# A COMPARISON OF AUTOREGRESSIVE & NON-AUTOREGRESSIVE APPROACHES USING TRANSFORMER MODEL FOR MACHINE TRANSLATION TASK

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W266: Natural Language Processing with Deep Learning

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#### **Abstract**

- Autoregressive (AR) models are dependent on tokens from previous time-step
- Non-Autoregressive (NAR) models tokens are independent
- AR have high accuracy
- NAR have low latency
- To narrow accuracy gap
  - Using CRF and modifying decoder architecture
- IWSLT dataset for Machine Translation Task
- BLEU: AR (16.07) & NAR (8.79)
- Inference Latency: NAR is 2x faster than AR
- Related Work: <u>Fast Structured Decoding for Sequence Models</u>

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### **Introduction: Autoregressive Model**

- $x = (x_1, x_2, ..., x_n)$   $y = (y_1, y_2, ..., y_m)$
- Output tokens are based on chain of conditional probabilities

$$p(y|x) = \prod_{i=1}^{m} p(y_i|y_{< i}, x)$$

- $y_{< i}$  represents the tokens before the ith token
- Inference
  - Starts with <bos>
  - Ends when <eos> encountered

### Introduction: Non-Autoregressive Model

- $x = (x_1, x_2, ..., x_n)$   $y = (y_1, y_2, ..., y_m)$
- Output tokens are based on chain of conditional probabilities

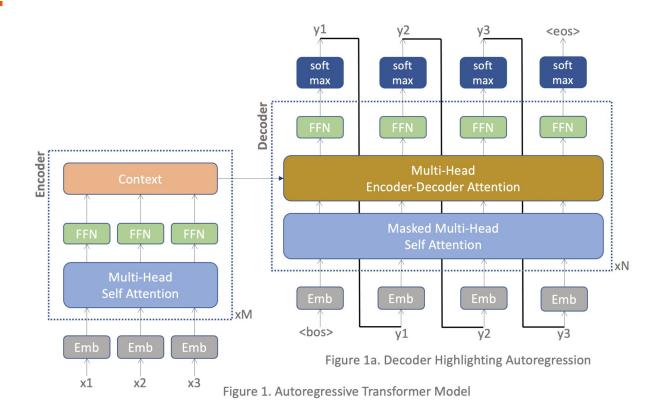
$$p(y|x) = p(m|x) p(z|x) \prod_{i=1}^{m} p(y_i|z,x)$$

- 'm' represents length of output, y
- 'z' is the deterministic input to the decoder
- Decoder uses
  - $\supset \mathbf{Z}$
  - encoder contextual embedding

### Introduction: Non-Autoregressive Model

- Multi-modality problem in output
  - Eg: Thank you (EN)
    - Danke (DE)
    - Danke Schon (DE)
    - Vielen Schon (DE)
  - Can generate Danke Dank, Danke Schon etc.
- CRF solution

$$p(y|x) = p(m|x) \ p(z|x) \cdot softmax \left( \sum_{i=2}^{m} \theta_{i-1,i}(y_{i-1}, y_i) | z, x \right)$$
  
  $\theta_{i-1,i}$  is the pairwise potential for  $(y_{i-1}, y_i)$ 



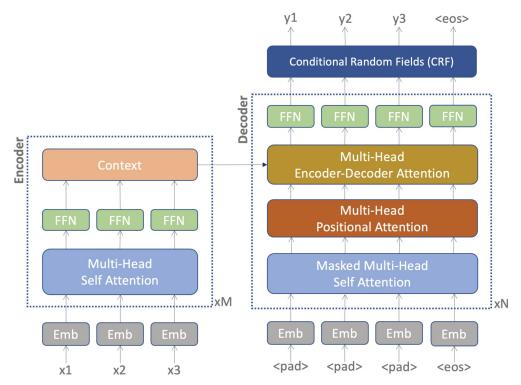


Figure 2. Non-autoregressive Transformer Model with Conditional Random Fields

$$Attention(Q, K, V) = softmax\left(\frac{Q.K^{T}}{\sqrt{d_{model}}}\right).V$$

 $d_{model}$  represents the dimensions of the hidden representations

- Self Attention
  - $\circ$  Q = K = V = x
- Encoder-Decoder Attention
  - Q = hidden representation of previous layer
  - K = V = encoder context
- Positional Attention
  - Q = K = positional embedding
  - V = hidden representation of previous layer

#### **Architecture: Conditional Random Fields**

• 
$$x = (x_1, x_2, ..., x_n)$$
  

$$p(y|x) = \frac{1}{Z(x)} \exp[\Sigma_{i=1}^n s(y_i, x, i) + \Sigma_{i=2}^n t(y_{i-1}, y_i, x, i)]$$

- Z(x) is the normalizing factor
- $s(y_i, x, i)$  is the label score of  $y_i$  at position i
- $t(y_{i-1}, y_i, x, i)$  is the transition score from  $y_{i-1}$  to  $y_i$
- Optimizing techniques in CRF:
  - Low-rank approximation for transition matrix
  - Beam approximation to estimate normalizing factor
- Negative log-likelihood loss,  $L_{CRF} = -\log P(y|x)$

#### **Training & Inference - AR Model**

- AR model built using pytorch's transformer implementation
  - TransformerEncoder, TransformerEncoderLayer
  - TransformerDecoder, TransformerDecoderLayer
  - PositionalEncoding, Transformer
- Tokenizer: spaCy
- IWSLT dataset
- Custom code
  - AR model wrapper for word and positional embeddings
  - Inference

#### **Training Environment for AR Model**

- https://gpu.land
- Tesla v100 single GPU with 16GB memory & 200GB disk space
- Hyper parameters

number of epochs: **1024**; learning rate: 3e-4; batch size: 32; embedding size: 512; number of heads in the attention layer: 8; number of encoder layers: 6; number of decoder layers: 6; activation function: reLu; dropout: 10% (0.1); optimizer: Adam; optimizer betas: (0.9, 0.98)

- BLEU score of 15.67
- ~20 hours of training

#### **Training & Inference - NAR Model**

- NAR model with baseline code from Facebook's fairseq library
- IWSLT & WMT dataset (DE to EN)
- Custom code
  - Modified decoder architecture
  - Positional Attention
- Pre-processed dataset; combined source & target dictionaries
- Leveraged checkpoints

#### **Training Environment for NAR Model**

- https://gpu.land
- Tesla v100 single GPU with 16GB memory & 200GB disk space
- Hyper parameters

number of epochs: **155**; learning rate: 0.0005; optimizer: Adam; optimizer betas: (0.9, 0.98); number of heads in the attention layer: 8; number of encoder layers: 6; number of decoder layers: 6; dropout: 0.3; CRF low rank: 32; CRF beam-approx.: 64

- BLEU score of 9.26
- ~10 hours

## **Analysis**

TABLE I MODEL PERFORMANCE

| Epochs | Transformer Models              | BLEU Score          | Inference BLEU | Latency         |
|--------|---------------------------------|---------------------|----------------|-----------------|
|        |                                 | training/validation | Score          | (tokens/second) |
| 1024   | AR Transformer (pytorch based)  | 15.67               | 16.07          | 55              |
| 155    | NAR Transformer (fairseq based) | 9.26                | 8.79           | 117             |
| 50     | AR Transformer (fairseq based)  | 35.23               | 34.71          | 210             |
| 30     | AR Transformer (fairseq based)  | 33.23               | 34./1          | 210             |

- NAR is 2x faster than AR (pytorch impl.)
- Fairseq's AR impl. is almost 80% faster than NAR

# Analysis - 2

# TABLE II TRANSLATIONS

| Transformer Models              | Text  |
|---------------------------------|---|
| AR Transformer (pytorch based)  | Source: ein mann rührt in einem topf in seiner küche.  Predicted: a man is stirring a pot in the kitchen.  Actual: a man stirs a pot in his kitchen.  |
|                                 | Source: ein mann in einem roten hemd betritt ein etablissement.  Predicted: a man in a red inside a small glass.  Actual: a man in a red shirt enters an establishment.   |
| NAR Transformer (fairseq based) | Source: und weil uns nichts wichtiger ist als unser überleben, ist die erste haltestelle für all die informationen ein teil unseres temporallappens, die amygdala Predicted: and because nothing is more important to us than survival, the first stop of all of that data is an ancient sliver of the temporal lobe called the amygdala.  Actual: and because nothing is more important to us than our survival, the first stop for all the information is a part of our temporal lobe, the amygdala |

#### Challenges

- Baseline Transformer multiple implementations
- Fairseq debugging was non-trivial
  - pyx files & cythonize
  - debugging cpp
  - environment setup
  - model architecture changes
- Model training from scratch
  - explored all cloud providers before gpu.land

#### Conclusion

- Autoregressive are still accurate
- Fairseq has highly performant code
- Multiple other NAR models are available to research
- Fun to get into the nitty-gritty of transformers
- Did not replicate the BLEU scores from the papers. But, we successfully ran both models & became transformer savvy:-)
- https://github.com/jroshanucb/deep\_learning

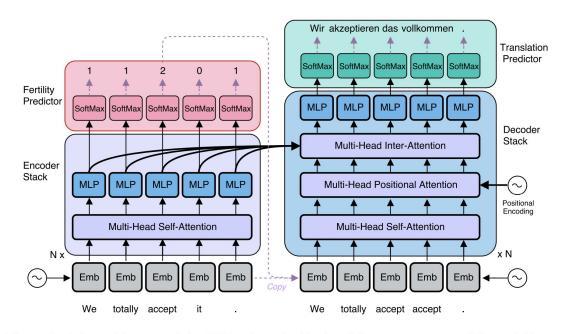


Figure 2: The architecture of the NAT, where the black solid arrows represent differentiable connections and the purple dashed arrows are non-differentiable operations. Each sublayer inside the encoder and decoder stacks also includes layer normalization and a residual connection.

Non-Autoregressive Neural

Machine Translation

Jiatao Gu, et al.

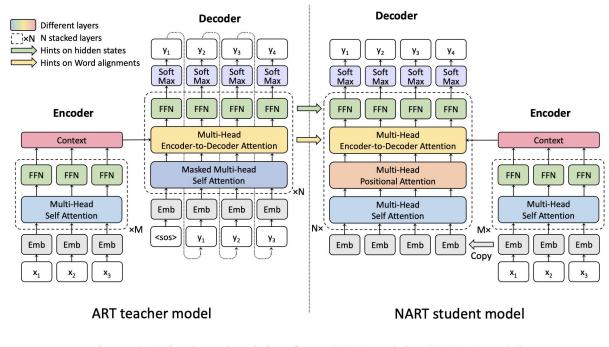


Figure 3: Hint-based training from ART model to NART model.

Hint Based Training For Non-Autoregressive Translation
Zhuohan Li et al.