

Research Proposal:

Absolute versus comparative judgment

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Abstract

High level description of the research.

1 Introduction

Intelligible speech can be defined as the extent to which the elements in an speaker’s acoustic signal, e.g. phonemes or words, can be correctly recovered by a listener [16, 37, 34, 12]. Because intelligible spoken language requires all core components of speech perception, cognitive processing, linguistic knowledge, and articulation to be mastered [12], its attainment carries an important societal value, as it is a milestone in children’s language development, the ultimate checkpoint for the success of speech therapy, and has been qualified as the ”gold standard for assessing the benefit of cochlear implantation” [6].

The literature suggest there are two perspectives from which speech intelligibility (SI) can be assessed: the speaker and listener’s perspective [2, 3]. The first, also known as acoustic studies, center its focus on assessing separately particular characteristics of speech samples, e.g. their pitch, duration or stress (supra segmental characteristics), or the articulation of vowels and consonants (segmental characteristics) [29]. Whereas the second, also known as perceptual studies, center its focus on making holistic assessments of the speech stimuli, e.g. measure their perceived quality [2, 3]. On both cases, the stimuli/representation (children’s utterances) can be generated from reading at loud, contextualized utterances, or spontaneous speech tasks¹.

Moreover, perceptual studies can use multiple approaches to measure SI. However, they can be largely grouped into two: objective and subjective ratings (OR and SR, respectively) [14]. In OR, listeners transcribe children’s utterances orthographically or phonetically, and use such information to construct an index of SI. In contrast, under SR, listeners directly produce the SI index using one (or a combination) of the following procedures: absolute holistic (HJ), analytic (AJ), or comparative judgments (CJ). The latter also known as a relative holistic method.

It is clear from the previous description, that under perceptual studies, OR methods are more valid² and reliable³ than SR methods, and therefore as their name imply, are used as an objective measure of SI [3, 10]. However, given OR’s demanding process in terms of time, number of listeners required, and finally cost, SR methods can be regarded as an efficient alternative, as long as we can ensure they provide equally valid and reliable SI measures.

Within the SR methods, the literature evidence indicate that while HJ procedures are less time consuming than any other alternative [3], they suffer from a lack of intra- and inter-rater reliability⁴ [25, 15, 14, 3]. Additionally, the literature inform us the procedure does not allow to assess subtle differences in the representations [3], while the scales derived from them are usually coarse, where children reach the higher levels fairly quickly [28], e.g. the Speech Intelligibility Rating (SIR) [7, 23].

In this context, CJ has received a growing attention, because it tackles directly the issues with the HJ procedures: it fosters reliable [36] and valid scores [5, 20], while the judges can focus only on the relevant aspects of the compared representations, i.e. the ”just noticeable difference” [20]. Moreover, depending on the task, it provides a set of additional benefits, e.g. judges feel more comfortable using comparisons, which foster more accurate judgments [13], it does not require a high level of expertise [20, 2], it encourages to tackle hard to operationlize or open-ended tasks [26, 27, 20], and the measurement of competencies [35], among others.

¹ordered based on increasing level of ecological validity [11, 9]

²validity is defined as the extent to which scores are appropriate for their intended interpretation and use [20].

³measuring something consistently [source].

⁴the lack of **intra-rater reliability** happens when the listener rates the same speech recording (representation) after a time lapse, and does not arrive at exactly the same score. On the other hand, the lack of **inter-rater reliability** happens if two listeners, who independently rate the same representation, does not arrive to the same score.

2 Research questions

Considering the previous, this proposal seeks to investigate CJ as a SR method. First, we want to investigate if CJ can be applied to the field of speech research. More specifically, we want to know if CJ can be used to assess children's SI. Second, we seek to prove *how valid, reliable and time efficient are the CJ methods to judge SI, compared to HJ*. More specifically, we seek to compare the dichotomous and ordinal versions of CJ (CJ-D and CJ-O, respectively) versus the HJ procedure.

3 Design

3.1 Judgments' procedure

3.1.1 Assumptions

On the one hand, HJ methods have their assumptions rooted in the Classical Test Theory (CTT)⁵, where an individual's observed score is composed of a "true score", and a random measurement error. Moreover, the true score is defined as the expected value of the score under an infinite number of independent test administrations.

On the other hand, CJ methods hinges on two principles: the law of comparative judgments [31], and the consensus of judges [20]. Under the former, the outcome of a comparison, i.e. a relation of preference, is determined by the perceived difference between the discriminative processes of pairs. A *discriminative process*, is the assumed physiological impact that a stimulus has on a listener. However, since this impact cannot be measured directly, we are forced to make some assumption about such process. The minimal assumption we can make is that the process' ordering on the psychological continuum, is the same as the stimulus' ordering that cause them. Moreover, as frequently observed in the field of psycho-physics, since the relationship between stimulus and its impact is not one-to-one, we are also forced to assume the impact has a dispersion/variability, called the *discriminative dispersion*⁶. Finally, the latter principle indicates the shared consensus across judges adds to the validity of the method [20]. This claim is supported by the fact that different listeners differ in the focus and broadness of their judgments [20], and that each representation is assessed by multiple judges, which implies the final score is a reflection of the judges' collective expertise [27]. This only means that by combining various judgments, we come closer to the "true" rankings of SI [19].

3.1.2 Procedure

The HJ procedure consist on two **psycho-physical** stages: (1) select and mentally represent the stimulus' information, independent of other stimuli, and (2) rate the representation, according to a task. Therefore, under this procedure, listeners rate the stimulus' SI in an absolute manner, e.g. on a 5-point scale going from "very unintelligible" to "very intelligible" [17, 7], or on a 10-point scale [10, 3].

In contrast, CJ is composed of three interrelated stages: (1) select and mentally represent the information of the pairs, (2) compare and weigh their relevant information, and (3) rate which representation is preferred, according to a task [33]. As a result, in CJ-D, the listeners rate a pair

⁵<https://www.ncme.org/resources/glossary>

⁶for a detailed explanation of the law, see Thurstone [31], Verhaverdt [35] (p. 22-29)

of stimuli in a dichotomous way, e.g. if stimulus A is more intelligible than B you observe a one (1) in the outcome variable, and zero otherwise (0) [4]. On the other hand, under CJ-O, the listeners rate both stimuli on an ordinal scale, e.g. a 3-point scale in the outcome variable maps to the following preference relationships: $A \gg B$, $A = B$, $A \ll B$, where the symbols \gg and $=$ indicate the level of preference and indifference between the pairs, respectively [32, 1].

On both cases, the experimental settings for the **judgment task** followed the next steps [2, 3]:

1. the listener took a seat in front of a computer screen, located at his(her) home, workplace, or the experimental laboratory, and open Comproved⁷
2. two set of instructions were presented on the computer screen:
 - (a) how to perform the task, and
 - (b) the aspects not to consider for the task.
3. the listener herd the stimuli through high quality headphones, set at a comfortable volume.
4. the listener rated which stimulus sounded better, by selecting the appropriate button or slider⁸ on the computer screen.

While, for the **transcription task**, the followed steps were similar as the previous. However, at the fourth step, the listeners did not rated the stimulus, but rather produced their orthographic transcriptions.

3.2 Stimuli

The stimuli consisted of children’s utterances (sentences of similar length) recovered from a larger corpus of *spontaneously spoken speech* collected by CLiPS over the last twenty years. More specifically, we use a portion of the corpus that consisted of 10 utterances recordings for 32 7-year old children, telling a story cued by the picture book ”Frog, where are you” [22] to a caregiver ”who does not know the story”. *The utterances were selected making sure they did not have syntactically ill-formed or incomplete sentences, any background noise, cross-talk, long hesitations, revisions or non-words* [3]. As a result, the data set consisted in a total of 320 utterances⁹, that were presented to the listeners in a random order based on a pairing algorithm implemented in Comproved.

Similar designs were used by Boonen et al. [2] and Faes et al. [10]. However, in the former case the number of samples were low, while in the latter, the design was unbalanced and not conducive to appropriate inferences from a pairwise comparison.

Finally, the sampled children registered the following characteristics regarding their hearing status:

⁷<https://comproved.com/en/a>, a software tool developed by the University of Antwerp, designed to perform comparative judgments.

⁸The default setting for the slider is on the far left, however, evidence form the field of Behavioral Economics show that default settings might impact the rating procedure. Therefore, it would be advisable to randomize the default setting of the slider. *source: Ariely, Thaler, Khaneman*

⁹under the Design of Experiments (DoE) literature, we would say we have 32 experimental units with 10 replicate runs each, making a total of 320 experimental runs. As it is defined in Lawson [18], an experimental unit is the item under study upon which something is changed, and a replicate run is the experiment conducted with the same factor settings, but using different experimental units.

1. Normal Hearing (NHC)
2. Hearing Impaired (HIC):
 - Cochlear Implant (CIC)
 - Hearing Aid (HAC)
 - Auditory Brain stem Implant (ABI)

3.3 Comparisons / assessments

In terms the number of comparison per representation (stimulus) required, Verhavert [35] provided compelling evidence, that under CJ, between 17 and 20 comparisons were enough to achieved a Scale Separation Reliability (SSR) of 0.80. The current research uses the higher end of such values (20). On the other hand, based on [source] only 5 assessments per representation were required under the HJ method, **to achieve what?**. Therefore, we use the same number of assessments under HJ¹⁰.

3.4 Judges and transcribers

The generation of the subjective ratings required the participation of 180 judges (listeners). The judges were students from the Toegepaste Taalkunde bachelor or from the Taal- en Letterkunde master's degree. On both cases, the judges participated in the procedure as part of their course credit. **Since we expect the CJ tasks to be 4-times more demanding in terms of time than the HJ task, we allocate 4-times more judges in the comparison tasks.** Table 1 describes the judge allocation, the total number of judgments, and the number of judgments per judge.

Method	Number Utterances	Number (per stimuli)		Total judgments	Number judges	Judgments per judge
		assessments	comparisons			
1 CJ-D	320	n.a.	20	6400	80	80
2 CJ-O	320	n.a.	20	6400	80	80
3 HJ	320	5	n.a.	1600	20	80

n.a.= not applicable

Table 1: Design to judge 320 stimuli according to the different judgment methods.

On the other hand, for the transcription task, 100 transcribers participated in the experiment. **The participants and stimuli were divided into five groups. As a consequence, each group of 20 students (100/5) transcribed 64 stimuli on their series (320/5), resulting in 20 transcriptions of each utterance ($64 \times 100/320$)¹¹.**

¹⁰Under DoE literature, this implies we will have 20 and 5 duplicates for each replicate run, under the CJ and HJ method, respectively. As defined in Lawson [18], duplicates are repeated measurements of the same experimental unit from one run. As expected, the measured dependent variable may vary among duplicates due to measurement error.

4 Statistical analysis

4.1 Data

As stated in previous section, the experimental design resulted in two data sets, one from the transcription task, and a second from the judgment task.

On the one hand, the data set from the **transcription task** was obtained following a two step procedure [3]. First, we aligned the participant’s orthographic transcriptions at the utterance level. This step was repeated for every one of the 6400 transcription (64×100 , see Section 3.4). Lastly, we computed the entropy measure of the aligned transcriptions using equation (1) [30]. The last step resulted in 320 entropy measures¹¹.

Entropy was used as an objective measure of SI, i.e. a quantification of (dis)agreement between listeners’ transcriptions. Utterances yielding a high degree of agreement between transcribers can be considered highly intelligible and therefore register a lower entropy ($H(\mathbf{p}) \rightarrow 0$), while utterances yielding a low degree of agreement can be considered as exhibiting low intelligibility and therefore register a higher entropy ($H(\mathbf{p}) \rightarrow 1$) [3, 10]. In that sense, entropy was defined as:

$$H(\mathbf{p}) = \frac{-\sum_{i=1}^n p_i \cdot \log_2(p_i)}{\log_2(N)} \quad (1)$$

where N denotes the number of transcribers, p_i the utterance’s probability of occurrence, and n the total number of events, i.e. two for the CJ-D case, and more than two for the CJ-O and HJ procedures.

On the other hand, the **judgment task** followed the procedure outlined in Section 3.1.2, where the total number of judgments per rating procedure was detailed in Table 1.

It is important to mention that besides the exclusion of corrupted observations, e.g. no judgment available, no other rating was eliminated before the modeling process. This decision departs from what it is observed in previous research, e.g. Boonen et al. [2] decided to eliminate observations based on misfit analysis [20], while van Daal [33] and Boonen et al. [3] did the same based on outlier analysis. For the case of misfit analysis, we argue that such procedures cannot be used without caution. The literature points out that in the context of CJ, these statistics are always relative, i.e. they depend on other stimulus and judges included in the assessment [26, 27], while they have been proven to be less sensitive, as they are calculated with a low number of judgments per representation [26]. On the other hand, for the case of outlier analysis, we argue that outlying observations cannot be identified properly outside the context of a full model [24], i.e. what can behave as an outlier based on a univariate analysis, can behave as expected under the appropriate model. Moreover, as stated by McElreath [24], outliers are interesting cases to analyze. Considering the previous, if we still manage to identify outlying observations within the context of the proposed models (see Section 4.2), the researcher would rather adjust the model, so it can be robust against the influence of such outliers.

4.2 Models

Considering the objectives outlined in Section 2, the statistical modeling will consider two interrelated models: (1) a measurement error model for entropy, and (2) a measurement model for CJ and HJ procedures, respectively.

¹¹under DoE literature, the design corresponds to entropy measures for 32 experimental units, with 10 replicate runs for each, and 20 duplicates, making a total of 320 experimental runs.

4.2.1 Measurement error model for entropy

As it is described in Section 4.1, our data set is composed of 320 entropy measures, nested within 32 children with 10 replicates per child. Each entropy measure was bounded in the continuum $[0, 1]$, as expected from equation (1).

Previous research have already used the entropy measure as an outcome [3, 10]. However, on those cases, the authors decided to aggregate the measure to a mean value, in order to ease its handling in modeling process. We argue this pre-aggregating procedure could be pernicious for a proper statistical inference, as "anytime we use an average value, discarding the uncertainty around that average, we risk overconfidence and spurious inference" [24].

This claim is easier to understand using a though experiment within our research. For example, imagine we have two children with the same mean entropy, but the second child shows more variability in the measure than the first. It is quite clear from the example, the average entropy measure informs about the child's average SI, indicating that both children have a similar level. However, the variability around such mean entropy also informs about the child's SI, as a higher variability imply transcribers agreed less about the second child intelligibility across the 10 utterances.

A similiar intuition was presented in Boonen et al. [3], but the paper only used the information in a descriptive analysis, rather than integrate it to the modeling process. We argue that the estimation of such measurement error model is trivial under the bayesian framework, and it has the following structure:

(in process)

4.2.2 Measurement models for CJ and HJ

(in process)

4.3 Estimation procedure

(in process)

4.4 Evaluation

4.4.1 validity

(in process)

4.4.2 reliability

(in process)

4.4.3 efficiency (time)

(in process)

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