Asynchronous JavaScript and XML (AJaX)

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Topics Covered

- What is AJaX?
- JavaScript Overview
- XMLHttpRequest (XHR)
- Sarissa JavaScript Library
- REST Overview

- Demo Description
- Demo Sequence Diagrams
- Demo REST Server
- Demo XHTML
- Demo JavaScript
- Wrapup



What is AJaX?

- A name given to an existing approach to building dynamic web applications
- Web pages use JavaScript to make asynchronous calls to web-based services that typically return XML
 - allows user to continue interacting with a web page while waiting for data to be returned
 - page can be updated without refreshing browser
 - results in a better user experience
- Uses a JavaScript class called XMLHttpRequest

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A Good Acronym?

• A is for "asynchronous"

- requests can be made asynchronously or synchronously
- both techniques allow web page to be updated without refreshing it
- anything useful the user can do while processing request?
 - if yes then use asynchronous, otherwise use synchronous

• J is for "JavaScript"

- typically JavaScript is used on the client-side (in the browser)
 - only programming language supported out-of-the-box by most web browsers
- can use any language on server-side that can accept HTTP requests and return HTTP responses
 - Java servlets, Ruby servlets, CGI scripts, ...

• X is for "XML"

- request and response messages can contain XML
 - can easily invoke REST-style services
- can really contain any text (single text value, delimited text, ...)



Uses For AJaX

Asynchronous

- examples
 - Google Maps http://maps.google.com
 - asynchronously loads graphic tiles to support map scrolling
 - Google Suggest http://www.google.com/suggest
 - asynchronously updates list of possible topic matches based on what has been typed so far

Synchronous

- even when there is nothing useful for the user to do after a request is submitted to a server,
 AJaX can be used to retrieve data and update selected parts of the page without refreshing the entire page
 - better user experience



JavaScript Overview

- A programming language with syntax similar to Java
- Supported by web browsers
 - JavaScript can be downloaded from web servers along with HTML and executed in the browser
- Syntax to use from HTML

TMI instead of HTML

these notes use XHTML

- add <script> tag(s) to head section of HTML
- can embed JavaScript code inside HTML or refer to external JavaScript files
- embedding

```
<script type="text/javascript"> ... code ... </script>
```

referring

```
<script type="text/javascript" src="url"></script>
```

- JavaScript files cannot include/import others
 - HTML must use a script tag to refer to each needed JavaScript file

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XMLHttpRequest

- A JavaScript class supported by most web browsers
- Allows HTTP requests to be sent from JavaScript code
 - to send multiple, concurrent requests,
 use a different XMLHttpRequest instance for each
- HTTP responses are processed by "handler" functions
- Issue
 - code to create an XMLHttpRequest object differs between browsers

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 can use a JavaScript library such as Sarissa (more detail later) to hide the differences



XMLHttpRequest Properties

(partial list)

- readyState
 - 0 UNINITIALIZED; open not yet called
 - -1 LOADING; send not yet called
 - 2 LOADED; send called, headers and status are available
 - 3 INTERACTIVE; downloading, responseText only partially set
 - 4 COMPLETED; finished downloading response
- responseText
 - response as text; null if error occurs or ready state < 3
- responseXML
 - response as DOM Document object; null if error occurs or ready state < 3
- status integer status code of request
- statusText string status of request



XMLHttpRequest Methods

(partial list)

Basic methods

- open (method, url) initializes a new HTTP request
 - method can be "GET", "POST", "PUT" or "DELETE"
 - url must be an HTTP URL (start with "http://")
- send (body) sends HTTP request
- abort () called after send () to cancel request

Header methods

- void setRequestHeader(name, value)
- String getResponseHeader (name)
- String getAllResponseHeaders()
 - returns a string where
 "header: value" pairs
 are delimited by carriage returns

Example return value:

Connection: Keep-Alive

Date: Sun, 15 May 2005 23:55:25 GMT

Content-Type: text/xml

Server: WEBrick/1.3.1 (Ruby/1.8.2/2004-12-25)

Content-Length: 1810



Sarissa

- An open source JavaScript library that allows the following to be done in a browser independent way
 - create XMLHttpRequest objects
 - parse XML (synchronously or asynchronously)
 - create XML (using DOM)
 - transform XML with XSLT
 - query XML with XPath
- Download from http://sourceforge.net/projects/sarissa
- Documentation at http://sarissa.sourceforge.net/doc/



Using XMLHttpObject With Sarissa

To create an XMLHttpRequest

```
var xhr = new XMLHttpRequest();
```

To send synchronous GET request and obtain response

```
xhr.open("GET", url, false); // false for sync
var body = null; // wouldn't be null for a POST

xhr.send(body);
var domDoc = xhr.responseXML;
var xmlString = Sarissa.serialize(docDoc);
Sarissa.serialize
gets a string representation
of an DOM node
```

• To send asynchronous GET request

```
xhr.open("GET", url, true); // true for async
var body = null; // wouldn't be null for a POST
xhr.onreadystatechange = function() {
  if (xhr.readyState == 4) {
    var domDoc = xhr.responseXML;
    var xmlString = Sarissa.serialize(docDoc);
  }
}
xhr.send(body);
```



Using XMLHttpObject With Sarissa (Cont'd)

• To set a request header

```
xhr.setRequestHeader("name", "value");
```

• To get a response header

```
var value = xhr.getResponseHeader("name");
```



REST Overview

- Stands for **RE**presentational State Transfer
- Main ideas
 - a software component requests a "resource" from a service
 - by supplying a resource identifier and a desired media type
 - a "representation" of the resource is returned
 - a sequence of bytes and metadata to describe it
 - metadata is name-value pairs (can use HTTP headers)
 - obtaining this representation causes the software component to "transfer" to a new "state"



REST Overview (Cont'd)

- REST is an architectural style, not a standard or an API
 - but can use existing standards including URLs, HTTP and XML
 - can be implemented in many ways (such as Java or Ruby servlets)
 - used to build distributed applications such as Web apps. and Web services
- Good sources for further reading
 - "Building Web Services the REST Way" by Roger L. Costello
 - http://www.xfront.com/REST-Web-Services.html
 - Roy Fielding's 2000 dissertation (chapter 5)
 - http://www.ics.uci.edu/~fielding/pubs/dissertation/top.htm
 - RESTwiki http://rest.blueoxen.net/cgi-bin/wiki.pl
 - REST mailing list http://groups.yahoo.com/group/rest-discuss/



REST Resources and Identifiers

• What is a REST **resource**?

- a specific, retrievable thing, not an abstract concept
- for example, instead of having a "car" resource with representations like "photo" and "sales report", those are the resources
 - **car photo** from a specific view (front, side and rear) with JPEG representations
 - car sales report for a specific month/year with PDF and XML representations
- What are good resource identifiers?

http://host:port/webapp/carPhoto

?make=BMW&model=Z3&year=2001&view=front

http://host:port/webapp/carPhoto/BMW/Z3/2001/front

http://host:port/webapp/carSalesReport

?make=BMW&model=Z3&year=2001&salesYear=2004&salesMonth=4

http://host:port/webapp/carSalesReport/BMW/Z3/2001/2004/4

"Think of RESTful applications to consist of objects (resources) that all have the same API (PUT, DELETE, GET, POST, etc). For a component of the application to invoke a method on an object, it issues an HTTP request." from a post on the rest-discuss by Jan Algermissen

An **underlying goal** is to make as many things as possible retrievable by an HTTP GET request. This enables **browser-based testing**.

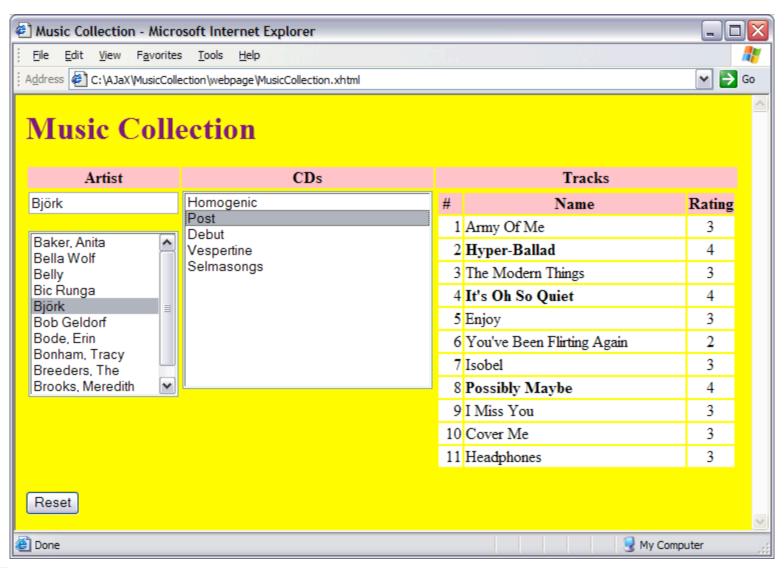


Demo Description

- Music collection search
 - MySQL database is populated off-line from an iTunes XML file
 - web page contains
 - text field to select artist name
 - suggests completions like Google Suggest
 - database columns include id and name
 - list of CDs by that artist
 - updated asynchronously when an artist name is entered
 - database columns include id, title and year
 - table of track data for that CD
 - updated asynchronously when CD selection changes
 - database columns include id, track number, name, time and rating
 - requests and responses follow REST style



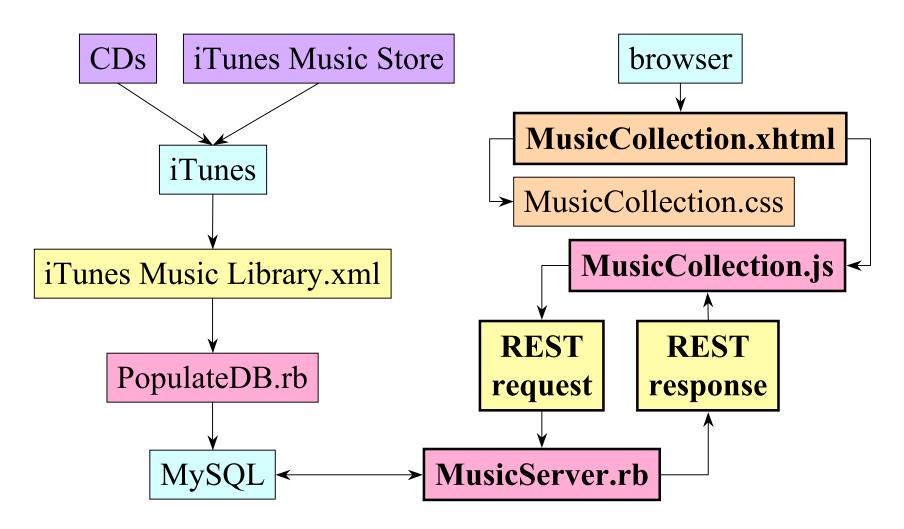
Demo Screenshot





Demo Pieces

(we'll focus on boxes with bold text)





Getting Artists Whose Names Begin With *prefix*

Request

http://localhost:2000/music/artist?starts=Co

Response

```
<artists>
 <artist id="141" href="http://localhost:2000/music/artist?id=141">
   Cocteau Twins</artist>
 <artist id="72" href="http://localhost:2000/music/artist?id=72">
   Cole, Holly</artist>
 <artist id="80" href="http://localhost:2000/music/artist?id=80">
   Cole, Paula</artist>
 <artist id="111" href="http://localhost:2000/music/artist?id=111">
   Collins, Phil</artist>
 <artist id="48" href="http://localhost:2000/music/artist?id=48">
   Colvin, Shawn</artist>
 <artist id="132" href="http://localhost:2000/music/artist?id=132">
   Counting Crows</artist>
 <artist id="54" href="http://localhost:2000/music/artist?id=54">
   Cowboy Junkies
</artists>
```



Getting Artist Information

Request

http://localhost:2000/music/artist?id=97&deep

Response

```
<artist id="97">
  <name>Apple, Fiona</name>
  <cd artistId="97" id="163">
    <title>When The Pawn...</title>
    <track rating="3" id="767" cdId="163">On The Bound/track>
    <track rating="3" id="768" cdId="163">To Your Love
  </cd>
- <cd artistId="97" id="164">
    <title>Tidal</title>
    <track rating="4" id="777" cdId="164">Sleep To Dream
    <track rating="4" id="778" cdId="164">Sullen Girl</track>
                                Request
                                                                           without "deep"
  </cd>
                                 http://localhost:2000/music/artist?id=97
                                Response
</artist>
                                 <artist id="97">
                                   <name>Apple, Fiona</name>
                                   <cd href="http://localhost:2000/music/cd?id=163" id="163" />
                                   <cd href="http://localhost:2000/music/cd?id=164" id="164" />
                                 </artist>
```



Getting CD Information

Request

http://localhost:2000/music/cd?id=164&deep

Response



Getting Track Information

Request

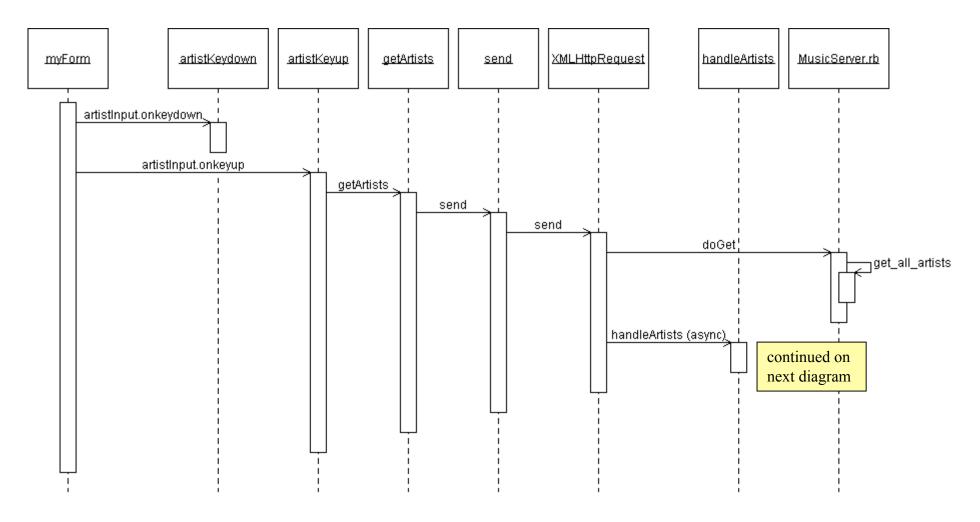
http://localhost:2000/music/track?id=777

Response

<track rating="4" id="777" cdId="164">Sleep To Dream

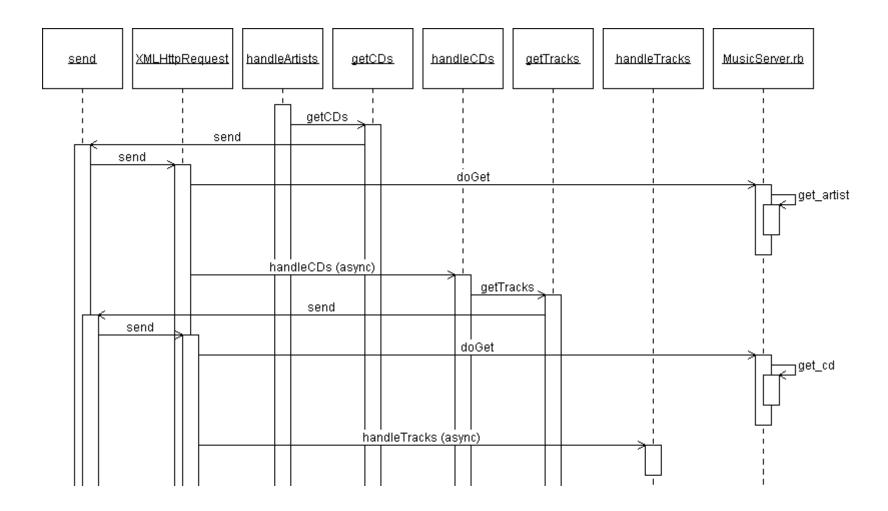


artistInput onkeydown & onkeyup Event Handling



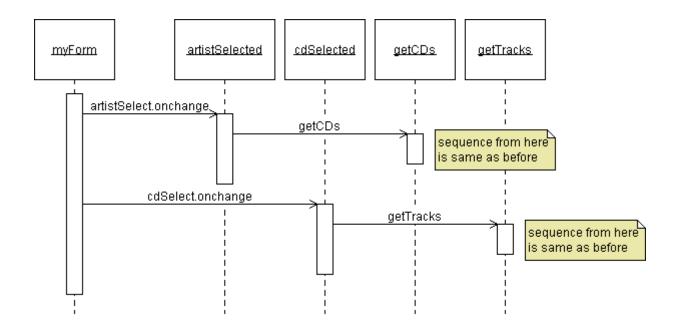


handleArtists Function





artistSelect and cdSelect onchange Event Handling





MusicServer.rb

- Implemented in Ruby
- Uses WEBrick
 - http://www.webrick.org
 - "a Ruby library program to build HTTP servers"
 - "a standard library since Ruby-1.8.0"



```
#!/usr/bin/ruby

require 'mysql'
require 'rexml/document'
require 'webrick'

include REXML
include WEBrick

# Add to_s method to REXML Element class.
class Element
  def to_s
    s = ''; write(s); s
  end
end
```



```
SERVLET HOST = 'localhost'
SERVLET PORT = 2000
SERVLET NAME = 'music'
class MusicServlet < HTTPServlet::AbstractServlet</pre>
  DATABASE = 'music'
 DB HOST = 'localhost'
  DB USERNAME = 'root'
  DB PASSWORD = ''
  # A new servlet instance is created to service each request
  # so currently a new database connection is being created for each.
  # TODO: Consider using a pool of database connections.
  # TODO: See http://segment7.net/projects/ruby/WEBrick/servlets.html
  def initialize(server)
    super (server)
    @conn = Mysql.new(DB HOST, DB USERNAME, DB PASSWORD, DATABASE)
  end
  def get resource url(type, id)
    "http://#{SERVLET_HOST}:#{SERVLET_PORT}/#{SERVLET_NAME}/#{type}?id=#{id}"
```

OBJECT COMPUTING INC

```
def do GET(req, res)
  resource type = req.path info[1..-1] # remove first character
  resource id = req.query['id']
  starts = req.query['starts']
  @deep = req.query['deep']
  res['Content-Type'] = 'text/xml'
  res.body = case resource type
    when 'artist'
      if resource id
        get artist(resource id).to s
      else
        get all artists(starts).to s
                                           invoking to s method we added
      end
    when 'cd'
                                           to REXML Element class
      get_cd (resource_id) .to_s ←
    when 'track'
      get track(resource id).to s
    else
      "unsupported resource type #{resource type}"
  end
end
```



```
def get_all_artists(starts)
    sql = "select * from artists"
    sql += " where name like '#{starts}%'" if starts
    sql += " order by name"
    rs = @conn.query(sql)

    artists = Element.new('artists') # root element

    rs.each_hash do |row|
        artist = Element.new('artist', artists) # add artist element to root element
        id = row['id']
        artist.add_attribute('id', id)
        artist.add_attribute('id', get_resource_url('artist', id))
        artist.add_text(row['name'])
    end

    artists
end
```



```
def get artist(artist id)
  sql = "select * from artists where id='#{artist id}'"
 rs = @conn.query(sql)
  return "no artist with id #{artist id} found" if rs.num rows == 0
 row = rs.fetch hash
  artist = Element.new('artist') # root element
  artist.add attribute('id', artist id)
  name = Element.new('name', artist) # add name element to root element
  name.add text(row['name'])
  sql = "select * from cds where artistId=#{artist id}"
  rs = @conn.query(sql)
  rs.each hash do |row|
   cd id = row['id']
    cd = if @deep
      artist.add_element(get_cd(cd_id)) # add cd element to artist element
    else
     Element.new('cd', artist) # add cd element to artist element
    end
    cd.add attribute('id', cd id)
    cd.add attribute('href', get resource url('cd', cd id)) if not @deep
  end
```



```
def get cd(cd id)
  sql = "select * from cds where id='#{cd id}'"
 rs = @conn.query(sql)
  return "no cd with id #{cd id} found" if rs.num rows == 0
 row = rs.fetch hash
  cd = Element.new('cd') # root element
  cd.add attribute('id', cd id)
  cd.add attribute('artistId', row['artistId'])
  title = Element.new('title', cd) # add title element to root element
  title.add text(row['title'])
  sql = "select * from tracks where cdId=#{cd id}"
  rs = @conn.query(sql)
  rs.each hash do |row|
   track id = row['id']
    track = if @deep
      cd.add element(get track(track id)) # add track element to cd element
    else
     Element.new('track', cd) # add track element to cd element
    end
   track.add attribute('href', get resource url('track', track id)) if not @deep
  end
```



```
def get_track(track_id)
   sql = "select * from tracks where id='#{track_id}'"
   rs = @conn.query(sql)
   return "no track with id #{track_id} found" if rs.num_rows == 0

   row = rs.fetch_hash
    track = Element.new('track') # root element
    track.add_attribute('id', track_id)
    track.add_attribute('cdId', row['cdId'])
    track.add_attribute('rating', row['rating'])
    track.add_text(row['name'])

    track
end
```

end # class MusicServlet



```
# Create WEBrick server, mount the servlet and start the server.
s = HTTPServer.new(:Port=>SERVLET_PORT)
s.mount("/#{SERVLET_NAME}", MusicServlet)
trap('INT') { s.shutdown } # shutdown on Ctrl-C
s.start
```



MusicCollection.xhtml

```
<?xml version="1.0"?>
<!DOCTYPE html PUBLIC "-//W3C//DTD XHTML 1.0 Strict//EN"</pre>
  "http://www.w3.org/TR/xhtml1/DTD/xhtml1-strict.dtd">
<html xmlns="http://www.w3.org/1999/xhtml">
  <head>
    <title>Music Collection</title>
   <link rel="stylesheet" type="text/css" href="MusicCollection.css" />
   <!-- TODO: Why can't I use shortcut element termination here? -->
    <script type="text/javascript" src="../../sarissa/sarissa.js"></script>
    <script type="text/javascript" src="DHTMLUtil.js"></script>
    <script type="text/javascript" src="StringUtil.js"></script>
   <script type="text/javascript" src="MusicCollection.js"></script>
  </head>
  <body>
    <h1>Music Collection</h1>
```



MusicCollection.xhtml (Cont'd)

```
<form id="myForm" action="">
 Artist
    CDs
    Tracks
  <input type="text" id="artistInput" tabindex="1"</pre>
       onkeydown="artistKeydown(event, this)"
       onkeyup="artistKeyup(event, this)" />
    <select id="cdSelect" tabindex="3" size="12"</pre>
       onchange="cdSelected(this)">
       <option></option> <!-- XHTML requires at least one option -->
     </select>
```



MusicCollection.xhtml (Cont'd)

```
#
    Name
    Rating
   <select id="artistSelect" tabindex="2" size="10"</pre>
   onchange="artistSelected(this)">
   <option></option> <!-- XHTML requires at least one option -->
  </select>
```



MusicCollection.xhtml (Cont'd)

```
<!-- for debugging -->
     <!--p><textarea id="log" rows="20" cols="80"></textarea></p-->
     <input type="reset" />
     </form>
     </body>
</html>
```



DHTMLUtil.js

```
// This contains utility functions make working with DHTML easier.
// Removes all the options from a given select component.
function clearSelect(select) {
  while (select.length > 0) {
    select.remove(0);
// Gets the text inside a given DOM element.
// TODO: This should really concatenate the values
         of all text nodes inside the element.
function getText(element) {
  return element.firstChild.nodeValue;
// Logs a message to a text area with an id of "log"
// for debugging purposes.
function log(message) {
  document.getElementById("log").value += message + "\n";
```



DHTMLUtil.js (Cont'd)

```
// Sends an asynchronous HTTP request to a given URL
// whose response will be sent to a given handler.
function send(xhr, url, handler) {
  async = true;
  xhr.onreadystatechange = handler;
  //log("send: opening " + url);
  xhr.open("GET", url, async);
  //log("send: sending to " + url);
  body = null;
  xhr.send(body);
}
```



MusicCollection.js

```
// Keycodes used by event handling functions.
var backspaceKeycode = 8;
var ctrlKeycode = 17;
var downArrowKeycode = 40;
var shiftKeycode = 16;

// Base URL of asynchronous HTTP requests.
var baseURL = "http://localhost:2000/music/";

// Keeps track of whether the Ctrl key is currently down.
var ctrlKeyDown = false;

// The characters of the artist name that the user typed.
var lastArtistPrefix = "";

// Used to send asynchronous HTTP requests.
var xhr = new XMLHttpRequest(); // from Sarissa
```



```
// Handles keydown events in the artist input field.
function artistKeydown(event, component) {
   if (event.keyCode == ctrlKeycode) ctrlKeyDown = true;
   if (event.keyCode == downArrowKeycode) {
        // Move focus from artistInput to artistSelect.
        document.getElementById("artistSelect").focus();
   }
}

// Handles keyup events in the artist input field.
function artistKeyup(event, component) {
   if (!ctrlKeyDown) getArtists(event, component);
   if (event.keyCode == ctrlKeycode) ctrlKeyDown = false;
}
```



```
// Handles selections of artists in the artist select component.
function artistSelected(component) {
  index = component.selectedIndex;
  value = component.options[index].text;
  document.getElementById("artistInput").value = value;
  getCDs(); // asynchronously
}

// Handles selections of CDs in the CD select component.
function cdSelected(component) {
  index = component.selectedIndex;
  cdId = component.options[index].value;
  getTracks(cdId); // asynchronously
}
```



```
// Sends an asynchronous request to obtain
// a list of artists whose name begins with
// the prefix entered in a text input component.
function getArtists(event, component) {
  if (event.keyCode == shiftKeycode) return;
  if (event.keyCode == backspaceKeycode) {
    artistPrefix = lastArtistPrefix.substring
      (0, lastArtistPrefix.length - 1);
  } else {
    artistPrefix = ltrim(component.value); // in StringUtil.js
  lastArtistPrefix = artistPrefix
  if (artistPrefix.length == 0) {
    component.value = "";
    clearSelect(document.getElementById("artistSelect"));
  } else {
    url = baseURL + "artist?starts=" + artistPrefix;
    send(xhr, url, handleArtists);
```



```
// Sends an asynchronous request to obtain
// a list of CDs by the artist selected in a select component.
function getCDs() {
    select = document.getElementById("artistSelect");
    index = select.selectedIndex;
    option = select.options[index];
    artistId = option.value
    url = baseURL + "artist?id=" + artistId + "&deep";
    send(xhr, url, handleCDs);
}

// Sends an asynchronous request to obtain
// a list of tracks on a CD selected in a select component.
function getTracks(cdId) {
    url = baseURL + "cd?id=" + cdId + "&deep";
    send(xhr, url, handleTracks);
}
```



```
// Handles the response from asynchronous requests
// for information about artists
// whose name begins with a given prefix.
function handleArtists() {
  if (xhr.readyState == 4) {
    doc = xhr.responseXML;
    //log("handleArtists: xml = " + Sarissa.serialize(doc));
    if (doc.documentElement == null) {
      alert ("Is the server running?");
      return;
    doc.setProperty("SelectionLanguage", "XPath");
    id = doc.selectSingleNode("/"); // from Sarissa
    nodes = doc.selectNodes("/artists/artist"); // from Sarissa
    artistSelect = document.getElementById("artistSelect");
    clearSelect(artistSelect);
    if (nodes.length == 0) return;
```



```
// Add an option to artistSelect for each matching artist.
for (i = 0; i < nodes.length; i++) {
  artist = nodes[i];
 name = getText(artist);
  id = artist.getAttribute('id')
  option = new Option(name, id, false, i == 0);
  artistSelect.add(option);
// Set artist text field to first choice.
input = document.getElementById("artistInput");
firstArtistName = getText(nodes[0]);
input.value = firstArtistName;
// Highlight suffix supplied by search.
enteredLength = lastArtistPrefix.length;
totalLength = firstArtistName.length
range = input.createTextRange();
range.moveStart("character", enteredLength);
range.moveEnd("character", totalLength);
range.select();
getCDs();
```



```
// Handles the response from asynchronous requests
// for information about CDs by an artist.
function handleCDs() {
  if (xhr.readyState == 4) {
    doc = xhr.responseXML;
    //log("handleCDs: xml = " + Sarissa.serialize(doc));

  doc.setProperty("SelectionLanguage", "XPath");
  id = doc.selectSingleNode("/"); // from Sarissa
    nodes = doc.selectNodes("/artist/cd"); // from Sarissa
  select = document.getElementById("cdSelect");
  clearSelect(select);
```



```
firstId = 0;

// Add an option to cdSelect for each CD.

for (i = 0; i < nodes.length; i++) {
    cd = nodes[i];
    title = getText(cd.selectSingleNode("title")); // from Sarissa
    id = cd.getAttribute('id');
    if (i == 0) firstId = id;
    option = new Option(title, id, i == 0);
    select.add(option);
}

select.selectedIndex = 0;
    getTracks(firstId);
}</pre>
```



```
// Handles the response from asynchronous requests
// for information about tracks on a CD.
function handleTracks() {
  if (xhr.readyState == 4) {
    doc = xhr.responseXML;
    //log("handleTracks: xml = " + Sarissa.serialize(doc));
    doc.setProperty("SelectionLanguage", "XPath");
    id = doc.selectSingleNode("/"); // from Sarissa
    nodes = doc.selectNodes("/cd/track"); // from Sarissa
    table = document.getElementById("trackTable");
    // Delete all the table rows except the header row.
    rowCount = table.rows.length;
    for (i = rowCount - 1; i > 0; i--) {
      table.deleteRow(i);
```



```
// Add a row to trackTable for each track.
for (i = 0; i < nodes.length; i++) {
  track = nodes[i];
 name = getText(track);
 id = track.getAttribute('id');
 rating = track.getAttribute('rating');
  row = table.insertRow(i + 1);
 row.bgColor = "white";
  cell = row.insertCell(0); // track number
  cell.align = "right"
  cell.innerHTML = i + 1;
 cell = row.insertCell(1); // track name
  cell.innerHTML = name;
  if (rating >= 4) cell.className = "favorite";
  cell = row.insertCell(2); // track rating
  cell.align = "center"
  cell.innerHTML = rating;
```



Wrap Up

Summary

- don't have to refresh the browser page
 in order to display new data from the server
- get data asynchronously with XMLHttpRequest

ToDos

- get JavaScript code to work in browsers other than IE6
- test performance with REST server and web server running on different machines than browser
- could improve performance by caching REST responses in client-side JavaScript
- Questions?

