Factorial Basis Representation of Rational Numbers

James Philip Rowell

From 'A Course of Pure Mathematics' by G. H. Hardy. Chapter 1, Miscellaneous Examples.

Miscellaneous example* #2 at the end of chapter 1 in Hardy's 'Pure Mathematics' presents us with a fascinating result. The theorem feels like what the 'basis-representation-theorem' is for integers, but for rational numbers . . . beautiful!

Factorial Representation Theorem[†]

Any positive rational number can be expressed in one and only one way in the form

$$a_1 + \frac{a_2}{1 \cdot 2} + \frac{a_3}{1 \cdot 2 \cdot 3} + \dots + \frac{a_k}{1 \cdot 2 \cdot 3 \cdot \dots \cdot k},$$

where a_1, a_2, \ldots, a_k are integers, and

$$0 \le a_1, \quad 0 \le a_2 < 2, \quad 0 \le a_3 < 3, \quad \dots, \quad 0 < a_k < k$$

Observations that led to the proof.

The crux of the problem seems to be showing that every rational number has such a representation. Proving the uniqueness part doesn't seem as daunting. Here are some thoughts that pointed me in a certain direction that led me to the proof below.

We know that any rational number[‡], say $\frac{m}{q}$, can be written as an integer part, i, PLUS a fractional part, $\frac{p}{q}$, such that $\frac{m}{q} = i + \frac{p}{q}$, where $0 \le \frac{p}{q} < 1$ (note that i can be zero).

So if we're trying to represent any positive rational number $\frac{m}{q}$ in the form of the theorem then the integer a_1 wants to play the role of the integer part, i, and the remainder of the expression $\frac{a_2}{2!} + \frac{a_3}{3!} + \ldots + \frac{a_k}{k!}$ looks to be playing the role of the rational part, $\frac{p}{q}$, where,

$$0 \le \frac{a_2}{2!} + \frac{a_3}{3!} + \dots + \frac{a_k}{k!} < 1$$

It seemed to me a good idea to forget about the integer a_1 and just focus on the integers a_2, a_3, \ldots, a_k . In other words, prove the theorem for rational numbers $\frac{p}{q}$, where $0 \leq \frac{p}{q} < 1$, then it should be trivial to extend it to ALL rational numbers by tacking the a_1 back on at the end

^{*}Hardy doesn't call them 'Exercises' or 'Questions', but that's what they are, math exercises for the student.

[†]The theorem is not named in the text, so I named it.

[‡]Every variable, or constant (eg. a_1, a_k, m, n, i, p, q) in this paper is going to represent a non-negative integer. We aren't dealing with 'real numbers' here, just non-negative rational numbers which we will always discuss in terms of one integer divided by another integer, like $\frac{p}{a}$.

of the proof. Also, it started to become clear that including zero (that is, not JUST positive rational numbers) was going to simplify the task*.

At first, it wasn't remotely obvious to me how I'd go about calculating the values of the integers a_2, a_3, \ldots, a_k for a given rational number $\frac{p}{q}$ (where $0 \leq \frac{p}{q} < 1$) let alone that it would be unique.

After playing around for a while, and finally figuring out a way to calculate the variables a_2, a_3, \ldots, a_k for a given rational number, (it's kinda like doing long-division) a few thing started to jump out at me. For example, look at these numbers,

$$\frac{1}{2} = \frac{1}{1 \cdot 2} = \frac{2! - 1}{2!}$$

$$\frac{5}{6} = \frac{1}{1 \cdot 2} + \frac{2}{1 \cdot 2 \cdot 3} = \frac{1 \cdot 3}{1 \cdot 2 \cdot 3} + \frac{2}{1 \cdot 2 \cdot 3} = \frac{3 + 2}{6} = \frac{3! - 1}{3!}$$

$$\frac{23}{24} = \frac{1}{1 \cdot 2} + \frac{2}{1 \cdot 2 \cdot 3} + \frac{3}{1 \cdot 2 \cdot 3 \cdot 4} = \frac{1 \cdot 3 \cdot 4}{1 \cdot 2 \cdot 3 \cdot 4} + \frac{2 \cdot 4}{1 \cdot 2 \cdot 3 \cdot 4} + \frac{3}{1 \cdot 2 \cdot 3 \cdot 4} = \frac{12 + 8 + 3}{24} = \frac{4! - 1}{4!}$$

An obvious pattern has emerged! It seems to be the case that if we assign the largest possible values to the variables, from a_2 up to say a_k (with all subsequent variables being zero) we get the rational number $\frac{k!-1}{k!}$, which is as close to 1 as you can get with a denominator of k! without actually hitting 1. (What happens if you add $\frac{1}{k!}$ to $\frac{k!-1}{k!}$?) This turned out to be a pretty useful observation, and it became my 'Lemma 1' in the proof below.

Also, if we assign zeros to all the variables then naturally we get $\frac{0}{k!}$, plus it's pretty simple to figure out how to make the smallest non-zero such rational number $\frac{1}{k!}$. Then thinking about continually adding $\frac{1}{k!}$ to the result gives us an idea about how the a_i variables change as you keep incrementing by $\frac{1}{k!}$.

So if we restrict ourselves to using only a_2, a_3, \ldots, a_k , then we can generate the smallest rational number $(\frac{0}{k!})$ and the largest $(\frac{k!-1}{k!})$ where $0 \le \frac{a_2}{2!} + \frac{a_3}{3!} + \ldots + \frac{a_k}{k!} < 1$.

One further observation to help understand the motivation behind this proof is that by using combinatorics we can count how many possible combinations of a_i 's are possible. So, we have two choices for the a_2 variable (0, 1), combined with three choices for the a_3 variable (0, 1, 2), combined with four choices for the a_4 variable (0, 1, 2, 3), ... combined with k choices for the a_k variable $(0, 1, 2, \ldots, k-1)$, which gives us $2 \cdot 3 \cdot 4 \cdot \cdots \cdot k = k!$ possible different sums.

Hmmmmm, the following set has k! members, $\{\frac{0}{k!}, \frac{1}{k!}, \frac{2}{k!}, \dots, \frac{k!-1}{k!}\}$. With a little algebraic tinkering we can see that this set contains each of the rational numbers between zero and one with denominators from 2 up to k, so if we let k grow without bound then we should get a set that contains all the rational numbers between zero and one.

So that, plus one or two other thoughts is what led me to the proof below. I won't spoil the rest of it; to find out, go ahead and read the rest of the paper!

^{*}Did you notice how the theorem restricts the last integer, a_k , to be strictly greater than zero, unlike all the other variables? We loosen up that restriction by allowing a_k to be equal to zero so that all the variables are treated the same. At the very end of the proof it's trivial to reintroduce that restriction on the integer a_k .

Lemma 1

$$\frac{1}{2!} + \frac{2}{3!} + \ldots + \frac{k-1}{k!} = \frac{k!-1}{k!}, \quad \text{for all integers } k \geq 2$$

Proof

This equality is straightforward to demonstrate by induction, since $\frac{1}{2!} = \frac{2!-1}{2!}$ and,

$$\frac{1}{2!} + \frac{2}{3!} + \dots + \frac{k-2}{(k-1)!} + \frac{k-1}{k!}$$

$$= \frac{(k-1)! - 1}{(k-1)!} + \frac{k-1}{k!}$$

$$= \frac{k((k-1)! - 1)}{k(k-1)!} + \frac{k-1}{k!}$$

$$= \frac{k! - k + k - 1}{k!}$$

$$= \frac{k! - 1}{k!}$$

... thus establishing Lemma 1 for all values of $k \geq 2$. QED.

The following lemma captures an idea that is perhaps most easily grasped by analogy to the basis representation theorem for integers. For base-ten numbers we can say,

$$1 \cdot 10^k > 9 \cdot 10^{k-1} + 9 \cdot 10^{k-2} + \dots + 9 \cdot 10^2 + 9 \cdot 10^1 + 9 \cdot 10^0$$

The above inequality is merely stating that any single power of ten is bigger than the sum of every smaller power of ten, each times 9. For example, 1000 is bigger than 999. Read the statement of the inequality in Lemma 2 with this idea in mind.

Lemma 2

For integers i, k where $2 \le i < k$,

$$\frac{1}{i!} > \frac{i}{(i+1)!} + \frac{i+1}{(i+2)!} + \ldots + \frac{k-2}{(k-1)!} + \frac{k-1}{k!}$$

Proof

$$\frac{i}{(i+1)!} + \frac{i+1}{(i+2)!} + \dots + \frac{k-2}{(k-1)!} + \frac{k-1}{k!}$$

$$= (\frac{1}{2!} + \frac{2}{3!} + \dots + \frac{k-1}{k!}) - (\frac{1}{2!} + \frac{2}{3!} + \dots + \frac{i-1}{i!})$$

$$= \frac{k!-1}{k!} - \frac{i!-1}{i!} \qquad \text{(by Lemma 1)}$$

$$= \frac{k!}{k!} - \frac{1}{k!} - \frac{i!}{i!} + \frac{1}{i!}$$

$$= \frac{1}{i!} - \frac{1}{k!}$$

$$< \frac{1}{i!} \qquad \text{QED.}$$

Definitions

For integer $k \geq 2$, and integers a_2, a_3, \ldots, a_k , we define the following sets,

$$S_k = \{ \frac{a_2}{2!} + \frac{a_3}{3!} + \dots + \frac{a_k}{k!} \mid 0 \le a_2 < 2, 0 \le a_3 < 3, \dots, 0 \le a_k < k \},$$
$$F_k = \{ \frac{0}{k!}, \frac{1}{k!}, \frac{2}{k!}, \dots, \frac{k! - 1}{k!} \}$$

Lemma 3

$$S_k = F_k$$

Proof

To show that the set S_k is the same as F_k , it suffices to show that if $\frac{a}{b} \in S_k$ then $0 \le \frac{a}{b} < 1$ and $\frac{a}{b} = \frac{p}{k!}$ for some p, and that the size of S_k is the same as F_k .

It's clear that the set \mathcal{F}_k contains every rational number with denominator k! where p is an integer and $0 \leq \frac{p}{k!} < 1$ and that the size of \mathcal{F}_k is k!.

The smallest member of the set S_k is $\frac{0}{k!}$ and occurs when all the variables of the sum are set to zero. Furthermore, the largest member of the set occurs when all the variables of the sum are set to their maximum value, which gives us $\frac{k!-1}{k!}$ as shown in Lemma 1.

We also note that every member of S_k can be written as a rational number with k! as the denominator, like so,

$$\frac{a_2}{2!} + \frac{a_3}{3!} + \ldots + \frac{a_{k-1}}{(k-1)!} + \frac{a_k}{k!} = \frac{k \cdot (k-1) \cdot \ldots \cdot 3 \cdot a_2}{k!} + \frac{k \cdot (k-1) \cdot \ldots \cdot 4 \cdot a_2}{k!} + \ldots + \frac{k \cdot a_{k-1}}{k!} + \frac{a_k}{k!}$$

Therefore any member of the set S_k can be written as $\frac{p}{k!}$ for some integer p, where

$$0 = \frac{0}{k!} \le \frac{p}{k!} \le \frac{k! - 1}{k!} < \frac{k!}{k!} = 1$$
, hence, $0 \le \frac{p}{k!} < 1$

Furthermore, each possible assignment of values to the variables of $\frac{a_2}{2!} + \frac{a_3}{3!} + \ldots + \frac{a_k}{k!}$ produces a unique member of the set S_k .

For if this weren't true and both $\frac{p}{k!} = \frac{a_2}{2!} + \frac{a_3}{3!} + \ldots + \frac{a_k}{k!}$ and $\frac{p}{k!} = \frac{b_2}{2!} + \frac{b_3}{3!} + \ldots + \frac{b_k}{k!}$ for different variables a_2, a_3, \ldots, a_k and b_2, b_3, \ldots, b_k , then we can arrive at a contradiction as follows.

First suppose that $a_i \neq b_i$, where $i \leq k$, is the first such pair of variables that differ. In other words, $a_2 = b_2$, $a_3 = b_3$, ..., $a_{i-1} = b_{i-1}$, $a_i \neq b_i$. Without loss of generality, further suppose that $a_i > b_i$. Because of the equality of the two different representations for $\frac{p}{q}$ we can now write,

$$\frac{a_i}{i!} + \frac{a_{i+1}}{(i+1)!} + \frac{a_{i+2}}{(i+2)!} + \dots + \frac{a_k}{k!} = \frac{b_i}{i!} + \frac{b_{i+1}}{(i+1)!} + \frac{b_{i+2}}{(i+2)!} + \dots + \frac{b_k}{k!}$$

$$\Leftrightarrow \frac{a_i - b_i}{i!} = \frac{b_{i+1} - a_{i+1}}{(i+1)!} + \frac{b_{i+2} - a_{i+2}}{(i+2)!} + \dots + \frac{b_k - a_k}{k!} \tag{1}$$

But $a_i - b_i \ge 1$, so

$$\frac{a_i - b_i}{i!} \ge \frac{1}{i!}.$$

In the case that i = k we get an immediate contradiction because equation (1) tells us that $\frac{a_i - b_i}{i!} = 0$ which is clearly false.

So let's carry on assuming that i < k and examine one of the terms on the right-side of (1), say the first one $\frac{b_{i+1}-a_{i+1}}{(i+1)!}$. We can see that since $0 \le b_{i+1} \le i$ and $0 \le a_{i+1} \le i$ that,

$$\frac{i-0}{(i+1)!} \ge \frac{b_{i+1} - a_{i+1}}{(i+1)!},$$

and hence by extension to the other terms,

$$\frac{i}{(i+1)!} + \frac{i+1}{(i+2)!} + \dots + \frac{k-1}{k!} \ge \frac{b_{i+1} - a_{i+1}}{(i+1)!} + \frac{b_{i+2} - a_{i+2}}{(i+2)!} + \dots + \frac{b_k - a_k}{k!}.$$

Furthermore, Lemma 2 tells us that $\frac{1}{i!} > \frac{i}{(i+1)!} + \frac{i+1}{(i+2)!} + \dots + \frac{k-1}{k!}$, so we can string all our inequalities together as follows,

$$\frac{a_i - b_i}{i!} \ge \frac{1}{i!} > \frac{i}{(i+1)!} + \dots + \frac{k-1}{k!} \ge \frac{b_{i+1} - a_{i+1}}{(i+1)!} + \dots + \frac{b_k - a_k}{k!},$$

and hence,

$$\frac{a_i - b_i}{i!} > \frac{b_{i+1} - a_{i+1}}{(i+1)!} + \dots + \frac{b_k - a_k}{k!},$$

But in equation (1) we had deduced that $\frac{a_i - b_i}{i!} = \frac{b_{i+1} - a_{i+1}}{(i+1)!} + \dots + \frac{b_k - a_k}{k!}$ which contradicts the strict inequality above.

Therefore our assumption that there can be a second set of variables representing the same rational number $\frac{p}{k!}$ must be false. Therefore any assignment of values to the variables of the sum $\frac{a_2}{2!} + \frac{a_3}{3!} + \ldots + \frac{a_k}{k!}$ produces a *unique* number.

Now we can count the number of members of S_k , by looking at all the possible combinations of values for the variables a_2, a_3, \ldots, a_k . There are 2 choices for the variable a_2 , combined with 3 choices for a_3 , combined with 4 choices for a_4, \ldots , combined with k choices for a_k .

Therefore the total number of combinations of values that can be assigned to all the variables of $\frac{a_2}{2!} + \frac{a_3}{3!} + \ldots + \frac{a_k}{k!}$ is $2 \cdot 3 \cdot 4 \cdot \cdots \cdot k = k!$, and since each set of assignments creates a unique member of the set, then the size of \mathcal{S}_k is k!, which is the same size as \mathcal{F}_k . Recalling from above that any member of the set \mathcal{S}_k , say $\frac{a}{b}$, can be written as $\frac{a}{b} = \frac{p}{k!}$, for some p where $0 \leq \frac{p}{k!} < 1$ then $\mathcal{S}_k = \mathcal{F}_k$.

QED.

Definitions

$$\mathcal{F}_{\infty} = \lim_{n \to \infty} \mathcal{F}_n$$
 and $\mathcal{S}_{\infty} = \lim_{n \to \infty} \mathcal{S}_n$

To define what it means to be a member of \mathcal{F}_{∞} we observe that for all integers $k \geq 2$, $\mathcal{F}_k \subset \mathcal{F}_{k+1}$ because if $\frac{p}{k!} \in \mathcal{F}_k$ then $\frac{(k+1) \cdot p}{(k+1) \cdot k!} = \frac{(k+1) \cdot p}{(k+1)!} \in \mathcal{F}_{k+1}$.

By induction it is straightforward to conclude that $\mathcal{F}_2 \subset \mathcal{F}_3 \subset \mathcal{F}_4 \subset \ldots \subset \mathcal{F}_{n-1} \subset \mathcal{F}_n$, for all $n \geq 2$, therefore $\mathcal{F}_k \subset \mathcal{F}_{\infty}$ for all $k \geq 2$.

 $a \in \mathcal{F}_{\infty}$ means that there exists an integer $k \geq 2$ such that $a \in \mathcal{F}_k$ which carries with it the idea that it is also a member of every larger set $\mathcal{F}_{k+1}, \mathcal{F}_{k+2}, \dots$

We define $a \in \mathcal{S}_{\infty}$ in a similar way. (ELFS*)

Lemma 4

The set \mathcal{F}_{∞} contains all non-negative rational numbers less than one.

Proof

If $\frac{p}{k}$ is a rational number where $0 \leq \frac{p}{k} < 1$ and $k \geq 2$ then $\frac{p}{k} \in \mathcal{F}_k$ because,

$$\frac{p}{k} = \frac{2 \cdot 3 \cdot \cdot \cdot (k-1)}{2 \cdot 3 \cdot \cdot \cdot (k-1)} \cdot \frac{p}{k} = \frac{2 \cdot 3 \cdot \cdot \cdot (k-1) \cdot p}{k!}$$

Therefore $\frac{p}{k}$ is an element of \mathcal{F}_k , hence an element of \mathcal{F}_{∞} for all integers $k \geq 2$. QED.

Lemma 5

Any positive rational number less than one, can be expressed in one and only one way in the form

$$\frac{a_2}{2!} + \frac{a_3}{3!} + \ldots + \frac{a_k}{k!}$$

where a_2, \ldots, a_k are integers, and

$$0 \le a_2 < 2, \quad 0 \le a_3 < 3, \quad \dots, \quad 0 < a_k < k$$

^{*}Exercise Left For Student.

Proof

Suppose $\frac{p}{q}$ is a positive rational number less than one. We note that its denominator is at least 2, so by Lemma 4 we can say that $\frac{p}{q} \in \mathcal{F}_{\infty}$, and Lemma 3 tells us that $\mathcal{S}_k = \mathcal{F}_k$ for all $k \geq 2$, therefore $\frac{p}{q} \in \mathcal{S}_{\infty}$.

So $\frac{p}{q} \in \mathcal{S}_n$ for some $n \geq 2$ and the following expression is *unique* to $\frac{p}{q}$ among all positive rational numbers less than one,

$$\frac{p}{q} = \frac{a_2}{2!} + \frac{a_3}{3!} + \dots + \frac{a_n}{n!},\tag{2}$$

where $0 \le a_2 < 2$, $0 \le a_3 < 3$, ..., $0 \le a_n < n$.

We note that there must be at least one non-zero term in equation (2) otherwise the sum would be zero, and we've specified that $\frac{p}{q}$ is positive.

Choose k such that $a_k \neq 0$ but $a_{k+1} = a_{k+2} = a_{k+3} = \dots = a_{n-1} = a_n = 0$. Therefore we can rewrite equation (2),

$$\frac{p}{q} = \frac{a_2}{2!} + \frac{a_3}{3!} + \dots + \frac{a_k}{k!},$$

where $0 \le a_2 < 2$, $0 \le a_3 < 3$, ..., $0 < a_k < n$. QED.

Factorial Representation Theorem (finally!)

Any positive rational number can be expressed in one and only one way in the form

$$a_1 + \frac{a_2}{1 \cdot 2} + \frac{a_3}{1 \cdot 2 \cdot 3} + \dots + \frac{a_k}{1 \cdot 2 \cdot 3 \cdot \dots \cdot k},$$

where a_1, a_2, \ldots, a_k are integers, and

$$0 \le a_1, \quad 0 \le a_2 < 2, \quad 0 \le a_3 < 3, \quad \dots, \quad 0 < a_k < k$$

Proof

Thanks to Euclid we know that for all integers $j \ge 0$ and q > 0, there exist *unique* integers i and p such that,

$$j = i \cdot q + p \; ; \quad 0 \le p < q$$

$$\Leftrightarrow \quad \frac{j}{q} = i + \frac{p}{q} \; ; \quad 0 \le \frac{p}{q} < 1$$

Which tells us that all positive rational numbers $\frac{j}{q}$ can be uniquely written as an integer part, i, plus a fractional part $\frac{p}{q}$, where $0 \leq \frac{p}{q} < 1$.

Apply the Euclidean Division Theorem to $\frac{j}{q}$ and let $a_1 = i$. If there is no fractional remainder, then the theorem has been proven.

However if there is a fractional remainder $\frac{p}{q}$, then by Lemma 5 we know that the sum $\frac{p}{q} = \frac{a_2}{2!} + \frac{a_3}{3!} + \ldots + \frac{a_k}{k!}$ is uniquely associated with $\frac{p}{q}$ so clearly $\frac{j}{q} = a_1 + \frac{a_2}{2!} + \frac{a_3}{3!} + \ldots + \frac{a_k}{k!}$ is uniquely associated with all positive rational numbers $\frac{j}{q}$. QED.

Additional Observations

We're guaranteed that $\frac{p}{q} \in \mathcal{S}_q$, but \mathcal{S}_q is not necessarily the smallest such set for which $\frac{p}{q}$ is a member.

For example, the smallest set containing $\frac{p}{5}$, where $0 \le \frac{p}{5} < 1$, is \mathcal{S}_5 however the smallest set containing $\frac{p}{6}$, where $0 \le \frac{p}{6} < 1$ is \mathcal{S}_3 , which is easy to see when we list the contents of a couple of sets,

$$\mathcal{S}_{4} = \{\frac{0}{24}, \frac{1}{24}, \frac{2}{24}, \frac{3}{24}, \frac{4}{24}, \frac{5}{24}, \frac{6}{24}, \frac{7}{24}, \frac{8}{24}, \frac{9}{24}, \frac{10}{24}, \frac{11}{24}, \frac{12}{24}, \frac{13}{24}, \frac{14}{24}, \frac{15}{24}, \frac{16}{24}, \frac{17}{24}, \frac{18}{24}, \frac{19}{24}, \frac{20}{24}, \frac{21}{24}, \frac{23}{24}\}$$

$$= \{\frac{0}{24}, \frac{1}{24}, \frac{1}{12}, \frac{1}{8}, \frac{1}{6}, \frac{5}{24}, \frac{1}{4}, \frac{7}{24}, \frac{1}{3}, \frac{3}{8}, \frac{5}{12}, \frac{11}{24}, \frac{1}{2}, \frac{13}{24}, \frac{7}{12}, \frac{5}{8}, \frac{2}{3}, \frac{17}{24}, \frac{3}{4}, \frac{19}{24}, \frac{5}{6}, \frac{7}{8}, \frac{11}{12}, \frac{23}{24}\}$$

By examination S_4 doesn't contain $\frac{1}{5}$, but it's definitely in S_5 because,

$$\frac{1}{5} = \frac{0}{2} + \frac{1}{2 \cdot 3} + \frac{0}{2 \cdot 3 \cdot 4} + \frac{4}{2 \cdot 3 \cdot 4 \cdot 5} = \frac{1}{6} + \frac{1}{30} = \frac{5+1}{30} = \frac{6}{30} = \frac{1}{5}$$

Also, $S_3 = \{\frac{0}{6}, \frac{1}{6}, \frac{2}{6}, \frac{3}{6}, \frac{4}{6}, \frac{5}{6}\}$, which demonstrates the claim that S_3 contains $\frac{p}{6}$, where $0 \leq \frac{p}{6} < 1$.

I believe that for a given $q \geq 2$ then the smallest set for which the rational number $\frac{p}{q} \in \mathcal{S}_k$, is to pick k such that it is the smallest value for which q divides k!.

However, I'll leave that proof for another day.