# Submission Packet for com103s1: Opposite Learning and Multi-Migrating Strategy-Based Self-Organizing Migrating Algorithm with the Convergence Monitoring Mechanism

Files Included in this Packet

Competition Entry: Submission (com103s1)

- Submission form data
- Paper Upload

# Submission Details: com103s1

Track: Competition Real Parameter Single Objective Bound Constrained Optimization (4) Comp RPSOBCO)

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# Competition

Competition: Competition Real Parameter Single Objective Bound Constrained Optimization

### **Title**

Title:

Opposite Learning and Multi-Migrating Strategy-Based Self-Organizing Migrating Algorithm with the Convergence Monitoring Mechanism

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#### **Abstract**

### Abstract (Maximum 200 words):

This paper introduces a novel competition entry on Real Parameter Single Objective Bound Constrained Optimization at GECCO 2022 conference, namely Opposite learning and Multi-migrating strategy-based Self-Organizing Migrating Algorithm with Convergence monitoring mechanism (OMC\_SOMA). Specifically, OMC\_SOMA induces three techniques to improve the naive SOMA in the aspects of population initialization, convergence monitoring, and migrating strategies. Preliminary experiments on the 12 test functions reveal a good performance of our proposed method.

# **Paper Upload**

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### **Poster Presentation**

Please indicate whether you will be presenting a poster: Yes

### **Edited for Publication**

This is not the program stage. The program stage, Stage 2: Camera-Ready Material, has not been approved. view

# Opposite Learning and Multi-Migrating Strategy-Based Self-Organizing Migrating Algorithm with the Convergence Monitoring Mechanism

A competition entry on Real Parameter Single Objective Bound Constrained Optimization at GECCO 2022 conference

# Anonymous

### **Abstract**

This paper introduces a novel competition entry on Real Parameter Single Objective Bound Constrained Optimization at GECCO 2022 conference, namely Opposite learning and Multi-migrating strategy-based Self-Organizing Migrating Algorithm with Convergence monitoring mechanism (OMC\_SOMA). Specifically, OMC\_SOMA induces three techniques to improve the naive SOMA in the aspects of population initialization, convergence monitoring, and migrating strategies. Preliminary experiments on the 12 test functions reveal a good performance of our proposed method.

# $\label{eq:ccs} \textit{CCS Concepts:} \bullet \text{Mathematics of computing} \to \text{Evolutionary algorithms}.$

*Keywords:* SOMA, Opposite-learning, Multi-migrating strategy, Convergence monitoring, GECCO 2022 Competition

### **ACM Reference Format:**

# 1 Introduction

Self-Organizing Migrating Algorithm (SOMA [1]) is a modern swarm-intelligence evolutionary algorithm, which has derived a large body of variants [2, 3] in recent years. The core insight of SOMA is the migration process where all individuals move toward the best individual, which is similar

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to the other evolutionary algorithms, e.g., Particle Swarm Optimization (PSO) and Ant Colony Optimization (ACO) algorithms. Due to its unique migrating strategy, SOMA can quickly approach the optimum and frequently appear in the fields of evolutionary-related conferences and competitions [4, 5]. This paper presents the competition entry OMC\_SOMA, a novel variant of SOMA, which utilizes the opposite learning-based initialization, multi-migrating strategy, and convergence monitoring mechanism.

# 2 OMC SOMA

This section introduces the three techniques used in the OMC\_SOMA method. Considering the drawbacks of the naive SOMA method, we make the following improvements: Firstly, we employ opposite learning to initialize the population to enlarge the search space. Secondly, we induce a multi-migrating strategy to increase population diversity. Finally, we keep the convergence monitoring mechanism to avoid the SOMA falling trapped into a local optimum.

### 2.1 Opposite Learning-based Initialization

Population initialization takes a key role in evolutionary algorithms, which greatly affects the convergence speed and quality of solutions. Popular methods include the pseudorandom initialization, chaotic initialization, and opposite learning-based initialization [6, 7]. We can hardly find a universal method for all situations but we can try to search for a suitable one under a specific testbed.

In OMC\_SOMA, we employ the opposite learning-based initialization due to its good searching efficiency for potential large space and good performance in the experiments We first randomly initialize an original population  $\{x_i\}_{i=1}^{NP}$  and then construct the opposite population  $\{\hat{x}_i\}_{i=1}^{NP}$  by equation 1.  $NP \in R$  is the population size. Then, we select the Top-NP individuals as the initialized population of OMC\_SOMA.

$$\hat{x}_{i,j} = (UB_j + LB_j) - x_{i,j} \tag{1}$$

where the  $x_{i,j}$  and  $\hat{x}_{i,j}$  denote the value of the j-th dimension of the i-th individual in the original and opposite population, respectively.  $UB_j$  and  $LB_j$  denote the upper bound and lower bound of the j-th dimension, respectively.

### 2.2 Multi-Migrating Strategy

The migrating strategy is another key point in SOMA, which determines the way the population moves toward an optimum. the popular strategies includes the AllToOne, AllToRand, and AllToCLP. AllToOne [1] strategy considers the individual with the best fitness value as the leader for the whole population. AllToRand [1] strategy selects a random leader for each individual. AllToCLP [5] strategy clusters evaluated individuals and then move them to their corresponding cluster leader. Equation 2 shows the movement pattern of individual  $x_i$  during the migration process.

$$x_i = x_i + (x_L - x_i) \cdot t \cdot PRTVector \tag{2}$$

where  $x_L$  denotes the selected leader for  $x_i$ .  $t \in R$  controls the move distance.  $PRTVector \in \{0, 1\}^D$  guides the direction vector. D is the problem dimension.

In OMC\_SOMA, we induce the multi-migrating strategy by means of selection probabilities  $p_o, p_r, p_c$ , each of which determines the possibility to choose the corresponding migrating strategy. We expect this combinatorial strategy can make SOMA more robust and be capable of dealing with diverse optimization problems.

### 2.3 Convergence Monitor Mechanism

To avoid SOMA getting trapped into the local optimum for a long time, we employ the convergence monitor mechanism for SOMA. Specifically, we record the best fitness value we find so far, i.e.,  $fit_{bsf}$ , and count the times of migrations that  $fit_{bsf}$  does not change. The longer the  $fit_{bsf}$  does not change, the more possibility SOMA gets trapped into a local optimum. Then we choose the opposite learning to construct the opposite population instead of the current population since we expect to jump to another space of the feasible region to find the real optimum.

We set the convergence threshold countMax to 20, that is, when we monitor that  $fit_{bsf}$  does not change for consecutive 20 migrations, we believe that SOMA possibly gets trapped into a local optimum, and re-initializes the whole population by the opposite learning technique.

### 3 Experiments

The testbed includes the 12 functions with different features (shift, rotation, multi-peak, and hybrid) given by the GECCO problems statement report [8]. The hyper-parameters in OMC\_SOMA are the following: population size NP=100, step=0.33, pathLength=3.0, prt=0.5,  $p_0=0.4$ ,  $p_r=0.2$ ,  $p_c=0.4$ , coutMax=20. For other control parameters like the stopping criterion, please refer to the problems statement report [8].

Table 1 and Table 2 list the experimental results on 10 D and 20 D problems, respectively. Due to the space limitation, the detailed results are uploaded to the website  $^1$ .

Table 1. Results for 10 D

| Func. | Best     | Worst    | Median   | Mean     | Std      |
|-------|----------|----------|----------|----------|----------|
| 1     | 6.41e-09 | 9.97e-09 | 9.80e-09 | 9.39e-09 | 8.68e-10 |
| 2     | 2.18e-06 | 6.96e-02 | 1.68e-03 | 7.22e-03 | 1.31e-02 |
| 3     | 6.07e-09 | 1.42e-06 | 9.30e-09 | 1.03e-07 | 3.52e-07 |
| 4     | 2.98e+00 | 1.39e+01 | 6.96e+00 | 7.20e+00 | 3.04e+00 |
| 5     | 6.33e-09 | 9.99e-09 | 9.50e-09 | 8.93e-09 | 1.08e-09 |
| 6     | 3.57e-02 | 2.37e+00 | 3.86e-01 | 7.44e-01 | 6.36e-01 |
| 7     | 5.15e-09 | 9.95e-01 | 1.39e-08 | 1.33e-01 | 3.38e-01 |
| 8     | 8.24e-03 | 7.87e-01 | 4.31e-01 | 3.97e-01 | 2.98e-01 |
| 9     | 1.33e-02 | 2.29e+02 | 2.29e+02 | 2.22e+02 | 4.12e+01 |
| 10    | 8.61e-09 | 3.79e+00 | 2.50e-01 | 7.90e-01 | 1.27e+00 |
| 11    | 7.56e-09 | 2.25e-08 | 9.62e-09 | 9.79e-09 | 2.45e-09 |
| 12    | 1.59e+02 | 1.65e+02 | 1.64e+02 | 1.64e+02 | 1.30e+00 |
|       |          |          |          |          |          |

Table 2. Results for 20 D

| Func. | Best     | Worst    | Median   | Mean     | Std      |
|-------|----------|----------|----------|----------|----------|
| 1     | 7.08e-09 | 9.99e-09 | 9.70e-09 | 9.46e-09 | 6.32e-10 |
| 2     | 1.84e+00 | 4.91e+01 | 4.91e+01 | 4.13e+01 | 1.64e+01 |
| 3     | 8.30e-09 | 1.00e-08 | 9.59e-09 | 9.46e-09 | 4.95e-10 |
| 4     | 1.39e+01 | 3.88e+01 | 2.24e+01 | 2.30e+01 | 6.37e+00 |
| 5     | 9.61e-09 | 1.79e-01 | 9.86e-09 | 1.49e-02 | 4.06e-02 |
| 6     | 1.55e+01 | 2.95e+03 | 8.30e+01 | 3.26e+02 | 6.30e+02 |
| 7     | 3.44e-05 | 3.29e+01 | 3.26e+00 | 8.84e+00 | 9.95e+00 |
| 8     | 2.04e+01 | 2.35e+01 | 2.13e+01 | 2.13e+01 | 6.98e-01 |
| 9     | 1.01e+02 | 1.81e+02 | 1.81e+02 | 1.78e+02 | 1.42e+01 |
| 10    | 6.25e-02 | 3.51e+00 | 1.89e-01 | 3.50e-01 | 6.59e-01 |
| 11    | 9.03e-09 | 4.47e-04 | 1.58e-08 | 1.79e-05 | 8.03e-05 |
| 12    | 2.31e+02 | 2.57e+02 | 2.35e+02 | 2.38e+02 | 7.11e+00 |

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 $<sup>^{1}</sup> https://github.com/AnonymousUser0407/GECCO2022CMP \\$