LANGUAGE AND LOYALTY

Jonathan Pool
Department of Political Science
State University of New York at Stony Brook
Stony Brook, New York 11790, U.S.A.

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1. Introduction

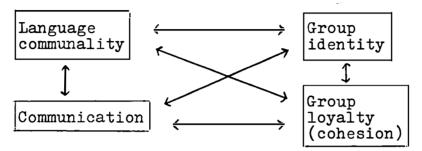
To what extent does community of language make people belong to a nation or nationality? From one perspective, this question is one of definition, rather than investigation, since "nationality" is often defined as determined by the sharing of one or more specific traits. From another point of view, however, the key to nationality is not a set of objective criteria, but rather the existence of a collective will or identity. This view makes the role of language an empirical question. We need to determine how language affects which sets of individuals develop such a will or identity with respect to each other.

Language is, of course, one of the objective characteristics of man most often asserted to lie at the base of nationality. And, for a variety of reasons, it appears currently to be growing in importance compared with some other cleavages. What this paper will attempt to show is that some available data on micro-level (individual) behavior support and quantify these impressions gained mainly from historical studies and contemporary events. On the one hand, two recent opinion surveys conducted in a plural society will be analyzed to show that language has emerged as the predominant divisive demographic characteristic in this country (Canada). In addition, results from an experiment on coalition formation will be reported, indicating that behavioral cohesion in small groups can be substantially manipulated by simulating language differences.

The reason for combining both of these kinds of data into one argument is precisely that they are different--in complementary ways. The data from Canada will show how people react to real-life linguistic differences, but their reactions will be less than real-life ones. They will be attitudes expressed in a survey, rather than observed interpersonal behaviors. The experimental data, on the other hand, are observations of actual behavior, but in situations where language differences are only simulated. Further, the Canadian data will help us describe the importance of language in the configuration of ethnic characteristics in that country, but will not permit a conclusion about the causal order or mechanism linking changes in these characteristics. The experimental data will contribute to the search for universal generalizations that can clarify why and how language should be expected to be influential in affecting the behavior of individuals in small and large groups.

These can help explain the importance of language in Canada (and elsewhere) more satisfactorily than we could by reference to antecedent historical, macro-level events alone.

The proto-theory that guides the present work can be expressed in the following form:



The diagram reflects the common assertion that language works on national loyalties through two reinforcing paths: its role as medium of communication, and its role as symbol of identity. But the diagram further asserts that all four (proto-)variables vary positively with one another. Group identity, an expressed sentiment of group membership, is distinguished from group loyalty, which refers to a behavioral propensity to favor members of one group over members of another. This paper will focus mainly on the horizontal relationships in the above diagram.

2. Language and Identity in Canada

Regarding the arrow linking language with identity, two kinds of questions can be asked. On the one hand, one can ask whether people see or treat language as the basis of identity, and on the other, one can ask whether there is an association between language repertoire and identity.

Contemporary Canada is an interesting case in which to ask these questions. While scholars often cross-classify polities as having old states or new states, and old nations or new nations, Canada is one of the few countries that must be classified as having an old state and no nation. More accurately, the issue of nationality is, in Canada, still unresolved. A large majority of Canadians believe that Canada should become a single nation, but far fewer believe that it already is that. And a significant minority of French-speaking Quebeckers want to see Canada become two states, reflecting the existence of two nations which they and others believe already constitute Canada.

In this particular case, then, is language the basis of identity? To begin answering this question, we shall find

useful some data collected for the now defunct, but nonetheless important, Royal Commission on Bilingualism and Biculturalism. Two of the most potentially useful projects of this Commission were national sample surveys of the Canadian population, one of adults (using interviews) and the other of teenagers (using self-administered questionnaires), conducted in May, 1965.

It is regrettable that these surveys have hardly been analyzed in published form in the nine years since they were conducted. In spite of some problems and defects characterizing survey analysis in general and these surveys in particular, they are far too rich in interesting information about an important range of problems to ignore.

It appears clear from the responses to the two Royal Commission surveys that language was, in 1965, the most definitive component of group identity in Canada.

In the first place, Canadians themselves saw language as the principal politically important cleavage within their state. When asked how various types of Canadians would agree or disagree with each other on policy questions if a series of votes were taken, youth survey respondents10 predicted more disagreement between English-speaking and French-speaking Canadians than between any other pair of types offered (East-West, Catholic-Protestant, nativeimmigrant, rich-poor, and urban-rural). The percentages predicting nearly total disagreement were 12% for Englishspeaking versus French-speaking Canadians, 8% for rich versus poor, and 4 or 5% for all other opposing groups. When given twelve ways in which English Canadians and French Canadians could be compared or contrasted, 92% of these same respondents said that the two groups differed in language, but no more than 57% said they differed in any other way mentioned. 11

To judge from their other responses, this perception of the predominance of language as a social cleavage was correct. The group identities of the respondents themselves were associated with a variety of other personal characteristics, but never so strongly as with language. The most useful questions about group identity appeared in the adult survey. Here the respondents were asked:

2-30. To what ethnic group do you consider that you belong: English Canadian, French Canadian, or another ethnic group?

In addition they were asked:

2-67. Do you feel closer to English Canadians or closer to French Canadians?

Our interest is with <u>felt membership</u> in groups. Question 2-30 emphasizes ascriptive, rather than felt, membership, especially because the question immediately preceding it asks about the ethnic or cultural <u>origins</u> of the respondents' ancestors. Question 2-67, on the other hand, refers purely to a feeling of <u>proximity</u>, without necessarily implying a feeling of belonging or identity. One could consider oneself a member of group <u>a</u> but feel closer to group <u>b</u>. We can cope with this problem by dividing the adult respondents into four categories:

- a. Those who are clearly self-defined English Canadians, in that they answered "English Canadian" to both questions;
- b. Those who are clearly self-defined French Canadians in the same way;
- c. Those who are clearly neither of the two above, in that they answered one or both of these questions by naming another ethnic group, disclaiming membership, 12 or claiming to be equally close to the two groups, or who answered "English Canadian" to one question and "French Canadian" to the other; and
- d. Those (i.e. all the rest) whom we cannot classify because of missing answers.

In the following analysis, category \underline{d} will be omitted from consideration.

Given the previous-question bias just mentioned, and given the meaning in ordinary usage of the phrase "ethnic group", we have considerable reason to expect that the personal characteristic by which we could most easily predict a respondent's membership in category \underline{a} , \underline{b} , or \underline{c} would be ethnic origin, as determined by the question just before 2-30. There are other possibilities, however.

Related to this characteristic of ancestry is family name. In some groups, many would be unaware of their group membership were it not for a distinctive surname. Consider, for example, that over 9% of the Canadian adult respondents were unable to say what ethnic or cultural group their (paternal) ancestor had come from. Occasionally society or government emphasizes name as a badge or criterion of

membership, as in the official use of "Spanish-surname" as an ethnic classification in the United States and the complaint of French Canadians that they still suffer economic discrimination even after they become fluent in English.

Historically, the conflict between Canada's "two races" has been interpreted often in religious terms.13 Language was seen as instrumental, as "guardian of the faith" in the French Canadian case.

The trend in recent years among French Canadian nationalists has been to stress increasingly the uniqueness, rights, and need for autonomy of Quebec, at the expense of French Canadians living elsewhere in Canada. This is reflected in the preference for "Québecois" over "French Canadian" for those living in Quebec. This suggests that ethnic politics may be becoming territorialized, as in Belgium, and that identity may be moving in the same direction.

Finally, there is language. The importance of language is suggested by the fact that language policy was the focus, during the 1960's, of those elements who wanted to redress French Canadian grievances and save the confederation. This focus could be seen in the name and in the terms of reference of the Royal Commission on Bilingualism and Biculturalism.

Of these five personal characteristics--ethnic origin, surname, religion, residence, and language -- it is language which best predicts identity, as defined above. Figure 1 shows what percentages of the adult respondents had English Canadian and French Canadian identities, among those with and without the traits that were hypothesized to predict identity. In each case, respondents with a given trait were far more likely to have the hypothesized identity than those without the trait. The percentage difference, however, which I am using as the measure of predictivity, is greater for the language trait than for any of the others, on both the English and the French sides. Fifty-six percent of those speaking English as their principal home language identified themselves as English Canadians, while less than 1% of those not speaking mainly English at home did so: difference of 55 percentage points. In comparison, the percentage differences were 52 between those with and without British 14 ancestry, 42 between those with and without French surnames, 51 between Protestants and non-Protestants, and 30 between those living outside and inside Quebec. predominance of language as the predictive variable is greater, both absolutely and relatively, for French-Canadian identity. Of those speaking French as their main home language, 78% expressed a clear French Canadian identity, as

opposed to just 2% of the rest. In comparison with this difference of 76 percentage points, the differences were 65 between those with and those without French ancestry, 54 between those with and those without French surnames, 53 between Catholics and non-Catholics, and 42 between those living inside and outside Quebec. 15

The questions on identity were phrased in such a way that the expression of a territorially, rather than ethnically, based identity was not easy. 16 But some questions were asked that permit us to explore the territorial aspect of identity. Quebec is the only province of Canada where there is a salient issue of provincial versus federal loyalty and identity. There, and only there, people debate whether Quebec is a province, or rather an "état" or a "nation", and, if it is a province, whether it is a province "like the others". What determines, then, whether a resident of Quebec attaches his primary loyalty to Quebec or to Canada?

We can get semi-direct evidence on this subject from the adult survey, which permits us to discover:

- a. What kinds of Quebec residents felt that they would never want to live outside Quebec;
- b. What kinds of Quebec residents had more interest in Quebec politics than in the politics of Canada as a whole; and
- c. What kinds of Quebec residents would like to see Quebec become a separate country.

The answers to these questions parallel those already revealed. In each case, the pro-Quebec orientation was displayed among Quebec residents more frequently by those of French ancestry than by those of non-French ancestry, more frequently by those with French family names than by those with non-French family names, more frequently by Catholics than by non-Catholics, and more frequently by French speakers than by non-French speakers. Of all these dichotomies, however, the linguistic one is again the most predictive. The percentage difference between those speaking French as their best language and all others in terms of our three types of Quebeckism was 23 points, 15 points, and 10 points, respectively. The corresponding differences between the other pairs were all less: some barely and others considerably less.17

While language appears to predict these three types of Quebeckism more successfully than other predictors used,

the intergroup percentage differences in Quebeckism, it should be noted, are far lower than the differences in ethnic identity. 18 This fact suggests that a variety of personal identity attributes, both ascriptive and affective, may occur in syndromes, while political opinions that are closely related to such identity elements in apparent logic are not so strongly associated in fact. In other words, responses to "who are you" questions may fall into one cluster, fairly separate from responses to "who do you want to live with" questions. This hypothesis will not be examined in this paper, but it conforms to two things we already know about Quebec: the fact that there are French Canadians who can and do feel quite comfortable whether as extreme separatists or as extreme anti-separatists, and the fact that those who display an anomolous combination of elements within one of the hypothesized clusters, namely the identity cluster (particularly English Catholics), have typically led an uncomfortable and awkward existence. 19

The most notable finding so far has been the very strong association between language and ethnic identity in Canada. On the one hand, we have found that Canadians themselves agree that disagreements are most severe between the two language groups, and that the ethnic groups are more different from each other in language than in any other way. on the other hand, it appears that those who differ linguistically, more than those who differ in any other way, have a very strong tendency to differ in the ethnic identities that they claim for themselves. This finding is not represented as supporting the hypothesis that language must inevitably be the basis of nationality or ethnicity. On the contrary, several other demographic characteristics have been seen to be strongly associated with identity among these respondents, sometimes lagging behind language only slightly. It is reasonable to assume that different objective characteristics are differentially important in determining identity in different countries, and that the determining characteristics can change over time in the same country. Indeed, historical analysis suggests that just such a change has taken place over the decades in Canada, from religion to language as the characteristic perceived to be most important in distinguishing the two major ethnic groups. 20 The above findings show the extent to which this trend has gone.

3. Language Competence and Ethnic Identity

If, then, Canada is a country where the most important conflictual population groups are identified largely by differences in language, it would be natural to expect identity to vary not only with family tongue, but also with

competence in the language(s) of other groups. Specifically, the percentage of the population identifying itself with a given group should be a monotonically increasing function of competence in that group's characteristic language, and the percentage of the population shunning identification with <u>any</u> group should, for the speakers of each language, be a monotonically increasing function of bilingual competence. What this hypothesis says is that a person's identity can be predicted better if we know not only which language he normally uses or which language he speaks best but also how well he speaks the other relevant language(s).

There are several reasons for expecting this hypothesis to be true. Other research has found persons with positive, or "integrative", attitudes toward another language to learn it better than those without such attitudes, given the same learning conditions. 21 Those speaking a second language, on the other hand, have thereby acquired one of the characteristics of members of another identity group in a state where group identity is largely language-based. Knowledge of a second language permits communication with members of the identity group of which it is characteristic, and communication provides an opportunity for feelings of community to develop. 22

To test the hypothesis we can array most of the adult respondents (remembering that no suitable ethnic identity questions were asked in the youth survey) on a scale of English-French competence. On one end of this scale are those speaking English as a principal home language but having no competence in French. On the other end are those with French as a principal home language but having no competence in English. Arrayed between these two poles are respondents speaking one of the languages as principal home language and having progressively more competence in the other language as one moves away from each monolingual pole. Thus, in the middle of the scale are those speaking both English and French as principal home languages.²³

Figure 2 shows that the three parts of our hypothesis are largely confirmed for the Canadian adult survey sample. The percentage identifying themselves as English Canadians rises from 0, among those speaking English less than natively, to 61 among those speaking English with native competence and not speaking French at all. The rate of French Canadian identification rises more sharply, from 0 to 88%. And the percentage rejecting both of these identifications is highest (77%) among those with native competence in both English and French, falling off on both sides of this midpoint.

The figure reveals somewhat more than the confirmation of this hypothesis, however. First, there is a range of competence in each language which appears to be an absolute prerequisite for self-identified membership in the associated ethnic group. Practically without exception, it turns out that no-one was a French Canadian without at least a medium competence in French, and no-one was an English Canadian without full native competence in English. Thus the percentages identifying themselves as English Canadians and French Canadians are, strictly speaking, in this case monotonically non-decreasing, rather than monotonically increasing, functions of competence in the associated language.

Secondly, while competence in English was more of a necessary condition for English Canadian identity than was competence in French for French Canadian identity, it was French competence which was closer to being a sufficient condition. Hence the tendency to reject a pure English or French ethnic identity, while highest among co-ordinate bilinguals, was, at any given level of bilingualism, considerably stronger among those whose home language was English than among those speaking French at home. difference is reduced a little (by 7 to 16 percentage points) if we restrict our attention just to those with British and those with French origins, respectively, but it remains strong, indicating that "other" Canadians who have assimilated to English are only in part responsible for the English-French asymmetry.²⁴ Thus the tendency to reject one of the two ethnic identities was monotonically associated with bilingualism within each native-language group, as predicted, but the association differs in strength for the two groups.

These findings suggest two additional points. One is that the English Canadians are far more likely to identify themselves with Canada as a whole than are the French Canadians. Of those speaking English as principal home language, 18% answered question 2-30 by saying that they were "Canadians" rather than members of any ethnic group, while only 3% of those speaking French at home chose this method of rejecting any parochial group membership. This fact seems to conform to a general pattern: in the United States it is whites, in the USSR Russians, and (one could hypothesize) in every country the dominant group that will most easily and frequently transcend its own boundaries as limits of identity.

The other implication of Figure 2 is that linguistic assimilation can be expected to take a big toll in terms of

ethnic identity. Almost all assimilation in Canada today is towards English, and considerable numbers of persons with French ancestry outside of Quebec speak English only or English better than French. To make sure that our sample contains specimens of what, to paraphrase Isaacs, we might call "ex-French Canadians", we can examine the association between ethnic identity and position on the language competence spectrum just for those of French ancestry. When we do this, we find that 61 respondents of French ancestry had English as a principal home language and spoke little or no French. Of these 61, just one identified herself as French Canadian. Except for minor fluctuations characteristic of statistics based on small totals, the better the French and the worse the English spoken by someone of French ancestry the more likely he was to have a definite French Canadian identity. On the other end of the continuum, of the 258 respondents who, in addition to their French origin, also spoke French at home and knew no English at all, 227 (or 88%) identified as French Canadian. Likewise, of the 41 respondents having British ancestry but speaking French rather than English at home, none identified himself as English Canadian. (See Table 1.)

Thus the intergenerational loss of English may be accompanied by the loss of English identity as often as, or even more often than, the loss of the French language is accompanied by the loss of French identity. But the latter phenomenon is more important because the French language is lost considerably more often. While there were 95 respondents of French origin who did not speak fluent French, there were only 21 respondents of British origin not speaking fluent English. These constituted 7% of the French-origin group, but only 12% of the British-origin respondents.

Our finding that almost no-one without high competence in French identifies himself as a French Canadian, even if he is of French ancestry, suggests a significant and paradoxical prediction. The French Canadians, who constitute the most distinctive ethnic group of substantial size in Canada and are believed to do so largely because they have preserved their language, may become one of the <u>least</u> distinctive groups, if and when they do <u>not</u> preserve their language.²⁵

4. Communication Barriers and Coalition Behavior

The results presented above show that, in the case of Canada in 1965, there was a marked tendency for identification with a given group to vary directly with one's ability to communicate in its predominant language, and inversely with

one's ability to communicate in the predominant language of the other main group. This suggests the more general hypothesis that communicative competence differences of the sort that exist in multilingual societies give rise to parallel differences in membership and loyalty behavior among its members. The survey data at hand cannot test this hypothesis, however, because findings of association such as those reported so far could equally be due to a converse relationship: the tendency for feelings of belonging or loyalty to cause people to learn to communicate with (including learning the language of) those they feel akin to.

But by experimentally varying communicative competence in a situation where people are motivated to choose partners for a coalition, one can counteract this problem. At the same time one can observe loyalty behavior rather than just identity expressions.

A number of studies have shown that interpersonal attraction varies directly with geographical proximity, ²⁶ which might well have its effect mostly by virtue of the communication advantages it provides. In addition, there is some experimental evidence that groups with the greatest internal communicative opportunity perform certain tasks best. ²⁷ If the formation of a coalition is a task that the rules of a small-group experiment prohibit more than one subgroup from accomplishing, then it might be hypothesized that the subgroup with the greatest opportunity for intrasubgroup communication should have the greatest probability of forming the successful coalition. ²⁸

An experiment of this sort was conducted in July, 1973, in Heidelberg, West Germany, at the Stiftung Rehabilitation. 29 The experiment took the form of a computer-mediated game called "Tod auf See" (Death at Sea), in which subjects played the part of passengers in a sinking lifeboat. They were instructed that if a bare majority could form a coalition within a specified time, agreed on which bare minority should be dumped overboard, then the boat would stay afloat and those not dumped would survive and split a buried treasure among themselves. Each survivor's share amounted to 5 German marks, which would be paid to each winner after the game.

Each game proceeded through as many rounds as necessary to reach an agreement or exhaust the time limit. On any given round, each subject had 30 seconds to cast a vote against those he wanted to dump. 30 The votes were then tabulated and the outcome of the round revealed. Subjects had been informed that they were playing the game against

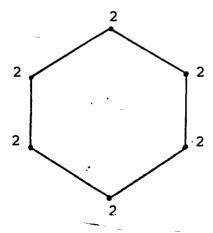
each other, but individual subjects were identified only by number, with subjects randomized across numbers and numbers randomized across conditions. Since subjects sat at separate, cubicled computer terminals, they were anonymous to each other.

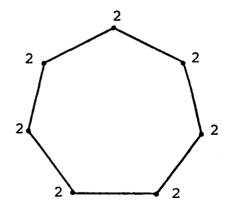
Except for the first game, subjects were allowed to transmit messages to each other before every vote. Messages could take the form of a binding promise of a side-payment in the event of the survival of both sender and receiver, or a fixed-form suggestion to vote against a particular set of passengers. Each message could be sent to only one passenger; the number of messages per round that a subject could send, and the set of passengers to whom he was allowed to send messages, were an experimentally manipulated condition. Each subject knew only how many messages he could send per round, to whom, and sometimes why. Other conditions, indirectly relevant to the present study, were also manipulated, i.e. the time limit and the amount of detail in the outcome feedback.

Game 1, it was noted above, permitted no communication, and we shall ignore its results below. Game 2 imposed a non-hierarchical, and Games 3 and 4 a hierarchical, communication opportunity network. The two types of network for the 7- and 6-person groups were:

6 Players, Non-hierarchical

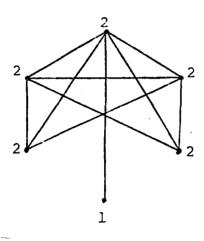
7 Players, Non-hierarchical

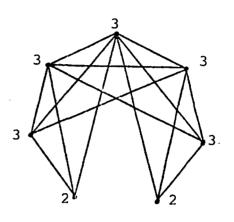




6 Players, Hierarchical

7 Players, Hierarchical





In these diagrams, each node represents a player, each number indicates how many messages per round the player in question was allowed to send, and each line segment represents the presence of a reciprocal communication opportunity between two players. As in the case of a linguistically heterogeneous group, all communication barriers were symmetrical: passenger <u>i</u> could send messages to passenger <u>j</u> if and only if <u>j</u> could send messages to <u>i</u>. This situation contrasts, of course, with asymmetrical barriers such as are typical of mass media systems.

Five groups of seven subjects each, and one group of six subjects, took part in the experiment. Subjects were recruited from a pool of persons aged 15-21, mostly Gymnasium students living in Mannheim.31

The results of this experiment strongly support the hypothesis that the likelihood of a subgroup forming a winning coalition varies directly with the density of the communication network within the subgroup. Of the 18 games played in which communication was allowed, 17 ended in the formation of a successful coalition. If coalitions had been formed by chance, then it would be statistically expected that only 1.41 of the coalitions would have perfectly dense communication networks, i.e. in which each coalition member could send messages to any other coalition member. In fact, however, four of the coalitions were of this type. There was, then, a marked tendency for coalitions to form among those with maximum mutual communicative competence.

This tendency is revealed in more detail by Figure 3. Here each possible coalition is rated horizontally by the statistical probability of a coalition with an at least equally dense communication network being formed by chance. For each density level, the number of coalitions actually formed at or above that level ("Observed") is seen to be considerably greater than would have been expected by chance ("Random"). Over the whole range the observed values are closer to the maximum possible values than would have occurred randomly, and sometimes even reach the maximum possible values.

In the coalition-seeking process, a total of 450 votes were cast by players who had been given restricted communicative competence. 32 Each such vote was inspected to determine how many of the passengers against whom the vote was cast were also passengers to whom the voting player was allowed to send messages. The votes were then tabulated according to how likely it would have been that a vote would name at most as many communicable passengers as it did, if the voter had chosen his voting targets at random from among the other players. The results of this tabulation are shown in Figure 4. The gap between the "Observed" and the "Random" curves represents the tendency to cast votes that name, as targets for dumping overboard, fewer of the passengers with whom the voter can communicate than would be expected by chance. As can be seen, players consistently avoided voting against others that they could communicate with.

The effect of communication opportunity appears basically unchanged in the presence of two conditions that would be expected to interact with it. It might be hypothesized that the tendency to choose coalition partners from communicables would be even stronger when an explicit identity component is added to the communication gap. condition was met by assigning all semi-communicative players in odd-numbered groups to a "language explanation" condition, while their counterparts in even-numbered groups were left in the normal condition. In the language explanation condition, players were given additional information about those that they could and could not send messages to. Those with whom they could communicate were said to speak German, while the others were said to speak an "incomprehensible foreign language". This condition, contrary to expectations, did not lead to a greater gap between the two curves, as a comparison of Figures 4 and 5 shows.

Another condition that might have affected the importance of communication is the presence or absence of other sources of information about the intentions of the players.

If the only clue to the intentions of another player is the communications which he sends, such communications would be expected to exercise great influence over partner choice, and resultant coalitions would be expected to follow communication lines closely. But if direct communication with others is not needed to discover how they plan to vote, decisions might be less likely to favor communication partners. The former situation was present in Game 3, and the latter situation in Game 4, which were otherwise identical. In Game 3, the only feedback about the outcome of a round was the information that no agreement had been reached--or that agreement had been reached and that the player in question had won or lost. In Game 4, however, the feedback about results was total: all players' votes for the round were reported in addition to the fact that an agreement had or had not been reached. With this opportunity to know the actual voting behavior of all other players, subjects might be expected to form coalitions across communication barriers more easily.

In fact, however, the tendency to vote against non-communicables was not appreciably different in Game 4 from Game 3, as can be seen by comparing Figures 6 and 7. This finding is by no means definitive, since it may have also been the case that subjects became more skilled in using, and more sensitive to the importance of, their communication opportunities over time. To test this, an experiment will be needed in which total-feedback games are not systematically different from low-feedback games in temporal sequence or subject sophistication. Another possible explanation for the absence of a difference is that total feedback makes it more difficult for players to use communication for deceit, since deceit can be detected in the voting results. Since deceptive communications would be likely to involve subsequent votes against the message recipient, the keep-them-honest effect of feedback might lead players to coalesce more rather than less often with those they communicate with. Subsequent detailed analysis of the voting results in these two games may reveal such an effect.

Regardless of why the tendency to vote against non-communicables remained stable from Game 3 to Game 4, it is clear from the data that a substantial improvement in the subjects' ability to form coalitions took place from game to game. In Game 2, the first game with communication, the members of all groups cast a total of 199 votes. They cast 152 votes in Game 3, and only 99 votes in Game 4. Likewise, the number of rounds per game averaged 5.3, 4.3, and 2.8 in Games 2, 3, and 4, respectively. Once again, additional experimentation will be necessary to determine whether this pattern of increasing coalition-forming efficiency can be

attributed to experience alone, or whether part of the difference is accounted for by the denser, hierarchical communication network in Games 3 and 4, and by the greater feedback in Game 4.

5. Conclusion

Although further investigation is needed to discover conditions of greater and lesser communication network impact, it appears clear that players avoid voting against those they can communicate with. What does this mean for language and loyalty?

It means, first of all, that in a situation communicationally analogous to a linguistically heterogeneous collectivity competing for scarce resources, individuals who were offered material incentives for forming coalitions tended to do so with their communication partners, at the expense of those they could not talk to. This tendency may well coincide with a tendency to follow a rational, i.e. gain-maximizing, strategy (suggesting that a vote against b, c, . . . , and then voting that way oneself), although rational deceptive strategies may also exist. Experiments in decision-making, however, have typically found that even in the simplest games, where the gain-maximizing strategy soon becomes easily discoverable, it is usually not adopted So it is not uninteresting that our subjects by subjects. 33 behaved rationally (if they did), and that in doing so they exercised "linguistic" discrimination. Death at Sea is not unrepresentative of many outside-world situations if it provides opportunities for people to gang up against those they cannot communicate with. And if people in such situations respond to these opportunities, the consequences can be important. Our data from Canada provided clear evidence that language groups there are groups of distinct identity, whose members perceive language as their principal uncommon denominator. There are reasons to suspect that language differences are, indeed, not just incidental and not just perpetuated results, but also among the main causes, of differences in group identity.

Our initial experimental findings seem to point to the possibility of a communicational interpretation of language conflict—in Canada or elsewhere. Rather than seeing conflict among language groups as epiphenominal, transitory, and pathological, this interpretation would be that it is reasonable for the boundaries between language groups to coincide with the boundaries between communication groups, hence bargaining groups, hence consensus—building groups, hence consensus groups, and hence ultimately loyalty groups.

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Language need not be a surrogate for some other cleavage, since there are interpersonal mechanisms that make language groups likely to oppose each other. Thus, rather than asking why language conflict has become more common, we might ask what forces have so often kept language from being one of the chief dividers of society. And if we treat language group differences as a social illness, we should still recognize them as a manifestation of individual behavior that could easily be viewed as healthy behavior.

We have not, however, explored here what effects language conflict has on society. The evil effects are usually stressed, but the argument can be made³⁴ that such conflict integrates plural societies rather than destroying them. In addition, antagonistic behavior across groups may be accompanied by altruistic behavior within groups, such as in the cases of several subjects in the experiment who helped reach a consensus by voting against themselves. Thus our conclusion approaches "Who says language diversity says language group conflict"--but it remains to be seen whether this warrants alarm.

Appendix A: Medium as Message

The results reported above should be interpreted in the light of the effects that both the surveys and the experiment by virtue of their respective designs, may have had on responses or behavior.

The two Canadian surveys were a product, as well as a register, of their times. The Royal Commission on Bilingualism and Biculturalism had been directed 35 to find ways, particularly through measures promoting bilingualism, to "develop the Canadian Confederation on the basis of an equal partnership" between the English Canadians and French Canadians. To what degree the Groupe des Recherches Sociales, which conducted the adult survey, and Canadian Facts, Limited, and the National Opinion Research Center, the organizations conducting the youth survey, were free to design the surveys as they chose I do not know. The net result, however, is that the responses to these surveys are less than perfect data for the present study, largely because by the content and the ordering of their questions they partly answered for their respondents the questions which we would like to ask the respondents themselves. The chief identity terms that were contained in the questionnaires are shown in Table 2.

A perusal of these two lists is an adequate reminder of the terminological entanglements that threaten an empirical investigation of identity and loyalty, especially in a country like Canada where no consensus exists on either the question of nationality or the terms in which to discuss it. addition to "nation" and "nationality", such terms as "Québecois", "l'État de Québec", and "race" were omitted. Further one can find traces of <u>Canadian</u> nationalism in the youth survey, which refers to "English-speaking" and "French-speaking" Canadians" and to "the government of Canada", as opposed to the greater French-Canadian or provincial-rights orientation of the adult survey, which emphasizes "ethnic groups" and refers to "the federal government in Ottawa". But while one can criticize the terminology employed in the Royal Commission surveys, one can not easily offer a different one without simply substituting new biases for old. Perhaps the above terminologies are even less biasing than they might have been because of their variation and occasional inconsistency.

It is clear, in any case, that the two surveys did indeed define <u>for</u> their respondents a situation in which "nationality" was not an issue, and in which Canada was a "country" composed of ten provinces and various "groups", "ethnic groups", or people speaking different languages, as well as people with other differentiating characteristics such as religion. The most emphasized differentiation in the adult survey was

among "English Canadians", "French Canadians", and "other Canadians"; and in the youth survey was between people (or Canadians) speaking English and those speaking French. The fact that a large number of questions in each survey dealt with language knowledge, language experience, and language attitudes means that respondents were certainly sensitized to language as a salient phenomenon, so the attribution to them of pre-existing sensitivity on the basis of these data should be hesitant and tentative.

TABLE 1

LANGUAGE REPERTOIRE AND ETHNIC IDENTITY AMONG THOSE OF FRENCH AND BRITISH BACKGROUNDS

English Competence:		4	4	4	4	4	3	2	1	0
French Competence:		0	1	2	3	4	4	4	4	4
Respondents of French Patrilineal Origin										
Ethnic Identity	English	17 40.5%	3 15.8%	4 11.8%	4 7.0%	2 3.3%	,0	0	0	0
	French	1 2.4%	0	4 11.8%	10 17.5%	10 16.7%	276 65.9%	275 80.4%	156 86.7%	227 88.0%
	Neither or Mixed	24 57.1%	16 84.2%	26 76.5%	43 75.4%	48 80.0%	143 34.1%	67 19.6%	24 13.3%	31 12.0%
Respondents of British Patrilineal Origin										
	English	603 69.5%	172 62.8%	143 57.0%	21 35.6%	2 12.5%	0	0	0	0
Ethnic Identity	French	0	1 0.4%	1 0.4%	1 1.7%	1 6.3%	13 65.0%	6 85.7%	7 100.0%	6 85 .7%
	Neither or Mixed	265 30.5%	101 36.9%	107 42.6%	37 62.7%	13 81.3%	7 35.0%	1 14.3%	0	1 14.3%

Competence key: 0=none, 1=low, 2=medium, 3=high, 4=native.

TABLE 2

IDENTITY-RELATED TERMS IN THE TWO QUESTIONNAIRES

Adult Survey

French Version: English Version:

le Canada Canada Canadiens anglais English Canadians French Canadians Canadiens français (des) gens du Canada people from Canada foreign etranger citizens of Canada citoyens du Canada one people un seul peuple society societé the province of Quebec la province de Québec

minorité minority group origine origin

group groupe people gens province province

Canadians of another group Canadiens d'un autre groupe

English speaking de langue anglaise French speaking de langue française Frenchmen of France les Français de France Englishmen of England les Anglais d'Angleterre other Canadians les (des) autres Canadiens

French Canadian canadiens-français

country pays

ethnic or cultural group groupe ethnique ou culturel ethnic group groupe ethnique language langue religion religion

the federal government le gouvernement fédéral

one country un seul pays

the rest of Canadians le reste des Canadiens New Canadians Nouveaux-Canadiens

the federal government in le gouvernement fédéral (á

Ottawa Ottawa)

the federal government of le gouvernement fédéral d'Ottawa

0ttawa

Youth Survey

French Version: English Version:

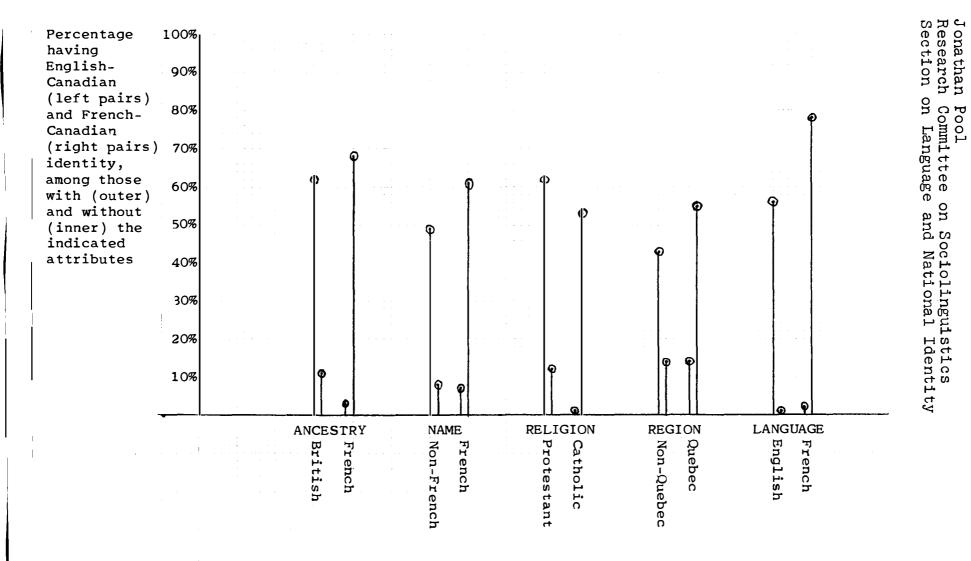
Canada le Canada Canadians des habitants du Canada

Canadian provinces provinces du Canada

people from Eastern Canada people from Western Canada les gens de l'Est du Canada les gens de l'Ouest du Canada

TABLE 2 (CONTINUED)

Catholics les Catholiques Protestants les Protestants les Canadiens de langue française French-speaking Canadians English-speaking Canadians les Canadiens de langue anglaise les gens nés au Canada people born in Canada people born outside of les gens nés hors du Canada Canada country pays les Américains Americans the government of Canada le gouvernement du Canada the United States Etats Unis première langue first language the English les Canadiens anglais the French les Canadiens français groups of people groupes de gens les Canadiens anglais [sic7] English-speaking Canadians les Canadiens français /sic/ French-speaking Canadians French-Canadians les Canadiens français English-Canadians les Canadiens anglais country -speaking (speak _ parlent ___(de langue ___) Canadian du Canada Quebec le Québec Canadians les Canadiens -speaking Canadian Canadien de langue English-French franco-anglais religious group groupe religieux born in Canada né au Canada country from which pays d'origine originally came



Five Demographic Characteristics as Predictors of Identity

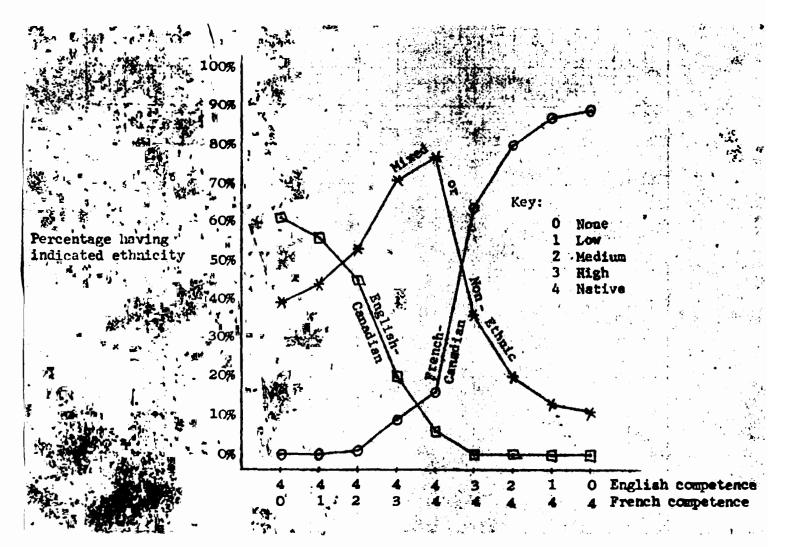
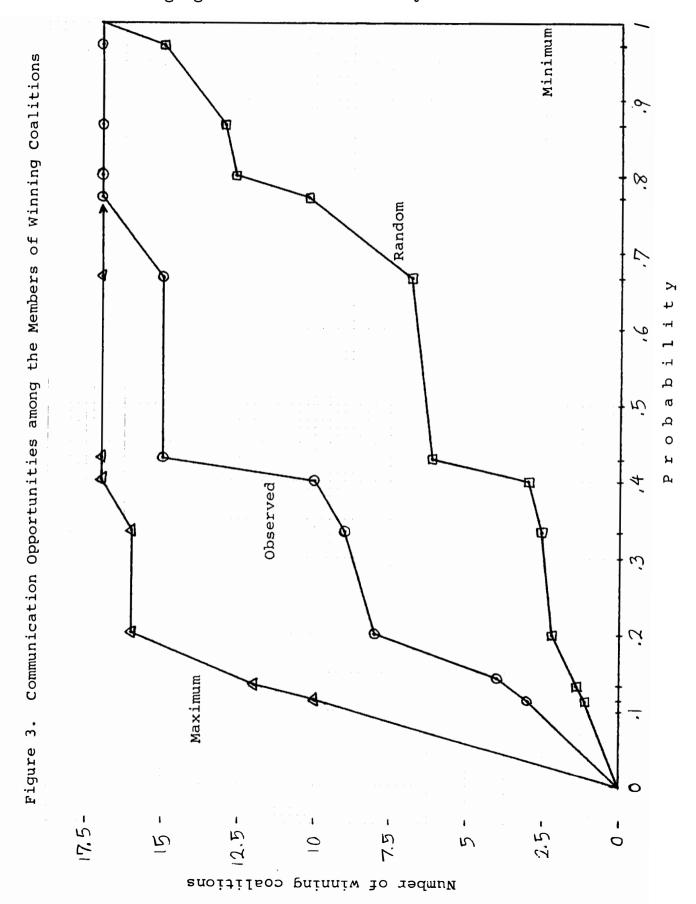
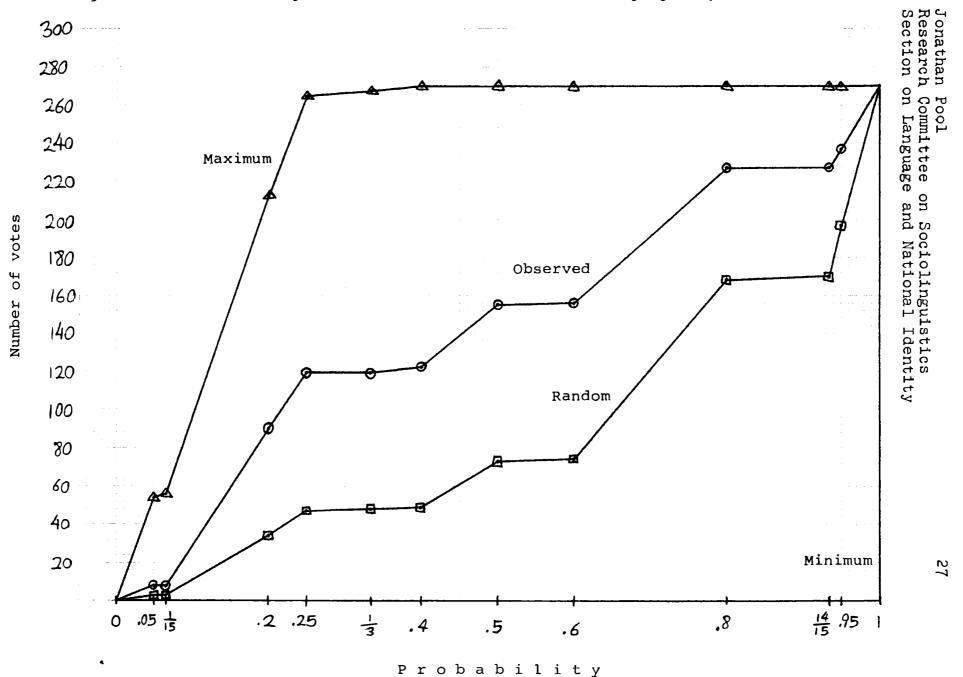


Figure 2. Language Repertoire and Ethnic Identity

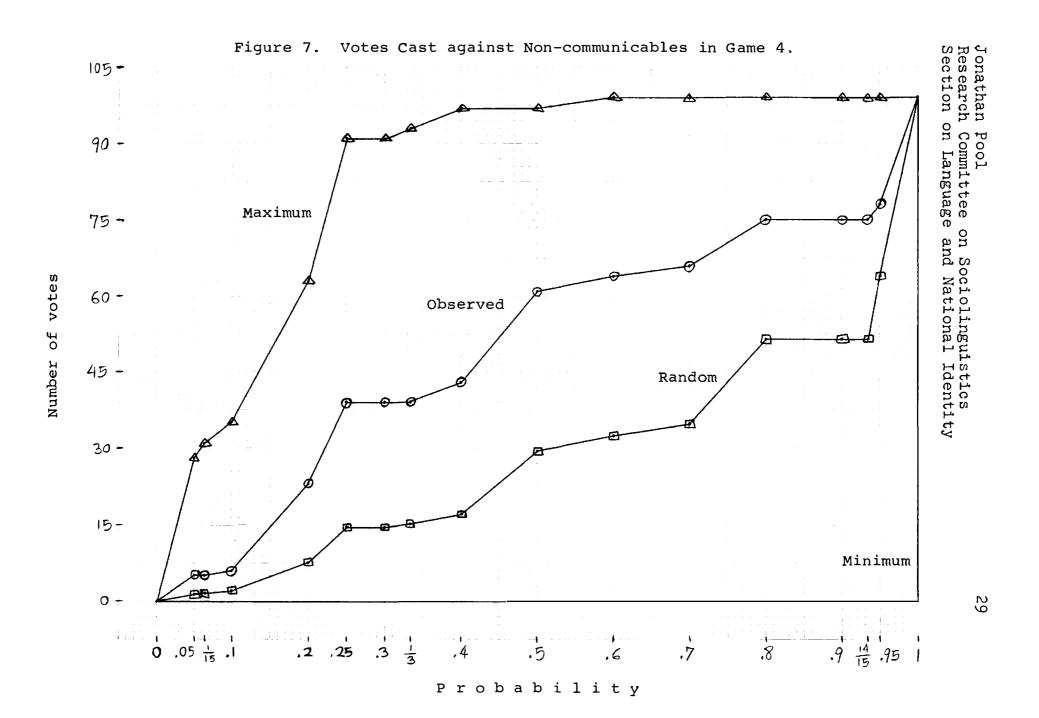


and the contract of the contra

Figure 5. Votes Cast against Non-communicables in the Language Explanation Condition



Votes Cast against Non-communicables in Game 3 Jonathan Pool Research Committee Section on Language 150 -140 -130 -Maximum 120 -110 on Sociolinguistics e and National Identity Observed 100 -Number of votes 90 -80 -70 -60 -Random 50-40 -30 -20 -Minimum 10 -28 .9 14 .95 .2 .8 .3 .5 .25 .6 Probability



Footnotes

- 1. Earlier versions of portions of this paper were presented at the City University of New York Comparative Politics Colloquium and at the Discussion Group of the Columbia University Program on Soviet Nationality Problems. Portions of Section 3 appeared in my unpublished Ph.D. dissertation, "Language and Political Integration: Canada as a Test of Some Hypotheses" (University of Chicago, 1971). I am grateful for stimulating criticisms of earlier drafts of portions of this paper from Dankwart Rustow, Kemal Karpat, Rosemarie Rogers, Joshua A. Fishman, Thomas Spira, Walker Connor, Edward Allworth, and others.
- 2. A brief review of the definitional aspect of nationality may be found in Joshua A. Fishman, <u>Language and Nationalism</u> (Rowley, Mass.: Newbury House Publishers, 1973), pp. 3-5, and the footnotes thereto. See also Karl W. Deutsch, <u>Nationalism and Social Communication</u>, 2nd edn. (Cambridge, Mass.: M.I.T. Press, 1966), ch. 1 and pp. 96-105.
- 3. E.g. Hans Kohn, The Idea of Nationalism (New York: Collier Books, 1967, c. 1944), ch. 1; Rupert Emerson, From Empire to Nation (Boston: Beacon Press, 1960), pp. 95-104. Cf., on ethnicity, Walker Connor, "Nation-Building or Nation-Destroying?", World Politics 24: 319-55 (no. 3, April, 1972), pp. 336-42.
- 4. See Deutsch, op. cit., p. 2, and other sources cited in ch. 1 of Pool, op. cit.
- 5. E.g. Herbert C. Kelman, "Language as an Aid and Barrier to Involvement in the National System", pp. 30-34, in Can Language Be Planned?, ed. Joan Rubin and Björn H. Jernudd (Honolulu: East-West Center Books, 1971), pp. 21-51.
- 6. To the degree that policy preferences expressed to an interviewer are manifestations of group loyalty, my paper on "Mass Opinion on Language Policy: The Case of Canada", in Language Planning: Current Issues and Research, ed. Joan Rubin and Roger Shuy (Washington: Georgetown University Press, 1973), pp. 55-66, of which a revision is forthcoming in Advances in Language Planning, ed. Joshua A. Fishman (The Hague: Mouton), has tested some hypotheses relating the upper-left and lower-right corners of the diagram. And relationships between language communality and communication have been explored somewhat in ch. 3 of Pool, op. cit. (fn. 1).
- 7. Considered by some to have been a multi-million dollar pork barrel for the social sciences, this commission used the funds it received from the Canadian Federal Government to sponsor a total of 146 research projects, including case

studies, surveys, and histories, above and beyond its own extensive hearings. See the annotated list of studies in Report of the Royal Commission on Bilingualism and Biculturalism, vol. 1 (Ottawa: Queen's Printer, 1967), appendix V, pp. 201-12. Some of the products of this work have been appearing under three serial titles: Reports, Studies, and Documents, respectively, of the Royal Commission on Bilingualism and Biculturalism.

- 8. These surveys were probably unique in combining the following characteristics:
 - a. They had large samples--4071 adults and 1365 teenagers--thus permitting more than usually controlled analysis;
 - b. They oversampled the regional minorities (English in Quebec and French elsewhere), thus making detailed within-group analysis possible; and
 - c. They collected, in addition to common demographic and political information, responses to a wide variety of questions about language: language competences, language backgrounds, language experiences, language behaviors, and language attitudes, including opinions on language policies. In all, there are about 260 items of information in the adult survey, and 185 in the youth survey.
- 9. See Pool, op. cit. (fn. 6), and Appendix A of this paper.
- 10. The adult survey asked no such question.
- 11. This last finding is not trivial. Scholars frequently ask whether language is not really a camouflage issue used to mask more "real" differences, e.g. economic, between groups that happen to differ in language. See Stanley Rundle, Language as a Social and Political Factor in Europe (London: Faber and Faber, Limited, 1946), pp. 53, 55-64; Karl Heinz Pfeffer, "Sprachenfrage und soziale Unruhe in Pakistan", La Monda Lingvo-Problemo 1: 129-39 (no. 3, Sept., 1969). Furthermore, in many places in Canada French Canadians, i.e. those of French origin, are far more likely to be able to communicate with each other in English than in French. See Stanley Lieberson, Language and Ethnic Relations in Canada (New York: John Wiley and Sons, Inc., 1970), p. 229.
- 12. In response to question 2-30, 13% of the respondents not only refused to name any ethnic group but also volunteered that they were "Canadians".

- 13. See, for example, A.R.N. Lower, "Two Ways of Life: The Primary Antithesis of Canadian History", in Approaches to Canadian History, ed. Ramsey Cook et al. (Toronto: University of Toronto Press, 1967), pp. 15-28.
- 14. English, Scottish, or Irish. Had the trait been defined as just English ancestry, the difference would be lower still. A serious defect in this trait is that it includes only male ancestry, but this is dictated by the question asked.
- 15. If we refer to linguistic competence rather than linguistic performance, in other words ask which language the respondent knew best rather than which one he normally used at home, the associations change somewhat but the percentage differences remain the same. Had the percentages above been based on weighted totals, to compensate for the stratified sampling employed, no substantial change would have been required in the above conclusions. The predictivity of region is substantially greater with weighting, since regional minority oversampling was employed. But language remains clearly the most predictive. Weighting brings the regional percentage differences up to 46 for English and 63 for French identity.

16. See Appendix A.

- 17. It would, of course, be possible to make finer distinctions than the dichotomies of this analysis, and in doing so to increase the differences both in ethnic identity and in Quebeckism from one category to another of the various predictors which we have been examining. Across—the—board dichotomization is the device used here to permit comparisons of predictiveness, since without such a device there is no limit to the possible fineness of categoriza—tion. It will become clear below that I have no intention of ignoring such finer distinctions when the purpose of analysis is other than predictiveness comparisons.
- 18. This statement may suffice here since it is not a main point in the present argument. However, comparison of arithmetical percentage differences is somewhat arbitrary and therefore challengeable. Another measure of difference, for example, is the comparison of proportional percentage differences. But even in this scheme a difference between 12 percent Quebec seperatism among those speaking French best and 2 percent Quebec separatism among all others would not be considered a greater gap than that between the 81 percent French identity among those speaking French best and the 4 percent French identity among all others. A variation on proportional comparison, which weights

- differences near 0 or 100% more than differences near 50%, has been expounded in several papers by Henri Theil.
- 19. On this latter point see Everett C. Hughes, French Canada in Transition (Chicago: Phoenix Books, 1963, c. 1943), ch. 12.
- 20. See Edward N. Corbett, Quebec Confronts Canada (Baltimore: The John's Hopkins Press, 1967), p. 291, and Report of the Royal Commission on Bilingualism and Biculturalism (Ottawa: Queen's Printer, 1968), vol. II, p. 47.
- 21. See W. E. Lambert et al., "A Study of the Roles of Attitudes and Motivation in Second-Language Learning", in Readings in the Sociology of Language, ed. Joshua A. Fishman (The Hague: Mouton, 1968), pp. 473-91.
- 22. Cf. Kelman, loc. cit.
- 23. This continuum is based on questions asking for principal home language and asking about speaking ability in English and French. The questions were worded, "Do you speak without any difficulty, with some difficulty, with a great deal of difficulty or do you not speak it at all?"; "Parlez-vous le sans difficultés, avec quelques difficultés, avec beaucoup de difficultés ou pas du tout?" The assumption, in some cases doubtless incorrect, was made that those speaking a given language as a principal home language had higher competence in it than others who merely claimed to speak it fluently (i.e. without any difficulty). The former I have labeled as having "native" competence, and the latter as having "high" competence.
- 24. The precise figures are in Table 1.
- 25. Cf. Lieberson, op. cit., p. 130. The converse point is made by Michael Oliver, "Ethnicity in Canadian Politics: French-English Relations", unpublished ms., p. 12. The conclusions about intergenerational change are necessarily weakened by the fact that the respondents were asked about only patrilineal ancestry.
- 26. Marvin E. Shaw, <u>Group Dynamics: The Psychology of Small Group Behavior</u> (New York: McGraw-Hill Book Company, 1971), p. 110.
- 27. George A. Heise and George A. Miller, "Problem Solving by Small Groups Using Various Communication Nets", <u>Journal of Abnormal and Social Psychology</u> 46: 327-35 (1951).

- 28. Small-group research on various communication networks has focused on their effects on leadership, decision-making style, attitudes toward the group, and problem-solving efficiency, rather than interpersonal choice. See A. Paul Hare, Handbook of Small Group Research (New York: The Free Press of Glencoe, 1962), ch. 10, and Shaw, op. cit., pp. 137-45. For a discussion of the limitations of game-type small-group experiments as predictors of every-day behavior, see Karl E. Weick, "Laboratory Experimentation with Organizations", pp. 242-44, in Handbook of Organizations, ed. James G. March (Chicago: Rand McNally & Company, 1965), pp. 194-260, and Colin Cherry, On Human Communication, 2nd edn. (Cambridge, Mass.: M.I.T. Press, 1966), p. 28.
- 29. The experiment was programmed in APL-PLUS on the IBM 370/ 155 of the Stiftung Rehabilitation. Subjects interacted with the program and with each other via typewriter-style IBM 2740 Communications Terminals. (An American version has been programmed in APL\1500 on the IBM 1800 of the State University of New York at Stony Brook Computer-Assisted Instruction Laboratory, where additional experiments are being conducted.) I wish to express my deep thanks to Dr. Werner Augsburger, for permission to use the facilities of Stiftung Rehabilitation; to Wilfried Schreiber, Dietrich Franz, Wolfgang E. Fendt, and numerous others at that institution for assistance in text editing and program improvement, both directly and by their participation as pretest subjects; and to Gunter Dhom and Jeannette Huber of the University of Mannheim for assistance in editing the first version of the German text. The organizational and administrative assistance of Walter Wehrli was indispensable for the realization of the experiment. I am grateful to Prof. Martin Irle of the Sonderforschungsbereich 24, University of Mannheim, for repeated help and advice, and to Margit Oswald of the University of Mannheim for sharing a subject pool with me. The development of the German version of the program would not have been possible without a visiting appointment as Gastdozent at the Institut für Sozialwissenschaften, University of Mannheim, during the fall of 1972. The experimental work was made possible by a summer, 1973, fellowship from the Deutscher Akademischer Austauschdienst-Council for European Studies Post-doctoral Support Program.
- 30. A bare majority of subjects could form a coalition even while one or more others neglected to vote at all. In this way the experiment avoided making every member's co-operation necessary. Experiments in which all group members are essential have been criticized as unrealistic by Murray Glanzer and Robert Glaser in "Techniques for the Study of

- Group Structure and Behavior: Empirical Studies of the Effects of Structure in Small Groups", p. 418, in <u>Small Groups</u>: Studies in Social Interaction, ed. A. Paul Hare <u>et al.</u> (New York: Alfred A. Knopf, 1965), pp. 400-26.
- 31. The subject pool had previously been modified by the exclusion of most members rated high on introversion or extraversion.
- 32. I.e. players who were not allowed to send messages to whichever other players they wanted.
- 33. E.g. Lawrence S. Wrightsman, Jr., et al., Cobperation and Competition: Readings on Mixed-Motive Games (Belmont, Calif.: Brooks/Cole Publishing Co., 1972), pp. 59-60; Emir H. Shuford, Jr., "Some Bayesian Learning Processes", pp. 149-50, in Human Judgments and Optimality, ed. Maynard W. Shelly, II, and Glenn L. Bryan (New York: John Wiley & Sons, 1964), pp. 127-52.
- 34. And has been. See Jyotirindra Das Gupta, <u>Language</u> Conflict and National Development (Berkeley: University of California Press, 1971).
- 35. See Report of the Royal Commission on Bilingualism and Biculturalism, vol. I, Appendix A, pp. 173-74.