5)} \\ &= \frac{-[0.8 \log_2(0.8) + 0.2 \log_2(0.2)]}{\log_2(5)} \\ &\approx 0.3109 \end{aligned}$$

For the fourth utterance, we observe that two transcriptions identify it with the word *een*, one with *de*, one with *geen*, and one with a blank space [B]. Notice the blank space was not expected in such position, therefore, it was considered as a different word occurrence. As a result, the scenario had four word occurrences ($n = 4$), with probabilities $\mathbf{p} = (p_1, p_2, p_3, p_4) = (2/5, 1/5, 1/5, 1/5)$, and entropy measure equal to:

$$\begin{aligned} H &= \frac{-\sum_{i=1}^4 p_i \cdot \log_2(p_i)}{\log_2(5)} \\ &= \frac{-[0.4 \log_2(0.4) + 3 \cdot 0.2 \log_2(0.2)]}{\log_2(5)} \\ &\approx 0.8277 \end{aligned}$$

Finally, for the fifth utterance, we observe that all of the transcriptions identify it with different words. Notice we consider the unidentifiable word [X] in the second transcription, as being different from the one in the last. This is done to avoid the artificial reduction of the entropy measure, as [X] values already indicate the lack of intelligibility of the word. Therefore, we registered five word occurrences ($n = 5$), with probabilities $\mathbf{p} = (p_1, \dots, p_5) = (1/5, \dots, 1/5)$, and entropy measure equal to:

$$\begin{aligned} H &= \frac{-\sum_{i=1}^5 p_i \cdot \log_2(p_i)}{\log_2(5)} \\ &= \frac{-5 \cdot 0.2 \log_2(0.2)}{\log_2(5)} \\ &= 1 \end{aligned}$$

A.3. About speech intelligibility

Intelligible speech can be defined as the extent to which the elements in an speaker’s acoustic signal, e.g. phonemes or words, can be correctly recovered by a listener [42, 70, 67, 33]. More specifically, in the context of the transcription task, speech intelligibility can be inferred from the extent a set of transcribers can identify the words contained in an utterance [6].

Therefore in this paper, through the implementation of our proposed model, *speech intelligibility* is interpreted as a latent trait (hypothetical construct) of individuals, which underlies the probability of observing a set of entropy replicates, that in turns, describes the ability of transcribers to identify the words in an utterance. Henceforth, statements such ‘*speech intelligibility is influenced by*’ can be read as ‘*the probability of observing a set of entropy replicates for each individual in the sample is influenced by*’. Similar interpretation can be extended to the (latent) “true” entropy measures.

Despite this practical approach, we emphasize we did our best to ensure the construct validity of our study, by ensuring the transcription task was well understood and appropriately performed by the transcribers.

We then expect speech intelligibility, as measured by our model, to reflect the (general) intelligibility of speech possessed by individuals, but do not deal with general epistemological considerations on the connection between the two.

A.4. Causal framework details

In this section we make explicit some of the assumptions that guided our causal framework, and later, our statistical analysis.

For *hearing age*, and its relevance in our research hypothesis, we describe how the variable is constructed and its inherent assumptions.

Hearing age is a composite variable constructed by combining the *chronological age* for the NH group, and the *device length of use* for the HI/CI group [26] (see Table 1). The variable tries to approximate the amount of time a child has been actively hearing and developing his(her) language. However, no short of evidence has been presented in favor of using others surrogate measures, like *chronological age* [31, 37, 36] or *age at implantation* [52, 8, 11, 20]. We argue that the feasibility of using any proxy measure, largely depends on the assumed reliability of the surrogate to approximate the variable of interest. In that sense, although we recognize *hearing age* is not a “perfect” proxy [26], we argue is the most appropriate to test our hypothesis, based on the relevant literature review and its assumed reliability to capture children’s language development (although the latter has not been tested). Moreover, the variable serve two additional purposes: (i) control for sampling bias (see section A.5), and (ii) de-confound the parameter estimates of *hearing status* [18].

Finally, it is important to highlight that using more than one of the aforementioned proxies in tandem, for modeling purposes, might not be a good idea. It is apparent from the previous description, the three surrogate measures share high similarities. This in turn might cause problems in the modeling procedure, as including variables that provide “similar information” might lead to a problem known as multicollinearity, in which our estimates would be biased and less precise [27].

Regarding *hearing status*, it is clear that its inclusion directly corresponds with the main purpose of the current research endeavor. i.e. compare the levels of speech intelligibility among NH and HI/CI children.

In the case of *pure tone average*, the variable was included for two reasons. First, given that previous modeling efforts did not capture the full hierarchy of variability, it is possible that the effects of PTA on speech intelligibility has been largely overlooked. As one can infer, it might be sensible to think that HI/CI children with severe hearing loss, as accounted by the variable, might develop their language at a slower rate. This is especially true, if we consider the signal provided by the cochlear implant is still degraded compared to the signal in normal hearing scenarios [21]. Finally, as with *hearing age*, the variable might be useful to de-confound the parameter estimates of *hearing status* [18].

For *etiology*, it is possible that its effects on speech intelligibility has also been largely overlooked, due to the lack of control on the full hierarchy of variability. A similar case to *pure tone average*. Moreover, as its predecessors, the variable might also be useful to de-confound the parameter estimates of *hearing status* [18], assuming our DAG is appropriate.

Finally, it is important to highlight the absence of other variables in our causal hypothesis, and why there are not present.

For the *type of cochlear implantation*, i.e. bilateral or contralateral, the variable was not included because we did not expect it to be related to other variables in the DAG, i.e. the decision on receiving one or the other is solely based on the intelligibility outcome, no matter how it is measured. This in turn means that no confounding of our estimates was expected. Additionally, given that most of the children underwent though sequential bilateral implantation (eleven), we anticipated the effect of variable already permeates the sample, therefore, if we wanted to investigate its effect, a larger sample size would be required.

For the case of *additional disabilities*, e.g. mental retardation or speech motor problems, there was no need to consider it, as no additional comorbidities were reported.

In the case of *environmental factors*, such as communication modality, the current sample of HI/CI children were raised orally using monolingual Dutch, with a limited support of signs, a scenario similar to the NH group (see section 2.1).

Last but not least, *gender* was not included in our hypothesis as no theoretical nor empirical evidence have been found on its effects [6].

A.5. Sampling bias

As it happens in most observational, and some experimental studies, ours can also be a potential victim of sampling bias. While stratifying on the selection variables can help to balance the samples, and even “correct” the estimates [18, 19], as we do here by controlling for *hearing age*; given the sample’s selection and matching procedures, we cannot ensure the HI/CI nor the NH groups are representative of their respective populations.

Nevertheless, we argue that by controlling for other relevant confounders, the qualitative results presented in this study holds. However, we cannot discard the presence of unobservable variables that could bias our results, and in that sense, inferences beyond this particular set of children must be taken with care.

A.6. Model details

A.6.1. Definition

Previous research already used hierarchical models with the replicated entropy measures as outcomes [6, 26]. Hierarchical models are powerful to control for heterogeneity in the data, and also to avoid pre-aggregating procedures that could be pernicious for a proper statistical inference [48].

These claims are easier to understand using a though experiment within our research. Consider we have two children with the same mean entropy, but the second child shows more variability across the 10 utterances than the first. It is clear that the average entropy measure informs about the child’s average SI, indicating that both children have similar level. However, the entropy’s heterogeneity across the 10 utterances also informs about the child’s SI, as a higher variability imply transcribers agreed less about the second child’s intelligibility.

The intuition derived from the previous though experiment is similar to the one presented in Boonen et al. [6], and it is what justify our use of a hierarchical model. More specifically, we will use a Hierarchical (Mixed) Beta Regression model [28], for which we argue, its implementation is rather trivial under the bayesian framework, and we present it in the following lines.

First, figure ?? depicts the DAG representation of the model. For the measurement error part, section ?? reveals the (observed) entropy replicates H_{ik}^O can represent multiple realizations of a child’s *true* entropy H_i^T , measured with error e_i . As a result, we can say the k ’th entropy measure is nested within the i ’th child, where $k = 1, \dots, K$, $i = 1, \dots, I$, $K = 10$ utterances, and $I = 32$ children.

Second, for the hypothesis part, we can say the child’s *true* entropy H_i^T is inversely explained by the child’s speech intelligibility index SI_i , and in turn, the latter by a set of covariates. Notice from Figure ??, we propose two sets of models. The model in panel (a) use hearing status (HS_i) and hearing age (A_i) as covariates. The use of hearing status is justified as we are interested in comparing SI among groups, defined by the children’s hearing characteristics (NH, HI/CI, and HI/HA). On the other hand, we expect hearing age⁵ and its interaction with hearing status, to also have an effect on the SI index, as previous evidence have shown the speech of HI children gradually approximate that of NH children [7].

Notice the model depicted in panel (a) is interested on (what we can call) *total effects*, i.e. the effects of the hearing characteristics, not independent from the effects of the hearing apparatus (cochlear implant or hearing aid). This is important to understand for two reasons. Since a hearing apparatus is fitted onto a child depending on aspects such as the locus and severity of his(her) hearing impairment [43]: (1) such specific children’s characteristics could confound the (beneficial) effects of using specific hearing apparatuses, while (2) because children are selected from a convenient sample, not representative of their respective populations (see section ??), the need to control for such characteristics is paramount, if we seek to obtain effects that can generalize better and beyond our sample⁶.

Considering the previous, we propose the model depicted in panel (b), where we control for the possible confounding variables etiology (E_i), as a proxy of locus, and unaided PTA (PTA_i), as a proxy for hearing impairment severity. In that sense, the model would estimate (what we can call) the *direct effects* of the hearing apparatus, independent of the children’s characteristics.

Lastly, we proceed to use probabilistic programming to declare the algebraic structure of our models. Given the panel (a) model is nested within the panel (b) model, we declare only the model structure for the latter:

$$H_{bik}^O \sim \text{BetaProp}(P_{bi}, M_{ik}) \quad (2)$$

$$P_{bi} = \alpha_b + H_i^T \quad (3)$$

$$H_i^T = \text{logit}^{-1}(-SI_i) \quad (4)$$

$$SI_i = a_i + \alpha + \alpha_{E[i], HS[i]} + \beta_{A, HS[i]}(A_i - \bar{A}) + \beta_P PTA_i \quad (5)$$

$$(6)$$

⁵see section ?? to know how the variable is defined.

⁶follow the *notes* folder, to see a graphical though experiment.

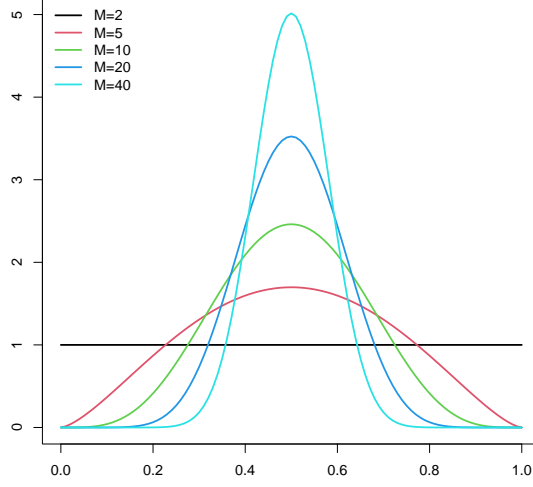


Figure 6: Variability in a Beta-Proportional distribution.

where $\text{logit}(x) = \log[x/(1-x)]$, and $\text{logit}^{-1}(x) = \exp(x)/(1 + \exp(x))$. Additionally, a $\text{BetaProp}(\mu, \theta)$ distribution is equal to a $\text{Beta}(\alpha, \beta)$ distribution, with $\alpha = \mu\theta$, $\beta = (1 - \mu)\theta$. For our purposes, $\mu = H_i^T$ and $\theta = M_i$, the latter denoting the “sample size” of the distribution. Moreover, a_i denote the children’s random effects, α the fixed effects’ intercept, $\alpha_{HS[i]}$ and $\beta_{A,HS[i]}$ the intercept and slope of “hearing age” per hearing status group, $\alpha_{E[i]}$ the intercept per etiology group, and β_P the slope for the standardized PTA levels.

Three important things need to be noticed from the previous algebraic structure. First, all the parameters are estimated in the logit scale and centered at $PTA_i = 0$ and \bar{A} , which denotes the minimum hearing age in the sample. Second, instead of a latent measurement error U_{ik} , we use the latent “sample size” parameter M_{ik} to model the heterogeneity/variability of the duplicate entropies. This effectively works as a measurement error model for the replicates, as the parameter defines the shape of the distribution. Third, if we do not consider etiology and PTA values in equation (4), we obtain the panel (a) model.

A.6.2. Priors

$$M_i \sim \text{LN}(\mu_M, \sigma_M) \quad (7)$$

$$a_i \sim \text{N}(\mu_a, \sigma_a) \quad (8)$$

$$\alpha \sim \text{N}(0, 0.3) \quad (9)$$

$$\alpha_{HS[i]} \sim \text{N}(0, 0.3) \quad (10)$$

$$\beta_{A,HS[i]} \sim \text{N}(0, 0.3) \quad (11)$$

$$\alpha_{E[i]} \sim \text{N}(0, 0.5) \quad (12)$$

$$\beta_P \sim \text{N}(0, 0.3) \quad (13)$$

$$\mu_M \sim \text{N}(0, 5) \quad (14)$$

$$\sigma_M \sim \text{Exp}(1) \quad (15)$$

$$\mu_a \sim \text{N}(0, 0.5) \quad (16)$$

$$\sigma_a \sim \text{Exp}(1) \quad (17)$$

$$(18)$$

Third, we use mildly informative priors to state our uncertainty regarding the direction and magnitude of the effects⁷.

⁷see Rivera [59] (p. 18-19) for an intuition on prior elicitation.

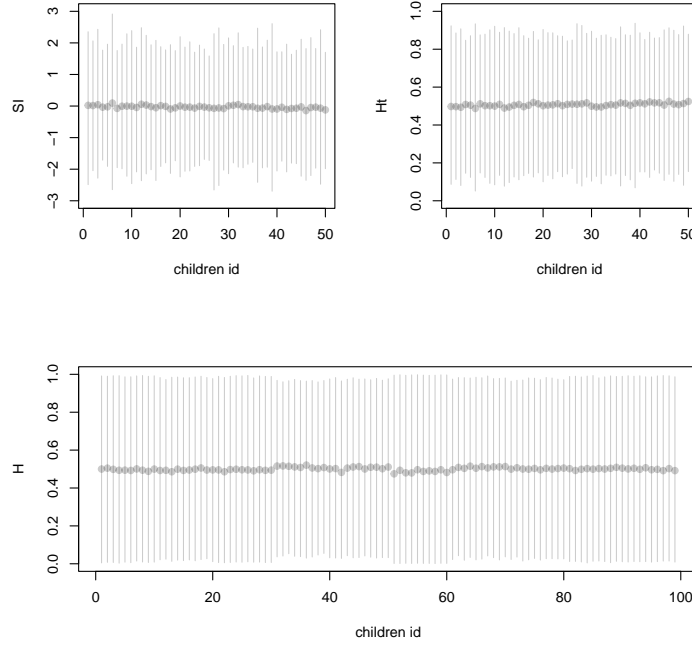


Figure 7: Prior distribution implications: speech intelligibility, “true” entropy and observed entropy scales.

A.6.3. Estimation

The models proposed in sections ?? and ?? will be estimated under the Bayesian framework⁸. More specifically, we will use the No-U-Turn Hamiltonian Monte Carlo algorithm (No-U-Turn HMC) [4, 22, 39, 50]. `Stan` [64] will be the software package that will provide us with the No-U-Turn HMC machinery, while R [58] and its integration packages [63], the software that will allow us to analyze its outputs.

A.6.4. Pre-processing

Besides the exclusion of corrupted observations, e.g. no available rating, no other experimental run nor duplicate was eliminated before the modeling process. This decision departs from what it is observed in previous research, e.g. Boonen et al. [5] decided to eliminate "outlying" observations based on misfit analysis [45], while van Daal [66] and Boonen et al. [6] did the same based on univariate outlier analysis.

For the case of misfit analysis, we argue that such procedures cannot be used without caution. The literature points out that in the context of CJ, these statistics are always relative, i.e. they depend on other stimulus and judges included in the assessment [56, 57]. Moreover, they have been proven to be less sensitive, as they are calculated with a low number of judgments per representation [56].

On the other hand, for the case of univariate outlier analysis, we argue that outlying observations are interesting cases to analyze [48], and usually they cannot be identified properly outside the context of a full model [48], i.e. what can behave as an outlier based on a univariate analysis, can behave as expected under the appropriate model.

Considering the previous, if we still manage to identify outlying observations within the context of the proposed models (see Section ??), the researcher would rather make the model robust against their influence, playing on the strengths of the bayesian framework, than to eliminate the observations.

A.6.5. Simulation

Preliminary to the data collection, we simulated data *in silico* to test the models and inform data collection procedure. The simulation code is available in the GitHub repository. [32]

⁸see Rivera [59] (p. 11-13, 15-27) for a detailed description of its benefits and shortcomings.

A.6.6. Model selection

Following the successful and comprehensive analysis in van Daal [66] and Lesterhuis [45], the current research will also use the Information-Theoretic Approach (ITA) [2, 13] for the selection of competing models. The approach considers three steps: (1) state our hypothesis into statistical models, (2) select among competing models, and (3) make inferences based on one or multiple models.

First, for the translation of our working hypotheses into statistical models, we will use Directed Acyclic Graphs (DAG) and probabilistic programming [41]. A DAG is the simplest representation of a Graphical Causal Model (GCM), a heuristic model that contains information not purely statistical, but unlike a detailed statistical model, it allow us to deduce which variable relationships can provide valid causal inferences [38, 48]. In summary, a DAG is a reasonable way to state our hypothesis, and make our assumption more transparent. However, abide by the *no-free lunch* rule, the causal inferences produced under the DAG will only be valid if the assumed DAG is correct. In contrast, the probabilistic programming will serve as the algebraic formalist to define our statistical models.

Second, to select among competing models, we will use the Widely Applicable Information Criterion (WAIC) [69], and the Pareto-smoothed importance sampling cross-validation (PSIS) [68]⁹. Two reasons justify our decision. First, both criteria allow us to embrace the full flexibility and information of our bayesian implementation (outlined in Section ??). Last, and more important, both criteria provide us with the best approximations for the out-of-sample (cross-validated) deviance [48]. The deviance is the best approximation for the Kullback-Liebler (KL) divergence [44], i.e. a measure of how far a model is from describing the *true* distribution of our data. McElreath [48] points out that is a rather benign characteristic of the model's selection procedure that we do not need the KL divergence's absolute value, as the *true* distribution of our data is not available (otherwise, we would not need a statistical model). But rather, using the difference in deviance between competing models, we can measure which model is the farthest from *perfect (predictive) accuracy* for our data¹⁰.

Finally, considering the evidence provided by the previous step, we proceed to make inferences based on one or multiple models.

⁹van Daal [66] used the Akaikes Information Criterion (AIC) [1] with similar purposes.

¹⁰see McElreath [48] (p. 202-211) for the intuition and detailed derivation of the argument.

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