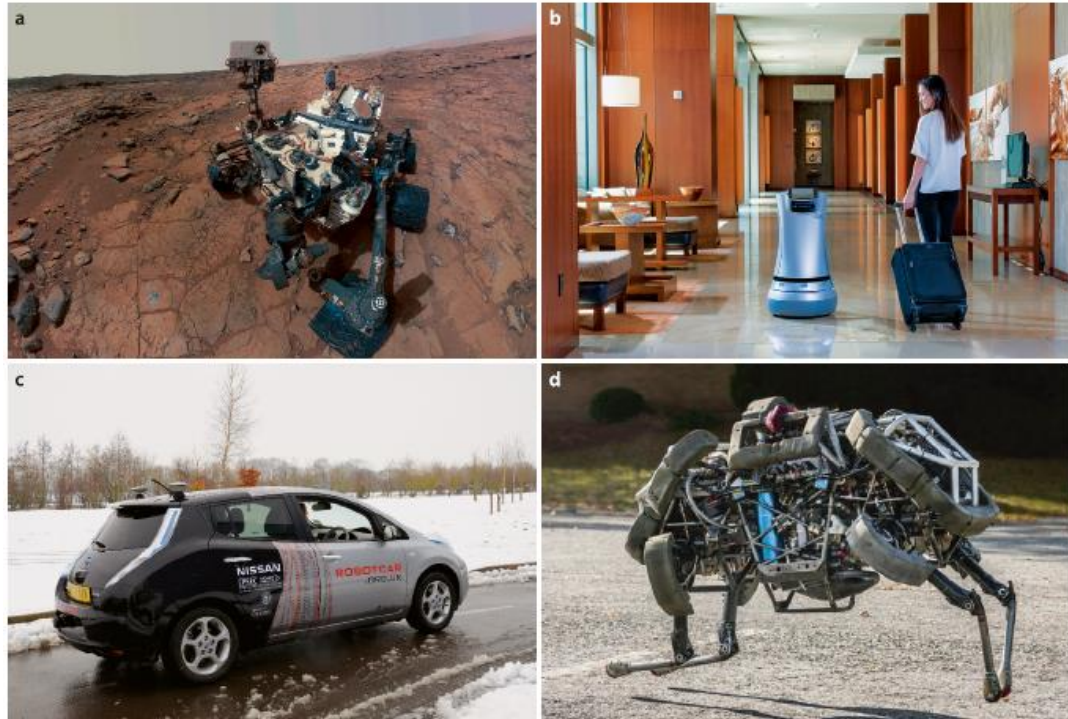




# ME 397- ASBR

## Week 2-Lecture 1



**a** Curiosity NASA/JPLCaltech; **b** Savioke Relay; **c** self driving car, Oxford Univ.;  
**d** Cheetah legged robot, Boston Dynamics

**FARSHID ALAMBEIGI, Ph.D.**

Assistant Professor | Walker Department of Mechanical Engineering  
Cockrell School of Engineering | The University of Texas at Austin



The University of Texas at Austin  
**Mechanical Engineering**  
Cockrell School of Engineering

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# Rigid Body Transformation

A mapping  $g : \mathbb{R}^3 \rightarrow \mathbb{R}^3$  is a **rigid body transformation** if it satisfies the following properties:

1. (**Necessary condition**) **Length is preserved** for all points  $p$  and  $q$ :

$$\|g(p) - g(q)\| = \|p - q\|$$

2. (**Sufficient Condition**) The cross product (**orientation**) **is preserved** for all vectors  $\mathbf{v}$  and  $\mathbf{w}$

$$g_*(\mathbf{v} \times \mathbf{w}) = g_*(\mathbf{v}) \times g_*(\mathbf{w})$$

➤ We may define the **space of rotation matrices** in  $\mathbb{R}^{n \times n}$  by

$$SO(n) = \{R \in \mathbb{R}^{n \times n} : RR^T = I, \det R = +1\}$$

➤  $R^{-1} = R^T$

# Rotations are rigid body transformations

A rotation  $\mathbf{R} \in \mathbf{SO}(3)$  is a rigid body transformation; that is,

1.  $\mathbf{R}$  preserves distance:

$$\|Rq - Rp\| = \|q - p\| \text{ for all } q, p \in \mathbb{R}^3$$

2.  $\mathbf{R}$  preserves orientation:

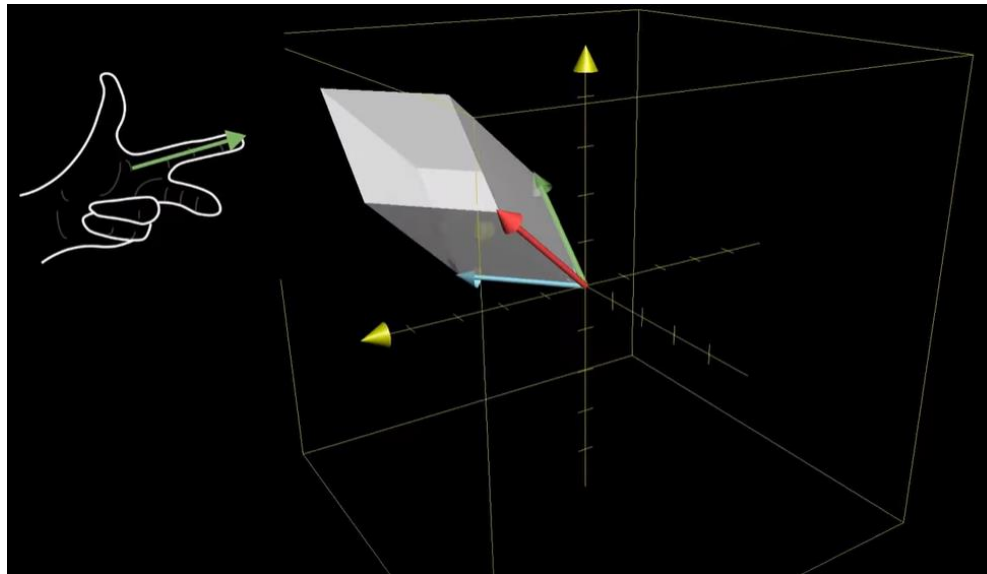
$$R(v \times w) = Rv \times Rw \text{ for all } v, w \in \mathbb{R}^3.$$

i.e., the rotation of the cross product of two vectors is the cross product of the rotation of each of the vectors by  $\mathbf{R}$ .

❖ Proof in your **THA1**!

# Physical Interpretation of Rotation Matrices

- Geometrically, determinant can be viewed as the **volume scaling factor** of the **linear transformation** described by the matrix.
- The determinant is **positive or negative** according to whether the linear mapping **preserves or reverses the orientation of  $n$ -space**.
- $\det(R)=+1$  means that it's a **rigid body transformation** that does not **change the length and orientation!**



<https://www.youtube.com/watch?v=lp3X9LOh2dk>

# Uses of Rotation Matrices

- There are three major uses for a rotation matrix  $\mathbf{R}$ :
  - (a) To represent an orientation;
  - (b) To change the reference frame in which a vector or a frame is represented (Solved example);
  - (c) To rotate a vector or a frame.
- In (a) ,  $\mathbf{R}$  is thought of as **representing a frame**;
- In (b) and (c),  $\mathbf{R}$  is thought of as an operator that acts on a **vector or frame** (changing its reference frame or rotating it).

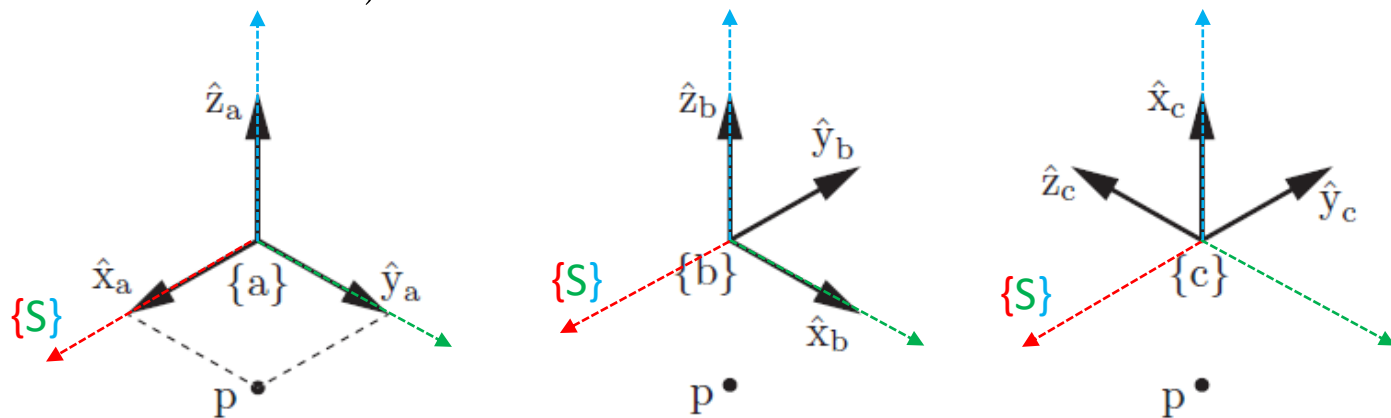
# Uses of Rotation Matrices

## (a) To represent an orientation:

- ✓ Frames  $\{a\}$ ,  $\{b\}$ , and  $\{c\}$  representing the same space with the same origin.
- ✓ RGB color frame is a **fixed space frame**  $\{s\}$ , which is aligned with frame  $\{a\}$ .
- ✓ The orientations of the three frames **relative to  $\{s\}$**  can be written as  $\mathbf{R}_f$ , which implicitly referring to the orientation of frame  $\{f\}$  relative to the fixed frame  $\{s\}$ , i.e.,  $\mathbf{R}_{sf}$

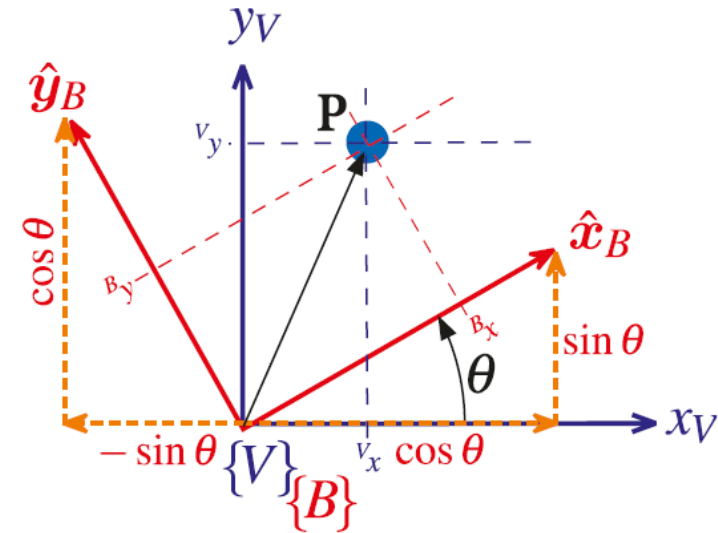
$$R_a = \begin{bmatrix} \hat{x} & \hat{y} & \hat{z} \\ 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}, \quad R_b = \begin{bmatrix} \hat{x} & \hat{y} & \hat{z} \\ 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix}, \quad R_c = \begin{bmatrix} \hat{x} & \hat{y} & \hat{z} \\ 0 & -1 & 0 \\ 0 & 0 & -1 \\ 1 & 0 & 0 \end{bmatrix}$$

- A rotation matrix is just a collection of three unit vectors!



# 2D Rotation Matrix

- **Goal:** Given **known position**  $\mathbf{P}$  in the **rotated frame**  $\{B\}$ , find its position wrt **fixed frame**  $\{V\}$ .
- The point  $\mathbf{P}$  can be considered with respect to the 2D **red (Rotated)** or **blue** coordinate frame **with the same origin:**  
i.e.,  ${}^V\mathbf{p} = {}^B\mathbf{p}$



$$\begin{pmatrix} v_x \\ v_y \end{pmatrix} = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} B_x \\ B_y \end{pmatrix}$$

$$\begin{pmatrix} v_x \\ v_y \end{pmatrix} = {}^V R_B \begin{pmatrix} B_x \\ B_y \end{pmatrix}$$



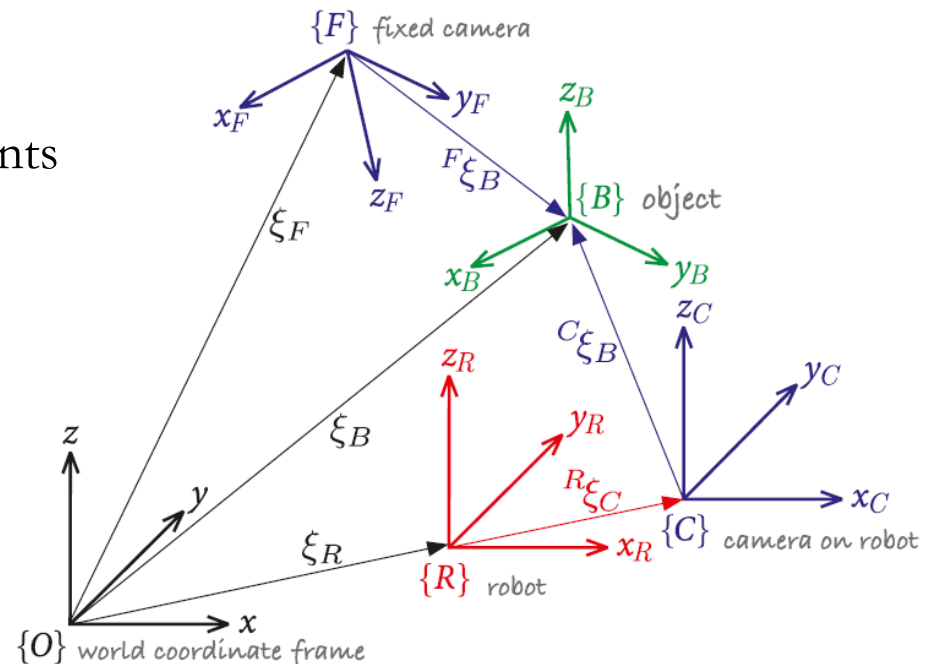
# Uses of Rotation Matrices

## (b) Changing the reference frame

- If the rotation matrix  $\mathbf{R}_{ab}$  represents the orientation of {b} in {a} and  $\mathbf{R}_{bc}$  represents the orientation of {c} in {b}, then we have:

$$R_{ac} = R_{ab}R_{bc}.$$

- **Notation:**  $\mathbf{R}_{ab} = {}^a\mathbf{R}_b$  both represents the orientation of {b} in {a}.





# Uses of Rotation Matrices

## (b) Changing the reference frame

- If the rotation matrix  $\mathbf{R}_{ab}$  represents the orientation of  $\{b\}$  in  $\{a\}$  and  $\mathbf{R}_{bc}$  represents the orientation of  $\{c\}$  in  $\{b\}$ , then we have:

$$R_{ac} = R_{ab}R_{bc}.$$

- $\mathbf{R}_{bc}$  can be viewed as a **representation of the orientation** of  $\{c\}$ .
- While  $\mathbf{R}_{ab}$  can be viewed as a **mathematical operator** that changes the reference frame from  $\{b\}$  to  $\{a\}$ .
- A subscript cancellation rule helps us to remember this property:

$$R_{ab}R_{bc} = R_{a\cancel{b}}R_{\cancel{b}c} = R_{ac}$$

- The reference frame of a vector can also be changed by a rotation matrix:

$$R_{ab}p_b = R_{a\cancel{b}}p_{\cancel{b}} = p_a.$$

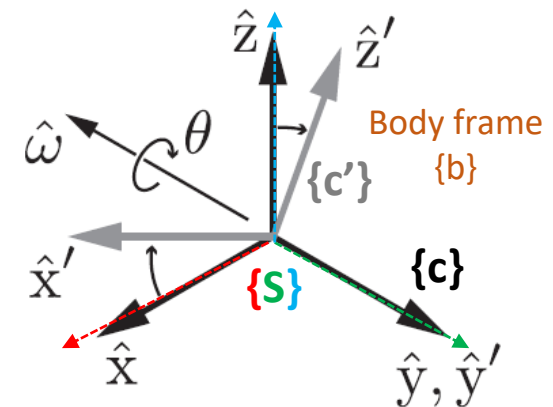
# Uses of Rotation Matrices

## (c) Rotating a vector or a frame

- We rotate the frame  $\{c\}$  about a **unit axis**  $\hat{\omega}$  by an **amount**  $\theta$ , the new frame is  $\{c'\}$  and can define it by  $R_{sc'}$ .
- We can also see  $R$  as a **rotation operator**, instead of as an orientation, i.e.,  $R = \text{Rot}(\hat{\omega}, \theta)$

$$\text{Rot}(\hat{x}, \theta) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta \\ 0 & \sin \theta & \cos \theta \end{bmatrix}, \quad \text{Rot}(\hat{y}, \theta) = \begin{bmatrix} \cos \theta & 0 & \sin \theta \\ 0 & 1 & 0 \\ -\sin \theta & 0 & \cos \theta \end{bmatrix}$$

$$\text{Rot}(\hat{z}, \theta) = \begin{bmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{bmatrix}.$$

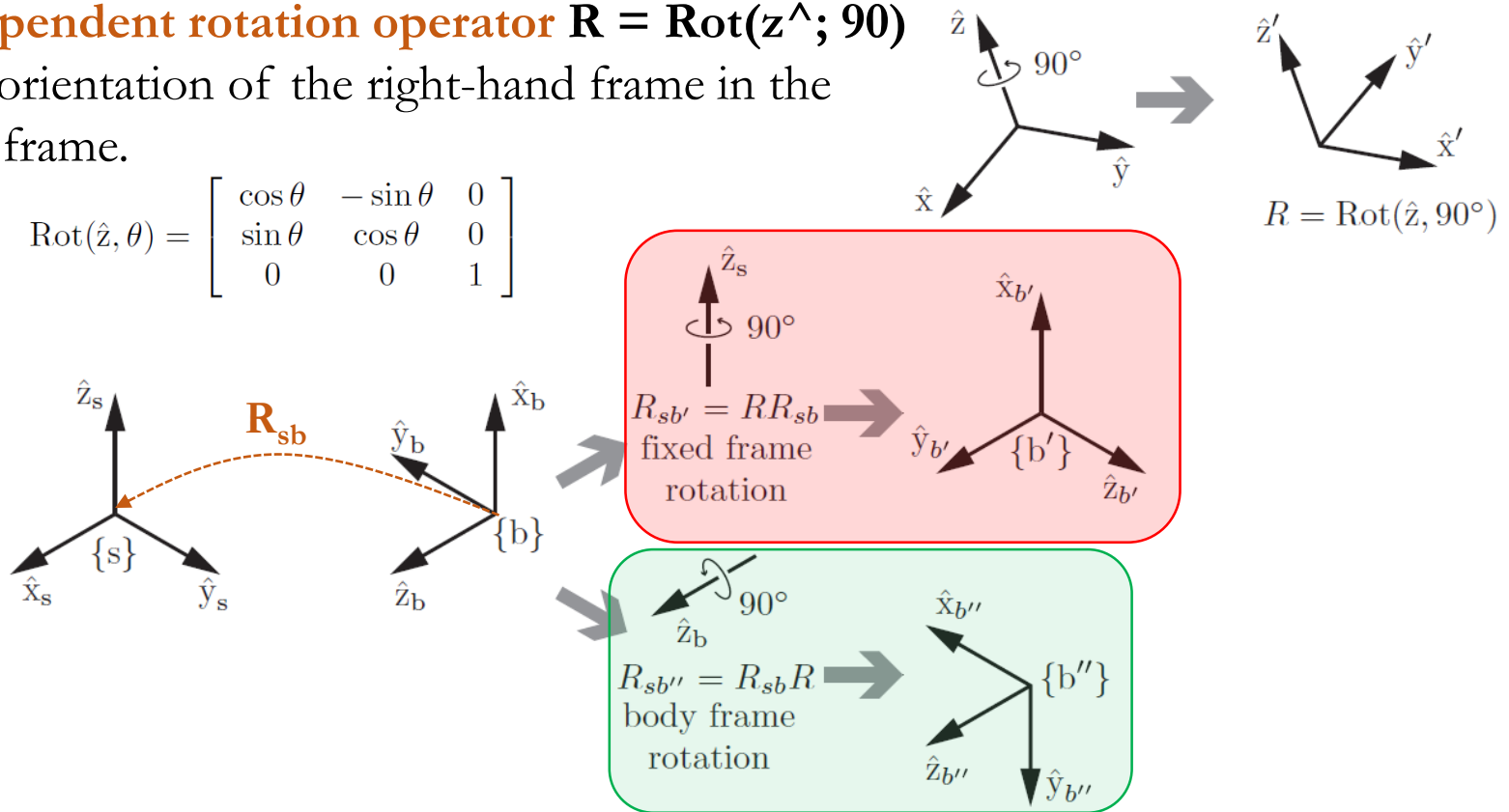


- **Rot** is an independent-to-frame operation that rotates the orientation represented by the Identity matrix to the orientation represented by R.
- We have to specify whether the axis of rotation  $\hat{\omega}$  is expressed in  $\{S\}$  or **body frame**  $\{b\}$ , (e.g.,  $\{c\}$  in the figure).
- Depending on our choice, the same numerical  $\hat{\omega}$  (and therefore the same numerical  $R$ ) corresponds to different rotation axes in the underlying space!!!

# Uses of Rotation Matrices

- The **independent rotation operator**  $R = \text{Rot}(\hat{z}^{\wedge}; 90^\circ)$  gives the orientation of the right-hand frame in the left-hand frame.

$$\text{Rot}(\hat{z}, \theta) = \begin{bmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

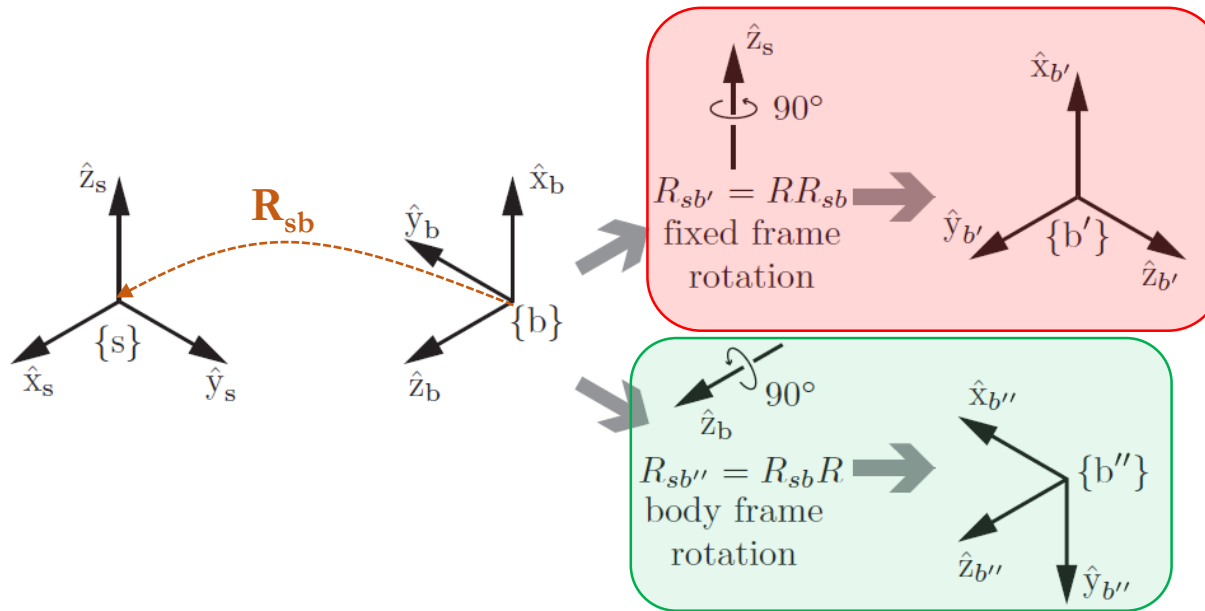


- The quantity  $R R_{sb}$  rotates {b} by 90 degree about the **fixed-frame axis**  $\hat{z}_s$  to {b'}.
- The quantity  $R_{sb} R$  rotates {b} by 90 degree about the **body-frame axis**  $\hat{z}_b$  to {b''}.

$$R_{sb'} = \text{rotate\_by\_R\_in\_}\{s\}\text{-frame } (R_{sb}) = \boxed{R} R_{sb}$$

$$R_{sb''} = \text{rotate\_by\_R\_in\_}\{b\}\text{-frame } (R_{sb}) = R_{sb} \boxed{R}.$$

# Uses of Rotation Matrices



- In other words, **pre-multiplying** by  $R = \text{Rot}(\hat{\omega}; \theta)$  yields a rotation about an axis  $\hat{\omega}$  considered to be in the **fixed frame**, and **post-multiplying** by  $R$  yields a rotation about  $\hat{\omega}$  considered as being in the **body frame**.
- The quantity  $R R_{sb}$  rotates  $\{b\}$  by 90 degree about the **fixed-frame axis**  $\hat{z}_s$  to  $\{b'\}$ .
- The quantity  $R_{sb} R$  rotates  $\{b\}$  by 90 degree about the **body-frame axis**  $\hat{z}_b$  to  $\{b''\}$ .

$$R_{sb'} = \text{rotate\_by\_R\_in\_}\{s\}\text{-frame } (R_{sb}) = R R_{sb}$$

$$R_{sb''} = \text{rotate\_by\_R\_in\_}\{b\}\text{-frame } (R_{sb}) = R_{sb} R.$$

# Skew Symmetric matrices

- Given a vector  $\mathbf{x} = [x_1 \ x_2 \ x_3]^T \in \mathbb{R}^3$ , define:  $[\mathbf{x}] = \begin{bmatrix} 0 & -x_3 & x_2 \\ x_3 & 0 & -x_1 \\ -x_2 & x_1 & 0 \end{bmatrix}$
- The matrix  $[\mathbf{x}]$  or also sometime shown by  $\hat{\mathbf{x}}$  is a **3×3 skew-symmetric matrix** representation of vector  $\mathbf{x}$ ; that is  $[\mathbf{x}] = -[\mathbf{x}]^T$
- The set of all **3×3 real skew-symmetric matrices** is called **so(3)**.
- The set of skew-symmetric matrices **so(3)** is called the **Lie algebra** of the **Lie group SO(3)**.
- The space of n×n skew-symmetric matrices  $\mathcal{S}$  is:  $so(n) = \{S \in \mathbb{R}^{n \times n} : S^T = -S\}$
- We can write the **cross product of two vectors** as:  $\mathbf{a} \times \mathbf{b} = (\mathbf{a})^\wedge \mathbf{b}$ .
- The sum of two skew-symmetric matrices is skew-symmetric  $(\mathbf{v} + \mathbf{w})^\wedge = \hat{\mathbf{v}} + \hat{\mathbf{w}}$ .
- The scalar multiple of any element of  $so(3)$  is an element of  $so(3)$
- The elements on the diagonal of a skew-symmetric matrix are **zero**, and therefore its **trace equals zero**.
- If  $\mathbf{A}$  is a **real skew-symmetric matrix** and  $\lambda$  is a real eigenvalue, then  **$\lambda=0$**  i.e. the nonzero eigenvalues of a skew-symmetric matrix are **purely imaginary**.
- Determinant of an  $n \times n$  skew-symmetric matrix is **zero** if  $n$  is odd.

# Vector linear differential equation

The linear differential equation  $\dot{x}(t) = Ax(t)$  with initial condition  $x(0) = x_0$ , where  $A \in \mathbb{R}^{n \times n}$  is constant and  $x(t) \in \mathbb{R}^n$ , has solution

$$x(t) = e^{At}x_0$$

where

$$e^{At} = I + tA + \frac{t^2}{2!}A^2 + \frac{t^3}{3!}A^3 + \dots$$

The matrix exponential  $e^{At}$  further satisfies the following properties:

- (a)  $d(e^{At})/dt = Ae^{At} = e^{At}A$ .
- (b) If  $A = PDP^{-1}$  for some  $D \in \mathbb{R}^{n \times n}$  and invertible  $P \in \mathbb{R}^{n \times n}$  then  $e^{At} = Pe^{Dt}P^{-1}$ .
- (c) If  $AB = BA$  then  $e^Ae^B = e^{A+B}$ .
- (d)  $(e^A)^{-1} = e^{-A}$ .

# Exponential coordinates for rotation

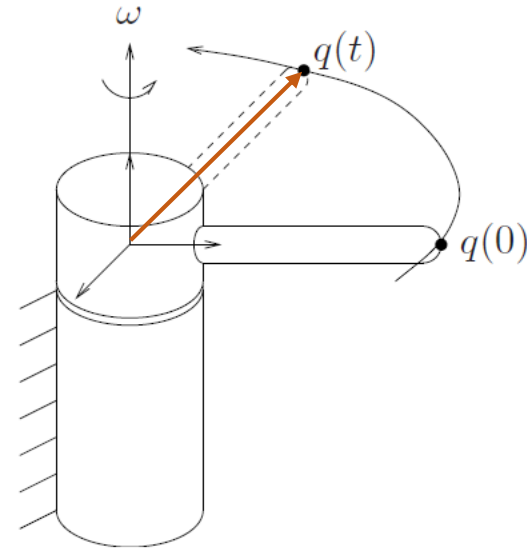
- Let's rotate the rigid body at constant unit velocity about the axis  $\omega$ , the velocity of the point,  $\dot{q}$ , may be written as the following **linear differential equation**:

$$\dot{q}(t) = \omega \times q(t) = \hat{\omega}q(t) \quad \longrightarrow \quad q(t) = e^{\hat{\omega}t}q(0)$$

where  $q(0)$  is the initial ( $t = 0$ ) position of the point and  $e^{\hat{\omega}t}$  is the matrix exponential:

$$e^{\hat{\omega}t} = I + \hat{\omega}t + \frac{(\hat{\omega}t)^2}{2!} + \frac{(\hat{\omega}t)^3}{3!} + \dots$$

- It follows that if we rotate about the axis  $\omega$  at unit velocity for  $\theta$  units of time ( $t = \theta$ ), then the **net rotation** is given by:  $R(\omega, \theta) = e^{\hat{\omega}\theta}$
- Given a matrix  $\hat{\omega} \in so(3)$ ,  $\|\omega\| = 1$ , and a real number  $\theta \in \mathbb{R}$ , we write the exponential  $\hat{\omega}\theta$  as
$$\exp(\hat{\omega}\theta) = e^{\hat{\omega}\theta} = I + \theta\hat{\omega} + \frac{\theta^2}{2!}\hat{\omega}^2 + \frac{\theta^3}{3!}\hat{\omega}^3 + \dots$$
- This is an **infinite series** and, hence, not useful from a computational standpoint.
- It can be shown that if **the matrix is constant** and **finite** then this series is always **guaranteed to converge to a finite limit**.





# Exponential coordinates for rotation

- Given  $\hat{a} \in so(3)$ , the following relations hold:

$$\hat{a}^2 = aa^T - \|a\|^2 I$$

$$\hat{a}^3 = -\|a\|^2 \hat{a}$$

and higher powers can be calculated recursively.

$$\cos x = 1 - \frac{x^2}{2!} + \frac{x^4}{4!} - \dots$$

$$\sin x = x - \frac{x^3}{3!} + \frac{x^5}{5!} - \dots$$

- Utilizing this with  $a = \omega\theta, \|\omega\| = 1$ , we have:

$$\exp(\hat{\omega}\theta) = e^{\hat{\omega}\theta} = I + \theta\hat{\omega} + \frac{\theta^2}{2!}\hat{\omega}^2 + \frac{\theta^3}{3!}\hat{\omega}^3 + \dots$$

$$\Rightarrow e^{\hat{\omega}\theta} = I + \left(\theta - \frac{\theta^3}{3!} + \frac{\theta^5}{5!} - \dots\right)\hat{\omega} + \left(\frac{\theta^2}{2!} - \frac{\theta^4}{4!} + \frac{\theta^6}{6!} - \dots\right)\hat{\omega}^2$$

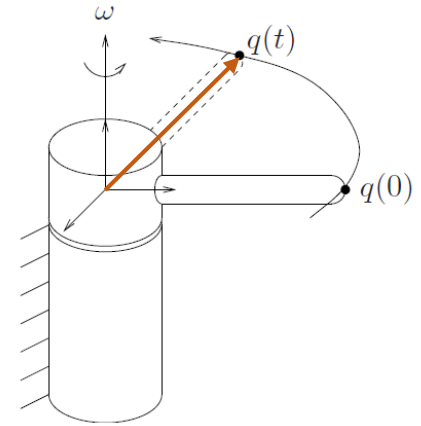
$$\Rightarrow \text{Rot}(\hat{\omega}, \theta) = e^{\hat{\omega}\theta} = I + \hat{\omega} \sin \theta + \hat{\omega}^2 (1 - \cos \theta) \in SO(3) \quad \text{Rodrigues' formula}$$

- When  $\|\omega\| \neq 1$ , it may be verified:

$$e^{\hat{\omega}\theta} = I + \frac{\hat{\omega}}{\|\omega\|} \sin(\|\omega\|\theta) + \frac{\hat{\omega}^2}{\|\omega\|^2} (1 - \cos(\|\omega\|\theta)).$$

# Exponential coordinates for rotation

- The quantity  $e^{\hat{\omega}\theta} \mathbf{q}$  has the effect of rotating  $\mathbf{q}$  about the fixed-frame axis  $\underline{\omega}$  by an angle  $\underline{\theta}$ .
- Similarly, considering that a rotation matrix  $\mathbf{R}$  consists of three column vectors, the rotation matrix  $\mathbf{R}' = \text{Rot}(\hat{\omega}, \theta) \mathbf{R}$  is the orientation achieved by rotating  $\mathbf{R}$  by an angle  $\underline{\theta}$  about **axis  $\omega$  in the fixed frame.**
- Reversing the order of matrix multiplication,  $\mathbf{R}'' = \mathbf{R} \text{Rot}(\hat{\omega}, \theta)$  is the orientation achieved by **rotating  $\mathbf{R}$  in the body frame.**



$$\mathbf{R}'' = \mathbf{R} e^{[\hat{\omega}_2]\theta_2} \neq \mathbf{R}' = e^{[\hat{\omega}_2]\theta_2} \mathbf{R}.$$

- Exponentials of skew symmetric matrices are **orthogonal!**
- Given a skew-symmetric matrix  $\hat{\omega} \in so(3)$  and  $\theta \in \mathbb{R}$   $\longrightarrow e^{\hat{\omega}\theta} \in SO(3)$ .
- **Geometrically**, the skew symmetric matrix corresponds to an axis of rotation and the exponential map generates the rotation corresponding to rotation about the axis by a specified amount  $\theta$ .

# References

- Murray, R.M., Li, Z., Sastry, S.S., “*A Mathematical Introduction to Robotic Manipulation.*”, **Chapter 2.**
- Corke, Peter. “Robotics, vision and control: fundamental algorithms in MATLAB®” second, completely revised. Vol. 118. Springer, 2017, **Chapter 2.**
- Lynch and Park, “*Modern Robotics,*” Cambridge U. Press, 2017, **Chapter 3.**