



## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

#### Total Bed Capacity

#### Custody Operations

10	Contract Beds	<p>A Contract Bed is defined by the DDPS variable: BedType with the code value = 'C'. This count is the sum of inmates who have been assigned to Contract Beds on the last calendar day of the reporting month and is a "point in time" value representing that month.</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>DDPS/SOMS</i></p>
11	Design Beds	<p>A Design Bed is defined by the DDPS variable: BedType with the code value = 'D'. This count is the sum of inmates who have been assigned to Design Beds on the last calendar day of the reporting month and is a "point in time" value representing that month.</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>DDPS/SOMS</i></p>
12	Overcrowding Beds	<p>An Overcrowding Bed is defined by the DDPS variable: BedType with the code value = 'O'. This count is the sum of inmates who have been assigned to Overcrowding Beds on the last calendar day of the reporting month and is a "point in time" value representing that month.</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>DDPS/SOMS</i></p>
13	Temporary Beds (Family Visiting/Tank Beds)	<p>A Temporary Bed is defined by the DDPS variable: BedType with the code value = 'T'. This count is the sum of inmates who have been assigned to Temporary Beds on the last calendar day of the reporting month and is a "point in time" value representing that month.</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>DDPS/SOMS</i></p>
14	Medical Beds	<p>A Medical Bed is defined by the DDPS variable: BedType with the code value = 'X'. This count is the sum of inmates who have been assigned to Medical Beds on the last calendar day of the reporting month and is a "point in time" value representing that month.</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>DDPS/SOMS</i></p>
15	Blueprint Crowding Capacity	<p>Number of beds in the CDCR Blueprint Crowding Standard on the last day of the reporting period. Desired percentage of bed occupancy based on the Blueprint Housing Plan. Count excludes inmates housed at the California City Correctional Facility. The maximum bed capacity of an institution may be more than the count identified in this metric. Crowding capacity is based on designated program bed use. See glossary for definition of Blueprint Crowding Standard and crowding capacity.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>SOMS</i></p>
16	Maximum Capacity	<p>Maximum number of beds available at an institution designated in SOMS where inmates can be housed.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>SOMS</i></p>
17	Design Beds	<p>Number of design beds at the institution on the last day of the reporting period. Count excludes beds at the California City Correctional Facility. Design Beds are captured by Bed Type "Design" in SOMS. See glossary for definition of design bed.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>SOMS</i></p>
18	Inmate Count	<p>Number of inmates assigned to the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates housed at the institution and in the fire camps. Count excludes inmates that are housed in Department of State Hospital (DSH) at Atascadero State Hospital (ASH), Coalinga State Hospital, and Patton State Hospital beds, out to court, in hospitals, escapees, and out of state. Count may differ from the Weekly Report of Population data produced by the CDCR Office of Research. See glossary for definition of fire camp.</p> <p><i>SOMS</i></p>

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### Counting Rules 2017B July - December 2017

Total Bed Capacity		Custody Operations
19	% Institution Filled to Blueprint Crowding Capacity	<p>Formula: "% Institution Filled to Blueprint Crowding Capacity" equals the sum of "Inmate Count" divided by "Blueprint Crowding Capacity". Percentage does not apply to inmates housed at California City Correctional Facility.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>Formula</i></p>
Inmate Custody Level		Custody Operations
21	Level I	<p>A Security Level I bed is defined by the SOMS variables: BedSecurityLevel with code value = "I". This is a sum of inmates who have been assigned, (SOMS variable: BedStatus = "F" or "H", F means Filled &amp; H means Hold), to Security Level I beds on the last calendar day of the reporting month and is a "point in time" value representing that month.</p> <p><b>Metric deactivated, effective 4/30/2017</b></p> <p><i>SOMS</i></p>
22	Out of Level I Endorsed and Awaiting (Pending) Transfer	<p>Enter the number of Out of Level I Inmates that are currently endorsed to go to another institution, but are pending transfer as of the end of the month. This information can be provided by the institution's Classification and Parole Representative, and is tracked through the Institutional Automated Transfer System.</p> <p><b>Metric deactivated, effective 4/30/2017</b></p> <p><i>Institution Reported</i></p>
23	Level II	<p>A Security Level II bed is defined by the SOMS variables: BedSecurityLevel with code value = "II". This is a sum of inmates who have been assigned, (SOMS variable: BedStatus = "F" or "H", F means Filled &amp; H means Hold), to Security Level II beds on the last calendar day of the reporting month and is a "point in time" value representing that month.</p> <p><b>Metric deactivated, effective 4/30/2017</b></p> <p><i>SOMS</i></p>
24	Out of Level II Endorsed and Awaiting (Pending) Transfer	<p>Enter the number of Out of Level II Inmates that are currently endorsed to go to another institution, but are pending transfer as of the end of the month. This information can be provided by the institution's Classification and Parole Representative, and is tracked through the Institutional Automated Transfer System.</p> <p><b>Metric deactivated, effective 4/30/2017</b></p> <p><i>Institution Reported</i></p>
25	Level III	<p>A Security Level III bed is defined by the SOMS variables: BedSecurityLevel with code value = "III". This is a sum of inmates who have been assigned, (SOMS variable: BedStatus = "F" or "H", F means Filled &amp; H means Hold), to Security Level III beds on the last calendar day of the reporting month and is a "point in time" value representing that month.</p> <p><b>Metric deactivated, effective 4/30/2017</b></p> <p><i>SOMS</i></p>
26	Out of Level III Endorsed and Awaiting (Pending) Transfer	<p>Enter the number of Out of Level III Inmates that are currently endorsed to go to another institution, but are pending transfer as of the end of the month. This information can be provided by the institution's Classification and Parole Representative, and is tracked through the Institutional Automated Transfer System.</p> <p><b>Metric deactivated, effective 4/30/2017</b></p> <p><i>Institution Reported</i></p>
27	Level IV	<p>A Security Level IV bed is defined by the SOMS variables: BedSecurityLevel with code value = "IV". This is a sum of inmates who have been assigned, (SOMS variable: BedStatus = "F" or "H", F means Filled &amp; H means Hold), to Security Level IV beds on the last calendar day of the reporting month and is a "point in time" value representing that month.</p> <p><b>Metric deactivated, effective 4/30/2017</b></p> <p><i>SOMS</i></p>

## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

Inmate Custody Level		Custody Operations
28	<b>Out of Level IV Endorsed and Awaiting (Pending) Transfer</b>	<p>Enter the number of Out of Level IV Inmates that are currently endorsed to go to another institution, but are pending transfer as of the end of the month. This information can be provided by the institution's Classification and Parole Representative, and is tracked through the Institutional Automated Transfer System.</p> <p><b>Metric deactivated, effective 4/30/2017</b></p> <p><i>Institution Reported</i></p>
29	<b>Camps</b>	<p>Inmates assigned from this institution to a CAMP. This count is received on the last day of the calendar month.</p> <p><b>Metric deactivated, effective 4/30/2017</b></p> <p><i>DDPS/SOMS</i></p>
Inmate Security Level		Custody Operations
31	<b>Inmate Level I (Classification Score of 0-18)</b>	<p>Number of inmates with a classification score of 0-18 on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. All male and female inmates are assigned a classification score. Classification score is not the same as Bed Security Level. Bed Security Levels I-IV are only used for institutions that house male inmates. Female inmates are not assigned a bed security level. See glossary for definition of classification score and bed security level.</p> <p><b>Metric activated, effective 5/1/2017</b></p> <p><i>SOMS</i></p>
32	<b>Out of Bed Level I Assignments</b>	<p>Number of inmates with a classification score not equal to the bed security level on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count excludes all female inmates. Female inmates are not given a bed security level. Count may exclude inmates with a classification score not equal to the bed security level but are appropriately housed due to an approved administrative determinate. See glossary for definition of bed security level and administrative determinate.</p> <p><b>Metric activated, effective 5/1/2017</b></p> <p><i>SOMS</i></p>
33	<b>% of Out of Level I Assignments</b>	<p>Formula: "% of Out of Level I Assignments" equals the sum of "Out of Bed Level I Assignments" divided by "Inmate Level I (Classification Score 0-18)". Percentage does not apply to institutions that house female inmates.</p> <p><b>Metric activated, effective 5/1/2017</b></p> <p><i>Formula</i></p>
34	<b>Inmate Level II (Classification Score of 19-35)</b>	<p>Number of inmates with a classification score of 19-35 on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. All male and female inmates are assigned a classification score. Classification score is not the same as Bed Security Level. Bed Security Levels I-IV are only used for institutions that house male inmates. Female inmates are not assigned a bed security level. See glossary for definition of classification score and bed security level.</p> <p><b>Metric activated, effective 5/1/2017</b></p> <p><i>SOMS</i></p>
35	<b>Out of Bed Level II Assignments</b>	<p>Number of inmates with a classification score not equal to the bed security level on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count excludes all female inmates. Female inmates are not given a bed security level. Count may exclude inmates with a classification score not equal to the bed security level but are appropriately housed due to an approved administrative determinate. See glossary for definition of bed security level and administrative determinate.</p> <p><b>Metric activated, effective 5/1/2017</b></p> <p><i>SOMS</i></p>

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### Counting Rules 2017B July - December 2017

#### Inmate Security Level

#### Custody Operations

36	% of Out of Level II Assignments	<p>Formula: "% of Out of Level II Assignments" equals the sum of "Out of Bed Level II Assignments" divided by "Inmate Level II (Classification Score of 19-35)". Percentage does not apply to institutions that house female inmates.</p> <p><b>Metric activated, effective 5/1/2017</b></p> <p><i>Formula</i></p>
37	Inmate Level III (Classification Score of 36-59)	<p>Number of inmates with a classification score of 36-59 on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. All male and female inmates are assigned a classification score. Classification score is not the same as Bed Security Level. Bed Security Levels I-IV are only used for institutions that house male inmates. Female inmates are not assigned a bed security level. See glossary for definition of classification score and bed security level.</p> <p><b>Metric activated, effective 5/1/2017</b></p> <p><i>SOMS</i></p>
38	Out of Bed Level III Assignments	<p>Number of inmates with a classification score not equal to the bed security level on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count excludes all female inmates. Female inmates are not given a bed security level. Count may exclude inmates with a classification score not equal to the bed security level but are appropriately housed due to an approved administrative determinate. See glossary for definition of bed security level and administrative determinate.</p> <p><b>Metric activated, effective 5/1/2017</b></p> <p><i>SOMS</i></p>
39	% of Out of Level III Assignments	<p>Formula: "% of Out of Level III Assignments" equals the sum of "Out of Bed Level III Assignments" divided by "Inmate Level III (Classification Score of 36-59)". Percentage does not apply to institutions that house female inmates.</p> <p><b>Metric activated, effective 5/1/2017</b></p> <p><i>Formula</i></p>
40	Inmate Level IV (Classification Score of 60+)	<p>Number of inmates with a classification score of 60 and greater on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. All male and female inmates are assigned a classification score. The classification score is not the same as Bed Security Level. Bed Security Levels I-IV are only used for institutions that house male inmates. Female inmates are not assigned a bed security level. See glossary for definition of classification score and bed security level.</p> <p><b>Metric activated, effective 5/1/2017</b></p> <p><i>SOMS</i></p>
41	Out of Bed Level IV Assignments	<p>Number of inmates with a classification score not equal to the bed security level on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count excludes all female inmates. Female inmates are not given a bed security level. Count may exclude inmates with a classification score not equal to the bed security level but are appropriately housed due to an approved administrative determinate. See glossary for definition of bed security level and administrative determinate.</p> <p><b>Metric activated, effective 5/1/2017</b></p> <p><i>SOMS</i></p>
42	% of Out of Level IV Assignments	<p>Formula: "% of Out of Level IV Assignments" equals the sum of "Out of Bed Level IV Assignments" divided by "Inmate Level IV (Classification Score of 60+)". Percentage does not apply to institutions that house female inmates.</p> <p><b>Metric activated, effective 5/1/2017</b></p> <p><i>Formula</i></p>

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### Counting Rules 2017B July - December 2017

Camps		Custody Operations
44	Camps (CMC, CRC only)	<p>Number of inmates assigned to a firefighting conservation camp on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. CMC and CRC data must be reported by the institution. See glossary for definition of camp.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>Institution Reported</i></p>
45	Camps	<p>Number of inmates assigned to a firefighting conservation camp on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Camp information for CMC and CRC is excluded. For this reason CMC and CRC data must be reported by the institution. Camps are captured by Bed use "CMP" in SOMS. See glossary for definition of camp.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>SOMS</i></p>
46	Pipeline	<p>Number of inmates that are in the pipeline for assignment to a firefighting conservation camp as of the last day of the reporting period. Pipeline information is not contained in SOMS. For this reason CMC, CRC, SCC, CCC, and CIW data must be reported by the institution. This information should be measured against the number of inmates with a "CMP" administrative determinate residing in a bed level not designated level I or "CMP" bed use. See glossary for definition of pipeline, conservation camp, and administrative determinate.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>Institution Reported</i></p>
Reception Center		Custody Operations
48	Blueprint Crowding Capacity	<p>Number of reception center inmates that can be housed at an institution to stay within the ideal operational capacity levels identified in the CDCR Blueprint. The maximum bed capacity of an institution may be more than the count identified in this metric. Crowding capacity is based on designated program bed use. See glossary for definition of Reception Center, ideal operational capacity, CDCR Blueprint, and crowding capacity.</p> <p><b>Metric activated, effective 4/1/2017</b></p> <p><i>SOMS</i></p>
49	Actual Population	<p>Number of Reception Center (RC) inmates housed within a SOMS bed use of "RC" at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count excludes RC offenders housed in other housing programs such as Administrative Segregation or medical/psychiatric beds. See glossary for definition of Reception Center and administrative segregation.</p> <p><i>SOMS</i></p>
50	Reception Center with S-Suffix	<p>Number of Reception Center (RC) inmates housed within a SOMS bed use of "RC" with an S-Suffix housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. See glossary for definition of Reception Center and S-Suffix.</p> <p><b>Metric activated, effective 5/1/2017</b></p> <p><i>SOMS</i></p>
General Population Summary		Custody Operations
52	Actual Population	<p>General Population is defined by the SOMS variable: SOMS Bed Program Assignment (BPS), which replaces DDPS variable: Housing Program Assignment (HPAS), with following programs assignments: Behavior Modification Unit (BMU); Camp (CMP); Enhanced Outpatient (EOP); General Population (GP); HIV Housing (HIV); Institution Hearing Program (IHP); Substance Abuse Program (SAP); Sensitive Need Yard EOP (SEO); Sensitive Needs Yard (SNY); Sensitive Needs Yard Out-Patient Housing Unit (SOH); Transitional Housing Unit (THU); Work Crew (WC). This is a sum of inmates whose SOMS BPS code value is BMU, CMP, EOP, GP, HIV, IHP, SAP, SEO, SNS, SNY, SOH, THU, or WC on the last calendar day of the reporting month.</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>DDPS/SOMS</i></p>



## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

#### General Population (GP)

#### Custody Operations

54	<b>Blueprint Crowding Capacity</b>	Number of general population inmates that can be housed at an institution to stay within the ideal operational capacity levels identified in the CDCR Blueprint. Count includes inmates assigned to a General Population (GP) bed, Sensitive Needs Yard (SNY), Minimum Support Facility/Firehouse (SWC, WC, FH, SFH), Enhanced Outpatient (SEO and EOP), including CRC and CMC inmates who are assigned to a Camp Program. The maximum bed capacity of an institution may be more than the count identified in this metric. Crowding capacity is based on designated program bed use. See glossary for definition of general population, CDCR Blueprint, ideal operational capacity level, and Crowding capacity.
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**Metric activated, effective 1/1/2017**

**SOMS**

55	<b>Actual Population</b>	Number of General Population (GP) inmates housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates with a SOMS bed use code of "GP". See glossary for definition of general population.
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**Language change, effective 1/1/2017**

**SOMS**

56	<b>GP with S-Suffix</b>	Number of General Population (GP) inmates with an S-Suffix housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates with a SOMS bed use code of "GP" and have an S-Suffix. See glossary for definition of general population and S-Suffix.
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**Metric activated, effective 5/1/2017**

**SOMS**

#### Enhanced Outpatient Program (EOP)

#### Custody Operations

58	<b>Blueprint Crowding Capacity</b>	Number of Enhanced Outpatient Program (EOP) inmates that can be housed at an institution to stay within the ideal operational capacity levels as identified in the CDCR Blueprint. Count includes inmates assigned to an EOP bed use. Count excludes EOP offenders housed in other housing programs such as Administrative Segregation or medical/psychiatric beds. Count excludes Sensitive Needs Yard (SNY) EOP inmates assigned to an "SEO" bed use. The maximum bed capacity of an institution may be more than the count identified in this metric. Crowding capacity is based on designated program bed use. See glossary for definition of Enhanced Outpatient Program, ideal operational capacity level, CDCR Blueprint, Administrative Segregation, and crowding capacity.
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**Metric activated, effective 1/1/2017**

**SOMS**

59	<b>Actual Population</b>	The actual population of diagnosed EOP placement in need is the sum of inmates who have been assigned to designate EOP beds identified by their SOMS variable: Bed Program Assignment (BPA) with Code = 'EOP'; plus the inmates whose Mental Health Code='C*' but haven't been assigned to designate EOP beds on the last calendar day of the reporting month. This count excludes inmates who have been assigned to ASU or SNY or PSU.
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**Metric deactivated, effective 12/31/2016**

**DDPS/SOMS**

60	<b>Total EOP Population</b>	Formula: "Total EOP Population" equals the sum of "Actual Population (In EOP Designated Bed Use)" plus "Actual Population (In Non-EOP Designated Bed Use)".
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**Metric activated, effective 1/1/2017**

**Formula**

61	<b>Actual Population (In EOP Designated Bed Use)</b>	Number of inmates assigned to an Enhanced Outpatient Program (EOP) bed on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates with a SOMS bed use codes of "EOP". Count excludes EOP offenders housed in other housing programs such as Administrative Segregation or medical/psychiatric beds. See glossary for definition of Enhanced Outpatient Program and Administrative Segregation.
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**Metric activated, effective 1/1/2017**

**SOMS**



## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

Enhanced Outpatient Program (EOP)		Custody Operations
62	<b>Actual Population (In Non-EOP Designated Bed Use)</b>	<p>Number of Enhanced Outpatient Program (EOP) mental health level-of-care inmates housed at the institution and assigned to a non-EOP bed on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count excludes inmates assigned to an EOP design bed. See glossary for definition of Enhanced Outpatient Program and level-of-care.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>SOMS</i></p>
63	<b>EOP with S-Suffix</b>	<p>Number of Enhanced Outpatient Program (EOP) inmates with an S-Suffix housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates with a SOMS bed use code of "EOP" and an S-Suffix. See glossary for definition of Enhanced Outpatient Program and S-Suffix.</p> <p><b>Metric activated, effective 5/1/2017</b></p> <p><i>SOMS</i></p>
Sensitive Needs Yard (SNY)		Custody Operations
65	<b>Actual Population</b>	<p>This is the sum of inmates whose SOMS variable: Bed Program Assignment code value is 'SEO', 'SNS', 'SNY', or 'SOH' on the last calendar day of the reporting month.</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>DDPS/SOMS</i></p>
SNY-EOP		Custody Operations
67	<b>Blueprint Crowding Capacity</b>	<p>Number of Sensitive Needs Yard - Enhanced Outpatient Program (SEO) inmates that can be housed at an institution to stay within the ideal operational capacity levels identified in the CDCR Blueprint. Count includes inmates assigned to an SEO bed use. Count excludes SNY EOP offenders housed in other housing programs such as Administrative Segregation or medical/psychiatric beds. Count excludes EOP inmates assigned to an EOP bed. The maximum bed capacity of an institution may be more than the count identified in this metric. Crowding capacity is based on designated program bed use. See glossary for definition of Enhanced Outpatient Program, Sensitive Needs Yard, ideal operational capacity, CDCR Blueprint, and crowding capacity.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>SOMS</i></p>
68	<b>Actual Population</b>	<p>This count is the sum of inmates who have been assigned to designated SNY beds identified by SOMS variable: Bed Program Assignment = 'SNY' but their mental health code have value: 'C*' on the last calendar day of the reporting month.</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>DDPS/SOMS</i></p>
69	<b>Total SNY EOP Population</b>	<p>Formula: "Total SNY-EOP Population" equals the sum of "Actual Population (In SNY-EOP Designated Bed Use)" plus "Actual Population (In Non SNY-EOP Designated Bed Use)".</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>Formula</i></p>
70	<b>Actual Population (In SNY-EOP Designated Bed Use)</b>	<p>Number of inmates assigned to an Enhanced Outpatient Program (EOP) mental health level of care Sensitive Needs Yard (SNY) bed on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates with a SOMS bed use code of "SEO". Count excludes EOP offenders housed in other housing programs. See glossary for definition of Enhanced Outpatient Program, level of care, and Sensitive Needs Yard.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>SOMS</i></p>



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SNY-EOP		Custody Operations
71	<b>Actual Population (In Non SNY-EOP Designated Bed Use)</b>	<p>Number of Enhanced Outpatient Program (EOP) mental health level of care inmates not assigned to a Sensitive Needs Yard (SNY) bed housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count excludes inmates with a SOMS bed use code of "SEO". See glossary for definition of Enhanced Outpatient Program, level of care, and Sensitive Needs Yard.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><b>SOMS</b></p>
72	<b>SNY EOP with S-Suffix</b>	<p>Number of Sensitive Needs Yard - Enhanced Outpatient Program (SEO) inmates that have an S-Suffix housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates with a SOMS bed use code of "SEO" and an S-Suffix. See glossary for definition of Sensitive Needs Yard, Enhanced Outpatient Program, level of care, and S-Suffix.</p> <p><b>Metric activated, effective 5/1/2017</b></p> <p><b>SOMS</b></p>
Correctional Clinical Case Management Services (CCCMS)		Custody Operations
74	<b>Treatment Capacity</b>	<p>Correctional Clinical Case Management Services (CCCMS) treatment capacity on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Treatment capacity represents the number of inmates that can be treated at one time. Note: Numbers are pulled from the California Correctional Health Care Services (CCHCS) monthly CCCMS report under column "Pop at 130%". See glossary for definition of Correctional Clinical Case Management Services, and treatment capacity.</p> <p><b>Language change, effective 1/1/2017</b></p> <p><b>CCHCS</b></p>
75	<b>Actual Population</b>	<p>Number of inmates who have been designated with a Correctional Clinical Case Management Services (CCCMS) level of care housed in a SOMS bed use of "GP" and "SNY" at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. See glossary for definition of Correctional Clinical Case Management Services and level of care.</p> <p><b>Language change, effective 1/1/2017</b></p> <p><b>SOMS</b></p>
Minimum Support Facility		Custody Operations
77	<b>Actual Population</b>	<p>This is the sum of inmates who have been assigned to designated MSF beds identified by the SOMS variable: 'CellBed' with the first character = 'M'.</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><b>DDPS/SOMS</b></p>
Work Crews (WC/SWC/FH/SFH)		Custody Operations
79	<b>Blueprint Crowding Capacity</b>	<p>Number of Work Crew (WC), Sensitive Needs Yard Work Crew (SWC), Fire House (FH), and Sensitive Needs Yard Fire House (SFH) inmates that can be housed at an institution to stay within the ideal operational capacity levels identified in the CDCR Blueprint. The maximum bed capacity of an institution may be more than the count identified in this metric. Crowding capacity is based on designated program bed use. See glossary for definition of Work Crew, Sensitive Needs Yard, Fire House, ideal operational capacity, CDCR Blueprint, and crowding capacity.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><b>SOMS</b></p>
80	<b>Actual Population</b>	<p>Number of Work Crew (WC), Sensitive Needs Yard Work Crew (SWC), Fire House (FH), and Sensitive Needs Yard Fire House (SFH) inmates housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates with SOMS bed use codes of "WC", "SWC", "FH", and "SFH". See glossary for definition of Work Crew, Sensitive Needs Yard and Fire House.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><b>SOMS</b></p>



## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

Administrative Segregation (ASU)		Custody Operations
82	<b>Blueprint Crowding Capacity</b>	<p>Number of Administrative Segregation Unit (ASU) inmates that can be housed at the institution to stay within the ideal operational capacity levels identified in the CDCR Blueprint. The ASU Blueprint capacity includes ASU Enhanced Outpatient (EOP) HUB utilization. The maximum bed capacity of an institution may be more than the count identified in this metric. Crowding capacity is based on designated program bed use. See glossary for definition of Administrative Segregation Unit, ideal operational capacity, CDCR Blueprint, crowding capacity and enhanced outpatient hub.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><b>SOMS</b></p>
83	<b>Actual Population</b>	<p>Number of Administrative Segregation Unit (ASU) inmates housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. SOMS bed use code is "ASU". See glossary for definition of Administrative Segregation Unit.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><b>SOMS</b></p>
84	<b>CSR Endorsed for Transfer</b>	<p>Number of inmates assigned to an Administrative Segregation Unit (ASU) bed pending a Classification Services Representative (CSR) transfer endorsement on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. SOMS bed use code is "ASU". See glossary for definition of Administrative Segregation Unit and endorsement.</p> <p><b>Metric activated, effective 5/1/2017</b></p> <p><b>SOMS</b></p>
85	<b>Stays Exceeding 150 Days</b>	<p>Number of Administrative Segregation Unit (ASU) inmates housed in ASU for at least 150 days on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Days spent by an inmate in Administrative Segregation must be continuous. SOMS bed use code is "ASU". If an inmate is assigned to another bed type and then returns to Administrative Segregation, the count of days starts over. Reasons for stays exceeding 150 days can include pending investigation, pending District Attorney referral, pending court proceedings, pending Rules Violation Report (RVR), etc. See glossary for definition of Administrative Segregation Unit, and Rules Violation Report.</p> <p><b>Metric activated, effective 7/1/2017</b></p> <p><b>SOMS</b></p>
86	<b>Stays Exceeding 400 days</b>	<p>Number of Administrative Segregation Unit (ASU) inmates housed in ASU for at least 400 days on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Days spent by an inmate in Administrative Segregation must be continuous. SOMS bed use code is "ASU". If an inmate is assigned to another bed type and then returns to Administrative Segregation, the count of days starts over. Reasons for stays exceeding 400 days can include pending investigation, pending District Attorney referral, pending court proceedings, pending Rules Violation Report (RVR), etc. See glossary for definition of Administrative Segregation unit, and Rules Violation Report.</p> <p><b>Metric activated, effective 7/1/2017</b></p> <p><b>SOMS</b></p>
87	<b>Stays Exceeding 800 days</b>	<p>Number of Administrative Segregation Unit (ASU) inmates housed in ASU for at least 800 days on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Days spent by an inmate in Administrative Segregation must be continuous. SOMS bed use code is "ASU". If an inmate is assigned to another bed type and then returns to Administrative Segregation, the count of days starts over. Reasons for stays exceeding 800 days can include pending investigation, pending District Attorney referral, pending court proceedings, pending Rules Violation Report (RVR), etc. See glossary for definition of Administrative Segregation unit, and Rules Violation Report.</p> <p><b>Metric activated, effective 7/1/2017</b></p> <p><b>SOMS</b></p>

## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

Administrative Segregation (ASU)		Custody Operations
88	<b>Actual Population (Excluding Overflow)</b>	<p>This count is the sum of inmates who have been assigned to designated 'ASU' beds identified by the SOMS variable: Bed Program Assignment with code value = 'ASU' on the last calendar day of the reporting month.</p> <p><b>Metric deactivated, effective 12/31/2016</b> <i>DDPS/SOMS</i></p>
89	<b>Actual Population (With Overflow)</b>	<p>This is the sum of inmates whose SOMS variable: Bed Program Assignment code value = 'ASU' on the last calendar day of the reporting month, and any overflow the institution may have reported for the same reporting period as follows: 'Actual ASU Population' + 'ASU Overflow Actual Population' = 'Actual Population (With Overflow)'.</p> <p><b>Metric deactivated, effective 12/31/2016</b> <i>Formula</i></p>
90	<b>Average Length of Stay</b>	<p>The average length of stay should include all inmates housed in Administrative Segregation, Ad Seg (Overflow), and Ad Seg (Hub). This average should be determined by counting the total number of inmates housed in any of the Ad Seg areas and then determining the total length of stay (number of days) those inmates have remained in Ad Seg. Divide the total number of days by the total number of inmates to determine this value.</p> <p>[Budget Display Act requirement performance measure]</p> <p><b>Metric deactivated, effective 6/30/2017</b> <i>Institution Reported</i></p>
91	<b>Stays Exceeding 200 days</b>	<p>This is the total number of Administrative Segregation Unit (ASU) inmates assigned to ASU whose stay has exceeded 200 days, but equal to or less than 400 days. This information is obtained from the ASU database/log maintained by designated ASU staff. Counting Rule: This is the midnight count as of the last day of the monthly reporting period.</p> <p><b>Metric deactivated, effective 6/30/2017</b> <i>Institution Reported</i></p>
92	<b>Stays Exceeding 400 days</b>	<p>This is the total number of Administrative Segregation Unit (ASU) inmates assigned to ASU whose stay has exceeded 400 days, but is equal to or less than 800 days. This information is obtained from the ASU database/log maintained by designated ASU staff. Counting Rule: This is the midnight count as of the last day of the monthly reporting period.</p> <p><b>Metric deactivated, effective 6/30/2017</b> <i>Institution Reported</i></p>
93	<b>Stays Exceeding 800 days</b>	<p>This is the total number of Administrative Segregation Unit (ASU) inmates assigned to ASU whose stay has exceeded 800 days. This information is obtained from the ASU database/log maintained by designated ASU staff. Counting Rule: This is the midnight count as of the last day of the monthly reporting period.</p> <p><b>Metric deactivated, effective 6/30/2017</b> <i>Institution Reported</i></p>
94	<b>Total ASU Endorsed Inmates</b>	<p>This is the total of SNY Endorsed + SHU Endorsed + SNY GP Endorsed + PSU Endorsed = Total ASU Endorsed Inmates. This is the midnight count as of the last day of the monthly reporting period.</p> <p><b>Metric deactivated, effective 6/30/2017</b> <i>Formula</i></p>
95	<b>ASU Inmates Endorsed for GP</b>	<p>The number of GP endorsed inmates housed in ASU pending transfer to an appropriate facility. This information is obtained from the ASU database/log maintained by designated ASU staff.</p> <p><b>Metric deactivated, effective 6/30/2017</b> <i>Institution Reported</i></p>
96	<b>ASU Inmates Endorsed for SNY</b>	<p>(Formerly - Administrative Segregation Pending Transfer) The number of SNY or SHU endorsed inmates housed in Ad Seg pending transfer to an SNY/SHU appropriate facility. Counting Rule: This is the midnight count as of the last day of the monthly reporting period.</p> <p><b>Metric deactivated, effective 6/30/2017</b> <i>Institution Reported</i></p>



## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

#### Administrative Segregation (ASU)

#### Custody Operations

**97 ASU Inmates Endorsed for SHU** This is the total number of Security Housing Unit inmates housed in ASU pending transfer to an appropriate SHU facility. This information is obtained from the ASU database/log maintained by designated ASU staff. This is the midnight count as of the last day of the monthly reporting period.

**Metric deactivated, effective 6/30/2017**

*Institution Reported*

**98 ASU Inmates Endorsed for PSU** This is the total number of Psychiatric Services Unit inmates housed in ASU pending transfer to an appropriate PSU Facility. This information is obtained from the ASU database/log maintained by designated ASU staff. This is the midnight count as of the last day of the monthly reporting period.

**Metric deactivated, effective 6/30/2017**

*Institution Reported*

#### ASU EOP Hub

#### Custody Operations

**100 Blueprint Crowding Capacity** Number of Administrative Segregation Unit (ASU) Enhanced Outpatient Program (EOP) Hub inmates that can be housed at an institution to stay within the ideal operational capacity levels identified in the CDCR Blueprint. Blueprint Crowding equals 100%. The maximum bed capacity of an institution may be more than the count identified by this metric. Crowding capacity is based on designated program bed use. See glossary for definition of Administrative Segregation Unit, Enhanced Outpatient Program Hub, ideal operational capacity, CDCR Blueprint, and crowding capacity.

**Metric activated, effective 5/1/2017**

*SOMS*

**101 Actual Population** The number of ASU Hub inmates at each facility. Only populate these cells if you have an actual ASU. As of July 2009 DAI has identified the following as Hub institutions: SAC, MCSP, SVSP, CMC-E, COR, LAC, VSPW, CIW, CMF, and SQ. Counting Rule: This is the midnight count as of the last day of the monthly reporting period.

**Metric deactivated, effective 4/30/17**

*Institution Reported*

**102 Actual Population** Number of designated Enhanced Outpatient Program (EOP) inmates housed in an Administrative Segregation Unit (ASU) at a designated ASU EOP HUB Facility on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates housed at SAC, MCSP, CMC-E, LAC, RJD, CIW, CMF, CHCF, COR, and CCWF. SOMS bed use code is "ASU". Count excludes inmates housed in institutions that do not have an Administrative Segregation Unit (ASU). See glossary for definition of Enhanced Outpatient Program, Administrative Segregation Unit, and Hub.

**Metric activated, effective 5/1/2017**

*SOMS*

#### Restricted Housing

#### Custody Operations

**104 Blueprint Crowding Capacity** Number of Restricted Unit inmates that can be housed at the institution to stay within the ideal operational capacity levels identified in the CDCR Blueprint. Count includes inmates housed in Administrative Segregation Housing Unit (ASU), Short-Term Restricted Housing (STRH), Long-Term Restricted Housing (LTRH), Security Housing Unit (SHU), and the Psychiatric Security Unit (PSU). The maximum bed capacity of an institution may be more than the count identified in this metric. Crowding capacity is based on designated program bed use. See glossary for definition of restricted unit, Administrative Segregation Unit, Short-Term Restricted Housing, Long-Term Restricted Housing, Security Housing Unit, Psychiatric Security Unit, ideal operational capacity, CDCR Blueprint, and crowding capacity.

**Metric activated, effective 1/1/17**

*SOMS*



## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

#### Restricted Housing

#### Custody Operations

105	<b>Actual Population</b>	<p>Number of restricted unit inmates housed at the institution in Administrative Segregation Housing Unit (ASU), Short-Term Restricted Housing (STRH), Long-Term Restricted Housing (LTRH), Security Housing Unit (SHU), and the Psychiatric Security Unit (PSU) with a SOMS bed use code of "ASU", "SRH", "LRH", "SHU", and "PSU" on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. See glossary for definition of restricted unit, Administrative Segregation Unit, Short-Term Restricted Housing, Long-Term Restricted Housing, Security Housing Unit, and Psychiatric Security Unit.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><b>SOMS</b></p>
106	<b>Total Restricted Housing Endorsed Inmates</b>	<p>Number of restricted housing unit inmates endorsed for transfer after the date of initial segregation placement on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. See glossary for definition of restricted housing.</p> <p><b>Metric activated, effective 5/1/2017</b></p> <p><b>SOMS</b></p>
107	<b>Restricted Housing Inmates Endorsed for Transfer to GP</b>	<p>Number of restricted housing unit inmates endorsed for transfer to General Population (GP) on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. SOMS bed use code is "GP". See glossary for definition of restricted housing, endorsed, and General Population.</p> <p><b>Metric activated, effective 5/1/2017</b></p> <p><b>SOMS</b></p>
108	<b>Restricted Housing Inmates Endorsed for Transfer to SNY</b>	<p>Number of restricted housing unit inmates endorsed for transfer to a Sensitive Needs Yard (SNY) on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. SOMS beds use code is "SNY". See glossary for definition of restricted housing unit, Sensitive Needs Yard, and endorsed.</p> <p><b>Metric activated, effective 5/1/2017</b></p> <p><b>SOMS</b></p>

#### Restricted Custody General Population (RCGP)

#### Custody Operations

110	<b>Actual Population</b>	<p>Number of Restricted Custody General Population (RCGP) inmates housed at the institution on the last day of the reporting period. Count is a point-in-time number as of the last day of the reporting period. Count includes inmates with a SOMS bed use code of "RGP". See glossary for definition of restricted custody and general population.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><b>SOMS</b></p>
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#### Short Term Restricted Housing (STRH)

#### Custody Operations

112	<b>Blueprint Crowding Capacity</b>	<p>Number of Short Term Restricted Housing Unit (STRH) inmates that can be housed at an institution to stay within the ideal operational capacity levels identified in the CDCR Blueprint. Crowding capacity is based on designated program bed use "SRH". The maximum bed capacity of an institution may be more than the count identified in this metric. See glossary for definition of Short Term Restricted Housing, Ideal operational capacity, CDCR Blueprint, and crowding capacity.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><b>SOMS</b></p>
113	<b>Actual Population</b>	<p>Number of designated Correctional Clinical Case Management Services (CCCMS) Administrative Segregation Unit (ASU) inmates housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates housed at COR, LAC, SAC, SATF, HDSP, KVSP, PBSP, PVSP, and SVSP. Count excludes inmates housed in institutions that do not have a designated STRH bed use or inmates not designated CCCMS. SOMS bed use code is "SRH". See glossary for definition of Correctional Clinical Case Management Services, Administrative Segregation Unit, and Short Term Restricted Housing.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><b>SOMS</b></p>

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### Counting Rules 2017B July - December 2017

#### Short Term Restricted Housing (STRH)

#### Custody Operations

114	STRH with S-Suffix	Number of Short Term Restricted Housing (STRH) unit inmates with an S-Suffix housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates housed in an STRH unit with a SOMS bed use code of "SRH" and an S-Suffix. See glossary for definition of Short Term Restricted Housing and S-Suffix. <b>Metric activated, effective 5/1/2017</b> <i>SOMS</i>
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#### Long Term Restricted Housing (LTRH)

#### Custody Operations

116	Blueprint Crowding Capacity	Number of Long Term Restricted Housing Unit (LTRH) inmates that can be housed at an institution to stay within the ideal operational capacity levels identified in the CDCR Blueprint. The maximum bed capacity of an institution may be more than the count identified in this metric. Crowding capacity is based on designated program bed use. See glossary for definition of Long Term Restricted Housing, Ideal operational capacity, CDCR Blueprint, and crowding capacity. <b>Metric activated, effective 1/1/2017</b> <i>SOMS</i>
117	Actual Population	Number of designated Correctional Clinical Case Management Services (CCCMS) inmates with a Security Housing Unit (SHU) term housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates housed at COR and CCI. Count excludes inmates housed in institutions that do not have an LTRH. SOMS bed use code is "LRH". See glossary for definition of Correctional Clinical Case Management Services, Security Housing Unit, and Long Term Restricted Housing. <b>Metric activated, effective 1/1/2017</b> <i>SOMS</i>
118	LTRH with S-Suffix	Number of Long Term Restricted Housing (LTRH) unit inmates with an S-Suffix housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates with a SOMS bed use code of "LRH" and an S-Suffix. See glossary for definition of Long Term Restricted Housing and S-Suffix. <b>Metric activated, effective 5/1/2017</b> <i>SOMS</i>

#### Life Sentenced Inmates

#### Custody Operations

120	Life (With Possibility of Parole)	The total number of Life Sentence With the Possibility of Parole inmates at each facility. This is the count of Offender Information Services Branch (OISB) InstPop.dbf as of the last week of the monthly reporting period. The data is retrieved from OISB's InstPop.dbf and the variable is Live Type and the value -> 'LIF'. <b>Metric deactivated, effective 4/30/2017</b> <i>OISB</i>
121	Life (Without Possibility of Parole) (LWOP)	The total number of Life Sentence Without the Possibility of Parole (LWOP) inmates at each facility. This is the count of Offender Information Services Branch (OISB) InstPop.dbf as of the last week of the monthly reporting period. The data is retrieved from OISB's InstPop.dbf and variable is Live Type and the value -> 'LOW'. <b>Metric deactivated, effective 4/30/2017</b> <i>OISB</i>
122	Life (With Possibility of Parole)	Number of inmates with a sentence of life with the possibility of parole housed at the institution, within all housing programs on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates with a life type of "LIF". See glossary for definition of life with the possibility of parole. <b>Metric activated, effective 5/1/2017</b> <i>SOMS</i>



## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

#### Life Sentenced Inmates

#### Custody Operations

123	<b>Life (Without Possibility of Parole) (LWOP)</b>	Number of inmates with a sentence of life without the possibility of parole housed at the institution, within all housing programs on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates with a life type of "LWO". See glossary for definition of life without the possibility of parole.
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**Metric activated, effective 5/1/2017**

**SOMS**

#### Condemned Inmates

#### Custody Operations

125	<b>Blueprint Crowding Capacity</b>	Number of condemned inmates that can be housed at an institution within designated SOMS bed use code "DR" to stay within the ideal operational capacity levels identified in the CDCR Blueprint. The maximum bed capacity of an institution may be more than the count identified in this metric. Crowding capacity is based on designated program bed use. See glossary for definition of condemned, ideal operational capacity, CDCR Blueprint and crowding capacity.
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**Metric activated, effective 5/1/2017**

**SOMS**

126	<b>Actual Population</b>	Number of condemned inmates housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates with a life type of "ROW" in SOMS. See glossary for definition of condemned.
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**Metric activated, effective 5/1/2017**

**SOMS**

#### ASU Overflow

#### Custody Operations

128	<b>Actual Population</b>	The ASU Overflow Actual Population is collected by the Classification Services Unit and distributed on a 'Weekly Population Report' within that report, located on the 'ASU' tab in the 'ASU Overflow' column. This total is received on the last day of each reporting period.
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**Metric deactivated, effective 12/31/2016**

**SOMS**

#### Behavior Management Unit

#### Custody Operations

130	<b>Actual Population</b>	The number of BMU inmates participating in a BMU program at each facility broken down by budgeted capacity and actual population. Counting Rule: This is the midnight count as of the last day of the monthly reporting period. Note: Unless there is a Behavior Management Unit Budgeted Capacity value identified for this institution, enter zero for the reporting month.
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Limitation: Institutions that are reception centers (though not budgeted for BMU) may have a very small number of BMU endorsed inmates awaiting transfer. These inmates (as a result of this note to the Counting Rule) would be excluded from being identified and counted. In any case they do not receive programming services.

**Metric deactivated, effective 12/31/2016**

**SOMS**

131	<b>Average Length of Stay</b>	The average length of stay for inmates housed in the Behavior Management Unit during the reporting period. Note: Unless there is a Behavior Management Unit Budgeted Capacity value identified for this institution, enter zero for the reporting month.
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Limitation: Institutions that are reception centers (though not budgeted for BMU) may have a very small number of BMU endorsed inmates awaiting transfer. These inmates (as a result of this note to the Counting Rule) would be excluded from being identified and counted. In any case they do not receive programming services.

**Metric deactivated, effective 12/31/2016**

**Institution Reported**

132	<b>Number of Completions</b>	Enter the number of BMU program participants who completed the program for the month.
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**Metric deactivated, effective 12/31/2016**

**Institution Reported**





## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

Psychiatric Services Unit (PSU)		Custody Operations
134	Blueprint Crowding Capacity	<p>Number of Psychiatric Services Unit (PSU) inmates that can be housed at an institution to stay within the ideal operational capacity levels identified in the CDCR Blueprint. Blueprint crowding capacity equals 100%. The maximum bed capacity of an institution may be more than the count identified in this metric. Crowding capacity is based on designated program bed use. See glossary for definition of Psychiatric Services Unit, ideal operational capacity, CDCR Blueprint, and crowding capacity.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><b>SOMS</b></p>
135	Actual Population	<p>This count is the sum of inmates who have been assigned to designated PSU beds identified by SOMS variable: Bed Program Assignment = 'PSU' on the last calendar day of the reporting month.</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><b>DDPS</b></p>
136	Average Length of Stay	<p>Average length of stay for inmates housed in the Psychiatric Services Unit (PSU) during the reporting period. Count includes inmates housed at CIW, PBSP, and SAC. This is a manual calculation that adds the total number of days each inmate has been housed in PSU divided by the total number of inmates in PSU. SOMS bed use codes is "PSU". See glossary for definition of Psychiatric Services Unit.</p> <p><b>Language change, effective 1/1/2017</b></p> <p><b>Institution Reported</b></p>
137	Actual Population	<p>Number of Psychiatric Services Unit (PSU) inmates housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates housed at CIW, PBSP and SAC. SOMS bed use code is "PSU". See glossary for definition of Psychiatric Services Unit.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><b>SOMS</b></p>
Security Housing Unit (SHU)		Custody Operations
139	Blueprint Crowding Capacity	<p>Number of Security Housing Unit (SHU) inmates that can be housed at an institution to stay within the ideal operational capacity levels identified in the CDCR Blueprint. Blueprint crowding equals 120%. The maximum bed capacity of an institution may be more than the count identified in this metric. Crowding capacity is based on designated program bed use. Count includes inmates assigned to a SHU bed at CIW, COR, and PBSP. See glossary for definition of Security Housing Unit, ideal operational capacity, CDCR Blueprint, and crowding capacity.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><b>SOMS</b></p>
140	Actual Population	<p>Number of Security Housing Unit (SHU) inmates housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates housed at CIW, COR, and PBSP. SOMS bed use code is "SHU". See glossary for definition of Security Housing Unit.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><b>SOMS</b></p>
141	SHU with S-Suffix	<p>Number of Security Housing Unit (SHU) inmates with an S-Suffix housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates housed at CIW, COR, and PBSP with a SOMS bed use code of "SHU" and an S-Suffix. See glossary for definition of Security Housing Unit and S-Suffix.</p> <p><b>Metric activated, effective 5/1/2017</b></p> <p><b>SOMS</b></p>
142	Actual Population	<p>This count is the sum of inmates who have been assigned to designated SHU beds identified by SOMS variable: Bed Program Assignment = 'SHU' on the last calendar day of the reporting month.</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><b>DDPS/SOMS</b></p>



## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

Security Housing Unit (SHU)		Custody Operations
143	Inmates With Expired MERD's	<p>Count the number of expired MERD's inmates. These are inmates who have completed their SHU term and are awaiting an ASU bed. Acquire this number on the last day of the reporting month from the Daily Movement Sheet.</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>Institution Reported</i></p>
144	Inmates With Expired MERD's Endorsed	<p>Count the number of expired MERD's endorsed inmates. These are inmates who have completed their SHU term and are awaiting transfer. Acquire this number on the last day of the reporting month from the Daily Movement Sheet.</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>Institution Reported</i></p>
Total EOP Populations		Custody Operations
146	Actual Population	<p>Actual population for all Enhanced Outpatient Program inmates, including those housed in other housing programs such as SNY, ASU or RC. EOP - GP: Actual Population + EOP - SNY: Actual Population + EOP - ASU: Actual Population + EOP - RC: Actual Population.</p> <p>Note: PSU inmate populations are excluded.</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>Formula</i></p>
EOP-GP (GP Housing Program)		Custody Operations
148	Actual Population	<p>This count is the sum of inmates who have been assigned to designated EOP beds identified by SOMS variable: Bed Program Assignment = 'EOP'. Note that this count is exclusive of populations where the inmate does have an EOP placement in need but has primarily been assigned to other designate program bed, such as ASU / SNY, etc.</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>DDPS/SOMS</i></p>
EOP Other Housing Programs		Custody Operations
150	Actual Population	<p>Offenders with an EOP diagnosis housed in a housing program not specifically budgeted for EOP Offenders. EOP-diagnosed offenders in EOP-GP, EOP-SNY, or EOP-ASU are not counted. EOP offenders in housing programs not specifically identified and budgeted for EOP (typically either specialized programs such Psychiatric Services Unit (PSU), Department of Mental Health (DMH) or reflective of CDCR processing times: EOP in Reception Center beds) are counted. DDPS: Mental Health Code placement need of 'C' and Housing Program Assignment of ACU, CTC, DMH, DR, GAC, GP, HIV, ICF, MCB, OHU, PSU, RC, SAP, or SHU.</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>DDPS/SOMS</i></p>
EOP-ASU		Custody Operations
152	Actual Population	<p>This count is the sum of inmates who have been assigned to designated ASU beds identified by SOMS variable: Bed Program Assignment = 'ASU' but their mental health code have value: 'C*' on the last calendar day of the reporting month.</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>DDPS</i></p>
Single Cell Inmates		Custody Operations
154	Single Cell Inmate Summary	<p>This is the sum of all Single Cell categories.</p> <p><b>Metric deactivated, effective 4/30/2017</b></p> <p><i>Formula</i></p>
155	Summary With S-Suffix	<p>This is the sum of all Single Cell With S-Suffix categories.</p> <p><b>Metric deactivated, effective 4/30/2017</b></p> <p><i>Formula</i></p>



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Single Cell Inmates		Custody Operations
156	Summary Without S-Suffix	<p>This is the sum of all Single Cell Without S-Suffix categories.</p> <p><b>Metric deactivated, effective 4/30/2017</b></p> <p><i>Formula</i></p>
157	Total General Population (GP)	<p>Formula: Total number of GP inmates with and without an S-Suffix. Sum of: "With S-Suffix" + "Without S-Suffix" = Total Single Cell Inmates.</p> <p><b>Metric deactivated, effective 4/30/2017</b></p> <p><i>Formula</i></p>
158	GP With S-Suffix	<p>Enter the number of GP single cell housed inmates with an S-Suffix. This number will be the remaining inmates at the institution who are single celled and endorsed with S-Suffix and are not housed in ASU, PSU, and SHU.</p> <p><b>Metric deactivated, effective 4/30/2017</b></p> <p><i>Institution Reported</i></p>
159	GP Without S-Suffix	<p>Enter the number of GP single cell housed inmates who do not have an S-Suffix and are not housed in ASU, PSU, SHU, DMH, ACU, ICF, MCB, OHU, CTC, and structurally designed single cells. These inmates are double cell cleared and are refusing to accept a qualified cellmate.</p> <p><b>Metric deactivated, effective 4/30/2017</b></p> <p><i>Institution Reported</i></p>
160	Total Administrative Segregation Unit (ASU) Single Cell Inmates	<p>Formula: Total number of ASU inmates with and without an S-Suffix. Sum of: "ASU with S-Suffix" + "ASU without S-Suffix" + "ASU EOP with S-Suffix" + "ASU EOP without S-Suffix" = Total ASU Single Cell Inmates.</p> <p><b>Metric deactivated, effective 4/30/2017</b></p> <p><i>Formula</i></p>
161	ASU With S-Suffix	<p>Enter the number of single cell housed inmates endorsed with an S-Suffix. Only include numbers for inmates housed in Administrative Segregation.</p> <p><b>Metric deactivated, effective 4/30/2017</b></p> <p><i>Institution Reported</i></p>
162	ASU Without S-Suffix	<p>Enter the number of single cell housed inmates that do not have an S-Suffix. Only include numbers for inmates housed in Administrative Segregation. These inmates are double cell cleared and are refusing to accept a qualified cellmate.</p> <p><b>Metric deactivated, effective 4/30/2017</b></p> <p><i>Institution Reported</i></p>
163	ASU EOP with S-Suffix	<p>Enter the number of Administrative Segregation Unit single cell housed inmates with an S-Suffix. Only include numbers for inmates designated as EOP. This is Institution Reported from the Daily Movement Sheet (DMS).</p> <p><b>Metric deactivated, effective 4/30/2017</b></p> <p><i>Institution Reported</i></p>
164	ASU EOP without S-Suffix	<p>Enter the number of Administrative Segregation Unit single cell housed inmates that do not have an S-Suffix. Only include numbers for inmates designated as EOP. These inmates are double cell cleared and are refusing to accept a qualified cellmate. This is Institution Reported from the Daily Movement Sheet (DMS).</p> <p><b>Metric deactivated, effective 4/30/2017</b></p> <p><i>Institution Reported</i></p>
165	Total Psychiatric Services Unit (PSU) Single Cell Inmates	<p>Formula: Total number of PSU inmates with and without an S-Suffix. Sum of: "PSU With S-Suffix" + "PSU Without S-Suffix" = Total PSU Single Cell Inmates.</p> <p><b>Metric deactivated, effective 4/30/2017</b></p> <p><i>Formula</i></p>
166	PSU With S-Suffix	<p>Enter the number of single cell housed inmates endorsed with an S-Suffix. Only include numbers for inmates housed in the Psychiatric Services Unit.</p> <p><b>Metric deactivated, effective 4/30/2017</b></p> <p><i>Institution Reported</i></p>



## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

#### Single Cell Inmates

#### Custody Operations

167	Total Security Housing Unit (SHU) Single Cell Inmates	Formula: Total number of SHU inmates with and without an S-Suffix. Sum of: "SHU With S-Suffix" + "SHU Without S-Suffix" = Total SHU Single Cell Inmates. <b>Metric deactivated, effective 4/30/2017</b> <i>Formula</i>
168	SHU With S-Suffix	Enter the number of single cell housed inmates endorsed with an S-Suffix. Only include numbers for inmates housed in the Security Housing Unit. <b>Metric deactivated, effective 4/30/2017</b> <i>Institution Reported</i>
169	SHU Without S-Suffix	Enter the number of single cell housed inmates that do not have an S-Suffix. Only include numbers for inmates housed in the Security Housing Unit. These inmates are double cell cleared and are refusing to accept a qualified cellmate. <b>Metric deactivated, effective 4/30/2017</b> <i>Institution Reported</i>
170	Total Enhanced Outpatient Program (EOP)	Formula: Total number of EOP inmates with and without an S-Suffix. Sum of: "EOP With S-Suffix" + "EOP Without S-Suffix" = Total EOP Single Cell Inmates. <b>Metric deactivated, effective 4/30/2017</b> <i>Formula</i>
171	EOP With S-Suffix	Enter the number of single cell housed inmates with an S-Suffix. Only include numbers for inmates designated as EOP. <b>Metric deactivated, effective 4/30/2017</b> <i>Institution Reported</i>
172	EOP Without S-Suffix	Enter the number of single cell housed inmates that do not have an S-Suffix. Only include numbers for inmates designated as EOP. These inmates are double cell cleared and are refusing to accept a qualified cellmate. <b>Metric deactivated, effective 4/30/2017</b> <i>Institution Reported</i>

#### Psychiatric Inpatient Program (PIP)

#### Custody Operations

174	Blueprint Crowding Capacity	Number of Psychiatric Inpatient Program (PIP) inmates that can be housed at the institution to stay within the ideal operational capacity levels identified in the CDCR Blueprint. Blueprint crowding equals 100%. Count includes inmates housed at CIW and SQ. The maximum bed capacity of an institution may be more than the count identified in this metric. Crowding capacity is based on designated program bed use. See glossary for definition of Psychiatric Inpatient Program, ideal operational capacity, CDCR Blueprint, and crowding capacity. <b>Metric activated, effective 1/1/2017</b> <i>SOMS</i>
175	Actual Population	Number of Psychiatric Inpatient Program (PIP) inmates housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates housed at CIW and SQ. SOMS bed use code is "PIP". See glossary for definition of Psychiatric Inpatient Program. <b>Metric activated, effective 1/1/2017</b> <i>SOMS</i>

#### Correctional Treatment Center (CTC)

#### Custody Operations

177	Blueprint Crowding Capacity	Number of Correctional Treatment Center (CTC) inmates that can be housed at an institution to stay within the ideal operational capacity levels identified in the CDCR Blueprint. Count includes inmates housed at CCWF, CIW, CHCF, CMF, SOL, and SQ. Blueprint crowding equals 100%. The maximum bed capacity of an institution may be more than the count identified in this metric. Crowding capacity is based on designated program bed use. See glossary for definition of Correctional Treatment Center, ideal operational capacity, CDCR Blueprint, and crowding capacity. <b>Metric activated, effective 1/1/2017</b> <i>SOMS</i>
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Correctional Treatment Center (CTC)		Custody Operations
178	Actual Population	<p>Number of Correctional Treatment Center (CTC) inmates housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates housed at CCWF, CIW, CHCF, CMF, SOL, and SQ. SOMS bed use code is "CTC". See glossary for definition of Correctional Treatment Center.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>SOMS</i></p>
Out-Patient Housing Unit (OHU)		Custody Operations
180	Blueprint Crowding Capacity	<p>Number of Outpatient Housing Unit (OHU) inmates that can be housed at an institution to stay within the ideal operational capacity levels identified in the CDCR Blueprint. Count includes inmates housed at CIW, ASP, CCC, CCI, CHCF, CMF, CRC, CTF, SAC and VSP. Blueprint crowding equals 100%. The maximum bed capacity of an institution may be more than the count identified in this metric. Crowding capacity is based on designated program bed use. See glossary for definition of Outpatient Housing Unit, ideal operational capacity, CDCR Blueprint, and crowding capacity.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>SOMS</i></p>
181	Actual Population	<p>Number of Outpatient Housing Unit (OHU) inmates housed at an institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates housed at CIW, ASP, CCC, CCI, CHCF, CMF, CRC, CTF, SAC and VSP. SOMS bed use code is "OHU". See glossary for definition of Outpatient Housing Unit.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>SOMS</i></p>
Mental Health Crisis Bed (MCB)		Custody Operations
183	Blueprint Crowding Capacity	<p>Number of Mental Health Crisis Bed (MCB) inmates that can be housed at an institution to stay within the ideal operational capacity levels identified in the CDCR Blueprint. Blueprint crowding equals 100%. The maximum bed capacity of an institution may be more than the count identified in this metric. Crowding capacity is based on designated program bed use. See glossary for definition of Mental Health Crisis Bed, ideal operational capacity, CDCR Blueprint, and crowding capacity.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>SOMS</i></p>
184	Actual Population	<p>Number of Mental Health Crisis Bed (MCB) inmates housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. SOMS bed use code is "MCB". See glossary for definition of Mental Health Crisis Bed.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>SOMS</i></p>
American with Disabilities Act (ADA)		Custody Operations
186	Actual Population	<p>Formula: Total number of impacting and non-impacting ADA inmates. Sum of: "Impacting" + "Non-Impacting" = Actual Population. The information is gathered using 4 DECS Roster reports to include: 1 for Armstrong GP, 1 for Armstrong RC, 1 for Clark GP, and 1 for Clark RC. The totals are added together to represent the total number of ADA inmates. This is the midnight count as of the last day of the monthly reporting period, of the total number of ADA approved inmates at each facility. This includes both Armstrong and Clark class members.</p> <p><b>Metric deactivated, effective 6/30/2017</b></p> <p><i>Formula</i></p>
187	Impacting	<p>This is the midnight count as of the last day of the monthly reporting period. The information is gathered using 4 DECS Roster reports to include: 1 for Armstrong GP, 1 for Armstrong RC, 1 for Clark GP, and 1 for Clark RC. The totals are added together to represent the total number impacting ADA inmates. This is the number of DPx and DDx coded inmates.</p> <p><b>Metric deactivated, effective 6/30/2017</b></p> <p><i>Institution Reported</i></p>

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### American with Disabilities Act (ADA)

### Custody Operations

**188 Non-impacting** This is the midnight count as of the last day of the monthly reporting period. The information is gathered from the total number of DECS roster report DNx coded inmates. The totals represent the total number non-impacting ADA inmates.

**Metric deactivated, effective 6/30/2017**

*Institution Reported*

**189 Actual Population (All Institutions)** Formula: "Actual Population" equals the sum of "Impacting (Designated Institutions)" plus "Non-Impacting (Designated Institutions)".

**Metric activated, effective 7/1/2017**

*Formula*

**190 Impacting (Designated Institutions)** Number of impacting Americans with Disabilities Act (ADA) inmates housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes Armstrong Class members coded as DPW, DPO, DPM, DPH, DPV, DPS, DNM, DNH, DNS, DKD, and DLT (See acronym listing). Count excludes inmates housed at any non-DPP designated institution. See glossary for definition of Americans with Disabilities Act, impacting, and Armstrong class member.

**Metric activated, effective 7/1/2017**

*SOMS*

**191 Non-Impacting** Number of non-impacting Americans with Disabilities Act (ADA) inmates housed at the institution on the last day of the reporting period. This is a point-in-time number as of the last day of the reporting period. Count includes all inmates coded as DNM, DNH, DNS, DLT, and DKD (See acronym listing). See glossary for definition of Americans with Disabilities Act and non-impacting.

**Metric activated, effective 7/1/2017**

*SOMS*

### Development Disability Program (DDP)

### Custody Operations

**193 Actual Population** Formula: "Actual Population" equals the sum of "Impacting" plus "Non-Impacting".

**Metric activated, effective 7/1/2017**

*Formula*

**194 Designated** Number of impacting Development Disability Program (DDP) inmates housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes Clark Class members coded as DD1, DD2, and DD3 (See acronym listing). Count excludes inmates housed at any non-DDP institution. See glossary for definition of Development Disability Program, impacting, and Clark class member.

**Metric activated, effective 7/1/2017**

*SOMS*

**195 Non-Designated** Number of non-impacting Development Disability Program (DDP) inmates housed at the institution on the last day of the reporting period. This is a point-in-time number as of the last day of the reporting period. Count includes Clark Class members coded as DD1, DD2, and DD3 (See acronym listing). See glossary for definition of Development Disability Program, Clark class member, and non-impacting.

**Metric activated, effective 7/1/2017**

*SOMS*

### Inmate Disciplinarys

### Custody Operations

**197 Per 100 Inmates** Formula: "Per 100 Inmates" equals the sum of "Total Inmate RVR's" divided by "Inmate Count" multiplied by 100.

**Metric activated, effective 3/1/2016**

*Formula*

**198 Total Inmate RVRs** Formula: Total Inmate RVR's equals the sum of "Counseling RVR's" plus "Administrative RVR's - Final/Concluded" plus "Serious RVR's - Final/Concluded".

**Metric activated, effective 3/1/2016**

*Formula*



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Inmate Disciplinaries		Custody Operations
199	Counseling RVRs	<p>Number of Counseling Rules Violations Reports (RVR) (formerly CDCR 128-A) issued to inmates during the reporting period. Totals are taken on the last day of the reporting period. Count includes only RVR's issued for counseling that have a status of final/concluded. See Glossary for definition of Counseling RVR and disposition.</p> <p><b>Metric activated, effective 3/1/2016</b></p> <p><b>SOMS</b></p>
200	Administrative RVRs	<p>Number of Administrative Rules Violations Reports (RVR) issued to inmates that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes only RVR's for administrative violations that have a status of final/concluded and a guilty disposition. See Glossary for definition of Administrative RVR and adjudicated.</p> <p><b>Metric activated, effective 3/1/2016</b></p> <p><b>SOMS</b></p>
201	Serious RVRs	<p>Number of Serious Rules Violations Reports (RVR) issued to inmates that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes only RVR's for serious violations that have a status of final/concluded and a guilty disposition. See Glossary for definition of Serious RVR and adjudicated.</p> <p><b>Metric activated, effective 3/1/2016</b></p> <p><b>SOMS</b></p>
202	Pending RVRs	<p>Number of RVR's written and issued to inmates during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's that have not been adjudicated. Count excludes RVR's that are voided, final/concluded, ordered re-issued/reheard. Count excludes the number of inmates. See Glossary for definition of adjudicated.</p> <p><b>Metric activated, effective 3/1/2016</b></p> <p><b>SOMS</b></p>
203	Camp RVRs	<p>Number of RVR's issued to inmates assigned to a fire camp that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes serious and administrative RVR's that have a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of adjudicated and disposition.</p> <p><b>Metric activated, effective 3/1/2016</b></p> <p><b>SOMS</b></p>
204	Serious RVRs Exceeding Time Limitations	<p>Number of RVR's issued to inmates that are classified per CCR Title 15 Section 3315 as "Serious" in which time limitations have not been met/exceeded pursuant to CCR Title 15 Section 3320 that occurred during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of serious RVR.</p> <p><b>Metric activated, effective 3/1/2016</b></p> <p><b>SOMS</b></p>
205	Conduct	<p>Number of Rules Violation Reports (RVR) issued to inmates pursuant to CCR Title 15 Section 3005 (a), (b), (c), and (d) offenses, "Conduct" that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's issued for conduct that have a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of conduct, adjudicated, and disposition.</p> <p><b>Metric activated, effective 3/1/2016</b></p> <p><b>SOMS</b></p>
206	Total Assaults	<p>Formula: "Total Assaults" equals the sum of "Assault on a Non-Prisoner - Final/Concluded" plus "Assault on a Prisoner - Final/Concluded" plus "Assault on a Peace Officer - Final/Concluded" plus "Assault with a Deadly Weapon - Final/Concluded".</p> <p><b>Metric activated, effective 3/1/2016</b></p> <p><b>Formula</b></p>

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### Counting Rules 2017B July - December 2017

Inmate Disciplinary		Custody Operations
207	Assault on a Non-Prisoner	<p>Number of RVR's issued to inmates for assault on a Non-Prisoner pursuant to CCR Title 15 Section 3005 (d)(1) Force or Violence, that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of assault, adjudicated, disposition, and non-prisoner.</p> <p><b>Metric activated, effective 3/1/2016</b></p> <p><b>SOMS</b></p>
208	Assault on a Prisoner	<p>Number of RVR's issued to inmates for assault on a Prisoner pursuant to CCR Title 15 Section 3005 (d)(1) Force or Violence, that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of assault, adjudicated, and disposition.</p> <p><b>Metric activated, effective 3/1/2016</b></p> <p><b>SOMS</b></p>
209	Assault on a Peace Officer	<p>Number of RVR's issued to inmates for assault on a Peace Officer pursuant to CCR Title 15 Section 3005 (d)(1) Force or Violence, that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of assault, adjudicated, and disposition.</p> <p><b>Metric activated, effective 3/1/2016</b></p> <p><b>SOMS</b></p>
210	Assault with a Deadly Weapon	<p>Number of RVR's issued to inmates for assault with a deadly weapon pursuant to CCR Title 15 Section 3005 (d)(1) Force or Violence, that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of assault, adjudicated, disposition, and deadly weapon.</p> <p><b>Metric activated, effective 3/1/2016</b></p> <p><b>SOMS</b></p>
211	Total Batteries	<p>Formula: "Total Batteries" equals the sum of "Battery on a Non-Prisoner - Final/Concluded" plus "Battery on a Prisoner - Final/Concluded" plus "Battery on a Peace Officer - Final/Concluded" plus "Battery with a Deadly Weapon - Final/Concluded".</p> <p><b>Metric activated, effective 3/1/2016</b></p> <p><b>Formula</b></p>
212	Battery on a Non-Prisoner	<p>Number of RVR's issued to inmates for battery on a Non-Prisoner pursuant to CCR Title 15 Section 3005 (d)(1) Force or Violence, that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of battery, adjudicated, disposition, and non-prisoner.</p> <p><b>Metric activated, effective 3/1/2016</b></p> <p><b>SOMS</b></p>
213	Battery on a Prisoner	<p>Number of RVR's issued to inmates for battery on a Prisoner pursuant to CCR Title 15 Section 3005 (d)(1) Force or Violence, that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes only RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of battery, adjudicated, and disposition.</p> <p><b>Metric activated, effective 3/1/2016</b></p> <p><b>SOMS</b></p>



## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

#### Inmate Disciplinary

#### Custody Operations

214	<b>Battery on a Peace Officer</b>	<p>Number of RVR's issued to inmates for battery on a Peace Officer pursuant to CCR Title 15 Section 3005 (d)(1) Force or Violence, that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of battery, adjudicated, and disposition.</p> <p><b>Metric activated, effective 3/1/2016</b></p> <p><b>SOMS</b></p>
215	<b>Battery with a Deadly Weapon</b>	<p>Number of RVR's issued to inmates for battery with a deadly weapon pursuant to CCR Title 15 Section 3005 (d)(1) Force or Violence, that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of battery, adjudicated, disposition, and deadly weapon.</p> <p><b>Metric activated, effective 3/1/2016</b></p> <p><b>SOMS</b></p>
216	<b>Possession of Controlled Substance/Stimulant/Sedative</b>	<p>Number of RVR's issued to inmates for offenses related to possession of a controlled substance/stimulant/sedative pursuant to CCR Title 15 Section 3016 (a), and (b) that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes possession of a controlled substance in an institution, unauthorized possession of medication, or possession of alcohol. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of controlled substance, stimulant, sedative, adjudicated and disposition.</p> <p><b>Metric activated, effective 3/1/2016</b></p> <p><b>SOMS</b></p>
217	<b>Unauthorized Possession of Drug Paraphernalia</b>	<p>Number of RVR's issued to inmates for offenses related to unauthorized possession of drug paraphernalia pursuant to CCR Title 15 Section 3016 (a), and (b) that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of drug paraphernalia, adjudicated, and disposition.</p> <p><b>Metric activated, effective 3/1/2016</b></p> <p><b>SOMS</b></p>
218	<b>Under the Influence of a Controlled Substance/Stimulant/Sedative</b>	<p>Number of RVR's issued to inmates for offenses related to being under the influence of a controlled substance/stimulant/sedative pursuant to CCR Title 15 Section 3016 (a) that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes under the influence of an intoxicant, controlled substance, drug, or alcohol. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of controlled substance, stimulant, sedative, intoxicant, adjudicated, and disposition.</p> <p><b>Metric activated, effective 3/1/2016</b></p> <p><b>SOMS</b></p>
219	<b>Distribution/Introduction of a Controlled Substance</b>	<p>Number of RVR's issued to inmates for offenses related to distribution/introduction of a controlled substance pursuant to CCR Title 15 Section 3016 (c) that were adjudicated during the reporting period. Totals are taken from the last day of the reporting period. Count includes distribution of a controlled substance or introduction of a controlled substance. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of controlled substance, adjudicated, and disposition.</p> <p><b>Metric activated, effective 3/1/2016</b></p> <p><b>SOMS</b></p>



## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

#### Inmate Disciplinary

#### Custody Operations

220	Positive U.A.	<p>Number of RVR's issued to inmates for offenses related to a positive urinalysis (U.A.) test pursuant to CCR Title 15 Section 3016 (a) that were adjudicated during the reporting period. Totals are taken on from the last day of the reporting period. Count includes use of controlled substance, marijuana, barbiturates, or alcohol based solely on a positive test. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of controlled substance, urinalysis, adjudicated, and disposition.</p> <p><b>Metric activated, effective 3/1/2016</b></p> <p><b>SOMS</b></p>
221	U.A. Refusal	<p>Number of RVR's issued to inmates for refusal to submit a urinalysis (U.A.) test pursuant to CCR Title 15 Section 3290 (d) that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count excludes the number of inmates. See Glossary for definition of urinalysis and adjudicated.</p> <p><b>Metric activated, effective 3/1/2016</b></p> <p><b>SOMS</b></p>
222	Indecent Exposure (IEX)	<p>Number of RVR's issued to inmates for indecent exposure related offenses pursuant to CCR Title 15 Section 3007 that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes indecent exposure with and without prior Penal Code (PC) 288 convictions or indecent exposure with and without prior PC 314 convictions. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of indecent exposure, adjudicated, and disposition.</p> <p><b>Metric activated, effective 3/1/2016</b></p> <p><b>SOMS</b></p>
223	Sexual Disorderly Conduct	<p>Number of RVR's issued to inmates for sexual disorderly conduct related offenses pursuant to CCR Title 15 Section 3007 that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of sexual disorderly conduct, adjudicated, and disposition.</p> <p><b>Metric activated, effective 3/1/2016</b></p> <p><b>SOMS</b></p>
224	Possession of Cell Phone(s)	<p>Number of RVR's issued to inmates for possession of a cell phone pursuant to CCR Title 15 Section 3006 (a) that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes constructive possession of a cellular telephone or possession of a cellular telephone. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes number of inmates. See Glossary for definition of constructive possession, possession, adjudicated, and disposition.</p> <p><b>Metric activated, effective 3/1/2016</b></p> <p><b>SOMS</b></p>
225	Possession of Wireless Communication Device(s)	<p>Number of RVR's issued to inmates for possession of a wireless communication device pursuant to CCR Title 15 Section 3006 (a) that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes constructive possession of a wireless communication device, constructive possession of a wireless device, possession of a wireless communication device, or possession of a wireless device. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes number of inmates. See Glossary for definition of constructive possession, possession, wireless device, adjudicated, and disposition.</p> <p><b>Metric activated, effective 3/1/2016</b></p> <p><b>SOMS</b></p>



## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

#### Inmate Disciplinaries

#### Custody Operations

226	Disturbance, Riot, or Strike	<p>Number of RVR's issued to inmates for participation in a disturbance, riot or strike pursuant to CCR Title 15 Section 3005 (a) and (d), that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes serious disruption of facility operations, mass hunger strike, inciting a riot, participation in a riot, participation in a rout, or participation in an unlawful assembly. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of disturbance, riot, strike, adjudicated, disposition, unlawful assembly, and rout.</p> <p><b>Metric activated, effective 3/1/2016</b></p> <p><b>SOMS</b></p>
227	Fighting	<p>Number of RVR's issued to an inmate for fighting pursuant to CCR Title 15 Section 3005 (d)(1) that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of fighting, adjudicated, and disposition.</p> <p><b>Metric activated, effective 3/1/2016</b></p> <p><b>SOMS</b></p>
228	Threats	<p>Number of RVR's issued to inmates for threats pursuant to CCR Title 15 Section 3005 (d)(1) that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes threatening to cause serious bodily injury (SBI) to a public official, threatening to cause SBI to a public official's family, threatening to kill a public official, or threatening to kill a public official's family. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of threatening, adjudicated, disposition, and serious bodily injury.</p> <p><b>Metric activated, effective 3/1/2016</b></p> <p><b>SOMS</b></p>
229	Willfully Resisting, Delaying or Obstructing a Peace Officer	<p>Number of RVR's issued to inmates for willfully resisting, delaying or obstructing a peace officer pursuant to CCR Title 15 Section 3005 (d) that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes resisting staff, disobeying orders, behavior which could lead to violence, willfully delaying a peace officer in the performance of duty, willfully obstructing a peace officer in the performance of duty, or willfully resisting a peace officer in the performance of duty. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of resisting, delaying, obstructing, adjudicated, and disposition.</p> <p><b>Metric activated, effective 3/1/2016</b></p> <p><b>SOMS</b></p>
230	Possession, Manufacture or Attempt to Manufacture a Deadly Weapon or Explosive Device	<p>Number of RVR's issued to inmates for possession, manufacture or attempt to manufacture a deadly weapon or explosive device pursuant to CCR Title 15 Section 3006 (a) that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes attempted manufacture of a deadly weapon, attempted manufacture of an explosive device, manufacture of a deadly weapon, manufacture of an explosive device, possession of a deadly weapon, or possession of an explosive device. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of deadly weapon, explosive device, adjudicated, and disposition.</p> <p><b>Metric activated, effective 3/1/2016</b></p> <p><b>SOMS</b></p>



## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

Inmate Disciplinaries		Custody Operations
231	Attempted Murder	<p>Number of RVR's issued to an inmate for attempted murder pursuant to CCR Title 15 Section 3005 (d)(1) that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates involved. See Glossary for definition of attempted murder, adjudicated, and disposition.</p> <p><b>Metric activated, effective 3/1/2016</b></p> <p><b>SOMS</b></p>
232	Murder	<p>Number of RVR's issued to inmates for murder pursuant to CCR Title 15 Section 3005 (d)(1), that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates involved. See Glossary for definition of murder, adjudicated, and disposition.</p> <p><b>Metric activated, effective 3/1/2016</b></p> <p><b>SOMS</b></p>
233	Other	<p>Number of RVR's issued to an inmate for offenses not listed as a separate metric in this report that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of adjudicated and disposition.</p> <p><b>Metric activated, effective 3/1/2016</b></p> <p><b>SOMS</b></p>
234	Number of RVR's Involving Mental Health Inmates	<p>Number of RVR's issued to inmates in the Mental Health Services Delivery System (MHSDS) that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's issued only to mental health inmates with a status of final/concluded and a guilty disposition. Count excludes number of inmates. See Glossary for definition of adjudicated and disposition.</p> <p><b>Metric activated, effective 3/1/2016</b></p> <p><b>SOMS</b></p>
Number of Incidents		Custody Operations
236	Number of Incidents	<p>Number of reportable incidents as identified in California Code of Regulations (CCR) Title 15 Section 3382 and Department Operations Manual (DOM) Section 51030.3 that occurred during the reporting period in which an Incident Report was completed. Totals are taken on the last day of the reporting period. See Glossary for definition of reportable incidents.</p> <p><b>Language change, effective 1/1/2017</b></p> <p><b>DIRS</b></p>
237	Per 100 Inmates	<p>Formula: "Per 100 Inmates" equals "Number of Incidents" divided by "Inmate Count" multiplied by 100.</p> <p><b>Language change, effective 1/1/2017</b></p> <p><b>Formula</b></p>
238	Number of Voiced Incident Reports	<p>This is the number of voiced incidents during the reporting period.</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><b>DIRS</b></p>
239	Documented Use of Force	<p>Number of documented use of force incidents pursuant to the California Code of Regulations (CCR), Title 15, Section 3268 and DOM Section 51020.1, Article 2 that occurred during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of documented use of force.</p> <p><b>Language change, effective 1/1/2017</b></p> <p><b>DIRS</b></p>
240	Per 100 Inmates	<p>Formula: "Per 100 Inmates" equals "Documented Use of Force" divided by "Inmate Count" multiplied by 100.</p> <p><b>Language change, effective 1/1/2017</b></p> <p><b>Formula</b></p>





## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

#### Number of Incidents

#### Custody Operations

241	<b>Departmental Executive Use of Force Review</b>	<p>This is the number of incidents requested for review by the Institutional Departmental Executive Use of Force Committee (request usually comes from either the Director or the Associate Director's office).</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>Institution Reported</i></p>
242	<b>Departmental Executive Review Committee</b>	<p>Number of use of force incidents requested for review by the Departmental Executive Review Committee (DERC) that occurred during the reporting period. Totals are taken on the last day of the reporting period. Requests will come from the DAI Director and/or the Associate Director's Office. See Glossary for definition of use of force.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>Institution Reported</i></p>
243	<b>Total Number of Overdue UOF Reviews - 30 Days</b>	<p>Number of Institutional Executive Review Committee (IERC) reviews that are overdue as of the last day of the reporting period. Count is cumulative and includes all overdue reviews that are still outstanding for current and/or prior reporting periods at the end of the current reporting period. Totals are taken on the last day of the reporting period. Count includes reviews that are not brought before the IERC within 30 days following the incident. Day 31 constitutes an overdue Use of Force (UOF) incident review. Overdue reviews will be counted in the reporting month in which the review became overdue by 31 days. The incident will continue to be counted each month thereafter until the review has occurred or until the review is overdue by 90 days. Count excludes reviews referred to the Office of Internal Affairs. See glossary for definition of use of force.</p> <p><b>Language change, effective 1/1/2017</b></p> <p><i>Institution Reported</i></p>
244	<b>Total Number of Overdue UOF Reviews - 90 Days</b>	<p>Number of Institutional Executive Review Committee (IERC) reviews that are overdue as of the last day of the reporting period. Count is cumulative and includes all overdue reviews that are still outstanding for current and/or prior reporting periods at the end of the current reporting period. Totals are taken on the last day of the reporting period. Count includes reviews that are not brought before the IERC within 90 days following the incident. Day 31 constitutes an overdue Use of Force (UOF) incident review. Overdue reviews will be counted in the reporting month in which the review became overdue by 91 days. The incident will continue to be counted each month thereafter until the review has occurred. Count excludes reviews referred to the Office of Internal Affairs. See glossary for definition of use of force.</p> <p><b>Language change, effective 1/1/2017</b></p> <p><i>Institution Reported</i></p>
245	<b>Lockdown/Modified Programs</b>	<p>This is the number of lockdown/modified programs that were in effect during the reporting period (include numbers for newly initiated modified/lockdown programs, numbers for those that remain open from previous reporting periods, those lockdown/modified programs that were either opened in a previous month and closed in the current month and those that were opened and closed in the current month; i.e. during the month of June there were two modified programs that remained open at the end of the month, and during the month of July you initiated a new lockdown/modified program, report three for the month of July). Do not include instances where programs were locked down or modified for less than a 24-hour period. These numbers should correspond to the number of Program Status Reports that remain open and those that were closed and forwarded to the respective Associate Director for approval. This field DOES NOT include the number of inmates placed on lockdown/modified program. This number will be reported as a point in time number to accurately reflect how many modified/lockdown programs the institution recorded during the reporting period.</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>Institution Reported</i></p>

## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

Number of Incidents		Custody Operations
246	Modified Programs	<p>Number of times a modified program was initiated during the reporting period. Totals are taken on the last day of the reporting period. Each modified program will be counted once and only in the reporting period in which the modified program was initiated. An institution can have more than one modified program simultaneously active. In these cases, each modified program will be counted after the program has been active for at least 24 hours. Count excludes the number of inmates or the number of days on modified programs. See Glossary for definition of modified program.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>Institution Reported</i></p>
247	Lockdown	<p>Number of times an institution was placed in lockdown status during the reporting period. Totals are taken on the last day of the reporting period. Each lockdown will be counted once and only in the reporting period in which the lockdown was initiated. Count excludes the number of inmates or the number of days on lockdown. See Glossary for definition of lockdown.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>Institution Reported</i></p>
Type of Force		Custody Operations
249	OC	<p>Number of incidents where Oleoresin Capsicum (OC) was used against an inmate or group of inmates that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes number of incidents in which OC was listed as the type of force used. Count excludes the number of peace officers or non-prisoners that used OC and the number of deployments. If there are multiple types of force used during one incident, each type of force will only be counted once. For example, if the baton, OC, and physical force options are used in the same incident, then each type will be counted once. The number of incidents and breakdown by type of force numbers will not necessarily match as more than one type of force can be used per incident. See acronym listing and glossary for a definition of OC including other common names.</p> <p><b>Language change, effective 1/1/2017</b></p> <p><i>DIRS</i></p>
250	Physical Force	<p>Number of incidents where physical force was used against an inmate or group of inmates that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes number of incidents in which physical force was listed as the type of force used. Count excludes the number of peace officers or non-prisoners that used physical force. If there are multiple types of force used during one incident, each type of force will only be counted once. For example, if the baton, OC, and physical force options are used in the same incident, then each type will be counted once. The number of incidents and breakdown by type of force numbers will not necessarily match as more than one type of force can be used per incident. See Glossary for a definition of physical force.</p> <p><b>Language change, effective 1/1/2017</b></p> <p><i>DIRS</i></p>
251	Baton	<p>Number of incidents where a baton was used against an inmate or group of inmates that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes number of incidents in which a baton was listed as the type of force used. Count excludes the number of peace officers or non-prisoners that used a baton. If there are multiple types of force used during one incident, each type of force will only be counted once. For example, if the baton, OC, and physical force options are used in the same incident, then each type will be counted once. The number of incidents and breakdown by type of force numbers will not necessarily match as more than one type of force can be used per incident. See Glossary for a definition of a baton.</p> <p><b>Language change, effective 1/1/2017</b></p> <p><i>DIRS</i></p>



## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

#### Type of Force

#### Custody Operations

252 CN

Number of incidents where Chloroacetophenone (CN) was used against an inmate or group of inmates that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes number of incidents in which CN was listed as the type of force used. Count excludes the number of peace officers or non-prisoners that used CN. If there are multiple types of force used during one incident, each type of force will only be counted once. For example, if the baton, OC, and physical force options are used in the same incident, then each type will be counted once. The number of incidents and breakdown by type of force numbers will not necessarily match as more than one type of force can be used per incident. See acronym listing and Glossary for definition and other common names for CN.

**Language change, effective 1/1/2017**

**DIRS**

253 37 mm/40 mm

Number of incidents where a firearm with 37mm and/or 40mm caliber launcher was discharged against an inmate or group of inmates that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes number of incidents in which 37mm and/or 40mm was listed as the type of force used. Count excludes the number of peace officers or non-prisoners that used 37mm and/or 40mm caliber launcher and the number of rounds fired. If there are multiple types of force used during one incident, each type of force will only be counted once. For example, if the baton, OC, and physical force options are used in the same incident, then each type will be counted once. The number of incidents and breakdown by type of force numbers will not necessarily match as more than one type of force can be used per incident. See Glossary for definition of 37mm and 40mm caliber launcher.

**Language change, effective 1/1/2017**

**DIRS**

254 Mini 14 - Shots

Number of incidents where Mini-14 shots were fired "for effect" and were aimed at a specific human target pursuant to CCR, Title 15, Sections 3268.1 and 3275 that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes number of incidents in which a Mini-14 was listed as the type of force used. Count excludes the number of peace officers or non-prisoners that used a Mini-14 and does not include the number of rounds fired. If there are multiple types of force used during one incident, each type of force will only be counted once. For example, if the baton, OC, and physical force options are used in the same incident, then each type will be counted once. The number of incidents and breakdown by type of force numbers will not necessarily match as more than one type of force can be used per incident. See Glossary for definition of Mini-14 and "for effect".

**Language change, effective 1/1/2017**

**DIRS**

255 Mini 14 - Warning Shots

Number of incidents where Mini-14 shots were fired as a warning and not aimed at a specific human target that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes number of incidents in which a Mini-14 was listed as the type of force used. Count excludes the number of peace officers or non-prisoners that used a Mini-14 and does not include the number of rounds fired. If there are multiple types of force used during one incident, each type of force will only be counted once. For example, if the baton, OC, and physical force options are used in the same incident, then each type will be counted once. The number of incidents and breakdown by type of force numbers will not necessarily match as more than one type of force can be used per incident. See Glossary for definition of Mini-14.

**Language change, effective 1/1/2017**

**DIRS**

## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

#### Type of Force

#### Custody Operations

**256 Non-Conventional Force** Number of incidents where non-conventional force pursuant to DOM 51020.4 was used against an inmate or group of inmates that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes number of incidents in which non-conventional force was listed as the type of force used. Count excludes the number of peace officers or non-prisoners that used non-conventional force. If there are multiple types of force used during one incident, each type of force will only be counted once. For example, if the baton, OC, and physical force options are used in the same incident, then each type will be counted once. The number of incidents and breakdown by type of force numbers will not necessarily match as more than one type of force can be used per incident. See Glossary for definition of non-conventional force.

**Metric activated, effective 1/1/2017**

**DIRS**

**257 Other** Number of incidents where a force option not specifically listed elsewhere in this report, was used against an inmate or group of inmates that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes use of CS, X10 barricade removal, X10 sprayer wand, shotgun, 38 caliber ammunition, 9mm ammunition, L8, etc. Count excludes the number of peace officers or non-prisoners that used any of the other options shown above. If there are multiple types of force used during one incident, each type of force will only be counted once. For example, if the baton, OC, and physical force options are used in the same incident, then each type will be counted once. The number of incidents and breakdown by type of force numbers will not necessarily match as more than one type of force can be used per incident. See Glossary for definitions of CS, X10, and L8.

**Language change, effective 1/1/2017**

**DIRS**

#### Incidents

#### Custody Operations

**259 Controlled Substance Involved/U.A.** This data is a total collected from the "Specific Crime Incident" line of the 837A with the specific verbiage 'Controlled Substance' and/or 'Stimulants and Sedatives.' Note: The total on this line will not necessarily match the total of the specific controlled substances (i.e. heroin, barbiturates, etc.) as an incident may involve more than one type of substance. 837 Field Name - Controlled Substance: Any selection other than 'N/A'

**Metric deactivated, effective 12/31/2016**

**DIRS**

**260 Controlled Substances/Stimulants/Sedatives** Number of controlled substances/stimulants/sedatives related incidents pursuant to CCR, Title 15, Section 3016 that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes all types of controlled substances/stimulants/sedatives and includes those connected to a specific inmate and those found elsewhere on the institution grounds. See Glossary for definition of controlled substances, stimulants, and sedatives.

**Metric activated, effective 1/1/2017**

**DIRS**

**261 Methamphetamine** Number of Methamphetamine related incidents that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes the number of incidents where Methamphetamine was used/found. Count excludes the number of inmates involved, if any. If there are multiple types of controlled substances/stimulants/sedatives involved in one incident, each type of drug will only be counted once. For example, if methamphetamine, marijuana, and heroin are used in the same incident, then each type will be counted once. The number of incidents and breakdown by drug numbers will not necessarily match as more than one type of drug can be listed per incident.

**Language change, effective 1/1/2017**

**DIRS**

## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

Incidents		Custody Operations
262	Methamphetamine Quantity	<p>Amount of Methamphetamine found, in grams, during the reporting period. Totals are taken on the last day of the reporting period. Count includes all methamphetamine connected to a specific inmate, found during family visiting, and methamphetamine found on the institution grounds. Count excludes the number of incidents and the number of inmates involved, if any.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><b>DIRS</b></p>
263	Marijuana	<p>Number of Marijuana related incidents that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes the number of incidents where Marijuana was used/found. Count excludes the number of inmates involved, if any. If there are multiple types of controlled substances/stimulants/sedatives involved in one incident, each type of drug will only be counted once. For example, if the methamphetamine, marijuana, and heroin are used in the same incident, then each type will be counted once. The number of incidents and breakdown by drug numbers will not necessarily match as more than one type of drug can be listed per incident.</p> <p><b>Language change, effective 1/1/2017</b></p> <p><b>DIRS</b></p>
264	Marijuana Quantity	<p>Amount of Marijuana found, in grams, during the reporting period. Totals are taken on the last day of the reporting period. Count includes all marijuana connected to a specific inmate, found during family visiting, and marijuana found on the institution grounds. Count excludes the number of incidents and the number of inmates involved, if any.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><b>DIRS</b></p>
265	Heroin	<p>Number of Heroin related incidents that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes the number of incidents where Heroin was used/found. Count excludes the number of inmates involved, if any. If there are multiple types of controlled substances/stimulants/sedatives involved in one incident, each type of drug will only be counted once. For example, if the methamphetamine, marijuana, and heroin are used in the same incident, then each type will be counted once. The number of incidents and breakdown by drug numbers will not necessarily match as more than one type of drug can be listed per incident.</p> <p><b>Language change, effective 1/1/2017</b></p> <p><b>DIRS</b></p>
266	Heroin Quantity	<p>Amount of Heroin found, in grams, during the reporting period. Totals are taken on the last day of the reporting period. Count includes all heroin connected to a specific inmate, found during family visiting, and heroin found on the institution grounds. Count excludes the number of incidents and the number of inmates involved, if any.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><b>DIRS</b></p>
267	Cocaine	<p>Number of Cocaine related incidents that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes the number of incidents where Cocaine was used/found. Count excludes the number of inmates involved, if any. If there are multiple types of controlled substances/stimulants/sedatives involved in one incident, each type of drug will only be counted once. For example, if the methamphetamine, marijuana, and heroin are used in the same incident, then each type will be counted once. The number of incidents and breakdown by drug numbers will not necessarily match as more than one type of drug can be listed per incident.</p> <p><b>Language change, effective 1/1/2017</b></p> <p><b>DIRS</b></p>
268	Cocaine Quantity	<p>Amount of Cocaine found, in grams, during the reporting period. Totals are taken on the last day of the reporting period. Count includes all cocaine connected to a specific inmate, found during family visiting, and cocaine found on the institution grounds. Count excludes the number of incidents and the number of inmates involved, if any.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><b>DIRS</b></p>

## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

#### Incidents

#### Custody Operations

269	Amphetamine	<p>Number of Amphetamine related incidents that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes the number of incidents where Amphetamine was used/found. Count excludes the number of inmates involved, if any. If there are multiple types of controlled substances/stimulants/sedatives involved in one incident, each type of drug will only be counted once. For example, if the methamphetamine, marijuana, and heroin are used in the same incident, then each type will be counted once. The number of incidents and breakdown by drug numbers will not necessarily match as more than one type of drug can be listed per incident.</p> <p><b>Language change, effective 1/1/2017</b></p> <p><b>DIRS</b></p>
270	Amphetamine Quantity	<p>Amount of Amphetamine found, in grams, during the reporting period. Totals are taken on the last day of the reporting period. Count includes all amphetamine connected to a specific inmate, found during family visiting, and amphetamine found on the institution grounds. Count excludes the number of incidents and the number of inmates involved, if any.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><b>DIRS</b></p>
271	Barbiturates	<p>Number of Barbiturates related incidents that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes the number of incidents where Barbiturates was used/found. Count excludes the number of inmates involved, if any. If there are multiple types of controlled substances/stimulants/sedatives involved in one incident, each type of drug will only be counted once. For example, if the methamphetamine, marijuana, and heroin are used in the same incident, then each type will be counted once. The number of incidents and breakdown by drug numbers will not necessarily match as more than one type of drug can be listed per incident.</p> <p><b>Language change, effective 1/1/2017</b></p> <p><b>DIRS</b></p>
272	Barbiturates Quantity	<p>Amount of Barbiturates found, in grams, during the reporting period. Totals are taken on the last day of the reporting period. Count includes all barbiturates connected to a specific inmate, found during family visiting, and barbiturates found on the institution grounds. Count excludes the number of incidents and the number of inmates involved, if any.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><b>DIRS</b></p>
273	Codeine	<p>Number of Codeine related incidents that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes the number of incidents where Codeine was used/found. Count excludes the number of inmates involved, if any. If there are multiple types of controlled substances/stimulants/sedatives involved in one incident, each type of drug will only be counted once. For example, if the methamphetamine, marijuana, and heroin are used in the same incident, then each type will be counted once. The number of incidents and breakdown by drug numbers will not necessarily match as more than one type of drug can be listed per incident.</p> <p><b>Language change, effective 1/1/2017</b></p> <p><b>DIRS</b></p>
274	Codeine Quantity	<p>Amount of Codeine found, in grams, during the reporting period. Totals are taken on the last day of the reporting period. Count includes all codeine connected to a specific inmate, found during family visiting, and morphine found on the institution grounds. Count excludes the number of incidents and the number of inmates involved, if any.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><b>DIRS</b></p>



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275	Morphine	<p>Number of Morphine related incidents that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes the number of incidents where Morphine was used/found. Count excludes the number of inmates involved, if any. If there are multiple types of controlled substances/stimulants/sedatives involved in one incident, each type of drug will only be counted once. For example, if the methamphetamine, marijuana, and heroin are used in the same incident, then each type will be counted once. The number of incidents and breakdown by drug numbers will not necessarily match as more than one type of drug can be listed per incident.</p> <p><b>Language change, effective 1/1/2017</b></p> <p><b>DIRS</b></p>
276	Morphine Quantity	<p>Amount of Morphine found, in grams, during the reporting period. Totals are taken on the last day of the reporting period. Count includes all morphine connected to a specific inmate, found during family visiting, and morphine found on the institution grounds. Count excludes the number of incidents and the number of inmates involved, if any.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><b>DIRS</b></p>
277	Synthetic Marijuana - Spice	<p>Number of Synthetic Marijuana related incidents that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes the number of incidents where Synthetic Marijuana was used/found. Count excludes the number of inmates involved, if any. If there are multiple types of controlled substances/stimulants/sedatives involved in one incident, each type of drug will only be counted once. For example, if the methamphetamine, marijuana, and heroin are used in the same incident, then each type will be counted once. The number of incidents and breakdown by drug numbers will not necessarily match as more than one type of drug can be listed per incident.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><b>DIRS</b></p>
278	Synthetic Marijuana - Spice Quantity	<p>Amount of Synthetic Marijuana found, in grams, during the reporting period. Totals are taken on the last day of the reporting period. Count includes all synthetic marijuana connected to a specific inmate, found during family visiting, and synthetic marijuana found on the institution grounds. Count excludes the number of incidents and the number of inmates involved, if any.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><b>DIRS</b></p>
279	Drug Paraphernalia/Other	<p>The number of incidents involving the discovery or possession of drug paraphernalia or any controlled substance incident in which the "Other" box was checked on the CDCR 837-A. 837 Field Name - Controlled Substance: Any selection other than 'N/A'; Substance not listed in the above categories.</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><b>DIRS</b></p>
280	Other Controlled Substances/Stimulants/Sedatives	<p>Number of controlled substances/stimulants/sedatives related incidents not broken out elsewhere in this report that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes the number of incidents where an "Other" controlled substance/stimulant/sedative was used/found. Count excludes the number of inmates involved, if any. If there are multiple types of controlled substances/stimulants/sedatives involved in one incident, each type of drug will only be counted once. For example, if the methamphetamine, marijuana, and heroin are used in the same incident, then each type will be counted once. The number of incidents and breakdown by drug numbers will not necessarily match as more than one type of drug can be listed per incident.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><b>DIRS</b></p>

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281	Drug Paraphernalia	<p>Number of incidents involved the discovery or possession of drug paraphernalia that occurred during the reporting period. Count includes the number of incidents only. Count excludes the number of items found and the number of inmates involved, if any. See Glossary for definition of drug paraphernalia.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>DIRS</i></p>
282	Assault On Staff (Total)	<p>Formula Driven Line (Sum of the following 2 lines).</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>Formula</i></p>
283	Assault on a Peace Officer or Non-Prisoner (Total)	<p>Formula: "Assault on a Peace Officer or Non-Prisoner (Total)" equals the sum of "Assault on a Peace Officer with Weapon" plus "Assault on a Non-Prisoner with Weapon" plus "Assault on a Peace Officer Without Weapon" plus "Assault on a Non-Prisoner Without Weapon" equals "Total Assaults on a Peace Officer and Non-Prisoner".</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>Formula</i></p>
284	With Weapon	<p>The number of Assault on staff incidents involving the use of a weapon.</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>DIRS</i></p>
285	Assault on a Peace Officer With Weapon	<p>Number of assaults on a Peace Officer with a weapon that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count only includes the number of incidents in which an assault occurred. Count excludes the number of Peace Officer's assaulted and the number of inmates involved. Weapon is defined in Penal Code Section 4502. See Glossary for definition of assault.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>DIRS</i></p>
286	Assault on a Non-Prisoner With Weapon	<p>Number of assaults on a Non-Prisoner (excluding Peace Officers) with a weapon that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count only includes the number of incidents in which an assault occurred. Count excludes the number of Non-Prisoner's assaulted and the number of inmates involved. Weapon is defined in Penal Code Section 4502. See Glossary for definition of assault and non-prisoner.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>DIRS</i></p>
287	Without Weapon	<p>The number of Assault on staff incidents without the use of a weapon.</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>DIRS</i></p>
288	Assault on a Peace Officer Without Weapon	<p>Number of assaults on a Peace Officer without a weapon that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count only includes the number of incidents in which an assault occurred. Count excludes the number of Peace Officer's assaulted and the number of inmates involved. See Glossary for definition of assault.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>DIRS</i></p>
289	Assault on a Non-Prisoner Without Weapon	<p>Number of assaults on a Non-Prisoner (excluding Peace Officers) without a weapon that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count only includes the number of incidents in which an assault occurred. Count excludes the number of Non-Prisoner's assaulted and the number of inmates involved. See Glossary for definition of assault and non-prisoner.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>DIRS</i></p>

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Incidents		Custody Operations
290	<b>Battery on Staff (Total)</b>	<p>This is the total number of 'Battery on Staff' incidents with the verbiage 'Battery' and 'Officer'; 'Battery' and 'Non-Prisoner'; 'Rape' and 'Officer'; and 'Rape' and 'Non-Prisoner' pulled from the Specific Crime section of the 837-A that occurred during the reporting period. 837 Field Name - Specific Crime/Incident: contains one of the word combinations 'Battery' and 'Officer'; 'Battery' and 'Non-Prisoner'; 'Rape' and 'Officer'; 'Rape' and 'Non-Prisoner'.</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>Formula</i></p>
291	<b>Battery on a Peace Officer or Non-Prisoner (Total)</b>	<p>Formula: "Battery on a Peace Officer or Non-Prisoner (Total)" equals the sum of "Battery of Peace Officer with Weapon" plus "Battery on a Non-Prisoner with Weapon" plus "Battery on a Peace Officer Without Weapon" plus "Battery on a Non-Prisoner Without Weapon".</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>Formula</i></p>
292	<b>With Weapon</b>	<p>The number of battery on staff incidents involving the use of a weapon.</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>DIRS</i></p>
293	<b>Battery on a Peace Officer With Weapon</b>	<p>Number of batteries on a Peace Officer with a weapon that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count only includes the number of incidents in which a battery occurred. Count excludes the number of Peace Officer's battered and the number of inmates involved. Weapon is defined in Penal Code Section 4502. See Glossary for definition of battery.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>DIRS</i></p>
294	<b>Battery on a Non-Prisoner With Weapon</b>	<p>Number of batteries on a Non-Prisoner (excluding Peace Officers) with a weapon that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count only includes the number of incidents in which a battery occurred. Count excludes the number of Non-Prisoner's battered and the number of inmates involved. Weapon is defined in Penal Code Section 4502. See Glossary for definition of battery and non-prisoner.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>DIRS</i></p>
295	<b>Without Weapon</b>	<p>The number of battery on staff incidents without the use of a weapon.</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>DIRS</i></p>
296	<b>Battery on a Peace Officer Without Weapon</b>	<p>Number of batteries on a Peace Officer without a weapon that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count only includes the number of incidents in which a battery occurred. Count excludes the number of Peace Officer's battered and the number of inmates involved. See Glossary for definition of battery.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>DIRS</i></p>
297	<b>Battery on a Non-Prisoner Without Weapon</b>	<p>Number of batteries on a Non-Prisoner (excluding Peace Officers) without a weapon that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count only includes the number of incidents in which a battery occurred. Count excludes the number of Non-Prisoner's battered and the number of inmates involved. See Glossary for definition of battery and non-prisoner.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>DIRS</i></p>
298	<b>Aggravated Battery</b>	<p>This is the total number of 'Aggravated Battery' incidents with the verbiage 'Aggravated Battery'; 'Officer'; and 'Non-Prisoner' pulled from the Specific Crime section of the 837-A that occurred during the reporting period. 837 Field Name - Specific Crime Incident: contains one of the word combinations 'Aggravated Battery' and 'Officer'; 'Non-Prisoner'.</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>DIRS</i></p>



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299	<b>Aggravated Battery on a Peace Officer</b>	<p>Number of aggravated batteries on a Peace Officer that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count only includes the number of incidents in which an aggravated battery occurred. Count excludes the number of Peace Officer's battered and the number of inmates involved. See Glossary for definition of aggravated battery.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>DIRS</i></p>
300	<b>Aggravated Battery on a Non-Prisoner</b>	<p>Number of aggravated batteries on a Non-Prisoner (excluding Peace Officers) that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count only includes the number of incidents in which an aggravated battery occurred. Count excludes the number of Non-Prisoner's battered and the number of inmates involved. See Glossary for definition of aggravated battery and non-prisoner.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>DIRS</i></p>
301	<b>Assault On Inmate (Total)</b>	<p>Formula: "Assault on Inmate (Total)" equals the sum of "Assault on Inmate with Weapon" plus "Assault on Inmate without Weapon".</p> <p><b>Language change, effective 1/1/2017</b></p> <p><i>Formula</i></p>
302	<b>Assault on Inmate With Weapon</b>	<p>Number of assaults on an inmate with a weapon that was perpetrated by another inmate during the reporting period. Totals are taken on the last day of the reporting period. Count only includes the number of incidents not the number of inmates involved. Weapon is defined in Penal Code Section 4502. See Glossary for definition of assault.</p> <p><b>Language change, effective 1/1/2017</b></p> <p><i>DIRS</i></p>
303	<b>Assault on Inmate Without Weapon</b>	<p>Number of assaults on an inmate without a weapon that was perpetrated by another inmate during the reporting period. Totals are taken on the last day of the reporting period. Count only includes the number of incidents not the number of inmates involved. See Glossary for definition of assault.</p> <p><b>Language change, effective 1/1/2017</b></p> <p><i>DIRS</i></p>
304	<b>Battery on Inmate (Total)</b>	<p>Formula: "Battery on Inmate (Total)" equals the sum of "Battery on Inmate with Weapon" plus "Battery on Inmate with Weapon Causing Serious Bodily Injury (SBI)" plus "Battery on Inmate without Weapon" plus "Battery on Inmate without Weapon Causing Serious Bodily Injury (SBI)".</p> <p><b>Language change, effective 1/1/2017</b></p> <p><i>Formula</i></p>
305	<b>With Weapon</b>	<p>The number of battery on inmate incidents involving the use of a weapon.</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>DIRS</i></p>
306	<b>Battery on Inmate With Weapon</b>	<p>Number of batteries on an inmate with a weapon that was perpetrated by another inmate during the reporting period. Totals are taken on the last day of the reporting period. Count only includes the number of incidents not the number of inmates involved. Weapon is defined in Penal Code Section 4502. See Glossary for definition of battery.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>DIRS</i></p>
307	<b>Battery on Inmate With Weapon - Causing Serious Bodily Injury (SBI)</b>	<p>Number of batteries on an inmate with a weapon causing serious bodily injury (SBI) that was perpetrated by another inmate during the reporting period. Totals are taken on the last day of the reporting period. Count only includes the number of incidents not the number of inmates involved. Weapon is defined in Penal Code Section 4502. See Glossary for definition of battery and SBI.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>DIRS</i></p>

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308	Without Weapon-Causing Serious Bodily Injury (SBI)	<p>This is the number of battery on inmate incidents retrieved from the DIRS Report that do not include the use of a weapon, yet do result in Serious Bodily Injury (SBI).</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>DIRS</i></p>
309	Battery on Inmate Without Weapon	<p>Number of batteries on an inmate without a weapon that was perpetrated by another inmate during the reporting period. Totals are taken on the last day of the reporting period. Count only includes the number of incidents not the number of inmates involved. See Glossary for definition of battery.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>DIRS</i></p>
310	Battery on Inmate Without Weapon - Causing Serious Bodily Injury (SBI)	<p>Number of batteries on an inmate without a weapon causing serious bodily injury (SBI) that was perpetrated by another inmate during the reporting period. Totals are taken on the last day of the reporting period. Count only includes the number of incidents not the number of inmates involved. See Glossary for definition of battery and SBI.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>DIRS</i></p>
311	Cell Extractions	<p>The number of cell extractions conducted during the reporting period. 837 Part A Field Name - Cell Extraction: any selection other than 'N/A'. Note: Please note that more than one cell extraction can be reported under a single incident log number in DIRS.</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>DIRS</i></p>
312	Controlled Extraction	<p>Number of controlled extractions of an inmate conducted during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of controlled extraction.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>DIRS</i></p>
313	Immediate Extraction	<p>Number of immediate extractions of an inmate conducted during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of immediate extraction.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>DIRS</i></p>
314	Cell Extractions (Keyhea)	<p>Enter the number of Keyhea cell extractions conducted during the reported period. 837 Field Name - Cell Extraction: any selection other than 'N/A' Part B1 - Participant Type: Keyhea Participant</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>Institution Reported</i></p>
315	Escapes	<p>Number of inmate escapes that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes only the number of escapes not the number of inmates that escaped. Count excludes attempted escapes. See Glossary for definition of escape.</p> <p><b>Language change, effective 1/1/2017</b></p> <p><i>DIRS</i></p>
316	Escapes- Number of Inmates Involved	<p>Number of inmates that escaped during the reporting period. Totals are taken on the last day of the reporting period. The number of inmates that escaped may be more than the count of escape incidents due to more than one inmate can escape during a single incident. See Glossary for definition of escape.</p> <p><b>Language change, effective 1/1/2017</b></p> <p><i>DIRS</i></p>
317	Attempted Escapes	<p>Number of attempted escapes that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes only the number of attempted escapes not the number of inmates involved. See Glossary for definition of attempted escapes.</p> <p><b>Language change, effective 1/1/2017</b></p> <p><i>DIRS</i></p>

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318	Melee/Riot	<p>The number of riots that occurred during the reporting period. 837 Field Name - Specific Crime/Incident contains the word "riot"</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>DIRS</i></p>
319	Riot	<p>Number of inmate riots that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count excludes the number of inmates involved. See Glossary for definition of riot.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>DIRS</i></p>
320	Riot - Number of Inmates Involved	<p>Number of inmates that participated in a riot that occurred during the reporting period. Totals are taken on the last day of the reporting period. The count of inmates will be higher than the count of riots due to more than one inmate is involved in a riot. See Glossary for definition of riot.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>DIRS</i></p>
321	Possession of a Weapon	<p>The number of incidents involving the possession of a weapon during a reporting period. 837 Field Name - Specific Crime/Incident contains the words "weapon" and "possession"</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>DIRS</i></p>
322	Resisting Staff	<p>The number of resisting staff incidents that occurred during the reporting period. 837 Field Name - Specific Crime/Incident contains the words "resist"</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>DIRS</i></p>
323	Resisting a Peace Officer	<p>Number of incidents where an inmate resisted a peace officer that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count excludes the number of inmates. See Glossary for definition of resisting.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>DIRS</i></p>
324	Resisting a Non-Prisoner	<p>Number of incidents where an inmate resisted a non-prisoner that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count excludes the number of inmates. See Glossary for definition of resisting and non-prisoner.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>DIRS</i></p>
325	Threatening Staff	<p>The number of threatening staff incidents that occurred during the reporting period. 837 Field Name - Specific Crime/Incident contains the words "threaten" and "officer"</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>DIRS</i></p>
326	Threatening a Peace Officer	<p>Number of incidents where an inmate threatened a peace officer that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count excludes the number of inmates. See Glossary for definition of threatening.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>DIRS</i></p>
327	Threatening a Non-Prisoner	<p>Number of incidents where an inmate threatened a non-prisoner that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count excludes the number of inmates. See Glossary for definition of threatening and non-prisoner.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>DIRS</i></p>





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328	Sexual Misconduct	<p>Number of inmate sexual misconduct related incidents pursuant to CCR, Section 3000 and DOM Section 52100.1 that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count excludes the number of inmates. See Glossary for definition of sexual misconduct.</p> <p><b>Language change, effective 1/1/2017</b></p> <p><b>DIRS</b></p>
329	Attempted Suicide	<p>Number of suicide attempts by an inmate that occurred during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of attempted suicide.</p> <p><b>Language change, effective 1/1/2017</b></p> <p><b>DIRS</b></p>
330	Suicide	<p>Number of inmate suicides that occurred during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of suicide.</p> <p><b>Language change, effective 1/1/2017</b></p> <p><b>DIRS</b></p>
331	Attempted Homicide - Peace Officer	<p>Number of attempted homicides on a Peace Officer that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes "Assault on a Peace Officer Attempted Homicide" and "Battery on a Peace Officer Attempted Homicide". Count excludes the number of inmates involved in the attempted homicide. See Glossary for definition of attempted homicide.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><b>DIRS</b></p>
332	Attempted Homicide - Non-Prisoner	<p>Number of attempted homicides on a Non-Prisoner (excluding Peace Officers) that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes "Assault on a Non-Prisoner Attempted Homicide" and "Battery on a Non-Prisoner Attempted Homicide". Count excludes the number of inmates involved in the attempted homicide. See Glossary for definition of attempted homicide and non-prisoner.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><b>DIRS</b></p>
333	Attempted Homicide - Inmate	<p>Number of attempted homicides on an inmate that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes "Assault on an Inmate Attempted Homicide" and "Battery on an Inmate Attempted Homicide". Count excludes the number of inmates involved in the attempted homicide. See Glossary for definition of attempted homicide.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><b>DIRS</b></p>
334	Homicide	<p>Number of inmate homicides that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count excludes the number of inmates involved in the homicide. See Glossary for definition of homicide.</p> <p><b>Language change, effective 1/1/2017</b></p> <p><b>DIRS</b></p>
335	Expected Death	<p>Number of expected inmate deaths that occurred during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of expected death.</p> <p><b>Language change, effective 1/1/2017</b></p> <p><b>DIRS</b></p>
336	Unexpected Death	<p>Number of unexpected inmate deaths that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count excludes suicide and homicide as these are reported separately in other metrics. See Glossary for definition of unexpected death.</p> <p><b>Language change, effective 1/1/2017</b></p> <p><b>DIRS</b></p>



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337	<b>Total Number of Deaths</b>	<p>The total number of inmate deaths that occurred during the reporting period. Note: This data is retrieved from the 837-A to include those incidents with a checked box of 'Inmate' under the 837 Field Name -Death and Cause of Death.</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>DIRS</i></p>
338	<b>Total Inmate Deaths</b>	<p>Formula: "Total Inmate Deaths" equals the sum of "Suicide" plus "Homicide" plus "Expected Death" plus "Unexpected Death".</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>Formula</i></p>
339	<b>Contraband Cell Phone Discoveries</b>	<p>This data is pulled from the Contraband Cellular Telephone Discoveries Report (CCTDR) total by institution by month, and is maintained at the DAI Mission level.</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>CCTDR</i></p>
340	<b>Controlled Cell Phone Discoveries</b>	<p>Number of cell phones found in an inmate's possession that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes the number of phones found. Count excludes the number of inmates. See Glossary for definition of controlled possession.</p> <p><b>Metric activated, effective 1/1/2017. Data source changed from CCTDR to MDDL effective 8/1/2017</b></p> <p><i>CCTDR/MDDL</i></p>
341	<b>Uncontrolled Cell Phone Discoveries</b>	<p>Number of cell phones found on the institution grounds not associated or in possession by an individual inmate that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes the number of phones found. See Glossary for definition of uncontrolled possession.</p> <p><b>Metric activated, effective 1/1/2017. Data source changed from CCTDR to MDDL effective 8/1/2017</b></p> <p><i>CCTDR/MDDL</i></p>
342	<b>Cell Phone Seizures</b>	<p>Number of cell phones seizures that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes all seizures that occurred on the institution grounds. Count excludes the number of cell phones found and the number of inmates involved. See Glossary for definition of seizure.</p> <p><b>Metric activated, effective 1/1/2017. Data source changed from CCTDR to MDDL effective 8/1/2017</b></p> <p><i>CCTDR/MDDL</i></p>
343	<b>Fighting</b>	<p>Number of inmate fighting related incidents that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count excludes the number of inmates involved.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>DIRS</i></p>
344	<b>Miscellaneous</b>	<p>Number of incidents that are not accounted for elsewhere in this report that occurred during the reporting period. Totals are taken on the last day of the reporting period.</p> <p><b>Language change, effective 1/1/2017</b></p> <p><i>DIRS</i></p>
345	<b>Number of Non UOF Incidents Involving Mental Health Inmates</b>	<p>This is the total number of Non Use of Force Incidents that involved inmates with a Mental Health Level of Care as documented on the CDCR-837, Part B1, with one or more of the following Mental Health related checkboxes selected: Correctional Clinical Case Management System; Enhanced Outpatient Program (EOP); Mental Health Crisis Bed (MHCB); or Department of Mental Health (DMH). This metric is further filtered to only include incidents in which the Use of Force box on the CDCR 837, Part A, has not been selected.</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>DIRS</i></p>

## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

Incidents		Custody Operations
346	Number of UOF Incidents Involving Mental Health Inmates	<p>This is the total number of Use of Force incidents that involved inmates with a Mental Health Level of Care as documented on the CDCR-837, Part B1, with one or more of the following Mental Health related checkboxes selected: Correctional Clinical Case Management System; Enhanced Outpatient Program (EOP); Mental Health Crisis Bed (MHCB); or Department of Mental Health (DMH). This metric is further filtered to include only incidents in which the Use of Force box on the CDCR 837 Part A has been selected.</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>DIRS</i></p>
Incidents Involving Mental Health Inmates		Custody Operations
348	Number of Non UOF Incidents Involving Mental Health Inmates	<p>Number of non use of force incidents involving mental health level of care inmates that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count excludes all use of force incidents and the number of mental health level of care inmates involved. See Glossary for definition of mental health level of care.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>DIRS</i></p>
349	% of Non UOF Incidents Involving Mental Health Inmates	<p>Formula: % of Non UOF Incidents Involving Mental Health Inmates" equals "Number of Non UOF Incidents Involving Mental Health Inmates" divide by the sum of "Number of Incidents" minus "Documented Use of Force"</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>Formula</i></p>
350	Number of UOF Incidents Involving Mental Health Inmates	<p>Number of use of force incidents involving mental health level of care inmates that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes only use of force incidents. Count excludes the number of mental health level of care inmates involved. See glossary for definition of mental health level of care and Use of Force.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>DIRS</i></p>
351	% of UOF Incidents Involving Mental Health Inmates	<p>Formula: "% of UOF Incidents Involving Mental Health Inmates" equals " Number of UOF Incidents Involving Mental Health Inmates" divide by "Documented Use of Force"</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>Formula</i></p>
Contraband Surveillance Watch (CSW)		Custody Operations
353	Inmate Placements on CSW	<p>Number of inmate placements on Contraband Surveillance Watch (CSW) that occurred during the reporting period. Totals are taken on the last day of the reporting period. Placements are counted in the reporting period in which the placement began regardless of how long the placement lasts. Count excludes the number of days on CSW. See Glossary for definition of CSW.</p> <p><b>Language change, effective 1/1/2017</b></p> <p><i>DAI Monthly CSW Data Export to COMPSTAT</i></p>
354	Average Number of Days on CSW	<p>The average number of days on Contraband Surveillance Watch (CSW) for the reporting month. This value is calculated as the sum of CSW placement days for the reporting month at an institution divided by the count of inmate placements on CSW during the same reporting month at the same institution.</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>Formula</i></p>
355	Average Number of Days on CSW (CYTD)	<p>The average number of days on Contraband Surveillance Watch (CSW) for the current calendar year through the reporting month. This value is calculated as the sum of CSW placement days beginning in January of the current calendar year through the reporting month at an institution divided by the count of inmate placements on CSW beginning in January of the same calendar year through the reporting month at the same institution.</p> <p><b>Metric deactivated, effective 12/31/2016</b></p> <p><i>Formula</i></p>



## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

#### Contraband Surveillance Watch (CSW)

#### Custody Operations

356	Count of CSW Items Recovered	Number of instances where items were recovered after an inmate was placed on CSW that occurred during the reporting period. Totals are taken on the last day of the reporting period. Each placement where items were found will count as one instance. If no items are recovered, a zero is recorded. Count excludes the number of items recovered. <b>Language change, effective 1/1/2017</b> <i>DAI Monthly CSW Data Export to COMPSTAT</i>
357	CSW Search Warrants Requested	Number of Search Warrants requested for inmates placed on CSW during the reporting period. Totals are taken on the last day of the reporting period. Count only includes search warrant requests that have been submitted to the District Attorney/Judge for review and approval. <b>Language change, effective 1/1/2017</b> <i>DAI Monthly CSW Data Export to COMPSTAT</i>
358	Inmate Placements Exceeding 3 Days On CSW	Number of inmate placements on CSW exceeding three consecutive full calendar days that occurred during the reporting period. Totals are taken on the last day of the reporting period. A full calendar day is defined as beginning at 00:00 hours and ending at 23:59 hours. Placements that cross reporting periods will be counted in the reporting period in which the placement ends. Placements exceeding three days may not equal the total number of inmate placements reported in the metric titled "Inmate Placements on CSW" due to when the count begins and ends and at what point the placement is counted. <b>Language change, effective 1/1/2017</b> <i>DAI Monthly CSW Data Export to COMPSTAT</i>
359	Inmate Placements Exceeding 6 Days On CSW	Number of inmate placements on CSW exceeding six consecutive full calendar days that occurred during the reporting period. Totals are taken on the last day of the reporting period. A full calendar day is defined as beginning at 00:00 hours and ending at 23:59 hours. Placements that cross reporting periods will be counted in the reporting period in which the placement ends. Placements exceeding six days may not equal the total number of inmate placements reported in the metric titled "Inmate Placements on CSW" due to when the count begins and ends and at what point the placement is counted. <b>Metric activated, effective 1/1/2017</b> <i>DAI Monthly CSW Data Export to COMPSTAT</i>

#### In Cell Violence/Incidents

#### Custody Operations

361	Number of In Cell Violence/Incidents (Between Inmates of Same Race)	Provide the number of "In Cell" acts committed by inmates of the same race. This data shall be collected utilizing your institution's Incident Package and Rules Violation Report (RVR) tracking system. <b>Metric deactivated, effective 12/31/2016</b> <i>Institution Reported</i>
362	Number of In Cell Violence/Incidents (Between Inmates of Different Race)	Provide the number of "In Cell" acts committed by inmates of different races. This data shall be collected utilizing your institution's Incident Package and Rules Violation Report (RVR) tracking system. <b>Metric deactivated, effective 12/31/2016</b> <i>Institution Reported</i>
363	Number of In Cell Violence/Incidents That Are the Result of the IHP	Provide the number of incidents that are the result of the IHP. <b>Metric deactivated, effective 12/31/2016</b> <i>Institution Reported</i>
364	"In Cell" Incidents (Between Inmates of Same Race)	Number of "in cell" incidents between inmates of the same race that occurred during the reporting period. Totals are taken on the last day of the reporting period. See glossary for definition of "in cell". <b>Metric activated, effective 1/1/2017</b> <i>Institution Reported</i>

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In Cell Violence/Incidents		Custody Operations
365	"In Cell" Incidents (Between Inmates of Different Race)	<p>Number of "in cell" incidents between inmates of different races that occurred during the reporting period. Totals are taken on the last day of the reporting period. See glossary for definition of "in cell".</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>Institution Reported</i></p>
366	"In Cell" Battery w/SBI (Between Inmates of Same Race)	<p>Number of "in cell" batteries with serious bodily injury that occurred between inmates of the same race during the reporting period. Totals are taken on the last day of the reporting period. See glossary for definition of "in cell" and SBI.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>Institution Reported</i></p>
367	"In Cell" Battery w/SBI (Between Inmates of Different Race)	<p>Number of "in cell" batteries with serious bodily injury that occurred between inmates of different races during the reporting period. Totals are taken on the last day of the reporting period. See glossary for definition of "in cell" and SBI.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>Institution Reported</i></p>
368	"In Cell" Homicide (Between Inmates of Same Race)	<p>Number of "in cell" homicides committed by an inmate of the same race that occurred during the reporting period. Totals are taken on the last day of the reporting period. See glossary for definition of "in cell".</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>Institution Reported</i></p>
369	"In Cell" Homicide (Between Inmates of Different Race)	<p>Number of "in cell" homicides committed by an inmate of a different race that occurred during the reporting period. Totals are taken on the last day of the reporting period. See glossary for definition of "in cell".</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>Institution Reported</i></p>
Indecent Exposure Incidents		Custody Operations
371	Total Number of IEX Incidents	<p>Number of incidents of Indecent Exposure (IEX) by an inmate that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count excludes the number of inmates involved and all sexual disorderly conduct incidents as these are counted in a separate metric. See Glossary for definition of indecent exposure.</p> <p><b>Language change, effective 1/1/2017</b></p> <p><i>Institution Reported</i></p>
372	Number of D.A. Referrals	<p>Number of incidents of IEX by an inmate referred to the District Attorney's Office during the reporting period. Totals are taken on the last day of the reporting period. Referrals are only counted once in the month the referral occurred. Once a referral has been counted, it will not be counted again regardless of the disposition or outcome of the referral. See Glossary for definition of IEX.</p> <p><b>Language change, effective 1/1/2017</b></p> <p><i>Institution Reported</i></p>
373	Number of D.A. Referrals Accepted	<p>Number of referrals accepted by the District Attorney's Office during the reporting period for incidents of IEX by an inmate. Totals are taken on the last day of the reporting period. See Glossary for definition of IEX.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>Institution Reported</i></p>
374	Number of D.A. Referrals Rejected	<p>Number of referrals rejected by the District Attorney's Office during the reporting period for incidents of IEX by an inmate. Totals are taken on the last day of the reporting period. See Glossary for definition of IEX.</p> <p><b>Metric activated, effective 1/1/2017</b></p> <p><i>Institution Reported</i></p>



## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

#### Indecent Exposure Incidents

#### Custody Operations

375	<b>Number of D.A. Referrals Pending</b>	Number of referrals pending decision by the District Attorney's Office during the reporting period for incidents of IEX by an inmate. Totals are taken on the last day of the reporting period. See Glossary for definition of IEX. <b>Metric activated, effective 1/1/2017</b> <i>Institution Reported</i>
376	<b>Number of Sexual Disorderly Conduct Incidents (Masturbation w/o Exposure)</b>	Number of incidents by an inmate of sexual disorderly conduct (masturbation without exposure) that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count excludes indecent exposure incidents as these are counted in a separate metric. See glossary for definition of sexual disorderly conduct. <b>Language change, effective 1/1/2017</b> <i>Institution Reported</i>
377	<b>Number of Documented Mental Health Referrals (Inmates)</b>	Number of documented mental health level of care inmate referrals for indecent exposure and sexual disorderly conduct incidents that occurred during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of mental health level of care, indecent exposure and sexual disorderly conduct. <b>Language change, effective 1/1/2017</b> <i>Institution Reported</i>
378	<b>Total Number of Victims</b>	Enter the total number of victims of indecent exposure and sexual disorderly conduct incidents for the reporting month. This number will not necessarily match the total number of Indecent Exposure and Sexually Disorderly Conduct Incidents as there may be multiple victims per incident. <b>Metric deactivated, effective 12/31/2016</b> <i>Institution Reported</i>
379	<b>Total Number of Victims</b>	Formula: "Total Number of Victims" equals the sum of "Total Number of Victims - Peace Officer" plus "Total Number of Victims - Non-Prisoner". <b>Metric activated, effective 1/1/2017</b> <i>Formula</i>
380	<b>Number of Victims - Peace Officer</b>	Number of Peace Officers subjected to indecent exposure and/or sexual disorderly conduct by an inmate that occurred during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of indecent exposure and sexual disorderly conduct. <b>Metric activated, effective 1/1/2017</b> <i>Institution Reported</i>
381	<b>Number of Victims - Non-Prisoner</b>	Number of non-prisoners (excluding Peace Officers) subjected to indecent exposure and/or sexual disorderly conduct by an inmate that occurred during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of indecent exposure and sexual disorderly conduct and non-prisoner. <b>Metric activated, effective 1/1/2017</b> <i>Institution Reported</i>
382	<b>Number of Employee Report of Inmate Sexual Misconduct Forms Completed</b>	Enter the total number of Employee Report of Inmate Sexual Misconduct (CDCR 2152) forms completed by employees during the reporting period. A 2152 is required for both IEX and Sexual Disorderly Conduct Incidents. This number will not necessarily match the number of IEX and Sexually Disorderly Conduct Incidents as there may be multiple victims per incident. <b>Metric deactivated, effective 12/31/2016</b> <i>Institution Reported</i>

#### Inmate Appeals

#### Custody Operations

384	<b>Appeals Per 100 Inmates</b>	Formula: "Appeals Per 100 Inmates" equals the sum of "Total Appeals Received - All Levels" divided by "Inmate Count" multiplied by 100. <b>Metric activated, effective 1/1/2016</b> <i>Formula</i>
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## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

Inmate Appeals		Custody Operations
385	<b>Total Appeals Received - All Levels</b>	<p>Formula: "Total Appeals Received - All Levels" equals the sum of "Appeals Received - 1st Level" plus "Appeals Received - 2nd Level" plus "Appeals Received - 3rd Level".</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>Formula</i></p>
386	<b>Appeals Received - 1st Level</b>	<p>Number of 1st level non-medical inmate appeals received by the Institution Appeals Office during the reporting period. Totals are taken on the last day of the reporting period. Count does not include appeals that are resubmitted under an existing log number.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
387	<b>Appeals Received - 2nd Level</b>	<p>Number of 2nd level non-medical inmate appeals received by the Institution Appeals Office during the reporting period. Totals are taken on the last day of the reporting period. Count does not include appeals that are resubmitted under an existing log number.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
388	<b>Appeals Received - 3rd Level</b>	<p>Number of 3rd level non-medical inmate appeals received by the Office of Appeals during the reporting period. Totals are taken on the last day of the reporting period. Count does not include appeals that are resubmitted under an existing log number.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
389	<b>Total Screen Outs - All Levels</b>	<p>Formula: "Total Screen Outs - All Levels" equals the sum of "Screen Outs - 1st Level" plus "Screen Outs - 2nd Level" plus "Screen Outs - 3rd Level".</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>Formula</i></p>
390	<b>Screen Outs - 1st Level</b>	<p>Number of 1st level non-medical inmate appeals screened out and returned to the appellant during the reporting period, pursuant to CCR Title 15, Division 3, Section 3084.6. Totals are taken on the last day of the reporting period. Count may include appeals that were resubmitted and screened back out under an existing log number. See Glossary for definition of screen out.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
391	<b>Screen Outs - 2nd Level</b>	<p>Number of 2nd level non-medical inmate appeals screened out and returned to the appellant during the reporting period, pursuant to CCR Title 15, Division 3, Section 3084.6. Totals are taken on the last day of the reporting period. Count may include appeals that were resubmitted and screened back out under an existing log number. See Glossary for definition of screen out.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
392	<b>Screen Outs - 3rd Level</b>	<p>Number of 3rd level non-medical inmate appeals screened out and returned to the appellant during the reporting period, pursuant to CCR Title 15, Division 3, Section 3084.6. Totals are taken on the last day of the reporting period. Count may include appeals that were resubmitted and screened back out under an existing log number. See Glossary for definition of screen out.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
393	<b>Total Appeals Accepted - All Levels</b>	<p>Formula: "Total Appeals Accepted - All Levels" equals the sum of "Total Appeals Received - All Levels" minus "Total Screen Outs - All Levels".</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>Formula</i></p>
394	<b>Total Overdue Appeals - All Levels</b>	<p>Formula: "Total Overdue Appeals - All Levels" equals the sum of "Overdue Appeals (Cumulative) - 1st Level" plus "Overdue Appeals (Cumulative) - 2nd Level" plus "Overdue Appeals (Cumulative) - 3rd Level".</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>Formula</i></p>

## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

Inmate Appeals		Custody Operations
395	Overdue Appeals (Monthly Cumulative) - 1st Level	<p>Number of 1st level non-medical inmate appeals that were considered overdue during the reporting period, pursuant to CCR Title 15, Section 3084.8 Totals are taken on the last day of the reporting period. An appeal is considered overdue on the 31st working day after the appeal is received by the Institution Appeals Coordinator. Count includes appeals that were overdue at any time during the reporting period.</p> <p><b>Metric activated, effective 1/1/2016</b> <i>IATS</i></p>
396	Overdue Appeals (Monthly Cumulative) - 2nd Level	<p>Number of 2nd level non-medical inmate appeals that were considered overdue during the reporting period, pursuant to CCR Title 15, Section 3084.8 Totals are taken on the last day of the reporting period. An appeal is considered overdue on the 31st working day after the appeal is received by the Institution Appeals Coordinator. Count includes appeals that were overdue at any time during the reporting period.</p> <p><b>Metric activated, effective 1/1/2016</b> <i>IATS</i></p>
397	Overdue Appeals (Monthly Cumulative) - 3rd Level	<p>Number of 3rd level non-medical inmate appeals that were considered overdue during the reporting period, pursuant to CCR Title 15, Section 3084.8 Totals are taken on the last day of the reporting period. An appeal is considered overdue on the 61st working day after the appeal is received by the Third Level Appeals Chief. Count includes appeals that were overdue at any time during the reporting period.</p> <p><b>Metric activated, effective 1/1/2016</b> <i>IATS</i></p>
398	Total Overdue ADA Appeals - All Levels	<p>Formula: "Total Overdue Appeals - All Levels" equals the sum of "Overdue ADA Appeals (Cumulative) - 1st Level" plus "Overdue ADA Appeals (Cumulative) - 2nd Level" plus "Overdue ADA Appeals (Cumulative) - 3rd Level".</p> <p><b>Metric activated, effective 1/1/2016</b> <i>Formula</i></p>
399	Overdue ADA Appeals (Monthly Cumulative) - 1st Level	<p>Number of 1st level non-medical ADA inmate appeals that were considered overdue during the reporting period, pursuant to CCR Title 15, Section 3084.8 Totals are taken on the last day of the reporting period. An appeal is considered overdue on the 31st working day after the appeal is received by the Institution Appeals Coordinator. Count includes appeals that were overdue at any time during the reporting period.</p> <p><b>Metric activated, effective 1/1/2016</b> <i>IATS</i></p>
400	Overdue ADA Appeals (Monthly Cumulative) - 2nd Level	<p>Number of 2nd level non-medical ADA inmate appeals that were considered overdue during the reporting period, pursuant to CCR Title 15, Section 3084.8 Totals are taken on the last day of the reporting period. An appeal is considered overdue on the 31st working day after the appeal is received by the Institution Appeals Coordinator. Count includes appeals that were overdue at any time during the reporting period.</p> <p><b>Metric activated, effective 1/1/2016</b> <i>IATS</i></p>
401	Overdue ADA Appeals (Monthly Cumulative) - 3rd Level	<p>Number of 3rd level non-medical ADA inmate appeals that were considered overdue during the reporting period, pursuant to CCR Title 15, Section 3084.8. Totals are taken on the last day of the reporting period. An appeal is considered overdue on the 61st working day after the appeal is received by the Third Level Appeals Chief. Count includes appeals that were overdue at any time during the reporting period.</p> <p><b>Metric activated, effective 1/1/2016</b> <i>IATS</i></p>

## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

#### Inmate Appeals

#### Custody Operations

402	<b>Total Modification Orders Issued - All Levels</b>	Formula: "Total Modification Orders Issued - All Levels" equals the sum of "Modification Orders Issued - 1st Level" plus "Modification Orders Issued - 2nd Level" plus "Modification Orders Issued - 3rd Level". <b>Metric activated, effective 1/1/2016</b> <i>Formula</i>
403	<b>Modification Orders Issued - 1st Level</b>	Number of 1st level non-medical modification orders issued during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of modification order. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>
404	<b>Modification Orders Issued - 2nd Level</b>	Number of 2nd level non-medical modification orders issued during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of modification order. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>
405	<b>Modification Orders Issued - 3rd Level</b>	Number of 3rd level non-medical modification orders issued during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of modification order. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>
406	<b>Total Appeals Granted - All Levels</b>	Formula: "Total Appeals Granted - All Levels" equals the sum of "Appeals Granted - 1st Level" plus "Appeals Granted - 2nd Level" plus "Appeals Granted - 3rd Level". <b>Metric activated, effective 1/1/2016</b> <i>Formula</i>
407	<b>Appeals Granted - 1st Level</b>	Number of 1st level non-medical inmate appeals that were granted during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of granted. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>
408	<b>Appeals Granted - 2nd Level</b>	Number of 2nd level non-medical inmate appeals that were granted during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of granted. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>
409	<b>Appeals Granted - 3rd Level</b>	Number of 3rd level non-medical inmate appeals that were granted during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of granted. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>
410	<b>Total Appeals Granted In Part - All Levels</b>	Formula: "Total Appeals Granted in Part - All Levels" equals the sum of "Appeals Granted in Part - 1st Level" plus "Appeals Granted in Part - 2nd Level" plus "Appeals Granted in Part - 3rd Level". <b>Metric activated, effective 1/1/2016</b> <i>Formula</i>
411	<b>Appeals Granted In Part - 1st Level</b>	Number of 1st level non-medical inmate appeals that were granted in part during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of granted in part. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>
412	<b>Appeals Granted In Part - 2nd Level</b>	Number of 2nd level non-medical inmate appeals that were granted in part during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of granted in part. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>

## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

Inmate Appeals		Custody Operations
413	Appeals Granted In Part - 3rd Level	<p>Number of 3rd level non-medical inmate appeals that were granted in part during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of granted in part.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
414	Total Appeals Cancelled - All Levels	<p>Formula: "Total Appeals Cancelled - All Levels" equals the sum of "Appeals Cancelled - 1st Level" plus "Appeals Cancelled - 2nd Level" plus "Appeals Cancelled - 3rd Level".</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>Formula</i></p>
415	Appeals Cancelled - 1st Level	<p>Number of 1st level non-medical inmate appeals that were cancelled pursuant to CCR Title 15, Section 3084.6 (c) during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of cancelled.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
416	Appeals Cancelled - 2nd Level	<p>Number of 2nd level non-medical inmate appeals that were cancelled pursuant to CCR Title 15, Section 3084.6 (c) during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of cancelled.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
417	Appeals Cancelled - 3rd Level	<p>Number of 3rd level non-medical inmate appeals that were cancelled pursuant to CCR Title 15, Section 3084.6 (c) during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of cancelled.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
418	Total Appeals Denied - All Levels	<p>Formula: "Total Appeals Denied - All Levels" equals the sum of "Appeals Denied - 1st Level" plus "Appeals Denied - 2nd Level" plus "Appeals Denied - 3rd Level".</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>Formula</i></p>
419	Appeals Denied - 1st Level	<p>Number of 1st level non-medical inmate appeals that were denied during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of denied.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
420	Appeals Denied - 2nd Level	<p>Number of 2nd level non-medical inmate appeals that were denied during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of denied.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
421	Appeals Denied - 3rd Level	<p>Number of 3rd level non-medical inmate appeals that were denied during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of denied.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
422	Total Appeals Withdrawn - All Levels	<p>Formula: "Total Appeals Withdrawn - All Levels" equals the sum of "Appeals Withdrawn - 1st Level" plus "Appeals Withdrawn - 2nd Level" plus "Appeals Withdrawn - 3rd Level".</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>Formula</i></p>
423	Appeals Withdrawn - 1st Level	<p>Number of 1st level non-medical inmate appeals that were withdrawn pursuant to CCR Title 15, Section 3084.6 (f) during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of withdrawn.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>



## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

#### Inmate Appeals

#### Custody Operations

**424 Appeals Withdrawn - 2nd Level** Number of 2nd level non-medical inmate appeals that were withdrawn pursuant to CCR Title 15, Section 3084.6 (f) during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of withdrawn.

**Metric activated, effective 1/1/2016**

*IATS*

**425 Appeals Withdrawn - 3rd Level** Number of 3rd level non-medical inmate appeals that were withdrawn pursuant to CCR Title 15, Section 3084.6 (f) during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of withdrawn.

**Metric activated, effective 1/1/2016**

*IATS*

#### Inmate Appeal Breakdown

#### Custody Operations

**427 Disciplinary** Number of disciplinary related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of disciplinary appeal.

**Metric activated, effective 1/1/2016**

*IATS*

**428 Custody/Classification** Number of custody/classification related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of custody/classification appeal.

**Metric activated, effective 1/1/2016**

*IATS*

**429 Mail** Number of mail related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of mail appeal.

**Metric activated, effective 1/1/2016**

*IATS*

**430 Visiting** Number of visiting related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of visiting appeal.

**Metric activated, effective 1/1/2016**

*IATS*

**431 Personal Property** Number of personal property related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of personal property appeal.

**Metric activated, effective 1/1/2016**

*IATS*

**432 Case Records** Number of case records related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of case records appeal.

**Metric activated, effective 1/1/2016**

*IATS*

**433 Staff Complaints** Number of staff complaint related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of staff complaint appeal.

**Metric activated, effective 1/1/2016**

*IATS*

## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

Inmate Appeal Breakdown		Custody Operations
434	Living Conditions	<p>Number of living conditions related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of living condition appeals.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
435	Legal	<p>Number of legal related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of legal appeals.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
436	Program	<p>Number of program related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of program appeals.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
437	Segregation Hearings	<p>Number of segregation hearing related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of segregation hearing appeals.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
438	Work Incentive	<p>Number of work incentive related inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of work incentive appeals.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
439	Re-Entry	<p>Number of re-entry related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of re-entry appeals.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
440	Transfer	<p>Number of transfer related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for a definition of transfer appeals.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
441	Funds	<p>Number of fund related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for a definition of fund appeals.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
442	ADA	<p>Number of ADA related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for a definition of ADA appeals.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
443	1824s	<p>Number of CDCR 1824 - Reasonable Modification or Accommodation Requests received during the reporting period. Totals are taken on the last day of the reporting period. An 1824 is not considered an inmate appeal. 1824's are logged into the Inmate Appeals Tracking System for tracking and reporting purposes only.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>



## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

Inmate Appeal Rejection and Cancellation Breakdown			Custody Operations
445	Multiple 602-A's - 1st Level	Number of 1st level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(5). Totals are taken on the last day of the reporting period. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>	
446	Multiple 602-A's - 2nd Level	Number of 2nd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(5). Totals are taken on the last day of the reporting period. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>	
447	Multiple 602-A's - 3rd Level	Number of 3rd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(5). Totals are taken on the last day of the reporting period. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>	
448	Lack of Supporting Documents - 1st Level	Number of 1st level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(7). Totals are taken on the last day of the reporting period. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>	
449	Lack of Supporting Documents - 2nd Level	Number of 2nd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(7). Totals are taken on the last day of the reporting period. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>	
450	Lack of Supporting Documents - 3rd Level	Number of 3rd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(7). Totals are taken on the last day of the reporting period. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>	
451	Unrelated Documentation - 1st Level	Number of 1st level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Sections, 3084.6(b)(9). Totals are taken on the last day of the reporting period. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>	
452	Unrelated Documentation - 2nd Level	Number of 2nd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Sections, 3084.6(b)(9). Totals are taken on the last day of the reporting period. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>	
453	Unrelated Documentation - 3rd Level	Number of 3rd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Sections, 3084.6(b)(9). Totals are taken on the last day of the reporting period. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>	
454	Illegible Appeal - 1st Level	Number of 1st level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(10). Totals are taken on the last day of the reporting period. See Glossary for definition of illegible. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>	
455	Illegible Appeal - 2nd Level	Number of 2nd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(10). Totals are taken on the last day of the reporting period. See Glossary for definition of illegible. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>	



## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

#### Inmate Appeal Rejection and Cancellation Breakdown

#### Custody Operations

456	<b>Illegible Appeal - 3rd Level</b>	Number of 3rd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(10). Totals are taken on the last day of the reporting period. See Glossary for definition of illegible. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>
457	<b>Defaced Documents - 1st Level</b>	Number of 1st level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(11). Totals are taken on the last day of the reporting period. See Glossary for definition of defaced. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>
458	<b>Defaced Documents - 2nd Level</b>	Number of 2nd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(11). Totals are taken on the last day of the reporting period. See Glossary for definition of defaced. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>
459	<b>Defaced Documents - 3rd Level</b>	Number of 3rd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(11). Totals are taken on the last day of the reporting period. See Glossary for definition of defaced. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>
460	<b>Incomplete Appeal - 1st Level</b>	Number of 1st level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(13). Totals are taken on the last day of the reporting period. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>
461	<b>Incomplete Appeal - 2nd Level</b>	Number of 2nd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(13). Totals are taken on the last day of the reporting period. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>
462	<b>Incomplete Appeal - 3rd Level</b>	Number of 3rd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(13). Totals are taken on the last day of the reporting period. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>
463	<b>Wrong Form - 1st Level</b>	Number of 1st level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(14). Totals are taken on the last day of the reporting period. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>
464	<b>Wrong Form - 2nd Level</b>	Number of 2nd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(14). Totals are taken on the last day of the reporting period. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>
465	<b>Wrong Form - 3rd Level</b>	Number of 3rd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(14). Totals are taken on the last day of the reporting period. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>
466	<b>Other Reasons for Rejection - 1st Level</b>	Number of 1st level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(1), (2), (3), (4), (6), (8), (12), (15), and (16). Totals are taken on the last day of the reporting period. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>

## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

Inmate Appeal Rejection and Cancellation Breakdown		Custody Operations
467	Other Reasons for Rejection - 2nd Level	<p>Number of 2nd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(1), (2), (3), (4), (6), (8), (12), (15), and (16). Totals are taken on the last day of the reporting period.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
468	Other Reasons for Rejection - 3rd Level	<p>Number of 3rd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(1), (2), (3), (4), (6), (8), (12), (15), and (16). Totals are taken on the last day of the reporting period.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
469	Duplicate Appeal - 1st Level	<p>Number of 1st level non-medical inmate appeals cancelled during the reporting period pursuant to the CCR Title 15, Section 3084.6(c)(2). Totals are taken on the last day of the reporting period. See Glossary for definition of duplicate appeal.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
470	Duplicate Appeal - 2nd Level	<p>Number of 2nd level non-medical inmate appeals cancelled during the reporting period pursuant to the CCR Title 15, Section 3084.6(c)(2). Totals are taken on the last day of the reporting period. See Glossary for definition of duplicate appeal.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
471	Duplicate Appeal - 3rd Level	<p>Number of 3rd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(2). Totals are taken on the last day of the reporting period. See Glossary for definition of duplicate appeal.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
472	Submittal for Another Person - 1st Level	<p>Number of 1st level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Sections, 3084.6(c)(5) and (9). Totals are taken on the last day of the reporting period.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
473	Submittal for Another Person - 2nd Level	<p>Number of 2nd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Sections, 3084.6(c)(5) and (9). Totals are taken on the last day of the reporting period.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
474	Submittal for Another Person - 3rd Level	<p>Number of 3rd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Sections, 3084.6(c)(5) and (9). Totals are taken on the last day of the reporting period.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
475	Time Constraints Not Met - 1st Level	<p>Number of 1st level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(4). Totals are taken on the last day of the reporting period.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
476	Time Constraints Not Met - 2nd Level	<p>Number of 2nd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(4). Totals are taken on the last day of the reporting period.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>

## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

Inmate Appeal Rejection and Cancellation Breakdown		Custody Operations
477	Time Constraints Not Met - 3rd Level	<p>Number of 3rd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(4). Totals are taken on the last day of the reporting period.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
478	Failure to Follow Instructions - 1st Level	<p>Number of 1st level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(3). Totals are taken on the last day of the reporting period.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
479	Failure to Follow Instructions - 2nd Level	<p>Number of 2nd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(3). Totals are taken on the last day of the reporting period.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
480	Failure to Follow Instructions - 3rd Level	<p>Number of 3rd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(3). Totals are taken on the last day of the reporting period.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
481	Out of CDCR Jurisdiction - 1st Level	<p>Number of 1st level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(1). Totals are taken on the last day of the reporting period. See Glossary for definition of out of jurisdiction.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
482	Out of CDCR Jurisdiction - 2nd Level	<p>Number of 2nd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(1). Totals are taken on the last day of the reporting period. See Glossary for definition of out of jurisdiction.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
483	Out of CDCR Jurisdiction - 3rd Level	<p>Number of 3rd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(1). Totals are taken on the last day of the reporting period. See Glossary for definition of out of jurisdiction.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
484	Issue Under Appeal Has Been Resolved - 1st Level	<p>Number of 1st level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(11). Totals are taken on the last day of the reporting period.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
485	Issue Under Appeal Has Been Resolved - 2nd Level	<p>Number of 2nd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(11). Totals are taken on the last day of the reporting period.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
486	Issue Under Appeal Has Been Resolved - 3rd Level	<p>Number of 3rd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(11). Totals are taken on the last day of the reporting period.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>
487	Failure to Interview/Cooperate - 1st Level	<p>Number of 1st level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(8). Totals are taken on the last day of the reporting period.</p> <p><b>Metric activated, effective 1/1/2016</b></p> <p><i>IATS</i></p>



## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

#### Inmate Appeal Rejection and Cancellation Breakdown

#### Custody Operations

488	Failure to Interview/Cooperate - 2nd Level	Number of 2nd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(8). Totals are taken on the last day of the reporting period. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>
489	Failure to Interview/Cooperate - 3rd Level	Number of 3rd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(8). Totals are taken on the last day of the reporting period. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>
490	Appellant Deceased - 1st Level	Number of 1st level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(7). Totals are taken on the last day of the reporting period. See Glossary for definition of deceased. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>
491	Appellant Deceased - 2nd Level	Number of 2nd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(7). Totals are taken on the last day of the reporting period. See Glossary for definition of deceased. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>
492	Appellant Deceased - 3rd Level	Number of 3rd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(7). Totals are taken on the last day of the reporting period. See Glossary for definition of deceased. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>
493	Appellant/Appeal is Under Advisement or Appeal Restriction - 1st Level	Number of 1st level non-medical inmate appeals under advisement during the reporting period, pursuant to the CCR Title 15, Section 3084.4. Totals are taken on the last day of the reporting period. See Glossary for definition of advisement. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>
494	Appellant/Appeal is Under Advisement or Appeal Restriction - 2nd Level	Number of 2nd level non-medical inmate appeals under advisement during the reporting period, pursuant to the CCR Title 15, Section 3084.4. Totals are taken on the last day of the reporting period. See Glossary for definition of advisement. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>
495	Appellant/Appeal is Under Advisement or Appeal Restriction - 3rd Level	Number of 3rd level non-medical inmate appeals under advisement during the reporting period, pursuant to the CCR Title 15, Section 3084.4. Totals are taken on the last day of the reporting period. See Glossary for definition of advisement. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>
496	Other - 1st Level	Number of 1st level non-medical inmate appeals cancelled, rejected, withdrawn, or screened out during the reporting period, that are not specifically broken out elsewhere in this report. Totals are taken on the last day of the reporting period. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>
497	Other - 2nd Level	Number of 2nd level non-medical inmate appeals cancelled, rejected, withdrawn, or screened out during the reporting period, that are not specifically broken out elsewhere in this report. Totals are taken on the last day of the reporting period. <b>Metric activated, effective 1/1/2016</b> <i>IATS</i>



# Division of Adult Institutions Counting Rules 2017B July - December 2017

## Inmate Appeal Rejection and Cancellation Breakdown

## Custody Operations

**498 Other - 3rd Level** Number of 3rd level non-medical inmate appeals cancelled, rejected, withdrawn, or screened out during the reporting period, that are not specifically broken out elsewhere in this report. Totals are taken on the last day of the reporting period.

**Metric activated, effective 1/1/2016**

**IATS**

Inmate Work Assignments		Programs
<b>501 Available Assignments</b>	Formula: Full Time Assignments + Half Time Assignments = Total Available Assignments. <i>Formula</i>	
<b>502 Full Time</b>	Sum of Full Time Quotas for each Inmate Work Training Incentive Program (IWTIP) code, with the exception of 'Bridging' excluded after 1/1/2009. This data is received from the DDPS (JAWIP) database on the last Friday of the reporting month. This data is a monthly snapshot. <i>SOMS</i>	
<b>503 Half Time</b>	Sum of Half Time Quotas for each Inmate Work Training Incentive Program (IWTIP) code, with the exception of 'Bridging' excluded after 1/1/2009. This data is received from the DDPS (JAWIP) database on the last Friday of the reporting month. This data is a monthly snapshot. <i>SOMS</i>	
<b>504 Eligible Inmates</b>	Offender's with a work status of FUL, Haf, or UN and a WorkGroup Code is in ('A1', 'A2', 'F', 'B') and the offender is not in 'ASU', 'EOP', 'DMH', 'SEO', or 'RC'. This data is received on the last Friday of the reporting month. This data is a monthly snapshot. <i>SOMS</i>	
<b>505 Inmates Assigned</b>	Formula: Full Time Assignments + Total Half Time Assignments = Total Inmates Assigned. <i>Formula</i>	
<b>506 Full Time</b>	Offenders WorkGroupCode is in ('A1', 'F') AND the offenders WorkStatus = 'FUL' and the offender is not in the 'RC' HousingProgramAssignment. This data is received from the DDPS (JAWIP) database on the last Friday of the reporting month. This data is a monthly snapshot. <i>SOMS</i>	
<b>507 Half Time</b>	Formula: One Half Time Assignments + Two Half Time Assignments = Total Half Time Assignments. Offender's WorkGroupCode is in ('A1', 'A2', 'B') and the offender's WorkStatus is 'HAF' and the offender is not in the 'RC' HousingProgramAssignment. <i>Formula</i>	
<b>508 One Half Time Assignment</b>	Above criteria, offenders who are assigned exactly 1 job with the job status of 'HAF'. This data is received from the DDPS (JAWIP) database on the last Friday of the reporting month. This data is a monthly snapshot. <i>SOMS</i>	
<b>509 Two Half Time Assignments</b>	Above criteria, offenders who are assigned exactly 2 jobs with the job status of 'HAF'. This data is received from the DDPS (JAWIP) database on the last Friday of the reporting month. This data is a monthly snapshot. <i>SOMS</i>	
<b>510 Vacant Work Assignments</b>	Formula: Difference of: "Available Assignments" - "Inmates Assigned" = Vacant Work Assignments. <i>Formula</i>	
<b>511 Percent of Filled Work Assignments</b>	Formula: "Inmates Assigned" ÷ "Available Assignments" = Percent of Filled Work Assignments. <i>Formula</i>	
<b>512 Percent of Filled Work Assignments - Full Time</b>	The Percent of Filled Full-Time Work Assignments = Number of Full-Time Assigned Inmates divided by the Number of Full-Time Assignments <i>Formula</i>	
<b>513 Percentage of Filled Work Assignments - Half-Time</b>	The Percent of Filled Half-Time Work Assignments = Number of Inmates with One Half Time Assignment, Plus Two times the number of inmates with two half-time assignments, divided by the Number of Half-Time Assignments available" (One-Half-Time Inmates + (2 * Two-Half-Time Inmates)) / Total Half-Time Assignments. <i>Formula</i>	





# Division of Adult Institutions Counting Rules 2017B July - December 2017

## Inmate Work Assignments

## Programs

514	Inmates Unassigned	Formula: Sum of: "Voluntary" + "Involuntary" = Inmates Unassigned. <i>Formula</i>
515	Voluntary	Offender's PrivilegeGroupCode = 'C' and offender's WorkGroupCode = 'C'. This data is received from the DDPS (JAWIP) database on the last Friday of the reporting month. This data is a monthly snapshot. <i>SOMS</i>
516	Involuntary	Offender's WorkStatus = 'UN'. This data is received from the DDPS (JAWIP) database on the last Friday of the reporting month. This data is a monthly snapshot. <i>SOMS</i>
517	Inmates on Waiting List	Enter the number of inmates on a waiting list for placement into a work assignment on the last counting day of the month. This value can be determined by contacting Inmate Assignments and accessing the "Inmate Work/Training Assignments Monthly Report.  Determine the total by referring to the section of the report titled "Part B Category Breakdown of Eligible but Unassigned Inmates" and then record the total as listed in the final line "Part B-Total Inmates". <i>Institution Reported</i>

## Prison Industry Authority

## Programs

519	PIA Assignments	This data is received from the DDPS (JAWIP) database on the last Friday of the reporting month. This data is a monthly snapshot. <i>SOMS</i>
520	PIA Assignments Filled	This data is received from the DDPS (JAWIP) database on the last Friday of the reporting month. This data is a monthly snapshot. <i>SOMS</i>
521	% of PIA Assignments Filled	Formula: PIA Assignments Filled ÷ PIA Assignments = Percent of PIA Assignments Filled. [Budget Display Act requirement performance measure] <i>Formula</i>
522	PIA Lost Hours	PIA Lost Hours = 'Custody' Lost Hours + 'Ducats' Lost Hours + 'Industry Related' Lost Hours + 'Other' Lost Hours + 'Vacant' Lost Hours. This data is retrieved from the PIA Headquarters Lost Hours Report. *Please note that SAC/COR's Lost Hours include the following calculations from additional columns on the PIA Headquarters provided Lost Hours Report: •SAC = SAC row + CO row - PIA HQ •COR = COR row + OTD row <i>Formula</i>
523	(Custody) PIA Lost Hours	Custody Lost Hours include: Lockdowns, restricted movement, recalls, CDC 115, disciplinary hearings, confined to quarters, administrative segregation, gate passes/awaiting or pulled and late releases. This data is retrieved from the 'Custody' column on the PIA Lost Hours Report. <i>PIA Headquarters Lost Hours Report</i>
524	(Ducats) PIA Lost Hours	Ducat Lost Hours include: Medical, ETO time/visits, priority ducats, on the job injury, vocational education break, out to court and lay-in. This data is retrieved from the 'Ducat' column on the PIA Lost Hours Report. <i>PIA Headquarters Lost Hours Report</i>
525	(Industry Related) PIA Lost Hours	Industry-Related Lost Hours include: Material shortages, orders complete (lack of work), supervisor absence, inventory and power outages/equipment downtime. This data is retrieved from the 'Ind-Rel' column on the PIA Lost Hours Report. <i>PIA Headquarters Lost Hours Report</i>
526	(Other) PIA Lost Hours	Other Lost Hours include: Classification hearings, unexcused absence, inclement weather (fog & heat), inappropriate clothing and other. This data is retrieved from the 'Other' column on the PIA Lost Hours Report. <i>PIA Headquarters Lost Hours Report</i>

## Division of Adult Institutions Counting Rules 2017B July - December 2017

### Prison Industry Authority

### Programs

**527 (Vacant) PIA Lost Hours** Vacant Lost Hours: Amount of lost hours due to vacant inmate positions. This data is retrieved from the 'Vacant' column on the PIA Lost Hours Report.

*PIA Headquarters Lost Hours Report*

### Inmate Leisure Time Activity Groups (ILTAG's)

### Programs

**529 Total Number of ILTAG Groups** Enter the total number of ILTAG groups at the institution that "meet" the criteria outlined in the CCR Title 15 section 3233. This should be the total number of groups regardless of whether they meet during the reporting month.

*Institution Reported*

**530 Total Number of Meetings Held** Formula: Sum of: "AA" + "NA" + "Veteran's" + "Other" = Total Number of Meetings Held.

*Formula*

**531 Total Number of Attendees (for all meetings held)** Formula: Sum of: "AA" + "NA" + "Veteran's" + "Other" = Total Number of Attendees.

*Formula*

**532 Total Number of Contact Hours (for all meetings held)** Formula: Sum of Contact Hours for: "AA" + "NA" + "Veteran's" + "Other" = Total Number of Contact Hours.

*Formula*

### Alcoholics Anonymous (AA)

### Programs

**534 Total Number of Meetings Held** Enter the total number of meetings held during the reporting period. Count only meetings held NOT those scheduled.

*Institution Reported*

**535 Total Number of Attendees** Enter the total number of AA meeting attendees as of the last day of the monthly reporting period.

**\*\*Count each inmate every time the program meets. Multiple counts of inmates will occur.**

*Institution Reported*

**536 Total Meeting Hours** Enter the total meeting hours for this ILTAG group. Sum the total number of hours this group met for the month. If there were 4 meetings at 2 hours each, you would enter 8 Total Meeting Hours. If the meeting hours varied for the month, add them together, i.e. Meeting A = 1.5 hours, Meeting B = 2.0 hours and Meeting C = 3.0 hours. For this example, summed, the Total Meeting Hours would then be reported here as 6.5.

*Institution Reported*

**537 Contact Hours** This is a formula driven line based on the following: Total AA Group monthly meeting attendees x Total meeting hours = Total Contact Hours.

*Formula*

### Narcotics Anonymous (NA)

### Programs

**539 Total Number of Meetings Held** Enter the total number of meetings held during the reporting period. Count only meetings held NOT those scheduled.

*Institution Reported*

**540 Total Number of Attendees** Enter the total number of NA meeting attendees as of the last day of the monthly reporting period.

**\*\*Count each inmate every time the program meets. Multiple counts of inmates will occur.**

*Institution Reported*

**541 Total Meeting Hours** Enter the total meeting hours for this ILTAG group. Sum the total number of hours this group met for the month. If there were 4 meetings at 2 hours each, you would enter 8 Total Meeting Hours. If the meeting hours varied for the month, add them together, i.e. Meeting A = 1.5 hours, Meeting B = 2.0 hours and Meeting C = 3.0 hours. For this example, summed, the Total Meeting Hours would then be reported here as 6.5.

*Institution Reported*

**542 Contact Hours** This is a formula driven line based on the following: Total NA monthly meeting attendees x Total meeting hours = Total Contact Hours.

*Formula*

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### Counting Rules 2017B July - December 2017

Veteran's Group			Programs
544	Total Number of Meetings Held	Enter the total number of meetings held during the reporting period. Count only meetings held NOT those scheduled. <i>Institution Reported</i>	
545	Total Number of Attendees	Enter the total number of Veteran's Groups meeting attendees as of the last day of the monthly reporting period. **Count each inmate every time the program meets. Multiple counts of inmates will occur. <i>Institution Reported</i>	
546	Total Meeting Hours	Enter the total meeting hours for this ILTAG group. Sum the total number of hours this group met for the month. If there were 4 meetings at 2 hours each, you would enter 8 Total Meeting Hours. If the meeting hours varied for the month, add them together, i.e. Meeting A = 1.5 hours, Meeting B = 2.0 hours and Meeting C = 3.0 hours. For this example, summed, the Total Meeting Hours would then be reported here as 6.5. <i>Institution Reported</i>	
547	Contact Hours	This is a formula driven line based on the following: Total Veteran's Group monthly meeting attendees x Total meeting hours = Total Contact Hours. <i>Formula</i>	
All Other ILTAG's			Programs
549	Total Number of Meetings Held	Enter the total number of meetings held during the reporting period. Count only meetings held NOT those scheduled. <i>Institution Reported</i>	
550	Total Number of Attendees	Enter the total number of Other ILTAG meeting attendees as of the last day of the monthly reporting period. **Count each inmate every time the program meets. Multiple counts of inmates will occur. <i>Institution Reported</i>	
551	Total Meeting Hours	Enter the total meeting hours for this ILTAG group. Sum the total number of hours this group met for the month. If there were 4 meetings at 2 hours each, you would enter 8 Total Meeting Hours. If the meeting hours varied for the month, add them together, i.e. Meeting A = 1.5 hours, Meeting B = 2.0 hours and Meeting C = 3.0 hours. For this example, summed, the Total Meeting Hours would then be reported here as 6.5. <i>Institution Reported</i>	
552	Contact Hours	This is a formula driven line based on the following: Total 'Other' Group monthly meeting attendees x Total meeting hours = Total Contact Hours. <i>Formula</i>	
Budget Allotment (Program 25)			Administration
555	Allotment	SUSPENDED UNTIL ADMINISTRATIVE WORKGROUP REALIGNS THIS SECTION. This represents the Program 25 monthly Net Total under the Budget column, taken from the CDCR Business Intelligence (BI) Budget Report in BIS. The CDCR BI Budget Report is accessed through the following sequence of tabs: Financial Reporting / Budget Reporting / Display CDCR BI Budget Report. Note: This data is calculated as a Fiscal Year to Date (FYTD) total, and represents the last months Budget Allotment plus any additional Budget Allotments that may be received during the reporting month. <i>BIS</i>	
556	Surplus/Deficit	SUSPENDED UNTIL ADMINISTRATIVE WORKGROUP REALIGNS THIS SECTION. This represents the Program 25 monthly Net Total under the Remaining Budget column, taken from the CDCR Business Intelligence (BI) Budget Report in BIS. The CDCR BI Budget Report is accessed through the following sequence of tabs: Financial Reporting / Budget Reporting / Display CDCR BI Budget Report. Note: This data is calculated as the Budget Allotment minus ("YTD Actuals" + "Encumbrances"). Surpluses are indicated as a positive number, and deficits are indicated as a negative number. <i>BIS</i>	



## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

#### Budget Allotment (Program 45)

Administration

**558 Allotment** SUSPENDED UNTIL ADMINISTRATIVE WORKGROUP REALIGNS THIS SECTION. This represents the Program 45 monthly Net Total under the Budget column, taken from the CDCR Business Intelligence (BI) Budget Report in BIS. The CDCR BI Budget Report is accessed through the following sequence of tabs: Financial Reporting / Budget Reporting / Display CDCR BI Budget Report. Note: This data is calculated as a Fiscal Year to Date (FYTD) total, and represents the last months Budget Allotment plus any additional Budget Allotments that may be received during the reporting month.

**BIS**

**559 Surplus/Deficit** SUSPENDED UNTIL ADMINISTRATIVE WORKGROUP REALIGNS THIS SECTION. This represents the Program 45 monthly Net Total under the Remaining Budget column, taken from the CDCR Business Intelligence (BI) Budget Report in BIS. The CDCR BI Budget Report is accessed through the following sequence of tabs: Financial Reporting / Budget Reporting / Display CDCR BI Budget Report. Note: This data is calculated as the Budget Allotment minus ("YTD Actuals" + "Encumbrances"). Surpluses are indicated as a positive number, and deficits are indicated as a negative number.

**BIS**

#### Personnel Vacancies (Category)

Administration

**561 Total of all personnel in filled positions.** Formula: Sum of: Filled Budgeted Positions ("Custody" + "Other Custody" + "Education" + "Support Staff" + "Trades" + "Management") = Total of all Personnel in Filled Positions.

\*\*Excludes Medical Personnel

**Formula**

#### Custody (Uniformed)

Administration

**563 Budgeted Positions** Enter the number of budgeted Custody positions (Uniformed) with Class Codes 9662 (Correctional Officer), 9659 (Correctional Sergeant), 9656 (Correctional Lieutenant), 8976 (Fire Chief), and 9001 (Fire Captain); of CBID's R06, S06, U06 and E06, during the reporting period.

**Institution Reported**

**564 Pending 607's - Net** This reflects the number of positions from pending 607 activity not currently reflected on the SCO Tab. This information will be reported by Personnel, Personnel Assignments or the Budget Analyst. A positive number reflects establishing positions and a negative number reflects abolishing positions. Note: Pending 607's are not automatically calculated into the next month's Budgeted Positions total since each month is an independent snapshot "in time". Pending 607's should be monitored continuously, and reconciled monthly by referencing the SCO Tab, and most recent Budget Management Branch Position Recap.

**Institution Reported**

**565 Adjusted Budgeted Total** Formula: Sum of: "Budgeted Positions" + "Pending 607's - Net" = Adjusted Budgeted Positions.

**Formula**

**566 Filled Budgeted Positions** Enter the number of filled budgeted Custody positions (Uniformed) with Class Codes 9662 (Correctional Officer), 9659 (Correctional Sergeant), 9656 (Correctional Lieutenant), 8976 (Fire Chief), and 9001 (Fire Captain); of CBID's R06, S06, U06 and E06, during the reporting period.

**Institution Reported**

**567 920 Blanket** Count the staff in the 920 Blanket that are included in the total number of staff for vacancy reporting purposes. 920 Blanket refers to those staff on Long Term Sick status.

**Institution Reported**

**568 918 Blanket** Count the staff in the 918 Blanket that are included in the total number of staff for vacancy reporting purposes. 918 Blanket refers to those staff who are being paid when there is not an authorizing position.

**Institution Reported**

**569 True Vacancy Total** Formula: Difference of: "Adjusted Budgeted Total" - ("Filled Budgeted Positions" + "920 Blanketed Positions" + "918 Blanketed Positions") = True Vacancy Total.

**Formula**



## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

Custody (Uniformed)		Administration
570	902 Blanket	Display Purpose Only - (Not factored into formula). Count the staff in the 902 Blanket. 902 Blanket refers to those staff who are being paid as temporary employees. <i>Institution Reported</i>
571	916 Blanket	Display Purpose Only - (Not factored into formula). Count the staff in the 916 Blanket. 916 Blanket refers to retired annuitant staff. <i>Institution Reported</i>
572	Staff on Other Type of Leave	Display Purpose Only - (Not factored into formula). Other off pay status employees in established positions. Examples include Long Term Sick employees still in their budgeted position numbers and employees out on Military Leave still in their budgeted position numbers, etc. This information will be reported by Personnel, Personnel Assignment or the Budget Analyst. <i>Institution Reported</i>
Other Custody (Non-Uniformed)		Administration
574	Budgeted Positions	Enter the number of budgeted Custody positions (Non-Uniformed) with remaining CBID's R06, S06, U06 and E06, excluding the above identified uniformed custody staff, during the reporting period. <i>Institution Reported</i>
575	Pending 607's - Net	This reflects the number of positions from pending 607 activity not currently reflected on the SCO Tab. This information will be reported by Personnel, Personnel Assignments or the Budget Analyst. A positive number reflects establishing positions and a negative number reflects abolishing positions. Note: Pending 607's are not automatically calculated into the next month's Budgeted Positions total since each month is an independent snapshot "in time". Pending 607's should be monitored continuously, and reconciled monthly by referencing the SCO Tab, and most recent Budget Management Branch Position Recap. <i>Institution Reported</i>
576	Adjusted Budgeted Total	Formula: Sum of: "Budgeted Positions" + "Pending 607's - Net" = Adjusted Budgeted Positions. <i>Formula</i>
577	Filled Budgeted Positions	Enter the number of filled budgeted Custody positions (Non-Uniformed) with remaining CBID's R06, S06, U06 and E06, excluding the above identified uniformed custody staff, during the reporting period. <i>Institution Reported</i>
578	920 Blanket	Count the staff in the 920 Blanket that are included in the total number of staff for vacancy reporting purposes. 920 Blanket refers to those staff on Long Term Sick status. <i>Institution Reported</i>
579	918 Blanket	Count the staff in the 918 Blanket that are included in the total number of staff for vacancy reporting purposes. 918 Blanket refers to those staff who are being paid when there is not an authorizing position. <i>Institution Reported</i>
580	True Vacancy Total	Formula: Difference of: "Adjusted Budgeted Total" - ("Filled Budgeted Positions" + "920 Blanketed Positions" + "918 Blanketed Positions") = True Vacancy Total. <i>Formula</i>
581	902 Blanket	Display Purpose Only - (Not factored into formula). Count the staff in the 902 Blanket. 902 Blanket refers to those staff who are being paid as temporary employees. <i>Institution Reported</i>
582	916 Blanket	Display Purpose Only - (Not factored into formula). Count the staff in the 916 Blanket. 916 Blanket refers to retired annuitant staff. <i>Institution Reported</i>
583	Staff on Other Type of Leave	Display Purpose Only - (Not factored into formula). Other off pay status employees in established positions. Examples include Long Term Sick employees still in their budgeted position numbers and employees out on Military Leave still in their budgeted position numbers, etc. This information will be reported by Personnel, Personnel Assignment or the Budget Analyst. <i>Institution Reported</i>



## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

#### Education Positions

#### Administration

585	<b>Budgeted Positions</b>	Enter the number of all budgeted positions in Education, as well as Managers and Support Staff. <i>Institution Reported</i>
586	<b>Pending 607's - Net</b>	This reflects the number of positions from pending 607 activity not currently reflected on the SCO Tab. This information will be reported by Personnel, Personnel Assignments or the Budget Analyst. A positive number reflects establishing positions and a negative number reflects abolishing positions. Note: Pending 607's are not automatically calculated into the next month's Budgeted Positions total since each month is an independent snapshot "in time". Pending 607's should be monitored continuously, and reconciled monthly by referencing the SCO Tab, and most recent Budget Management Branch Position Recap. <i>Institution Reported</i>
587	<b>Adjusted Budgeted Total</b>	Formula: Sum of: "Budgeted Positions" + "Pending 607's - Net" = Adjusted Budgeted Positions. <i>Formula</i>
588	<b>Filled Budgeted Positions</b>	Enter the number of all filled budgeted positions in Education, as well as Managers and Support Staff. <i>Institution Reported</i>
589	<b>920 Blanket</b>	Count the staff in the 920 Blanket that are included in the total number of staff for vacancy reporting purposes. 920 Blanket refers to those staff on Long Term Sick status. <i>Institution Reported</i>
590	<b>918 Blanket</b>	Count the staff in the 918 Blanket that are included in the total number of staff for vacancy reporting purposes. 918 Blanket refers to those staff who are being paid when there is not an authorizing position. <i>Institution Reported</i>
591	<b>True Vacancy Total</b>	Formula: Difference of: "Adjusted Budgeted Total" - ("Filled Budgeted Positions" + "920 Blanketed Positions" + "918 Blanketed Positions") = True Vacancy Total. <i>Formula</i>
592	<b>902 Blanket</b>	Display Purpose Only - (Not factored into formula). Count the staff in the 902 Blanket. 902 Blanket refers to those staff who are being paid as temporary employees. <i>Institution Reported</i>
593	<b>916 Blanket</b>	Display Purpose Only - (Not factored into formula). Count the staff in the 916 Blanket. 916 Blanket refers to retired annuitant staff. <i>Institution Reported</i>
594	<b>Staff on Other Type of Leave</b>	Display Purpose Only - (Not factored into formula). Other off pay status employees in established positions. Examples include Long Term Sick employees still in their budgeted position numbers and employees out on Military Leave still in their budgeted position numbers, etc. This information will be reported by Personnel, Personnel Assignment or the Budget Analyst. <i>Institution Reported</i>

#### Support Staff

#### Administration

596	<b>Budgeted Positions</b>	Enter the number of all Support Staff budgeted positions, excluding Support Staff positions from Medical and Education. A clarification to the Jan 2011 decision to switch to self-reported data noted that certain classifications should be counted as Support Staff even if their bargaining unit would normally include them in another category. Chaplains (Catholic Chaplain, Jewish Chaplain, Muslim Chaplain, Native American Spirit Leader, Protestant Chaplain) will be categorized as Support Staff rather than Medical staff. Support Services Assistant (Interpreter) will be categorized as Support staff rather than Medical staff. <i>Institution Reported</i>
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## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

Support Staff			Administration
597	Pending 607's - Net	<p>This reflects the number of positions from pending 607 activity not currently reflected on the SCO Tab. This information will be reported by Personnel, Personnel Assignments or the Budget Analyst. A positive number reflects establishing positions and a negative number reflects abolishing positions. Note: Pending 607's are not automatically calculated into the next month's Budgeted Positions total since each month is an independent snapshot "in time". Pending 607's should be monitored continuously, and reconciled monthly by referencing the SCO Tab, and most recent Budget Management Branch Position Recap.</p> <p><i>Institution Reported</i></p>	
598	Adjusted Budgeted Total	<p>Formula: Sum of: "Budgeted Positions" + "Pending 607's - Net" = Adjusted Budgeted Positions.</p> <p><i>Formula</i></p>	
599	Filled Budgeted Positions	<p>Enter the number of all filled Support Staff budgeted positions, excluding Support Staff positions from Medical and Education.</p> <p><i>Institution Reported</i></p>	
600	920 Blanket	<p>Count the staff in the 920 Blanket that are included in the total number of staff for vacancy reporting purposes. 920 Blanket refers to those staff on Long Term Sick status.</p> <p><i>Institution Reported</i></p>	
601	918 Blanket	<p>Count the staff in the 918 Blanket that are included in the total number of staff for vacancy reporting purposes. 918 Blanket refers to those staff who are being paid when there is not an authorizing position.</p> <p><i>Institution Reported</i></p>	
602	True Vacancy Total	<p>Formula: Difference of: "Adjusted Budgeted Total" - ("Filled Budgeted Positions" + "920 Blanketed Positions" + "918 Blanketed Positions") = True Vacancy Total.</p> <p><i>Formula</i></p>	
603	902 Blanket	<p>Display Purpose Only - (Not factored into formula). Count the staff in the 902 Blanket. 902 Blanket refers to those staff who are being paid as temporary employees.</p> <p><i>Institution Reported</i></p>	
604	916 Blanket	<p>Display Purpose Only - (Not factored into formula). Count the staff in the 916 Blanket. 916 Blanket refers to retired annuitant staff.</p> <p><i>Institution Reported</i></p>	
605	Staff on Other Type of Leave	<p>Display Purpose Only - (Not factored into formula). Other off pay status employees in established positions. Examples include Long Term Sick employees still in their budgeted position numbers and employees out on Military Leave still in their budgeted position numbers, etc. This information will be reported by Personnel, Personnel Assignment or the Budget Analyst.</p> <p><i>Institution Reported</i></p>	
Trades			Administration
607	Budgeted Positions	<p>Enter the number of all budgeted positions in Trades (examples include: electricians, painters, plumbers, stationary engineers, etc.).</p> <p><i>Institution Reported</i></p>	
608	Pending 607's - Net	<p>This reflects the number of positions from pending 607 activity not currently reflected on the SCO Tab. This information will be reported by Personnel, Personnel Assignments or the Budget Analyst. A positive number reflects establishing positions and a negative number reflects abolishing positions. Note: Pending 607's are not automatically calculated into the next month's Budgeted Positions total since each month is an independent snapshot "in time". Pending 607's should be monitored continuously, and reconciled monthly by referencing the SCO Tab, and most recent Budget Management Branch Position Recap.</p> <p><i>Institution Reported</i></p>	
609	Adjusted Budgeted Total	<p>Formula: Sum of: "Budgeted Positions" + "Pending 607's - Net" = Adjusted Budgeted Positions.</p> <p><i>Formula</i></p>	



# Division of Adult Institutions Counting Rules 2017B July - December 2017

Trades			Administration
610	Filled Budgeted Positions	Enter the number of all filled budgeted positions in Trades (examples include: electricians, painters, plumbers, stationary engineers, etc.). <i>Institution Reported</i>	
611	920 Blanket	Count the staff in the 920 Blanket that are included in the total number of staff for vacancy reporting purposes. 920 Blanket refers to those staff on Long Term Sick status. <i>Institution Reported</i>	
612	918 Blanket	Count the staff in the 918 Blanket that are included in the total number of staff for vacancy reporting purposes. 918 Blanket refers to those staff who are being paid when there is not an authorizing position. <i>Institution Reported</i>	
613	True Vacancy Total	Formula: Difference of: "Adjusted Budgeted Total" - ("Filled Budgeted Positions" + "920 Blanketed Positions" + "918 Blanketed Positions") = True Vacancy Total. <i>Formula</i>	
614	902 Blanket	Display Purpose Only - (Not factored into formula). Count the staff in the 902 Blanket. 902 Blanket refers to those staff who are being paid as temporary employees. <i>Institution Reported</i>	
615	916 Blanket	Display Purpose Only - (Not factored into formula). Count the staff in the 916 Blanket. 916 Blanket refers to retired annuitant staff. <i>Institution Reported</i>	
616	Staff on Other Type of Leave	Display Purpose Only - (Not factored into formula). Other off pay status employees in established positions. Examples include Long Term Sick employees still in their budgeted position numbers and employees out on Military Leave still in their budgeted position numbers, etc. This information will be reported by Personnel, Personnel Assignment or the Budget Analyst. <i>Institution Reported</i>	
Management			Administration
618	Budgeted Positions	Enter the number of all budgeted positions in Management, excluding Management positions in Medical and Education. <i>Institution Reported</i>	
619	Pending 607's - Net	This reflects the number of positions from pending 607 activity not currently reflected on the SCO Tab. This information will be reported by Personnel, Personnel Assignments or the Budget Analyst. A positive number reflects establishing positions and a negative number reflects abolishing positions. Note: Pending 607's are not automatically calculated into the next month's Budgeted Positions total since each month is an independent snapshot "in time". Pending 607's should be monitored continuously, and reconciled monthly by referencing the SCO Tab, and most recent Budget Management Branch Position Recap. <i>Institution Reported</i>	
620	Adjusted Budgeted Total	Formula: Sum of: "Budgeted Positions" + "Pending 607's - Net" = Adjusted Budgeted Positions. <i>Formula</i>	
621	Filled Budgeted Positions	Enter the number of all filled budgeted positions in Management, excluding Management positions in Medical and Education. <i>Institution Reported</i>	
622	920 Blanket	Count the staff in the 920 Blanket that are included in the total number of staff for vacancy reporting purposes. 920 Blanket refers to those staff on Long Term Sick status. <i>Institution Reported</i>	
623	918 Blanket	Count the staff in the 918 Blanket that are included in the total number of staff for vacancy reporting purposes. 918 Blanket refers to those staff who are being paid when there is not an authorizing position. <i>Institution Reported</i>	



# **Division of Adult Institutions** **Counting Rules 2017B July - December 2017**

## **Management**

## **Administration**

<b>624</b>	<b>True Vacancy Total</b>	Formula: Difference of: "Adjusted Budgeted Total" - ("Filled Budgeted Positions" + "920 Blanketed Positions" + "918 Blanketed Positions") = True Vacancy Total. <i>Formula</i>
<b>625</b>	<b>902 Blanket</b>	Display Purpose Only - (Not factored into formula). Count the staff in the 902 Blanket. 902 Blanket refers to those staff who are being paid as temporary employees. <i>Institution Reported</i>
<b>626</b>	<b>916 Blanket</b>	Display Purpose Only - (Not factored into formula). Count the staff in the 916 Blanket. 916 Blanket refers to retired annuitant staff. <i>Institution Reported</i>
<b>627</b>	<b>Staff on Other Type of Leave</b>	Display Purpose Only - (Not factored into formula). Other off pay status employees in established positions. Examples include Long Term Sick employees still in their budgeted position numbers and employees out on Military Leave still in their budgeted position numbers, etc. This information will be reported by Personnel, Personnel Assignment or the Budget Analyst. <i>Institution Reported</i>

## **Overtime**

## **Administration**

<b>629</b>	<b>Total</b>	"Custody" + "Non-Custody" + "Medical" = Total Overtime. Note: Staff working a modified work period under Section 7K of the Fair Labor Standards Act (FLSA) at times will have two work periods paid during a single calendar month. When this occurs, overtime for Custody positions (BU6) for all institutions will tend to approximate double a usual month. Note2: This occurred in June 2008, May 2009, and in May 2010. <i>Formula</i>
<b>630</b>	<b>AVG Hours Per Custody Staff</b>	Formula: Sum of: "Total Custody Overtime" ÷ sum of "Custody (Uniformed) Filled Budgeted Positions" and "Other Custody (Non-Uniformed) Filled Budgeted Positions" = Average Hours Per Custody Staff. <i>Formula</i>
<b>631</b>	<b>Custody</b>	Formula: Sum of: "Custody" + "Other Custody" = Total Overtime Note: Staff working a modified work period under Section 7K of the Fair Labor Standards Act (FLSA) at times will have 2 work periods paid during a single calendar month. When this occurs, overtime for Custody positions (BU6) for all institutions will tend to approximate double a usual month. This occurred in June 2008, May 2009, and will occur in June 2010. <i>Formula</i>
<b>632</b>	<b>Lieutenants (Classification Code = 9656 &amp; CBID =S06)</b>	The total number of overtime hours paid for the lieutenants during the reporting period. The overtime paid through Blanked Fund Serial# = '901', pay for regular overtime, and Type Pay = 'Overtime'. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data). <i>MIRS</i>
<b>633</b>	<b>Sergeants (Classification Code = 9659 &amp; CBID =S06)</b>	The total number of overtime hours paid for the sergeants during the reporting period. The overtime paid through Blanked Fund Serial# = '901', pay for regular overtime, and Type Pay = 'Overtime'. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data). <i>MIRS</i>
<b>634</b>	<b>Officers (Classification Code = 9662 &amp; CBID =R06)</b>	The total number of overtime hours paid for the officers during the reporting period. The overtime paid through Blanked Fund Serial# = '901', pay for regular overtime, and Type Pay = 'Overtime'. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data). <i>MIRS</i>



## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

#### Overtime

#### Administration

635	<b>Other Custody (CBID = 'R/S06' but exclude Classification codes: 9656, 9659, and 9662)</b>	The total number of overtime hours paid for the other custody staff during the reporting period. The overtime paid through Blanked Fund Serial# = '901', pay for regular overtime, and Type Pay = 'Overtime'. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data). <i>MIRS</i>
636	<b>AVG Hours Per Non-Custody Staff</b>	Formula: Sum of: "Total Non-Custody Overtime" ÷ sum of "Non-Custody Filled Budgeted Positions" = Average Hours Per Non-Custody Staff. This EXCLUDES Medical Positions. <i>Formula</i>
637	<b>Non-Custody</b>	The total number of overtime hours paid for the Non-Custody staff (all bargaining units except Unit 06 & Medical staff) during the reporting period. The overtime paid through Blanked Fund Serial# = '901', pay for regular overtime, and Type Pay = 'Overtime'. Data is received the week following the 20th of the month for the previous month's data. <i>MIRS</i>
638	<b>Medical</b>	The total number of overtime hours paid for Medical staff in Units 213, 214, 220, and 221 during the reporting period. The overtime paid through Blanked Fund Serial# = '901', pay for regular overtime, and Type Pay = 'Overtime'. Data is received the week following the 20th of the month for the previous month's data. <i>MIRS</i>

#### Sick Leave

#### Administration

640	<b>Total Hours</b>	Formula: Sum of: "Custody" + "Non-Custody" + "Medical" = Total Sick Leave. <i>Formula</i>
641	<b>AVG Hours Per Custody Staff</b>	Formula: Sum of: "Total Sick Leave Hours" ÷ sum of "Custody (Uniformed) Filled Budgeted Positions" and "Other Custody (Non-Uniformed) Filled Budgeted Positions" = Average Sick Leave Hours Per Custody Staff". <i>Formula</i>
642	<b>Custody</b>	Formula: Sum of: "Lieutenants" + "Sergeants" + "Officers" + "Other Custody" = Custody Sick Leave, excluding those in the 920 blanket. <i>Formula</i>
643	<b>Lieutenants (Classification Code = 9656 &amp; CBID =S06)</b>	The total number of 'Sick Leave', Leave Type = 'SL' with Codes '01' and / or '02', hours used by the lieutenants during the reporting period, excluding those in the 920 blanket. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data). <i>MIRS</i>
644	<b>Sergeants (Classification Code = 9659 &amp; CBID =S06)</b>	The total number of 'Sick Leave', Leave Type = 'SL' with Codes '01' and / or '02', hours used by the sergeants during the reporting period, excluding those in the 920 blanket. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data). <i>MIRS</i>
645	<b>Officers (Classification Code = 9662 &amp; CBID = R06)</b>	The total number of 'Sick Leave', Leave Type = 'SL' with Codes '01' and / or '02', hours used by the officers during the reporting period, excluding those in the 920 blanket. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data). <i>MIRS</i>
646	<b>Other Custody (CBID = 'R/S06' but exclude Classification codes: 9656, 9659, and 9662)</b>	The total number of 'Sick Leave', Leave Type = 'SL' with Codes '01' and / or '02', hours used by the other custody classifications staff during the reporting period, excluding those in the 920 blanket. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data). <i>MIRS</i>



## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

#### Sick Leave

#### Administration

647	AVG Hours Per Non-Custody Staff	Formula: Sum of: "Total Non-Custody Sick Leave" ÷ sum of "Non-Custody Filled Budgeted Positions" = Average Sick Leave Hours Per Non-Custody Staff. This EXCLUDES Medical Positions. <i>Formula</i>
648	Non-Custody	The total number of 'Sick Leave', Leave Type = 'SL' with Codes '01' and / or '02', hours used by Non-Custody staff (all bargaining units except Union 06 & Medical staff) during the reporting period, excluding those in the 920 blanket. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data). <i>MIRS</i>
649	Medical	The total number of "Sick Leave", Leave Type = 'SL' with Codes '01' and / or '02', used by Medical staff in Units 213, 214, 220, & 221 during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data). <i>MIRS</i>

#### Sick Leave Management

#### Administration

651	Letter of Instruction - Sick Leave (1st Letter) (R06 and S06 Staff Only)	Please provide the number of first time Letters of Instruction (LOI) issued to employees for the reporting month. This is the first LOI that the employee has received for violation of the Sick Leave Policy. <i>Institution Reported</i>
652	Letter of Instruction - Sick Leave (2nd Letter) (R06 and S06 Staff Only)	Please provide the number of subsequent Letters of Instruction (LOI) issued to employees for the reporting month. This is any subsequent LOI issued the employee for the reporting month. These are second and third LOI's that the employee has received for violations of the Sick Leave Policy. <i>Institution Reported</i>
653	Adverse Actions for Sick Leave (R06 and S06 Staff Only)	Please provide the number of Adverse Actions issued to employees for the reporting month. These are Adverse Actions that the employee has received for violation of the Sick Leave Policy. <i>Institution Reported</i>
654	Staff Exceeding Trigger Point	This includes staff who are identified on the sick leave review list who have exceeded the number of yearly allotted sick leave days, (minus protected leave: FMLA, IDL, CIFRA, and pregnancy leave). This information is based on twelve month WOTS Rainbow Reports, and can be provided by the Institution's Watch Office Tracking System Coordinator. <i>Institution Reported</i>

#### In-Lieu of Sick Leave

#### Administration

656	Total Hours	Formula: Sum of: "Custody" + "Non-Custody" + "Medical" = Total Sick Leave. <i>Formula</i>
657	AVG Hours Per Custody Staff	Formula: Sum of: "Total In-Lieu of Sick Leave Hours" ÷ sum of "Custody (Uniformed) Filled Budgeted Positions" and "Other Custody (Non-Uniformed) Filled Budgeted Positions" = Average In-Lieu of Sick Leave Hours Per Custody Staff". <i>Formula</i>
658	Custody	Formula: Sum of: "Lieutenants" + "Sergeants" + "Officers" + "Other Custody" = Custody Sick Leave. <i>Formula</i>
659	Lieutenants (Classification Code = 9656 & CBID =S06)	The total number of 'In-Lieu of Sick Leave', Leave Type = 'SL' with Codes '04' and / or '71', hours used by the lieutenants during the reporting period, excluding those in the 920 blanket. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data). <i>MIRS</i>
660	Sergeants (Classification Code = 9659 & CBID =S06)	The total number of 'In-Lieu of Sick Leave', Leave Type = 'SL' with Codes '04' and / or '71', hours used by the sergeants during the reporting period, excluding those in the 920 blanket. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data). <i>MIRS</i>



# Division of Adult Institutions Counting Rules 2017B July - December 2017

In-Lieu of Sick Leave		Administration
661	Officers (Classification Code = 9662 & CBID = R06)	<p>The total number of 'In-Lieu of Sick Leave', Leave Type = 'SL' with Codes '04' and / or '71', hours used by the officers during the reporting period, excluding those in the 920 blanket. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data).</p> <p><b>MIRS</b></p>
662	Other Custody (CBID = 'R/S06' but exclude Classification codes: 9656, 9659, and 9662)	<p>The total number of 'In-Lieu of Sick Leave', Leave Type = 'SL' with Codes '04' and / or '71', hours used by other custody classifications staff during the reporting period, excluding those in the 920 blanket. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data).</p> <p><b>MIRS</b></p>
663	AVG Hours Per Non-Custody Staff	<p>Formula: Sum of: "Total Non-Custody In-Lieu of Sick Leave" ÷ sum of "Non-Custody Filled Budgeted Positions" = Average In-Lieu of Sick Leave Hours Per Non-Custody Staff. This EXCLUDES Medical Positions.</p> <p><b>Formula</b></p>
664	Non-Custody	<p>The total number of 'In-Lieu of Sick Leave', Leave Type = 'SL' with Codes '04' and / or '71', hours used by the Non-Custody staff (all bargaining units except Union 06 &amp; Medical staff) during the reporting period, excluding those in the 920 blanket. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data).</p> <p><b>MIRS</b></p>
665	Medical	<p>The total number of "In-Lieu of Sick Leave", Leave Type = 'SL' with Codes '04' and/or '71', used by Medical staff in Units 213, 214, 220 &amp; 221 during the reporting period. Data is received the week following the 20th of the month for the previous month's data.</p> <p><b>MIRS</b></p>
Annual Leave		Administration
667	Total Hours	<p>Formula: Sum of 'Custody' + 'Non-Custody' + 'Medical' = Total Annual Leave.</p> <p><b>Formula</b></p>
668	AVG Hours Per Custody Staff	<p>Formula: Sum of: "Total Annual Leave Hours" ÷ sum of "Custody (Uniformed) Filled Budgeted Positions" and "Other Custody (Non-Uniformed) Filled Budgeted Positions" = Average Annual Leave Hours Per Custody Staff".</p> <p><b>Formula</b></p>
669	Custody	<p>Formula: Sum of 'Lieutenants' + 'Sergeants' + 'Officers' + 'Other Custody' = Custody Annual Leave.</p> <p><b>Formula</b></p>
670	Lieutenants (Classification Code = 9656 & CBID =S06)	<p>The total number of 'Annual Leave', Leave Type = 'AL' with codes '01 and/or '02', hours used by the Lieutenant classification during the reporting period.</p> <p><b>MIRS</b></p>
671	Sergeants (Classification Code = 9659 & CBID =S06)	<p>The total number of 'Annual Leave', Leave Type = 'AL' with codes '01 and/or '02', hours used by the Sergeant classification during the reporting period.</p> <p><b>MIRS</b></p>
672	Officers (Classification Code = 9662 & CBID = R06)	<p>The total number of 'Annual Leave', Leave Type = 'AL' with codes '01 and/or '02', hours used by the Officer classification during the reporting period.</p> <p><b>MIRS</b></p>
673	Other Custody (CBID = 'R/S06' but exclude Classification codes: 9656, 9659, and 9662)	<p>The total number of 'Annual Leave', Leave Type = 'AL' with codes '01 and/or '02', hours used by the Other Custody classifications during the reporting period.</p> <p><b>MIRS</b></p>
674	AVG Hours Per Non-Custody Staff	<p>Formula: Sum of: "Total Non-Custody Annual Leave" ÷ sum of "Non-Custody Filled Budgeted Positions" = Average Annual Leave Hours Per Non-Custody Staff. This EXCLUDES Medical Positions.</p> <p><b>Formula</b></p>



## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

#### Annual Leave

#### Administration

**675 Non-Custody** The total number of "Annual Leave", Leave Type = 'AL' with codes '01 and/or '02', hours used by Non-Custody staff (all bargaining units except Union 06 & Medical staff) during the reporting period. Data is received the week following the 20th of the month for the previous month's data.

**MIRS**

**676 Medical** The total number of "Annual Leave", Leave Type = 'AL' with codes '01 and/or '02', hours used by Medical staff in Units 213, 214, 220 & 221 during the reporting period. Data is received the week following the 20th of the month for the previous month's data.

**MIRS**

#### Workers' Compensation

#### Administration

**678 Custody -- Number of Staff off Work due to accepted and pending claims.** Enter the number of custody staff (R06, S06, and M06) off work due to an approved or pending workers' compensation claim. Enter the data as a point-in-time number as of the last working day of each reporting period.

**Institution Reported**

**679 Off Work Rate (Per 100 Staff)** Formula: "Total Number Custody Staff Off Work Due to Claims" ÷ "Total of All Custody Personnel in Filled Positions" = Ratio per 100 Staff.

**Formula**

**680 Pending/Open Claims** Enter the number of work related compensation claims that are pending/open during the reporting period. Enter the data as a point-in-time number as of the last working day of each reporting period. This data is to include Pending and Open Claims data that is carried over from the previous reporting periods which has not been closed, and new claims data coming in during the current reporting period which has not been closed.

**Institution Reported**

**681 New Claims** Enter the number of work related compensation claims that were initiated during the reporting period. Enter the data as a point-in-time number as of the last working day of each reporting period.

**Institution Reported**

**682 Closed Claims** Enter the number of work related compensation claims that were closed during the reporting period. Enter the data as a point-in-time number as of the last working day of each reporting period.

**Institution Reported**

**683 Non-Custody -- Number of Staff off Work due to accepted and pending claims.** Enter the number of non-custody staff (all bargaining units except for R06, S06, and M06) off work due to an approved or pending workers' compensation claim. Enter data as a point-in-time as of the last working day of each reporting period.

**Institution Reported**

**684 Off Work Rate (Per 100 Staff)** Formula: "Total Number Non-Custody Staff Off Work Due to Claims" ÷ "Total of All Non-Custody Personnel in Filled Positions" = Ratio per 100 Staff.

**\*\*Excludes Medical Personnel Filled Positions**

**Formula**

**685 Pending/Open Claims** Enter the number of work related compensation claims that are pending/open during the reporting period. Enter the data as a point-in-time number as of the last working day of each reporting period. This data is to include Pending and Open Claims data that is carried over from the previous reporting periods which has not been closed, and new claims data coming in during the current reporting period which has not been closed.

**Institution Reported**

**686 New Claims** Enter the number of work related compensation claims that were initiated during the reporting period. Enter the data as a point-in-time number as of the last working day of each reporting period.

**Institution Reported**

**687 Closed Claims** Enter the number of work related compensation claims that were closed during the reporting period. Enter the data as a point-in-time number as of the last working day of each reporting period.

**Institution Reported**



## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

#### NDI/SDI

#### Administration

689	<b>Custody -- Number of Staff off Work due to non-work related illness/injuries.</b>	Enter the number of custody staff (R06, S06, and M06), off work due to a non-work related illness or injury. This includes rejected workers' compensation claims. Enter the data as a point-in-time as of the last working day of each reporting period. Non-Industrial Disability Indemnity (NDI) State Disability Insurance (SDI) <i>Institution Reported</i>
690	<b>Off Work Rate (Per 100 Staff)</b>	Formula: "Total Number Custody Staff Off Work Due to Non-Work Related" ÷ "Total of All Custody Personnel in Filled Positions" = Ratio per 100 Staff. <i>Formula</i>
691	<b>Non-Custody -- Number of Staff off Work due to non-work related illness/injuries.</b>	Enter the number of non-custody staff (all bargaining units except R06, S06, and M06), off work due to a non-work related illness or injury. This includes rejected workers' compensation claims. Enter the data as a point-in-time as of the last working day of each reporting period. Non-Industrial Disability Insurance (NDI) State Disability Insurance (SDI) <i>Institution Reported</i>
692	<b>Off Work Rate (Per 100 Staff)</b>	Formula: "Total Number Non-Custody Staff Off Work Due to Non-Work Related" ÷ "Total of All Non-Custody Personnel in Filled Positions" = Ratio per 100 Staff. **Excludes Medical Personnel <i>Formula</i>

#### FMLA

#### Administration

694	<b>Custody - Number of Staff off Work due to FMLA</b>	Formula: Sum of: "Custody (Lieutenants, Sergeants, Officers)" + "Other Custody" = Total Custody Staff Off Work due to FMLA. Family Medical Leave Act (FMLA) <i>Formula</i>
695	<b>Lieutenants</b>	The total number of lieutenants (Code 9656 (S06)) that have taken FMLA leave during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). <i>MIRS</i>
696	<b>Sergeants</b>	The total number of sergeants (Code 9659 (S06)) that have taken FMLA leave during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). <i>MIRS</i>
697	<b>Officers</b>	The total number of officers (Code 9662 (R06)) that have taken FMLA leave during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). <i>MIRS</i>
698	<b>Other Custody</b>	The total number of other custody (remaining codes of (R06, S06)) that have taken FMLA leave during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). <i>MIRS</i>
699	<b>Non-Custody</b>	The total number of non-custody (all bargaining units except (R06, S06)) that have taken FMLA leave during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). <i>MIRS</i>
700	<b>Medical</b>	The total number of medical staff that have taken FMLA leave during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). <i>MIRS</i>
701	<b>Custody - Number of FMLA Hours Used by Staff</b>	Formula: Sum of: "Custody (Lieutenants, Sergeants, Officers)" + "Other Custody" = Total Number of FMLA Hours Used by Custody Staff. <i>Formula</i>



# Division of Adult Institutions Counting Rules 2017B July - December 2017

## FMLA

## Administration

702	Lieutenants (Classification Code = 9656 & CBID =S06)	The total number of FMLA hours used by lieutenants (Code 9656 (S06)) that have taken FMLA leave during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). <i>MIRS</i>
703	Sergeants	The total number of FMLA hours used by sergeants (Code 9659 (S06)) that have taken FMLA leave during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). <i>MIRS</i>
704	Officers	The total number of FMLA hours used by officers (Code 9662 (R06)) that have taken FMLA leave during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). <i>MIRS</i>
705	Other Custody	The total number of FMLA hours used by other custody classifications (remaining codes of (R06, S06)) that have taken FMLA leave during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). <i>MIRS</i>
706	Non-Custody	The total number of FMLA hours used by non-custody classifications (all bargaining units except (R06, S06)) that have taken FMLA leave during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). <i>MIRS</i>
707	Medical	The total number of FMLA hours used by medical staff classifications during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). <i>MIRS</i>
708	Custody - Average Number of FMLA Hours Used by Staff	Formula: The average number of FMLA hours used by custody (Lieutenants, Sergeants, Officers) classifications during the reporting period. "Custody - Number of FMLA Hours Used by Staff" ÷ "Total Custody Filled Positions" = "Custody — Average Number of FMLA Hours Used by Staff". <i>Formula</i>
709	Other Custody	The average number of FMLA hours used by other custody classifications (remaining codes of (R06, S06)) during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). <i>Formula</i>
710	Non-Custody	The average number of FMLA hours used by non-custody classifications (all bargaining units except (R06, S06)) during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). <i>Formula</i>
711	Medical	The average number of FMLA hours used by medical classifications during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). <i>Formula</i>

## Accounting Penalties (\$ Amounts)

## Administration

713	Institutions (Program 25)	Enter the dollar amount of accounting penalties incurred due to Institution error in Programs 25 that occurred during the reporting period. [Budget Display Act requirement performance measure] <i>Institution Reported</i>
714	Education (Program 45)	Enter the dollar amount of accounting penalties incurred due to Institution error in Programs 45 that occurred during the reporting period. [Budget Display Act requirement performance measure] <i>Institution Reported</i>
715	Medical (Program 50)	Enter the dollar amount of accounting penalties incurred due to Institution error in Programs 50 that occurred during the reporting period. [Budget Display Act requirement performance measure] <i>Institution Reported</i>



## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

#### RAO Accounting Penalties (\$ Amounts)

Administration

717	<b>Institutions (Program 25)</b>	Enter the dollar amount of RAO incurred accounting penalties which the Institution had no control over in Program 25 that occurred during the reporting period. [Budget Display Act requirement performance measure] <i>Institution Reported</i>
718	<b>Education (Program 45)</b>	Enter the dollar amount of RAO incurred accounting penalties which the Institution had no control over in Program 45 that occurred during the reporting period. [Budget Display Act requirement performance measure] <i>Institution Reported</i>
719	<b>Medical (Program 50)</b>	Enter the dollar amount of RAO incurred accounting penalties which the institution had no control over in Program 50 that occurred during the reporting period. [Budget Display Act requirement performance measure] <i>Institution Reported</i>

#### Regulatory Citations Cal OSHA

Administration

721	<b>Number of Penalties</b>	Enter the total number of OSHA penalties/citations received during the reporting period. <i>Institution Reported</i>
722	<b>Dollar Amount</b>	Enter the total dollar amount of the OSHA penalties/citations received. <i>Institution Reported</i>

#### Work Orders

Administration

724	<b>Number of Emergency Corrective Work Orders (priority 1) Received/Submitted</b>	Priority 1 = Emergencies. This is the Maintenance Monthly Report in Plant Operations (POM Report). The data is obtained from the Plant Operations Maintenance Work Order Summary Report (SAPMS) dated from the first day of the previous month to the last day of the previous month. Enter the data as a point-in-time as of the last working day of each reporting period. <i>Institution Reported</i>
725	<b>Number of Emergency Corrective Work Orders (priority 1) Completed</b>	Priority 1 = Emergencies. This is the Maintenance Monthly Report in Plant Operations (POM Report). The data is obtained from the Plant Operations Maintenance Work Order Summary Report (SAPMS) dated from the first day of the previous month to the last day of the previous month. Enter the data as a point-in-time as of the last working day of each reporting period. <i>Institution Reported</i>
726	<b>Number of Preventative Maintenance Work Orders (priority 2) Received/Submitted</b>	Priority 2 = Preventative Maintenance. This is the Maintenance Monthly Report in Plant Operations (POM Report). The data is obtained from the Plant Operations Maintenance Work Order Summary Report (SAPMS) dated from the first day of the previous month to the last day of the previous month. Enter the data as a point-in-time as of the last working day of each reporting period. <i>Institution Reported</i>
727	<b>Number of Preventative Maintenance Work Orders (priority 2) Completed</b>	Priority 2 = Preventative Maintenance. This is the Maintenance Monthly Report in Plant Operations (POM Report). The data is obtained from the Plant Operations Maintenance Work Order Summary Report (SAPMS) dated from the first day of the previous month to the last day of the previous month. Enter the data as a point-in-time as of the last working day of each reporting period. <i>Institution Reported</i>
728	<b>Number of Corrective Work Orders (Priority 3) Received/Submitted</b>	Priority 3 = Routine Corrective Maintenance submitted. This is the Maintenance Monthly Report in Plant Operations (POM Report). The data is obtained from the Plant Operations Maintenance Work Order Summary Report (SAPMS) dated from the first day of the previous month to the last day of the previous month. Enter the data as a point-in-time as of the last working day of each reporting period. <i>Institution Reported</i>
729	<b>Number of Corrective Work Orders (Priority 3) Completed</b>	Priority 3 = Routine Corrective Maintenance completed. This is the Maintenance Monthly Report in Plant Operations (POM Report). The data is obtained from the Plant Operations Maintenance Work Order Summary Report (SAPMS) dated from the first day of the previous month to the last day of the previous month. Enter the data as a point-in-time as of the last working day of each reporting period. <i>Institution Reported</i>

## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

#### Work Orders

#### Administration

730	Number of Non-Maintenance Service Requests (Priority 4) Received/Submitted	Priority 4 = Non-Maintenance Service Request submitted. This is the Maintenance Monthly Report in Plant Operations (POM Report). The data is obtained from the Plant Operations Maintenance Work Order Summary Report (SAPMS) dated from the first day of the previous month to the last day of the previous month. Enter the data as a point-in-time as of the last working day of each reporting period. <i>Institution Reported</i>
731	Number of Non-Maintenance Service Requests (Priority 4) Completed	Priority 4 = Non-Maintenance Service Request completed. This is the Maintenance Monthly Report in Plant Operations (POM Report). The data is obtained from the Plant Operations Maintenance Work Order Summary Report (SAPMS) dated from the first day of the previous month to the last day of the previous month. Enter the data as a point-in-time as of the last working day of each reporting period. <i>Institution Reported</i>
732	Number of Project Work Orders (priority 5) Received/Submitted	Priority 5 = Projects. This is the Maintenance Monthly Report in Plant Operations (POM Report). The data is obtained from the Plant Operations Maintenance Work Order Summary Report (SAPMS) dated from the first day of the previous month to the last day of the previous month. Enter the data as a point-in-time as of the last working day of each reporting period. <i>Institution Reported</i>
733	Number of Project Work Orders (priority 5) Completed	Priority 5 = Projects. This is the Maintenance Monthly Report in Plant Operations (POM Report). The data is obtained from the Plant Operations Maintenance Work Order Summary Report (SAPMS) dated from the first day of the previous month to the last day of the previous month. Enter the data as a point-in-time as of the last working day of each reporting period. <i>Institution Reported</i>
734	% of personnel hours spent on preventative maintenance	$X = (\text{PM Hours} / (\# \text{ of Staff} \times 173.3)) \times 100$ Run the POM report "Work Order Summary," capture the total number of available staff located on the total line under "# of staff." Multiply this figure by 173.3 (the average number of working hours in a month for 168/176 schedule). Treat this as 'available hours' for the month. Capture the total under 2 for "Preventative Maintenance." Divide the "Preventative Maintenance hours" by the 'available hours,' then multiply the figure again by 100 to arrive at the percentage. The SAPMS report used in this calculation - the "POM" report - does not associate hours worked with the month the work was completed, but rather SAPMS associates the hours worked in the month that the PM work order ticket was closed out. A delay in recording the closure of a ticket or the closure of a number of large, multi-month ticket may introduce some skewing in the percentage. In an extreme case, the hours worked on tickets closed in a given month may exceed available personnel hours. <i>Institution Reported</i>

#### Employee Grievances and Staff Complaints

#### Administration

736	Total	Formula: Sum of: "Health & Safety" + "Conditions of Work" + "E.E.O." = Total Employee Grievances and Staff Complaints. <i>Formula</i>
737	Per 100 Staff	Formula: "Employee Grievances and Staff Complaints Total" ÷ "Total of All Personnel in Filled Positions" = Ratio per 100 Staff. <i>Formula</i>
738	Health & Safety	This is the total number of formal health and safety grievances and staff complaints that were filed during the preceding calendar month. The source of this data is through a Grievance Log and/or an Officer/Analyst/Representative in the institution's Labor Relations Office. <i>Institution Reported</i>
739	Conditions of Work	This is the total number of formal conditions of work and staff complaints that were filed during the preceding calendar month. The source of this data is through a Grievance Log and/or an Officer/Analyst/Representative in the institution's Labor Relations Office. <i>Institution Reported</i>
740	Total E.E.O.	This is the total number of Medical and Non-Medical related EEO complaints that were filed during the reporting month. Formula: Medical + Non-Medical EEO Complaints = Total EEO Complaints. <i>Formula</i>





# Division of Adult Institutions Counting Rules 2017B July - December 2017

## Employee Grievances and Staff Complaints

Administration

741	EEO Non-Medical	This is the total number of Non-Medical related EEO complaints that were filed during the reporting month. The source of this data is the institution EEO Coordinator. <i>Institution Reported</i>
742	EEO Medical	This is the total number of Medical related EEO complaints that were filed during the reporting month. The source of this data is through a Medical EEO Log and/or the Medical institutional EEO Coordinator. <i>Institution Reported</i>

## Staff Investigations

Administration

744	Total Central Intake Requests	Enter the number of Central Intake Requests submitted during the reporting period. <i>Institution Reported</i>
745	Per 100 Staff	Formula: "Total Central Intake Requests" ÷ "Total of All Personnel in Filled Positions" = Ratio per 100 Staff. <i>Formula</i>
746	Central Intake Requests Accepted	Enter the number of Central Intake Requests accepted during the reporting period. <i>Institution Reported</i>
747	Central Intake Requests Rejected	Enter the number of Central Intake Requests rejected and returned during the reporting period. This is a point in time count as of the last day of the reporting period. <i>Institution Reported</i>
748	Central Intake Requests Approved for Direct Action	Enter the number of Central Intake Requests approved for Direct Action during the reporting period. This is a point in time count as of the last day of the reporting period. <i>Institution Reported</i>
749	Total Direct Action Requests Submitted	Enter the number of Direct Action Requests submitted during the reporting period. This is a point in time count as of the last day of the reporting period. <i>Institution Reported</i>
750	Direct Action Requests Accepted	Enter the number of Direct Action Requests accepted during the reporting period. This is a point in time count as of the last day of the reporting period. <i>Institution Reported</i>
751	Direct Action Requests Rejected	Enter the number of Direct Action Requests rejected and returned during the reporting period. This is a point in time count as of the last day of the reporting period. <i>Institution Reported</i>
752	Number of Open Investigations	Enter the total number of open investigations during the reporting period. This is a point-in-time count as of the last day of the reporting period. <i>Institution Reported</i>
753	Number of Closed Investigations	Enter the total number of investigations closed during the reporting period. This is a point-in-time count as of the last day of the reporting period. <i>Institution Reported</i>
754	Investigations exceeding 180 calendar days	Enter the total number of investigations that have exceeded 180 calendar days. <i>Institution Reported</i>
755	Investigations exceeding the Statute of Limitations	Enter the total number of investigation that have exceeded the statute of limitations. <i>Institution Reported</i>

## Medical Staff Investigations

Administration

757	Total Central Intake Requests	Enter the number of Medical Central Intake Requests submitted during the reporting period. <i>Institution Reported</i>
758	Per 100 Staff	Formula: "Total Central Intake Requests" ÷ "Total of All Personnel in Filled Positions" = Ratio per 100 Staff. <i>Formula</i>
759	Central Intake Requests Accepted	Enter the number of Central Intake Requests accepted during the reporting period. <i>Institution Reported</i>



## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

Medical Staff Investigations			Administration
760	Central Intake Requests Rejected	Enter the number of Central Intake Requests rejected and returned during the reporting period. This is a point in time count as of the last day of the reporting period. <i>Institution Reported</i>	
761	Central Intake Requests Approved for Direct Action	Enter the number of Central Intake Requests approved for Direct Action during the reporting period. This is a point in time count as of the last day of the reporting period. <i>Institution Reported</i>	
762	Total Direct Action Requests Submitted	Enter the number of Direct Action Requests submitted during the reporting period. This is a point in time count as of the last day of the reporting period. <i>Institution Reported</i>	
763	Direct Action Requests Accepted	Enter the number of Medical Direct Action Requests accepted during the reporting period. This is a point in time count as of the last day of the reporting period. <i>Institution Reported</i>	
764	Direct Action Requests Rejected	Enter the number of Medical Direct Action Requests rejected and returned during the reporting period. This is a point in time count as of the last day of the reporting period. <i>Institution Reported</i>	
765	Number of Open Investigations	Enter the total number of open investigations during the reporting period. This is a point-in-time count as of the last day of the reporting period. <i>Institution Reported</i>	
766	Number of Closed Investigations	Enter the total number of investigations closed during the reporting period. This is a point-in-time count as of the last day of the reporting period. <i>Institution Reported</i>	
767	Investigations exceeding 180 calendar days	Enter the total number of investigations that have exceeded 180 calendar days. <i>Institution Reported</i>	
768	Investigations exceeding the Statute of Limitations	Enter the total number of investigations that have exceeded the statute of limitations. <i>Institution Reported</i>	
Adverse Actions			Administration
770	Total	Formula: "Adverse Actions Total (Non-Medical)" + "Adverse Actions Total (Medical)" = Total Adverse Actions. <i>Formula</i>	
771	Per 100 Staff	Formula: "Total Adverse Actions" ÷ "Total of All Personnel in Filled Positions" = Ratio per 100 Staff. <i>Formula</i>	
772	Dismissals	Formula: "Dismissals (Non-Medical)" + "Dismissals (Medical)" = Total Dismissals. <i>Formula</i>	
773	Adverse Actions Total (Non-Medical)	Enter the total number of non-medical adverse actions initiated during the reporting period. <i>Institution Reported</i>	
774	Per 100 Staff	Formula: "Total Non-Medical Adverse Actions" ÷ "Total of All Personnel in Filled Positions" = Ratio per 100 Staff. <i>Formula</i>	
775	Dismissals (Non-Medical)	Enter the total number of Non-Medical Dismissals issued during the reporting period. <i>Institution Reported</i>	
776	Adverse Actions Total (Medical)	Enter the total number of medical adverse actions initiated during the reporting period. <i>Institution Reported</i>	
777	Per 100 Staff	Formula: "Total Medical Adverse Actions" ÷ "Total of All Personnel in Filled Positions" = Ratio per 100 Staff. <i>Formula</i>	
778	Dismissals (Medical)	Enter the total number of Medical Dismissals issued during the reporting period. <i>Institution Reported</i>	



## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

Comm. Prisoner Mother Program			Female Offenders
781	Eligible	Enter the total number of eligible inmates to enter the Comm. Prisoner Mother Program for the month. <i>Institution Reported</i>	
782	Endorsed	Enter the total number of endorsed inmates to exit the Comm. Prisoner Mother Program for the month. <i>Institution Reported</i>	
783	Pending Transfer	Enter the total number of inmates pending transfer from Comm. Prisoner Mother Program for the month. <i>Institution Reported</i>	
FOPS Camps			Female Offenders
785	Eligible	Enter the total number of inmates eligible to enter camps for the reporting period. <b>Metric deactivated, effective 3/31/2017</b> <i>Institution Reported</i>	
786	Endorsed	Enter the total number of inmates endorsed to exit the camps for the reporting period. <b>Metric deactivated, effective 3/31/2017</b> <i>Institution Reported</i>	
787	Pending Transfer	Enter the total number of inmates pending transfer from the camps for the month. <b>Metric deactivated, effective 3/31/2017</b> <i>Institution Reported</i>	
Female Community Correctional Facility			Female Offenders
789	Eligible	Enter the total number of inmates eligible to enter the Female Community Correctional Facility for the month. <i>Institution Reported</i>	
790	Endorsed	Enter the total number of inmates endorsed to exit the Female Community Correctional Facility for the reporting period. <i>Institution Reported</i>	
791	Pending Transfer	Enter the total number of inmates pending transfer from the Female Community Correctional Facility for the reporting period. <i>Institution Reported</i>	
CIW Camps			Female Offenders
793	Rainbow	No counting rule provided. <b>Metric deactivated, effective 3/31/2017</b> <i>Institution Reported</i>	
794	Malibu	No counting rule provided. <b>Metric deactivated, effective 3/31/2017</b> <i>Institution Reported</i>	
795	Puerta La Cruz	No counting rule provided. <b>Metric deactivated, effective 3/31/2017</b> <i>Institution Reported</i>	
796	Pipeline	No counting rule provided. <b>Metric deactivated, effective 3/31/2017</b> <i>Institution Reported</i>	
Female Drug Treatment Beds			Female Offenders
798	Eligible	Number of inmates eligible for the month to occupy Female Drug Treatment Beds for the reporting period. <b>Metric deactivated, effective 3/31/2017</b> <i>Institution Reported</i>	



## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

Female Drug Treatment Beds			Female Offenders
799	Endorsed	Number of inmates endorsed for the month to exit Female Drug Treatment Beds for the reporting period. <b>Metric deactivated, effective 3/31/2017</b> <i>Institution Reported</i>	
800	Pending Transfer	Number of inmates pending transfer for the month to exit Female Drug Treatment Beds for the reporting period. <b>Metric deactivated, effective 3/31/2017</b> <i>Institution Reported</i>	
801	Eligible but Restricted (Medical/Dental/Other)	Number of inmates eligible for the month but restricted due to Medical/Dental/Other to enter Female Drug Treatment Beds for the reporting period. <b>Metric deactivated, effective 3/31/2017</b> <i>Institution Reported</i>	
802	Endorsed and Time Restricted	Number of inmates endorsed and time restricted to exit Female Drug Treatment Beds for the reporting period. <b>Metric deactivated, effective 3/31/2017</b> <i>Institution Reported</i>	
Inmate Age Group (Female)			Female Offenders
804	18 to 31	Identify the number of inmates from age 18 through 31 in a female institution for the reporting period. <i>SOMS</i>	
805	32 to 45	Identify the number of inmates from age 32 through 45 in a female institution for the reporting period. <i>SOMS</i>	
806	46 and above	Identify the number of inmates from age 46 and above in a female institution for the reporting period. <i>SOMS</i>	
Gender Demographics			Female Offenders
808	Officers	Total number of officers in the reporting period who work in a female institution. <i>Institution Reported</i>	
809	% Male	Percentage of male officers working during the reporting period in a female institution. <i>Institution Reported</i>	
810	% Female	Percentage of female officers working during the reporting period in a female institution. <i>Institution Reported</i>	
811	Sergeants	Total number of Sergeants working during the reporting period in a female institution. <i>Institution Reported</i>	
812	% Male	Percentage of male sergeants working during the reporting period in a female institution. <i>Institution Reported</i>	
813	% Female	Percentage of female sergeants working during the reporting period in a female institution. <i>Institution Reported</i>	
814	Lieutenants	Total number of Lieutenants working during the reporting period in a female institution. <i>Institution Reported</i>	
815	% Male	Percentage of male Lieutenants working during the reporting period in a female institution. <i>Institution Reported</i>	
816	% Female	Percentage of female Lieutenants working during the reporting period in a female institution. <i>Institution Reported</i>	
817	Managers	Total number of Managers working during the reporting period in a female institution. <i>Institution Reported</i>	
818	% Male	Percentage of male Managers working during the reporting period in a female institution. <i>Institution Reported</i>	



## Division of Adult Institutions

### Counting Rules 2017B July - December 2017

<b>Gender Demographics</b>			<b>Female Offenders</b>
819	% Female	Percentage of female Managers working during the reporting period in a female institution. <i>Institution Reported</i>	
<b>FOPS RC Case Processing</b>			<b>Female Offenders</b>
821	Avg. No. of Days to Process	No counting rule provided. <b>Metric deactivated, effective 3/31/2017</b> <i>Institution Reported</i>	
<b>Special Populations</b>			<b>Female Offenders</b>
823	Civil Addicts	No counting rule provided. <b>Metric deactivated, effective 3/31/2017</b> <i>Institution Reported</i>	
<b>FOPS Condemned Inmates</b>			<b>Female Offenders</b>
825	Actual Population	This is the actual population of condemned inmates. Count is received on the last day of the calendar month. <b>Metric deactivated, effective 3/31/2017</b> <i>SOMS</i>	
<b>Women's Trauma Related Groups</b>			<b>Female Offenders</b>
827	Total number of trauma related Meetings held during reporting period.	Enter the total number of trauma related meeting for the reporting period. <i>Institution Reported</i>	
828	Average number of Inmate attendees per trauma Meeting	Enter the average number of inmates attending a trauma meeting for the month. <i>Institution Reported</i>	
<b>Fighting</b>			<b>Female Offenders</b>
830	Total number of incidents	Enter the total number of incidents specific to fighting for the current month. <b>Metric deactivated, effective 12/31/2016</b> <i>DIRS</i>	
<b>Pharmacy</b>			<b>Female Offenders</b>
832	Total number of prescriptions filled per month	Enter the total number of prescriptions filled for the current month. <b>Metric deactivated, effective 3/31/2017</b> <i>Institution Reported</i>	

# DIVISION OF ADULT INSTITUTIONS

## ACRONYMS



**ADA** – Americans with Disabilities Act  
**ASP** – Avenal State Prison  
**ASU** – Administrative Segregation Unit  
**CAC** – California City Correctional Facility  
**CAL** – Calipatria State Prison  
**CCC** – California Correctional Center  
**CCI** – California Correctional Institution  
**CCCMS** – Correctional Clinical Case Management Services  
**CCWF** – Central California Women’s Facility  
**CDCR** – California Department of Corrections and Rehabilitation  
**CHCF** – California Health Care Facility  
**CIM** – California Institution for Men  
**CIW** – California Institution for Women  
**CMC** – California Men’s Colony  
**CMF** – California Medical Facility  
**CN** - Chloroacetophenone  
**CS** - Orthochlorobenzalmalononitrile  
**CRC** – California Rehabilitation Center  
**CSP – COR** – California State Prison, Corcoran  
**CSP – LAC** – California State Prison, Los Angeles County  
**CSP – SAC** – California State Prison, Sacramento  
**CSP – SOL** – California State Prison, Solano  
**CSP – SQ** – California State Prison, San Quentin  
**CSW** – Contraband Surveillance Watch  
**CTC** – Correctional Treatment Center  
**CTF** – Correctional Training Facility  
**CVSP** – Chuckawalla Valley State Prison  
**DVI** – Deuel Vocational Institution  
**EOP** – Enhanced Outpatient Program  
**FSP** – Folsom State Prison  
**FWF** – Folsom Women’s Facility  
**HDSP** – High Desert State Prison  
**ISP** – Ironwood State Prison  
**KVSP** – Kern Valley State Prison  
**LTRH** – Long Term Restricted Housing  
**LWOP** – Life without the Opportunity for Parole  
**MCSP** – Mule Creek State Prison  
**NKSP** – North Kern State Prison  
**OC** – Oleoresin Capsicum  
**OHU** – Outpatient Housing Unit  
**PBSP** – Pelican Bay State Prison  
**PIP** – Psychiatric In-Patient Program  
**PSU** – Psychiatric Services Unit  
**PVSP** – Pleasant Valley State Prison  
**RC** – Reception Center  
**RJD** – Richard J. Donovan Correctional Facility at Rock Mountain  
**RVR** – Rules Violation Report  
**SATF** – Substance Abuse Treatment Facility

# DIVISION OF ADULT INSTITUTIONS ACRONYMS



**SBI** – Serious Bodily Injury  
**SCC** – Sierra Conservation Center  
**SHU** – Security Housing Unit  
**SNY** – Sensitive Needs Yard  
**STG** – Security Threat Group  
**STRH** – Short Term Restricted Housing  
**SVSP** – Salinas Valley State Prison  
**VSP** – Valley State Prison  
**WSP** – Wasco State Prison

**NOTE:** Acronyms are only available for Appeals, Disciplinarys, Incidents, and Population metrics. Additional acronyms will be added as items move through the data governance process.



# DIVISION OF ADULT INSTITUTIONS GLOSSARY



*The glossary is a new addition that will be added to all COMPSTAT counting rules documents effective January 1, 2017. Definitions will be added in phases based on the governance process for each section of the Division of Adult Institutions 13-Month Report. New definitions will be added regularly and will be identified in the counting rule for each metric.*

**40mm Launcher** – A rifled bore launcher, capable of firing direct/indirect impact munition rounds and chemical agents.

**Actual Possession** – Exists when a person has physical custody or control of an object.

**ADA Appeals** – An appeal of issues related with medical appliances and other types of Americans with Disability Act related issues.

**Adjudicated** – A formal judgment or decision of the guilt or innocence of an inmate based on the preponderance of evidence evaluated by the hearing official.

**Administrative Determinate** – An inmate meeting one or more administrative conditions, known as administrative determinants, may be housed in a facility with a security level which is not consistent with the inmate's placement score.

**Administrative RVR** – Prepared for conduct of a minor nature as outlined in California Code of Regulations, Title 15 Section 3314.

**Administrative Segregation** – When an inmate's presence in an institution's General Population (GP) presents an immediate threat to the safety of the inmate or others, endangers institution security or jeopardizes the integrity of an investigation of an alleged serious misconduct, criminal activity, or the safety of any person.

**Advisement** – Appeals submitted by certain inmates must receive careful consideration before acceptance and processing of the appeal can begin.

**Aggravated Battery** – Every person confined in the state prison who commits a battery by gassing upon the person of any peace officer, as defined in Chapter 4.5 (commencing with Section 830) of Title 3 of Part 2, or employee of the state prison is guilty of aggravated battery and shall be punished by imprisonment in a county jail or by imprisonment in the state prison for two, three, or four years.

**Americans with Disabilities Act (ADA)** – The ADA is a civil rights law that prohibits discrimination against individuals with disabilities in all areas of public life.

**Armstrong Class Member** – An inmate with a mobility, sight, hearing, learning, developmental or kidney disability that substantially limits one or more of their major life activities.

**Assault** – Any unlawful attempt, coupled with a present ability, to commit a violent injury on the person of another.

**Attempted Escape** – An unsuccessful effort to breach a secured perimeter or the use of force against a person to attempt access into an unauthorized area. Some progress toward implementing an escape must be made to implement a plan. This includes, but is not limited to the following overt acts: acquiring unauthorized clothing or identification, preparing a hiding place in an unauthorized area, lying

# DIVISION OF ADULT INSTITUTIONS GLOSSARY



in wait for a potential hostage, attempting access to a perimeter that was unsupervised, unlawfully obtaining tools to aid in an escape, manufacturing a likeness of a person in order to substitute for the inmate's presence, or receiving assistance from other conspirators who acted upon an escape plan, e.g. a plan to escape uncovered from verbal, telephone or mail communication.

**Attempted Homicide** – See definition for attempted murder.

**Attempted Murder** – Any attempt to kill a human being with malice aforethought.

**Attempted Suicide** – Non-fatal, self-directed, potentially injurious behavior with the intent to die as a result of the behavior; may not result in injury.

**Baton** – Hand Held expandable baton.

**Battery** – Unlawful and willful act of force or violence upon the person of another.

**Bed Security Level** – Each camp, facility, or area of a facility complex shall be designated at a security level based on its physical security and housing suitability.

**Blueprint Crowding Standard** – Desirable population levels to satisfy Federal Court requirements.

**Camp** – Non-secure facility to house inmate firefighters.

**Cancelled** – An appeal that the appeals coordinator or third level Appeals Chief has returned to the inmate without a response to the specific appeal issues and is considered closed with the inmate having not exhausted administrative remedies.

**Case Records Appeal** – An appeal of issues associated with an inmate's record such as release date calculation and gang affiliation.

**CDCR Blueprint** – A document reflecting the housing levels and bed use programs at each institution. A blueprint to save billions of dollars, end Federal Court oversight, and improve the Prison System.

**Civilian Arrest** – Any arrest of a civilian/non-employee.

**Clark Class Member** – Inmates with low cognitive and adaptive functioning.

**Classification Appeal** – An appeal of a classification committee action which can include affixing a suffix, assessment of an administrative determinate, transfer to another facility, prison, or program.

**Classification Score** – Classification scoring system based on individual case factors to ensure that inmates are properly housed and supervised.

**CN** – Chemical agent Chloroacetophenone.

**Condemned** – Inmates who are sentenced to death.

**Conduct** – The manner in which a person behaves.

# DIVISION OF ADULT INSTITUTIONS GLOSSARY



**Conservation Camp** – See definition for camp.

**Constructive Possession** – Exists where a person has knowledge of an object and control of the object or the right to control the object, even if the person has no physical contact with it.

**Contraband Surveillance Watch** - The objective of placing an inmate on Contraband Surveillance Watch (CSW) is to retrieve concealed contraband without physical intrusion; ensure that contraband is not circulated into the inmate population; and ensure the safety of the inmate suspected of having the concealed contraband.

**Controlled Extraction** – See definition for controlled use of force.

**Controlled Possession** – See definition for possession.

**Controlled Substance** – Any substance, drug, narcotic, opiate, hallucinogen, depressant or stimulant as defined by Health and Safety Code Section 11007. Also included are prescribed medications containing any of the substances identified in Health and Safety Code Section 11007.

**Controlled Use of Force** – The force used in an institution/facility setting, when an inmate's presence or conduct poses a threat to safety or security and the inmate is located in an area that can be controlled or isolated.

**Correctional Clinical Case Management Services (CCCMS)** – Mental Health Services Delivery System's lowest level of care.

**Correctional Treatment Center (CTC)** – Licensed medical bed to house inmates receiving medical treatment.

**Counseling RVR** – Report that is prepared when similar minor misconduct recurs after verbal counseling or if documentation of minor misconduct is needed, a description of the misconduct and counseling provided is included in the report.

**CS** – Chemical agent Orthochlorobenzalmalononitrile.

**Crowding Capacity** – Desirable level of overcrowding allowed in a facility.

**Custody Appeal** – An appeal of issues related to degree of custody, work groups, policies and procedures, and other types of custody issues.

**Deadly Weapon** – Any weapon identified in Penal Code Section 4502. Any item or substance not readily identified as a weapon becomes a deadly weapon when used in a manner that could reasonably result in serious bodily injury or death.

**Deceased** – The inmate has died before the time limits for responding to the appeal have expired.

**Defaced** – The contamination of an appeal using food, white out, tape, hair, blood, bodily fluids, excrement or attaching clothing, razor blades, string, books, or magazines.

# DIVISION OF ADULT INSTITUTIONS GLOSSARY



**Delaying** – To cause a peace officer to be late or causing a distraction in order to delay a peace officer from his or her duties.

**Denied** – The inmate has no right to compensation or remedy for the issue included in the appeal.

**Design Bed** – Bed designated as part of the facilities design numbers reported to the courts.

**Development Disability Program (DDP)** – The Department's plan, policies, and procedures to assure identification; appropriate classification, housing, and protection; and non-discrimination of inmates with developmental disabilities.

**Disciplinary Appeal** – An appeal of an administrative or serious Rules Violation Report (RVR) and other disciplinary issues.

**Disposition** – Any Penalty assessed when found guilty of all charges identified in the Rules Violation Report.

**Disturbance** – A breakdown of peaceful and law-abiding behavior.

**Documented Use of Force** – Every staff use of force is an incident that shall be reported. Any employee who uses force or observed a staff member use force shall report it to a supervisor as soon as practical and follow up with appropriate documentation prior to being relieved from duty.

**Drug Paraphernalia** – Any device, contrivance, instrument, or paraphernalia intended to be used for unlawfully injecting or consuming into the human body a controlled substance as identified in Health and Safety Code Section 11007.

**Duplicate Appeal** – An appeal that is the same as a previous appeal filed by the inmate upon which a decision has been rendered or is pending.

**Endorsement** – Documentation of an inmate's approved/assigned location.

**Enhanced Outpatient Program (EOP)** – Mental Health Services Delivery System's enhanced level of care.

**Enhanced Outpatient Program Hub** – Clustered housing for inmates at the Enhanced Outpatient Program level of care in Administrative Segregation.

**Escape** – Every prisoner confined in a state prison who, by force or violence, escapes or attempts to escape therefrom and every prisoner committed to a state prison who, by force or violence, escapes or attempts to escape while being conveyed to or from that prison or any other state prison, or any prison road camp, prison forestry camp, or other prison camp or prison farm or any other place while under the custody of prison officials, officers or employees; or who, by force or violence, escapes or attempts to escape from any prison road camp, prison forestry camp, or other prison camp or prison farm or other place while under the custody of prison officials, officers or employees; or who, by force or violence, escapes or attempts to escape while at work outside or away from prison under custody of prison officials, officers, or employees, is punishable by imprisonment in the state prison for a term of two, four, or six years. The second term of imprisonment of a person convicted under this subdivision

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shall commence from the time he or she would otherwise have been discharged from prison. No additional probation report shall be required with respect to that offense.

**Expected Death** – An inmate who has been placed at a level of care anticipating death in the near future (hospice).

**Explosive Device** – A device that can burst with sudden violence from internal energy.

**Fighting** – Displaying or engaging in violence, combat, or aggression.

**Fire Camp** – See definition for camp.

**For Effect** – The firing of the Mini-14 (deadly force) or 40mm Launcher (less lethal force), with the intent of hitting the subject. Warning shots are not considered shooting for effect.

**Fire House** – Non-secure facility to house institution inmate firefighters.

**Fund Appeal** – An appeal of issues associated with restitution, gate money, deposits, canteen draws, and other types of inmate funds.

**General Population** – Inmates who program within a facility that are not required to be restrained during movement.

**Granted** – The inmate will receive all requested compensation or remedy for the issue included in the appeal.

**Granted in Part** – The inmate will receive part of the requested compensation or remedy for the issue included in the appeal.

**Homicide** – See definition for murder.

**Hub** – Central place for housing or transportation location.

**Ideal Operational Capacity** – Capacity desirable for housing of inmates.

**Illegible** - The appeal is not printed legibly in ink or was typed in a font smaller than 12-point or otherwise making the appeal unreadable to the appeal coordinator.

**Immediate Extraction** – Involves the imminent threat to loss of life or imminent threat to institution security. An emergency that exists requiring the immediate removal of the inmate from the cell.

**Impacting** – Case factors that have an impact or effect on housing or program.

**In Cell** – An incident involving assaultive/sexually assaultive behavior towards a cellmate inside their cell.

**Inactive Monitored** – A validated gang affiliate who was released from the Security Housing Unit concurrent with the implementation of the Step Down Program through a Departmental Review Board hearing.

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**Indecent Exposure** – Every person who willfully and lewdly, either exposes his or her person, or the private parts thereof, in any public place, or in any place where there are present other persons to be offended or annoyed thereby; or procures, counsels, or assists any person so to expose him or her self or take part in any model artist exhibition, or to make any other exhibition of him or her self to public view, or the view of any number of persons, such as is offensive to decency, or is adapted to excite to vicious or level thoughts or acts.

**Intoxicant** – Toluene or any bi-product i.e. paint thinners, paint, fingernail polish, lacquers, gasoline, kerosene, adhesives or other substance that markedly diminishes physical and/or mental control.

**Legal Appeal** – An appeal of access to court, mishandling of legal work, processing of appeals, notary services and other types of legal issues.

**Level of Care** – Refers to the Mental Health level of care.

**Living Condition Appeal** – An appeal of safety, sanitation, clothing, food services, telephone calls, housing assignment and other types of living condition issues.

**Life With Possibility of Parole** – Inmate serving an indeterminate sentence with parole eligibility.

**Life Without Possibility of Parole** – Inmate serving an indeterminate sentence without parole eligibility.

**Lockdown** – The restriction of all inmates, in a dorm/building/facility, to their cells/dormitory beds.

**Long Term Restricted Housing** – Housing for inmates serving a Security Housing Unit (SHU) Term that are CCCMS level of care.

**Mail Appeal** – An appeal of a disallowed/disapproved magazine, publication, package, and other item that is shipped through the mail.

**Mainline** – General Population setting.

**Mental Health Level of Care** – The Mental Health Services Delivery System Level of Care as determined by a licensed mental health clinician (CCCMS, MHCB, EOP, DMH).

**Mini 14** – CDCR's primary weapon, and used in gun towers, gun walks, observation posts, and other areas where deadly force may be necessary.

**Modification Order** – An order by the institution, parole region, or third level Appeals Chief directing a previous decision to be modified.

**Modified Program** – The suspension or restriction of less than all inmate program activities and/or movement.

**Murder** – The unlawful killing of a human being or a fetus with malice aforethought.



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**Non-Conventional Force** – Force that utilizes techniques or instruments that are not specifically authorized in policy, procedures, or training.

**Non-Impacting** – Case factor which does not impact housing or program.

**Non-Prisoner** – Any person that is not an inmate or a peace officer.

**Obstructing** – Impede or prevent a peace officer from performing his or her duties.

**OC** – Chemical agent Oleoresin Capsicum.

**Out of Jurisdiction** – An issue that cannot legally be decided by the Department.

**Outpatient Housing Unit (OHU)** – Licensed medical bed to house inmates receiving medical treatment.

**Physical Force** – Any deliberate physical contact, using any part of the body to overcome conscious resistance.

**Personal Property Appeal** – An appeal of lost, stolen, or damaged property that occurred during a cell search, placement in segregated housing, or during transport to another institution or other location.

**Pipeline** – Inmates housed pending movement into a specialized program.

**Possession** – Defined as either actual possession or constructive possession of an object. Actual possession exists when a person has physical custody or control of an object. Constructive possession exists where a person has knowledge of an object and control of the object or the right to control the object, even if the person has no physical contact with it.

**Program Appeal** – An appeal of drug testing, substance abuse programs, in cell study programs, religious services, yard scheduling and other types of programs.

**Psychiatric Inpatient Program (PIP)** – Specialized licensed housing for Mental Health patients requiring Acute or Intermediate Level of care.

**Reception Center** – Housing for inmates that are new arrivals to the department.

**Reentry Appeal** – An appeal of placement in specific reentry locations or programs.

**Reportable Incidents** – Examples of incidents which shall be reported: All felonies committed by inmates, parolees, employees or the public on institution property, during transportation or under the jurisdiction of parole regions. General or partial lockdowns. Riots, inmate strikes or general demonstrations. Major power failures. Serious accidents or injuries. Deaths. Significant damage or destruction of state property. Escapes or attempted escapes. Any state of emergency as described in CCR 3383. Any use or discharge of weapons, chemical agents or tasers. Threats against the President or Vice-President of the United States, or threats against state officials. Safety grievances (employees). Employee job actions. Sexual Violence Allegations.

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**Resisting** – A willful and unlawful attempt, by means of any threat or violence, to deter or prevent an executive officer from performing his or her lawful duty.

**Restricted Custody** – Housing for inmates deemed Max custody and required to be restrained while out of their cell.

**Riot** – When two or more people acting together do any of the following without authority of law: 1) use force or violence; 2) disturb the public peace, or 3) threaten to use force or violence with immediate power to execute the threat.

**Rout** – A disturbance of the peace by persons assembled together with intent to do something, which if executed would become a riot.

**Rules Violation Report (RVR)** – Report that documents inmate behavior that is a violation of law, California Code of Regulations Title 15, and/or approved procedure.

**S-Suffix** – Inmates who have been determined to require housing in a cell by themselves.

**Screen Out** – An appeal that is sent back to the inmate unprocessed due to cancellation, rejection, or withdrawal in accordance with Title 15 Section 3084.6.

**Security Housing Unit (SHU)** – Housing for inmates serving a Security Housing Unit Term.

**Security Threat Group (STG)** – Any ongoing formal or informal organization, association, or group of three or more persons which has a common name or identifying sign or symbol whose members and/or associates, individually or collectively, engage or have engaged, on behalf of that organization, association or group, in two or more acts which include, planning, organizing, threatening, financing, soliciting or committing unlawful acts, or acts of misconduct.

**Sensitive Needs Yard (SNY)** – Programming facility for inmates who have sensitive case factors.

**Serious Bodily Injury** – A serious impairment of physical condition, including, but not limited to the following: loss of consciousness; concussion; bone fracture; protracted loss or impairment of function of any bodily member or organ; a wound requiring extensive suturing; and serious disfigurement.

**Serious RVR** – Prepared when inmate misconduct is a felony, misdemeanor, or deemed serious by regulation.

**Sexual Disorderly Conduct** – Every person who touches, without exposing, his or her genitals, buttocks or breasts in a manner that demonstrates it is for the purpose of sexual arousal, gratification, annoyance, or offense, and that any reasonable person would consider this conduct offensive.

**Sedative** – A drug taken for its calming or sleep-inducing effect.

**Seizure** – Confiscation of unauthorized possession or constructive possession of contraband.

**Segregation Hearings Appeal** – An appeal of placement in Administrative Segregation, Security Housing Unit placement, and other segregated housing issues.

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**Sexual Disorderly Conduct** – Every person who touches, without exposing, his or her genitals, buttocks or breasts in a manner that demonstrates it is for the purpose of sexual arousal, gratification, annoyance, or offense, and that any reasonable person would consider this conduct offensive.

**Sexual Misconduct** – Any behavior of a sexual nature between an inmate and a visitor including, but not limited to: Sexual intercourse, oral copulation, or masturbation. The rubbing or touching of breast(s) or sexual organ(s) for the purpose of arousing, appealing to, or gratifying lust, passions, or sexual desires. Exposure of breast(s), buttocks or sexual organ(s) for the purpose of arousing, appealing to, or gratifying lust, passions, or sexual desires.

**Short Term Restricted Housing (STRH)** – Administrative Segregation housing for inmates at the CCCMS level of care.

**Staff Complaint Appeal** – Issue related to staff behavior that violates or is contrary to law, regulation, policy, procedure, or an ethical or professional standard.

**STG-I** – Term used to identify and prioritize the level of threat the group presents that affects the safety and security of the institution and public safety. STG-I designation will be reserved for STGs that pose the greatest of these threats. STG-I designation will include, but may not be limited to, traditional prison gangs, or similar disruptive groups or gangs that the department has certified to have a history and propensity for violence and/or influence over subservient STGs.

**STG-II** – Term used to identify and prioritize the level of threat the group presents that affects the safety and security of the institution and public safety. The STG-II designation may include, but is not limited to, traditional disruptive groups/street gangs.

**Stimulant** – A substance that raises levels of physiological or nervous activity in the body.

**Strike** – A refusal to institution/departmental policy organized by a group of people or inmates as a form of protest, typically in an attempt to gain a concession or concessions from the institution/department.

**Suicide** – The act or an instance of taking one's own life voluntarily and intentionally.

**Threatening** – A verbal or written threat or a threat implied by a pattern of conduct or a combination of verbal or written statements and conduct made with the intent and the apparent ability to carry out the threat so as to cause the person who is the target of the threat to reasonably fear for his or her safety or the safety of his or her immediate family.

**Transfer Appeal** – An appeal of a decision to transfer the inmate to another institution or facility.

**Treatment Capacity** – Designated capacity utilized for providing treatment within a housing unit for inmates receiving mental health care.

**Uncontrolled Possession** – The discovery of contraband in a common area where no possession can be established to any individual(s) also known as a "Common Area Find".

**Unexpected Death** – A death that occurs suddenly and earlier than anticipated.

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**Unlawful Assembly** – A group of people with the mutual intent of deliberate disturbance of the peace.

**Urinalysis** – Analysis of urine by physical, chemical, and microscopic means to test for the presence of drugs.

**Use of Force** – It is the policy of the California Department of Corrections and Rehabilitation's (CDCR), Division of Adult Institutions (DAI), to accomplish custodial and correctional functions with minimal reliance on the use of force. Employees may use reasonable force as required in the performance of their duties, but shall not use unnecessary or excessive force. Staff may, at any point, determine the situation can be resolved without the use of force and terminate the use of force process. This policy, in conjunction with related procedures and training, defines staff responsibilities and requirements concerning the use of force. This policy will assist staff in identifying when and how much force is appropriate under different circumstances, ensure that supervision, monitoring, and evaluation of the use of force is consistent with procedures and training, and ensure the investigation of possible unnecessary or excessive use of force. Staff found culpable of violations of the Use of Force Policy will be subject to disciplinary (preventive, corrective, or adverse action) procedures.

**Validated** – The formal and objective process for identifying and documenting Security Threat Group (STG) affiliates.

**Visiting** – These regulations are made in recognition and consideration of the value of inmate visitation as a means of increasing safety in prisons, maintaining family and community connections, and preparing inmates for successful release and rehabilitation. It is the intent of these regulations to establish a visiting process in the institutions/facilities of the department that is conducted in as accommodating a manner as possible, subject to the need to maintain order, the safety of persons, the security of the institution/facility, and required prison activities and operations. Each institution/facility shall provide visiting for no less than 12 hours per week. Any reduction of an institution/facility visiting schedule below 12 hours shall require the prior approval of the Secretary or designee. Regular visiting days shall be consecutive and include Saturday and Sunday.

**Visiting Appeal** – An appeal of family visiting, facility accommodations, minor visiting restrictions, visitor approval, visitor search and other visiting issues.

**Wireless Device** – Any system or device, as a cellular phone, for transmitting messages or signals by electromagnetic waves.

**Withdrawn** – The inmate who filed the appeal has requested to stop the appeal process.

**Work Crew** – Inmates assigned to a work crew outside of the secure perimeter.

**Work Incentive Appeal** – An appeal of education, vocation, waiting lists, removal from a job, pay grade, credit earning and other types of work incentive issues.

**X10** – A device used for the removal of objects/barricades from doors or food/security ports.