

STFC

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COMPLIANCE WITH THE DATA PROTECTION ACT 1998

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RCUK PROPOSAL

Document Status: With Owner

STFC Reference:

STFC GCRF Foundation Awards 2017

| Organisation | where the | Grant would | d be held |
|--------------|-----------|-------------|-----------|
|--------------|-----------|-------------|-----------|

| Organisation | University of Sheffield | Research Organisation Reference: | 153948 |
|------------------------|-------------------------|----------------------------------|--------|
| Division or Department | Physics and Astronomy | | |
| | | | |

Project Title [up to 150 chars]

| Fro | m Stars | to Baht: Br | oadening the eco | onomic impact of | astronomical data l | handling techniques in Thailand | |
|-----|---------|-------------|------------------|------------------|---------------------|---------------------------------|--|

Start Date and Duration

| a. Proposed start date | 01 February 2018 | b. Duration of the grant (months) | 12 |
|------------------------|------------------|-----------------------------------|----|
| | | | |

Applicants

| Role | Name | Organisation | Division or Department | How many hours a |
|------------------------|---------------------|--------------------------|------------------------|-------------------|
| | | | | week will the |
| | | | | investigator work |
| | | | | on the project? |
| Principal Investigator | Dr James Mullaney | University of Sheffield | Physics and Astronomy | 7.5 |
| Co. Investigator | Dr Tossapon | Man Fah Luang University | LINILICTED | 15 |
| Co-Investigator | Boongoen | Mae Fah Luang University | UNLISTED | 15 |
| Co. Investigator | Dr Anant | Man Fah Luang University | Cohool of Coionas | 7.5 |
| Co-Investigator | Eungwanichayapant | Mae Fah Luang University | School of Science | 7.5 |
| Co. Investigator | Dr NATTHAKAN IAM- | Man Fah Luang University | LINILICTED | 7.5 |
| Co-Investigator | ON | Mae Fah Luang University | UNLISTED | 7.5 |
| Co-Investigator | Dr Utane Sawangwit | NARIT | UNLISTED | 7.5 |
| Co-Investigator | Dr Supachai Awiphan | NARIT | UNLISTED | 7.5 |
| Co. Investigator | Ms Pittana | Mac Fab Luana University | LINILICTED | 7.5 |
| Co-Investigator | Noichangkid | Mae Fah Luang University | UNLISTED | 7.5 |
| Co. Investigator | Mr Vittayasak | Man Fah Luang University | Cabaci of Caianas | 7.5 |
| Co-Investigator | Rujivorakul | Mae Fah Luang University | School of Science | 7.5 |

Objectives

List the main objectives of the proposed research in order of priority

The primary objective of the proposed research is to determine how the data handling and analysis skills and technologies we have developed through analysing astronomical data can be adapted to the needs of local Thai businesses and organisations. Our aim in doing so is to increase the productivity of those external partners, thereby having real impact on the local economy. We also see capacity building as a major objective of the research. This is in terms of the Thai and UK

team members gaining experience of working closely with external partners, developing skills and knowledge that will be valuable for sustaining current and establishing future external collaborations.

Summary

Describe the proposed research in simple terms in a way that could be publicised to a general audience.

The most effective way of reducing levels of poverty in developing countries is through their continued economic development, which leads to increased levels of income per person. Research has shown that one of the main ways of achieving this is through maintained increases in levels of productivity (defined as output per hour worked) within businesses and organisations. For an upper-middle income economy such as Thailand's, productivity growth will increasingly rely on innovation, which today requires access to high-tech skills. With more and more Thai industries collecting data on their customers, production lines, distribution networks, stock prices, etc., one of the most crucially needed high-tech skills is the ability to handle large amounts of digital data. Our ongoing project aims to provide such skills for businesses and organisations in the Northern region of Thailand which has traditionally suffered from weaker economical growth compared to other regions such as Bangkok. The first step in this project -- for which we received Newton funding -- was to use large astronomical datasets to expose Thai data scientists and their postgraduate students to "Big Data". Following the success of that first stage, we now request GCRF funding to facilitate working with five preselected businesses and organisations to research how we can adapt the technologies and skills we have developed to meet their data handling and analysis needs. In doing so, we will be using our team's skills and technologies to have a real economic impact on these external partners, while we build our capacity for working with businesses and organisations. This experience will be excellent preparation for our ultimate goal of establishing a fully self-sustaining "Centre of Excellence" for researching technologies to meet the data handling and analysis needs of businesses and organisations in Northern Thailand.

Academic Beneficiaries

Describe who will benefit from the research

Data scientists in Thailand will benefit from gaining exposure to a more varied range of large datasets, including those collected for commercial reasons. They will also benefit from researching new ways of databasing and analysing this data. The UK astronomer PI will benefit from learning and researching new ways to analyse large digital datasets. Such skills will become increasingly important for his core research as astronomy continues its progression to a "Big Data" science with the forthcoming Large Synoptic Sky Survey (LSST) and the Square Kilometre Array (SKA).

Impact Summary

Impact Summary (please refer to the help for guidance on what to consider when completing this section)

Please see our Pathways to Impact statement.

Summary of Resources Required for Project

Financial resources

| Financial resou | 1000 | | | |
|-----------------|---------------|---------------|--------------|--------------|
| Summary | Fund heading | Full economic | STFC | % STFC |
| fund heading | rund neading | Cost | contribution | contribution |
| Directly | C+c# | 0.00 | 0.00 | 00 |
| Incurred | Staff | 0.00 | 0.00 | 80 |
| | Travel & | 3960.00 | 3168.00 | 80 |
| | Subsistence | 3900.00 | 3100.00 | 80 |
| | Other Costs | 0.00 | 0.00 | 80 |
| | Sub-total | 3960.00 | 3168.00 | |
| | | | | |
| Directly | Investigatore | 11695 20 | 0249 16 | 00 |
| Allocated | Investigators | 11685.20 | 9348.16 | 80 |
| | Estates Costs | 2377.00 | 1901.60 | 80 |

Summary of staff effort requested

| | Months |
|---------------------|--------|
| Investigator | 22.25 |
| Researcher | 0 |
| Technician | 0 |
| Other | 0 |
| Visiting Researcher | 0 |
| Student | 0 |
| Total | 22.25 |

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| | Other Directly Allocated | 214.00 | 171.20 | 80 |
|----------------|--------------------------|----------|----------|-----|
| | Sub-total | 14276.20 | 11420.96 | |
| | | | | |
| Indirect Costs | Indirect Costs | 7789.00 | 6231.20 | 80 |
| | | | | |
| Exceptions | Staff | 23598.22 | 23598.22 | 100 |
| | Travel & | 0.00 | 0.00 | 100 |
| | Subsistence | 0.00 | 0.00 | 100 |
| | Other Costs | 28939.04 | 28939.04 | 100 |
| | Sub-total | 52537.26 | 52537.26 | |
| | | | | |
| | Total | 78562.46 | 73357.42 | |

Other Support

Details of support sought or received from any other source for this or other research in the same field.

| Awarding Organisation | Awarding Organisation's Reference | Title of project | Decision Made (Y/N) | Award Made (Y/N) | Start Date | | Amount Sought / Awarded (£) |
|--------------------------|-----------------------------------|---|---------------------------|------------------------|------------|------------|--------------------------------------|
| STFC Swindon Office | ST/P005594/1 | Newton STFC-NARIT: Using astronomy surveys to train Thai researchers in Big Data analysis | Υ | Y | 01/02/2017 | 31/01/2018 | 76589 |

Staff

Directly Incurred Posts

| | | | EFFORT (| ON | | | | | | | |
|-----------------|----------------------------|------------|----------|-----------|-------|------------|----------|---------|-------|------------|---------------|
| | | | PROJECT | Γ | | | | | | | |
| | | | Period | | | | Basic | London | Other | Super- | |
| Role | Name /Post Identifier | Start Date | on | % of Full | Scale | Increment | Starting | Allowan | | - | Total cost on |
| Kole | Name / Ost identifier | Start Date | Project | Time | ocale | Date | Salary | | | and NI (£) | grant (£) |
| | | | (months) | | | | Salary | ce (£) | s (£) | and Ni (£) | |
| Co-Investigator | Dr Tossapon Boongoen* | 01/02/2018 | 12 | 40 | 0 | 01/02/2018 | 6614.67 | 0 | 0 | 0 | 6614.67 |
| Co-Investigator | Dr Anant | 01/02/2018 | 12 | 20 | 0 | 01/02/2018 | 4000.30 | 0 | 0 | 0 | 4000.30 |
| | Eungwanichayapant* | 04/00/0040 | 40 | 00 | | 04/00/0040 | 004450 | | | • | 0044.50 |
| | Dr NATTHAKAN IAM-ON* | 01/02/2018 | 12 | 20 | 0 | 01/02/2018 | 3914.52 | 0 | 0 | 0 | 3914.52 |
| Co-Investigator | Dr Utane Sawangwit* | 01/02/2018 | 12 | 20 | 0 | 01/02/2018 | 3374.83 | 0 | 0 | 0 | 3374.83 |
| Co-Investigator | Dr Supachai Awiphan* | 01/02/2018 | 12 | 20 | 0 | 01/02/2018 | 2249.89 | 0 | 0 | 0 | 2249.89 |
| Co-Investigator | Ms Pittana Noichangkid* | 01/02/2018 | 12 | 20 | 0 | 01/02/2018 | 1641.01 | 0 | 0 | 0 | 1641.01 |
| Co-Investigator | Mr Vittayasak Rujivorakul* | 01/02/2018 | 12 | 20 | 0 | 01/02/2018 | 1803.00 | 0 | 0 | 0 | 1803.00 |
| | | | | | | <u> </u> | | | | Total | 23598.22 |

^{*} The cost is an exception and therefore not subject to FEC rules.

Applicants

| Applicants | | | | | | | |
|--------------|-------------------|---------|-------------------|---|---|---------|---------------|
| Role | Name | outlast | working week as a | Total number of hours to be charged to the grant over | Average number of hours per week charged to the grant | Rate of | Cost estimate |
| Principal | Dr James Mullaney | Y | 100 | 330 | 7.5 | 58426 | 11685 |
| Investigator | | | | | | Total | 11685 |

Travel and Subsistence

| Destination | Destination and purpose | | | | | |
|-------------|---|---------|------|--|--|--|
| Within UK | Flights, accom., subsistence for 3 trips to Thailand (J Mullaney) | | 3960 | | | |
| | | Total £ | 3960 | | | |

Other Directly Incurred Costs

| Description | Total £ |
|---|----------|
| Computing Equipment | 7499.63 |
| Travel for Thai Collab - 2 people (Flights and accom 1 week) | 3400.89 |
| Other Costs (BAHT): | |
| 3 networking events- 349,200 | |
| Initial working phase- 9,000 | |
| Implementation phase- 21,000 | 13318.87 |
| User training- 83,000 | |
| Deployment & user feedback- 3,000 | |
| Public Outreach- 102,500 | |
| Indirect and Estates for Thai organisation | 4719.65 |
| Total £ | 28939.04 |

Other Directly Allocated Costs

| Description | Total £ |
|----------------------------|---------|
| Infrastructure Technicians | 214 |
| Total £ | 214 |

Estates Costs

| Amount (mandatory) | £2,377 |
|--------------------|--------|

Indirect Costs

| Amount (mandatory) | £7.789 |
|--------------------|--------|
| ` | · |

Human Participation

| M. Little | and a the state of | V | |
|-------------|--|------|-------------|
| vvould the | project involve the use of human subjects? | Yes | No |
| | If yes, would equal numbers of males and females be used? | Yes | No✔ |
| Would the | project involve the use of human tissue? | Yes | No✔ |
| Would the | project involve the use of biological samples? | Yes | No✔ |
| | project involve the administration of drugs, chemical agents or vaccines | Yes | No v |
| to humans | 3? | | |
| Will persor | nal information be used? | Yes✔ | No |
| | If yes, will the information be anonymised and unlinked? | Yes✔ | No |
| | Or will it be anonymised and linked? | Yes | No• |
| | Will the research participants be identifiable? | Yes | No• |
| Diana | The details of an energy of a betautist as an department of | | • |

Please provide details of any areas of substantial or moderate severity:

The project will involve analysing anonymised data collected by the external partners prior to the start of the research project. The project partners have given us their commitment -- in their Letters of Support -- that any data they provide will be robustly anonymised, such that the original providers of the data cannot be identified by any member of the research team, using either the dataset itself, or any other dataset that may be accessed by members of the research team.

Prior to the start of the project, we are unable to know the numbers and sexes involved in the datasets due to the commercial interests of some of the partners.

Animal Research

| Woul | d the project involve the use of vertebrate animals or other organisms | Yes | No v |
|------|--|--------------|-------------|
| cove | red by the Animals (Scientific Procedures) Act? | | |
| | If yes, what would be the maximum severity of the procedures? | Mild or non- | |
| | il yes, what would be the maximum seventy of the procedures: | recovery | |
| | | Moderate | |
| | | Severe | |
| | Please provide details of any areas which are Moderate or Severe: | <u>'</u> | |
| | | | |

Animal Species

| Does the proposed research involve the use of non-human primates? | Yes | ✓No |
|---|-----|-----|
| Does the proposed research involve the use of dogs? | Yes | ✔No |
| Does the proposed research involve the use of cats? | Yes | ✓No |
| Does the proposed research involve the use of equidae? | Yes | ✓No |

Please select any other species of animals that are to be used in the proposed research.

Fish Sheep
Rabbit Rat
Amphibian Poultry
Cow Mouse
Reptile Guinea Pig
Pig Other Rodent
Bird Other Animal

Genetic and Biological Risk

| Would the project involve the production and/or use of genetically modified animals? | Yes | / | No |
|--|-----|----------|----|
| If yes, will the genetic modification be used as an experimental tool, e.g., to study the function of a gene in a genetically modified organism? | Yes | • | No |
| And will the research involve the release of genetically modified organisms? | Yes | / | No |
| And will the research be aimed at the ultimate development of commercial or industrial genetically modified products or processes? | Yes | • | No |
| Would the project involve the production and/or use of genetically modified plants? | Yes | / | No |
| If yes, will the genetic modification be used as an experimental tool, e.g., to study the function of a gene in a genetically modified organism? | Yes | • | No |
| And will the research involve the release of genetically modified organisms? | Yes | / | No |
| And will the research be aimed at the ultimate development of commercial or industrial genetically modified products or processes? | Yes | • | No |
| Would the project involve the production and/or use of genetically modified microbes? | Yes | ~ | No |
| If yes, will the genetic modification be used as an experimental tool, e.g., to study the function of a gene in a genetically modified organism? | Yes | • | No |
| And will the research involve the release of genetically modified organisms? | Yes | / | No |
| And will the research be aimed at the ultimate development of commercial or industrial genetically modified products or processes? | Yes | • | No |

Implications

Are there ethical implications arising from

the proposed research?

Provide details of what they are and how they would be addressed [up to 1000 characters]

Approvals

| Have the f | following necessary approvals been given by: | | | | |
|--|--|-----|-----|-----------|-----|
| | The Regional Multicentre Research Ethics Committee | Yes | Vaa | No | Not |
| | (MREC) or Local Research Ethics Committee (LREC)? | 165 | INO | required | |
| | The Human Fortilisation and Embryology Authority? | Yes | No | Not | |
| | The Human Fertilisation and Embryology Authority? | 165 | INO | required | |
| | The Home Office (in relation to personal and project | Yes | No | Not | |
| | licences, and certificates of designation)? | res | No | required✔ | |
| | The Gene Therapy Advisory Committee? | Yes | Nia | Not | |
| | | | No | required | |
| | The UK Xenotransplantation Interim Regulatory Authority? | Yes | No | Not | |
| | | | | required | |
| Administration of Radioac Committee (ARSAC)? | Administration of Radioactive Substances Advisory | Voc | No | Not | |
| | Committee (ARSAC)? | Yes | No | required | |
| | Other bodies as appropriate? Please specify. | • | • | • | |