



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Total Bed Capacity

Custody Operations

| | | |
|----|--|--|
| 10 | Blueprint Crowding Capacity | <p>Number of beds in the CDCR Blueprint Crowding Standard on the last day of the reporting period. Desired percentage of bed occupancy based on the Blueprint Housing Plan. Count excludes inmates housed at the California City Correctional Facility. The maximum bed capacity of an institution may be more than the count identified in this metric. Crowding capacity is based on designated program bed use. See Glossary for definition of Blueprint Crowding Standard and crowding capacity.</p> <p>Metric activated, effective 1/1/2017</p> <p>SOMS</p> |
| 11 | Maximum Capacity | <p>Maximum number of beds available at an institution designated in SOMS where inmates can be housed.</p> <p>Metric activated, effective 1/1/2017</p> <p>SOMS</p> |
| 12 | Design Beds | <p>Number of design beds at the institution on the last day of the reporting period. Count excludes beds at the California City Correctional Facility. Design Beds are captured by Bed Type "Design" in SOMS. See Glossary for definition of design bed.</p> <p>Metric activated, effective 1/1/2017</p> <p>SOMS</p> |
| 13 | Inmate Count | <p>Number of inmates assigned to the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates housed at the institution and in the fire camps. Count excludes inmates that are housed in Department of State Hospital (DSH) at Atascadero State Hospital (ASH), Coalinga State Hospital, and Patton State Hospital beds, out to court, in hospitals, escapees, and out of state. Count may differ from the Weekly Report of Population data produced by the CDCR Office of Research. See Glossary for definition of fire camp.</p> <p>SOMS</p> |
| 14 | % Institution Filled to Blueprint Crowding Capacity | <p>Formula: "% Institution Filled to Blueprint Crowding Capacity" equals the sum of "Inmate Count" divided by "Blueprint Crowding Capacity". Percentage does not apply to inmates housed at California City Correctional Facility.</p> <p>Metric activated, effective 1/1/2017</p> <p>Formula</p> |

Inmate Security Level

Custody Operations

| | | |
|----|--|---|
| 16 | Inmate Level I (Classification Score of 0-18) | <p>Number of inmates with a classification score of 0-18 on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. All male and female inmates are assigned a classification score. Classification score is not the same as Bed Security Level. Bed Security Levels I-IV are only used for institutions that house male inmates. Female inmates are not assigned a bed security level. See Glossary for definition of classification score and bed security level.</p> <p>Metric activated, effective 5/1/2017</p> <p>SOMS</p> |
| 17 | Out of Bed Level I Assignments | <p>Number of inmates with a classification score not equal to the bed security level on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count excludes all female inmates. Female inmates are not given a bed security level. Count may exclude inmates with a classification score not equal to the bed security level but are appropriately housed due to an approved administrative determinate. See Glossary for definition of bed security level and administrative determinate.</p> <p>Metric activated, effective 5/1/2017</p> <p>SOMS</p> |
| 18 | % of Out of Level I Assignments | <p>Formula: "% of Out of Level I Assignments" equals the sum of "Out of Bed Level I Assignments" divided by "Inmate Level I (Classification Score 0-18)". Percentage does not apply to institutions that house female inmates.</p> <p>Metric activated, effective 5/1/2017</p> <p>Formula</p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Inmate Security Level

Custody Operations

| | | |
|----|---|---|
| 19 | Inmate Level II (Classification Score of 19-35) | <p>Number of inmates with a classification score of 19-35 on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. All male and female inmates are assigned a classification score. Classification score is not the same as Bed Security Level. Bed Security Levels I-IV are only used for institutions that house male inmates. Female inmates are not assigned a bed security level. See Glossary for definition of classification score and bed security level.</p> <p>Metric activated, effective 5/1/2017</p> <p>SOMS</p> |
| 20 | Out of Bed Level II Assignments | <p>Number of inmates with a classification score not equal to the bed security level on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count excludes all female inmates. Female inmates are not given a bed security level. Count may exclude inmates with a classification score not equal to the bed security level but are appropriately housed due to an approved administrative determinate. See Glossary for definition of bed security level and administrative determinate.</p> <p>Metric activated, effective 5/1/2017</p> <p>SOMS</p> |
| 21 | % of Out of Level II Assignments | <p>Formula: "% of Out of Level II Assignments" equals the sum of "Out of Bed Level II Assignments" divided by "Inmate Level II (Classification Score of 19-35)". Percentage does not apply to institutions that house female inmates.</p> <p>Metric activated, effective 5/1/2017</p> <p>Formula</p> |
| 22 | Inmate Level III (Classification Score of 36-59) | <p>Number of inmates with a classification score of 36-59 on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. All male and female inmates are assigned a classification score. Classification score is not the same as Bed Security Level. Bed Security Levels I-IV are only used for institutions that house male inmates. Female inmates are not assigned a bed security level. See Glossary for definition of classification score and bed security level.</p> <p>Metric activated, effective 5/1/2017</p> <p>SOMS</p> |
| 23 | Out of Bed Level III Assignments | <p>Number of inmates with a classification score not equal to the bed security level on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count excludes all female inmates. Female inmates are not given a bed security level. Count may exclude inmates with a classification score not equal to the bed security level but are appropriately housed due to an approved administrative determinate. See Glossary for definition of bed security level and administrative determinate.</p> <p>Metric activated, effective 5/1/2017</p> <p>SOMS</p> |
| 24 | % of Out of Level III Assignments | <p>Formula: "% of Out of Level III Assignments" equals the sum of "Out of Bed Level III Assignments" divided by "Inmate Level III (Classification Score of 36-59)". Percentage does not apply to institutions that house female inmates.</p> <p>Metric activated, effective 5/1/2017</p> <p>Formula</p> |
| 25 | Inmate Level IV (Classification Score of 60+) | <p>Number of inmates with a classification score of 60 and greater on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. All male and female inmates are assigned a classification score. The classification score is not the same as Bed Security Level. Bed Security Levels I-IV are only used for institutions that house male inmates. Female inmates are not assigned a bed security level. See Glossary for definition of classification score and bed security level.</p> <p>Metric activated, effective 5/1/2017</p> <p>SOMS</p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

| Inmate Security Level | | Custody Operations |
|-----------------------|---|--|
| 26 | Out of Bed Level IV Assignments | <p>Number of inmates with a classification score not equal to the bed security level on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count excludes all female inmates. Female inmates are not given a bed security level. Count may exclude inmates with a classification score not equal to the bed security level but are appropriately housed due to an approved administrative determinate. See Glossary for definition of bed security level and administrative determinate.</p> <p>Metric activated, effective 5/1/2017</p> <p><i>SOMS</i></p> |
| 27 | % of Out of Level IV Assignments | <p>Formula: "% of Out of Level IV Assignments" equals the sum of "Out of Bed Level IV Assignments" divided by "Inmate Level IV (Classification Score of 60+)". Percentage does not apply to institutions that house female inmates.</p> <p>Metric activated, effective 5/1/2017</p> <p><i>Formula</i></p> |
| Camps | | Custody Operations |
| 29 | Camps (CMC, CRC only) | <p>Number of inmates assigned to a firefighting conservation camp on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. CMC and CRC data must be reported by the institution. See Glossary for definition of camp.</p> <p>Metric activated, effective 1/1/2017</p> <p><i>Institution Reported</i></p> |
| 30 | Camps | <p>Number of inmates assigned to a firefighting conservation camp on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Camp information for CMC and CRC is excluded. For this reason CMC and CRC data must be reported by the institution. Camps are captured by Bed use "CMP" in SOMS. See Glossary for definition of camp.</p> <p>Metric activated, effective 1/1/2017</p> <p><i>SOMS</i></p> |
| 31 | Pipeline | <p>Number of inmates that are in the pipeline for assignment to a firefighting conservation camp as of the last day of the reporting period. Pipeline information is not contained in SOMS. For this reason CMC, CRC, SCC, CCC, and CIW data must be reported by the institution. This information should be measured against the number of inmates with a "CMP" administrative determinate residing in a bed level not designated level I or "CMP" bed use. See Glossary for definition of pipeline, conservation camp, and administrative determinate.</p> <p>Metric activated, effective 1/1/2017</p> <p><i>Institution Reported</i></p> |
| Reception Center | | Custody Operations |
| 33 | Blueprint Crowding Capacity | <p>Number of reception center inmates that can be housed at an institution to stay within the ideal operational capacity levels identified in the CDCR Blueprint. The maximum bed capacity of an institution may be more than the count identified in this metric. Crowding capacity is based on designated program bed use. See Glossary for definition of Reception Center, ideal operational capacity, CDCR Blueprint, and crowding capacity.</p> <p>Metric activated, effective 4/1/2017</p> <p><i>SOMS</i></p> |
| 34 | Actual Population | <p>Number of Reception Center (RC) inmates housed within a SOMS bed use of "RC" at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count excludes RC offenders housed in other housing programs such as Administrative Segregation or medical/psychiatric beds. See Glossary for definition of Reception Center and administrative segregation.</p> <p><i>SOMS</i></p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Reception Center

Custody Operations

- 35 Reception Center with S-Suffix** Number of Reception Center (RC) inmates housed within a SOMS bed use of "RC" with an S-Suffix housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. See Glossary for definition of Reception Center and S-Suffix.

Metric activated, effective 5/1/2017

SOMS

General Population (GP)

Custody Operations

- 37 Blueprint Crowding Capacity** Number of general population inmates that can be housed at an institution to stay within the ideal operational capacity levels identified in the CDCR Blueprint. Count includes inmates assigned to a General Population (GP) bed, Sensitive Needs Yard (SNY), Minimum Support Facility/Firehouse (SWC, WC, FH, SFH), Enhanced Outpatient (SEO and EOP), including CRC and CMC inmates who are assigned to a Camp Program. The maximum bed capacity of an institution may be more than the count identified in this metric. Crowding capacity is based on designated program bed use. See Glossary for definition of general population, CDCR Blueprint, ideal operational capacity level, and Crowding capacity.

Metric activated, effective 1/1/2017

SOMS

- 38 Actual Population** Number of General Population (GP) inmates housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates with a SOMS bed use code of "GP" See Glossary for definition of general population.

Language change, effective 1/1/2017

SOMS

- 39 GP with S-Suffix** Number of General Population (GP) inmates with an S-Suffix housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates with a SOMS bed use code of "GP" and have an S-Suffix. See Glossary for definition of general population and S-Suffix.

Metric activated, effective 5/1/2017

SOMS

Enhanced Outpatient Program (EOP)

Custody Operations

- 41 Blueprint Crowding Capacity** Number of Enhanced Outpatient Program (EOP) inmates that can be housed at an institution to stay within the ideal operational capacity levels as identified in the CDCR Blueprint. Count includes inmates assigned to an EOP bed use. Count excludes EOP offenders housed in other housing programs such as Administrative Segregation or medical/psychiatric beds. Count excludes Sensitive Needs Yard (SNY) EOP inmates assigned to an "SEO" bed use. The maximum bed capacity of an institution may be more than the count identified in this metric. Crowding capacity is based on designated program bed use. See Glossary for definition of Enhanced Outpatient Program, ideal operational capacity level, CDCR Blueprint, Administrative Segregation, and crowding capacity.

Metric activated, effective 1/1/2017

SOMS

- 42 Total EOP Population** Formula: "Total EOP Population" equals the sum of "Actual Population (In EOP Designated Bed Use)" plus "Actual Population (In Non-EOP Designated Bed Use)".

Metric activated, effective 1/1/2017

Formula

- 43 Actual Population (In EOP Designated Bed Use)** Number of inmates assigned to an Enhanced Outpatient Program (EOP) bed on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates with a SOMS bed use codes of "EOP". Count excludes EOP offenders housed in other housing programs such as Administrative Segregation or medical/psychiatric beds. See Glossary for definition of Enhanced Outpatient Program and Administrative Segregation.

Metric activated, effective 1/1/2017

SOMS



Division of Adult Institutions

Counting Rules 2019A January-June 2019

| Enhanced Outpatient Program (EOP) | | Custody Operations |
|-----------------------------------|--|---|
| 44 | Actual Population (In Non-EOP Designated Bed Use) | <p>Number of Enhanced Outpatient Program (EOP) mental health level-of-care inmates housed at the institution and assigned to a non-EOP bed on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count excludes inmates assigned to an EOP design bed. See Glossary for definition of Enhanced Outpatient Program and level-of-care.</p> <p>Metric activated, effective 1/1/2017</p> <p>SOMS</p> |
| 45 | EOP with S-Suffix | <p>Number of Enhanced Outpatient Program (EOP) inmates with an S-Suffix housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates with a SOMS bed use code of "EOP" and an S-Suffix. See Glossary for definition of Enhanced Outpatient Program and S-Suffix.</p> <p>Metric activated, effective 5/1/2017</p> <p>SOMS</p> |
| SNY-EOP | | Custody Operations |
| 47 | Blueprint Crowding Capacity | <p>Number of Sensitive Needs Yard - Enhanced Outpatient Program (SEO) inmates that can be housed at an institution to stay within the ideal operational capacity levels identified in the CDCR Blueprint. Count includes inmates assigned to an SEO bed use. Count excludes SNY EOP offenders housed in other housing programs such as Administrative Segregation or medical/psychiatric beds. Count excludes EOP inmates assigned to an EOP bed. The maximum bed capacity of an institution may be more than the count identified in this metric. Crowding capacity is based on designated program bed use. See Glossary for definition of Enhanced Outpatient Program, Sensitive Needs Yard, ideal operational capacity, CDCR Blueprint, and crowding capacity.</p> <p>Metric activated, effective 1/1/2017</p> <p>SOMS</p> |
| 48 | Total SNY EOP Population | <p>Formula: "Total SNY-EOP Population" equals the sum of "Actual Population (In SNY-EOP Designated Bed Use)" plus "Actual Population (In Non SNY-EOP Designated Bed Use)".</p> <p>Metric activated, effective 1/1/2017</p> <p>Formula</p> |
| 49 | Actual Population (In SNY-EOP Designated Bed Use) | <p>Number of inmates assigned to an Enhanced Outpatient Program (EOP) mental health level of care Sensitive Needs Yard (SNY) bed on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates with a SOMS bed use code of "SEO". Count excludes EOP offenders housed in other housing programs. See Glossary for definition of Enhanced Outpatient Program, level of care, and Sensitive Needs Yard.</p> <p>Metric activated, effective 1/1/2017</p> <p>SOMS</p> |
| 50 | Actual Population (In Non SNY-EOP Designated Bed Use) | <p>Number of Enhanced Outpatient Program (EOP) mental health level of care inmates not assigned to a Sensitive Needs Yard (SNY) bed housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count excludes inmates with a SOMS bed use code of "SEO". See Glossary for definition of Enhanced Outpatient Program, level of care, and Sensitive Needs Yard.</p> <p>Metric activated, effective 1/1/2017</p> <p>SOMS</p> |
| 51 | SNY EOP with S-Suffix | <p>Number of Sensitive Needs Yard - Enhanced Outpatient Program (SEO) inmates that have an S-Suffix housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates with a SOMS bed use code of "SEO" and an S-Suffix. See Glossary for definition of Sensitive Needs Yard, Enhanced Outpatient Program, level of care, and S-Suffix.</p> <p>Metric activated, effective 5/1/2017</p> <p>SOMS</p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Correctional Clinical Case Management Services (CCCMS)

Custody Operations

53 Treatment Capacity Correctional Clinical Case Management Services (CCCMS) treatment capacity on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Treatment capacity represents the number of inmates that can be treated at one time. Note: Numbers are pulled from the California Correctional Health Care Services (CCHCS) monthly CCCMS report under column "Pop at 130%". See Glossary for definition of Correctional Clinical Case Management Services, and treatment capacity.

Language change, effective 1/1/2017

CCHCS

54 Actual Population Number of inmates who have been designated with a Correctional Clinical Case Management Services (CCCMS) level of care housed in a SOMS bed use of "GP" and "SNY" at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. See Glossary for definition of Correctional Clinical Case Management Services and level of care.

Language change, effective 1/1/2017

SOMS

Work Crews (WC/SWC/FH/SFH)

Custody Operations

56 Blueprint Crowding Capacity Number of Work Crew (WC), Sensitive Needs Yard Work Crew (SWC), Fire House (FH), and Sensitive Needs Yard Fire House (SFH) inmates that can be housed at an institution to stay within the ideal operational capacity levels identified in the CDCR Blueprint. The maximum bed capacity of an institution may be more than the count identified in this metric. Crowding capacity is based on designated program bed use. See Glossary for definition of Work Crew, Sensitive Needs Yard, Fire House, ideal operational capacity, CDCR Blueprint, and crowding capacity.

Metric activated, effective 1/1/2017

SOMS

57 Actual Population Number of Work Crew (WC), Sensitive Needs Yard Work Crew (SWC), Fire House (FH), and Sensitive Needs Yard Fire House (SFH) inmates housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates with SOMS bed use codes of "WC", "SWC", "FH", and "SFH". See Glossary for definition of Work Crew, Sensitive Needs Yard and Fire House.

Metric activated, effective 1/1/2017

SOMS

Administrative Segregation (ASU)

Custody Operations

59 Blueprint Crowding Capacity Number of Administrative Segregation Unit (ASU) inmates that can be housed at the institution to stay within the ideal operational capacity levels identified in the CDCR Blueprint. The ASU Blueprint capacity includes ASU Enhanced Outpatient (EOP) HUB utilization. The maximum bed capacity of an institution may be more than the count identified in this metric. Crowding capacity is based on designated program bed use. See Glossary for definition of Administrative Segregation Unit, ideal operational capacity, CDCR Blueprint, crowding capacity and enhanced outpatient hub.

Metric activated, effective 1/1/2017

SOMS

60 Actual Population Number of Administrative Segregation Unit (ASU) inmates housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. SOMS bed use code is "ASU". See Glossary for definition of Administrative Segregation Unit.

Metric activated, effective 1/1/2017

SOMS

61 CSR Endorsed for Transfer Number of inmates assigned to an Administrative Segregation Unit (ASU) bed pending a Classification Services Representative (CSR) transfer endorsement on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. SOMS bed use code is "ASU". See Glossary for definition of Administrative Segregation Unit and endorsement.

Metric activated, effective 5/1/2017

SOMS



Division of Adult Institutions

Counting Rules 2019A January-June 2019

| Administrative Segregation (ASU) | | Custody Operations |
|----------------------------------|------------------------------------|---|
| 62 | Stays Exceeding 150 Days | <p>Number of Administrative Segregation Unit (ASU) inmates housed in ASU for at least 150 days on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Days spent by an inmate in Administrative Segregation must be continuous. SOMS bed use code is "ASU". If an inmate is assigned to another bed type and then returns to Administrative Segregation, the count of days starts over. Reasons for stays exceeding 150 days can include pending investigation, pending District Attorney referral, pending court proceedings, pending Rules Violation Report (RVR), etc. See Glossary for definition of Administrative Segregation Unit, and Rules Violation Report.</p> <p>Metric activated, effective 7/1/2017</p> <p>SOMS</p> |
| 63 | Stays Exceeding 400 Days | <p>Number of Administrative Segregation Unit (ASU) inmates housed in ASU for at least 400 days on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Days spent by an inmate in Administrative Segregation must be continuous. SOMS bed use code is "ASU". If an inmate is assigned to another bed type and then returns to Administrative Segregation, the count of days starts over. Reasons for stays exceeding 400 days can include pending investigation, pending District Attorney referral, pending court proceedings, pending Rules Violation Report (RVR), etc. See Glossary for definition of Administrative Segregation unit, and Rules Violation Report.</p> <p>Metric activated, effective 7/1/2017</p> <p>SOMS</p> |
| 64 | Stays Exceeding 800 Days | <p>Number of Administrative Segregation Unit (ASU) inmates housed in ASU for at least 800 days on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Days spent by an inmate in Administrative Segregation must be continuous. SOMS bed use code is "ASU". If an inmate is assigned to another bed type and then returns to Administrative Segregation, the count of days starts over. Reasons for stays exceeding 800 days can include pending investigation, pending District Attorney referral, pending court proceedings, pending Rules Violation Report (RVR), etc. See Glossary for definition of Administrative Segregation unit, and Rules Violation Report.</p> <p>Metric activated, effective 7/1/2017</p> <p>SOMS</p> |
| ASU EOP Hub | | Custody Operations |
| 66 | Blueprint Crowding Capacity | <p>Number of Administrative Segregation Unit (ASU) Enhanced Outpatient Program (EOP) Hub inmates that can be housed at an institution to stay within the ideal operational capacity levels identified in the CDCR Blueprint. Blueprint Crowding equals 100%. The maximum bed capacity of an institution may be more than the count identified by this metric. Crowding capacity is based on designated program bed use. See Glossary for definition of Administrative Segregation Unit, Enhanced Outpatient Program Hub, ideal operational capacity, CDCR Blueprint, and crowding capacity.</p> <p>Metric activated, effective 5/1/2017</p> <p>SOMS</p> |
| 67 | Actual Population | <p>Number of designated Enhanced Outpatient Program (EOP) inmates housed in an Administrative Segregation Unit (ASU) at a designated ASU EOP HUB Facility on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates housed at SAC, MCSP, CMC-E, LAC, RJD, CIW, CMF, CHCF, COR, and CCWF. SOMS bed use code is "ASU". Count excludes inmates housed in institutions that do not have an Administrative Segregation Unit (ASU). See Glossary for definition of Enhanced Outpatient Program, Administrative Segregation Unit, and Hub.</p> <p>Metric activated, effective 5/1/2017</p> <p>SOMS</p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Restricted Housing

Custody Operations

69 **Blueprint Crowding Capacity** Restricted Housing Blueprint Crowding Capacity equals the sum of the "Blueprint Crowding Capacity" metrics in the following categories: "Administrative Segregation (ASU)" plus "Short Term Restricted Housing (STRH)" plus "Long Term Restricted Housing (LTRH)" plus "Security Housing Unit (SHU)" plus "Psychiatric Security Unit (PSU)".

Metric activated, effective 1/1/17

Formula

70 **Actual Population** Restricted Housing Actual Population equals the sum of the "Actual Population" metrics in the following categories: "Administrative Segregation (ASU)" plus "Short Term Restricted Housing (STRH)" plus "Long Term Restricted Housing (LTRH)" plus "Security Housing Unit (SHU)" plus "Psychiatric Security Unit (PSU)".

Metric activated, effective 1/1/2017

Formula

71 **Total Restricted Housing Endorsed Inmates** Number of restricted housing unit inmates endorsed for transfer after the date of initial segregation placement on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. See Glossary for definition of restricted housing.

Metric activated, effective 5/1/2017

SOMS

Restricted Custody General Population (RCGP)

Custody Operations

73 **Actual Population** Number of Restricted Custody General Population (RCGP) inmates housed at the institution on the last day of the reporting period. Count is a point-in-time number as of the last day of the reporting period. Count includes inmates with a SOMS bed use code of "RGP". See Glossary for definition of restricted custody and general population.

Metric activated, effective 1/1/2017

SOMS

Short Term Restricted Housing (STRH)

Custody Operations

75 **Blueprint Crowding Capacity** Number of Short Term Restricted Housing Unit (STRH) inmates that can be housed at an institution to stay within the ideal operational capacity levels identified in the CDCR Blueprint. Crowding capacity is based on designated program bed use "SRH". The maximum bed capacity of an institution may be more than the count identified in this metric. See Glossary for definition of Short Term Restricted Housing, Ideal operational capacity, CDCR Blueprint, and crowding capacity.

Metric activated, effective 1/1/2017

SOMS

76 **Actual Population** Number of designated Correctional Clinical Case Management Services (CCCMS) Administrative Segregation Unit (ASU) inmates housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates housed at COR, LAC, SAC, SATF, HDSP, KVSP, PBSP, PVSP, and SVSP. Count excludes inmates housed in institutions that do not have a designated STRH bed use or inmates not designated CCCMS. SOMS bed use code is "SRH". See Glossary for definition of Correctional Clinical Case Management Services, Administrative Segregation Unit, and Short Term Restricted Housing.

Metric activated, effective 1/1/2017

SOMS

77 **STRH with S-Suffix** Number of Short Term Restricted Housing (STRH) unit inmates with an S-Suffix housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates housed in an STRH unit with a SOMS bed use code of "SRH" and an S-Suffix. See Glossary for definition of Short Term Restricted Housing and S-Suffix.

Metric activated, effective 5/1/2017

SOMS



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Long Term Restricted Housing (LTRH)

Custody Operations

| | | |
|----|------------------------------------|---|
| 79 | Blueprint Crowding Capacity | <p>Number of Long Term Restricted Housing Unit (LTRH) inmates that can be housed at an institution to stay within the ideal operational capacity levels identified in the CDCR Blueprint. The maximum bed capacity of an institution may be more than the count identified in this metric. Crowding capacity is based on designated program bed use. See Glossary for definition of Long Term Restricted Housing, Ideal operational capacity, CDCR Blueprint, and crowding capacity.</p> <p>Metric activated, effective 1/1/2017</p> <p>SOMS</p> |
| 80 | Actual Population | <p>Number of designated Correctional Clinical Case Management Services (CCCMS) inmates with a Security Housing Unit (SHU) term housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates housed at COR and CCI. Count excludes inmates housed in institutions that do not have an LTRH. SOMS bed use code is "LRH". See Glossary for definition of Correctional Clinical Case Management Services, Security Housing Unit, and Long Term Restricted Housing.</p> <p>Metric activated, effective 1/1/2017</p> <p>SOMS</p> |
| 81 | LTRH with S-Suffix | <p>Number of Long Term Restricted Housing (LTRH) unit inmates with an S-Suffix housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates with a SOMS bed use code of "LRH" and an S-Suffix. See Glossary for definition of Long Term Restricted Housing and S-Suffix.</p> <p>Metric activated, effective 5/1/2017</p> <p>SOMS</p> |

Life Sentenced Inmates

Custody Operations

| | | |
|----|--|---|
| 83 | Life (With Possibility of Parole) | <p>Number of inmates with a sentence of life with the possibility of parole housed at the institution, within all housing programs on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates with a life type of "LIF". See Glossary for definition of life with the possibility of parole.</p> <p>Metric activated, effective 5/1/2017</p> <p>SOMS</p> |
| 84 | Life (Without Possibility of Parole) (LWOP) | <p>Number of inmates with a sentence of life without the possibility of parole housed at the institution, within all housing programs on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates with a life type of "LWO". See Glossary for definition of life without the possibility of parole.</p> <p>Metric activated, effective 5/1/2017</p> <p>SOMS</p> |

Condemned Inmates

Custody Operations

| | | |
|----|------------------------------------|--|
| 86 | Blueprint Crowding Capacity | <p>Number of condemned inmates that can be housed at an institution within designated SOMS bed use code "DR" to stay within the ideal operational capacity levels identified in the CDCR Blueprint. The maximum bed capacity of an institution may be more than the count identified in this metric. Crowding capacity is based on designated program bed use. See Glossary for definition of condemned, ideal operational capacity, CDCR Blueprint and crowding capacity.</p> <p>Metric activated, effective 5/1/2017</p> <p>SOMS</p> |
| 87 | Actual Population | <p>Number of condemned inmates housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates with a life type of "ROW" in SOMS. See Glossary for definition of condemned.</p> <p>Metric activated, effective 5/1/2017</p> <p>SOMS</p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Psychiatric Services Unit (PSU)

Custody Operations

89 **Blueprint Crowding Capacity** Number of Psychiatric Services Unit (PSU) inmates that can be housed at an institution to stay within the ideal operational capacity levels identified in the CDCR Blueprint. Blueprint crowding capacity equals 100%. The maximum bed capacity of an institution may be more than the count identified in this metric. Crowding capacity is based on designated program bed use. See Glossary for definition of Psychiatric Services Unit, ideal operational capacity, CDCR Blueprint, and crowding capacity.

Metric activated, effective 1/1/2017

SOMS

90 **Average Length of Stay** Average length of stay for inmates housed in the Psychiatric Services Unit (PSU) during the reporting period. Count includes inmates housed at CIW, PBSP, and SAC. This is a manual calculation that adds the total number of days each inmate has been housed in PSU divided by the total number of inmates in PSU. SOMS bed use codes is "PSU". See Glossary for definition of Psychiatric Services Unit.

Language change, effective 1/1/2017

Institution Reported

91 **Actual Population** Number of Psychiatric Services Unit (PSU) inmates housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates housed at CIW, PBSP and SAC. SOMS bed use code is "PSU". See Glossary for definition of Psychiatric Services Unit.

Metric activated, effective 1/1/2017

SOMS

Security Housing Unit (SHU)

Custody Operations

93 **Blueprint Crowding Capacity** Number of Security Housing Unit (SHU) inmates that can be housed at an institution to stay within the ideal operational capacity levels identified in the CDCR Blueprint. Blueprint crowding equals 120%. The maximum bed capacity of an institution may be more than the count identified in this metric. Crowding capacity is based on designated program bed use. Count includes inmates assigned to a SHU bed at CIW, COR, and PBSP. See Glossary for definition of Security Housing Unit, ideal operational capacity, CDCR Blueprint, and crowding capacity.

Metric activated, effective 1/1/2017

SOMS

94 **Actual Population** Number of Security Housing Unit (SHU) inmates housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates housed at CIW, COR, and PBSP. SOMS bed use code is "SHU". See Glossary for definition of Security Housing Unit.

Metric activated, effective 1/1/2017

SOMS

95 **SHU with S-Suffix** Number of Security Housing Unit (SHU) inmates with an S-Suffix housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates housed at CIW, COR, and PBSP with a SOMS bed use code of "SHU" and an S-Suffix. See Glossary for definition of Security Housing Unit and S-Suffix.

Metric activated, effective 5/1/2017

SOMS

Psychiatric Inpatient Program (PIP)

Custody Operations

97 **Blueprint Crowding Capacity** Number of Psychiatric Inpatient Program (PIP) inmates that can be housed at the institution to stay within the ideal operational capacity levels identified in the CDCR Blueprint. Blueprint crowding equals 100%. Count includes inmates housed at CIW and SQ. The maximum bed capacity of an institution may be more than the count identified in this metric. Crowding capacity is based on designated program bed use. See Glossary for definition of Psychiatric Inpatient Program, ideal operational capacity, CDCR Blueprint, and crowding capacity.

Metric activated, effective 1/1/2017

SOMS



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Psychiatric Inpatient Program (PIP)

Custody Operations

| | | |
|----|--------------------------|---|
| 98 | Actual Population | Number of Psychiatric Inpatient Program (PIP) inmates housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates housed at CIW and SQ. SOMS bed use code is "PIP". See Glossary for definition of Psychiatric Inpatient Program. Metric activated, effective 1/1/2017 SOMS |
|----|--------------------------|---|

Correctional Treatment Center (CTC)

Custody Operations

| | | |
|-----|------------------------------------|---|
| 100 | Blueprint Crowding Capacity | Number of Correctional Treatment Center (CTC) inmates that can be housed at an institution to stay within the ideal operational capacity levels identified in the CDCR Blueprint. Count includes inmates housed at CCWF, CIW, CHCF, CMF, SOL, and SQ. Blueprint crowding equals 100%. The maximum bed capacity of an institution may be more than the count identified in this metric. Crowding capacity is based on designated program bed use. See Glossary for definition of Correctional Treatment Center, ideal operational capacity, CDCR Blueprint, and crowding capacity. Metric activated, effective 1/1/2017 SOMS |
|-----|------------------------------------|---|

| | | |
|-----|--------------------------|--|
| 101 | Actual Population | Number of Correctional Treatment Center (CTC) inmates housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates housed at CCWF, CIW, CHCF, CMF, SOL, and SQ. SOMS bed use code is "CTC". See Glossary for definition of Correctional Treatment Center. Metric activated, effective 1/1/2017 SOMS |
|-----|--------------------------|--|

Out-Patient Housing Unit (OHU)

Custody Operations

| | | |
|-----|------------------------------------|--|
| 103 | Blueprint Crowding Capacity | Number of Outpatient Housing Unit (OHU) inmates that can be housed at an institution to stay within the ideal operational capacity levels identified in the CDCR Blueprint. Count includes inmates housed at CIW, ASP, CCC, CCI, CHCF, CMF, CRC, CTF, SAC and VSP. Blueprint crowding equals 100%. The maximum bed capacity of an institution may be more than the count identified in this metric. Crowding capacity is based on designated program bed use. See Glossary for definition of Outpatient Housing Unit, ideal operational capacity, CDCR Blueprint, and crowding capacity. Metric activated, effective 1/1/2017 SOMS |
|-----|------------------------------------|--|

| | | |
|-----|--------------------------|--|
| 104 | Actual Population | Number of Outpatient Housing Unit (OHU) inmates housed at an institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates housed at CIW, ASP, CCC, CCI, CHCF, CMF, CRC, CTF, SAC and VSP. SOMS bed use code is "OHU". See Glossary for definition of Outpatient Housing Unit. Metric activated, effective 1/1/2017 SOMS |
|-----|--------------------------|--|

Mental Health Crisis Bed (MCB)

Custody Operations

| | | |
|-----|------------------------------------|---|
| 106 | Blueprint Crowding Capacity | Number of Mental Health Crisis Bed (MCB) inmates that can be housed at an institution to stay within the ideal operational capacity levels identified in the CDCR Blueprint. Blueprint crowding equals 100%. The maximum bed capacity of an institution may be more than the count identified in this metric. Crowding capacity is based on designated program bed use. See Glossary for definition of Mental Health Crisis Bed, ideal operational capacity, CDCR Blueprint, and crowding capacity. Metric activated, effective 1/1/2017 SOMS |
|-----|------------------------------------|---|

| | | |
|-----|--------------------------|--|
| 107 | Actual Population | Number of Mental Health Crisis Bed (MCB) inmates housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. SOMS bed use code is "MCB". See Glossary for definition of Mental Health Crisis Bed. Metric activated, effective 1/1/2017 SOMS |
|-----|--------------------------|--|



Division of Adult Institutions

Counting Rules 2019A January-June 2019

American with Disabilities Act (ADA)

Custody Operations

| | | |
|-----|---|---|
| 109 | Actual Population (All Institutions) | <p>Formula: "Actual Population" equals the sum of "DPW Code Only" plus "DPO Code Only" plus "DPM Code Only" plus "DLT Code Only" plus "DNM Code Only" plus "DPH Code Only" plus "DNH Code Only" plus "DPV Code Only" plus "DPS Code Only" plus "DKD Code Only" plus unique count of inmates with multiple disability codes. See Glossary for definition of unique count.</p> <p>Metric activated, effective 7/1/2017</p> <p><i>Formula</i></p> |
| 110 | DPW - Disability Type: Mobility | <p>Formula: "DPW - Disability Type: Mobility" equals the sum of "DPW Code Only" plus "Multiple Codes Including DPW."</p> <p>Metric activated, effective 7/1/2017</p> <p><i>Formula</i></p> |
| 111 | DPW Code Only | <p>Number of inmates with a disability code of DPW housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates that have severe mobility restrictions that require a full-time wheelchair accommodation.</p> <p>Metric activated, effective 7/1/2017</p> <p><i>SOMS</i></p> |
| 112 | Multiple Codes Including DPW | <p>Number of inmates with a disability code of DPW and at least one other disability code (DPO, DPM, DLT, DNM, DPH, DNH, DPV, DPS, and DKD) housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count excludes inmates that have a single code of DPW.</p> <p>Metric activated, effective 7/1/2017</p> <p><i>SOMS</i></p> |
| 113 | DPO - Disability Type: Mobility | <p>Formula: "DPO - Disability Type: Mobility" equals the sum of "DPO Code Only" plus "Multiple Codes Including DPO."</p> <p>Metric activated, effective 7/1/2017</p> <p><i>Formula</i></p> |
| 114 | DPO Code Only | <p>Number of inmates with a disability code of DPO housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates that have severe mobility restrictions but use a wheelchair intermittently.</p> <p>Metric activated, effective 7/1/2017</p> <p><i>SOMS</i></p> |
| 115 | Multiple Codes Including DPO | <p>Number of inmates with a disability code of DPO and at least one other disability code (DPW, DPM, DLT, DNM, DPH, DNH, DPV, DPS, and DKD) housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count excludes inmates that have a single code of DPO.</p> <p>Metric activated, effective 7/1/2017</p> <p><i>SOMS</i></p> |
| 116 | DPM - Disability Type: Mobility | <p>Formula: "DPM - Disability Type: Mobility" equals the sum of "DPM Code Only" plus "Multiple Codes Including DPM."</p> <p>Metric activated, effective 7/1/2017</p> <p><i>Formula</i></p> |
| 117 | DPM Code Only | <p>Number of inmates with a disability code of DPM housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates that have severe mobility restrictions and use an assistive device (walker, cane, etc.) other than a wheelchair.</p> <p>Metric activated, effective 7/1/2017</p> <p><i>SOMS</i></p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

| American with Disabilities Act (ADA) | | Custody Operations |
|--------------------------------------|--|---|
| 118 | Multiple Codes Including DPM | <p>Number of inmates with a disability code of DPM and at least one other disability code (DPW, DPO, DLT, DNM, DPH, DNH, DPV, DPS, and DKD) housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count excludes inmates that have a single code of DPM.</p> <p>Metric activated, effective 7/1/2017</p> <p>SOMS</p> |
| 119 | DLT - Disability Type: Mobility | <p>Formula: "DLT - Disability Type: Mobility" equals the sum of "DLT Code Only" plus "Multiple Codes Including DLT."</p> <p>Metric activated, effective 7/1/2017</p> <p>Formula</p> |
| 120 | DLT Code Only | <p>Number of inmates with a disability code of DLT housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates that may or may not use a walking device for assistance.</p> <p>Metric activated, effective 7/1/2017</p> <p>SOMS</p> |
| 121 | Multiple Codes Including DLT | <p>Number of inmates with a disability code of DLT and at least one other disability code (DPW, DPO, DPM, DNM, DPH, DNH, DPV, DPS, and DKD) housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count excludes inmates that have a single code of DLT.</p> <p>Metric activated, effective 7/1/2017</p> <p>SOMS</p> |
| 122 | DNM - Disability Type: Mobility | <p>Formula: "DNM - Disability Type: Mobility" equals the sum of "DNM Code Only" plus "Multiple Codes Including DNM."</p> <p>Metric activated, effective 7/1/2017</p> <p>Formula</p> |
| 123 | DNM Code Only | <p>Number of inmates with a disability code of DNM housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates that may or may not require an assistive device.</p> <p>Metric activated, effective 7/1/2017</p> <p>SOMS</p> |
| 124 | Multiple Codes Including DNM | <p>Number of inmates with a disability code of DNM and at least one other disability code (DPW, DPO, DPM, DLT, DPH, DNH, DPV, DPS, and DKD) housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count excludes inmates that have a single code of DNM.</p> <p>Metric activated, effective 7/1/2017</p> <p>SOMS</p> |
| 125 | DPH - Disability Type: Hearing | <p>Formula: "DPH - Disability Type: Hearing" equals the sum of "DPH Code Only" plus "Multiple Codes Including DPH."</p> <p>Metric activated, effective 7/1/2017</p> <p>Formula</p> |
| 126 | DPH Code Only | <p>Number of inmates with a disability code of DPH housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates that are deaf or are severely hearing-impaired.</p> <p>Metric activated, effective 7/1/2017</p> <p>SOMS</p> |
| 127 | Multiple Codes Including DPH | <p>Number of inmates with a disability code of DPH and at least one other disability code (DPW, DPO, DPM, DLT, DNM, DNH, DPV, DPS, and DKD) housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count excludes inmates that have a single code of DPH.</p> <p>Metric activated, effective 7/1/2017</p> <p>SOMS</p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

| American with Disabilities Act (ADA) | | Custody Operations |
|--------------------------------------|---------------------------------------|--|
| 128 | DNH - Disability Type: Hearing | Formula: "DNH - Disability Type: Hearing" equals the sum of "DNH Code Only" plus "Multiple Codes Including DNH." Metric activated, effective 7/1/2017 <i>Formula</i> |
| 129 | DNH Code Only | Number of inmates with a disability code of DNH housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates that have a hearing impairment and use an assistive hearing device. Metric activated, effective 7/1/2017 <i>SOMS</i> |
| 130 | Multiple Codes Including DNH | Number of inmates with a disability code of DNH and at least one other disability code (DPW, DPO, DPM, DLT, DNM, DPH, DPV, DPS, and DKD) housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count excludes inmates that have a single code of DNH. Metric activated, effective 7/1/2017 <i>SOMS</i> |
| 131 | DPV - Disability Type: Vision | Formula: "DPV - Disability Type: Vision" equals the sum of "DPV Code Only" plus "Multiple Codes Including DPV." Metric activated, effective 7/1/2017 <i>Formula</i> |
| 132 | DPV Code Only | Number of inmates with a disability code of DPV housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates that are severely vision-impaired. Metric activated, effective 7/1/2017 <i>SOMS</i> |
| 133 | Multiple Codes Including DPV | Number of inmates with a disability code of DPV and at least one other disability code (DPW, DPO, DPM, DLT, DNM, DPH, DNH, DPS, and DKD) housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count excludes inmates that have a single code of DPV. Metric activated, effective 7/1/2017 <i>SOMS</i> |
| 134 | DPS - Disability Type: Speech | Formula: "DPS - Disability Type: Speech" equals the sum of "DPS Code Only" plus "Multiple Codes Including DPS." Metric activated, effective 7/1/2017 <i>Formula</i> |
| 135 | DPS Code Only | Number of inmates with a disability code of DPS housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates that do not communicate effectively when speaking because of permanent speech impairments. Metric activated, effective 7/1/2017 <i>SOMS</i> |
| 136 | Multiple Codes Including DPS | Number of inmates with a disability code of DPS and at least one other disability code (DPW, DPO, DPM, DLT, DNM, DPH, DNH, DPV, and DKD) housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count excludes inmates that have a single code of DPS. Metric activated, effective 7/1/2017 <i>SOMS</i> |
| 137 | DKD - Disability Type: Kidney | Formula: "DKD - Disability Type: Kidney" equals the sum of "DKD Code Only" plus "Multiple Codes Including DKD." Metric activated, effective 7/1/2017 <i>Formula</i> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

| American with Disabilities Act (ADA) | | Custody Operations |
|--------------------------------------|------------------------------|---|
| 138 | DKD Code Only | <p>Number of inmates with a disability code of DKD housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes inmates that have a kidney disease or other illness that requires dialysis.</p> <p>Metric activated, effective 7/1/2017</p> <p><i>SOMS</i></p> |
| 139 | Multiple Codes Including DKD | <p>Number of inmates with a disability code of DKD and at least one other disability code (DPW, DPO, DPM, DLT, DNM, DPH, DNH, DPV, and DPS) housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count excludes inmates that have a single code of DKD.</p> <p>Metric activated, effective 7/1/2017</p> <p><i>SOMS</i></p> |
| Development Disability Program (DDP) | | Custody Operations |
| 141 | Actual Population | <p>Number of Development Disability Program (DDP) inmates housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes Clark Class members coded as DD1, DD2, and DD3. See Glossary for definition of Clark Class member.</p> <p>Language change, effective 7/1/2017</p> <p><i>SOMS</i></p> |
| 142 | DD 1 | <p>Number of inmates with a disability code of DD1 housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes developmentally disabled inmates that do not usually require prompts to initiate/complete activities of daily living.</p> <p>Metric activated, effective 7/1/2017</p> <p><i>SOMS</i></p> |
| 143 | DD 2 | <p>Number of inmates with a disability code of DD2 housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes developmentally disabled inmates that require occasional prompts to initiate/complete activities of daily living.</p> <p>Metric activated, effective 7/1/2017</p> <p><i>SOMS</i></p> |
| 144 | DD 3 | <p>Number of inmates with a disability code of DD3 housed at the institution on the last day of the reporting period. This is a point-in-time count as of the last day of the reporting period. Count includes developmentally disabled inmates that require frequent prompts to initiate/complete activities of daily living.</p> <p>Metric activated, effective 7/1/2017</p> <p><i>SOMS</i></p> |
| Inmate Disciplinaries | | Custody Operations |
| 146 | Per 100 Inmates | <p>Formula: "Per 100 Inmates" equals the sum of "Total Inmate RVR's" divided by "Inmate Count" multiplied by 100.</p> <p>Metric activated, effective 3/1/2016</p> <p><i>Formula</i></p> |
| 147 | Total Inmate RVRs | <p>Formula: Total Inmate RVR's equals the sum of "Counseling RVR's" plus "Administrative RVR's - Final/Concluded" plus "Serious RVR's - Final/Concluded".</p> <p>Metric activated, effective 3/1/2016</p> <p><i>Formula</i></p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

| Inmate Disciplinary | | Custody Operations |
|---------------------|---|--|
| 148 | Counseling RVRs | <p>Number of Counseling Rules Violations Reports (RVR) (formerly CDCR 128-A) issued to inmates during the reporting period. Totals are taken on the last day of the reporting period. Count includes only RVR's issued for counseling that have a status of final/concluded. See Glossary for definition of Counseling RVR and disposition.</p> <p>Metric activated, effective 3/1/2016</p> <p>SOMS</p> |
| 149 | Administrative RVRs | <p>Number of Administrative Rules Violations Reports (RVR) issued to inmates that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes only RVR's for administrative violations that have a status of final/concluded and a guilty disposition. See Glossary for definition of Administrative RVR and adjudicated.</p> <p>Metric activated, effective 3/1/2016</p> <p>SOMS</p> |
| 150 | Serious RVRs | <p>Number of Serious Rules Violations Reports (RVR) issued to inmates that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes only RVR's for serious violations that have a status of final/concluded and a guilty disposition. See Glossary for definition of Serious RVR and adjudicated.</p> <p>Metric activated, effective 3/1/2016</p> <p>SOMS</p> |
| 151 | Pending RVRs | <p>Number of RVR's written and issued to inmates during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's that have not been adjudicated. Count excludes RVR's that are voided, final/concluded, ordered re-issued/reheard. Count excludes the number of inmates. See Glossary for definition of adjudicated.</p> <p>Metric activated, effective 3/1/2016</p> <p>SOMS</p> |
| 152 | Camp RVRs | <p>Number of RVR's issued to inmates assigned to a fire camp that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes serious and administrative RVR's that have a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of adjudicated and disposition.</p> <p>Metric activated, effective 3/1/2016</p> <p>SOMS</p> |
| 153 | Serious RVRs Exceeding Time Limitations | <p>Number of RVR's issued to inmates that are classified per CCR Title 15 Section 3315 as "Serious" in which time limitations have not been met/exceeded pursuant to CCR Title 15 Section 3320 that occurred during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of serious RVR.</p> <p>Metric activated, effective 3/1/2016</p> <p>SOMS</p> |
| 154 | Conduct | <p>Number of Rules Violation Reports (RVR) issued to inmates pursuant to CCR Title 15 Section 3005 (a), (b), (c), and (d) offenses, "Conduct" that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's issued for conduct that have a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of conduct, adjudicated, and disposition.</p> <p>Metric activated, effective 3/1/2016</p> <p>SOMS</p> |
| 155 | Total Assaults | <p>Formula: "Total Assaults" equals the sum of "Assault on a Non-Prisoner - Final/Concluded" plus "Assault on a Prisoner - Final/Concluded" plus "Assault on a Peace Officer - Final/Concluded" plus "Assault with a Deadly Weapon - Final/Concluded".</p> <p>Metric activated, effective 3/1/2016</p> <p>Formula</p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

| Inmate Disciplinaries | | Custody Operations |
|-----------------------|------------------------------|---|
| 156 | Assault on a Non-Prisoner | <p>Number of RVR's issued to inmates for assault on a Non-Prisoner pursuant to CCR Title 15 Section 3005 (d)(1) Force or Violence, that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of assault, adjudicated, disposition, and non-prisoner.</p> <p>Metric activated, effective 3/1/2016</p> <p>SOMS</p> |
| 157 | Assault on a Prisoner | <p>Number of RVR's issued to inmates for assault on a Prisoner pursuant to CCR Title 15 Section 3005 (d)(1) Force or Violence, that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of assault, adjudicated, and disposition.</p> <p>Metric activated, effective 3/1/2016</p> <p>SOMS</p> |
| 158 | Assault on a Peace Officer | <p>Number of RVR's issued to inmates for assault on a Peace Officer pursuant to CCR Title 15 Section 3005 (d)(1) Force or Violence, that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of assault, adjudicated, and disposition.</p> <p>Metric activated, effective 3/1/2016</p> <p>SOMS</p> |
| 159 | Assault with a Deadly Weapon | <p>Number of RVR's issued to inmates for assault with a deadly weapon pursuant to CCR Title 15 Section 3005 (d)(1) Force or Violence, that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of assault, adjudicated, disposition, and deadly weapon.</p> <p>Metric activated, effective 3/1/2016</p> <p>SOMS</p> |
| 160 | Total Batteries | <p>Formula: "Total Batteries" equals the sum of "Battery on a Non-Prisoner - Final/Concluded" plus "Battery on a Prisoner - Final/Concluded" plus "Battery on a Peace Officer - Final/Concluded" plus "Battery with a Deadly Weapon - Final/Concluded".</p> <p>Metric activated, effective 3/1/2016</p> <p>Formula</p> |
| 161 | Battery on a Non-Prisoner | <p>Number of RVR's issued to inmates for battery on a Non-Prisoner pursuant to CCR Title 15 Section 3005 (d)(1) Force or Violence, that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of battery, adjudicated, disposition, and non-prisoner.</p> <p>Metric activated, effective 3/1/2016</p> <p>SOMS</p> |
| 162 | Battery on a Prisoner | <p>Number of RVR's issued to inmates for battery on a Prisoner pursuant to CCR Title 15 Section 3005 (d)(1) Force or Violence, that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes only RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of battery, adjudicated, and disposition.</p> <p>Metric activated, effective 3/1/2016</p> <p>SOMS</p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Inmate Disciplinaries

Custody Operations

| | | |
|-----|---|---|
| 163 | Battery on a Peace Officer | <p>Number of RVR's issued to inmates for battery on a Peace Officer pursuant to CCR Title 15 Section 3005 (d)(1) Force or Violence, that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of battery, adjudicated, and disposition.</p> <p>Metric activated, effective 3/1/2016</p> <p>SOMS</p> |
| 164 | Battery with a Deadly Weapon | <p>Number of RVR's issued to inmates for battery with a deadly weapon pursuant to CCR Title 15 Section 3005 (d)(1) Force or Violence, that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of battery, adjudicated, disposition, and deadly weapon.</p> <p>Metric activated, effective 3/1/2016</p> <p>SOMS</p> |
| 165 | Possession of Controlled Substance/Stimulant/Sedative | <p>Number of RVR's issued to inmates for offenses related to possession of a controlled substance/stimulant/sedative pursuant to CCR Title 15 Section 3016 (a), and (b) that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes possession of a controlled substance in an institution, unauthorized possession of medication, or possession of alcohol. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of controlled substance, stimulant, sedative, adjudicated and disposition.</p> <p>Metric activated, effective 3/1/2016</p> <p>SOMS</p> |
| 166 | Unauthorized Possession of Drug Paraphernalia | <p>Number of RVR's issued to inmates for offenses related to unauthorized possession of drug paraphernalia pursuant to CCR Title 15 Section 3016 (a), and (b) that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of drug paraphernalia, adjudicated, and disposition.</p> <p>Metric activated, effective 3/1/2016</p> <p>SOMS</p> |
| 167 | Under the Influence of a Controlled Substance/Stimulant/Sedative | <p>Number of RVR's issued to inmates for offenses related to being under the influence of a controlled substance/stimulant/sedative pursuant to CCR Title 15 Section 3016 (a) that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes under the influence of an intoxicant, controlled substance, drug, or alcohol. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of controlled substance, stimulant, sedative, intoxicant, adjudicated, and disposition.</p> <p>Metric activated, effective 3/1/2016</p> <p>SOMS</p> |
| 168 | Distribution/Introduction of a Controlled Substance | <p>Number of RVR's issued to inmates for offenses related to distribution/introduction of a controlled substance pursuant to CCR Title 15 Section 3016 (c) that were adjudicated during the reporting period. Totals are taken from the last day of the reporting period. Count includes distribution of a controlled substance or introduction of a controlled substance. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of controlled substance, adjudicated, and disposition.</p> <p>Metric activated, effective 3/1/2016</p> <p>SOMS</p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Inmate Disciplinaries

Custody Operations

| | | |
|-----|--|--|
| 169 | Positive U.A. | <p>Number of RVR's issued to inmates for offenses related to a positive urinalysis (U.A.) test pursuant to CCR Title 15 Section 3016 (a) that were adjudicated during the reporting period. Totals are taken on from the last day of the reporting period. Count includes use of controlled substance, marijuana, barbiturates, or alcohol based solely on a positive test. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of controlled substance, urinalysis, adjudicated, and disposition.</p> <p>Metric activated, effective 3/1/2016</p> <p>SOMS</p> |
| 170 | U.A. Refusal | <p>Number of RVR's issued to inmates for refusal to submit a urinalysis (U.A.) test pursuant to CCR Title 15 Section 3290 (d) that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count excludes the number of inmates. See Glossary for definition of urinalysis and adjudicated.</p> <p>Metric activated, effective 3/1/2016</p> <p>SOMS</p> |
| 171 | Indecent Exposure (IEX) | <p>Number of RVR's issued to inmates for indecent exposure related offenses pursuant to CCR Title 15 Section 3007 that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes indecent exposure with and without prior Penal Code (PC) 288 convictions or indecent exposure with and without prior PC 314 convictions. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of indecent exposure, adjudicated, and disposition.</p> <p>Metric activated, effective 3/1/2016</p> <p>SOMS</p> |
| 172 | Sexual Disorderly Conduct | <p>Number of RVR's issued to inmates for sexual disorderly conduct related offenses pursuant to CCR Title 15 Section 3007 that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of sexual disorderly conduct, adjudicated, and disposition.</p> <p>Metric activated, effective 3/1/2016</p> <p>SOMS</p> |
| 173 | Possession of Cell Phone(s) | <p>Number of RVR's issued to inmates for possession of a cell phone pursuant to CCR Title 15 Section 3006 (a) that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes constructive possession of a cellular telephone or possession of a cellular telephone. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes number of inmates. See Glossary for definition of constructive possession, possession, adjudicated, and disposition.</p> <p>Metric activated, effective 3/1/2016</p> <p>SOMS</p> |
| 174 | Possession of Wireless Communication Device(s) | <p>Number of RVR's issued to inmates for possession of a wireless communication device pursuant to CCR Title 15 Section 3006 (a) that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes constructive possession of a wireless communication device, constructive possession of a wireless device, possession of a wireless communication device, or possession of a wireless device. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes number of inmates. See Glossary for definition of constructive possession, possession, wireless device, adjudicated, and disposition.</p> <p>Metric activated, effective 3/1/2016</p> <p>SOMS</p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Inmate Disciplinaries

Custody Operations

| | | |
|-----|--|---|
| 175 | Disturbance, Riot, or Strike | <p>Number of RVR's issued to inmates for participation in a disturbance, riot or strike pursuant to CCR Title 15 Section 3005 (a) and (d), that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes serious disruption of facility operations, mass hunger strike, inciting a riot, participation in a riot, participation in a rout, or participation in an unlawful assembly. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of disturbance, riot, strike, adjudicated, disposition, unlawful assembly, and rout.</p> <p>Metric activated, effective 3/1/2016</p> <p>SOMS</p> |
| 176 | Fighting | <p>Number of RVR's issued to an inmate for fighting pursuant to CCR Title 15 Section 3005 (d)(1) that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of fighting, adjudicated, and disposition.</p> <p>Metric activated, effective 3/1/2016</p> <p>SOMS</p> |
| 177 | Threats | <p>Number of RVR's issued to inmates for threats pursuant to CCR Title 15 Section 3005 (d)(1) that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes threatening to cause serious bodily injury (SBI) to a public official, threatening to cause SBI to a public official's family, threatening to kill a public official, or threatening to kill a public official's family. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of threatening, adjudicated, disposition, and serious bodily injury.</p> <p>Metric activated, effective 3/1/2016</p> <p>SOMS</p> |
| 178 | Willfully Resisting, Delaying or Obstructing a Peace Officer | <p>Number of RVR's issued to inmates for willfully resisting, delaying or obstructing a peace officer pursuant to CCR Title 15 Section 3005 (d) that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes resisting staff, disobeying orders, behavior which could lead to violence, willfully delaying a peace officer in the performance of duty, willfully obstructing a peace officer in the performance of duty, or willfully resisting a peace officer in the performance of duty. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of resisting, delaying, obstructing, adjudicated, and disposition.</p> <p>Metric activated, effective 3/1/2016</p> <p>SOMS</p> |
| 179 | Possession, Manufacture or Attempt to Manufacture a Deadly Weapon or Explosive Device | <p>Number of RVR's issued to inmates for possession, manufacture or attempt to manufacture a deadly weapon or explosive device pursuant to CCR Title 15 Section 3006 (a) that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes attempted manufacture of a deadly weapon, attempted manufacture of an explosive device, manufacture of a deadly weapon, manufacture of an explosive device, possession of a deadly weapon, or possession of an explosive device. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of deadly weapon, explosive device, adjudicated, and disposition.</p> <p>Metric activated, effective 3/1/2016</p> <p>SOMS</p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

| Inmate Disciplinaries | | Custody Operations |
|--|---|--|
| 180 | Attempted Murder | <p>Number of RVR's issued to an inmate for attempted murder pursuant to CCR Title 15 Section 3005 (d)(1) that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates involved. See Glossary for definition of attempted murder, adjudicated, and disposition.</p> <p>Metric activated, effective 3/1/2016 <i>SOMS</i></p> |
| 181 | Murder | <p>Number of RVR's issued to inmates for murder pursuant to CCR Title 15 Section 3005 (d)(1), that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates involved. See Glossary for definition of murder, adjudicated, and disposition.</p> <p>Metric activated, effective 3/1/2016 <i>SOMS</i></p> |
| 182 | Other | <p>Number of RVR's issued to an inmate for offenses not listed as a separate metric in this report that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of adjudicated and disposition.</p> <p>Metric activated, effective 3/1/2016 <i>SOMS</i></p> |
| 183 | Number of RVR's Involving Mental Health Inmates | <p>Number of RVR's issued to inmates in the Mental Health Services Delivery System (MHSDS) that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's issued only to mental health inmates with a status of final/concluded and a guilty disposition. Count excludes number of inmates. See Glossary for definition of adjudicated and disposition.</p> <p>Metric activated, effective 3/1/2016 <i>SOMS</i></p> |
| Inmate Disciplinaries - Audio/Video Surveillance | | Custody Operations |
| 185 | Audio/Video Evidence | <p>Number of RVR's issued to inmates for serious rule violations that occurred during the reporting period where Audio/Video Surveillance System (AVSS) recordings were preserved as part of the evidence. Totals are taken on the last day of the reporting period. Count excludes administrative and counseling RVR's. See Glossary for definition of Audio/Video Surveillance System, administrative RVR and counseling RVR.</p> <p>Metric activated, effective 2/1/2018 <i>Institution Reported</i></p> |
| 186 | Impact - Guilty | <p>Number of disciplinary hearings that occurred during the reporting period where audio/video evidence was a factor in finding the inmate guilty as charged. Totals are taken on the last day of the reporting period. Count includes only serious RVR's with a disposition of final/concluded and guilty. Count excludes dispositions of not guilty, guilty of a reduced charge if the reduction is to an administrative charge or counseling, and RVR's that are voided. See Glossary for definition of serious RVR and disposition.</p> <p>Metric activated, effective 2/1/2018 <i>Institution Reported</i></p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

| Inmate Disciplinaries - Audio/Video Surveillance | | Custody Operations |
|--|-------------------------|--|
| 187 | Impact - Reduced | <p>Number of disciplinary hearings that occurred during the reporting period where audio/video evidence was a factor in finding the inmate guilty of a lesser charge. Totals are taken on the last day of the reporting period. Count includes RVR's with a disposition of final/concluded and guilty. Count includes RVR's where the inmate was found guilty of a charge that is different than the original charge but is still considered a serious rule violation. Count excludes dispositions of not guilty, guilty of a reduced charge if the reduction is to an administrative charge or counseling, and RVR's that are voided. See Glossary for definition of disposition.</p> <p>Metric activated, effective 2/1/2018</p> <p><i>Institution Reported</i></p> |
| 188 | Impact - Not Guilty | <p>Number of disciplinary hearings that occurred during the reporting period where audio/video evidence was a factor in dismissing all charges. Totals are taken on the last day of the reporting period. Count includes serious RVR's with a disposition of final/concluded and not guilty. Count excludes RVR's with a disposition of final/concluded and guilty. See Glossary for definition of disposition.</p> <p>Metric activated, effective 2/1/2018</p> <p><i>Institution Reported</i></p> |
| 189 | Impact - None | <p>Number of disciplinary hearings that occurred during the reporting period where audio/video evidence had no impact on the outcome. Totals are taken on the last day of the reporting period. Count includes hearings for serious RVR's only. See Glossary for definition of serious RVR.</p> <p>Metric activated, effective 2/1/2018</p> <p><i>Institution Reported</i></p> |
| 190 | No Audio/Video Evidence | <p>Number of RVR's issued to inmates for serious rules violations that occurred during the reporting period, where no Audio/Video Surveillance System (AVSS) recordings were available. Totals are taken on the last day of the reporting period. See Glossary for definition of Audio/Video Surveillance System.</p> <p>Metric activated, effective 2/1/2018</p> <p><i>Institution Reported</i></p> |
| Number of Incidents | | Custody Operations |
| 192 | Number of Incidents | <p>Number of reportable incidents as identified in California Code of Regulations (CCR) Title 15 Section 3382 and Department Operations Manual (DOM) Section 51030.3 that occurred during the reporting period in which an Incident Report was completed. Totals are taken on the last day of the reporting period. See Glossary for definition of reportable incidents.</p> <p>Language change, effective 1/1/2017</p> <p><i>DIRS</i></p> |
| 193 | Per 100 Inmates | <p>Formula: "Per 100 Inmates" equals "Number of Incidents" divided by "Inmate Count" multiplied by 100.</p> <p>Language change, effective 1/1/2017</p> <p><i>Formula</i></p> |
| 194 | Documented Use of Force | <p>Number of documented use of force incidents pursuant to the California Code of Regulations (CCR), Title 15, Section 3268 and DOM Section 51020.1, Article 2 that occurred during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of documented use of force.</p> <p>Language change, effective 1/1/2017</p> <p><i>DIRS</i></p> |
| 195 | Per 100 Inmates | <p>Formula: "Per 100 Inmates" equals "Documented Use of Force" divided by "Inmate Count" multiplied by 100.</p> <p>Language change, effective 1/1/2017</p> <p><i>Formula</i></p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

| Number of Incidents | | Custody Operations |
|---------------------|--|--|
| 196 | Departmental Executive Review Committee | <p>Number of use of force incidents requested for review by the Departmental Executive Review Committee (DERC) that occurred during the reporting period. Totals are taken on the last day of the reporting period. Requests will come from the DAI Director and/or the Associate Director's Office. See Glossary for definition of use of force.</p> <p>Metric activated, effective 1/1/2017</p> <p><i>Institution Reported</i></p> |
| 197 | Total Number of Overdue UOF Reviews - 30 Days | <p>Number of Institutional Executive Review Committee (IERC) reviews that are overdue as of the last day of the reporting period. Count is cumulative and includes all overdue reviews that are still outstanding for current and/or prior reporting periods at the end of the current reporting period. Totals are taken on the last day of the reporting period. Count includes reviews that are not brought before the IERC within 30 days following the incident. Day 31 constitutes an overdue Use of Force (UOF) incident review. Overdue reviews will be counted in the reporting month in which the review became overdue by 31 days. The incident will continue to be counted each month thereafter until the review has occurred or until the review is overdue by 90 days. Count excludes reviews referred to the Office of Internal Affairs. See Glossary for definition of use of force.</p> <p>Language change, effective 1/1/2017</p> <p><i>Institution Reported</i></p> |
| 198 | Total Number of Overdue UOF Reviews - 90 Days | <p>Number of Institutional Executive Review Committee (IERC) reviews that are overdue as of the last day of the reporting period. Count is cumulative and includes all overdue reviews that are still outstanding for current and/or prior reporting periods at the end of the current reporting period. Totals are taken on the last day of the reporting period. Count includes reviews that are not brought before the IERC within 90 days following the incident. Day 31 constitutes an overdue Use of Force (UOF) incident review. Overdue reviews will be counted in the reporting month in which the review became overdue by 91 days. The incident will continue to be counted each month thereafter until the review has occurred. Count excludes reviews referred to the Office of Internal Affairs. See Glossary for definition of use of force.</p> <p>Language change, effective 1/1/2017</p> <p><i>Institution Reported</i></p> |
| 199 | Modified Programs | <p>Number of times a modified program was initiated during the reporting period. Totals are taken on the last day of the reporting period. Each modified program will be counted once and only in the reporting period in which the modified program was initiated. An institution can have more than one modified program simultaneously active. In these cases, each modified program will be counted after the program has been active for at least 24 hours. Count excludes the number of inmates or the number of days on modified programs. See Glossary for definition of modified program.</p> <p>Metric activated, effective 1/1/2017</p> <p><i>Institution Reported</i></p> |
| 200 | Lockdown | <p>Number of times an institution was placed in lockdown status during the reporting period. Totals are taken on the last day of the reporting period. Each lockdown will be counted once and only in the reporting period in which the lockdown was initiated. Count excludes the number of inmates or the number of days on lockdown. See Glossary for definition of lockdown.</p> <p>Metric activated, effective 1/1/2017</p> <p><i>Institution Reported</i></p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Type of Force

Custody Operations

202 OC

Number of incidents where Oleoresin Capsicum (OC) was used against an inmate or group of inmates that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes number of incidents in which OC was listed as the type of force used. Count excludes the number of peace officers or non-prisoners that used OC and the number of deployments. If there are multiple types of force used during one incident, each type of force will only be counted once. For example, if the baton, OC, and physical force options are used in the same incident, then each type will be counted once. The number of incidents and breakdown by type of force numbers will not necessarily match as more than one type of force can be used per incident. See acronym listing and Glossary for a definition of OC including other common names.

Language change, effective 1/1/2017

DIRS

203 Physical Force

Number of incidents where physical force was used against an inmate or group of inmates that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes number of incidents in which physical force was listed as the type of force used. Count excludes the number of peace officers or non-prisoners that used physical force. If there are multiple types of force used during one incident, each type of force will only be counted once. For example, if the baton, OC, and physical force options are used in the same incident, then each type will be counted once. The number of incidents and breakdown by type of force numbers will not necessarily match as more than one type of force can be used per incident. See Glossary for a definition of physical force.

Language change, effective 1/1/2017

DIRS

204 Baton

Number of incidents where a baton was used against an inmate or group of inmates that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes number of incidents in which a baton was listed as the type of force used. Count excludes the number of peace officers or non-prisoners that used a baton. If there are multiple types of force used during one incident, each type of force will only be counted once. For example, if the baton, OC, and physical force options are used in the same incident, then each type will be counted once. The number of incidents and breakdown by type of force numbers will not necessarily match as more than one type of force can be used per incident. See Glossary for a definition of a baton.

Language change, effective 1/1/2017

DIRS

205 CN

Number of incidents where Chloroacetophenone (CN) was used against an inmate or group of inmates that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes number of incidents in which CN was listed as the type of force used. Count excludes the number of peace officers or non-prisoners that used CN. If there are multiple types of force used during one incident, each type of force will only be counted once. For example, if the baton, OC, and physical force options are used in the same incident, then each type will be counted once. The number of incidents and breakdown by type of force numbers will not necessarily match as more than one type of force can be used per incident. See acronym listing and Glossary for definition and other common names for CN.

Language change, effective 1/1/2017

DIRS



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Type of Force

Custody Operations

| | | |
|-----|-------------------------|---|
| 206 | 37 mm/40 mm | <p>Number of incidents where a firearm with 37mm and/or 40mm caliber launcher was discharged against an inmate or group of inmates that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes number of incidents in which 37mm and/or 40mm was listed as the type of force used. Count excludes the number of peace officers or non-prisoners that used 37mm and/or 40mm caliber launcher and the number of rounds fired. If there are multiple types of force used during one incident, each type of force will only be counted once. For example, if the baton, OC, and physical force options are used in the same incident, then each type will be counted once. The number of incidents and breakdown by type of force numbers will not necessarily match as more than one type of force can be used per incident. See Glossary for definition of 37mm and 40mm caliber launcher.</p> <p>Language change, effective 1/1/2017</p> <p>DIRS</p> |
| 207 | Mini 14 - Shots | <p>Number of incidents where Mini-14 shots were fired "for effect" and were aimed at a specific human target pursuant to CCR, Title 15, Sections 3268.1 and 3275 that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes number of incidents in which a Mini-14 was listed as the type of force used. Count excludes the number of peace officers or non-prisoners that used a Mini-14 and does not include the number of rounds fired. If there are multiple types of force used during one incident, each type of force will only be counted once. For example, if the baton, OC, and physical force options are used in the same incident, then each type will be counted once. The number of incidents and breakdown by type of force numbers will not necessarily match as more than one type of force can be used per incident. See Glossary for definition of Mini-14 and "for effect".</p> <p>Language change, effective 1/1/2017</p> <p>DIRS</p> |
| 208 | Mini 14 - Warning Shots | <p>Number of incidents where Mini-14 shots were fired as a warning and not aimed at a specific human target that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes number of incidents in which a Mini-14 was listed as the type of force used. Count excludes the number of peace officers or non-prisoners that used a Mini-14 and does not include the number of rounds fired. If there are multiple types of force used during one incident, each type of force will only be counted once. For example, if the baton, OC, and physical force options are used in the same incident, then each type will be counted once. The number of incidents and breakdown by type of force numbers will not necessarily match as more than one type of force can be used per incident. See Glossary for definition of Mini-14.</p> <p>Language change, effective 1/1/2017</p> <p>DIRS</p> |
| 209 | Non-Conventional Force | <p>Number of incidents where non-conventional force pursuant to DOM 51020.4 was used against an inmate or group of inmates that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes number of incidents in which non-conventional force was listed as the type of force used. Count excludes the number of peace officers or non-prisoners that used non-conventional force. If there are multiple types of force used during one incident, each type of force will only be counted once. For example, if the baton, OC, and physical force options are used in the same incident, then each type will be counted once. The number of incidents and breakdown by type of force numbers will not necessarily match as more than one type of force can be used per incident. See Glossary for definition of non-conventional force.</p> <p>Metric activated, effective 1/1/2017</p> <p>DIRS</p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Type of Force

210 Other

Number of incidents where a force option not specifically listed elsewhere in this report, was used against an inmate or group of inmates that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes use of CS, X10 barricade removal, X10 sprayer wand, shotgun, 38 caliber ammunition, 9mm ammunition, L8, etc. Count excludes the number of peace officers or non-prisoners that used any of the other options shown above. If there are multiple types of force used during one incident, each type of force will only be counted once. For example, if the baton, OC, and physical force options are used in the same incident, then each type will be counted once. The number of incidents and breakdown by type of force numbers will not necessarily match as more than one type of force can be used per incident. See Glossary for definitions of CS, X10, and L8.

Language change, effective 1/1/2017

DIRS

Custody Operations

Incidents

212 Controlled Substances/Stimulants/Sedatives

Number of controlled substances/stimulants/sedatives related incidents pursuant to CCR, Title 15, Section 3016 that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes all types of controlled substances/stimulants/sedatives and includes those connected to a specific inmate and those found elsewhere on the institution grounds. See Glossary for definition of controlled substances, stimulants, and sedatives.

Metric activated, effective 1/1/2017

DIRS

Custody Operations

213 Methamphetamine

Number of Methamphetamine related incidents that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes the number of incidents where Methamphetamine was used/found. Count excludes the number of inmates involved, if any. If there are multiple types of controlled substances/stimulants/sedatives involved in one incident, each type of drug will only be counted once. For example, if methamphetamine, marijuana, and heroin are used in the same incident, then each type will be counted once. The number of incidents and breakdown by drug numbers will not necessarily match as more than one type of drug can be listed per incident.

Language change, effective 1/1/2017

DIRS

214 Methamphetamine Quantity

Amount of Methamphetamine found, in grams, during the reporting period. Totals are taken on the last day of the reporting period. Count includes all methamphetamine connected to a specific inmate, found during family visiting, and methamphetamine found on the institution grounds. Count excludes the number of incidents and the number of inmates involved, if any.

Metric activated, effective 1/1/2017

DIRS

215 Marijuana

Number of Marijuana related incidents that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes the number of incidents where Marijuana was used/found. Count excludes the number of inmates involved, if any. If there are multiple types of controlled substances/stimulants/sedatives involved in one incident, each type of drug will only be counted once. For example, if the methamphetamine, marijuana, and heroin are used in the same incident, then each type will be counted once. The number of incidents and breakdown by drug numbers will not necessarily match as more than one type of drug can be listed per incident.

Language change, effective 1/1/2017

DIRS



Division of Adult Institutions

Counting Rules 2019A January-June 2019

| Incidents | | Custody Operations |
|-----------|--------------------|---|
| 216 | Marijuana Quantity | <p>Amount of Marijuana found, in grams, during the reporting period. Totals are taken on the last day of the reporting period. Count includes all marijuana connected to a specific inmate, found during family visiting, and marijuana found on the institution grounds. Count excludes the number of incidents and the number of inmates involved, if any.</p> <p>Metric activated, effective 1/1/2017</p> <p>DIRS</p> |
| 217 | Heroin | <p>Number of Heroin related incidents that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes the number of incidents where Heroin was used/found. Count excludes the number of inmates involved, if any. If there are multiple types of controlled substances/stimulants/sedatives involved in one incident, each type of drug will only be counted once. For example, if the methamphetamine, marijuana, and heroin are used in the same incident, then each type will be counted once. The number of incidents and breakdown by drug numbers will not necessarily match as more than one type of drug can be listed per incident.</p> <p>Language change, effective 1/1/2017</p> <p>DIRS</p> |
| 218 | Heroin Quantity | <p>Amount of Heroin found, in grams, during the reporting period. Totals are taken on the last day of the reporting period. Count includes all heroin connected to a specific inmate, found during family visiting, and heroin found on the institution grounds. Count excludes the number of incidents and the number of inmates involved, if any.</p> <p>Metric activated, effective 1/1/2017</p> <p>DIRS</p> |
| 219 | Cocaine | <p>Number of Cocaine related incidents that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes the number of incidents where Cocaine was used/found. Count excludes the number of inmates involved, if any. If there are multiple types of controlled substances/stimulants/sedatives involved in one incident, each type of drug will only be counted once. For example, if the methamphetamine, marijuana, and heroin are used in the same incident, then each type will be counted once. The number of incidents and breakdown by drug numbers will not necessarily match as more than one type of drug can be listed per incident.</p> <p>Language change, effective 1/1/2017</p> <p>DIRS</p> |
| 220 | Cocaine Quantity | <p>Amount of Cocaine found, in grams, during the reporting period. Totals are taken on the last day of the reporting period. Count includes all cocaine connected to a specific inmate, found during family visiting, and cocaine found on the institution grounds. Count excludes the number of incidents and the number of inmates involved, if any.</p> <p>Metric activated, effective 1/1/2017</p> <p>DIRS</p> |
| 221 | Amphetamine | <p>Number of Amphetamine related incidents that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes the number of incidents where Amphetamine was used/found. Count excludes the number of inmates involved, if any. If there are multiple types of controlled substances/stimulants/sedatives involved in one incident, each type of drug will only be counted once. For example, if the methamphetamine, marijuana, and heroin are used in the same incident, then each type will be counted once. The number of incidents and breakdown by drug numbers will not necessarily match as more than one type of drug can be listed per incident.</p> <p>Language change, effective 1/1/2017</p> <p>DIRS</p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

| Incidents | | Custody Operations |
|-----------|-----------------------|---|
| 222 | Amphetamine Quantity | <p>Amount of Amphetamine found, in grams, during the reporting period. Totals are taken on the last day of the reporting period. Count includes all amphetamine connected to a specific inmate, found during family visiting, and amphetamine found on the institution grounds. Count excludes the number of incidents and the number of inmates involved, if any.</p> <p>Metric activated, effective 1/1/2017</p> <p>DIRS</p> |
| 223 | Barbiturates | <p>Number of Barbiturates related incidents that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes the number of incidents where Barbiturates was used/found. Count excludes the number of inmates involved, if any. If there are multiple types of controlled substances/stimulants/sedatives involved in one incident, each type of drug will only be counted once. For example, if the methamphetamine, marijuana, and heroin are used in the same incident, then each type will be counted once. The number of incidents and breakdown by drug numbers will not necessarily match as more than one type of drug can be listed per incident.</p> <p>Language change, effective 1/1/2017</p> <p>DIRS</p> |
| 224 | Barbiturates Quantity | <p>Amount of Barbiturates found, in grams, during the reporting period. Totals are taken on the last day of the reporting period. Count includes all barbiturates connected to a specific inmate, found during family visiting, and barbiturates found on the institution grounds. Count excludes the number of incidents and the number of inmates involved, if any.</p> <p>Metric activated, effective 1/1/2017</p> <p>DIRS</p> |
| 225 | Codeine | <p>Number of Codeine related incidents that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes the number of incidents where Codeine was used/found. Count excludes the number of inmates involved, if any. If there are multiple types of controlled substances/stimulants/sedatives involved in one incident, each type of drug will only be counted once. For example, if the methamphetamine, marijuana, and heroin are used in the same incident, then each type will be counted once. The number of incidents and breakdown by drug numbers will not necessarily match as more than one type of drug can be listed per incident.</p> <p>Language change, effective 1/1/2017</p> <p>DIRS</p> |
| 226 | Codeine Quantity | <p>Amount of Codeine found, in grams, during the reporting period. Totals are taken on the last day of the reporting period. Count includes all codeine connected to a specific inmate, found during family visiting, and morphine found on the institution grounds. Count excludes the number of incidents and the number of inmates involved, if any.</p> <p>Metric activated, effective 1/1/2017</p> <p>DIRS</p> |
| 227 | Morphine | <p>Number of Morphine related incidents that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes the number of incidents where Morphine was used/found. Count excludes the number of inmates involved, if any. If there are multiple types of controlled substances/stimulants/sedatives involved in one incident, each type of drug will only be counted once. For example, if the methamphetamine, marijuana, and heroin are used in the same incident, then each type will be counted once. The number of incidents and breakdown by drug numbers will not necessarily match as more than one type of drug can be listed per incident.</p> <p>Language change, effective 1/1/2017</p> <p>DIRS</p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

| Incidents | | Custody Operations |
|-----------|--|--|
| 228 | Morphine Quantity | <p>Amount of Morphine found, in grams, during the reporting period. Totals are taken on the last day of the reporting period. Count includes all morphine connected to a specific inmate, found during family visiting, and morphine found on the institution grounds. Count excludes the number of incidents and the number of inmates involved, if any.</p> <p>Metric activated, effective 1/1/2017</p> <p>DIRS</p> |
| 229 | Synthetic Marijuana - Spice | <p>Number of Synthetic Marijuana related incidents that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes the number of incidents where Synthetic Marijuana was used/found. Count excludes the number of inmates involved, if any. If there are multiple types of controlled substances/stimulants/sedatives involved in one incident, each type of drug will only be counted once. For example, if the methamphetamine, marijuana, and heroin are used in the same incident, then each type will be counted once. The number of incidents and breakdown by drug numbers will not necessarily match as more than one type of drug can be listed per incident.</p> <p>Metric activated, effective 1/1/2017</p> <p>DIRS</p> |
| 230 | Synthetic Marijuana - Spice Quantity | <p>Amount of Synthetic Marijuana found, in grams, during the reporting period. Totals are taken on the last day of the reporting period. Count includes all synthetic marijuana connected to a specific inmate, found during family visiting, and synthetic marijuana found on the institution grounds. Count excludes the number of incidents and the number of inmates involved, if any.</p> <p>Metric activated, effective 1/1/2017</p> <p>DIRS</p> |
| 231 | Other Controlled Substances/Stimulants/Sedatives | <p>Number of controlled substances/stimulants/sedatives related incidents not broken out elsewhere in this report that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes the number of incidents where an "Other" controlled substance/stimulant/sedative was used/found. Count excludes the number of inmates involved, if any. If there are multiple types of controlled substances/stimulants/sedatives involved in one incident, each type of drug will only be counted once. For example, if the methamphetamine, marijuana, and heroin are used in the same incident, then each type will be counted once. The number of incidents and breakdown by drug numbers will not necessarily match as more than one type of drug can be listed per incident.</p> <p>Metric activated, effective 1/1/2017</p> <p>DIRS</p> |
| 232 | Drug Paraphernalia | <p>Number of incidents involved the discovery or possession of drug paraphernalia that occurred during the reporting period. Count includes the number of incidents only. Count excludes the number of items found and the number of inmates involved, if any. See Glossary for definition of drug paraphernalia.</p> <p>Metric activated, effective 1/1/2017</p> <p>DIRS</p> |
| 233 | Assault on a Peace Officer or Non-Prisoner (Total) | <p>Formula: "Assault on a Peace Officer or Non-Prisoner (Total)" equals the sum of "Assault on a Peace Officer with Weapon" plus "Assault on a Non-Prisoner with Weapon" plus "Assault on a Peace Officer Without Weapon" plus "Assault on a Non-Prisoner Without Weapon" equals "Total Assaults on a Peace Officer and Non-Prisoner".</p> <p>Metric activated, effective 1/1/2017</p> <p>Formula</p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

| Incidents | | Custody Operations |
|-----------|---|--|
| 234 | Assault on a Peace Officer With Weapon | <p>Number of assaults on a Peace Officer with a weapon that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count only includes the number of incidents in which an assault occurred. Count excludes the number of Peace Officer's assaulted and the number of inmates involved. Weapon is defined in Penal Code Section 4502. See Glossary for definition of assault.</p> <p>Metric activated, effective 1/1/2017</p> <p><i>DIRS</i></p> |
| 235 | Assault on a Non-Prisoner With Weapon | <p>Number of assaults on a Non-Prisoner (excluding Peace Officers) with a weapon that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count only includes the number of incidents in which an assault occurred. Count excludes the number of Non-Prisoner's assaulted and the number of inmates involved. Weapon is defined in Penal Code Section 4502. See Glossary for definition of assault and non-prisoner.</p> <p>Metric activated, effective 1/1/2017</p> <p><i>DIRS</i></p> |
| 236 | Assault on a Peace Officer Without Weapon | <p>Number of assaults on a Peace Officer without a weapon that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count only includes the number of incidents in which an assault occurred. Count excludes the number of Peace Officer's assaulted and the number of inmates involved. See Glossary for definition of assault.</p> <p>Metric activated, effective 1/1/2017</p> <p><i>DIRS</i></p> |
| 237 | Assault on a Non-Prisoner Without Weapon | <p>Number of assaults on a Non-Prisoner (excluding Peace Officers) without a weapon that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count only includes the number of incidents in which an assault occurred. Count excludes the number of Non-Prisoner's assaulted and the number of inmates involved. See Glossary for definition of assault and non-prisoner.</p> <p>Metric activated, effective 1/1/2017</p> <p><i>DIRS</i></p> |
| 238 | Battery on a Peace Officer or Non-Prisoner (Total) | <p>Formula: "Battery on a Peace Officer or Non-Prisoner (Total)" equals the sum of "Battery of Peace Officer with Weapon" plus "Battery on a Non-Prisoner with Weapon" plus "Battery on a Peace Officer Without Weapon" plus "Battery on a Non-Prisoner Without Weapon".</p> <p>Metric activated, effective 1/1/2017</p> <p><i>Formula</i></p> |
| 239 | Battery on a Peace Officer With Weapon | <p>Number of batteries on a Peace Officer with a weapon that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count only includes the number of incidents in which a battery occurred. Count excludes the number of Peace Officer's battered and the number of inmates involved. Weapon is defined in Penal Code Section 4502. See Glossary for definition of battery.</p> <p>Metric activated, effective 1/1/2017</p> <p><i>DIRS</i></p> |
| 240 | Battery on a Non-Prisoner With Weapon | <p>Number of batteries on a Non-Prisoner (excluding Peace Officers) with a weapon that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count only includes the number of incidents in which a battery occurred. Count excludes the number of Non-Prisoner's battered and the number of inmates involved. Weapon is defined in Penal Code Section 4502. See Glossary for definition of battery and non-prisoner.</p> <p>Metric activated, effective 1/1/2017</p> <p><i>DIRS</i></p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Incidents

Custody Operations

| | | |
|-----|--|---|
| 241 | Battery on a Peace Officer Without Weapon | <p>Number of batteries on a Peace Officer without a weapon that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count only includes the number of incidents in which a battery occurred. Count excludes the number of Peace Officer's battered and the number of inmates involved. See Glossary for definition of battery.</p> <p>Metric activated, effective 1/1/2017</p> <p><i>DIRS</i></p> |
| 242 | Battery on a Non-Prisoner Without Weapon | <p>Number of batteries on a Non-Prisoner (excluding Peace Officers) without a weapon that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count only includes the number of incidents in which a battery occurred. Count excludes the number of Non-Prisoner's battered and the number of inmates involved. See Glossary for definition of battery and non-prisoner.</p> <p>Metric activated, effective 1/1/2017</p> <p><i>DIRS</i></p> |
| 243 | Aggravated Battery on a Peace Officer | <p>Number of aggravated batteries on a Peace Officer that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count only includes the number of incidents in which an aggravated battery occurred. Count excludes the number of Peace Officer's battered and the number of inmates involved. See Glossary for definition of aggravated battery.</p> <p>Metric activated, effective 1/1/2017</p> <p><i>DIRS</i></p> |
| 244 | Aggravated Battery on a Non-Prisoner | <p>Number of aggravated batteries on a Non-Prisoner (excluding Peace Officers) that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count only includes the number of incidents in which an aggravated battery occurred. Count excludes the number of Non-Prisoner's battered and the number of inmates involved. See Glossary for definition of aggravated battery and non-prisoner.</p> <p>Metric activated, effective 1/1/2017</p> <p><i>DIRS</i></p> |
| 245 | Assault On Inmate (Total) | <p>Formula: "Assault on Inmate (Total)" equals the sum of "Assault on Inmate with Weapon" plus "Assault on Inmate without Weapon".</p> <p>Language change, effective 1/1/2017</p> <p><i>Formula</i></p> |
| 246 | Assault on Inmate With Weapon | <p>Number of assaults on an inmate with a weapon that was perpetrated by another inmate during the reporting period. Totals are taken on the last day of the reporting period. Count only includes the number of incidents not the number of inmates involved. Weapon is defined in Penal Code Section 4502. See Glossary for definition of assault.</p> <p>Language change, effective 1/1/2017</p> <p><i>DIRS</i></p> |
| 247 | Assault on Inmate Without Weapon | <p>Number of assaults on an inmate without a weapon that was perpetrated by another inmate during the reporting period. Totals are taken on the last day of the reporting period. Count only includes the number of incidents not the number of inmates involved. See Glossary for definition of assault.</p> <p>Language change, effective 1/1/2017</p> <p><i>DIRS</i></p> |
| 248 | Battery on Inmate (Total) | <p>Formula: "Battery on Inmate (Total)" equals the sum of "Battery on Inmate with Weapon" plus "Battery on Inmate with Weapon Causing Serious Bodily Injury (SBI)" plus "Battery on Inmate without Weapon" plus "Battery on Inmate without Weapon Causing Serious Bodily Injury (SBI)".</p> <p>Language change, effective 1/1/2017</p> <p><i>Formula</i></p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Incidents

Custody Operations

| | | |
|-----|---|---|
| 249 | Battery on Inmate With Weapon | <p>Number of batteries on an inmate with a weapon that was perpetrated by another inmate during the reporting period. Totals are taken on the last day of the reporting period. Count only includes the number of incidents not the number of inmates involved. Weapon is defined in Penal Code Section 4502. See Glossary for definition of battery.</p> <p>Metric activated, effective 1/1/2017</p> <p><i>DIRS</i></p> |
| 250 | Battery on Inmate With Weapon - Causing Serious Bodily Injury (SBI) | <p>Number of batteries on an inmate with a weapon causing serious bodily injury (SBI) that was perpetrated by another inmate during the reporting period. Totals are taken on the last day of the reporting period. Count only includes the number of incidents not the number of inmates involved. Weapon is defined in Penal Code Section 4502. See Glossary for definition of battery and SBI.</p> <p>Metric activated, effective 1/1/2017</p> <p><i>DIRS</i></p> |
| 251 | Battery on Inmate Without Weapon | <p>Number of batteries on an inmate without a weapon that was perpetrated by another inmate during the reporting period. Totals are taken on the last day of the reporting period. Count only includes the number of incidents not the number of inmates involved. See Glossary for definition of battery.</p> <p>Metric activated, effective 1/1/2017</p> <p><i>DIRS</i></p> |
| 252 | Battery on Inmate Without Weapon - Causing Serious Bodily Injury (SBI) | <p>Number of batteries on an inmate without a weapon causing serious bodily injury (SBI) that was perpetrated by another inmate during the reporting period. Totals are taken on the last day of the reporting period. Count only includes the number of incidents not the number of inmates involved. See Glossary for definition of battery and SBI.</p> <p>Metric activated, effective 1/1/2017</p> <p><i>DIRS</i></p> |
| 253 | Controlled Extraction | <p>Number of controlled extractions of an inmate conducted during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of controlled extraction.</p> <p>Metric activated, effective 1/1/2017</p> <p><i>DIRS</i></p> |
| 254 | Immediate Extraction | <p>Number of immediate extractions of an inmate conducted during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of immediate extraction.</p> <p>Metric activated, effective 1/1/2017</p> <p><i>DIRS</i></p> |
| 255 | Escapes | <p>Number of inmate escapes that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes only the number of escapes not the number of inmates that escaped. Count excludes attempted escapes. See Glossary for definition of escape.</p> <p>Language change, effective 1/1/2017</p> <p><i>DIRS</i></p> |
| 256 | Escapes- Number of Inmates Involved | <p>Number of inmates that escaped during the reporting period. Totals are taken on the last day of the reporting period. The number of inmates that escaped may be more than the count of escape incidents due to more than one inmate can escape during a single incident. See Glossary for definition of escape.</p> <p>Language change, effective 1/1/2017</p> <p><i>DIRS</i></p> |
| 257 | Attempted Escapes | <p>Number of attempted escapes that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes only the number of attempted escapes not the number of inmates involved. See Glossary for definition of attempted escapes.</p> <p>Language change, effective 1/1/2017</p> <p><i>DIRS</i></p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

| Incidents | | Custody Operations |
|-----------|-----------------------------------|--|
| 258 | Riot | <p>Number of inmate riots that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count excludes the number of inmates involved. See Glossary for definition of riot.</p> <p>Metric activated, effective 1/1/2017</p> <p>DIRS</p> |
| 259 | Riot - Number of Inmates Involved | <p>Number of inmates that participated in a riot that occurred during the reporting period. Totals are taken on the last day of the reporting period. The count of inmates will be higher than the count of riots due to more than one inmate is involved in a riot. See Glossary for definition of riot.</p> <p>Metric activated, effective 1/1/2017</p> <p>DIRS</p> |
| 260 | Resisting a Peace Officer | <p>Number of incidents where an inmate resisted a peace officer that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count excludes the number of inmates. See Glossary for definition of resisting.</p> <p>Metric activated, effective 1/1/2017</p> <p>DIRS</p> |
| 261 | Resisting a Non-Prisoner | <p>Number of incidents where an inmate resisted a non-prisoner that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count excludes the number of inmates. See Glossary for definition of resisting and non-prisoner.</p> <p>Metric activated, effective 1/1/2017</p> <p>DIRS</p> |
| 262 | Threatening a Peace Officer | <p>Number of incidents where an inmate threatened a peace officer that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count excludes the number of inmates. See Glossary for definition of threatening.</p> <p>Metric activated, effective 1/1/2017</p> <p>DIRS</p> |
| 263 | Threatening a Non-Prisoner | <p>Number of incidents where an inmate threatened a non-prisoner that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count excludes the number of inmates. See Glossary for definition of threatening and non-prisoner.</p> <p>Metric activated, effective 1/1/2017</p> <p>DIRS</p> |
| 264 | Sexual Misconduct | <p>Number of inmate sexual misconduct related incidents pursuant to CCR, Section 3000 and DOM Section 52100.1 that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count excludes the number of inmates. See Glossary for definition of sexual misconduct.</p> <p>Language change, effective 1/1/2017</p> <p>DIRS</p> |
| 265 | Suicide Attempts (CCHCS) | <p>Number of suicide attempts by an inmate(s) that occurred during the reporting period. The count includes each suicide attempt and excludes the number of inmates. For example, if one inmate attempted suicide twice during the reporting period, it will be counted as two suicide attempts. Totals are taken on the last day of the reporting period. The determination of a suicide attempt is finalized by CCHCS. See Acronym listing for CCHCS. See Glossary for definition of Suicide Attempt.</p> <p>Metric activated, effective 1/1/2017</p> <p>CCHCS</p> |
| 266 | Suicide | <p>Number of inmate suicides that occurred during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of suicide.</p> <p>Language change, effective 1/1/2017</p> <p>DIRS</p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Incidents

Custody Operations

| | | |
|-----|--|---|
| 267 | Attempted Homicide - Peace Officer | <p>Number of attempted homicides on a Peace Officer that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes "Assault on a Peace Officer Attempted Homicide" and "Battery on a Peace Officer Attempted Homicide". Count excludes the number of inmates involved in the attempted homicide. See Glossary for definition of attempted homicide.</p> <p>Metric activated, effective 1/1/2017</p> <p><i>DIRS</i></p> |
| 268 | Attempted Homicide - Non-Prisoner | <p>Number of attempted homicides on a Non-Prisoner (excluding Peace Officers) that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes "Assault on a Non-Prisoner Attempted Homicide" and "Battery on a Non-Prisoner Attempted Homicide". Count excludes the number of inmates involved in the attempted homicide. See Glossary for definition of attempted homicide and non-prisoner.</p> <p>Metric activated, effective 1/1/2017</p> <p><i>DIRS</i></p> |
| 269 | Attempted Homicide - Inmate | <p>Number of attempted homicides on an inmate that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes "Assault on an Inmate Attempted Homicide" and "Battery on an Inmate Attempted Homicide". Count excludes the number of inmates involved in the attempted homicide. See Glossary for definition of attempted homicide.</p> <p>Metric activated, effective 1/1/2017</p> <p><i>DIRS</i></p> |
| 270 | Homicide | <p>Number of inmate homicides that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count excludes the number of inmates involved in the homicide. See Glossary for definition of homicide.</p> <p>Language change, effective 1/1/2017</p> <p><i>DIRS</i></p> |
| 271 | Expected Death | <p>Number of expected inmate deaths that occurred during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of expected death.</p> <p>Language change, effective 1/1/2017</p> <p><i>DIRS</i></p> |
| 272 | Unexpected Death | <p>Number of unexpected inmate deaths that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count excludes suicide and homicide as these are reported separately in other metrics. See Glossary for definition of unexpected death.</p> <p>Language change, effective 1/1/2017</p> <p><i>DIRS</i></p> |
| 273 | Total Inmate Deaths | <p>Formula: "Total Inmate Deaths" equals the sum of "Suicide" plus "Homicide" plus "Expected Death" plus "Unexpected Death".</p> <p>Metric activated, effective 1/1/2017</p> <p><i>Formula</i></p> |
| 274 | Controlled Cell Phone Discoveries | <p>Number of cell phones found in an inmate's possession that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes the number of phones found. Count excludes the number of inmates. See Glossary for definition of controlled possession.</p> <p>Metric activated, effective 1/1/2017. Data source changed from CCTDR to MDDL effective 8/1/2017</p> <p><i>CCTDR/MDDL</i></p> |
| 275 | Uncontrolled Cell Phone Discoveries | <p>Number of cell phones found on the institution grounds not associated or in possession by an individual inmate that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes the number of phones found. See Glossary for definition of uncontrolled possession.</p> <p>Metric activated, effective 1/1/2017. Data source changed from CCTDR to MDDL effective 8/1/2017</p> <p><i>CCTDR/MDDL</i></p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

| Incidents | | Custody Operations |
|---|---|--|
| 276 | Cell Phone Seizures | <p>Number of cell phones seizures that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes all seizures that occurred on the institution grounds. Count excludes the number of cell phones found and the number of inmates involved. See Glossary for definition of seizure.</p> <p>Metric activated, effective 1/1/2017. Data source changed from CCTDR to MDDL effective 8/1/2017 CCTDR/MDDL</p> |
| 277 | Fighting | <p>Number of inmate fighting related incidents that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count excludes the number of inmates involved.</p> <p>Metric activated, effective 1/1/2017 DIRS</p> |
| 278 | Miscellaneous | <p>Number of incidents that are not accounted for elsewhere in this report that occurred during the reporting period. Totals are taken on the last day of the reporting period.</p> <p>Language change, effective 1/1/2017 DIRS</p> |
| Incidents Involving Mental Health Inmates | | Custody Operations |
| 280 | Number of Non UOF Incidents Involving Mental Health Inmates | <p>Number of non use of force incidents involving mental health level of care inmates that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count excludes all use of force incidents and the number of mental health level of care inmates involved. See Glossary for definition of mental health level of care.</p> <p>Metric activated, effective 1/1/2017 DIRS</p> |
| 281 | % of Non UOF Incidents Involving Mental Health Inmates | <p>Formula: % of Non UOF Incidents Involving Mental Health Inmates" equals "Number of Non UOF Incidents Involving Mental Health Inmates" divide by the sum of "Number of Incidents" minus "Documented Use of Force"</p> <p>Metric activated, effective 1/1/2017 Formula</p> |
| 282 | Number of UOF Incidents Involving Mental Health Inmates | <p>Number of use of force incidents involving mental health level of care inmates that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count includes only use of force incidents. Count excludes the number of mental health level of care inmates involved. See Glossary for definition of mental health level of care and Use of Force.</p> <p>Metric activated, effective 1/1/2017 DIRS</p> |
| 283 | % of UOF Incidents Involving Mental Health Inmates | <p>Formula: "% of UOF Incidents Involving Mental Health Inmates" equals " Number of UOF Incidents Involving Mental Health Inmates" divide by "Documented Use of Force"</p> <p>Metric activated, effective 1/1/2017 Formula</p> |
| Incidents - Audio/Video Surveillance | | Custody Operations |
| 285 | Audio/Video Evidence | <p>Number of reportable incidents as identified in DOM 51030.3 that occurred during the reporting period where Audio/Video Surveillance System (AVSS) recordings were preserved as evidence. Totals are taken on the last day of the reporting period. See Glossary for definition of reportable incidents and Audio/Visual Surveillance System.</p> <p>Metric activated, effective 2/1/2018 Institution Reported</p> |
| 286 | Impact | <p>Number of reportable incidents that occurred during the reporting period where audio/video evidence was a factor in the resulting investigation. Totals are taken on the last day of the reporting period. See Glossary for definition of reportable incidents and investigation.</p> <p>Metric activated, effective 2/1/2018 Institution Reported</p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Incidents - Audio/Video Surveillance

Custody Operations

287 No Impact Number of reportable incidents that occurred during the reporting period where audio/video evidence had no impact on the resulting investigation. Totals are taken on the last day of the reporting period. See Glossary for definition of reportable incidents and investigation.

Metric activated, effective 2/1/2018

Institution Reported

288 No Audio/Video Evidence Number of reportable incidents as identified in DOM 51030.3 that occurred during the reporting period where no Audio/Video Surveillance System (AVSS) recordings were available. Totals are taken on the last day of the reporting period. See Glossary for definition of reportable incidents and Audio/Video Surveillance System.

Metric activated, effective 2/1/2018

Institution Reported

Contraband Surveillance Watch (CSW)

Custody Operations

290 Inmate Placements on CSW Number of inmate placements on Contraband Surveillance Watch (CSW) that occurred during the reporting period. Totals are taken on the last day of the reporting period. Placements are counted in the reporting period in which the placement began regardless of how long the placement lasts. Count excludes the number of days on CSW. See Glossary for definition of CSW.

Language change, effective 1/1/2017

DAI Monthly CSW Data Export to COMPSTAT

291 Count of CSW Items Recovered Number of instances where items were recovered after an inmate was placed on CSW that occurred during the reporting period. Totals are taken on the last day of the reporting period. Each placement where items were found will count as one instance. If no items are recovered, a zero is recorded. Count excludes the number of items recovered.

Language change, effective 1/1/2017

DAI Monthly CSW Data Export to COMPSTAT

292 CSW Search Warrants Requested Number of Search Warrants requested for inmates placed on CSW during the reporting period. Totals are taken on the last day of the reporting period. Count only includes search warrant requests that have been submitted to the District Attorney/Judge for review and approval.

Language change, effective 1/1/2017

DAI Monthly CSW Data Export to COMPSTAT

293 Inmate Placements Exceeding 3 Days On CSW Number of inmate placements on CSW exceeding three consecutive full calendar days that occurred during the reporting period. Totals are taken on the last day of the reporting period. A full calendar day is defined as beginning at 00:00 hours and ending at 23:59 hours. Placements that cross reporting periods will be counted in the reporting period in which the placement ends. Placements exceeding three days may not equal the total number of inmate placements reported in the metric titled "Inmate Placements on CSW" due to when the count begins and ends and at what point the placement is counted.

Language change, effective 1/1/2017

DAI Monthly CSW Data Export to COMPSTAT

294 Inmate Placements Exceeding 6 Days On CSW Number of inmate placements on CSW exceeding six consecutive full calendar days that occurred during the reporting period. Totals are taken on the last day of the reporting period. A full calendar day is defined as beginning at 00:00 hours and ending at 23:59 hours. Placements that cross reporting periods will be counted in the reporting period in which the placement ends. Placements exceeding six days may not equal the total number of inmate placements reported in the metric titled "Inmate Placements on CSW" due to when the count begins and ends and at what point the placement is counted.

Metric activated, effective 1/1/2017

DAI Monthly CSW Data Export to COMPSTAT



Division of Adult Institutions

Counting Rules 2019A January-June 2019

| In Cell Violence/Incidents | | Custody Operations |
|-----------------------------|--|--|
| 296 | "In Cell" Incidents (Between Inmates of Same Race) | <p>Number of "in cell" incidents between inmates of the same race that occurred during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of "in cell".</p> <p>Metric activated, effective 1/1/2017</p> <p><i>Institution Reported</i></p> |
| 297 | "In Cell" Incidents (Between Inmates of Different Race) | <p>Number of "in cell" incidents between inmates of different races that occurred during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of "in cell".</p> <p>Metric activated, effective 1/1/2017</p> <p><i>Institution Reported</i></p> |
| 298 | "In Cell" Battery w/SBI (Between Inmates of Same Race) | <p>Number of "in cell" batteries with serious bodily injury that occurred between inmates of the same race during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of "in cell" and SBI.</p> <p>Metric activated, effective 1/1/2017</p> <p><i>Institution Reported</i></p> |
| 299 | "In Cell" Battery w/SBI (Between Inmates of Different Race) | <p>Number of "in cell" batteries with serious bodily injury that occurred between inmates of different races during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of "in cell" and SBI.</p> <p>Metric activated, effective 1/1/2017</p> <p><i>Institution Reported</i></p> |
| 300 | "In Cell" Homicide (Between Inmates of Same Race) | <p>Number of "in cell" homicides committed by an inmate of the same race that occurred during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of "in cell".</p> <p>Metric activated, effective 1/1/2017</p> <p><i>Institution Reported</i></p> |
| 301 | "In Cell" Homicide (Between Inmates of Different Race) | <p>Number of "in cell" homicides committed by an inmate of a different race that occurred during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of "in cell".</p> <p>Metric activated, effective 1/1/2017</p> <p><i>Institution Reported</i></p> |
| Indecent Exposure Incidents | | Custody Operations |
| 303 | Total Number of IEX Incidents | <p>Number of incidents of Indecent Exposure (IEX) by an inmate that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count excludes the number of inmates involved and all sexual disorderly conduct incidents as these are counted in a separate metric. See Glossary for definition of indecent exposure.</p> <p>Language change, effective 1/1/2017</p> <p><i>Institution Reported</i></p> |
| 304 | Number of D.A. Referrals | <p>Number of incidents of IEX by an inmate referred to the District Attorney's Office during the reporting period. Totals are taken on the last day of the reporting period. Referrals are only counted once in the month the referral occurred. Once a referral has been counted, it will not be counted again regardless of the disposition or outcome of the referral. See Glossary for definition of IEX.</p> <p>Language change, effective 1/1/2017</p> <p><i>Institution Reported</i></p> |
| 305 | Number of D.A. Referrals Accepted | <p>Number of referrals accepted by the District Attorney's Office during the reporting period for incidents of IEX by an inmate. Totals are taken on the last day of the reporting period. See Glossary for definition of IEX.</p> <p>Metric activated, effective 1/1/2017</p> <p><i>Institution Reported</i></p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Indecent Exposure Incidents

Custody Operations

| | | |
|-----|--|---|
| 306 | Number of D.A. Referrals Rejected | Number of referrals rejected by the District Attorney's Office during the reporting period for incidents of IEX by an inmate. Totals are taken on the last day of the reporting period. See Glossary for definition of IEX. Metric activated, effective 1/1/2017 <i>Institution Reported</i> |
| 307 | Number of D.A. Referrals Pending | Number of referrals pending decision by the District Attorney's Office during the reporting period for incidents of IEX by an inmate. Totals are taken on the last day of the reporting period. See Glossary for definition of IEX. Metric activated, effective 1/1/2017 <i>Institution Reported</i> |
| 308 | Number of Sexual Disorderly Conduct Incidents (Masturbation w/o Exposure) | Number of incidents by an inmate of sexual disorderly conduct (masturbation without exposure) that occurred during the reporting period. Totals are taken on the last day of the reporting period. Count excludes indecent exposure incidents as these are counted in a separate metric. See Glossary for definition of sexual disorderly conduct. Language change, effective 1/1/2017 <i>Institution Reported</i> |
| 309 | Number of Documented Mental Health Referrals (Inmates) | Number of documented mental health level of care inmate referrals for indecent exposure and sexual disorderly conduct incidents that occurred during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of mental health level of care, indecent exposure and sexual disorderly conduct. Language change, effective 1/1/2017 <i>Institution Reported</i> |
| 310 | Total Number of Victims | Formula: "Total Number of Victims" equals the sum of "Total Number of Victims - Peace Officer" plus "Total Number of Victims - Non-Prisoner". Metric activated, effective 1/1/2017 <i>Formula</i> |
| 311 | Number of Victims - Peace Officer | Number of Peace Officers subjected to indecent exposure and/or sexual disorderly conduct by an inmate that occurred during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of indecent exposure and sexual disorderly conduct. Metric activated, effective 1/1/2017 <i>Institution Reported</i> |
| 312 | Number of Victims - Non-Prisoner | Number of non-prisoners (excluding Peace Officers) subjected to indecent exposure and/or sexual disorderly conduct by an inmate that occurred during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of indecent exposure and sexual disorderly conduct and non-prisoner. Metric activated, effective 1/1/2017 <i>Institution Reported</i> |

Inmate Appeals

Custody Operations

| | | |
|-----|--|---|
| 314 | Appeals Per 100 Inmates | Formula: "Appeals Per 100 Inmates" equals the sum of "Total Appeals Received - All Levels" divided by "Inmate Count" multiplied by 100. Metric activated, effective 1/1/2016 <i>Formula</i> |
| 315 | Total Appeals Received - All Levels | Formula: "Total Appeals Received - All Levels" equals the sum of "Appeals Received - 1st Level" plus "Appeals Received - 2nd Level" plus "Appeals Received - 3rd Level". Metric activated, effective 1/1/2016 <i>Formula</i> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

| Inmate Appeals | | Custody Operations |
|----------------|--|--|
| 316 | Appeals Received - 1st Level | <p>Number of 1st level non-medical inmate appeals received by the Institution Appeals Office during the reporting period. Totals are taken on the last day of the reporting period. Count does not include appeals that are resubmitted under an existing log number.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| 317 | Appeals Received - 2nd Level | <p>Number of 2nd level non-medical inmate appeals received by the Institution Appeals Office during the reporting period. Totals are taken on the last day of the reporting period. Count does not include appeals that are resubmitted under an existing log number.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| 318 | Appeals Received - 3rd Level | <p>Number of 3rd level non-medical inmate appeals received by the Office of Appeals during the reporting period. Totals are taken on the last day of the reporting period. Count does not include appeals that are resubmitted under an existing log number.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| 319 | Total Screen Outs - All Levels | <p>Formula: "Total Screen Outs - All Levels" equals the sum of "Screen Outs - 1st Level" plus "Screen Outs - 2nd Level" plus "Screen Outs - 3rd Level".</p> <p>Metric activated, effective 1/1/2016</p> <p><i>Formula</i></p> |
| 320 | Screen Outs - 1st Level | <p>Number of 1st level non-medical inmate appeals screened out and returned to the appellant during the reporting period, pursuant to CCR Title 15, Division 3, Section 3084.6. Totals are taken on the last day of the reporting period. Count may include appeals that were resubmitted and screened back out under an existing log number. See Glossary for definition of screen out.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| 321 | Screen Outs - 2nd Level | <p>Number of 2nd level non-medical inmate appeals screened out and returned to the appellant during the reporting period, pursuant to CCR Title 15, Division 3, Section 3084.6. Totals are taken on the last day of the reporting period. Count may include appeals that were resubmitted and screened back out under an existing log number. See Glossary for definition of screen out.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| 322 | Screen Outs - 3rd Level | <p>Number of 3rd level non-medical inmate appeals screened out and returned to the appellant during the reporting period, pursuant to CCR Title 15, Division 3, Section 3084.6. Totals are taken on the last day of the reporting period. Count may include appeals that were resubmitted and screened back out under an existing log number. See Glossary for definition of screen out.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| 323 | Total Appeals Accepted - All Levels | <p>Formula: "Total Appeals Accepted - All Levels" equals the sum of "Total Appeals Received - All Levels" minus "Total Screen Outs - All Levels".</p> <p>Metric activated, effective 1/1/2016</p> <p><i>Formula</i></p> |
| 324 | Total Overdue Appeals - All Levels | <p>Formula: "Total Overdue Appeals - All Levels" equals the sum of "Overdue Appeals (Cumulative) - 1st Level" plus "Overdue Appeals (Cumulative) - 2nd Level" plus "Overdue Appeals (Cumulative) - 3rd Level".</p> <p>Metric activated, effective 1/1/2016</p> <p><i>Formula</i></p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Inmate Appeals

Custody Operations

| | | |
|-----|---|---|
| 325 | Overdue Appeals (Monthly Cumulative) - 1st Level | <p>Number of 1st level non-medical inmate appeals that were considered overdue during the reporting period, pursuant to CCR Title 15, Section 3084.8 Totals are taken on the last day of the reporting period. An appeal is considered overdue on the 31st working day after the appeal is received by the Institution Appeals Coordinator. Count includes appeals that were overdue at any time during the reporting period.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| 326 | Overdue Appeals (Monthly Cumulative) - 2nd Level | <p>Number of 2nd level non-medical inmate appeals that were considered overdue during the reporting period, pursuant to CCR Title 15, Section 3084.8 Totals are taken on the last day of the reporting period. An appeal is considered overdue on the 31st working day after the appeal is received by the Institution Appeals Coordinator. Count includes appeals that were overdue at any time during the reporting period.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| 327 | Overdue Appeals (Monthly Cumulative) - 3rd Level | <p>Number of 3rd level non-medical inmate appeals that were considered overdue during the reporting period, pursuant to CCR Title 15, Section 3084.8 Totals are taken on the last day of the reporting period. An appeal is considered overdue on the 61st working day after the appeal is received by the Third Level Appeals Chief. Count includes appeals that were overdue at any time during the reporting period.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| 328 | Total Overdue ADA Appeals - All Levels | <p>Formula: "Total Overdue Appeals - All Levels" equals the sum of "Overdue ADA Appeals (Cumulative) - 1st Level" plus "Overdue ADA Appeals (Cumulative) - 2nd Level" plus "Overdue ADA Appeals (Cumulative) - 3rd Level".</p> <p>Metric activated, effective 1/1/2016</p> <p><i>Formula</i></p> |
| 329 | Overdue ADA Appeals (Monthly Cumulative) - 1st Level | <p>Number of 1st level non-medical ADA inmate appeals that were considered overdue during the reporting period, pursuant to CCR Title 15, Section 3084.8 Totals are taken on the last day of the reporting period. An appeal is considered overdue on the 31st working day after the appeal is received by the Institution Appeals Coordinator. Count includes appeals that were overdue at any time during the reporting period.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| 330 | Overdue ADA Appeals (Monthly Cumulative) - 2nd Level | <p>Number of 2nd level non-medical ADA inmate appeals that were considered overdue during the reporting period, pursuant to CCR Title 15, Section 3084.8 Totals are taken on the last day of the reporting period. An appeal is considered overdue on the 31st working day after the appeal is received by the Institution Appeals Coordinator. Count includes appeals that were overdue at any time during the reporting period.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| 331 | Overdue ADA Appeals (Monthly Cumulative) - 3rd Level | <p>Number of 3rd level non-medical ADA inmate appeals that were considered overdue during the reporting period, pursuant to CCR Title 15, Section 3084.8. Totals are taken on the last day of the reporting period. An appeal is considered overdue on the 61st working day after the appeal is received by the Third Level Appeals Chief. Count includes appeals that were overdue at any time during the reporting period.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

| Inmate Appeals | | Custody Operations |
|----------------|--|--|
| 332 | Total Modification Orders Issued - All Levels | <p>Formula: "Total Modification Orders Issued - All Levels" equals the sum of "Modification Orders Issued - 1st Level" plus "Modification Orders Issued - 2nd Level" plus "Modification Orders Issued - 3rd Level".</p> <p>Metric activated, effective 1/1/2016</p> <p><i>Formula</i></p> |
| 333 | Modification Orders Issued - 1st Level | <p>Number of 1st level non-medical modification orders issued during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of modification order.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| 334 | Modification Orders Issued - 2nd Level | <p>Number of 2nd level non-medical modification orders issued during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of modification order.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| 335 | Modification Orders Issued - 3rd Level | <p>Number of 3rd level non-medical modification orders issued during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of modification order.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| 336 | Total Appeals Granted - All Levels | <p>Formula: "Total Appeals Granted - All Levels" equals the sum of "Appeals Granted - 1st Level" plus "Appeals Granted - 2nd Level" plus "Appeals Granted - 3rd Level".</p> <p>Metric activated, effective 1/1/2016</p> <p><i>Formula</i></p> |
| 337 | Appeals Granted - 1st Level | <p>Number of 1st level non-medical inmate appeals that were granted during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of granted.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| 338 | Appeals Granted - 2nd Level | <p>Number of 2nd level non-medical inmate appeals that were granted during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of granted.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| 339 | Appeals Granted - 3rd Level | <p>Number of 3rd level non-medical inmate appeals that were granted during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of granted.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| 340 | Total Appeals Granted In Part - All Levels | <p>Formula: "Total Appeals Granted in Part - All Levels" equals the sum of "Appeals Granted in Part - 1st Level" plus "Appeals Granted in Part - 2nd Level" plus "Appeals Granted in Part - 3rd Level".</p> <p>Metric activated, effective 1/1/2016</p> <p><i>Formula</i></p> |
| 341 | Appeals Granted In Part - 1st Level | <p>Number of 1st level non-medical inmate appeals that were granted in part during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of granted in part.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| 342 | Appeals Granted In Part - 2nd Level | <p>Number of 2nd level non-medical inmate appeals that were granted in part during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of granted in part.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Inmate Appeals

Custody Operations

| | | |
|-----|---|--|
| 343 | Appeals Granted In Part - 3rd Level | Number of 3rd level non-medical inmate appeals that were granted in part during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of granted in part. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 344 | Total Appeals Cancelled - All Levels | Formula: "Total Appeals Cancelled - All Levels" equals the sum of "Appeals Cancelled - 1st Level" plus "Appeals Cancelled - 2nd Level" plus "Appeals Cancelled - 3rd Level". Metric activated, effective 1/1/2016 <i>Formula</i> |
| 345 | Appeals Cancelled - 1st Level | Number of 1st level non-medical inmate appeals that were cancelled pursuant to CCR Title 15, Section 3084.6 (c) during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of cancelled. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 346 | Appeals Cancelled - 2nd Level | Number of 2nd level non-medical inmate appeals that were cancelled pursuant to CCR Title 15, Section 3084.6 (c) during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of cancelled. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 347 | Appeals Cancelled - 3rd Level | Number of 3rd level non-medical inmate appeals that were cancelled pursuant to CCR Title 15, Section 3084.6 (c) during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of cancelled. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 348 | Total Appeals Denied - All Levels | Formula: "Total Appeals Denied - All Levels" equals the sum of "Appeals Denied - 1st Level" plus "Appeals Denied - 2nd Level" plus "Appeals Denied - 3rd Level". Metric activated, effective 1/1/2016 <i>Formula</i> |
| 349 | Appeals Denied - 1st Level | Number of 1st level non-medical inmate appeals that were denied during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of denied. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 350 | Appeals Denied - 2nd Level | Number of 2nd level non-medical inmate appeals that were denied during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of denied. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 351 | Appeals Denied - 3rd Level | Number of 3rd level non-medical inmate appeals that were denied during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of denied. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 352 | Total Appeals Withdrawn - All Levels | Formula: "Total Appeals Withdrawn - All Levels" equals the sum of "Appeals Withdrawn - 1st Level" plus "Appeals Withdrawn - 2nd Level" plus "Appeals Withdrawn - 3rd Level". Metric activated, effective 1/1/2016 <i>Formula</i> |
| 353 | Appeals Withdrawn - 1st Level | Number of 1st level non-medical inmate appeals that were withdrawn pursuant to CCR Title 15, Section 3084.6 (f) during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of withdrawn. Metric activated, effective 1/1/2016 <i>IATS</i> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

| Inmate Appeals | | Custody Operations |
|-------------------------|-------------------------------|---|
| 354 | Appeals Withdrawn - 2nd Level | <p>Number of 2nd level non-medical inmate appeals that were withdrawn pursuant to CCR Title 15, Section 3084.6 (f) during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of withdrawn.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| 355 | Appeals Withdrawn - 3rd Level | <p>Number of 3rd level non-medical inmate appeals that were withdrawn pursuant to CCR Title 15, Section 3084.6 (f) during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of withdrawn.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| Inmate Appeal Breakdown | | Custody Operations |
| 357 | Disciplinary | <p>Number of disciplinary related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of disciplinary appeal.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| 358 | Custody/Classification | <p>Number of custody/classification related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of custody/classification appeal.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| 359 | Mail | <p>Number of mail related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of mail appeal.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| 360 | Visiting | <p>Number of visiting related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of visiting appeal.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| 361 | Personal Property | <p>Number of personal property related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of personal property appeal.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| 362 | Case Records | <p>Number of case records related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of case records appeal.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| 363 | Staff Complaints | <p>Number of staff complaint related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of staff complaint appeal.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| 364 | Living Conditions | <p>Number of living conditions related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of living condition appeals.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

| Inmate Appeal Breakdown | | Custody Operations |
|-------------------------|----------------------|--|
| 365 | Legal | <p>Number of legal related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of legal appeals.</p> <p>Metric activated, effective 1/1/2016</p> <p>IATS</p> |
| 366 | Program | <p>Number of program related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of program appeals.</p> <p>Metric activated, effective 1/1/2016</p> <p>IATS</p> |
| 367 | Segregation Hearings | <p>Number of segregation hearing related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of segregation hearing appeals.</p> <p>Metric activated, effective 1/1/2016</p> <p>IATS</p> |
| 368 | Work Incentive | <p>Number of work incentive related inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of work incentive appeals.</p> <p>Metric activated, effective 1/1/2016</p> <p>IATS</p> |
| 369 | Re-Entry | <p>Number of re-entry related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of re-entry appeals.</p> <p>Metric activated, effective 1/1/2016</p> <p>IATS</p> |
| 370 | Transfer | <p>Number of transfer related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for a definition of transfer appeals.</p> <p>Metric activated, effective 1/1/2016</p> <p>IATS</p> |
| 371 | Funds | <p>Number of fund related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for a definition of fund appeals.</p> <p>Metric activated, effective 1/1/2016</p> <p>IATS</p> |
| 372 | ADA | <p>Number of ADA related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for a definition of ADA appeals.</p> <p>Metric activated, effective 1/1/2016</p> <p>IATS</p> |
| 373 | 1824s | <p>Number of CDCR 1824 - Reasonable Modification or Accommodation Requests received during the reporting period. Totals are taken on the last day of the reporting period. An 1824 is not considered an inmate appeal. 1824's are logged into the Inmate Appeals Tracking System for tracking and reporting purposes only.</p> <p>Metric activated, effective 1/1/2016</p> <p>IATS</p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Inmate Appeal Rejection and Cancellation Breakdown

Custody Operations

| | | |
|-----|--|---|
| 375 | Multiple 602-A's - 1st Level | Number of 1st level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(5). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 376 | Multiple 602-A's - 2nd Level | Number of 2nd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(5). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 377 | Multiple 602-A's - 3rd Level | Number of 3rd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(5). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 378 | Lack of Supporting Documents - 1st Level | Number of 1st level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(7). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 379 | Lack of Supporting Documents - 2nd Level | Number of 2nd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(7). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 380 | Lack of Supporting Documents - 3rd Level | Number of 3rd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(7). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 381 | Unrelated Documentation - 1st Level | Number of 1st level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Sections, 3084.6(b)(9). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 382 | Unrelated Documentation - 2nd Level | Number of 2nd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Sections, 3084.6(b)(9). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 383 | Unrelated Documentation - 3rd Level | Number of 3rd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Sections, 3084.6(b)(9). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 384 | Illegible Appeal - 1st Level | Number of 1st level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(10). Totals are taken on the last day of the reporting period. See Glossary for definition of illegible. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 385 | Illegible Appeal - 2nd Level | Number of 2nd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(10). Totals are taken on the last day of the reporting period. See Glossary for definition of illegible. Metric activated, effective 1/1/2016 <i>IATS</i> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

| Inmate Appeal Rejection and Cancellation Breakdown | | Custody Operations |
|--|--|--|
| 386 | Illegible Appeal - 3rd Level | <p>Number of 3rd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(10). Totals are taken on the last day of the reporting period. See Glossary for definition of illegible.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| 387 | Defaced Documents - 1st Level | <p>Number of 1st level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(11). Totals are taken on the last day of the reporting period. See Glossary for definition of defaced.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| 388 | Defaced Documents - 2nd Level | <p>Number of 2nd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(11). Totals are taken on the last day of the reporting period. See Glossary for definition of defaced.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| 389 | Defaced Documents - 3rd Level | <p>Number of 3rd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(11). Totals are taken on the last day of the reporting period. See Glossary for definition of defaced.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| 390 | Incomplete Appeal - 1st Level | <p>Number of 1st level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(13). Totals are taken on the last day of the reporting period.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| 391 | Incomplete Appeal - 2nd Level | <p>Number of 2nd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(13). Totals are taken on the last day of the reporting period.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| 392 | Incomplete Appeal - 3rd Level | <p>Number of 3rd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(13). Totals are taken on the last day of the reporting period.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| 393 | Wrong Form - 1st Level | <p>Number of 1st level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(14). Totals are taken on the last day of the reporting period.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| 394 | Wrong Form - 2nd Level | <p>Number of 2nd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(14). Totals are taken on the last day of the reporting period.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| 395 | Wrong Form - 3rd Level | <p>Number of 3rd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(14). Totals are taken on the last day of the reporting period.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |
| 396 | Other Reasons for Rejection - 1st Level | <p>Number of 1st level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(1), (2), (3), (4), (6), (8), (12), (15), and (16). Totals are taken on the last day of the reporting period.</p> <p>Metric activated, effective 1/1/2016</p> <p><i>IATS</i></p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Inmate Appeal Rejection and Cancellation Breakdown

Custody Operations

| | | |
|-----|--|--|
| 397 | Other Reasons for Rejection - 2nd Level | Number of 2nd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(1), (2), (3), (4), (6), (8), (12), (15), and (16). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 398 | Other Reasons for Rejection - 3rd Level | Number of 3rd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(1), (2), (3), (4), (6), (8), (12), (15), and (16). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 399 | Duplicate Appeal - 1st Level | Number of 1st level non-medical inmate appeals cancelled during the reporting period pursuant to the CCR Title 15, Section 3084.6(c)(2). Totals are taken on the last day of the reporting period. See Glossary for definition of duplicate appeal. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 400 | Duplicate Appeal - 2nd Level | Number of 2nd level non-medical inmate appeals cancelled during the reporting period pursuant to the CCR Title 15, Section 3084.6(c)(2). Totals are taken on the last day of the reporting period. See Glossary for definition of duplicate appeal. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 401 | Duplicate Appeal - 3rd Level | Number of 3rd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(2). Totals are taken on the last day of the reporting period. See Glossary for definition of duplicate appeal. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 402 | Submittal for Another Person - 1st Level | Number of 1st level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Sections, 3084.6(c)(5) and (9). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 403 | Submittal for Another Person - 2nd Level | Number of 2nd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Sections, 3084.6(c)(5) and (9). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 404 | Submittal for Another Person - 3rd Level | Number of 3rd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Sections, 3084.6(c)(5) and (9). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 405 | Time Constraints Not Met - 1st Level | Number of 1st level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(4). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 406 | Time Constraints Not Met - 2nd Level | Number of 2nd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(4). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016 <i>IATS</i> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Inmate Appeal Rejection and Cancellation Breakdown

Custody Operations

| | | |
|-----|---|---|
| 407 | Time Constraints Not Met - 3rd Level | Number of 3rd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(4). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 408 | Failure to Follow Instructions - 1st Level | Number of 1st level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(3). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 409 | Failure to Follow Instructions - 2nd Level | Number of 2nd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(3). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 410 | Failure to Follow Instructions - 3rd Level | Number of 3rd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(3). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 411 | Out of CDCR Jurisdiction - 1st Level | Number of 1st level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(1). Totals are taken on the last day of the reporting period. See Glossary for definition of out of jurisdiction. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 412 | Out of CDCR Jurisdiction - 2nd Level | Number of 2nd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(1). Totals are taken on the last day of the reporting period. See Glossary for definition of out of jurisdiction. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 413 | Out of CDCR Jurisdiction - 3rd Level | Number of 3rd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(1). Totals are taken on the last day of the reporting period. See Glossary for definition of out of jurisdiction. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 414 | Issue Under Appeal Has Been Resolved - 1st Level | Number of 1st level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(11). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 415 | Issue Under Appeal Has Been Resolved - 2nd Level | Number of 2nd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(11). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 416 | Issue Under Appeal Has Been Resolved - 3rd Level | Number of 3rd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(11). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 417 | Failure to Interview/Cooperate - 1st Level | Number of 1st level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(8). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016 <i>IATS</i> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

| Inmate Appeal Rejection and Cancellation Breakdown | | Custody Operations |
|--|--|--|
| 418 | Failure to Interview/Cooperate - 2nd Level | Number of 2nd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(8). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 419 | Failure to Interview/Cooperate - 3rd Level | Number of 3rd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(8). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 420 | Appellant Deceased - 1st Level | Number of 1st level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(7). Totals are taken on the last day of the reporting period. See Glossary for definition of deceased. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 421 | Appellant Deceased - 2nd Level | Number of 2nd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(7). Totals are taken on the last day of the reporting period. See Glossary for definition of deceased. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 422 | Appellant Deceased - 3rd Level | Number of 3rd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(7). Totals are taken on the last day of the reporting period. See Glossary for definition of deceased. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 423 | Appellant/Appeal is Under Advisement or Appeal Restriction - 1st Level | Number of 1st level non-medical inmate appeals under advisement during the reporting period, pursuant to the CCR Title 15, Section 3084.4. Totals are taken on the last day of the reporting period. See Glossary for definition of advisement. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 424 | Appellant/Appeal is Under Advisement or Appeal Restriction - 2nd Level | Number of 2nd level non-medical inmate appeals under advisement during the reporting period, pursuant to the CCR Title 15, Section 3084.4. Totals are taken on the last day of the reporting period. See Glossary for definition of advisement. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 425 | Appellant/Appeal is Under Advisement or Appeal Restriction - 3rd Level | Number of 3rd level non-medical inmate appeals under advisement during the reporting period, pursuant to the CCR Title 15, Section 3084.4. Totals are taken on the last day of the reporting period. See Glossary for definition of advisement. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 426 | Other - 1st Level | Number of 1st level non-medical inmate appeals cancelled, rejected, withdrawn, or screened out during the reporting period, that are not specifically broken out elsewhere in this report. Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016 <i>IATS</i> |
| 427 | Other - 2nd Level | Number of 2nd level non-medical inmate appeals cancelled, rejected, withdrawn, or screened out during the reporting period, that are not specifically broken out elsewhere in this report. Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016 <i>IATS</i> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Inmate Appeal Rejection and Cancellation Breakdown

Custody Operations

| | | |
|-----|-------------------|--|
| 428 | Other - 3rd Level | Number of 3rd level non-medical inmate appeals cancelled, rejected, withdrawn, or screened out during the reporting period, that are not specifically broken out elsewhere in this report. Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016 <i>IATS</i> |
|-----|-------------------|--|

Inmate Appeals - Staff Complaint Audio/Video Surveillance

Custody Operations

| | | |
|-----|--------------------------|--|
| 430 | Audio/Video Evidence | Number of staff complaints filed by inmates during the reporting period, where Audio/Video Surveillance System (AVSS) recordings were preserved as part of the evidence. Totals are taken on the last day of the reporting period. See Glossary for definition of Audio/Video Surveillance System. Metric activated, effective 2/1/2018 <i>Institution Reported</i> |
| 431 | Routine Appeal | Number of staff complaints filed by inmates that were processed as routine appeals during the reporting period where Audio/Video Surveillance System (AVSS) recordings were preserved as part of the evidence. Totals are taken on the last day of the reporting period. See Glossary for definition of staff complaints and Audio/Video Surveillance System. Metric activated, effective 2/1/2018 <i>Institution Reported</i> |
| 432 | Impact - Granted | Number of staff complaint appeals granted during the reporting period where audio/video evidence was a contributing factor in the decision. Totals are taken on the last day of the reporting period. See Glossary for definition of staff complaint and granted. Metric activated, effective 2/1/2018 <i>Institution Reported</i> |
| 433 | Impact - Granted in Part | Number of staff complaint appeals granted in part during the reporting period where audio/video evidence was a contributing factor in the decision. Totals are taken on the last day of the reporting period. See Glossary for definition of staff complaint and granted in part. Metric activated, effective 2/1/2018 <i>Institution Reported</i> |
| 434 | Impact - Cancelled | Number of staff complaint appeals cancelled during the reporting period where audio/video evidence was a contributing factor in the decision. Totals are taken on the last day of the reporting period. See Glossary for definition of staff complaint and cancelled. Metric activated, effective 2/1/2018 <i>Institution Reported</i> |
| 435 | Impact - Denied | Number of staff complaint appeals denied during the reporting period where audio/video evidence was a contributing factor in the decision. Totals are taken on the last day of the reporting period. See Glossary for definition of staff complaint and denied. Metric activated, effective 2/1/2018 <i>Institution Reported</i> |
| 436 | Impact - Withdrawn | Number of staff complaint appeals withdrawn during the reporting period where audio/video evidence was a contributing factor in the decision. Totals are taken on the last day of the reporting period. See Glossary for definition of staff complaint and withdrawn. Metric activated, effective 2/1/2018 <i>Institution Reported</i> |
| 437 | Impact - None | Number of staff complaint appeals closed during the reporting period where audio/video evidence had no impact in the decision. Count includes appeals that were granted, granted in part, cancelled, denied, and withdrawn. Totals are taken on the last day of the reporting period. See Glossary for definition of staff complaint, granted, granted in part, cancelled, denied and withdrawn. Metric activated, effective 2/1/2018 <i>Institution Reported</i> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Inmate Appeals - Staff Complaint Audio/Video Surveillance

Custody Operations

| | | |
|-----|--|---|
| 438 | Appeal Inquiry | Number of staff complaints filed by inmates that were processed as an appeal inquiry during the reporting period where Audio/Video Surveillance System (AVSS) recordings were preserved as part of the evidence. Totals are taken on the last day of the reporting period. See Glossary for definition of staff complaints and Audio/Video Surveillance System. Metric activated, effective 2/1/2018 <i>Institution Reported</i> |
| 439 | Impact | Number of appeal inquiries conducted during the reporting period where audio/video evidence was a factor in the resulting investigation. Totals are taken on the last day of the reporting period. See Glossary for definition of investigation. Metric activated, effective 2/1/2018 <i>Institution Reported</i> |
| 440 | No Impact | Number of appeal inquiries conducted during the reporting period where audio/video evidence had no impact on the resulting investigation. Totals are taken on the last day of the reporting period. See Glossary for definition of investigation. Metric activated, effective 2/1/2018 <i>Institution Reported</i> |
| 441 | Allegation Inquiry | Number of staff complaints filed by inmates that were processed as an allegation inquiry during the reporting period where Audio/Video Surveillance System (AVSS) recordings were preserved as part of the evidence. Totals are taken on the last day of the reporting period. See Glossary for definition of staff complaints, allegation inquiry and Audio/Video Surveillance System. Metric activated, effective 2/1/2018 <i>Institution Reported</i> |
| 442 | Impact | Number of allegation inquiries conducted during the reporting period where audio/video evidence was a factor in the resulting investigation. Totals are taken on the last day of the reporting period. See Glossary for definition of allegation inquiry and investigation. Metric activated, effective 2/1/2018 <i>Institution Reported</i> |
| 443 | No Impact | Number of allegation inquiries conducted during the reporting period where audio/video evidence had no impact on the resulting investigation. Totals are taken on the last day of the reporting period. See Glossary for definition of allegation inquiry and investigation. Metric activated, effective 2/1/2018 <i>Institution Reported</i> |
| 444 | Referred to Office of Internal Affairs | Number of staff complaints filed by inmates that were referred to the Office of Internal Affairs during the reporting period where Audio/Video Surveillance System (AVSS) recordings were preserved as part of the evidence. Totals are taken on the last day of the reporting period. See Glossary for definition of staff complaints and Audio/Video Surveillance System. Metric activated, effective 2/1/2018 <i>Institution Reported</i> |
| 445 | No Audio/Video Evidence | Number of staff complaints filed by inmates during the reporting period, where no Audio/Video Surveillance System (AVSS) recordings were available. Totals are taken on the last day of the reporting period. See Glossary for definition of staff complaints and Audio/Video Surveillance System. Metric activated, effective 2/1/2018 <i>Institution Reported</i> |

Inmate Work Assignments

Programs

| | | |
|-----|-----------------------|---|
| 448 | Available Assignments | Formula: Full Time Assignments + Half Time Assignments = Total Available Assignments. <i>Formula</i> |
| 449 | Full Time | Sum of Full Time Quotas for each Inmate Work Training Incentive Program (IWTIP) code, with the exception of 'Bridging' excluded after 1/1/2009. This data is received from the DDPS (JAWIP) database on the last Friday of the reporting month. This data is a monthly snapshot. <i>SOMS</i> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Inmate Work Assignments

Programs

| | | |
|-----|---|---|
| 450 | Half Time | Sum of Half Time Quotas for each Inmate Work Training Incentive Program (IWTIP) code, with the exception of 'Bridging' excluded after 1/1/2009. This data is received from the DDPS (JAWIP) database on the last Friday of the reporting month. This data is a monthly snapshot. <i>SOMS</i> |
| 451 | Eligible Inmates | Offender's with a work status of FUL, Haf, or UN and a WorkGroup Code is in ('A1', 'A2', 'F', 'B') and the offender is not in 'ASU', 'EOP', 'DMH', 'SEO', or 'RC'. This data is received on the last Friday of the reporting month. This data is a monthly snapshot. <i>SOMS</i> |
| 452 | Inmates Assigned | Formula: Full Time Assignments + Total Half Time Assignments = Total Inmates Assigned. <i>Formula</i> |
| 453 | Full Time | Offenders WorkGroupCode is in ('A1', 'F') AND the offenders WorkStatus = 'FUL' and the offender is not in the 'RC' HousingProgramAssignment. This data is received from the DDPS (JAWIP) database on the last Friday of the reporting month. This data is a monthly snapshot. <i>SOMS</i> |
| 454 | Half Time | Formula: One Half Time Assignments + Two Half Time Assignments = Total Half Time Assignments. Offender's WorkGroupCode is in ('A1', 'A2', 'B') and the offender's WorkStatus is 'HAF' and the offender is not in the 'RC' HousingProgramAssignment. <i>Formula</i> |
| 455 | One Half Time Assignment | Above criteria, offenders who are assigned exactly 1 job with the job status of 'HAF'. This data is received from the DDPS (JAWIP) database on the last Friday of the reporting month. This data is a monthly snapshot. <i>SOMS</i> |
| 456 | Two Half Time Assignments | Above criteria, offenders who are assigned exactly 2 jobs with the job status of 'HAF'. This data is received from the DDPS (JAWIP) database on the last Friday of the reporting month. This data is a monthly snapshot. <i>SOMS</i> |
| 457 | Vacant Work Assignments | Formula: Difference of: "Available Assignments" - "Inmates Assigned" = Vacant Work Assignments. <i>Formula</i> |
| 458 | Percent of Filled Work Assignments | Formula: "Inmates Assigned" ÷ "Available Assignments" = Percent of Filled Work Assignments. <i>Formula</i> |
| 459 | Percent of Filled Work Assignments - Full Time | The Percent of Filled Full-Time Work Assignments = Number of Full-Time Assigned Inmates divided by the Number of Full-Time Assignments <i>Formula</i> |
| 460 | Percentage of Filled Work Assignments - Half-Time | The Percent of Filled Half-Time Work Assignments = Number of Inmates with One Half Time Assignment, Plus Two times the number of inmates with two half-time assignments, divided by the Number of Half-Time Assignments available" (One-Half-Time Inmates + (2 * Two-Half-Time Inmates)) / Total Half-Time Assignments. <i>Formula</i> |
| 461 | Inmates Unassigned | Formula: Sum of: "Voluntary" + "Involuntary" = Inmates Unassigned. <i>Formula</i> |
| 462 | Voluntary | Offender's PrivilegeGroupCode = 'C' and offender's WorkGroupCode = 'C'. This data is received from the DDPS (JAWIP) database on the last Friday of the reporting month. This data is a monthly snapshot. <i>SOMS</i> |
| 463 | Involuntary | Offender's WorkStatus = 'UN'. This data is received from the DDPS (JAWIP) database on the last Friday of the reporting month. This data is a monthly snapshot. <i>SOMS</i> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Inmate Work Assignments

Programs

| | | |
|-----|--------------------------------|---|
| 464 | Inmates on Waiting List | Enter the number of inmates on a waiting list for placement into a work assignment on the last counting day of the month. This value can be determined by contacting Inmate Assignments and accessing the "Inmate Work/Training Assignments Monthly Report. Determine the total by referring to the section of the report titled "Part B Category Breakdown of Eligible but Unassigned Inmates" and then record the total as listed in the final line "Part B-Total Inmates". |
|-----|--------------------------------|---|

Institution Reported

Prison Industry Authority

Programs

| | | |
|-----|------------------------|--|
| 466 | PIA Assignments | This data is received from the DDPS (JAWIP) database on the last Friday of the reporting month. This data is a monthly snapshot. |
|-----|------------------------|--|

SOMS

| | | |
|-----|-------------------------------|--|
| 467 | PIA Assignments Filled | This data is received from the DDPS (JAWIP) database on the last Friday of the reporting month. This data is a monthly snapshot. |
|-----|-------------------------------|--|

SOMS

| | | |
|-----|------------------------------------|---|
| 468 | % of PIA Assignments Filled | Formula: PIA Assignments Filled ÷ PIA Assignments = Percent of PIA Assignments Filled. [Budget Display Act requirement performance measure] |
|-----|------------------------------------|---|

Formula

| | | |
|-----|-----------------------|--|
| 469 | PIA Lost Hours | PIA Lost Hours = 'Custody' Lost Hours + 'Ducats' Lost Hours + 'Industry Related' Lost Hours + 'Other' Lost Hours + 'Vacant' Lost Hours. This data is retrieved from the PIA Headquarters Lost Hours Report. *Please note that SAC/COR's Lost Hours include the following calculations from additional columns on the PIA Headquarters provided Lost Hours Report: |
|-----|-----------------------|--|

•SAC = SAC row + CO row - PIA HQ

•COR = COR row + OTD row

Formula

| | | |
|-----|---------------------------------|---|
| 470 | (Custody) PIA Lost Hours | Custody Lost Hours include: Lockdowns, restricted movement, recalls, CDC 115, disciplinary hearings, confined to quarters, administrative segregation, gate passes/awaiting or pulled and late releases. This data is retrieved from the 'Custody' column on the PIA Lost Hours Report. |
|-----|---------------------------------|---|

PIA Headquarters Lost Hours Report

| | | |
|-----|--------------------------------|---|
| 471 | (Ducats) PIA Lost Hours | Ducat Lost Hours include: Medical, ETO time/visits, priority ducats, on the job injury, vocational education break, out to court and lay-in. This data is retrieved from the 'Ducat' column on the PIA Lost Hours Report. |
|-----|--------------------------------|---|

PIA Headquarters Lost Hours Report

| | | |
|-----|--|---|
| 472 | (Industry Related) PIA Lost Hours | Industry-Related Lost Hours include: Material shortages, orders complete (lack of work), supervisor absence, inventory and power outages/equipment downtime. This data is retrieved from the 'Ind-Rel' column on the PIA Lost Hours Report. |
|-----|--|---|

PIA Headquarters Lost Hours Report

| | | |
|-----|-------------------------------|--|
| 473 | (Other) PIA Lost Hours | Other Lost Hours include: Classification hearings, unexcused absence, inclement weather (fog & heat), inappropriate clothing and other. This data is retrieved from the 'Other' column on the PIA Lost Hours Report. |
|-----|-------------------------------|--|

PIA Headquarters Lost Hours Report

| | | |
|-----|--------------------------------|---|
| 474 | (Vacant) PIA Lost Hours | Vacant Lost Hours: Amount of lost hours due to vacant inmate positions. This data is retrieved from the 'Vacant' column on the PIA Lost Hours Report. |
|-----|--------------------------------|---|

PIA Headquarters Lost Hours Report

Inmate Leisure Time Activity Groups (ILTAG's)

Programs

| | | |
|-----|-------------------------------------|---|
| 476 | Total Number of ILTAG Groups | Enter the total number of ILTAG groups at the institution that "meet" the criteria outlined in the CCR Title 15 section 3233. This should be the total number of groups regardless of whether they meet during the reporting month. |
|-----|-------------------------------------|---|

Institution Reported

| | | |
|-----|--------------------------------------|---|
| 477 | Total Number of Meetings Held | Formula: Sum of: "AA" + "NA" + "Veteran's" + "Other" = Total Number of Meetings Held. |
|-----|--------------------------------------|---|

Formula



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Inmate Leisure Time Activity Groups (ILTAG's)

Programs

| | | |
|-----|---|---|
| 478 | Total Number of Attendees (for all meetings held) | Formula: Sum of: "AA" + "NA" + "Veteran's" + "Other" = Total Number of Attendees. <i>Formula</i> |
| 479 | Total Number of Contact Hours (for all meetings held) | Formula: Sum of Contact Hours for: "AA" + "NA" + "Veteran's" + "Other" = Total Number of Contact Hours. <i>Formula</i> |

Alcoholics Anonymous (AA)

Programs

| | | |
|-----|-------------------------------|--|
| 481 | Total Number of Meetings Held | Enter the total number of meetings held during the reporting period. Count only meetings held NOT those scheduled. <i>Institution Reported</i> |
| 482 | Total Number of Attendees | Enter the total number of AA meeting attendees as of the last day of the monthly reporting period. **Count each inmate every time the program meets. Multiple counts of inmates will occur. <i>Institution Reported</i> |
| 483 | Total Meeting Hours | Enter the total meeting hours for this ILTAG group. Sum the total number of hours this group met for the month. If there were 4 meetings at 2 hours each, you would enter 8 Total Meeting Hours. If the meeting hours varied for the month, add them together, i.e. Meeting A = 1.5 hours, Meeting B = 2.0 hours and Meeting C = 3.0 hours. For this example, summed, the Total Meeting Hours would then be reported here as 6.5. <i>Institution Reported</i> |
| 484 | Contact Hours | This is a formula driven line based on the following: Total AA Group monthly meeting attendees x Total meeting hours = Total Contact Hours. <i>Formula</i> |

Narcotics Anonymous (NA)

Programs

| | | |
|-----|-------------------------------|--|
| 486 | Total Number of Meetings Held | Enter the total number of meetings held during the reporting period. Count only meetings held NOT those scheduled. <i>Institution Reported</i> |
| 487 | Total Number of Attendees | Enter the total number of NA meeting attendees as of the last day of the monthly reporting period. **Count each inmate every time the program meets. Multiple counts of inmates will occur. <i>Institution Reported</i> |
| 488 | Total Meeting Hours | Enter the total meeting hours for this ILTAG group. Sum the total number of hours this group met for the month. If there were 4 meetings at 2 hours each, you would enter 8 Total Meeting Hours. If the meeting hours varied for the month, add them together, i.e. Meeting A = 1.5 hours, Meeting B = 2.0 hours and Meeting C = 3.0 hours. For this example, summed, the Total Meeting Hours would then be reported here as 6.5. <i>Institution Reported</i> |
| 489 | Contact Hours | This is a formula driven line based on the following: Total NA monthly meeting attendees x Total meeting hours = Total Contact Hours. <i>Formula</i> |

Veteran's Group

Programs

| | | |
|-----|-------------------------------|--|
| 491 | Total Number of Meetings Held | Enter the total number of meetings held during the reporting period. Count only meetings held NOT those scheduled. <i>Institution Reported</i> |
| 492 | Total Number of Attendees | Enter the total number of Veteran's Groups meeting attendees as of the last day of the monthly reporting period. **Count each inmate every time the program meets. Multiple counts of inmates will occur. <i>Institution Reported</i> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Veteran's Group

Programs

493 Total Meeting Hours Enter the total meeting hours for this ILTAG group. Sum the total number of hours this group met for the month. If there were 4 meetings at 2 hours each, you would enter 8 Total Meeting Hours. If the meeting hours varied for the month, add them together, i.e. Meeting A = 1.5 hours, Meeting B = 2.0 hours and Meeting C = 3.0 hours. For this example, summed, the Total Meeting Hours would then be reported here as 6.5.

Institution Reported

494 Contact Hours This is a formula driven line based on the following: Total Veteran's Group monthly meeting attendees x Total meeting hours = Total Contact Hours.

Formula

All Other ILTAG's

Programs

496 Total Number of Meetings Held Enter the total number of meetings held during the reporting period. Count only meetings held NOT those scheduled.

Institution Reported

497 Total Number of Attendees Enter the total number of Other ILTAG meeting attendees as of the last day of the monthly reporting period.

****Count each inmate every time the program meets. Multiple counts of inmates will occur.**

Institution Reported

498 Total Meeting Hours Enter the total meeting hours for this ILTAG group. Sum the total number of hours this group met for the month. If there were 4 meetings at 2 hours each, you would enter 8 Total Meeting Hours. If the meeting hours varied for the month, add them together, i.e. Meeting A = 1.5 hours, Meeting B = 2.0 hours and Meeting C = 3.0 hours. For this example, summed, the Total Meeting Hours would then be reported here as 6.5.

Institution Reported

499 Contact Hours This is a formula driven line based on the following: Total 'Other' Group monthly meeting attendees x Total meeting hours = Total Contact Hours.

Formula

Budget Allotment (Program 25)

Administration

502 Allotment SUSPENDED UNTIL ADMINISTRATIVE WORKGROUP REALIGNS THIS SECTION. This represents the Program 25 monthly Net Total under the Budget column, taken from the CDCR Business Intelligence (BI) Budget Report in BIS. The CDCR BI Budget Report is accessed through the following sequence of tabs: Financial Reporting / Budget Reporting / Display CDCR BI Budget Report. Note: This data is calculated as a Fiscal Year to Date (FYTD) total, and represents the last months Budget Allotment plus any additional Budget Allotments that may be received during the reporting month.

BIS

503 Surplus/Deficit SUSPENDED UNTIL ADMINISTRATIVE WORKGROUP REALIGNS THIS SECTION. This represents the Program 25 monthly Net Total under the Remaining Budget column, taken from the CDCR Business Intelligence (BI) Budget Report in BIS. The CDCR BI Budget Report is accessed through the following sequence of tabs: Financial Reporting / Budget Reporting / Display CDCR BI Budget Report. Note: This data is calculated as the Budget Allotment minus ("YTD Actuals" + "Encumbrances"). Surpluses are indicated as a positive number, and deficits are indicated as a negative number.

BIS

Budget Allotment (Program 45)

Administration

505 Allotment SUSPENDED UNTIL ADMINISTRATIVE WORKGROUP REALIGNS THIS SECTION. This represents the Program 45 monthly Net Total under the Budget column, taken from the CDCR Business Intelligence (BI) Budget Report in BIS. The CDCR BI Budget Report is accessed through the following sequence of tabs: Financial Reporting / Budget Reporting / Display CDCR BI Budget Report. Note: This data is calculated as a Fiscal Year to Date (FYTD) total, and represents the last months Budget Allotment plus any additional Budget Allotments that may be received during the reporting month.

BIS



Division of Adult Institutions

Counting Rules 2019A January-June 2019

| Budget Allotment (Program 45) | | Administration |
|--------------------------------|---|--|
| 506 | Surplus/Deficit | <p>SUSPENDED UNTIL ADMINISTRATIVE WORKGROUP REALIGNS THIS SECTION. This represents the Program 45 monthly Net Total under the Remaining Budget column, taken from the CDCR Business Intelligence (BI) Budget Report in BIS. The CDCR BI Budget Report is accessed through the following sequence of tabs: Financial Reporting / Budget Reporting / Display CDCR BI Budget Report. Note: This data is calculated as the Budget Allotment minus ("YTD Actuals" + "Encumbrances"). Surpluses are indicated as a positive number, and deficits are indicated as a negative number.</p> <p>BIS</p> |
| Personnel Vacancies (Category) | | Administration |
| 508 | Total of all personnel in filled positions. | <p>Formula: Sum of: Filled Budgeted Positions ("Custody" + "Other Custody" + "Education" + "Support Staff" + "Trades" + "Management") = Total of all Personnel in Filled Positions.</p> <p>**Excludes Medical Personnel</p> <p>Formula</p> |
| Custody (Uniformed) | | Administration |
| 510 | Budgeted Positions | <p>Enter the number of budgeted Custody positions (Uniformed) with Class Codes 9662 (Correctional Officer), 9659 (Correctional Sergeant), 9656 (Correctional Lieutenant), 8976 (Fire Chief), and 9001 (Fire Captain); of CBID's R06, S06, U06 and E06, during the reporting period.</p> <p>Institution Reported</p> |
| 511 | Pending 607's - Net | <p>This reflects the number of positions from pending 607 activity not currently reflected on the SCO Tab. This information will be reported by Personnel, Personnel Assignments or the Budget Analyst. A positive number reflects establishing positions and a negative number reflects abolishing positions. Note: Pending 607's are not automatically calculated into the next month's Budgeted Positions total since each month is an independent snapshot "in time". Pending 607's should be monitored continuously, and reconciled monthly by referencing the SCO Tab, and most recent Budget Management Branch Position Recap.</p> <p>Institution Reported</p> |
| 512 | Adjusted Budgeted Total | <p>Formula: Sum of: "Budgeted Positions" + "Pending 607's - Net" = Adjusted Budgeted Positions.</p> <p>Formula</p> |
| 513 | Filled Budgeted Positions | <p>Enter the number of filled budgeted Custody positions (Uniformed) with Class Codes 9662 (Correctional Officer), 9659 (Correctional Sergeant), 9656 (Correctional Lieutenant), 8976 (Fire Chief), and 9001 (Fire Captain); of CBID's R06, S06, U06 and E06, during the reporting period.</p> <p>Institution Reported</p> |
| 514 | 920 Blanket | <p>Count the staff in the 920 Blanket that are included in the total number of staff for vacancy reporting purposes. 920 Blanket refers to those staff on Long Term Sick status.</p> <p>Institution Reported</p> |
| 515 | 918 Blanket | <p>Count the staff in the 918 Blanket that are included in the total number of staff for vacancy reporting purposes. 918 Blanket refers to those staff who are being paid when there is not an authorizing position.</p> <p>Institution Reported</p> |
| 516 | True Vacancy Total | <p>Formula: Difference of: "Adjusted Budgeted Total" - ("Filled Budgeted Positions" + "920 Blanketed Positions" + "918 Blanketed Positions") = True Vacancy Total.</p> <p>Formula</p> |
| 517 | 902 Blanket | <p>Display Purpose Only - (Not factored into formula). Count the staff in the 902 Blanket. 902 Blanket refers to those staff who are being paid as temporary employees.</p> <p>Institution Reported</p> |
| 518 | 916 Blanket | <p>Display Purpose Only - (Not factored into formula). Count the staff in the 916 Blanket. 916 Blanket refers to retired annuitant staff.</p> <p>Institution Reported</p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Custody (Uniformed)

Administration

| | | |
|-----|------------------------------|--|
| 519 | Staff on Other Type of Leave | Display Purpose Only - (Not factored into formula). Other off pay status employees in established positions. Examples include Long Term Sick employees still in their budgeted position numbers and employees out on Military Leave still in their budgeted position numbers, etc. This information will be reported by Personnel, Personnel Assignment or the Budget Analyst. |
|-----|------------------------------|--|

Institution Reported

Other Custody (Non-Uniformed)

Administration

| | | |
|-----|--------------------|--|
| 521 | Budgeted Positions | Enter the number of budgeted Custody positions (Non-Uniformed) with remaining CBID's R06, S06, U06 and E06, excluding the above identified uniformed custody staff, during the reporting period. |
|-----|--------------------|--|

Institution Reported

| | | |
|-----|---------------------|--|
| 522 | Pending 607's - Net | This reflects the number of positions from pending 607 activity not currently reflected on the SCO Tab. This information will be reported by Personnel, Personnel Assignments or the Budget Analyst. A positive number reflects establishing positions and a negative number reflects abolishing positions. Note: Pending 607's are not automatically calculated into the next month's Budgeted Positions total since each month is an independent snapshot "in time". Pending 607's should be monitored continuously, and reconciled monthly by referencing the SCO Tab, and most recent Budget Management Branch Position Recap. |
|-----|---------------------|--|

Institution Reported

| | | |
|-----|-------------------------|--|
| 523 | Adjusted Budgeted Total | Formula: Sum of: "Budgeted Positions" + "Pending 607's - Net" = Adjusted Budgeted Positions. |
|-----|-------------------------|--|

Formula

| | | |
|-----|---------------------------|---|
| 524 | Filled Budgeted Positions | Enter the number of filled budgeted Custody positions (Non-Uniformed) with remaining CBID's R06, S06, U06 and E06, excluding the above identified uniformed custody staff, during the reporting period. |
|-----|---------------------------|---|

Institution Reported

| | | |
|-----|-------------|---|
| 525 | 920 Blanket | Count the staff in the 920 Blanket that are included in the total number of staff for vacancy reporting purposes. 920 Blanket refers to those staff on Long Term Sick status. |
|-----|-------------|---|

Institution Reported

| | | |
|-----|-------------|---|
| 526 | 918 Blanket | Count the staff in the 918 Blanket that are included in the total number of staff for vacancy reporting purposes. 918 Blanket refers to those staff who are being paid when there is not an authorizing position. |
|-----|-------------|---|

Institution Reported

| | | |
|-----|--------------------|---|
| 527 | True Vacancy Total | Formula: Difference of: "Adjusted Budgeted Total" - ("Filled Budgeted Positions" + "920 Blanketed Positions" + "918 Blanketed Positions") = True Vacancy Total. |
|-----|--------------------|---|

Formula

| | | |
|-----|-------------|--|
| 528 | 902 Blanket | Display Purpose Only - (Not factored into formula). Count the staff in the 902 Blanket. 902 Blanket refers to those staff who are being paid as temporary employees. |
|-----|-------------|--|

Institution Reported

| | | |
|-----|-------------|--|
| 529 | 916 Blanket | Display Purpose Only - (Not factored into formula). Count the staff in the 916 Blanket. 916 Blanket refers to retired annuitant staff. |
|-----|-------------|--|

Institution Reported

| | | |
|-----|------------------------------|--|
| 530 | Staff on Other Type of Leave | Display Purpose Only - (Not factored into formula). Other off pay status employees in established positions. Examples include Long Term Sick employees still in their budgeted position numbers and employees out on Military Leave still in their budgeted position numbers, etc. This information will be reported by Personnel, Personnel Assignment or the Budget Analyst. |
|-----|------------------------------|--|

Institution Reported

Education Positions

Administration

| | | |
|-----|--------------------|---|
| 532 | Budgeted Positions | Enter the number of all budgeted positions in Education, as well as Managers and Support Staff. |
|-----|--------------------|---|

Institution Reported



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Education Positions

Administration

533 Pending 607's - Net This reflects the number of positions from pending 607 activity not currently reflected on the SCO Tab. This information will be reported by Personnel, Personnel Assignments or the Budget Analyst. A positive number reflects establishing positions and a negative number reflects abolishing positions. Note: Pending 607's are not automatically calculated into the next month's Budgeted Positions total since each month is an independent snapshot "in time". Pending 607's should be monitored continuously, and reconciled monthly by referencing the SCO Tab, and most recent Budget Management Branch Position Recap.

Institution Reported

534 Adjusted Budgeted Total Formula: Sum of: "Budgeted Positions" + "Pending 607's - Net" = Adjusted Budgeted Positions.

Formula

535 Filled Budgeted Positions Enter the number of all filled budgeted positions in Education, as well as Managers and Support Staff.

Institution Reported

536 920 Blanket Count the staff in the 920 Blanket that are included in the total number of staff for vacancy reporting purposes. 920 Blanket refers to those staff on Long Term Sick status.

Institution Reported

537 918 Blanket Count the staff in the 918 Blanket that are included in the total number of staff for vacancy reporting purposes. 918 Blanket refers to those staff who are being paid when there is not an authorizing position.

Institution Reported

538 True Vacancy Total Formula: Difference of: "Adjusted Budgeted Total" - ("Filled Budgeted Positions" + "920 Blanketed Positions" + "918 Blanketed Positions") = True Vacancy Total.

Formula

539 902 Blanket Display Purpose Only - (Not factored into formula). Count the staff in the 902 Blanket. 902 Blanket refers to those staff who are being paid as temporary employees.

Institution Reported

540 916 Blanket Display Purpose Only - (Not factored into formula). Count the staff in the 916 Blanket. 916 Blanket refers to retired annuitant staff.

Institution Reported

541 Staff on Other Type of Leave Display Purpose Only - (Not factored into formula). Other off pay status employees in established positions. Examples include Long Term Sick employees still in their budgeted position numbers and employees out on Military Leave still in their budgeted position numbers, etc. This information will be reported by Personnel, Personnel Assignment or the Budget Analyst.

Institution Reported

Support Staff

Administration

543 Budgeted Positions Enter the number of all Support Staff budgeted positions, excluding Support Staff positions from Medical and Education. A clarification to the Jan 2011 decision to switch to self-reported data noted that certain classifications should be counted as Support Staff even if their bargaining unit would normally include them in another category. Chaplains (Catholic Chaplain, Jewish Chaplain, Muslim Chaplain, Native American Spirit Leader, Protestant Chaplain) will be categorized as Support Staff rather than Medical staff. Support Services Assistant (Interpreter) will be categorized as Support staff rather than Medical staff.

Institution Reported

544 Pending 607's - Net This reflects the number of positions from pending 607 activity not currently reflected on the SCO Tab. This information will be reported by Personnel, Personnel Assignments or the Budget Analyst. A positive number reflects establishing positions and a negative number reflects abolishing positions. Note: Pending 607's are not automatically calculated into the next month's Budgeted Positions total since each month is an independent snapshot "in time". Pending 607's should be monitored continuously, and reconciled monthly by referencing the SCO Tab, and most recent Budget Management Branch Position Recap.

Institution Reported



Division of Adult Institutions

Counting Rules 2019A January-June 2019

| Support Staff | | Administration |
|---------------|-------------------------------------|---|
| 545 | Adjusted Budgeted Total | Formula: Sum of: "Budgeted Positions" + "Pending 607's - Net" = Adjusted Budgeted Positions. <i>Formula</i> |
| 546 | Filled Budgeted Positions | Enter the number of all filled Support Staff budgeted positions, excluding Support Staff positions from Medical and Education. <i>Institution Reported</i> |
| 547 | 920 Blanket | Count the staff in the 920 Blanket that are included in the total number of staff for vacancy reporting purposes. 920 Blanket refers to those staff on Long Term Sick status. <i>Institution Reported</i> |
| 548 | 918 Blanket | Count the staff in the 918 Blanket that are included in the total number of staff for vacancy reporting purposes. 918 Blanket refers to those staff who are being paid when there is not an authorizing position. <i>Institution Reported</i> |
| 549 | True Vacancy Total | Formula: Difference of: "Adjusted Budgeted Total" - ("Filled Budgeted Positions" + "920 Blanketed Positions" + "918 Blanketed Positions") = True Vacancy Total. <i>Formula</i> |
| 550 | 902 Blanket | Display Purpose Only - (Not factored into formula). Count the staff in the 902 Blanket. 902 Blanket refers to those staff who are being paid as temporary employees. <i>Institution Reported</i> |
| 551 | 916 Blanket | Display Purpose Only - (Not factored into formula). Count the staff in the 916 Blanket. 916 Blanket refers to retired annuitant staff. <i>Institution Reported</i> |
| 552 | Staff on Other Type of Leave | Display Purpose Only - (Not factored into formula). Other off pay status employees in established positions. Examples include Long Term Sick employees still in their budgeted position numbers and employees out on Military Leave still in their budgeted position numbers, etc. This information will be reported by Personnel, Personnel Assignment or the Budget Analyst. <i>Institution Reported</i> |
| Trades | | Administration |
| 554 | Budgeted Positions | Enter the number of all budgeted positions in Trades (examples include: electricians, painters, plumbers, stationary engineers, etc.). <i>Institution Reported</i> |
| 555 | Pending 607's - Net | This reflects the number of positions from pending 607 activity not currently reflected on the SCO Tab. This information will be reported by Personnel, Personnel Assignments or the Budget Analyst. A positive number reflects establishing positions and a negative number reflects abolishing positions. Note: Pending 607's are not automatically calculated into the next month's Budgeted Positions total since each month is an independent snapshot "in time". Pending 607's should be monitored continuously, and reconciled monthly by referencing the SCO Tab, and most recent Budget Management Branch Position Recap. <i>Institution Reported</i> |
| 556 | Adjusted Budgeted Total | Formula: Sum of: "Budgeted Positions" + "Pending 607's - Net" = Adjusted Budgeted Positions. <i>Formula</i> |
| 557 | Filled Budgeted Positions | Enter the number of all filled budgeted positions in Trades (examples include: electricians, painters, plumbers, stationary engineers, etc.). <i>Institution Reported</i> |
| 558 | 920 Blanket | Count the staff in the 920 Blanket that are included in the total number of staff for vacancy reporting purposes. 920 Blanket refers to those staff on Long Term Sick status. <i>Institution Reported</i> |
| 559 | 918 Blanket | Count the staff in the 918 Blanket that are included in the total number of staff for vacancy reporting purposes. 918 Blanket refers to those staff who are being paid when there is not an authorizing position. <i>Institution Reported</i> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

| Trades | | Administration |
|------------|------------------------------|--|
| 560 | True Vacancy Total | <p>Formula: Difference of: "Adjusted Budgeted Total" - ("Filled Budgeted Positions" + "920 Blanketed Positions" + "918 Blanketed Positions") = True Vacancy Total.</p> <p>Formula</p> |
| 561 | 902 Blanket | <p>Display Purpose Only - (Not factored into formula). Count the staff in the 902 Blanket. 902 Blanket refers to those staff who are being paid as temporary employees.</p> <p>Institution Reported</p> |
| 562 | 916 Blanket | <p>Display Purpose Only - (Not factored into formula). Count the staff in the 916 Blanket. 916 Blanket refers to retired annuitant staff.</p> <p>Institution Reported</p> |
| 563 | Staff on Other Type of Leave | <p>Display Purpose Only - (Not factored into formula). Other off pay status employees in established positions. Examples include Long Term Sick employees still in their budgeted position numbers and employees out on Military Leave still in their budgeted position numbers, etc. This information will be reported by Personnel, Personnel Assignment or the Budget Analyst.</p> <p>Institution Reported</p> |
| Management | | Administration |
| 565 | Budgeted Positions | <p>Enter the number of all budgeted positions in Management, excluding Management positions in Medical and Education.</p> <p>Institution Reported</p> |
| 566 | Pending 607's - Net | <p>This reflects the number of positions from pending 607 activity not currently reflected on the SCO Tab. This information will be reported by Personnel, Personnel Assignments or the Budget Analyst. A positive number reflects establishing positions and a negative number reflects abolishing positions. Note: Pending 607's are not automatically calculated into the next month's Budgeted Positions total since each month is an independent snapshot "in time". Pending 607's should be monitored continuously, and reconciled monthly by referencing the SCO Tab, and most recent Budget Management Branch Position Recap.</p> <p>Institution Reported</p> |
| 567 | Adjusted Budgeted Total | <p>Formula: Sum of: "Budgeted Positions" + "Pending 607's - Net" = Adjusted Budgeted Positions.</p> <p>Formula</p> |
| 568 | Filled Budgeted Positions | <p>Enter the number of all filled budgeted positions in Management, excluding Management positions in Medical and Education.</p> <p>Institution Reported</p> |
| 569 | 920 Blanket | <p>Count the staff in the 920 Blanket that are included in the total number of staff for vacancy reporting purposes. 920 Blanket refers to those staff on Long Term Sick status.</p> <p>Institution Reported</p> |
| 570 | 918 Blanket | <p>Count the staff in the 918 Blanket that are included in the total number of staff for vacancy reporting purposes. 918 Blanket refers to those staff who are being paid when there is not an authorizing position.</p> <p>Institution Reported</p> |
| 571 | True Vacancy Total | <p>Formula: Difference of: "Adjusted Budgeted Total" - ("Filled Budgeted Positions" + "920 Blanketed Positions" + "918 Blanketed Positions") = True Vacancy Total.</p> <p>Formula</p> |
| 572 | 902 Blanket | <p>Display Purpose Only - (Not factored into formula). Count the staff in the 902 Blanket. 902 Blanket refers to those staff who are being paid as temporary employees.</p> <p>Institution Reported</p> |
| 573 | 916 Blanket | <p>Display Purpose Only - (Not factored into formula). Count the staff in the 916 Blanket. 916 Blanket refers to retired annuitant staff.</p> <p>Institution Reported</p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Management

| | | |
|------------|-------------------------------------|--|
| 574 | Staff on Other Type of Leave | Display Purpose Only - (Not factored into formula). Other off pay status employees in established positions. Examples include Long Term Sick employees still in their budgeted position numbers and employees out on Military Leave still in their budgeted position numbers, etc. This information will be reported by Personnel, Personnel Assignment or the Budget Analyst. |
|------------|-------------------------------------|--|

Institution Reported

Administration

| Overtime | Administration |
|----------|----------------|
|----------|----------------|

| | | |
|------------|--------------|--|
| 576 | Total | "Custody" + "Non-Custody" + "Medical" = Total Overtime. Note: Staff working a modified work period under Section 7K of the Fair Labor Standards Act (FLSA) at times will have two work periods paid during a single calendar month. When this occurs, overtime for Custody positions (BU6) for all institutions will tend to approximate double a usual month. Note2: This occurred in June 2008, May 2009, and in May 2010. |
|------------|--------------|--|

Formula

| | | |
|------------|------------------------------------|---|
| 577 | AVG Hours Per Custody Staff | Formula: Sum of: "Total Custody Overtime" ÷ sum of "Custody (Uniformed) Filled Budgeted Positions" and "Other Custody (Non-Uniformed) Filled Budgeted Positions" = Average Hours Per Custody Staff. |
|------------|------------------------------------|---|

Formula

| | | |
|------------|----------------|---|
| 578 | Custody | Formula: Sum of: "Custody" + "Other Custody" = Total Overtime Note: Staff working a modified work period under Section 7K of the Fair Labor Standards Act (FLSA) at times will have 2 work periods paid during a single calendar month. When this occurs, overtime for Custody positions (BU6) for all institutions will tend to approximate double a usual month. This occurred in June 2008, May 2009, and will occur in June 2010. |
|------------|----------------|---|

Formula

| | | |
|------------|---|--|
| 579 | Lieutenants (Classification Code = 9656 & CBID =S06) | The total number of overtime hours paid for the lieutenants during the reporting period. The overtime paid through Blanked Fund Serial# = '901', pay for regular overtime, and Type Pay = 'Overtime'. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data). |
|------------|---|--|

MIRS

| | | |
|------------|---|--|
| 580 | Sergeants (Classification Code = 9659 & CBID =S06) | The total number of overtime hours paid for the sergeants during the reporting period. The overtime paid through Blanked Fund Serial# = '901', pay for regular overtime, and Type Pay = 'Overtime'. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data). |
|------------|---|--|

MIRS

| | | |
|------------|--|---|
| 581 | Officers (Classification Code = 9662 & CBID =R06) | The total number of overtime hours paid for the officers during the reporting period. The overtime paid through Blanked Fund Serial# = '901', pay for regular overtime, and Type Pay = 'Overtime'. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data). |
|------------|--|---|

MIRS

| | | |
|------------|--|--|
| 582 | Other Custody (CBID = 'R/S06' but exclude Classification codes: 9656, 9659, and 9662) | The total number of overtime hours paid for the other custody staff during the reporting period. The overtime paid through Blanked Fund Serial# = '901', pay for regular overtime, and Type Pay = 'Overtime'. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data). |
|------------|--|--|

MIRS

| | | |
|------------|--|--|
| 583 | AVG Hours Per Non-Custody Staff | Formula: Sum of: "Total Non-Custody Overtime" ÷ sum of "Non-Custody Filled Budgeted Positions" = Average Hours Per Non-Custody Staff. This EXCLUDES Medical Positions. |
|------------|--|--|

Formula

| | | |
|------------|--------------------|--|
| 584 | Non-Custody | The total number of overtime hours paid for the Non-Custody staff (all bargaining units except Unit 06 & Medical staff) during the reporting period. The overtime paid through Blanked Fund Serial# = '901', pay for regular overtime, and Type Pay = 'Overtime'. Data is received the week following the 20th of the month for the previous month's data. |
|------------|--------------------|--|

MIRS



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Overtime

Administration

| | | |
|-----|---------|--|
| 585 | Medical | The total number of overtime hours paid for Medical staff in Units 213, 214, 220, and 221 during the reporting period. The overtime paid through Blanked Fund Serial# = '901', pay for regular overtime, and Type Pay = 'Overtime'. Data is received the week following the 20th of the month for the previous month's data. |
|-----|---------|--|

MIRS

Sick Leave Administration

| | | |
|-----|-----------------------------|--|
| 587 | Total Hours | Formula: Sum of: "Custody" + "Non-Custody" + "Medical" = Total Sick Leave. |
| 588 | AVG Hours Per Custody Staff | Formula: Sum of: "Total Sick Leave Hours" ÷ sum of "Custody (Uniformed) Filled Budgeted Positions" and "Other Custody (Non-Uniformed) Filled Budgeted Positions = Average Sick Leave Hours Per Custody Staff". |

Formula

| | | |
|-----|---------|---|
| 589 | Custody | Formula: Sum of: "Lieutenants" + "Sergeants" + "Officers" + "Other Custody" = Custody Sick Leave, excluding those in the 920 blanket. |
|-----|---------|---|

Formula

| | | |
|-----|--|--|
| 590 | Lieutenants (Classification Code = 9656 & CBID =S06) | The total number of 'Sick Leave', Leave Type = 'SL' with Codes '01' and / or '02', hours used by the lieutenants during the reporting period, excluding those in the 920 blanket. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data). |
|-----|--|--|

MIRS

| | | |
|-----|--|--|
| 591 | Sergeants (Classification Code = 9659 & CBID =S06) | The total number of 'Sick Leave', Leave Type = 'SL' with Codes '01' and / or '02', hours used by the sergeants during the reporting period, excluding those in the 920 blanket. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data). |
|-----|--|--|

MIRS

| | | |
|-----|--|---|
| 592 | Officers (Classification Code = 9662 & CBID = R06) | The total number of 'Sick Leave', Leave Type = 'SL' with Codes '01' and / or '02', hours used by the officers during the reporting period, excluding those in the 920 blanket. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data). |
|-----|--|---|

MIRS

| | | |
|-----|---|--|
| 593 | Other Custody (CBID = 'R/S06' but exclude Classification codes: 9656, 9659, and 9662) | The total number of 'Sick Leave', Leave Type = 'SL' with Codes '01' and / or '02', hours used by the other custody classifications staff during the reporting period, excluding those in the 920 blanket. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data). |
|-----|---|--|

MIRS

| | | |
|-----|---------------------------------|---|
| 594 | AVG Hours Per Non-Custody Staff | Formula: Sum of: "Total Non-Custody Sick Leave" ÷ sum of "Non-Custody Filled Budgeted Positions" = Average Sick Leave Hours Per Non-Custody Staff. This EXCLUDES Medical Positions. |
|-----|---------------------------------|---|

Formula

| | | |
|-----|-------------|---|
| 595 | Non-Custody | The total number of 'Sick Leave', Leave Type = 'SL' with Codes '01' and / or '02', hours used by Non-Custody staff (all bargaining units except Union 06 & Medical staff) during the reporting period, excluding those in the 920 blanket. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data). |
|-----|-------------|---|

MIRS

| | | |
|-----|---------|--|
| 596 | Medical | The total number of "Sick Leave", Leave Type = 'SL' with Codes '01' and / or '02', used by Medical staff in Units 213, 214, 220, & 221 during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data). |
|-----|---------|--|

MIRS



Division of Adult Institutions

Counting Rules 2019A January-June 2019

| Sick Leave Management | | Administration |
|-----------------------|---|---|
| 598 | Letter of Instruction - Sick Leave (1st Letter) (R06 and S06 Staff Only) | Please provide the number of first time Letters of Instruction (LOI) issued to employees for the reporting month. This is the first LOI that the employee has received for violation of the Sick Leave Policy. <i>Institution Reported</i> |
| 599 | Letter of Instruction - Sick Leave (2nd Letter) (R06 and S06 Staff Only) | Please provide the number of subsequent Letters of Instruction (LOI) issued to employees for the reporting month. This is any subsequent LOI issued the employee for the reporting month. These are second and third LOI's that the employee has received for violations of the Sick Leave Policy. <i>Institution Reported</i> |
| 600 | Adverse Actions for Sick Leave (R06 and S06 Staff Only) | Please provide the number of Adverse Actions issued to employees for the reporting month. These are Adverse Actions that the employee has received for violation of the Sick Leave Policy. <i>Institution Reported</i> |
| 601 | Staff Exceeding Trigger Point | This includes staff who are identified on the sick leave review list who have exceeded the number of yearly allotted sick leave days, (minus protected leave: FMLA, IDL, CIFRA, and pregnancy leave). This information is based on twelve month WOTS Rainbow Reports, and can be provided by the Institution's Watch Office Tracking System Coordinator. <i>Institution Reported</i> |
| In-Lieu of Sick Leave | | Administration |
| 603 | Total Hours | Formula: Sum of: "Custody" + "Non-Custody" + "Medical" = Total Sick Leave. <i>Formula</i> |
| 604 | AVG Hours Per Custody Staff | Formula: Sum of: "Total In-Lieu of Sick Leave Hours" ÷ sum of "Custody (Uniformed) Filled Budgeted Positions" and "Other Custody (Non-Uniformed) Filled Budgeted Positions" = Average In-Lieu of Sick Leave Hours Per Custody Staff". <i>Formula</i> |
| 605 | Custody | Formula: Sum of: "Lieutenants" + "Sergeants" + "Officers" + "Other Custody" = Custody Sick Leave. <i>Formula</i> |
| 606 | Lieutenants (Classification Code = 9656 & CBID =S06) | The total number of 'In-Lieu of Sick Leave', Leave Type = 'SL' with Codes '04' and / or '71', hours used by the lieutenants during the reporting period, excluding those in the 920 blanket. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data). <i>MIRS</i> |
| 607 | Sergeants (Classification Code = 9659 & CBID =S06) | The total number of 'In-Lieu of Sick Leave', Leave Type = 'SL' with Codes '04' and / or '71', hours used by the sergeants during the reporting period, excluding those in the 920 blanket. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data). <i>MIRS</i> |
| 608 | Officers (Classification Code = 9662 & CBID = R06) | The total number of 'In-Lieu of Sick Leave', Leave Type = 'SL' with Codes '04' and / or '71', hours used by the officers during the reporting period, excluding those in the 920 blanket. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data). <i>MIRS</i> |
| 609 | Other Custody (CBID = 'R/S06' but exclude Classification codes: 9656, 9659, and 9662) | The total number of 'In-Lieu of Sick Leave', Leave Type = 'SL' with Codes '04' and / or '71', hours used by other custody classifications staff during the reporting period, excluding those in the 920 blanket. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data). <i>MIRS</i> |
| 610 | AVG Hours Per Non-Custody Staff | Formula: Sum of: "Total Non-Custody In-Lieu of Sick Leave" ÷ sum of "Non-Custody Filled Budgeted Positions" = Average In-Lieu of Sick Leave Hours Per Non-Custody Staff. This EXCLUDES Medical Positions. <i>Formula</i> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

| In-Lieu of Sick Leave | | Administration |
|-----------------------|---|--|
| 611 | Non-Custody | <p>The total number of 'In-Lieu of Sick Leave', Leave Type = 'SL' with Codes '04' and / or '71', hours used by the Non-Custody staff (all bargaining units except Union 06 & Medical staff) during the reporting period, excluding those in the 920 blanket. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data).</p> <p>MIRS</p> |
| 612 | Medical | <p>The total number of "In-Lieu of Sick Leave", Leave Type = 'SL' with Codes '04' and/or /71', used by Medical staff in Units 213, 214, 220 & 221 during the reporting period. Data is received the week following the 20th of the month for the previous month's data.</p> <p>MIRS</p> |
| Annual Leave | | Administration |
| 614 | Total Hours | <p>Formula: Sum of 'Custody' + 'Non-Custody' + 'Medical' = Total Annual Leave.</p> <p>Formula</p> |
| 615 | AVG Hours Per Custody Staff | <p>Formula: Sum of: "Total Annual Leave Hours" ÷ sum of "Custody (Uniformed) Filled Budgeted Positions" and "Other Custody (Non-Uniformed) Filled Budgeted Positions = Average Annual Leave Hours Per Custody Staff".</p> <p>Formula</p> |
| 616 | Custody | <p>Formula: Sum of 'Lieutenants' + 'Sergeants' + 'Officers' + 'Other Custody' = Custody Annual Leave.</p> <p>Formula</p> |
| 617 | Lieutenants (Classification Code = 9656 & CBID =S06) | <p>The total number of 'Annual Leave', Leave Type = 'AL' with codes '01 and/or '02', hours used by the Lieutenant classification during the reporting period.</p> <p>MIRS</p> |
| 618 | Sergeants (Classification Code = 9659 & CBID =S06) | <p>The total number of 'Annual Leave', Leave Type = 'AL' with codes '01 and/or '02', hours used by the Sergeant classification during the reporting period.</p> <p>MIRS</p> |
| 619 | Officers (Classification Code = 9662 & CBID = R06) | <p>The total number of 'Annual Leave', Leave Type = 'AL' with codes '01 and/or '02', hours used by the Officer classification during the reporting period.</p> <p>MIRS</p> |
| 620 | Other Custody (CBID = 'R/S06' but exclude Classification codes: 9656, 9659, and 9662) | <p>The total number of 'Annual Leave', Leave Type = 'AL' with codes '01 and/or '02', hours used by the Other Custody classifications during the reporting period.</p> <p>MIRS</p> |
| 621 | AVG Hours Per Non-Custody Staff | <p>Formula: Sum of: "Total Non-Custody Annual Leave" ÷ sum of "Non-Custody Filled Budgeted Positions" = Average Annual Leave Hours Per Non-Custody Staff. This EXCLUDES Medical Positions.</p> <p>Formula</p> |
| 622 | Non-Custody | <p>The total number of "Annual Leave", Leave Type = 'AL' with codes '01 and/or '02', hours used by Non-Custody staff (all bargaining units except Union 06 & Medical staff) during the reporting period. Data is received the week following the 20th of the month for the previous month's data.</p> <p>MIRS</p> |
| 623 | Medical | <p>The total number of "Annual Leave", Leave Type = 'AL' with codes '01 and/or '02', hours used by Medical staff in Units 213, 214, 220 & 221 during the reporting period. Data is received the week following the 20th of the month for the previous month's data.</p> <p>MIRS</p> |
| Workers' Compensation | | Administration |
| 625 | Custody -- Number of Staff off Work due to accepted and pending claims. | <p>Enter the number of custody staff (R06, S06, and M06) off work due to an approved or pending workers' compensation claim. Enter the data as a point-in-time number as of the last working day of each reporting period.</p> <p>Institution Reported</p> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Workers' Compensation

Administration

| | | |
|-----|---|--|
| 626 | Off Work Rate (Per 100 Staff) | Formula: "Total Number Custody Staff Off Work Due to Claims" ÷ "Total of All Custody Personnel in Filled Positions" = Ratio per 100 Staff. <i>Formula</i> |
| 627 | Pending/Open Claims | Enter the number of work related compensation claims that are pending/open during the reporting period. Enter the data as a point-in-time number as of the last working day of each reporting period. This data is to include Pending and Open Claims data that is carried over from the previous reporting periods which has not been closed, and new claims data coming in during the current reporting period which has not been closed. <i>Institution Reported</i> |
| 628 | New Claims | Enter the number of work related compensation claims that were initiated during the reporting period. Enter the data as a point-in-time number as of the last working day of each reporting period. <i>Institution Reported</i> |
| 629 | Closed Claims | Enter the number of work related compensation claims that were closed during the reporting period. Enter the data as a point-in-time number as of the last working day of each reporting period. <i>Institution Reported</i> |
| 630 | Non-Custody -- Number of Staff off Work due to accepted and pending claims. | Enter the number of non-custody staff (all bargaining units except for R06, S06, and M06) off work due to an approved or pending workers' compensation claim. Enter data as a point-in-time as of the last working day of each reporting period. <i>Institution Reported</i> |
| 631 | Off Work Rate (Per 100 Staff) | Formula: "Total Number Non-Custody Staff Off Work Due to Claims" ÷ "Total of All Non-Custody Personnel in Filled Positions" = Ratio per 100 Staff. **Excludes Medical Personnel Filled Positions <i>Formula</i> |
| 632 | Pending/Open Claims | Enter the number of work related compensation claims that are pending/open during the reporting period. Enter the data as a point-in-time number as of the last working day of each reporting period. This data is to include Pending and Open Claims data that is carried over from the previous reporting periods which has not been closed, and new claims data coming in during the current reporting period which has not been closed. <i>Institution Reported</i> |
| 633 | New Claims | Enter the number of work related compensation claims that were initiated during the reporting period. Enter the data as a point-in-time number as of the last working day of each reporting period. <i>Institution Reported</i> |
| 634 | Closed Claims | Enter the number of work related compensation claims that were closed during the reporting period. Enter the data as a point-in-time number as of the last working day of each reporting period. <i>Institution Reported</i> |

| NDI/SDI | | Administration |
|---------|---|--|
| 636 | Custody -- Number of Staff off Work due to non-work related illness/injuries. | Enter the number of custody staff (R06, S06, and M06), off work due to a non-work related illness or injury. This includes rejected workers' compensation claims. Enter the data as a point-in-time as of the last working day of each reporting period. Non-Industrial Disability Indemnity (NDI) State Disability Insurance (SDI) <i>Institution Reported</i> |
| 637 | Off Work Rate (Per 100 Staff) | Formula: "Total Number Custody Staff Off Work Due to Non-Work Related" ÷ "Total of All Custody Personnel in Filled Positions" = Ratio per 100 Staff. <i>Formula</i> |
| 638 | Non-Custody -- Number of Staff off Work due to non-work related illness/injuries. | Enter the number of non-custody staff (all bargaining units except R06, S06, and M06), off work due to a non-work related illness or injury. This includes rejected workers' compensation claims. Enter the data as a point-in-time as of the last working day of each reporting period. Non-Industrial Disability Insurance (NDI) State Disability Insurance (SDI) <i>Institution Reported</i> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

NDI/SDI

Administration

| | | |
|-----|-------------------------------|--|
| 639 | Off Work Rate (Per 100 Staff) | Formula: "Total Number Non-Custody Staff Off Work Due to Non-Work Related" ÷ "Total of All Non-Custody Personnel in Filled Positions" = Ratio per 100 Staff. **Excludes Medical Personnel |
|-----|-------------------------------|--|

Formula

FMLA Administration

| | | |
|-----|--|--|
| 641 | Custody - Number of Staff off Work due to FMLA | Formula: Sum of: "Custody (Lieutenants, Sergeants, Officers)" + "Other Custody" = Total Custody Staff Off Work due to FMLA. Family Medical Leave Act (FMLA) |
|-----|--|--|

Formula

| | | |
|-----|-------------|--|
| 642 | Lieutenants | The total number of lieutenants (Code 9656 (S06)) that have taken FMLA leave during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). |
|-----|-------------|--|

MIRS

| | | |
|-----|-----------|--|
| 643 | Sergeants | The total number of sergeants (Code 9659 (S06)) that have taken FMLA leave during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). |
|-----|-----------|--|

MIRS

| | | |
|-----|----------|---|
| 644 | Officers | The total number of officers (Code 9662 (R06)) that have taken FMLA leave during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). |
|-----|----------|---|

MIRS

| | | |
|-----|---------------|--|
| 645 | Other Custody | The total number of other custody (remaining codes of (R06, S06)) that have taken FMLA leave during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). |
|-----|---------------|--|

MIRS

| | | |
|-----|-------------|---|
| 646 | Non-Custody | The total number of non-custody (all bargaining units except (R06, S06)) that have taken FMLA leave during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). |
|-----|-------------|---|

MIRS

| | | |
|-----|---------|--|
| 647 | Medical | The total number of medical staff that have taken FMLA leave during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). |
|-----|---------|--|

MIRS

| | | |
|-----|--|---|
| 648 | Custody - Number of FMLA Hours Used by Staff | Formula: Sum of: "Custody (Lieutenants, Sergeants, Officers)" + "Other Custody" = Total Number of FMLA Hours Used by Custody Staff. |
|-----|--|---|

Formula

| | | |
|-----|--|---|
| 649 | Lieutenants (Classification Code = 9656 & CBID =S06) | The total number of FMLA hours used by lieutenants (Code 9656 (S06)) that have taken FMLA leave during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). |
|-----|--|---|

MIRS

| | | |
|-----|-----------|---|
| 650 | Sergeants | The total number of FMLA hours used by sergeants (Code 9659 (S06)) that have taken FMLA leave during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). |
|-----|-----------|---|

MIRS

| | | |
|-----|----------|--|
| 651 | Officers | The total number of FMLA hours used by officers (Code 9662 (R06)) that have taken FMLA leave during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). |
|-----|----------|--|

MIRS



Division of Adult Institutions

Counting Rules 2019A January-June 2019

FMLA

Administration

| | | |
|-----|--|---|
| 652 | Other Custody | The total number of FMLA hours used by other custody classifications (remaining codes of (R06, S06)) that have taken FMLA leave during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). <i>MIRS</i> |
| 653 | Non-Custody | The total number of FMLA hours used by non-custody classifications (all bargaining units except (R06, S06)) that have taken FMLA leave during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). <i>MIRS</i> |
| 654 | Medical | The total number of FMLA hours used by medical staff classifications during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). <i>MIRS</i> |
| 655 | Custody - Average Number of FMLA Hours Used by Staff | Formula: The average number of FMLA hours used by custody (Lieutenants, Sergeants, Officers) classifications during the reporting period. "Custody - Number of FMLA Hours Used by Staff" ÷ "Total Custody Filled Positions" = "Custody — Average Number of FMLA Hours Used by Staff". <i>Formula</i> |
| 656 | Other Custody | The average number of FMLA hours used by other custody classifications (remaining codes of (R06, S06)) during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). <i>Formula</i> |
| 657 | Non-Custody | The average number of FMLA hours used by non-custody classifications (all bargaining units except (R06, S06)) during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). <i>Formula</i> |
| 658 | Medical | The average number of FMLA hours used by medical classifications during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). <i>Formula</i> |

Accounting Penalties (\$ Amounts)

Administration

| | | |
|-----|---------------------------|---|
| 660 | Institutions (Program 25) | Enter the dollar amount of accounting penalties incurred due to Institution error in Programs 25 that occurred during the reporting period. [Budget Display Act requirement performance measure] <i>Institution Reported</i> |
| 661 | Education (Program 45) | Enter the dollar amount of accounting penalties incurred due to Institution error in Programs 45 that occurred during the reporting period. [Budget Display Act requirement performance measure] <i>Institution Reported</i> |
| 662 | Medical (Program 50) | Enter the dollar amount of accounting penalties incurred due to Institution error in Programs 50 that occurred during the reporting period. [Budget Display Act requirement performance measure] <i>Institution Reported</i> |

RAO Accounting Penalties (\$ Amounts)

Administration

| | | |
|-----|---------------------------|---|
| 664 | Institutions (Program 25) | Enter the dollar amount of RAO incurred accounting penalties which the Institution had no control over in Program 25 that occurred during the reporting period. [Budget Display Act requirement performance measure] <i>Institution Reported</i> |
| 665 | Education (Program 45) | Enter the dollar amount of RAO incurred accounting penalties which the Institution had no control over in Program 45 that occurred during the reporting period. [Budget Display Act requirement performance measure] <i>Institution Reported</i> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

RAO Accounting Penalties (\$ Amounts)

Administration

| | | |
|-----|----------------------|---|
| 666 | Medical (Program 50) | Enter the dollar amount of RAO incurred accounting penalties which the institution had no control over in Program 50 that occurred during the reporting period. [Budget Display Act requirement performance measure] <i>Institution Reported</i> |
|-----|----------------------|---|

Regulatory Citations Cal OSHA

Administration

| | | |
|-----|---------------------|---|
| 668 | Number of Penalties | Enter the total number of OSHA penalties/citations received during the reporting period. <i>Institution Reported</i> |
| 669 | Dollar Amount | Enter the total dollar amount of the OSHA penalties/citations received. <i>Institution Reported</i> |

Work Orders

Administration

| | | |
|-----|--|---|
| 671 | Number of Emergency Corrective Work Orders (priority 1) Received/Submitted | Priority 1 = Emergencies. This is the Maintenance Monthly Report in Plant Operations (POM Report). The data is obtained from the Plant Operations Maintenance Work Order Summary Report (SAPMS) dated from the first day of the previous month to the last day of the previous month. Enter the data as a point-in-time as of the last working day of each reporting period. <i>Institution Reported</i> |
| 672 | Number of Emergency Corrective Work Orders (priority 1) Completed | Priority 1 = Emergencies. This is the Maintenance Monthly Report in Plant Operations (POM Report). The data is obtained from the Plant Operations Maintenance Work Order Summary Report (SAPMS) dated from the first day of the previous month to the last day of the previous month. Enter the data as a point-in-time as of the last working day of each reporting period. <i>Institution Reported</i> |
| 673 | Number of Preventative Maintenance Work Orders (priority 2) Received/Submitted | Priority 2 = Preventative Maintenance. This is the Maintenance Monthly Report in Plant Operations (POM Report). The data is obtained from the Plant Operations Maintenance Work Order Summary Report (SAPMS) dated from the first day of the previous month to the last day of the previous month. Enter the data as a point-in-time as of the last working day of each reporting period. <i>Institution Reported</i> |
| 674 | Number of Preventative Maintenance Work Orders (priority 2) Completed | Priority 2 = Preventative Maintenance. This is the Maintenance Monthly Report in Plant Operations (POM Report). The data is obtained from the Plant Operations Maintenance Work Order Summary Report (SAPMS) dated from the first day of the previous month to the last day of the previous month. Enter the data as a point-in-time as of the last working day of each reporting period. <i>Institution Reported</i> |
| 675 | Number of Corrective Work Orders (Priority 3) Received/Submitted | Priority 3 = Routine Corrective Maintenance submitted. This is the Maintenance Monthly Report in Plant Operations (POM Report). The data is obtained from the Plant Operations Maintenance Work Order Summary Report (SAPMS) dated from the first day of the previous month to the last day of the previous month. Enter the data as a point-in-time as of the last working day of each reporting period. <i>Institution Reported</i> |
| 676 | Number of Corrective Work Orders (Priority 3) Completed | Priority 3 = Routine Corrective Maintenance completed. This is the Maintenance Monthly Report in Plant Operations (POM Report). The data is obtained from the Plant Operations Maintenance Work Order Summary Report (SAPMS) dated from the first day of the previous month to the last day of the previous month. Enter the data as a point-in-time as of the last working day of each reporting period. <i>Institution Reported</i> |
| 677 | Number of Non-Maintenance Service Requests (Priority 4) Received/Submitted | Priority 4 = Non-Maintenance Service Request submitted. This is the Maintenance Monthly Report in Plant Operations (POM Report). The data is obtained from the Plant Operations Maintenance Work Order Summary Report (SAPMS) dated from the first day of the previous month to the last day of the previous month. Enter the data as a point-in-time as of the last working day of each reporting period. <i>Institution Reported</i> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Work Orders

Administration

| | | |
|-----|---|---|
| 678 | Number of Non-Maintenance Service Requests (Priority 4) Completed | Priority 4 = Non-Maintenance Service Request completed. This is the Maintenance Monthly Report in Plant Operations (POM Report). The data is obtained from the Plant Operations Maintenance Work Order Summary Report (SAPMS) dated from the first day of the previous month to the last day of the previous month. Enter the data as a point-in-time as of the last working day of each reporting period. <i>Institution Reported</i> |
| 679 | Number of Project Work Orders (priority 5) Received/Submitted | Priority 5 = Projects. This is the Maintenance Monthly Report in Plant Operations (POM Report). The data is obtained from the Plant Operations Maintenance Work Order Summary Report (SAPMS) dated from the first day of the previous month to the last day of the previous month. Enter the data as a point-in-time as of the last working day of each reporting period. <i>Institution Reported</i> |
| 680 | Number of Project Work Orders (priority 5) Completed | Priority 5 = Projects. This is the Maintenance Monthly Report in Plant Operations (POM Report). The data is obtained from the Plant Operations Maintenance Work Order Summary Report (SAPMS) dated from the first day of the previous month to the last day of the previous month. Enter the data as a point-in-time as of the last working day of each reporting period. <i>Institution Reported</i> |
| 681 | % of personnel hours spent on preventative maintenance | $X = (\text{PM Hours} / (\# \text{ of Staff} \times 173.3)) \times 100$ Run the POM report "Work Order Summary," capture the total number of available staff located on the total line under "# of staff." Multiply this figure by 173.3 (the average number of working hours in a month for 168/176 schedule). Treat this as 'available hours' for the month. Capture the total under 2 for "Preventative Maintenance." Divide the "Preventative Maintenance hours" by the 'available hours,' then multiply the figure again by 100 to arrive at the percentage. The SAPMS report used in this calculation - the "POM" report - does not associate hours worked with the month the work was completed, but rather SAPMS associates the hours worked in the month that the PM work order ticket was closed out. A delay in recording the closure of a ticket or the closure of a number of large, multi-month ticket may introduce some skewing in the percentage. In an extreme case, the hours worked on tickets closed in a given month may exceed available personnel hours. <i>Institution Reported</i> |

Employee Grievances and Staff Complaints

Administration

| | | |
|-----|--------------------|---|
| 683 | Total | Formula: Sum of: "Health & Safety" + "Conditions of Work" + "E.E.O." = Total Employee Grievances and Staff Complaints. <i>Formula</i> |
| 684 | Per 100 Staff | Formula: "Employee Grievances and Staff Complaints Total" ÷ "Total of All Personnel in Filled Positions" = Ratio per 100 Staff. <i>Formula</i> |
| 685 | Health & Safety | This is the total number of formal health and safety grievances and staff complaints that were filed during the preceding calendar month. The source of this data is through a Grievance Log and/or an Officer/Analyst/Representative in the institution's Labor Relations Office. <i>Institution Reported</i> |
| 686 | Conditions of Work | This is the total number of formal conditions of work and staff complaints that were filed during the preceding calendar month. The source of this data is through a Grievance Log and/or an Officer/Analyst/Representative in the institution's Labor Relations Office. <i>Institution Reported</i> |
| 687 | Total E.E.O. | This is the total number of Medical and Non-Medical related EEO complaints that were filed during the reporting month. Formula: Medical + Non-Medical EEO Complaints = Total EEO Complaints. <i>Formula</i> |
| 688 | EEO Non-Medical | This is the total number of Non-Medical related EEO complaints that were filed during the reporting month. The source of this data is the institution EEO Coordinator. <i>Institution Reported</i> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Employee Grievances and Staff Complaints

Administration

689 EEO Medical This is the total number of Medical related EEO complaints that were filed during the reporting month. The source of this data is through a Medical EEO Log and/or the Medical institutional EEO Coordinator.

Institution Reported

Staff Investigations

Administration

691 Total Central Intake Requests Enter the number of Central Intake Requests submitted during the reporting period.

Institution Reported

692 Per 100 Staff Formula: "Total Central Intake Requests" ÷ "Total of All Personnel in Filled Positions" = Ratio per 100 Staff.

Formula

693 Central Intake Requests Accepted Enter the number of Central Intake Requests accepted during the reporting period.

Institution Reported

694 Central Intake Requests Rejected Enter the number of Central Intake Requests rejected and returned during the reporting period. This is a point in time count as of the last day of the reporting period.

Institution Reported

695 Central Intake Requests Approved for Direct Action Enter the number of Central Intake Requests approved for Direct Action during the reporting period. This is a point in time count as of the last day of the reporting period.

Institution Reported

696 Total Direct Action Requests Submitted Enter the number of Direct Action Requests submitted during the reporting period. This is a point in time count as of the last day of the reporting period.

Institution Reported

697 Direct Action Requests Accepted Enter the number of Direct Action Requests accepted during the reporting period. This is a point in time count as of the last day of the reporting period.

Institution Reported

698 Direct Action Requests Rejected Enter the number of Direct Action Requests rejected and returned during the reporting period. This is a point in time count as of the last day of the reporting period.

Institution Reported

699 Number of Open Investigations Enter the total number of open investigations during the reporting period. This is a point-in-time count as of the last day of the reporting period.

Institution Reported

700 Number of Closed Investigations Enter the total number of investigations closed during the reporting period. This is a point-in-time count as of the last day of the reporting period.

Institution Reported

701 Investigations exceeding 180 calendar days Enter the total number of investigations that have exceeded 180 calendar days.

Institution Reported

702 Investigations exceeding the Statute of Limitations Enter the total number of investigation that have exceeded the statute of limitations.

Institution Reported

Medical Staff Investigations

Administration

704 Total Central Intake Requests Enter the number of Medical Central Intake Requests submitted during the reporting period.

Institution Reported

705 Per 100 Staff Formula: "Total Central Intake Requests" ÷ "Total of All Personnel in Filled Positions" = Ratio per 100 Staff.

Formula

706 Central Intake Requests Accepted Enter the number of Central Intake Requests accepted during the reporting period.

Institution Reported

707 Central Intake Requests Rejected Enter the number of Central Intake Requests rejected and returned during the reporting period. This is a point in time count as of the last day of the reporting period.

Institution Reported



Division of Adult Institutions

Counting Rules 2019A January-June 2019

| Medical Staff Investigations | | Administration |
|-------------------------------|---|--|
| 708 | Central Intake Requests Approved for Direct Action | Enter the number of Central Intake Requests approved for Direct Action during the reporting period. This is a point in time count as of the last day of the reporting period. <i>Institution Reported</i> |
| 709 | Total Direct Action Requests Submitted | Enter the number of Direct Action Requests submitted during the reporting period. This is a point in time count as of the last day of the reporting period. <i>Institution Reported</i> |
| 710 | Direct Action Requests Accepted | Enter the number of Medical Direct Action Requests accepted during the reporting period. This is a point in time count as of the last day of the reporting period. <i>Institution Reported</i> |
| 711 | Direct Action Requests Rejected | Enter the number of Medical Direct Action Requests rejected and returned during the reporting period. This is a point in time count as of the last day of the reporting period. <i>Institution Reported</i> |
| 712 | Number of Open Investigations | Enter the total number of open investigations during the reporting period. This is a point-in-time count as of the last day of the reporting period. <i>Institution Reported</i> |
| 713 | Number of Closed Investigations | Enter the total number of investigations closed during the reporting period. This is a point-in-time count as of the last day of the reporting period. <i>Institution Reported</i> |
| 714 | Investigations exceeding 180 calendar days | Enter the total number of investigations that have exceeded 180 calendar days. <i>Institution Reported</i> |
| 715 | Investigations exceeding the Statute of Limitations | Enter the total number of investigations that have exceeded the statute of limitations. <i>Institution Reported</i> |
| Adverse Actions | | Administration |
| 717 | Total | Formula: "Adverse Actions Total (Non-Medical)" + "Adverse Actions Total (Medical)" = Total Adverse Actions. <i>Formula</i> |
| 718 | Per 100 Staff | Formula: "Total Adverse Actions" ÷ "Total of All Personnel in Filled Positions" = Ratio per 100 Staff. <i>Formula</i> |
| 719 | Dismissals | Formula: "Dismissals (Non-Medical)" + "Dismissals (Medical)" = Total Dismissals. <i>Formula</i> |
| 720 | Adverse Actions Total (Non-Medical) | Enter the total number of non-medical adverse actions initiated during the reporting period. <i>Institution Reported</i> |
| 721 | Per 100 Staff | Formula: "Total Non-Medical Adverse Actions" ÷ "Total of All Personnel in Filled Positions" = Ratio per 100 Staff. <i>Formula</i> |
| 722 | Dismissals (Non-Medical) | Enter the total number of Non-Medical Dismissals issued during the reporting period. <i>Institution Reported</i> |
| 723 | Adverse Actions Total (Medical) | Enter the total number of medical adverse actions initiated during the reporting period. <i>Institution Reported</i> |
| 724 | Per 100 Staff | Formula: "Total Medical Adverse Actions" ÷ "Total of All Personnel in Filled Positions" = Ratio per 100 Staff. <i>Formula</i> |
| 725 | Dismissals (Medical) | Enter the total number of Medical Dismissals issued during the reporting period. <i>Institution Reported</i> |
| Comm. Prisoner Mother Program | | Female Offenders |
| 728 | Eligible | Enter the total number of eligible inmates to enter the Comm. Prisoner Mother Program for the month. <i>Institution Reported</i> |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

| Comm. Prisoner Mother Program | | | Female Offenders |
|--|------------------|---|------------------|
| 729 | Endorsed | Enter the total number of endorsed inmates to exit the Comm. Prisoner Mother Program for the month. <i>Institution Reported</i> | |
| 730 | Pending Transfer | Enter the total number of inmates pending transfer from Comm. Prisoner Mother Program for the month. <i>Institution Reported</i> | |
| Female Community Correctional Facility | | | Female Offenders |
| 732 | Eligible | Enter the total number of inmates eligible to enter the Female Community Correctional Facility for the month. <i>Institution Reported</i> | |
| 733 | Endorsed | Enter the total number of inmates endorsed to exit the Female Community Correctional Facility for the reporting period. <i>Institution Reported</i> | |
| 734 | Pending Transfer | Enter the total number of inmates pending transfer from the Female Community Correctional Facility for the reporting period. <i>Institution Reported</i> | |
| Inmate Age Group (Female) | | | Female Offenders |
| 736 | 18 to 31 | Identify the number of inmates from age 18 through 31 in a female institution for the reporting period. <i>SOMS</i> | |
| 737 | 32 to 45 | Identify the number of inmates from age 32 through 45 in a female institution for the reporting period. <i>SOMS</i> | |
| 738 | 46 and above | Identify the number of inmates from age 46 and above in a female institution for the reporting period. <i>SOMS</i> | |
| Gender Demographics | | | Female Offenders |
| 740 | Officers | Total number of officers in the reporting period who work in a female institution. <i>Institution Reported</i> | |
| 741 | % Male | Percentage of male officers working during the reporting period in a female institution. <i>Institution Reported</i> | |
| 742 | % Female | Percentage of female officers working during the reporting period in a female institution. <i>Institution Reported</i> | |
| 743 | Sergeants | Total number of Sergeants working during the reporting period in a female institution. <i>Institution Reported</i> | |
| 744 | % Male | Percentage of male sergeants working during the reporting period in a female institution. <i>Institution Reported</i> | |
| 745 | % Female | Percentage of female sergeants working during the reporting period in a female institution. <i>Institution Reported</i> | |
| 746 | Lieutenants | Total number of Lieutenants working during the reporting period in a female institution. <i>Institution Reported</i> | |
| 747 | % Male | Percentage of male Lieutenants working during the reporting period in a female institution. <i>Institution Reported</i> | |
| 748 | % Female | Percentage of female Lieutenants working during the reporting period in a female institution. <i>Institution Reported</i> | |
| 749 | Managers | Total number of Managers working during the reporting period in a female institution. <i>Institution Reported</i> | |
| 750 | % Male | Percentage of male Managers working during the reporting period in a female institution. <i>Institution Reported</i> | |



Division of Adult Institutions

Counting Rules 2019A January-June 2019

Gender Demographics

Female Offenders

| | | |
|-----|----------|---|
| 751 | % Female | Percentage of female Managers working during the reporting period in a female institution. <i>Institution Reported</i> |
|-----|----------|---|

Women's Trauma Related Groups

Female Offenders

| | | |
|-----|---|--|
| 753 | Total number of trauma related Meetings held during reporting period. | Enter the total number of trauma related meeting for the reporting period. <i>Institution Reported</i> |
| 754 | Average number of Inmate attendees per trauma Meeting | Enter the average number of inmates attending a trauma meeting for the month. <i>Institution Reported</i> |

DIVISION OF ADULT INSTITUTIONS

ACRONYMS



ADA – Americans with Disabilities Act
ASP – Avenal State Prison
ASU – Administrative Segregation Unit
AVSS – Audio/Video Surveillance System
CAC – California City Correctional Facility
CAL – Calipatria State Prison
CCC – California Correctional Center
CCHCS – California Correctional Health Care Services
CCI – California Correctional Institution
CCCMS – Correctional Clinical Case Management Services
CCWF – Central California Women’s Facility
CDCR – California Department of Corrections and Rehabilitation
CHCF – California Health Care Facility
CIM – California Institution for Men
CIW – California Institution for Women
CMC – California Men’s Colony
CMF – California Medical Facility
CN - Chloroacetophenone
CS - Orthochlorobenzalmalononitrile
CRC – California Rehabilitation Center
CSP – COR – California State Prison, Corcoran
CSP – LAC – California State Prison, Los Angeles County
CSP – SAC – California State Prison, Sacramento
CSP – SOL – California State Prison, Solano
CSP – SQ – California State Prison, San Quentin
CSW – Contraband Surveillance Watch
CTC – Correctional Treatment Center
CTF – Correctional Training Facility
CVSP – Chuckawalla Valley State Prison
DDP – Development Disability Program
DVI – Deuel Vocational Institution
EOP – Enhanced Outpatient Program
FSP – Folsom State Prison
FWF – Folsom Women’s Facility
HDSP – High Desert State Prison
ISP – Ironwood State Prison
KVSP – Kern Valley State Prison
LTRH – Long Term Restricted Housing
LWOP – Life without the Opportunity for Parole
MCSP – Mule Creek State Prison
NKSP – North Kern State Prison
OC – Oleoresin Capsicum
OHU – Outpatient Housing Unit
PBSP – Pelican Bay State Prison
PIP – Psychiatric In-Patient Program
PSU – Psychiatric Services Unit
PVSP – Pleasant Valley State Prison
RC – Reception Center

DIVISION OF ADULT INSTITUTIONS ACRONYMS



RJD – Richard J. Donovan Correctional Facility at Rock Mountain
RVR – Rules Violation Report
SATF – Substance Abuse Treatment Facility
SBI – Serious Bodily Injury
SCC – Sierra Conservation Center
SHU – Security Housing Unit
SNY – Sensitive Needs Yard
STG – Security Threat Group
STRH – Short Term Restricted Housing
SVSP – Salinas Valley State Prison
VSP – Valley State Prison
WSP – Wasco State Prison

NOTE: Acronyms are only available for Appeals, Disciplinarys, Incidents, and Population metrics. Additional acronyms will be added as items move through the data governance process.

DIVISION OF ADULT INSTITUTIONS GLOSSARY



The glossary is a new addition that will be added to all COMPSTAT counting rules documents effective January 1, 2017. Definitions will be added in phases based on the governance process for each section of the Division of Adult Institutions 13-Month Report. New definitions will be added regularly and will be identified in the counting rule for each metric.

40mm Launcher – A rifled bore launcher, capable of firing direct/indirect impact munition rounds and chemical agents.

Actual Possession – Exists when a person has physical custody or control of an object.

ADA Appeals – An appeal of issues related with medical appliances and other types of Americans with Disability Act related issues.

Adjudicated – A formal judgment or decision of the guilt or innocence of an inmate based on the preponderance of evidence evaluated by the hearing official.

Administrative Determinate – An inmate meeting one or more administrative conditions, known as administrative determinants, may be housed in a facility with a security level which is not consistent with the inmate's placement score.

Administrative RVR – Prepared for conduct of a minor nature as outlined in California Code of Regulations, Title 15 Section 3314.

Administrative Segregation – When an inmate's presence in an institution's General Population (GP) presents an immediate threat to the safety of the inmate or others, endangers institution security or jeopardizes the integrity of an investigation of an alleged serious misconduct, criminal activity, or the safety of any person.

Advisement – Appeals submitted by certain inmates must receive careful consideration before acceptance and processing of the appeal can begin.

Aggravated Battery – Every person confined in the state prison who commits a battery by gassing upon the person of any peace officer, as defined in Chapter 4.5 (commencing with Section 830) of Title 3 of Part 2, or employee of the state prison is guilty of aggravated battery and shall be punished by imprisonment in a county jail or by imprisonment in the state prison for two, three, or four years.

Allegation Inquiry – An allegation inquiry may be conducted when violations of the CDCR EEO policy are alleged, but the allegation(s) and/or complainants and respondent(s) are not clearly defined. The OIA, CRO staff may be consulted to determine whether an inquiry is appropriate. The inquiry shall be conducted in accordance with DOM Section 31140.14.

Americans with Disabilities Act (ADA) – The ADA is a civil rights law that prohibits discrimination against individuals with disabilities in all areas of public life.

Armstrong Class Member – An inmate with a mobility, sight, hearing, learning, developmental or kidney disability that substantially limits one or more of their major life activities.

Assault – Any unlawful attempt, coupled with a present ability, to commit a violent injury on the person of another.

DIVISION OF ADULT INSTITUTIONS GLOSSARY



Attempted Escape – An unsuccessful effort to breach a secured perimeter or the use of force against a person to attempt access into an unauthorized area. Some progress toward implementing an escape must be made to implement a plan. This includes, but is not limited to the following overt acts: acquiring unauthorized clothing or identification, preparing a hiding place in an unauthorized area, lying in wait for a potential hostage, attempting access to a perimeter that was unsupervised, unlawfully obtaining tools to aid in an escape, manufacturing a likeness of a person in order to substitute for the inmate's presence, or receiving assistance from other conspirators who acted upon an escape plan, e.g. a plan to escape uncovered from verbal, telephone or mail communication.

Attempted Homicide – See definition for attempted murder.

Attempted Murder – Any attempt to kill a human being with malice aforethought.

Attempted Suicide – See Suicide Attempt

Audio/Video Surveillance System (AVSS) – The primary objective of the AVSS is to enhance public safety and facility security by providing the ability for real-time monitoring and recording in order to conduct investigations and after-the-fact reviews by utilizing audio/video recording technology. Note: All institutions are required to adhere to the policy memorandum authored by Deputy Director, Connie Gipson, titled INSTITUTIONAL AUDIO/VIDEO SURVEILLANCE SYSTEMS, dated January 26, 2018. To include institutions with older video surveillance systems and institutions with newer video surveillance systems.

Baton – Hand Held expandable baton.

Battery – Unlawful and willful act of force or violence upon the person of another.

Bed Security Level – Each camp, facility, or area of a facility complex shall be designated at a security level based on its physical security and housing suitability.

Blueprint Crowding Standard – Desirable population levels to satisfy Federal Court requirements.

Camp – Non-secure facility to house inmate firefighters.

Cancelled – An appeal that the appeals coordinator or third level Appeals Chief has returned to the inmate without a response to the specific appeal issues and is considered closed with the inmate having not exhausted administrative remedies.

Case Records Appeal – An appeal of issues associated with an inmate's record such as release date calculation and gang affiliation.

CDCR Blueprint – A document reflecting the housing levels and bed use programs at each institution. A blueprint to save billions of dollars, end Federal Court oversight, and improve the Prison System.

Civilian Arrest – Any arrest of a civilian/non-employee.

Clark Class Member – Inmates with low cognitive and adaptive functioning.

Classification Appeal – An appeal of a classification committee action which can include affixing a suffix, assessment of an administrative determinate, transfer to another facility, prison, or program.

DIVISION OF ADULT INSTITUTIONS GLOSSARY



Classification Score – Classification scoring system based on individual case factors to ensure that inmates are properly housed and supervised.

CN – Chemical agent Chloroacetoephene.

Condemned – Inmates who are sentenced to death.

Conduct – The manner in which a person behaves.

Conservation Camp – See definition for camp.

Constructive Possession – Exists where a person has knowledge of an object and control of the object or the right to control the object, even if the person has no physical contact with it.

Contraband Surveillance Watch - The objective of placing an inmate on Contraband Surveillance Watch (CSW) is to retrieve concealed contraband without physical intrusion; ensure that contraband is not circulated into the inmate population; and ensure the safety of the inmate suspected of having the concealed contraband.

Controlled Extraction – See definition for controlled use of force.

Controlled Possession – See definition for possession.

Controlled Substance – Any substance, drug, narcotic, opiate, hallucinogen, depressant or stimulant as defined by Health and Safety Code Section 11007. Also included are prescribed medications containing any of the substances identified in Health and Safety Code Section 11007.

Controlled Use of Force – The force used in an institution/facility setting, when an inmate's presence or conduct poses a threat to safety or security and the inmate is located in an area that can be controlled or isolated.

Correctional Clinical Case Management Services (CCCMS) – Mental Health Services Delivery System's lowest level of care.

Correctional Treatment Center (CTC) – Licensed medical bed to house inmates receiving medical treatment.

Counseling RVR – Report that is prepared when similar minor misconduct recurs after verbal counseling or if documentation of minor misconduct is needed, a description of the misconduct and counseling provided is included in the report.

CS – Chemical agent Orthochlorobenzalmalononitrile.

Crowding Capacity – Desirable level of overcrowding allowed in a facility.

Custody Appeal – An appeal of issues related to degree of custody, work groups, policies and procedures, and other types of custody issues.

Deadly Weapon – Any weapon identified in Penal Code Section 4502. Any item or substance not readily identified as a weapon becomes a deadly weapon when used in a manner that could reasonably result in serious bodily injury or death.

DIVISION OF ADULT INSTITUTIONS GLOSSARY



Deceased – The inmate has died before the time limits for responding to the appeal have expired.

Defaced – The contamination of an appeal using food, white out, tape, hair, blood, bodily fluids, excrement or attaching clothing, razor blades, string, books, or magazines.

Delaying – To cause a peace officer to be late or causing a distraction in order to delay a peace officer from his or her duties.

Denied – The inmate has no right to compensation or remedy for the issue included in the appeal.

Design Bed – Bed designated as part of the facilities design numbers reported to the courts.

Development Disability Program (DDP) – The Department's plan, policies, and procedures to assure identification; appropriate classification, housing, and protection; and non-discrimination of inmates with developmental disabilities.

Disciplinary Appeal – An appeal of an administrative or serious Rules Violation Report (RVR) and other disciplinary issues.

Disposition – Any Penalty assessed when found guilty of all charges identified in the Rules Violation Report.

Disturbance – A breakdown of peaceful and law-abiding behavior.

Documented Use of Force – Every staff use of force is an incident that shall be reported. Any employee who uses force or observed a staff member use force shall report it to a supervisor as soon as practical and follow up with appropriate documentation prior to being relieved from duty.

Drug Paraphernalia – Any device, contrivance, instrument, or paraphernalia intended to be used for unlawfully injecting or consuming into the human body a controlled substance as identified in Health and Safety Code Section 11007.

Duplicate Appeal – An appeal that is the same as a previous appeal filed by the inmate upon which a decision has been rendered or is pending.

Endorsement – Documentation of an inmate's approved/assigned location.

Enhanced Outpatient Program (EOP) – Mental Health Services Delivery System's enhanced level of care.

Enhanced Outpatient Program Hub – Clustered housing for inmates at the Enhanced Outpatient Program level of care in Administrative Segregation.

Escape – Every prisoner confined in a state prison who, by force or violence, escapes or attempts to escape therefrom and every prisoner committed to a state prison who, by force or violence, escapes or attempts to escape while being conveyed to or from that prison or any other state prison, or any prison road camp, prison forestry camp, or other prison camp or prison farm or any other place while under the custody of prison officials, officers or employees; or who, by force or violence, escapes or attempts to escape from any prison road camp, prison forestry camp, or other prison camp or prison farm or other place while under the custody of prison officials, officers or employees; or who, by force or violence, escapes or attempts to escape while at work outside or away from prison under custody of prison officials, officers, or employees, is punishable by imprisonment in the state prison for a term of

DIVISION OF ADULT INSTITUTIONS GLOSSARY



two, four, or six years. The second term of imprisonment of a person convicted under this subdivision shall commence from the time he or she would otherwise have been discharged from prison. No additional probation report shall be required with respect to that offense.

Expected Death – An inmate who has been placed at a level of care anticipating death in the near future (hospice).

Explosive Device – A device that can burst with sudden violence from internal energy.

Fighting – Displaying or engaging in violence, combat, or aggression.

Fire Camp – See definition for camp.

For Effect – The firing of the Mini-14 (deadly force) or 40mm Launcher (less lethal force), with the intent of hitting the subject. Warning shots are not considered shooting for effect.

Fire House – Non-secure facility to house institution inmate firefighters.

Fund Appeal – An appeal of issues associated with restitution, gate money, deposits, canteen draws, and other types of inmate funds.

General Population – Inmates who program within a facility that are not required to be restrained during movement.

Granted – The inmate will receive all requested compensation or remedy for the issue included in the appeal.

Granted in Part – The inmate will receive part of the requested compensation or remedy for the issue included in the appeal.

Homicide – See definition for murder.

Hub – Central place for housing or transportation location.

Ideal Operational Capacity – Capacity desirable for housing of inmates.

Illegible - The appeal is not printed legibly in ink or was typed in a font smaller than 12-point or otherwise making the appeal unreadable to the appeal coordinator.

Immediate Extraction – Involves the imminent threat to loss of life or imminent threat to institution security. An emergency that exists requiring the immediate removal of the inmate from the cell.

Impacting – Case factors that have an impact or effect on housing or program.

In Cell – An incident involving assaultive/sexually assaultive behavior towards a cellmate inside their cell.

Inactive Monitored – A validated gang affiliate who was released from the Security Housing Unit concurrent with the implementation of the Step Down Program through a Departmental Review Board hearing.

DIVISION OF ADULT INSTITUTIONS GLOSSARY



Indecent Exposure – Every person who willfully and lewdly, either exposes his or her person, or the private parts thereof, in any public place, or in any place where there are present other persons to be offended or annoyed thereby; or procures, counsels, or assists any person so to expose him or her self or take part in any model artist exhibition, or to make any other exhibition of him or her self to public view, or the view of any number of persons, such as is offensive to decency, or is adapted to excite to vicious or level thoughts or acts.

Intoxicant – Toluene or any bi-product i.e. paint thinners, paint, fingernail polish, lacquers, gasoline, kerosene, adhesives or other substance that markedly diminishes physical and/or mental control.

Investigation – The collection of evidence that supports or refutes an allegation of misconduct, including criminal investigations, administrative investigations, retaliation investigations, or allegation inquiries.

Legal Appeal – An appeal of access to court, mishandling of legal work, processing of appeals, notary services and other types of legal issues.

Level of Care – Refers to the Mental Health level of care.

Living Condition Appeal – An appeal of safety, sanitation, clothing, food services, telephone calls, housing assignment and other types of living condition issues.

Life With Possibility of Parole – Inmate serving an indeterminate sentence with parole eligibility.

Life Without Possibility of Parole – Inmate serving an indeterminate sentence without parole eligibility.

Lockdown – The restriction of all inmates, in a dorm/building/facility, to their cells/dormitory beds.

Long Term Restricted Housing – Housing for inmates serving a Security Housing Unit (SHU) Term that are CCCMS level of care.

Mail Appeal – An appeal of a disallowed/disapproved magazine, publication, package, and other item that is shipped through the mail.

Mainline – General Population setting.

Mental Health Level of Care – The Mental Health Services Delivery System Level of Care as determined by a licensed mental health clinician (CCCMS, MHCB, EOP, DMH).

Mini 14 – CDCR's primary weapon, and used in gun towers, gun walks, observation posts, and other areas where deadly force may be necessary.

Modification Order – An order by the institution, parole region, or third level Appeals Chief directing a previous decision to be modified.

Modified Program – The suspension or restriction of less than all inmate program activities and/or movement.

Murder – The unlawful killing of a human being or a fetus with malice aforethought.

Non-Conventional Force – Force that utilizes techniques or instruments that are not specifically authorized in policy, procedures, or training.

DIVISION OF ADULT INSTITUTIONS GLOSSARY



Non-Impacting – Case factor which does not impact housing or program.

Non-Prisoner – Any person that is not an inmate or a peace officer.

Obstructing – Impede or prevent a peace officer from performing his or her duties.

OC – Chemical agent Oleoresin Capsicum.

Out of Jurisdiction – An issue that cannot legally be decided by the Department.

Outpatient Housing Unit (OHU) – Licensed medical bed to house inmates receiving medical treatment.

Physical Force – Any deliberate physical contact, using any part of the body to overcome conscious resistance.

Personal Property Appeal – An appeal of lost, stolen, or damaged property that occurred during a cell search, placement in segregated housing, or during transport to another institution or other location.

Pipeline – Inmates housed pending movement into a specialized program.

Possession – Defined as either actual possession or constructive possession of an object. Actual possession exists when a person has physical custody or control of an object. Constructive possession exists where a person has knowledge of an object and control of the object or the right to control the object, even if the person has no physical contact with it.

Program Appeal – An appeal of drug testing, substance abuse programs, in cell study programs, religious services, yard scheduling and other types of programs.

Psychiatric Inpatient Program (PIP) – Specialized licensed housing for Mental Health patients requiring Acute or Intermediate Level of care.

Reception Center – Housing for inmates that are new arrivals to the department.

Reentry Appeal – An appeal of placement in specific reentry locations or programs.

Reportable Incidents – Examples of incidents which shall be reported: All felonies committed by inmates, parolees, employees or the public on institution property, during transportation or under the jurisdiction of parole regions. General or partial lockdowns. Riots, inmate strikes or general demonstrations. Major power failures. Serious accidents or injuries. Deaths. Significant damage or destruction of state property. Escapes or attempted escapes. Any state of emergency as described in CCR 3383. Any use or discharge of weapons, chemical agents or tasers. Threats against the President or Vice-President of the United States, or threats against state officials. Safety grievances (employees). Employee job actions. Sexual Violence Allegations.

Resisting – A willful and unlawful attempt, by means of any threat or violence, to deter or prevent an executive officer from performing his or her lawful duty.

DIVISION OF ADULT INSTITUTIONS GLOSSARY



Restricted Custody – Housing for inmates deemed Max custody and required to be restrained while out of their cell.

Riot – When two or more people acting together do any of the following without authority of law: 1) use force or violence; 2) disturb the public peace, or 3) threaten to use force or violence with immediate power to execute the threat.

Rout – A disturbance of the peace by persons assembled together with intent to do something, which if executed would become a riot.

Rules Violation Report (RVR) – Report that documents inmate behavior that is a violation of law, California Code of Regulations Title 15, and/or approved procedure.

S-Suffix – Inmates who have been determined to require housing in a cell by themselves.

Screen Out – An appeal that is sent back to the inmate unprocessed due to cancellation, rejection, or withdrawal in accordance with Title 15 Section 3084.6.

Security Housing Unit (SHU) – Housing for inmates serving a Security Housing Unit Term.

Security Threat Group (STG) – Any ongoing formal or informal organization, association, or group of three or more persons which has a common name or identifying sign or symbol whose members and/or associates, individually or collectively, engage or have engaged, on behalf of that organization, association or group, in two or more acts which include, planning, organizing, threatening, financing, soliciting or committing unlawful acts, or acts of misconduct.

Sensitive Needs Yard (SNY) – Programming facility for inmates who have sensitive case factors.

Serious Bodily Injury – A serious impairment of physical condition, including, but not limited to the following: loss of consciousness; concussion; bone fracture; protracted loss or impairment of function of any bodily member or organ; a wound requiring extensive suturing; and serious disfigurement.

Serious RVR – Prepared when inmate misconduct is a felony, misdemeanor, or deemed serious by regulation.

Sexual Disorderly Conduct – Every person who touches, without exposing, his or her genitals, buttocks or breasts in a manner that demonstrates it is for the purpose of sexual arousal, gratification, annoyance, or offense, and that any reasonable person would consider this conduct offensive.

Sedative – A drug taken for its calming or sleep-inducing effect.

Seizure – Confiscation of unauthorized possession or constructive possession of contraband.

Segregation Hearings Appeal – An appeal of placement in Administrative Segregation, Security Housing Unit placement, and other segregated housing issues.

Sexual Disorderly Conduct – Every person who touches, without exposing, his or her genitals, buttocks or breasts in a manner that demonstrates it is for the purpose of sexual arousal, gratification, annoyance, or offense, and that any reasonable person would consider this conduct offensive.

DIVISION OF ADULT INSTITUTIONS GLOSSARY



Sexual Misconduct – Any behavior of a sexual nature between an inmate and a visitor including, but not limited to: Sexual intercourse, oral copulation, or masturbation. The rubbing or touching of breast(s) or sexual organ(s) for the purpose of arousing, appealing to, or gratifying lust, passions, or sexual desires. Exposure of breast(s), buttocks or sexual organ(s) for the purpose of arousing, appealing to, or gratifying lust, passions, or sexual desires.

Short Term Restricted Housing (STRH) – Administrative Segregation housing for inmates at the CCCMS level of care.

Staff Complaints – An inmate/parolee appeal which alleges facts that would constitute misconduct by a departmental employee shall be logged as a staff complaint by the appeals coordinator only after review and categorization as a staff complaint. Such review must be completed by an employee designated by the hiring authority at a level not below Chief Deputy Warden, Deputy Regional Administrator or equivalent.

Staff Complaint Appeal – Issue related to staff behavior that violates or is contrary to law, regulation, policy, procedure, or an ethical or professional standard.

STG-I – Term used to identify and prioritize the level of threat the group presents that affects the safety and security of the institution and public safety. STG-I designation will be reserved for STGs that pose the greatest of these threats. STG-I designation will include, but may not be limited to, traditional prison gangs, or similar disruptive groups or gangs that the department has certified to have a history and propensity for violence and/or influence over subservient STGs.

STG-II – Term used to identify and prioritize the level of threat the group presents that affects the safety and security of the institution and public safety. The STG-II designation may include, but is not limited to, traditional disruptive groups/street gangs.

Stimulant – A substance that raises levels of physiological or nervous activity in the body.

Strike – A refusal to institution/departmental policy organized by a group of people or inmates as a form of protest, typically in an attempt to gain a concession or concessions from the institution/department.

Suicide – The act or an instance of taking one's own life voluntarily and intentionally.

Suicide Attempt – A non-fatal self-inflicted potentially injurious behavior with any intent to die as a result of the behavior.

Threatening – A verbal or written threat or a threat implied by a pattern of conduct or a combination of verbal or written statements and conduct made with the intent and the apparent ability to carry out the threat so as to cause the person who is the target of the threat to reasonably fear for his or her safety or the safety of his or her immediate family.

Transfer Appeal – An appeal of a decision to transfer the inmate to another institution or facility.

Treatment Capacity – Designated capacity utilized for providing treatment within a housing unit for inmates receiving mental health care.

Uncontrolled Possession – The discovery of contraband in a common area where no possession can be established to any individual(s) also known as a "Common Area Find".

DIVISION OF ADULT INSTITUTIONS GLOSSARY



Unexpected Death – A death that occurs suddenly and earlier than anticipated.

Unique Count – A validation process to ensure a single inmate is counted one time. This process is found most often in situations where a single inmate participates in multiple programs.

Unlawful Assembly – A group of people with the mutual intent of deliberate disturbance of the peace.

Urinalysis – Analysis of urine by physical, chemical, and microscopic means to test for the presence of drugs.

Use of Force – It is the policy of the California Department of Corrections and Rehabilitation's (CDCR), Division of Adult Institutions (DAI), to accomplish custodial and correctional functions with minimal reliance on the use of force. Employees may use reasonable force as required in the performance of their duties, but shall not use unnecessary or excessive force. Staff may, at any point, determine the situation can be resolved without the use of force and terminate the use of force process. This policy, in conjunction with related procedures and training, defines staff responsibilities and requirements concerning the use of force. This policy will assist staff in identifying when and how much force is appropriate under different circumstances, ensure that supervision, monitoring, and evaluation of the use of force is consistent with procedures and training, and ensure the investigation of possible unnecessary or excessive use of force. Staff found culpable of violations of the Use of Force Policy will be subject to disciplinary (preventive, corrective, or adverse action) procedures.

Validated – The formal and objective process for identifying and documenting Security Threat Group (STG) affiliates.

Visiting – These regulations are made in recognition and consideration of the value of inmate visitation as a means of increasing safety in prisons, maintaining family and community connections, and preparing inmates for successful release and rehabilitation. It is the intent of these regulations to establish a visiting process in the institutions/facilities of the department that is conducted in as accommodating a manner as possible, subject to the need to maintain order, the safety of persons, the security of the institution/facility, and required prison activities and operations. Each institution/facility shall provide visiting for no less than 12 hours per week. Any reduction of an institution/facility visiting schedule below 12 hours shall require the prior approval of the Secretary or designee. Regular visiting days shall be consecutive and include Saturday and Sunday.

Visiting Appeal – An appeal of family visiting, facility accommodations, minor visiting restrictions, visitor approval, visitor search and other visiting issues.

Wireless Device – Any system or device, as a cellular phone, for transmitting messages or signals by electromagnetic waves.

Withdrawn – The inmate who filed the appeal has requested to stop the appeal process.

Work Crew – Inmates assigned to a work crew outside of the secure perimeter.

Work Incentive Appeal – An appeal of education, vocation, waiting lists, removal from a job, pay grade, credit earning and other types of work incentive issues.

X10 – A device used for the removal of objects/barricades from doors or food/security ports.